### Potential megathrust co-seismic slip during the 2020 Sand Point, Alaska strike-slip earthquake

Sean R Santellanes<sup>1,1</sup>, Diego Melgar<sup>1,1</sup>, Brendan W Crowell<sup>2,2</sup>, and Jiun-Ting Lin<sup>1,1</sup>

<sup>1</sup>University of Oregon <sup>2</sup>University of Washington

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### Abstract

On October 2020, a Mw 7.6 earthquake struck to the south of the Shumagin Islands in Alaska, nearly 3 months after the Mw 7.8 Simeonof megathrust event. The initial models of the earthquake indicated a largely strike-slip rupture; however, the observed tsunami was much larger and widespread than expected for the focal mechanism. We investigate what sea surface deformation is necessary to recreate the tsunami waveforms using water-level inversion techniques. We find that the sea surface deformation does not resemble that expected from a purely strike-slip earthquake. We then carry out slip inversions with water level and static GNSS data as input. We explore the likelihood of megathrust co-seismic slip aiding tsunamigenesis. We propose that, concurrently with strike-slip faulting, it is likely that a considerable slip occurred on the megathrust westward and updip from the previous July 2020 event. We also propose that a smaller submarine landslide is likely to have occurred in an area prone to them. The Sand Point earthquake potentially released  $^2$  meters of accumulated slip in the western Shumagin Gap, but likely did not slip updip of  $^15$  km depth.

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# Potential megathrust co-seismic slip during the 2020 Sand Point, Alaska strike-slip earthquake

Sean R. Santellanes<sup>1</sup>, Diego Melgar<sup>1</sup>, Brendan W. Crowell<sup>2</sup>, Jiun-Ting Lin<sup>1</sup>

<sup>1</sup>Department of Earth Sciences, University of Oregon <sup>2</sup>Department of Earth and Space Sciences, University of Washington

### 10 Abstract

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11 On October 2020, a Mw 7.6 earthquake struck to the south of the Shumagin Islands in Alaska, 12 nearly 3 months after the Mw 7.8 Simeonof megathrust event. The initial models of the earthquake indicated a largely strike-slip rupture; however, the observed tsunami was much larger and 13 14 widespread than expected for the focal mechanism. We investigate what sea surface deformation 15 is necessary to recreate the tsunami waveforms using water-level inversion techniques. We find 16 that the sea surface deformation does not resemble that expected from a purely strike-slip 17 earthquake. We then carry out slip inversions with water level and static GNSS data as input. We 18 explore the likelihood of megathrust co-seismic slip aiding tsunamigenesis. We propose that, 19 concurrently with strike-slip faulting, it is likely that a considerable slip occurred on the megathrust 20 westward and updip from the previous July 2020 event. We also propose that a smaller submarine 21 landslide is likely to have occurred in an area prone to them. The Sand Point earthquake 22 potentially released ~2 meters of accumulated slip in the western Shumagin Gap, but likely did 23 not slip updip of ~15 km depth.

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### 25 Plain Language Summary

Strike-slip earthquakes often are not a cause for worry when it comes to tsunami hazards. They usually produce negligible amounts of uplift and subsidence on the seafloor. However, a magnitude 7.6 earthquake seemingly did the impossible and caused a hazardous (coastal amplitudes >30 cm) tsunami in Alaska and Hawai'i. We gauge how the earthquake was able to do so by looking at water-level data from tide gauges and open ocean buoys as well as with GNSS data. We find that the strike-slip earthquake had help from potentially megathrust coseismic activity and a submarine landslide in creating the tsunami.

### 33 1. Motivation

34 Tsunamis are most often the result of earthquake sources at subduction zones. 35 Megathrust co-seismic slip is a key process for tsunamigenesis as it typically produces vertical coseismic motion which leads to sea surface deformations large enough to result in hazardous 36 waves (coastal amplitudes > 30cm). The Shumagin segment of the Alaskan Subduction Zone 37 (Figure 1) has been characterized as an area that has largely been devoid of great earthquakes 38 39  $(Mw \ge 8.0)$  for at least the past 100 years (Davies et al, 1981). This may be due to it being in 40 transition between the fully creeping Sanak segment to the west and fully locked Semedi segment to its east (Li & Freymueller, 2018). The Shumagin segment is different with respect to its 41 42 neighboring segments; great earthquakes have been observed in the Sanak segment (Mw 8.6,

43 1946) and the Semedi segment (Mw 8.3, 1938) (Davies et al., 1981; Li & Freymueller, 2018; 44 Witter et al., 2014). These have been shown to have produced large, devastating tsunamis from 45 megathrust co-seismic slip. The last known great earthquake in the Shumagin segment is 46 commonly thought to have occurred in 1788; however, geologic observations point that two large 47 earthquakes occurring in just over a month between each other would be more consistent with 48 those observations (Witter et al., 2014).

49 On 22 July, 2020, the Mw 7.8 (M<sub>0</sub>=6.91x10<sup>20</sup> N-m) Simeonof earthquake occurred on the 50 megathrust portion near Simeonof Island (Figure 1, Crowell & Melgar, 2020), producing a small 51 tsunami (Liu et al., 2021; Larson et al., 2021) with ~30cm maximum amplitude at the nearby Sand 52 Point, AK tide gauge (amplitude measured relative from normal sea level). The tsunami had small amplitudes (< 1cm) in the open ocean buoys in the surrounding area. In stark contrast to this, the 53 54 19 October, 2020 Mw 7.6 (M₀ = 2.82x10<sup>∞</sup> N-m) Sand Point earthquake produced a tsunami with 55 maximum amplitude of 76 cm at the same Sand Point tide gauge, and a ~0.30 cm maximum 56 amplitude at the Hilo, Hawai'i tide gauge, more than 3800 km away. This despite the epicentral region of the two events being the same. It was also recorded clearly by 4 open ocean buoys, as 57 seen in Figure 1. The focal mechanism of this earthquake according to the U.S Geological 58 Survev's (USGS) W-Phase solution was a 49° westward-dipping strike-slip fault (Figure 1) with a 59 71% double-couple component. The shaking reached Modified Mercalli Intensity (MMI) VII for 60 61 both events. How the Sand Point event was able to produce a significantly larger local and transoceanic tsunami given it is ~2.5 times smaller, by scalar moment, than the Simeonof event and 62 63 that it has a strike-slip focal mechanism is not clearly understood. It has been generally accepted 64 that strike-slip earthquakes do not produce large enough amounts of vertical sea surface deformation necessary to generate tsunamis with amplitudes > 30 cm in the near- or far-field. The 65 peculiar nature of the Sand Point earthquake's tsunami was highlighted again by the 2021 Mw 66 8.18 ( $M_{\circ} = 2.36 \times 10^{21}$  N-m) Chignik earthquake (Figure 1), another low-angle thrust which also 67 68 failed to produce a sizable tsunami. That event had amplitudes of 15.2 cm at the Sand Point tide 69 gauge. Again, the Sand Point earthquake was a full order of magnitude smaller than Chignik by 70 scalar moment, yet it still has somehow produced the largest tsunami of the three-event 71 sequence.



 $-164^\circ$   $-162^\circ$   $-160^\circ$   $-158^\circ$   $-156^\circ$   $-154^\circ$   $-152^\circ$   $-150^\circ$   $-148^\circ$  Fig 1. The study area. The Simeonof rupture zone from Crowell & Melgar (2020) is shown in –152° 73 74 75 black, and the Chignik rupture zone from the USGS-NEIC finite fault model for the event is shown 76 in blue. The surface projection of the W-phase moment tensor nodal plane for the Sand Point earthquake is delineated by a dashed black line. The King cove (KING) and Sand Point (SAND) 77 78 tide gauges are shown in orange-red. DART buoys are shown in dodger-blue. The amount of 79 subsidence at GNSS station AC12 (yellow square) is shown to be -10 cm. The black arrow shows 80 the direction and magnitude of the horizontal vector. The inset shows the locations of the tide 81 gauges in Hawai'i. The gold star denotes the hypocenter of the Sand Point earthquake.

To unravel what causes co-seismic tsunamis, a common approach is to use finite fault models. Once these are known, they can be used to derive the deformation of the seafloor and use that as a tsunami initial condition. However, as we will show here, the tele-seismic finite fault model from the National Earthquake Information Center (NEIC) does not reproduce either the timing of arrivals or the amplitudes of the tsunami signals at the Alaskan and Hawaiian tide gauges, or the open ocean buoys (Figure 2).



90 Figure 2. The USGS-NEIC finite fault model for the Sand Point earthquake and the vertical 91 coseismic deformation resulting from it are shown on the left. The dashed black line from A-A' is 92 the surface projection of the fault plane, and a cross-section of the slip distribution is shown. The 93 hypocenter of the event is denoted by the gold star. On the right, The tsunami waveforms are 94 compared between the observations and the USGS-NEIC model results.

95 To understand the event we first take an alternate approach. We use the tide gauge and open 96 ocean buoy data to solve directly for a sea surface deformation model that is able to recreate the 97 tsunami signals at all sites. This technique is attractive because it is devoid of any assumptions 98 on what causes the deformation and simply solves directly for the required initial condition. We 99 then use this inferred sea-surface deformation to explore what combination of tectonic sources, if 100 any, could produce such an initial condition. We will attempt to reconcile the tectonic model with the hydrodynamic model. We will show that the sea surface deformation is most consistent with 101 slip on both the strike-slip fault and the neighboring megathrust. The location we propose for the 102 103 megathrust slip is just updip of the 2020 M7.8 Simeonof earthquake but stops at 15 km depth; it most likely does not extend to the trench. We also find that to explain the data, especially at the 104 105 King Cove tide gauge, a submarine landslide may be necessary.

### 106 2. Data & Methods

### 107 2.1 Data & Modeling

The Mw 7.6 Sand Point tsunami was observed by several water-level measuring stations. 108 109 Here we rely on two near-field tide gauges in the Aleutian Islands, two far-field tide gauges on the 110 island of Hawai'i and four Deep-ocean Assessment And Reporting of Tsunamis (DART) buoys 111 (Figure 1) (Titov et al., 2005). We also use coseismic deformation measured by one Global 112 Navigation Satellite System (GNSS) site, AC12 and processed by the University of Nevada Reno 113 (Blewitt et al., 2018). The bulk of our analysis and subsequent inversion methods are anchored 114 around the water-level data while AC12 provides a constraint on the inferred deformation on land 115 for the inversion methods, with ~10 cm of subsidence and 17 cm of south-south-westward 116 directed displacement. The tide gauges utilized in the inversion have a sampling rate of 1 min, and the DART buoys, in event mode, have a sampling rate between 15 sec and 1 min. We de-117 118 tide the water-level data of the observations and models with a bandpass filter between 2 min-119 120 min for the tide gauges and 15 min to 120 min for the DART buoys. Additionally, to correct 120 the far-field travel time error introduced by unmodeled effects from a compressible seafloor (Tsai 121 et al., 2012), we apply a simple cross-correlation to shift the synthetic data at Hilo.

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123 For the tsunami Green's functions needed by the inversion, and for subsequent, more detailed 124 modeling, we use the open source GeoClaw code (LeVeque et al., 2011). It solves the non-linear 125 shallow-water equations using adaptive mesh refinement so that areas of high tsunami 126 complexity, such as the case with tide gauge locations, can be refined to higher discretization levels. We use SRTM15 (450m pixels) for the model domain in Figure 1. We also use 1/3 arcsec 127 128 (~10 m pixels) bathymetry/topography to provide greater detail for the areas around the tide 129 gauges. The tsunami simulations are run at 4 levels of mesh refinement starting at 5 arcmin (~7.5 130 km) and ending at 3 arcsecs (~90 m). Output is collected at the locations of the real world tide 131 gauges and DART buoys.

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133 For the modeling of the fault rupture by the strike-slip geometry, we use the north west 134 striking nodal plane from the USGS W-phase moment tensor solution which is also used in the 135 USGS finite fault model. For the modeling of the megathrust geometry, we use the Slab2 model from Hayes et al. (2018). In addition, we use the crustal velocity model from Pasyanos et al. 136 137 (2014) when calculating the static Green's functions. We test three different slip inversions, two 138 where the strike-slip and megathrust geometries are run separately and one where they are 139 allowed to slip jointly. Here we only show the results for the strike-slip only and joint models since 140 a strike-slip geometry is required to be consistent with the tele-seismic data. 141

142 In addition to the water-level data, when we compute the slip inversion of the strike-slip 143 and/or the joint geometry models, we use static GNSS data from AC12. There were two other 144 GNSS stations in proximity to the site of the Sand Point earthquake: AC28, AB07, but the 145 displacements recorded at those sites are too small to be of use compared to the displacements 146 observed at AC12.

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### 148 2.2 Elementary Gaussian Tsunami Source Inversion

In order to estimate the tsunami source, we follow the method generally described by Tsushima et al. (2009) and as implemented by Lin et al. (2020). We compute the hydrodynamic Green's functions for sea surface deformation unit source areas that roughly surround the strike-slip rupture from the USGS finite fault model (Figure 1). Again, with this method, we side-step any complexities of the tsunami source that may arise due to complex fault geometry, multi-fault ruptures, or other tsunami sources such as landslides. The Green's functions are calculated for a 2-D Gaussian tsunami source with a standard deviation of 5 km and amplitude 156 of 1 m. The spacing between the center of the tsunami sources is 10 km. The Gaussian nature of 157 the tsunami source elements ensures that they overlap at the margins, so that smooth variations 158 of sea surface displacements can be expressed with a discrete sum of sources. We use a total of 159 428 sources in the inversion. We regularize the inversion with a Tikhonov operator of zeroth order 160 and then employ a L-curve criterion from the inversions to find the right level of trade-off between smoothing and misfits of the inversion (Figure S1). The distribution of the tsunami source 161 162 elements is shown in Figure S2. Green's functions for the two Alaskan tide gauges and four DART 163 buoys were computed for each tsunami source. They were later used for inversion and forward 164 modeling of the tsunami. DART buoy data is produced by a bottom pressure recorder. Seismic 165 arrivals, such as Rayleigh waves and acoustic phases, introduce pressure signals which do not 166 reflect tsunami energy. As a result it is important to mask out these spurious signals and use only 167 the portions that reflect the tsunami itself. At DART station 46403 the tsunami's arrival occurred 168 while seismic/acoustic signals were still visible and could not be used in the inversion. For the tide 169 gauges it has been shown that only the first ~1-1.5 wavelengths can be reliably inverted with later 170 arrivals being difficult to account for in linear inversions (Melgar & Bock, 2013; Yue et al., 2015): 171 as a result we used only the first arriving signals in the inversion. Figure 3 shows as shaded gray 172 regions which time intervals of the water-level data were used in the inversion. The resulting sea 173 surface deformation model was denoised by the method described in Text S1.

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### 2.3 Slip Inversion with Hydrodynamic and Geodetic Data

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178 Finally, to test whether the deformation field implied by the geodetic and water level data 179 can be attributed to the co-seismic slip along the strike-slip geometry and/or the megathrust geometry, we perform kinematic and static slip inversions. We jointly invert the DART, tide 180 181 gauges, and static deformation data on the same strike-slip fault geometry as the USGS finite 182 fault using the MudPy suite of codes (Melgar & Bock, 2015). To explore if megathrust activation 183 is necessary to recreate tsunami waveforms, we also run a joint inversion with this strike-slip and 184 megathrust geometry used by Crowell & Melgar (2020) for the Simeonof earthquake. This later fault has an extent that easily exceeds the limits of the Sand Point rupture; we exclude subfaults 185 near the trench and toe of the slab because the results from 2.2 show that little to no deformation 186 187 occurs in this region compared to other parts of the slab. As in the hydrodynamic inversion, the 188 slip inversion is regularized using a zeroth order Tikhonov approach and the optimal regularization 189 parameter is obtained from the L-curve criterion. The weighting scheme for the geodetic and water 190 level data uses specific weights to focus more on the linear portion of the waveform data as 191 described by Melgar et al. (2016).

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193 After initial tests we found that the water level data fits improved significantly if the initiation 194 point of the megathrust slip was away from the intersection point with the strike-slip fault and if 195 the rupture propagation speed of the megathrust was comparatively slow. In order to 196 systematically test whether this was really required by the data we ran several different inversions 197 with different rupture speeds and 10 different megathrust slip nucleation points. 3 of the nucleation 198 points are to the west of the strike-slip fault, 3 are along the intersection with the strike-slip fault, 199 and 3 to the east of it. In order to calculate how long to delay rupture along the megathrust, we 200 assume that triggering of the megathrust would be affected by Vs of 3.0 km/s, we compute the distance from the strike-slip hypocenter to each nucleation point and delay it's onset based on 201 202 that assumed Vs. Once the megathrust begins to slip, we tested 4 rupture speeds: 0.50, 0.70, 1.00 203 and 1.50 km/s. We calculate the RMSE for each combination of nucleation point and rupture speed based on the RMSE and inversion weights used for all stations used in the slip inversion 204

205 method minus King Cove. We do not include King Cove since, as will be discussed, it routinely 206 does not fit the inversions and, the hydrodynamic inversion suggests that it can be explained by 207 some non-tectonic source. Therefore, it is disqualified from inclusion when assessing the RMSE 208 of the joint inversions.

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# 211 3. Results & Discussion

# 3.1 Forward Tsunami Modeling based on the USGS-NEIC FiniteFault

214 We calculate the tsunami model based on the vertical deformations from the USGS-NEIC 215 finite fault model to test whether it can explain the tsunami on its own without need for inverting 216 for co-seismic slip along the megathrust. Figure 2 shows the expected pattern from the USGS 217 model and fits to the water level data using it as an initial condition. The expected deformation is much smaller compared to the inversion results of the hydrodynamic and slip methods with peak 218 219 subsidence of 0.23 m and peak uplift of 0.39 m. Upon visual inspection of Figure 2, it is evident 220 that the sea surface deformation produced by these rupture scenarios is insufficient. Additionally, 221 the tsunami arrives ~1 hour too early at the Sand point tide gauge and has too low a maximum 222 amplitude (trough-to-crest) at ~0.4m compared to the actual 1.32 m at that same site. These 223 findings strongly suggest that a strike-slip earthquake by itself is insufficient to reproduce the 224 tsunami waveforms.

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### 3.2 The Hydrodynamic Inversion Method

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228 We find that by inverting only the water level data, for the stations in gray as seen in Figure 229 3, that we are able to fit the tsunami waveforms very well. We seem to be able to resolve the 230 DARTs and tide gauges' first arrivals almost exactly. Additionally, the model can recreate the far 231 field tsunami waveforms at Hilo and Kawaehai in Hawai'i. It is of note that the primary sea surface 232 deformation signal appears to be trench-parallel (Figure 3). We find almost no indication of what 233 would be expected for strike-slip faulting induced deformations. We note that this does not mean 234 there is no strike-slip faulting. Checkerboard tests of the hydrodynamic inversion reveal that the 235 resolution is not high across the inversion area, so some smearing is to be expected, especially 236 in the regions of smaller signals (Fig. S5). Finally, we find that the trench-parallel deformations 237 fits remarkably well with the rupture zones from the Simeonof and Chignik earthquakes, being 238 bounded to the north and east, respectively, by both earthquakes.

239 Before we invert both the water level and GNSS data, we check to see what tsunami 240 source is required by only the water level data. This check serves as a diagnosis as to whether 241 any more fault geometries are necessary besides that from the USGS-NEIC finite fault model. 242 The advantages of this model are that it can diagnose areas that potentially may be non-tectonic in origin in addition to tectonic sources. The disadvantage is that the resolution is dominated by 243 244 whatever is producing large signals. Figure 3 shows that the water level data predominately 245 requires apparent trench-parallel deformations. The amount of vertical deformations necessary 246 to produce such signals is larger at 1.41 m compared to 0.39 m produced by the USGS-NEIC

strike-slip solution. It does, however, a much better job of fitting the observed tsunami waveforms

248 (Fig 3).



249

250 Figure 3. The hydrodynamic model results. The inset shows a close up of the model result. The 251 black outline denotes an area where a suspected submarine landslide may have occurred based on the classic dipole sea surface deformation pattern. The dashed line is the surface trace for the 252 W-Phase nodal planes used in the USGS finite fault model. The black tsunami waveforms are the 253 254 1 min observed data, and the red ones are the simulation results from the sea surface deformation 255 model. Gray boxes outline which portions of the tide gauges and DARTs were used in the tsunami 256 inversion scheme. We shifted the simulated tsunami waveforms for Hilo by 6.38 min and 257 Kawaehai by 2.13 min to match the observed data at the tide gauges. 258

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### 260 3.3 Strike-Slip Only Slip Inversion

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262 Before inverting along the megathrust geometry, we again test to see if the strike-slip geometry can reproduce the tsunami and GNSS waveforms. Figure 4 shows two slip models. 263 First, one where the magnitude is limited to that derived from the USGS-NEIC finite fault (Mw 264 7.57). Here, the tsunami waveform fits have an RMSE 1.818 m while the fit to the coseismic 265 266 deformation measured by GNSS is good. When we release the magnitude constraint to attempt to fit the tsunami waveforms, the improvement is marginal. Even with an Mw 7.97 earthquake the 267 tsunami waveform fits have an RMSE of 2.063 m and the coseismic deformation fit begins to 268 269 degrade. This leads us to conclude that the strike-slip geometry alone, like in the hydrodynamic 270 inversion, is not enough to produce the observed waveforms.



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Figure 4. The fault rupture inversion method results for a strike-slip geometry based off of the 273 USGS-NEIC W-phase solutions. a.) Shows the results for a Mw 7.97. A cross section shows the 274 modeled slip distribution. b.) Shows the results for a Mw 7.58. A cross section shows the modeled 275 slip distribution. The modeled tsunami waveforms are shown in c.).

#### 3.4 Joint Strike-Slip and Megathrust Slip Inversion 277

In an attempt to make the observations and models parsimonious, we now test including 278 279 some co-seismic slip along the megathrust interface. We know, at a minimum, that it must be 280 included in any tests we run. We ran two different instances of this strike-slip plus megathrust 281 inversion. Because tsunami propagation speeds are significantly slower than common earthquake rupture speeds, instantaneous ruptures are usually sufficient to recreate 282 283 hydrodynamic data (e.g. Williamson et al., 2019). So, we first test to see if a static instantaneous 284 rupture of both the strike-slip and megathrust interface at the same time can explain the waveforms. Next, we test whether delaying the megathrust slip (due to either static or dynamic 285 286 triggering) and allowing it to slip with a finite duration is needed and explore a range of different 287 rupture speeds.

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289 The results of these tests can be seen on Figures 5 & 6 (vertical deformations can be seen in 290 Figure S6). The strike-slip rupture velocity was held to 3.0 km/s in an attempt to help recreate the tsunami waveform data at DARTs 46414 and 46409. We test static and dynamic triggering at 10 291 292 nucleation points in the rupture area. We observe that static triggering, which in this case refers 293 to simultaneous rupture start along both the strike-slip and megathrust, does not explain the 294 waveforms as well as certain nucleation points, which leads to the potentiality that the megathrust 295 co-seismic slip initiates some time after the initiation of the strike-slip earthquake. From Figure 5, 296 We find that the minimum RMSE misfit occurs for a megathrust rupture velocity of 1.0 km/s 297 nucleating at nucleation point 3 29.5 s after the strike slip hypocenter. We note that the megathrust 298 co-seismic slip appears to be roughly bounded by Simeonof to the north and Chignik to the east 299 in these results as well (Figure 6). From this location along the megathrust, the rupture front 300 propagates from the SW to the NE of the proposed rupture domain. The inversion's ability to resolve co-seismic slip along the megathrust is greatest the closer it is to the strike-slip plane 301 (Figure S7). We note that the co-seismic slip is underpredicted near it, and that co-seismic slip is 302

303 smoothed out near the edge of the inversion area. The ability to resolve co-seismic slip along the 304 strike-slip plane is limited to the areas immediately close to AC12.



Figure 5. 9 different megathrust nucleation points that are used to gauge the sensitivity of the tsunami and geodetic waveforms at 4 different rupture velocities: 0.50 km/s, 0.70 km/s, 1.00 km/s
and 1.25 km/s. Hypocenter 3 is shown in blue in a.) along with the direction and speed of propagation. b.) The RMSEs of the four different rupture speeds and the static triggering at nucleation point 0 are shown. The RMSEs are shown for each rupture speed at each nucleation point. Nucleation point 0 is the hypocenter as derived from the USGS-NEIC model, see Figure 2.



Figure 6. a.) The kinematic co-seismic slip is centered at nucleation point 3 with a rupture velocity of 1.00 km/s along the megathrust and 3.00 km/s along the strike-slip. A-A' is the strike-slip plane from the NEIC W-phase. The slip distribution is shown. b.) The static vertical deformation is centered on the hypocenter from the W-phase solution. The rupture speed is 1.25 km/s for the megathrust and 3.00 km/s for the strike-slip. c.) The tsunami waveforms for the vertical

deformations seen in a.) and b.). The contour spacing for the three distributions of contours is 0.5 m.

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### 323 3.5 Potential Submarine Landslide

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The addition of a megathrust co-seismic slip produces a significant improvement in the 325 326 waveform fits compared to the strike-slip fault alone and the GNSS static offset. However, one 327 piece of data remains problematic. The King Cove tide gauge (Fig. 1) recorded >30 cm tsunami 328 wave amplitudes. Neither the strike-slip only or joint megathrust inversions can recreate the 329 tsunami waveforms at that location; they are consistently underestimated (Figures 4,5). 330 Meanwhile, in the hydrodynamic inversion (Figure 2), which is sensitive to both tectonic and non-331 tectonic tsunami sources, King Cove is fit well. We find that a specific section of the hydrodynamic 332 inversion result from Figure 3 is needed to aid in recreating the tsunami waveform. In this 333 particular area, the vertical deformation has an apparent submarine landslide signal. Landslides 334 typically produce a positive-negative dipole of sea-surface deformation. The negative portion 335 (subsidence) corresponds to the area where mass is removed, while the positive lobe 336 corresponds to the area where the excavated mass moves downslope (e.g. WIlliamson et al., 337 2020). The location of such a dipole signal and a potential landslide is highlighted in Fig. 3. This 338 area is on the steep section of the shelf-break and is within 20 km of the ALEUT-05 active source survey (Bécel et al, 2017). That study noted widespread evidence that this part of the continental 339 340 slope is prone to submarine landslides. Further the potential landslide highlighted in Fig. 3 has 341 the expected positive-negative dipole sea surface deformation pattern expected for a submarine 342 landslide (e.g. Williamson et al., 2020). Thus, the potential of submarine landslides contributing to the tsunami waveforms is considerably high, especially since something in this area is needed to 343 344 explain the tsunami waveforms at King Cove. If we add that landslide source to the joint strike-345 slip and megathrust geometry, we obtain the tsunami fits observed in Fig. 7. It should be noted 346 that we add the submarine landslide as if it occurs instantaneously 140 s after the earthquake 347 begins on the strike-slip component. The degradation of fits to 46402 shows the limitations of 348 such an assumption. Likely, if it is indeed a submarine landslide, it occurred over many seconds. 349 Modeling of a landslide in an already complex earthquake source is difficult and the subject of 350 future work.



Figure 7. The tsunami waveforms from the potential submarine landslide location denoted by the black outline in Figure 3. The modeled earthquake that was added to the potential submarine

355 landslide is the result from hypocenter 3 with a rupture velocity of 1.00 km/s.

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### 357 3.6 Implications

The hydrodynamic and slip inversions imply that potentially large amounts of megathrust slip went undetected, or at least unmodeled by far-field seismic data and products produced shortly after the Sand Point earthquake. However, this slip seems to be required by both inversion methods. We cannot at present resolve the issue of how such a large quantity of slip would go undetected and we recognize that it is an outstanding challenge for the Sand Point earthquake. We posit that the megathrust component of the Sand Point earthquake slips in such a way that it radiates inefficiently. Coupled with a potentially energetic strike-slip rupture happening 365 concurrently, the megathrust component of the event could have been obscured by it. This has
 366 recently been observed in other complex earthquakes, most notably the 2021 Sandwich islands
 367 sequence where multiple large, complex events occuring in close spatiotemporal proximity lead
 368 to far-field teleseismic magnitudes smaller than the aggregate events and complex focal
 369 mechanisms (Jia et al., 2021)

370

371 It has been noted that near-trench "tsunami earthquakes" rupturing through the shallow low 372 rigidity portions of the megathrust can have this feature (e.g. Satake & Tanioka, 1999) and are 373 depleted of both far-field (Newman et al., 2012) and near-field (Sahakian et al., 2020) seismic 374 radiation. A characteristic of these is very slow rupture (e.g., Riquelme & Fuentes, 2021). Our slip 375 inversion results do suggest that a slow rupture speed for the megathrust .~1 km/s, improves the 376 data fits globally. Meanwhile, our hydrodynamic inversion results were unable to suggest if slow 377 rupture velocities were present in the event (Figures S8-13). However, regional intensities were 378 not anomalously low for an M7.6, nor was far field radiated energy, whether this is because the 379 strike-slip fault radiated with the usual efficiency, obscuring the inefficient megathrust process 380 remains to be addressed.

381

So, even though there is evidence for this slow rupture we note that the sensitivity of tsunami data 382 383 to this aspect of source kinematics is limited (e.g. Williamson et al., 2019). This is due to tsunami 384 propagation speeds traditionally being much slower than rupture speeds. However, as rupture 385 speeds get particularly slow <1km/s this sensitivity increases (Riquelme et al., 2020). 386 Nonetheless, this reduced resolution makes it difficult to interpret whether all the features of the 387 megathrust slip are needed by the data, particularly the slip patches that "re-rupture" regions that 388 slipped in previous events. Indeed, the predicted vertical deformation from the joint strike-slip 389 megathrust rupture (Figure 8) resemble that from the hydrodynamic inversion (Figure 3) but it's 390 not an exact match and the fits to the water-level data are lower. 391



Figure 8. a.) The kinematic vertical deformation is centered at nucleation point 3 with a rupture velocity of 1.00 km/s along the megathrust and 1.50 km/s along the strike-slip. A-A' is the strikeslip plane from the NEIC W-phase. The slip distribution is shown. b.) The static vertical deformation is centered on the hypocenter from the W-phase solution. The rupture speed is 1.5 km/s for each geometry. c.) The tsunami waveforms for the vertical deformations seen in a.) and b.).

400 Another possibility discussed by Ma & Nie (2019) is that the rupture progresses at a more 401 "traditional" speed. This would make sense since most of the Shumagin segment is imaged to be mostly creeping in the interseismic period (Li & Freymueler, 2018) and thus can reasonably be 402 403 inferred to prefer rate-strengthening modes of rupture. Indeed (Crowell & Melgar, 2020), imaged 404 some afterslip in this portion of the megathrust. In this model the megathrust slip during the Sand 405 Point earthquake would be a peculiar kind of "fast" slow-slip. The rupture front propagates at a 406 traditional speed, near shear-wave speeds, but once slip starts it is very slow. These processes 407 would ostensibly be enough to keep the true extent of the megathrust co-seismic slip 'silent' in 408 the seismic data. Moreover, the Mw 8.6 1946 earthquake on the neighboring Sanak segment was 409 highly deficient in seismic radiation, with a teleseismic magnitude of only 7.4, indicating there may 410 be some structural control on the megathrust that generates slow and long ruptures devoid of 411 seismic radiation (Lopez & Okal, 2006). In the present work we cannot resolve these nuances, 412 which have important implications for the geodynamics of the megathrust, but suggest that, at a 413 minimum, if the megathrust was involved, and it seems like this is needed to reconcile, at least in 414 part, the tsunami observations, that slip on it propagated slowly. Future work to clarify this is to 415 produce a kinematic model that includes all regional observations, including strong motion, HR-GNSS, and far field data to systematically test whether such joint multi-fault models with complex 416 417 kinematics can be invoked to account for all the geophysical observables.

418

419 Co-seismic slip along the megathrust propagating from the NW to NE of the proposed rupture 420 area would have the Sand Point rupture arresting at the boundary of the July 2021 Chignik 421 earthquake (Fig. 6). To what extent Sand Point plays a role in the triggering of Chignik is uncertain 422 and outside the scope of this paper. We do find that the sea surface deformations do have the 423 appearance of being bounded to the north and east by the rupture zones of the Simeonof and 424 Chignik rupture zones (Figure 3). It is something that needs to be further investigated. The 425 reconciled magnitude of the earthquake from the hydrodynamic inversion method and the joint 426 geometry fault rupture inversion method is Mw 7.91 ( $M_0 = 1.80 \times 10^{20}$  N-m). The magnitude of the 427 strike-slip segment is Mw 7.44 ( $M_0 = 9.22 \times 10^{20}$  N-m). The Mw for the megathrust is Mw 7.85 ( $M_0 =$ 428 7.43x10<sup>20</sup> N-m). Xiao et al. (2021) find that the amount of slip deficit left to rupture after the 429 Simeonof earthquake, updip of the rupture zone (Figure 7 of Xiao et al. (2021)), is equivalent to a 430 Mw 7.8. The majority of the proposed modeled megathrust co-seismic slip for the Sand Point 431 event falls between 32 km down-dip to ~15 km up-dip of the megathrust. Crowell and Melgar 432 (2020) along with Liu et al. (2020) and Xiao et al. (2021) have found that the Simeonof earthquake 433 ruptured ~35 km up-dip. If this is indeed the case, then it is likely that activation along the 434 megathrust potentially exhausted accumulated slip in this region of the Shumagin Gap. However, 435 we note that the co-seismic slip in our models does not extend up-dip of 15 km. There is also 436 some limited overlap in our model of the Sand Point earthquake with the earlier Simeonof slip, to 437 what extent this is required will also be important to determine with a more comprehensive kinematic slip inversion. Checkerboards (Figs S6 and S7) find that this portion of the inversion is 438 439 resolved well in the hydrodynamic model but that there is also appreciable smearing in the slip 440 inversion. So, whether the un-ruptured sections of the Shumagin segment will experience post-441 seismic relaxation, leading to decreased hazards, or continue to be coupled and a source of future 442 tsunamigenic events to the Aleutian communities in this region is uncertain.

443

Herman & Furlong (2021) show that spatial variations in displacements caused by coupling between the overriding plate and slab in the 1938 asperity and low coupling throughout the Shumgain segment would likely cause large, right-lateral shear stresses in the section of the segment that produced the strike-slip component of the earthquake. The presence of a strike-slip 448 plane may lead to helpful hints about the state of locking in this region of the megathrust. We posit 449 that the dynamic triggering of nucleation point 3 by the strike-slip component of the earthquake 450 occurred in a region of low coupling (Li & Freymueller, 2018). The low coupling would allow for 451 shear waves to cause displacements large enough to promote rupture in this region. The rupture 452 front would then propagate to the NW into a region of potentially higher coupling and higher slip 453 deficits where it would eventually stop (Li & Freymueller, 2018; Xiao et al., 2021). This 454 interpretation is thought to be more likely given the nature of the location of nucleation point 3 and 455 those in the western portion of the model domain. More work would be needed to provide solid 456 reasoning for why this result would be the case.

457

458 Finally, whether it truly is a submarine landslide(s) that aides in generating the tsunami waveforms 459 at King Cove needs to be further explored perhaps by repeated multibeam bathymetry surveys. 460 If there have been significant changes, then it may potentially provide solid footing for what the 461 inversion methods are elucidating. If not, then other features would have to be explored to explain 462 the tsunami signal at King Cove. More data is ultimately needed to further constrain the inversion 463 results from the fault rupture inversion method. One static GNSS station is used due to the large 464 signals seen at that site. We do not use other GNSS stations in an attempt to avoid overfitting of the geodetic data. Seafloor GNSS stations were in deployment during the time of the Sand Point 465 466 earthquake; however, those data are currently unavailable, but may prove to be critical constraints 467 for a rupture inversion.

468

# 469 4. Conclusion

470 We have shown that strike slip models for the 2020 Sand Point earthquake event are 471 inadequate for generating the observed tsunami. Using water level inversion techniques and fault 472 rupture inversion method we find that there was potentially a co-seismic slip along the megathrust 473 during the October 19, 2020 Sand Point strike-slip earthquake. The sea surface deformation necessary to recreate the tsunami waveforms at the Alaskan and Hawaiian tide gauges as well 474 475 as the DART buoys requires it. Slip on both the strike-slip fault and the megathrust is equivalent 476 to a Mw 7.91. We find that a slow rupture propagation speed of 1 km/s potentially does explain 477 the observations well so we posit that the megathrust slip does not contribute much seismic 478 radiation, perhaps due to slow slip rates during rupture. The rupture front propagates at this speed 479 from nucleation point 3 into a region of high slip deficit updip of the July rupture zone but does not 480 slip updit of the depths at ~15 km. We have shown that the nucleation of rupture at this point 481 occurs 29.5 s after the strike-slip rupture initiates, potentially from that rupture's shear waves. We 482 have also shown that a submarine landslide is potentially necessary to explain the tsunami 483 waveforms at King Cove, in addition to the potential co-seismic megathrust.

# 484 Data Availability Statement

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486 The water level data for the DART buoys can be obtained from the DART website (https://www.ndbc.noaa.gov/dart.shtml), for the tide gauges it can be obtained from NOAA's CO-487 488 OP the Environmental Research Division's Data Access Program (ERDDAP) server 489 (https://opendap.co-ops.nos.noaa.gov/erddap/index.html), the vertical offset for AC12 was 490 obtained from the Nevada Geodetic Laboratorv website 491 (http://geodesy.unr.edu/PlugNPlayPortal.php). The water level inversion code is available from 492 Github (https://github.com/ssantellanes/water-level-inversion) and archived on Zenodo at 493 Santellanes et al. (2021). The static slip inversions were generated using the FakeQuakes code 494 part the MudPy source modeling toolkit available which is of on GitHub 495 (https://github.com/dmelgarm/MudPy), the latest version is archived on Zenodo at Melgar (2021).

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