Can We Use 1D Models to Predict 3D Physics?

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November 22, 2022

Abstract

Single-column models (SCMs) are often used to evaluate model physics and aid parameterization development. However, few studies have systematically compared the results obtained using 1D setups with those of their corresponding 3D models, and examined what factors potentially impact their comparability. This paper addresses these questions. We focus on the application of SCMs under idealized RCE conditions and use a multi-column model (MCM) setup as stepping stone for a 3D model. We find that convective organization in the MCM depends at least as much on the convection scheme used as on other mechanisms known to organize convection (e.g., radiative feedback). Moreover, convective organization emerges as a robust factor affecting SCM-MCM comparability, with more aggregated states in 3D associated with larger behavior deviations from the 1D counterpart. This is found across five convection schemes and applies to simulated mean states, linear responses to small tendency perturbations, and adjustments to doubled-CO2 forcing. Applying a "model-as-truth" approach, we find that even when convection is organized, behavior differences between pairs of schemes in the SCM are largely preserved in the MCM. This indicates that when model physics produces accurate behavior in a 1D setup, it will be more likely to do so in a 3D setup. We also demonstrate the practical value of linear responses by showing that they can accurately predict an SCM's tropospheric adjustment to doubled-CO2 forcing.

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Key Points:

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- Convective organization in large-scale simulations depends on convection scheme,
 sometimes more so than on radiative feedback
 - 1D and 3D behavior is very similar if convection is not organized in 3D
- A convection scheme's linear responses can be used to predict its tropospheric adjustments to doubled-CO₂ forcing

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10 Abstract

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²⁹ Plain Language Summary

To study various climate processes, scientists often use 3D climate models, but these 30 simulations use huge amounts of computing time and resources. One way to alleviate this 31 problem is to use a single-column model, which is a 1D vertical column extracted from a 3D 32 model. Although these 1D simulations are very efficient, we cannot always be sure that their 33 results are comparable to those of their parent 3D model. In this study, we find that when 34 clouds are randomly spread across the sky (when convection is disorganized), results of 1D 35 and 3D simulations are very similar. However, when clouds are clustered into clumps (when 36 convection is organized), we cannot always trust the results of 1D simulations as they tend 37 to be different from those of 3D simulations. Nevertheless, we find that when two models 38 show very different behavior in their 1D setup, they will tend to also behave differently in 39 their 3D setup. This tells us that 1D simulations can still be useful. We also discover that 40 the way a model responds when it is lightly tickled (perturbed) can be used to predict its 41 responses to a situation where the amount of carbon dioxide in the atmosphere is suddenly 42 doubled. 43

44 1 Introduction

One-dimensional, single-column models (SCMs) of the atmosphere are often used as a 45 research tool to understand climate and climate change, as well as the behavior of model 46 physics to inform parameterization development. Experiments conducted in SCMs are com-47 monly used as proxies to evaluate how parameterizations would perform at individual grid 48 points in a 3D model (Randall et al., 1996). Other studies take an SCM to be a represen-49 tative 1D model of the whole atmosphere, starting from the classic study of Manabe and 50 Wetherald (1967). However, few studies have attempted to directly evaluate to what extent 51 understanding obtained with an SCM carries over to a 3D setup and identify what fac-52 tors potentially enter that may alter the conclusions. This paper addresses these questions. 53 Specifically, we focus on the applicability of SCM tests under idealized radiative-convective 54 equilibrium (RCE) conditions to the behavior of a 3D model also run in regional RCE. 55

⁵⁶ Commonly, an SCM is a single vertical column from a General Circulation Model
 ⁵⁷ (GCM), using the same physical parameterizations of the parent GCM to represent un ⁵⁸ resolved subgrid-scale processes. Model dynamics are prescribed as boundary forcings

(Randall & Cripe, 1999). Since there is only one vertical column it is computationally very 59 cheap to run an SCM. This offers great benefits to modelers, for example when exploration 60 of a large parameter space is unfeasible in a full GCM (M. Zhang et al., 2016). Moreoever, 61 the SCM allows model physics to be isolated and tested in controlled conditions. Hence, 62 SCMs are often used as a tool to facilitate parameterization development. Outputs from 63 an SCM can be compared with observational data or results from cloud-resolving models 64 (CRMs) to evaluate the performance of a physics scheme. Early studies using SCMs in this 65 way include Iacobellis and Somerville (1991) and Lee et al. (1997). SCMs have been used to 66 study cloud and convective processes—a major source of uncertainty in climate predictions 67 (Boucher et al., 2013)—including the representation of boundary layer clouds (Blossev et 68 al., 2013; Dal Gesso & Neggers, 2018; M. Zhang et al., 2013; P. Zhu et al., 2005), the diur-69 nal cycle of shallow (Lenderink et al., 2004) and deep precipitating clouds (Guichard et al., 70 2004), various convective regimes (Petch et al., 2007), the evolution of tropical convection 71 (Petch et al., 2014), tropical squall lines (Bechtold et al., 2000), the representation of shallow 72 convection (Bogenschutz et al., 2012) and cloud microphysics (Gettelman et al., 2008). As 73 research tools, SCMs have, for example, been employed for parameter sensitivity analysis 74 of cloud properties (Guo et al., 2014) and to test the sensitivity of subgrid-scale physical 75 processes to model vertical resolution (Lane et al., 2000). 76

The lack of feedback between model physics and large-scale circulation is a key limita-77 tion of SCMs. For example, if forced only by estimated large-scale tendencies they can drift 78 away from a realistic state in their temperature and humidity fields (M. Zhang et al., 2016). 79 This can be overcome by, for example, driving the SCM with large-scale forcings derived 80 from coarse-graining high-resolution model outputs (Christensen et al., 2018), or nudging to 81 a target state (Dal Gesso & Neggers, 2018; Neggers et al., 2017; Randall & Cripe, 1999). An 82 alternative approach utilizes SCMs in more idealized setups, where knowledge obtained in 83 simpler contexts can hopefully inform more complex systems (Maher et al., 2019). Examples 84 include parameterizing large-scale circulations using the weak temperature gradient (WTG; 85 Sobel & Bretherton, 2000; H. Zhu & Sobel, 2012) or quasi-geostrophic (QG) approximations 86 (Nie & Sobel, 2016). 87

Another idealization extensively applied in both SCMs and atmospheric general circu-88 lation models (AGCMs) is to run model simulations in an RCE configuration (see Wing 89 et al., 2018, for a comprehensive review). RCE is a statistical equilibrium state where net 90 radiative cooling is balanced by net convective heating. There is no horizontal energy trans-91 port, and background vertical motion is assumed to be zero (w = 0), effectively eliminating 92 large-scale dynamics. In the hierarchy of modeling approaches, a single-column RCE is the 93 simplest representation of the climate system (Jeevanjee et al., 2017; Maher et al., 2019) and is often used to represent tropical regions as a whole (Ghan et al., 2000; Pakula & Stephens, 95 2009; Wing et al., 2018). RCE has been extensively applied to study various aspects of 96 the atmosphere, including to understand climate change, where it was, for example, used 97 for early estimates of equilibrium climate sensitivity (ECS; Manabe & Wetherald, 1967; 98 Ramanathan & Coakley, 1978). 99

It has been argued that the linearized behavior in a single column near RCE could 100 characterize physical processes sufficiently to replicate (i.e., parameterize) their impact on 101 slowly varying tropical circulations at larger scales, based on the linear response function 102 (LRF) framework (Kuang, 2010, 2018). Subsequent studies of SCMs taken from climate 103 models have reported substantial discrepancies among their linearized behavior and depar-104 tures of their linear responses from explicit numerical simulations (Herman & Kuang, 2013; 105 Hwong et al., 2021, henceforth H21), suggesting that such near-RCE behavior could be a 106 valuable test of model physics. In general, however, RCE almost never occurs locally be-107 cause of the presence of large-scale circulations (w is not zero, or at least does not remain 108 zero for a time sufficient for the local column to attain equilibrium). Therefore, one reason 109 to question the relevance and validity of SCMs in RCE is that there is little to no ascent and 110 descent or large-scale condensation in this 1D configuration but a fair amount of them in 111

reality. Even if a larger-scale domain is in regional RCE, the individual columns within this
domain will usually experience large departures from local RCE. This begs the question: if
we know an SCM behaves correctly near RCE according to some reference standard (based
on e.g., a large-eddy simulation [LES] calculation, or observations collected at a field site),
how helpful is this? If the most important errors in a 3D simulation only occur far away
from local RCE, then SCM RCE tests could—although useful in principle—be unhelpful in
practice.

One interesting phenomenon emerging from numerical simulations of RCE in 3D mod-119 els, and relevant for our objectives, is the organization of convection. Under certain con-120 ditions, numerically simulated convection sometimes spontaneously organizes into distinct 121 wet and dry regions. This can happen even under homogeneous boundary conditions and 122 forcing, and has been observed in both cloud-system-resolving simulations (e.g., Holloway 123 & Woolnough, 2016; Muller & Bony, 2015; Wing & Emanuel, 2014) and those in which 124 convection is parameterized (e.g., Arnold & Randall, 2015; Becker & Stevens, 2014; Coppin 125 & Bony, 2015; Hohenegger & Stevens, 2016; Reed et al., 2015). Coppin and Bony (2015) 126 describe the emergence of convective organization in equilibrium conditions as an instability 127 of the RCE state, and its potential causes have been investigated in various studies (Beucler 128 & Cronin, 2016; Bretherton et al., 2005; Craig & Mack, 2013; Emanuel et al., 2014). In 129 an RCE model intercomparison project (RCEMIP), Wing et al. (2020) showed that all 3D 130 models in their study exhibit organization behavior, albeit to different degrees. Convective 131 organization can also be observed in the real world, for example as squall lines (Bryan, 2005), 132 the Madden-Julian Oscillation (MJO; Madden & Julian, 1994), and all the way up to the 133 planetary scale where rain is organized into structures such as the Inter-Tropical Conver-134 gence Zone (ITCZ) and midlatitude rain bands. These planetary-scale organizations make 135 the global humidity significantly less sensitive to model physics than it would be in a 1D 136 or horizontally homogeneous situation (Sherwood & Meyer, 2006), again suggesting caution 137 in the application of SCMs to represent heterogeneous domains. Given its association with 138 interactions between clouds, moisture and large-scale circulation, there is reason to believe 139 that convective organization might be an important factor to consider when comparing the 140 results of 1D and 3D models. 141

The overarching goal of this paper is to assess the utility of 1D simulations for a 3D world. We used a multi-column model (MCM) setup as a stepping stone for a 3D setup and evaluate the similarity between the SCM vs. MCM setups by comparing their mean states, linear responses to small tendency perturbations (the LRF method explored recently by H21), and adjustment responses to doubled-CO₂ forcing. All experiments are conducted on five widely-used convection schemes. The specific objectives are:

- To assess how informative SCM model-physics tests are about more realistic 3D scenarios under RCE conditions, and the potential role of convective organization in modulating the comparability of 1D vs. 3D setups;
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- To determine if the LRF method can be used to predict doubled-CO₂ responses, and if so, what are the implications for using it in an SCM vs. an MCM.

This paper is organized as follows: the models and simulation details are described in Section 2; the RCE mean state and organization patterns of the five convection schemes under different experimental configurations are presented in Section 3; the SCM and MCM responses to imposed tendency perturbations are compared in Section 4, and their adjustment responses to doubled-CO₂ forcing are compared in Section 5; the conclusions from these experiments are presented in Section 6.

$_{159}$ 2 Methods

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2.1 Models and RCE Simulation

We largely followed the procedures of H21 for our RCE simulations. All simulations were 161 performed with the Weather Research and Forecasting (WRF) model (version 4.0.2). The 162 single-column model version of WRF was used for the 1D simulations (Hacker & Angevine, 163 2013). For the 3D simulations, the model was run in a multi-column model (MCM) setup 164 with a square domain, with 20 grid points in both x and y directions and a horizontal 165 resolution of 100 km. There are 74 vertical levels, with model top at around 6 hPa. Con-166 figurations were kept consistent between corresponding SCM and MCM runs, except for 167 elements only applying to a 3D setup (sea surface temperature |SST| hot spot and water 168 vapor homogenization, described below). 169

The simulations were initialized with the same sounding at every grid point, using the 170 initial profiles of Wing et al. (2018), which are based on a moist tropical sounding of Dunion 171 (2011). There is no diurnal cycle and we simulate a non-rotating RCE with the coriolis 172 parameter set to zero. We used an SST of 28°C for the experiments with uniform SST. 173 Zonal and meridional winds were initialized with zero values and relaxed to zero throughout 174 the simulations with a relaxation time constant of 3 h (except for experiments with imposed 175 vertical wind shear, described below). Convection was kick-started by applying random low-176 level perturbations to the temperature field, although this does not appear to affect the RCE 177 state. Surface fluxes were computed using a bulk aerodynamic formula with a fixed value 178 of 0.001 for the heat and moisture exchange coefficients, and a constant near surface wind 179 speed of 4.8 m s^{-1} to remove any wind-induced surface heat exchange effects (WISHE). 180

In terms of physical parameterization, we tested five convection schemes: Kain-Fritsch 181 (KFETA; Kain, 2004), New-Tiedtke (NTIEDTKE; C. Zhang & Wang, 2017), New-Simplied-182 Arakawa-Schubert (NSAS; Han & Pan, 2011), Betts-Miller-Janjic (BMJ; Betts, 1986; Betts 183 & Miller, 1986; Janjić, 1994), and Zhang-McFarlane (CAMZM; G. Zhang & McFarlane, 184 1995). We refer to H21 for a description of their main features. The Zhang-McFarlane 185 scheme is additionally paired with the University of Washington shallow convection scheme 186 (Park & Bretherton, 2009). All convection schemes were paired with the same planetary-187 boundary-layer (PBL) and microphysics schemes: the YSU PBL scheme (Hong et al., 2006) 188 and the WSM6 microphysics scheme (Hong & Lim, 2006). We did not examine sensitivity to 189 these schemes since H21 found them to play only a minor role in the RCE responses exam-190 ined. For the simulations with interactive radiation, the RRTMG longwave and shortwave 191 schemes were used (Iacono et al., 2008), with a solar constant of 544 W m⁻² and a fixed 192 zenith angle of 37° , yielding a solar insolation of around 436 Wm^{-2} to match equatorial 193 conditions. For the simulations with fixed radiation, we prescribed a radiative cooling profile 194 of -1.5 K day⁻¹ from the surface to around 200 hPa and then linearly decreasing to zero 195 at around 100 hPa and kept at zero above that (following Herman & Kuang, 2013). The 196 relaxation inverse time constant is zero from surface to around 160 hPa and then increases 197 linearly to 0.5 day^{-1} at and above 100 hPa. 198

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2.2 Convective Organization Configurations

Our initial MCM experiments revealed that convective organization depends on the 200 choice of convection scheme. For example, we found that certain schemes produced or-201 ganized convection (and others did not) even when radiative feedback was disabled. To 202 remove potential confounding factors arising from varying degrees of organization across 203 the schemes, we wanted the schemes to display relatively similar organization behavior (i.e., 204 205 all organized or all disorganized) for our analyses. To achieve this, we experimented with three mechanisms that have been shown in previous studies to have an impact on convective 206 organization: an imposed SST hot spot, vertical wind shear, and water vapor homogeniza-207 tion. Each mechanism was paired with either idealized (described in the previous section) or 208 interactive radiation. In total, eight experimental configurations were tested (Table 1). Note 209

that in doing so our motivation was not to provide physical explanations for the manifestation of convective organization of the schemes under these configurations, but to establish a common baseline so that comparisons between the schemes can be made on the basis of relatively similar degrees of organization.

Table 1. Experimental configurations for convective organization.

Configuration	Simulation details
idealrad_ctrl	idealized radiation (same radiative cooling profile at every grid point), control simulation
idealrad_hotspot	$idealrad_ctrl + SST$ hot spot at center of domain
idealrad_windshear	$idealrad_ctrl + vertical wind shear$
idealrad_qvhomo	$idealrad_ctrl + water vapor homogenization above 2 km$
$intrad_ctrl$	interactive radiation (RRTMG LW and SW schemes), control simulation
intrad_hotspot	$intrad_ctrl + SST$ hot spot at center of domain
intrad_windshear	intrad_ctrl + vertical wind shear
intrad_qvhomo	$\verb"intrad_ctrl" + water vapor homogenization above 2 \ km$

The first mechanism employed, which was to promote aggregation, was to conduct 214 MCM experiments with an SST hot spot in the form of a circular warm pool at the center 215 of the domain. Studies have shown that SST gradients have the effect of organizing convec-216 tion (e.g., Liu & Moncrieff, 2008; Shamekh et al., 2020; Tompkins, 2001). The hot spot has 217 a gaussian surface with a half-width of around 400 km and covers around 10% of the domain 218 area. The peak SST anomaly is 2 K, with the edge of the hot spot having approximately the 219 same SST as in the control simulations (28°C). To homogenize convection across all schemes, 220 we tested two mechanisms. First we followed the "strong shears" procedure of Tompkins 221 (2001), which the authors found to disrupt the water vapor feedback responsible for convective organization. The zonal winds were relaxed to a target profile with a relaxation time 223 constant of 1 h. The target winds increase from 8 m s⁻¹ at the lowest level to 12 m s⁻¹ at 224 around 1 km, and then reduce linearly to -10 m s^{-1} at around 12 km, increasing linearly 225 thereafter to 0 at around 14.5 km. Second, in the water vapor homogenization experiments, 226 we followed the procedures of Grabowski and Moncrieff (2004), where we removed moisture 227 variations in the free troposphere (above 2 km) by applying a relaxation term to the water 228 vapor equation as follows 229

$$\left(\frac{\partial q_v}{\partial t}\right)_{relax} = -\frac{q_v - \overline{q_v}}{\tau} \tag{1}$$

where an overbar denotes the domain mean value at a given level and τ the relaxation time constant. We used a value of 1 day for τ . All simulations were run for 1,000 days for the SCM and 100 days for the MCM simulations. These simulations are henceforth referred to as the **PreRCE** runs.

234 2.3 Forcing Experiments

We ran two sets of forcing experiments to test the comparability between the SCM and MCM setups. In the first set of experiments, we probed the linear responses of the schemes in both setups using the LRF framework. According to this framework, the responses of a cumulus ensemble to small perturbations to its large-scale environment can be considered to be approximately linear even though convection involves many nonlinear processes (Kuang, 2010). This linear assumption drastically simplifies the representation of convection, as the ²⁴¹ behavior of a cumulus ensemble around a reference state can be approximated as

$$\frac{d\mathbf{x}}{dt} = \mathbf{M}\mathbf{x} \tag{2}$$

where \mathbf{x} is the anomalous state vector of temperature (\mathbf{T}) and moisture (\mathbf{q}) around a 242 reference state (e.g., RCE), $d\mathbf{x}/dt$ is the corresponding anomalous tendency perturbations, 243 and M is the LRF matrix. We followed the procedures of H21, which we briefly summarize 244 here. We initialized the control runs of the eight configurations described in the previous 245 section (Table 1) from their respective PreRCE states, maintaining the same experimental 246 configurations to get the respective organization patterns. These simulations are referred to 247 henceforth as the CTRL runs. The perturbation runs were initialized and run the same way, 248 but additionally with small perturbations applied to the temperature $(d\mathbf{T}/dt)$ and moisture 249 $(d\mathbf{q}/dt)$ tendencies in separate runs and at every timestep, until the models reached a new 250 steady state. These simulations are referred to henceforth as the PerturbLRF runs. The 251 perturbation profile takes the form of the sum of a delta and gaussian functions, following 252 Equation 4 in Herman and Kuang (2013). For brevity a profile that peaks at pressure level 253 p is referred to simply as "perturbation at p". For this study, we selected a perturbation 254 level of 850 hPa. The perturbation amplitudes are 0.5 K day⁻¹ and 0.2 g kg⁻¹ day⁻¹ for 255 temperature and moisture tendency perturbations, respectively. Positive and negative per-256 turbations were applied in separate runs. The steady state responses (\mathbf{T}^{\prime}) and \mathbf{q}^{\prime} are the 257 differences of the time-averaged temperature and moisture profiles between the PerturbLRF 258 and CTRL runs. We averaged the responses of the positive and negative perturbation runs 259 to obtain the final **T**' and **q**' profiles. 260

In the second set of forcing experiments, we doubled the atmospheric CO_2 concentration. This set of experiments was conducted with interactive radiation and fixed SST (28°C). We initialized the models from the PreRCE states of intrad_ctrl but doubled the CO₂ concentration from the default 379 ppm to 758 ppm and ran the experiments until a new equilibrium was reached. These simulations are referred to as the PerturbCO2 runs. The adjustment response of a variable resulting from this doubling of CO_2 is the difference between its time-averaged profiles between the PerturbCO2 and CTRL runs of intrad_ctrl.

All experiments described above were run in both SCM and MCM setups and for the five convection schemes. The simulation periods of the CTRL, PerturbLRF and PerturbC02 experiments were 1,000 days for the SCM and 100 days for the MCM runs. Unless specified otherwise, the equilibrium quantities presented in this paper are the averages over the final 700 days of the CTRL runs (and, where applicable, their corresponding PerturbLRF or PerturbC02 runs) for the SCM and final 20 days (and averaged over the domain) for the MCM setup.

Additionally, to verify the usefulness of the LRF method in predicting model responses 275 to other types of forcings, we tested if the M^{-1} matrices can be used to predict the temper-276 ature and humidity responses to a doubling of CO_2 in the atmosphere. We constructed the 277 M^{-1} matrices of the five convection schemes, which show their steady state responses per 278 unit perturbation (H21). To construct M^{-1} , we applied small tendency perturbations at all 279 model levels, successively and in separate runs. Rearranging Equation 2, we get $\mathbf{x} = \mathbf{M}^{-1} \frac{d\mathbf{x}}{dt}$. 280 In principle, we should be able to predict the temperature and moisture responses (\mathbf{x}) to 281 doubled CO₂ by multiplying the M⁻¹ matrices by the radiative forcing $(d\mathbf{x}/dt)$ resulting 282 from the doubling of CO_2 . Given the resource intensity of these matrix runs, we conducted 283 this part of the study only in the SCM setup. 284

²⁸⁵ 3 RCE Mean State and Convective Organization

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3.1 Convective Organization Across Schemes and Configurations

We first investigate the organization patterns of the five convection schemes at RCE for the eight configurations listed in Table 1. The schemes reach RCE in the **PreRCE** runs latest by around day 300 for the SCM and around day 60 for the MCM runs. In RCE, the radiative cooling rates largely balance the total surface heat fluxes and precipitation rates roughly equal the latent heat fluxes. In the MCM simulations, large-scale circulations develop and there are positive and negative vertical winds in the individual grids—indicating local RCE instability—but there are no net vertical motions over the whole domain ($\overline{w} = 0$). Snapshots of the daily accumulated convective rain on the final day of the CTRL runs for simulations with idealized radiation are shown in Figure 1 and interactive radiation in Figure 2.



Figure 1. Daily accumulated convective rain on day 100 of the MCM CTRL runs with idealized radiation of (left-right) KFETA, NTIEDTKE, NSAS, BMJ and CAMZM for the (a-e) control simulations, and simulations with imposed (f-j) SST hot spot, (k-o) vertical wind shear, (p-t) water vapor homogenization.

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Generally, simulations that organize tend to have clearer separations between the dry (subsidence) and moist (ascending motion) cells. The clusters of rainfall regions usually correspond to slightly higher latent heat fluxes. In simulations with more homogeneous convection, precipitation is more evenly spread out with no clear distinction between dry and wet cells, indicating that convection does not organize despite the presence of resolved circulations. As expected, simulations with idealized radiation tend to be more disorganized



Figure 2. As in Figure 1, but for simulations with interactive radiation.

compared to the ones with interactive radiation. However, there are a few notable excep-302 tions. NSAS and BMJ produce strong organization even in the case of prescribed uniform 303 radiation (c and d in Figure 1). This is an interesting observation, as numerous studies have 304 shown that radiative (especially longwave) feedback is a key factor in convective organiza-305 tion and homogenizing radiation typically leads to disaggregation (e.g., Arnold & Randall, 306 2015; Coppin & Bony, 2015; Holloway & Woolnough, 2016; Muller & Held, 2012; Wing & 307 Emanuel, 2014). A few studies have shown that convection can self-aggregate in the absence 308 of interactive radiation if evaporation of precipitation is artificially suppressed (hence weak-309 ening cold pool feedback) (Holloway & Woolnough, 2016; Muller & Bony, 2015). This is a 310 type of "moisture memory aggregation" as opposed to the more commonly known "radiative 311 aggregation" (Muller & Bony, 2015). So it is possible that some form of moisture feedback 312 is responsible for the organization of NSAS and BMJ under uniform radiative forcing. On 313 the other end of the spectrum, NTIEDTKE and CAMZM appear to be fairly disorganized 314 even in the presence of interactive radiation (b and e in Figure 2). We suspect this could 315 be due to the fairly small domain size that we used $(2000 \times 2000 \text{ km})$. Larger domain sizes 316 have been found to be more conducive to aggregation (Jeevanjee & Romps, 2013; Muller & 317

Held, 2012). In any case, these overall results suggest that the convection scheme can exert a stronger influence than interactive radiation in determining convective organization.

Imposing an SST gradient tends to organize convection toward the location of the hot 320 spot, although the effect again varies across the convection schemes, as well as between 321 idealized and interactive radiation. The organization effect of SST hot spot is more promi-322 nent when interactive radiation is used. In the case of idealized radiation, convection is still 323 largely disorganized despite the stronger convection observed at the location of the hot spot 324 for KFETA, NTIEDTKE and CAMZM (f, g and j in Figure 1). The schemes also respond 325 very differently to imposed wind shear forcing: this homogenizes convection for NSAS (m in 326 Figures 1 and 2) and KFETA (Figure 2k), but increases organization in the case of BMJ (n in 327 Figures 1 and 2) and NTIEDTKE (Figure 2l). This ambiguity has also been shown in other 328 studies, which have found vertical wind shear to make organization either more (LeMone 329 et al., 1998; Muller, 2013; Rotunno et al., 1988) or less likely (Abbot, 2014; Bretherton et 330 al., 2005; Held et al., 1993; Tompkins, 2000). When organized by wind shear, the schemes 331 tend to aggregate into band-like structures, reminiscent of squall lines observed in nature as 332 well as CRM simulations (Muller, 2013). Lastly, homogenizing free tropospheric moisture 333 is found to have a dramatic effect on convective organization, especially in the case of ide-334 alized radiation (p-t in Figure 1). By effectively disabling the feedback between convection 335 and free-tropospheric moisture—which is critical for convective organization—this proce-336 dure causes convection to become disorganized across all schemes in the case of idealized 337 radiation. In the case of interactive radiation, however, NSAS and, to a lesser degree, BMJ, 338 still display organization behavior (r and s in Figure 2). This could be because when these 339 two schemes were used the homogenization of water vapor was insufficient to overcome the 340 fluctuations created by interaction between convection and the large-scale circulations. 341

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3.2 Quantifying the Degree of Organization

To quantify the degree of organization in the MCM simulations, we use the spatial vari-343 ance of precipitable water scaled by its average value, averaged in time over the last 20 days 344 of the CTRL runs, following one of the metrics used by Wing et al. (2020). We refer to this 345 metric as org_{pw} . We also tested another metric, the subsidence fraction (Becker et al., 2017; 346 Coppin & Bony, 2015; Wing et al., 2020), which is calculated as the fraction of domain area 347 where the vertically integrated mass-weighted vertical wind is directed downward. There is 348 a high correlation between the two metrics (r = 0.78). For simplicity we only report the 349 results using org_{pw} . 350

The time series (Figure 3) and mean values (Table 2) of org_{pw} largely agree with visual 351 impressions of organization in Figures 1 and 2. For example, the NSAS and BMJ runs, which 352 appeared more organized in the idealrad_ctrl simulations, have comparatively higher 353 org_{pw} values (Figure 3a). All simulations were initialized with the same disorganized state; 354 some remain disaggregated, while others develop aggregation after 10–20 days (or later) and 355 usually stabilize thereafter. NSAS and BMJ runs tend to organize sooner than those with 356 the other schemes. NSAS runs also display oscillations in org_{pw} . All model runs stabilize 357 by around day 60, except for BMJ in the intrad_windshear configuration, which appears 358 to stabilize only after day 90 (Figure 3g). Overall, convective organization does not appear 359 to be binary but varies in a continuum depending on convection scheme and experimental 360 configuration. This has also been found in previous studies (Holloway & Woolnough, 2016; 361 Wing et al., 2020) and is probably due to the myriad physical mechanisms and feedbacks 362 at play between the schemes and configurations. 363

To recall, our aim was to find a configuration where all five schemes display relatively similar degrees of organization or disorganization in order to remove potential confounding factors in our analyses. Judging by their equilibrium org_{pw} values, intrad_hotspot appears to lead to organization across all five schemes, while idealrad_qvhomo consistently leads

to disorganization (d and f in Figure 3). We henceforth refer to these two configurations, respectively, as the all_org and all_disorg simulations.



Figure 3. Time series of the degree of organization (org_{pw}) of the five convection schemes in the eight experimental configurations for the PreRCE runs (100 days). Top row (a–d) shows results with fixed radiation and four different configurations; bottom row (e–h) shows the same but with interactive radiation.

Table 2. Mean equilibrium org_{pw} values for the convection schemes and experimental configurations. The bolded rows are the all_disorg and all_org simulations. Units are $kg m^{-2}$.

	KFETA	NTIEDTKE	NSAS	BMJ	CAMZM
idealrad_ctrl	0.042	0.01	1.688	0.445	0.044
idealrad_hotspot	0.059	0.017	1.353	0.588	0.05
idealrad_windshear	0.047	0.011	0.04	0.564	0.049
idealrad_qvhomo	0.028	0.011	0.108	0.054	0.036
intrad_ctrl	5.052	0.083	3.627	4.45	0.819
intrad_hotspot	4.144	1.176	3.23	4.262	1.254
intrad_windshear	0.021	3.758	0.04	6.398	0.741
$intrad_qvhomo$	0.03	0.015	0.327	0.029	0.064

3.3 Mean State Temperature and Humidity Profiles

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³⁷¹ Convective organization is known to significantly affect the atmospheric mean state ³⁷² (Wing & Cronin, 2016; Wing et al., 2017). Figure 4 shows the mean RCE temperature and ³⁷³ relative humidity (RH) profiles of the MCM simulations averaged over the final 20 days of the ³⁷⁴ CTRL runs. For temperature, we show the saturation equivalent potential temperature (θ_{es}) ³⁷⁵ as this is more informative and shows the differences between the profiles better. For a given ³⁷⁶ pressure there exists a unique and monotonic relationship between absolute temperature T ³⁷⁷ and θ_{es} .



Figure 4. (a–e) Saturation equivalent potential temperature (θ_{es}) and (f–j) relative humidity (RH) profiles at RCE of the five convection schemes for the eight experimental configurations in the MCM setup. Profiles of simulations with idealized radiation are shown in solid curves and interactive radiation in dashed curves.

More organized mean states are generally associated with a warmer and drier free tro-378 posphere, as expected (Bretherton et al., 2005; Muller & Held, 2012; Wing & Cronin, 2016). 379 For example, simulations with interactive radiation, which are generally more organized than 380 their corresponding simulations with the same scheme but prescribed radiation for the con-381 trol and SST hot spot simulations (idealrad_ctrl vs. intrad_ctrl, idealrad_hotspot vs. 382 intrad_hotspot), correspondingly display higher θ_{es} and lower RH values in the free tro-383 posphere (the blue and orange dashed curves are warmer and drier than the corresponding 384 solid curves in the individual panels of Figure 4). The warmer mean state when convection 385 is organized is consistent with the fact that the increase in boundary-layer moisture in the 386 convecting regions shifts the moist adiabat warmer there, and these warmer temperatures 387 are then propagated to the entire domain through gravity waves (Bretherton et al., 2005; 388 Muller & Held, 2012). The drier state caused by aggregation is brought on by the fact that 389 the subsidence regions are much drier than anywhere in the runs with disorganized convec-390 tion, reducing the mean (Wing & Cronin, 2016; Wing et al., 2017). However, we note that 391 a drier mean free troposphere is not always warmer in our simulations. The intrad_qvhomo 392 simulation for NSAS, for example, displays lower RH but a slightly cooler free troposphere 393 compared to its corresponding idealrad_qvhomo mean state (red solid and dashed curves 394 of c and h in Figure 4). We further note that simulations with more organization also often 395 exhibit strong temperature inversions near the cloud base level, which often coincide with 396 kinks in the RH profiles and most frequently in simulations with interactive radiation (e.g., 397 the orange and blue dashed curves for KFETA and NSAS; a, c, f and h in Figure 4). These 398 inversions are probably caused by the strong subsidence present in these highly organized 300 simulations. 400

For the SCM simulations, their mean-state temperature and humidity profiles are very 401 similar to those of their MCM counterparts in the cases where the MCM simulations are 402 disorganized. The SCM-MCM mean-state discrepancy appears to widen with increasing 403 organization in the MCM simulations. To illustrate this, we show the SCM-MCM profile differences for the all_org and all_disorg runs in Figure 5. The deviations between 405 the SCM and MCM mean state profiles are significantly more pronounced for the all_org 406 runs (all schemes are organized in MCM). Nevertheless, we note that the mean-state differ-407 ences between the schemes are still on average larger than the SCM-MCM deviations for the 408 all_org case. The MCM simulations are consistently drier in the free troposphere than their 409 corresponding SCMs, which is expected due to the general drying caused by aggregation 410 in the MCM. The temperature differences are also larger for the all_org case, albeit with 411 inconsistent signs in the free troposphere. The MCM simulations are cooler at the near sur-412 face levels, but higher up they can be warmer (KFETA and NSAS) or cooler (NTIEDTKE, 413 BMJ and CAMZM) than the SCMs. For the all_disorg simulations, the SCM and MCM 414 simulations display almost identical profiles, except for small differences in the upper tro-415 pospheric humidity for NSAS and BMJ. Overall, we can conclude that the organization 416 propensity of a scheme under specific experimental configuration can significantly impact 417 the comparability of its SCM and MCM mean state, with increasing organization associated 418 with growing discrepancy of equilibrium temperature and humidity profiles between its 1D 419 420 and 3D setups.



Figure 5. Difference of the (a–e) θ_{es} and (f–j) RH profiles between the corresponding SCM and MCM simulations for the five convection schemes. Red curves show the SCM-MCM differences of the all_org and blue curves the all_disorg simulations.

421 4 Response to Tendency Perturbations

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4.1 Comparison of SCM-MCM Perturbation Responses

In this section we present the results from the tendency perturbation experiments. 423 Recall that these are the profile responses to small, steady temperature or moisture tendency 424 perturbations as per the linear response function framework of Kuang (2010). For a detailed 425 description of the responses of the CRM used in Kuang (2010) and the five convection 426 schemes in this study in an SCM setup we refer readers to H21. Here, we only note that 427 many of the general trends of the CRM responses are observable in the SCM responses, 428 though there is disagreement on some of them among the SCMs, and the SCM responses are 429 consistently less smooth than the CRM's, often exhibiting sharp twists and kinks, especially 430 around the cloud base and freezing levels (H21). 431

We again find that the responses are very similar between the SCM and MCM when con-432 vection is organized in the MCM, but diverge with increasing degree of MCM organization. 433 This is true for all four perturbation quadrants: T response to dT/dt (T_DT), q response to 434 dT/dt (Q_DT), T response to dq/dt (T_DQ), and q response to dq/dt perturbations (Q_DQ). 435 To illustrate this we show the results for the all_org and all_disorg simulations (Figures 436 6 and 7 for dT/dt and dq/dt perturbation, respectively). For the all_disorg simulations 437 (blue curves), the profiles are quite similar between the SCM and MCM setups, at times 438 almost identical. By contrast, the SCM and MCM response profiles are often vastly different 439 in both shape and magnitude for the **all_org** simulations (red curves). This is most promi-440 nent for the schemes with the highest org_{pw} values (KFETA and BMJ) while the schemes 441 with relatively low org_{pw} values (NTIEDTKE and CAMZM) differ by less. Additionally, 442 the MCM responses often display massive kinks around the cloud base level that are sig-443 nificantly sharper than those of their SCM counterparts (dashed red curves in Figures 6 444 and 7). These MCM kinks almost always coincide with strong inversions in the mean state 445 temperature (described in previous section), which are also less pronounced in the SCM. 446 This shows that the RCE mean state can affect the linear responses, as postulated by H21. 447



Figure 6. Comparison of the profiles of (a–e, k–o) temperature and (f–j, p–t) moisture responses to temperature tendency perturbations for the (red) all_org and (blue) all_disorg simulations. Solid curves are SCM and dashed curves MCM responses.



Figure 7. As in Figure 6, but for responses to moisture tendency perturbations.

We quantify the effect of convective organization for all experimental configurations by 448 fitting a linear mixed-effects (lme) statistical model. The fixed effects (independent predic-449 tors) are the org_{pw} values and the mean state temperature and RH difference between the 450 SCM and MCM setups ($\Delta T_{\rm scm-mcm}$ and $\Delta RH_{\rm scm-mcm}$). The dependent variable is the devi-451 ation of the SCM-MCM linear responses (SCM-MCM-deviation). The random effects are the 452 five convection schemes and eight experimental configurations, which the *lme* model controls 453 for by taking into account the random variability due to individual differences between them. 454 We measure profile differences (SCM-MCM-deviation, $\Delta T_{\rm scm-mcm}$ and $\Delta RH_{\rm scm-mcm}$) by 455 using a simple root-mean-square deviation (RMSD) between the profiles, normalized by 456 their mean (NRMSD). We log-transformed org_{pw} and $\Delta RH_{scm-mcm}$ as initial scatter plots 457 revealed a nonlinear relationship between them and SCM-MCM-deviation. Results are pre-458 sented in Figure 8. We found a high correlation between org_{pw} and $\Delta RH_{scm-mcm}$ (r = 0.89; 459 Figure 8a), confirming an effect of convective organization on SCM-MCM mean state dif-460

ference as described in the previous section. Note that due to this high correlation it is inappropriate to use both org_{pw} and $\Delta RH_{scm-mcm}$ as independent predictors in the same *lme* model. We deem org_{pw} a more suitable predictor as a high RH difference between the SCM and MCM is likely a result of a highly aggregated MCM mean state. Our *lme* model confirms a strong effect of org_{pw} on SCM-MCM-deviation (p < 0.001; Figure 8b). Interestingly, we did not find an effect of $\Delta T_{scm-mcm}$ on SCM-MCM-deviation (p > 0.05). $\Delta T_{scm-mcm}$ is also only moderately correlated with org_{pw} (r = 0.5), indicating a stronger influence of convective organization on mean state humidity than on temperature.



Figure 8. Scatter plots of (a) $log(org_{pw})$ vs. $log(\Delta RH_{scm-mcm})$, (b) $log(org_{pw})$ vs. SCM-MCM-deviation. The black dashed line in (b) is the regression line from the fitted *lme* model after all fixed and random effects are controlled for.

The results in this section suggest that in idealized and homogeneous conditions where 469 convection is disorganized, the behavior of a 3D model in RCE is likely predictable from its 470 1D counterpart. However, when convection is organized, multiple factors that complicate 471 matters can come into play, and an SCM cannot automatically be expected to be able to 472 accurately capture the behavior of a more realistic 3D setup. The strong correlation between 473 the degree of organization in MCM and the SCM-MCM mean state RH difference shows that 474 the drier mean state associated with highly aggregated conditions leads to a larger disparity 475 between the humidity profiles of the two setups. These factors tend to then disrupt the 476 comparability of behavior between 1D and 3D models. 477

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4.2 SCM-MCM Relative Difference

So far we have shown that when convection is organized, the SCM and MCM responses 479 to small tendency perturbations tend to become dissimilar. However, one important question 480 remains about their relative comparability – can the relative difference between a pair of 481 schemes in SCM predict anything meaningful about the difference between them in their 482 corresponding MCM setup? Concretely, for the five convection schemes in our study—10 483 unique pairs of scheme combination—if the difference between a given pair of schemes (e.g., 484 KFETA-NTIEDTKE) is larger in an SCM setup compared to another pair (e.g., KFETA-485 NSAS), will it also be larger in an MCM setup? In addressing this question, we invoke an 486 approach akin to the "model-as-truth" concept (Herger et al., 2018), where we test whether 487 an SCM-based model evaluation (selecting the model physics that gives an SCM closest to 488 "truth") would also provide better MCM results, even though the SCM and MCM results 489 may not align perfectly, for example due to convective organization. 490

We use the response profiles of the all_org and all_disorg configurations for our analysis. In addition to the responses to perturbations at 850 hPa, we conducted an additional set of experiments where we applied perturbations at 650 hPa for the all_org and all_disorg configurations to obtain more data points and improve statistical confidence. We measure the difference between the response profiles of a pair of schemes using the NRMSD metric mentioned in the previous section, referred to as SCM-pair-difference and MCM-pair-difference for the SCM and MCM setups respectively.

For statistical analysis we again fit a *lme* model. The independent predictor is the SCM-pair-difference and the dependent variable the MCM-pair-difference. The random effects that we control for are the scheme pairs (10 combinations), perturbation levels (850 and 650 hPa), and the quadrants (T_DT, Q_DT, T_DQ and Q_DQ). We fit two separate *lme* models for the all_org and all_disorg configurations (lme_org and lme_disorg). Each model includes 80 data points (10 scheme combinations × 2 perturbation levels × 4 quadrants).



Figure 9. Scatter plots of SCM vs. MCM pair differences for the (a) all_org and (b) all_disorg simulations. The black dashed lines are the regression lines from the fitted *lme* models and the *p*-values for SCM-pair-difference are annotated in the top left corners. The 95% confidence intervals of the coefficient for SCM-pair-difference and the intercepts of the "quadrant" random effect are shown for (c) lme_org and (d) lme_disorg. Intervals that do not overlap zero are significant at a 95% confidence level.

505 506 Figure 9 shows a summary of the analysis. As expected, for lme_disorg there is a very high correlation between SCM-pair-difference and MCM-pair-difference (Figure 9b). There

is strong evidence of SCM-pair-difference as an effective predictor of MCM-pair-difference, 507 after taking into account the variations of the random effects (p < 0.001; Figure 9d, 95% 508 confidence interval of the coefficient for SCM-pair-difference does not overlap zero). The 509 random effects do not have a significant impact on the outcome (Figure 9d; only the effects 510 of "quadrant" are shown and not the other random effects as they all have zero intercepts). 511 This is consistent with our results so far that show high SCM-MCM similarity when convec-512 tion is disorganized. For lme_org, a faint linear relationship between SCM-pair-difference 513 and MCM-pair-difference can still be observed, albeit substantially weaker (Figure 9a). 514 The statistical analysis reveals there is evidence for using SCM-pair-difference to predict 515 MCM-pair-difference (p = 0.004; Figure 9c, 95% confidence interval of the coefficient does 516 not overlap zero). This implies that even when the responses do not match up perfectly 517 between the SCM and MCM setups (e.g., as a result of organization in MCM), two schemes 518 that are very different in a 1D setup can also be expected to be very different in a 3D 519 setup. However, for lme_org there is a non-negligible effect of the "quadrant" random effect 520 (Figure 9c, 95% confidence interval of the intercepts of the four quadrants do not always 521 overlap zero). Specifically, the Q_DQ quadrant has a strong impact on the outcome (i.e., 522 considerable variance of the outcome comes from this quadrant), which skews the relation-523 ship between the SCM and MCM pairs. The unpredictability of moisture responses again 524 points to the important role of moisture in convective organization: the interplay or feed-525 back between convection and moisture becomes more apparent and important in a 3D setup, 526 thereby weakening the predictive power of the corresponding SCM. 527

The results presented in this section extend the conclusions drawn in the previous 528 section and suggest that, even in a more realistic scenario (i.e., when convection is organized), 529 the SCM can still serve as a useful tool to investigate model physics. For example, we have 530 a reference profile (e.g., responses of a "good" convection scheme), and the relative distance 531 from this reference is a measure of the performance of a new convection scheme X. Our 532 results here imply that if the difference between this reference profile and the profile of 533 scheme X is large in an SCM setup (compared to an old scheme Y, for example), we can 534 expect a correspondingly large difference between them in an MCM setup (which might be 535 unavailable or impractical to calculate). However, this interpretation should be applied with 536 caution when it concerns moisture responses. 537

538 5 Response to Doubled-CO₂ Forcing

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5.1 Comparison of MCM-SCM Response to Doubled CO₂

In this section we compare the SCM and MCM responses to doubled-CO₂ forcing (2×CO₂), with interactive radiation. The response profiles are computed as the timeaveraged difference between the PerturbCO2 and CTRL experiments (described in Section 2.3). Surface temperature is held constant, so the response computed here is the CO₂ adjustment responses (Sherwood et al., 2015). Results are shown in Figure 10. The response profiles of temperature (**T**'), relative humidity (**RH**'), cloud fraction (**CLDFRA**') and radiative heating (**Q**'_{rad}) are shown.

Overall, the schemes respond quite differently in their SCM vs. MCM setups. The 547 tropospheric temperature increases in all SCMs, but not all MCMs (the KFETA run cools 548 in the free troposphere, Figure 10a). The net radiative heating rate responses (p-t in Fig-549 ure 10) are the atmospheric effective radiative forcing (AERF), which is analogous to the 550 top-of-atmosphere (TOA) effective radiative forcing (ERF) following Boucher et al. (2013). 551 The AERF shown here includes both the instantaneous (initial) atmospheric radiative forc-552 ing (ARF) to $2 \times CO_2$ forcing—generally a warming peaking in the lower troposphere and 553 cooling in the upper troposphere (dashed curves in p-t in Figure 10; see also Iacono et al., 554 2008)—and adjustments due to cloud and other responses (a–o in Figure 10). In most cases, 555 AERF and ARF are quite similar, indicating that the CO_2 adjustments alter the instanta-556 neous forcings by only a small, albeit non-negligible, amount. The same has been found in 557



Figure 10. Responses of the five convection schemes to doubled- CO_2 forcing for (a–e) temperature, (f–j) relative humidity, (k–o) cloud fraction, and (p–t) radiative heating. Light blue curves show the SCM responses and orange curves the MCM responses. Dashed curves in (p–t) show the instantaneous (initial) radiative forcing.

previous studies for TOA effective radiative forcing, or ERF (Vial et al., 2013; Zelinka et 558 al., 2013), but as far as we know, this is the first study that shows the vertical distribution 559 of this forcing in terms of AERF. The general trend of radiative forcing (heating increases 560 in lower- to mid-troposphere and decreases in the upper troposphere) can be observed in 561 both SCM and MCM setups, but frequently with different forcing shapes. Sharp responses 562 in clouds (**CLDFRA**') and **RH**' are often observed around the cloud base and freezing 563 levels, albeit sometimes with inconsistent signs between the SCM and MCM setups (f-o in 564 Figure 10). Notably, the MCMs tend to exhibit larger spikes compared to SCMs with the 565 same scheme, in particular around the cloud base level in their T' and RH' (orange curves, 566 a-j in Figure 10). These spikes are more noticeable for schemes that produce higher org_{pw} 567 values in the intrad_ctrl configuration (KFETA, NSAS and BMJ in Figure 3e) and are 568 probably related to temperature inversions. 569

Nevertheless, similarities can sometimes be observed between the SCMs and MCMs, 570 particularly for models that are more disorganized in their MCM setup. Specifically, 571 NTIEDTKE and CAMZM display relatively homogeneous convective organization patterns 572 in the intrad_ctrl configuration (Figure 3e), and their $2 \times CO_2$ response profiles are also 573 comparatively more similar between SCM and MCM. For NTIEDTKE—which produces the 574 most disorganized convection amongst the schemes in intrad_ctrl—the general shape and 575 magnitude of **T**' and **RH**' are comparable between SCM and MCM (b and g in Figure 10). 576 As for **CLDFRA'**, substantial low cloud changes tend to occur in the same direction and 577 altitude in SCM and MCM, albeit with different magnitudes (Figure 10). Some discrep-578 ancies are observed in the boundary layer heating $(\mathbf{Q'}_{rad})$, but the profiles are remarkably 579 similar in the mid-troposphere (Figure 10q). For CAMZM—which is slightly more orga-580 nized than NTIEDTKE—similar shapes and magnitudes are observed in both setups for 581 \mathbf{T} ' and to a lesser extent \mathbf{RH} ', but with the kinks around the cloud base level trending in 582 opposite directions (e and j in Figure 10), again probably due to temperature inversion in 583 the MCM. Changes in cloud fraction are quite similar, especially in the mid-troposphere, 584 with the spike around the freezing level (600 hPa) captured in both SCM and MCM (Figure 585 100). The radiative heating responses are remarkably similar, with the big spike around 586 the freezing level again represented in both setups, albeit with slightly different magnitudes 587 (Figure 10t). By contrast, big disparities between the SCM and MCM setups are observed 588 in the adjustment responses of the three other schemes, which are considerably more orga-589 nized in their MCM setups. Slight resemblance can sometimes be observed in the shapes of 590 their **T**', but not in magnitudes (NSAS and BMJ, c and d in Figure 10). 591

Using a different forcing scenario, this section again highlights the role of convective 592 organization in influencing SCM-MCM comparability. We find that the higher the aggrega-593 tion proclivity of a scheme, the less reliable its corresponding SCM results, although certain 594 important features are sometimes preserved, e.g., general trend in radiative forcing and 595 spikes in low cloud changes, which are noticeable in the SCM and accentuated in the MCM 596 setup. Overall, we show the potential usefulness of SCMs in climate change research by 597 demonstrating that in an idealized, relatively homogeneous scenario, an SCM can predict 598 how a 3D model would respond to a doubling of CO_2 in the atmosphere. 599

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5.2 Predicting Response to Doubled CO_2 with M^{-1}

In this section we test the feasibility of using the LRF method to predict model adjustment responses to doubled-CO₂ forcing, using the experiments described in Section 2.3. The aim is to verify the usefulness of the LRF method in a climate change scenario and explore the potential implications for SCM-MCM comparability.

Recall that in the LRF framework (Equation 2), the matrices M^{-1} show the model re-605 sponses per unit perturbation, e.g., $[K/(W m^{-2})]$ for temperature and $[(g kg^{-1})/(W m^{-2})]$ 606 for moisture responses. Figure 11 shows the M^{-1} matrices of the five convection schemes in 607 the SCM setup for the intrad_ctrl configuration. The x-axis is the perturbation level and 608 y-axis the response level, so each column is the response profile per unit perturbation at a 609 given level, and each row is the response at a given level as a function of where the pertur-610 bation is applied. Generally, the SCMs exhibit stronger responses near the perturbations 611 (diagonal of the matrices), except for the BMJ scheme, which displays uniform responses 612 across a broad range of perturbation levels (d and i in Figure 11). The non-local responses 613 (off-diagonal) vary greatly across the five schemes, with the biggest disparities observed in 614 the boundary layer. Negative responses are sometimes observed (e.g., for NSAS, c and h in 615 Figure 11). Discontinuities in responses (horizontal stripes) are found consistently around 616 the cloud base level and sometimes also the freezing level (BMJ and CAMZM). 617

Note that the M^{-1} shown here are equivalent to those shown in Figures 4 and 5 of H21, except that here the SCMs have interactive radiation whereas in H21 radiation was fixed. Most of the above features are similar to those noted by H21, indicating that many peculiarities of convection schemes are preserved when radiation is interactive, but not all.

We note that a detailed investigation into the matrices of the individual schemes is beyond

the scope of this study. Our intention is to see whether these LRF matrices can help predict

 CO_2 adjustment responses.



Figure 11. M⁻¹ matrices of the (a–e) temperature and (f–j) moisture responses to heating perturbations for the five convection schemes in an SCM setup.

As described in Section 2.3, we compute the LRF-predicted responses of the five schemes 625 to doubled-CO₂ forcing by multiplying their M^{-1} matrices by their respective 2×CO₂ in-626 stantaneous radiative forcing vector (dashed light blue curves in p-t in Figure 10). This 627 is a vector of heating and moistening, with the heating set equal to the radiative forcing 628 and the moistening set to zero. Figure 12 shows a comparison of the model-simulated and 629 LRF-predicted temperature and RH responses with the five schemes. Overall, the simu-630 lated and predicted profiles are strikingly similar, except for the BMJ scheme. Notably, 631 the kinks around the cloud base level—for CAMZM also the freezing level—are reproduced 632 in the predicted profiles. For BMJ, the predicted responses depart considerably from the 633 simulation (d and i in Figure 12). The kinks around the cloud base and freezing levels are 634 predicted, but often in opposite directions as in the simulated profiles. The BMJ simulated 635 responses show a marked discontinuity around the freezing level (~ 600 hPa), which might 636 reflect threshold-related mechanisms embedded in the scheme to demarcate between shal-637 low and deep convection. This discontinuity is somewhat reproduced by the LRF-predicted 638 responses, albeit with opposite trend above the freezing level for the temperature response. 639 It is unclear what is the source of this discrepancy for BMJ. One potential explanation 640 could be that a switch-like mechanism around the freezing level causes nonlinearity that 641 disrupts its linearized behavior, leading to diverging responses between the simulation and 642 prediction. 643

To further test if the M^{-1} matrices constructed in an SCM setup can be used to predict the MCM responses to doubled CO_2 , we compared the simulated temperature and RH responses in MCM to the ones predicted by multiplying the SCM matrices with the MCM radiative forcing vector (dashed orange curves in p-t in Figure 10). Results (not shown) show that simulated and predicted profiles differ considerably for the schemes that are highly organized in MCM, as expected, whereas for the relatively disorganized schemes they are comparable.



Figure 12. Comparison of (solid) model-simulated and (dot-dashed) LRF-predicted (a–e) temperature and (f–j) relative humidity responses to doubled-CO₂ forcing for the five convection schemes.

Our results confirm the relevance of the LRF framework in predicting model adjustment 651 responses in a climate change scenario, thus substantiating our decision to use it as one of 652 the tests in this study. We did not run the matrix simulations in the MCM setup due 653 to resource constraints. However, our findings suggest that, under relatively homogeneous 654 conditions, these expensive matrix simulations need only be run in an SCM setup, and the 655 results can be used to predict the responses to various forcing scenarios in a more realistic 656 3D setup with relative accuracy. For organized convection, on the other hand, this might 657 not be the case. We have shown that the linear responses diverge between the SCM and 658 MCM when convection is organized. In such cases, matrices constructed using an SCM 659 cannot be reliably used to predict a model's responses in a 3D scenario. It may be possible 660 with further effort to find ways of better predicting 3D results using SCM linear responses, 661 for example by adding a parameterization of a larger-scale environment (see Brenowitz et 662 al., 2020) or by applying some forcing in the SCM, but this is deferred to future work. 663

664 6 Conclusions

The main objective of this paper is to investigate the relevance of single-column models 665 (SCMs) in a radiative-convective equilibrium (RCE) setting for testing model physics and/or 666 predicting model responses in a more realistic 3D setup, termed here "comparability". We 667 also explore the influence of convection schemes on convective organization and the role 668 of convective organization in the aforementioned comparability. We use a 20×20 multi-669 column model (MCM) configuration with periodic boundary conditions and model columns 670 matching the SCM as a stepping stone to a 3D setup, testing five widely-used convection 671 schemes. We examine the behavior of both model setups by probing their responses to small 672 tendency perturbations following the linear response function (LRF) framework of Kuang 673

 674 (2010), as well as their adjustment responses to doubled-CO₂ forcing. Four main conclusions 675 can be drawn from our results:

- 1. Convection schemes strongly influence convective organization, comparably to other factors known to organize convection such as interactive radiation.
 - 2. Convective organization in turn has a strong impact on SCM-MCM comparability, with more organization associated with less comparability.

3. When convection is organized, differences in linear responses between schemes are

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- nonetheless largely preserved between the SCM and MCM, albeit less so for moisture than for temperature responses.
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 - 4. The LRF matrix of the SCMs can be used to predict their adjustment responses to doubled CO₂, suggesting practical applications of the LRF method.

Regarding conclusion (1), we find that even when a fixed horizontally homogeneous ra-685 diative cooling profile is imposed—thus denying the longwave radiative feedback which has 686 been found to be key to aggregation in numerous studies—two schemes (NSAS and BMJ) 687 still produce organization. In the same vein, two schemes (NTIEDTKE and CAMZM) pro-688 duce disorganized convection even with interactive radiation, perhaps because the limited domain size inhibits large-scale circulations. Vertical wind shear is found to have opposite 690 effects depending on scheme: it homogenizes convection with KFETA and NSAS, but causes 691 convection with NTIEDTKE and BMJ to become more organized, indicating that much is 692 left to be understood about the effect of wind shear on aggregation, echoing the review by 693 Wing et al. (2017). To obtain disorganized convection across all schemes required deploying 694 horizontal moisture homogenization and fixing radiation, while to consistently obtain orga-695 nized convection required interactive radiation and an imposed SST hot spot. Our results 696 thus show that for models that do not resolve convection explicitly, convection schemes ap-697 pear to have a bigger impact on aggregation than factors commonly accepted to organize 698 convection (e.g., radiative feedback). It is difficult to untangle the root causes of these dif-699 ferences, as a range of processes can affect aggregation; explaining the variations in behavior 700 identified here requires extensive sensitivity tests and probably intimate understanding of 701 the schemes, which is beyond the scope of this study. 702

Convective organization has been shown to impact extreme precipitation (Bao et al., 2017; Pendergrass et al., 2016) and tropical cyclones (Muller & Romps, 2018). Hence its proper representation in models is important, and our results show that there are still considerable uncertainties associated with it arising from parameterizations (see also Bador et al., 2018). Past studies have found that organization in global atmospheric models can be model-dependent (Wing et al., 2017; Wing, 2019; Wing et al., 2020), but we believe this is the first study to show this can be specifically attributed to convection schemes.

Regarding conclusion (2), SCM-MCM comparability is strongly influenced by the or-710 ganization seen in the MCM: the more organized it is, the larger the divergence between 711 SCM and MCM behavior as measured by their mean states, linear responses to small ten-712 dency perturbations and adjustments to doubled- CO_2 forcing. On the other hand, when 713 convection is disorganized in the MCM, these measures are very similar (at times indistin-714 guishable). Consistent with previous studies, we find that a more aggregated state is drier 715 and frequently also warmer. This leads to a larger difference in the mean state profiles 716 between the SCM and MCM setups and hence also their linear responses, as these responses 717 are correlated with model mean state, as shown in Hwong et al. (2021). Schemes that 718 are relatively more disorganized in their MCM setup also display more similar adjustment 719 responses to doubled-CO₂ forcing. This has important implications for the understanding 720 of climate change, as SCMs are sometimes used to examine climate sensitivity (e.g., Kluft 721 et al., 2019; Wing et al., 2020). Our findings on the influence of convective organization 722 on SCM-MCM comparability have important implications for the use of SCM in an RCE 723 setting. The lack of dynamical feedback in SCMs may cause them to behave differently 724 from their corresponding full GCMs if interaction between model physics and large-scale 725

circulation is important, which is the case when convection is organized (Bretherton et al.,
 2005; Muller & Bony, 2015). Our findings suggest that when convection is organized, studies
 drawing conclusions from SCM experimentes need to be interpreted with prudence.

Regarding (3), it could be argued that for an SCM test to be relevant to realistic models, 729 if it yields similar results in an SCM with two different physics packages, then a similar test 730 in the two corresponding MCMs should also produce relatively similar results (whether we 731 can predict them or not). Our results (measuring similarity by a simple RMS distance 732 metric) confirm this to a certain extent: in an organized state, a pair of schemes (e.g., 733 KFETA-NTIEDTKE) that produces more similar (but not necessarily identical) behavior 734 in the SCM also does so in the MCM, compared to other pairs of schemes that are less similar 735 in the SCM. As such, SCMs can be a useful tool in the development of parameterizations 736 when we have an SCM verification standard: if a scheme produces behavior closer to the 737 standard in an SCM setup, it will probably also perform better in a 3D setup. However, a few 738 caveats must be noted. In contrast to disorganized cases, notable features of the responses 739 are usually not preserved between the SCM and MCM when convection is organized, even 740 though their averaged distances are similar. Hence, if these features (e.g., kinks around cloud 741 base level) are important, the SCM is less useful. Further, our conclusion is less reliable 742 when it concerns moisture responses. These responses tend to trend in more unpredictable 743 directions between the SCM and MCM setups, probably because they are more affected by 744 convective organization. 745

Regarding the final conclusion, we tested the practical value of the LRF approach by 746 examining whether it could predict the SCM adjustments responses to a doubling of CO_2 747 in the atmosphere. The answer is yes, except for the BMJ scheme whose matrix predicts 748 adequate humidity but inaccurate temperature response, particularly above the freezing 749 level. For the other schemes, prominent features are reproduced, such as spikes around 750 the cloud base and freezing levels. Since the radiative forcing caused by different climate 751 change agents can be estimated from radiative transfer calculations (Clough & Iacono, 1995; 752 Collins et al., 2006), our results imply that the adjustment responses of different schemes 753 to these agents can be compared by simple linear algebra calculations using their LRF 754 matrices, without having to import different schemes into the same host model. This could 755 potentially be helpful in climate change research, where parameterizations are a major 756 contributor to intermodel spread in climate sensitivity predictions (e.g., Geoffroy et al., 757 2017; Ringer et al., 2014; Sherwood et al., 2014; Webb et al., 2013). Moreover, combined 758 with conclusion (2), our results suggest that when convection is disorganized in MCM (hence 759 high SCM-MCM comparability), the LRF matrices can be constructed using SCMs, thereby 760 drastically reducing the computing overhead, yet still ensuring adequate representation of 761 the parameterization behavior in a 3D setting. 762

This study represents only a first step in exploring the extent to which atmospheric 763 behavior in a small isolated column can be used to learn anything about the broader atmo-764 sphere. There is a long tradition of attempting this to better understand climate and to test 765 process models, but little systematic exploration of behavior comparability. We acknowledge 766 that our MCM setup is still relatively idealized, and may not be sufficiently representative 767 of a realistic 3D RCE scenario. Additionally, the domain size $(2000 \times 2000 \text{ km})$ might not 768 be large enough to allow more realistic circulations to form, potentially impeding certain 769 feedback mechanisms. Also, we have only explored a limited set of tests and measures of 770 success. The conclusions drawn here thus deserve further investigation using more realistic 771 setups and other tests. Apart from convective organization, there may be other factors that 772 contribute to the comparability of results in 1D vs. 3D setups. For results obtained in SCM 773 in RCE to be more convincing, more research is needed to explore these factors so that they 774 can be properly controlled for. 775

776 Acknowledgments

TTT The authors thank Isaac Held, Tim Raupach, Maxime Colin and Abhnil Prasad for use-

ful discussions. The authors were supported by the Australian Research Council (grant
FL150100035). The authors would also like to thank the Australian Research Council Centre of Excellence for Climate Extremes (CLEX) and the Australian National Computational
Infrastructure (NCI) for providing computing facility and technical support to this project.

The data and scripts required to reproduce the results described in this paper are available at: https://zenodo.org/record/5225430 (DOI: 10.5281/zenodo.5225430)

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