# Tectonic inheritance during plate boundary evolution in southern California constrained from seismic anisotropy

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November 24, 2022

#### Abstract

The style of convective force transmission to plates and strain-localization within and underneath plate boundaries remain debated. To address some of the related issues, we analyze a range of deformation indicators in southern California from the surface to the asthenosphere. Present-day surface strain rates can be inferred from geodesy. At seismogenic crustal depths, stress can be inferred from focal mechanisms and splitting of shear waves from local earthquakes via crack-dependent seismic velocities. At larger depths, constraints on rock fabrics are obtained from receiver function anisotropy, Pn and P tomography, surface wave tomography, and splitting of SKS and other teleseismic core phases. We construct a synthesis of deformation-related observations focusing on quantitative comparisons of deformation style. We find consistency with roughly N-S compression and E-W extension near the surface and in the asthenospheric mantle. However, all lithospheric anisotropy indicators show deviations from this pattern. Pn fast axes and dipping foliations from receiver functions are fault-parallel with no localization to fault traces and match post-Farallon block rotations in the Western Transverse Ranges. Local shear wave splitting inferences deviate from the stress orientations inferred from focal mechanisms in significant portions of the area. We interpret these observations as an indication that lithospheric fabric, developed during Farallon subduction and subsequent extension, has not been completely reset by present-day transform motion and may influence the current deformation behavior. This provides a new perspective on the timescales of deformation memory and lithosphere-asthenosphere interactions.

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## 15 Key Points:

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16	•	Seismicity, geodesy, and seismic anisotropy provide depth-dependent deformation
17		markers for southern California crust and mantle
18	•	Surface velocity gradients, coseismic strain, and SKS splitting are all broadly con-

- Surface velocity gradients, coseismic strain, and SKS splitting are all broadly co sistent with N-S compression/E-W extension
- Shallow splitting, receiver functions, P, and  $P_n$  suggest fabric inherited from past tectonic episodes dominates in the lithosphere

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#### 22 Abstract

The style of convective force transmission to plates and strain-localization within and 23 underneath plate boundaries remain debated. To address some of the related issues, we 24 analyze a range of deformation indicators in southern California from the surface to the 25 asthenosphere. Present-day surface strain rates can be inferred from geodesy. At seis-26 mogenic crustal depths, stress can be inferred from focal mechanisms and splitting of shear 27 waves from local earthquakes via crack-dependent seismic velocities. At larger depths, 28 constraints on rock fabrics are obtained from receiver function anisotropy,  $P_n$  and P to-29 mography, surface wave tomography, and splitting of SKS and other teleseismic core phases. 30 We construct a synthesis of deformation-related observations focusing on quantitative 31 comparisons of deformation style. We find consistency with roughly N-S compression and 32 E-W extension near the surface and in the asthenospheric mantle. However, all litho-33 spheric anisotropy indicators show deviations from this pattern.  $P_n$  fast axes and dip-34 ping foliations from receiver functions are fault-parallel with no localization to fault traces 35 and match post-Farallon block rotations in the Western Transverse Ranges. Local shear 36 wave splitting inferences deviate from the stress orientations inferred from focal mech-37 anisms in significant portions of the area. We interpret these observations as an indica-38 tion that lithospheric fabric, developed during Farallon subduction and subsequent ex-39 tension, has not been completely reset by present-day transform motion and may influ-40 ence the current deformation behavior. This provides a new perspective on the timescales 41 of deformation memory and lithosphere-asthenosphere interactions. 42

#### <sup>43</sup> Plain Language Summary

While structural geologists can interpret orientations of rock fabric in exposures 44 at the surface to make inferences on how the rock deformed in the past, geophysical mea-45 surements usually only offer snapshots of present-day conditions below the surface. An 46 exception is offered by several geophysical methods that allow measurements of rock fab-47 ric below the surface. Such measurements are sensitive to different depths in the Earth's 48 crust and mantle. We combine existing measurements from southern California to test 49 how deep rock fabric compares to what we can measure in terms of present-day surface 50 deformation. While the region is currently under strike-slip deformation, with the Pa-51 cific plate sliding horizontally northwestward relative to the North American plate along 52 the San Andreas fault, markers of deformation at depth do not consistently line up with 53 the present day motion. We infer that deep rock fabric has an imprinted memory from 54 past deformation episodes where the region underwent compression in a subduction zone 55 and extension in subsequent episodes. This deep rock memory persists to this date and 56 may influence how the region deforms currently. 57

### 58 1 Introduction

Southern California hosts one of the world's best-instrumented and most thoroughly 59 studied transform plate boundaries, yet questions as to how surface deformation tran-60 sitions to convective flow and deformation at depth remain. Present-day transform mo-61 tion is accommodated on the San Andreas Fault (SAF) (Fig. 1) and the major faults strands 62 to the west, such as the San Jacinto Fault and offshore faults of the Continental Bor-63 derland; as well as to the east within the Eastern California shear zone (Fig. 1) (e.g. Ben-64 nett et al., 1996; Bourne et al., 1998; Meade & Hager, 2005; Becker et al., 2005; Chuang 65 & Johnson, 2011; Y. Zeng & Shen, 2016, 2017; E. H. Hearn, 2019). The SAF itself forms 66 a large-scale restraining bend in southern California such that many active faults are range-67 bounding thrusts and/or oblique-slip faults with potentially complex geometries and intersections at depth (e.g. Yule & Sieh, 2003; Matti et al., 1993, 1992). The tectonic his-69 tory of the region encompasses long-lived Mesozoic subduction, followed by extensional 70 and transform regimes (Atwater, 1970). Subduction was accompanied by vigorous arc 71



Figure 1. Map of the study area with shaded topography and elements referred to in text (larger context shown in inset with main map area outline drawn). Black lines are faults from the SCEC Community Fault Model (CFM) version 5.3 (Plesch et al., 2007). SN, Sierra Nevada; WSNF, western Sierra Nevada foothills; SAF, San Andreas Fault; KCF, Kern Canyon Fault; WWF, White Wolf Fault; CR, Coast Ranges; WTR, Western Transverse Ranges; GF, Garlock Fault; ECSZ, Eastern California shear zone; SBM, San Bernardino Mountains, which form part of the ETR, Eastern Transverse Ranges; SJF, San Jacinto Fault; PR, Peninsular Ranges.

magmatism and emplacement of batholiths that now compose the Sierra Nevada and Peninsular Ranges (Fig. 1). These appear to behave as rigid blocks within the present-day kinematic reference frame, but nonetheless preserve Mesozoic magmatic fabrics and fossil ductile shear zones that may influence interpretations of geophysical images. Some subregions underwent significant rotation in addition to translation following the Mesozoic,
notably an up to 110° rotation of the Western Transverse Ranges block (Nicholson et al., 1994; Atwater & Stock, 1998; McQuarrie & Wernicke, 2005).

Given the complex tectonic history of the region, it remains a challenge to link dif-79 ferent types of geophysical datasets and images to aspects of the present-day and/or long-80 term deformation regimes. In particular, there are several open questions, including: a) 81 Do crustal and lithospheric mantle fabric reflect the present-day stress state? What is 82 the timescale for resetting lithospheric rock fabric? b) Is strain localized on currently ac-83 tive faults and shear zones, and if so, to what distance and depth? Does strain localiza-84 tion cross the brittle-plastic transition? Is lithospheric fabric reset below this transition? 85 Do fault communicate laterally below the brittle-plastic transition? c) How do astheno-86 spheric processes relate to surface stress? If deep processes drive near-surface stress state, 87 is lithospheric fabric reset to match both in response? 88

Here we attempt to address some of these questions through synthesizing a wide range of geophysical datasets that provide information about fabric and stress state in



**Figure 2.** Perspective view of the study area with elevation and topography (surface color) and fault traces. Vertical dimension shows conceptual sketch of observables (blue) and their depth sensitivities (arrows) as well as presumed mechanisms (italic script) that may dominate azimuthally varying observations in different depth layers (color).

southern California, from the surface through the asthenosphere. There have been a num-91 ber of studies comparing some of the relevant data sets to address these issues with each 92 other already, in particular SKS splitting and focal mechanisms to GNSS velocities to 93 infer shallow stress (Polet & Kanamori, 2002; Chamberlain et al., 2014; Yang & Hauksson, 2013) as well as surface wave results (Kosarian et al., 2011), but a comprehensive 95 analysis across all accessible depth ranges as presented here seems to be missing. In par-96 ticular, we seek to gain resolution in the lithosphere via inclusion of additional data from 97 receiver functions and P and  $P_n$  tomography. We begin by providing an overview of the 98 geophysical data sets that can be used as stress and strain markers at different crust and 99 mantle depths (Fig. 2) and previous results from the literature. We then describe our 100 methods and discuss the results of cross-comparisons in light of the tectonic questions 101 listed above. 102

# 2 Overview of geophysical datasets that can be used as stress and strain markers in southern California

Geodetic constraints. Present-day surface deformation on decadal scales can be characterized by geodetic constraints (e.g. Haines & Holt, 1993; Flesch et al., 2000; Kreemer et al., 2000; Wei et al., 2010), and gradients of GNSS velocities, for example, yield estimates of the horizontal strain rate tensor at the surface. Such inferences often differ greatly in amplitude, in large part due to choices of interpolation and if and how fault localization is included (e.g. Haines et al., 2015; Sandwell & Wessel, 2016). Strain rates are also affected by co- and postseismic effects, leading to possible regional temporal bias (e.g. Hetland & Hager, 2006; Chuang & Johnson, 2011; E. H. Hearn et al., 2013). Despite the uncertainties, however, the broad, ~ 50 km length scale patterns of geodetically inferred surface strain rates for our study region are fairly consistent among models (e.g. Sandwell et al., 2009; Kreemer et al., 2014) and we use the SCEC Community
Geodetic Model V1 for geodetic strain-rates (Sandwell et al., 2016) in the following. This
model consists of an average of a range of GPS-based estimates, but preserves spatial
variations on scales similar to those imaged from seismicity, discussed next.

Focal mechanisms or moment tensors. At seismogenic depths, recent and ongo-119 ing deformation can be inferred from focal mechanisms or moment tensors. These pro-120 vide direct constraints on co-seismic strain only, and compressional stress orientations 121 can in theory lie anywhere within the compressional quadrant of a single mechanism (McKenzie, 122 1969). There are a range of approaches to convert a set of mechanisms to a deformation 123 tensor; for example relatively straightforward Kostrov (1974) summation for strain(-rates), 124 or normalized stress-tensor inversions that often assume the direction of slip on the rup-125 ture plane is parallel to the orientation of the maximum shear stress (e.g. Michael, 1984). 126 We know that stress inversions are affected by structural heterogeneity (e.g. Gephart & 127 Forsyth, 1984; Pollard et al., 1993; Townend & Zoback, 2004; Hardebeck & Michael, 2004) 128 and different approaches yield different estimates on length scales  $\leq 10$  km (e.g. Abol-129 fathian et al., 2020). However, Kostrov summed estimates of strain broadly agree with 130 smoothed stress inversions on longer wavelengths (Hardebeck & Michael, 2006; Becker 131 et al., 2005). We therefore use the stress inversion of Yang and Hauksson (2013), which 132 is of the smoothed Hardebeck and Michael (2006) type and has been adopted as a work-133 ing model for crustal stress by SCEC. In the comprehensive comparison, we also use our 134 own Kostrov summation of focal mechanisms (Yang et al., 2012), as used by Yang and 135 Hauksson (2013) with a temporally more limited catalog, and simple binning with  $0.25^{\circ}$ 136 boxes. Given the comparison with seismic anisotropy below, we here focus on the hor-137 izontal, orientational quantities that can be derived from strain (rate) or stress tensors, 138 i.e. the major compressional or extensional axes of the principal component system, and 139 assume temporal stationarity. 140

Local event shear-wave splitting. A more indirect measure of the shallow crustal 141 stress is given by splitting of shear waves from local earthquakes, arriving on steep paths 142 to stations above. The shear wave component polarized parallel to cracks will propagate 143 faster than its orthogonal counterpart; since stress will control crack closure and forma-144 tion, this leads one to expect fast polarization orientations that match the compressive 145 stress orientation (e.g. Crampin & Chastin, 2003). The most recent complete analysis 146 of local splitting in the study region is by Z. Li and Peng (2017) who note that this ex-147 pected relationship breaks down over significant portions of the region, including in the 148 vicinity of major strike-slip faults. Comparison of local shear wave splitting with stress 149 inferences from boreholes indicates that complexities are expected, for example because 150 of shallow fabric rather than crack-stress control (Boness & Zoback, 2006). There are 151 other, more direct indicators of crustal stress from boreholes, but those are not available 152 widely enough, nor are they sampling on the appropriate scales for our study (Persaud 153 et al., 2020; Luttrell & Hardebeck, 2021). 154

*Receiver Functions.* Receiver function arrivals show strong variations in arrival 155 amplitude and polarity with backazimuth in our study region, and arrivals with a  $360^{\circ}$ 156 dependence with backazimuth (first azimuthal harmonic) can be interpreted as conver-157 sions from contrasts in crustal seismic anisotropy with a plunging symmetry axis, such 158 as dipping foliation (Porter et al., 2011; Schulte-Pelkum, Ross, et al., 2020; Brownlee et 159 al., 2017). Unlike splitting methods such as local event shear wave, SKS, or receiver func-160 tion Moho P-to-S conversion (Ps) splitting analyses, this method is not a cumulative 161 measurement of shear wave anisotropy and instead is sensitive to changes in P anisotropy 162 (Levin & Park, 1998; Bianchi et al., 2010; Park & Levin, 2016). It therefore allows re-163 solving the depth of anisotropic contrasts and is sensitive to shear zones with thicknesses 164

 $\geq 2 \text{ km}$  (Schulte-Pelkum & Mahan, 2014b, 2014a; Liu & Park, 2017). Azimuthally vary-165 ing receiver function conversions have higher amplitudes and therefore better sensitiv-166 ity for plunging axis symmetry, e.g. dipping foliation, compared to a horizontal symme-167 try axes or purely azimuthal anisotropy (Schulte-Pelkum & Mahan, 2014b; Park & Levin, 168 2016; Brownlee et al., 2017). Studies modeling observed receiver function arrivals in the 169 southern and central California region find plunging symmetry axes in the crust, includ-170 ing at middle and lower crustal depths, with foliations paralleling major faults (Audet, 171 2015) or perpendicular to Farallon convergence (Porter et al., 2011). A simpler method 172 that determines foliation strike without waveform modeling (Schulte-Pelkum & Mahan, 173 2014b, 2014a) shows surface fault-parallel strikes with a pervasive lithospheric fabric that 174 was interpreted as tectonic inheritance from previous compressional and extensional episodes 175 (Schulte-Pelkum, Ross, et al., 2020). 176

Regional phase  $P_n$  and teleseismic P wave tomography The regional seismic phase 177  $P_n$  propagates horizontally as a headwave in the uppermost mantle immediately beneath 178 the Moho. Azimuthal variations in its propagation speed are used to measure horizon-179 tal axis seismic anisotropy (T. M. Hearn, 1996; G. P. Smith & Ekström, 1999; Buehler 180 & Shearer, 2010, 2012, 2014, 2017), reflecting lithospheric mantle fabric. Studies either 181 solve for isotropic and anisotropic structure using tomography (T. M. Hearn, 1996; Buehler 182 & Shearer, 2010, 2014, 2017) or analyze localized azimuthal variations using station sub-183 sets (G. P. Smith & Ekström, 1999; Buehler & Shearer, 2012). The regional fast axes 184 are broadly subparallel to the strike of the SAF, albeit with geographic variations. Joint 185 tomography of local and teleseismic P arrival times can also be used to infer seismic anisotropy 186 (e.g. Bokelmann, 2002), though it is subject to trade-offs between isotropic and anisotropic 187 structure. Consistent with the  $P_n$  results, a recent, regional anisotropic P wave tomog-188 raphy model shows broadly SAF-parallel fast orientations at lithospheric depths (Yu & 189 Zhao, 2018). 190

Surface wave tomography. Surface wave tomographic studies in the region resolve 191 isotropic heterogeneity as well as radial anisotropy, with anomalous regions of negative 192 radial anisotropy bordered by the SAF (K. Wang et al., 2020). Continent-wide azimuthal 193 anisotropy studies using surface waves show fast orientations somewhat similar to SKS 194 in the upper mantle and variable anisotropy in the crust (Lin et al., 2011). Regional-scale 195 studies find evidence of azimuthal anisotropy in phase velocities in the area (Qiu et al., 196 2019), though not in some more localized studies such as for the Parkfield area (X. Zeng 197 & Thurber, 2019). A surface wave inversion for 3-D azimuthal anisotropy at regional res-198 olution is not available to date. 199

SKS splitting. In addition to local event shear wave splitting, there are a mul-200 titude of studies that target splitting of much lower-frequency teleseismic core phases 201 such as SKS (Savage & Silver, 1993). Similar to the case of local event splitting, the tele-202 seismic splitting time delay and fast axis orientation are integrated nonlinearly (Silver 203 & Savage, 1994; Silver & Long, 2011; Kaviani et al., 2011) over the entire raypath, in 204 this case from the core-mantle boundary to the station. The signal is typically interpreted 205 as being dominated by the asthenospheric mantle, with some contribution from the litho-206 sphere and SAF shear (e.g. Savage et al., 2004) and small to negligible influence of the 207 crust (Silver, 1996). While the stress field has nevertheless been invoked to explain SKS208 splitting in our study region (Polet & Kanamori, 2002), a more common explanation is 209 large-scale mantle flow and asthenospheric convection leading to lattice preferred orien-210 tation olivine fabrics (e.g. Savage & Sheehan, 2000; Silver & Holt, 2002; Becker et al., 211 2006; Bonnin et al., 2010; Ramsay et al., 2016; Zhou et al., 2018). Alignment with shear 212 as expected from absolute plate motions does not match the observed orientations well 213 (Silver & Holt, 2002; Bonnin et al., 2010), but modeling of plate motion and density-driven 214 mantle flow, without any deep shear localization at the plate boundary, captures SKS215 patterns on scales of  $\gtrsim 200$  km (Becker et al., 2006). Nonetheless, small-scale variations 216 in teleseismic splitting across the SAF are observed (Özalaybey & Savage, 1995; Savage 217

et al., 2004; Jiang et al., 2018), and the role of the SAF in affecting *SKS* splitting remains debated (e.g. Savage et al., 2004; Bonnin et al., 2010). Depth-dependent splitting studies show SAF-parallel fast orientations in the lithosphere on the northern part of the study area (north of the Garlock fault; Fig. 1), although solutions may be ambiguous (Monteiller & Chevrot, 2011; Hartog & Schwartz, 2001).

In the following, we synthesize these geodetic, geological, and seismological deformation and stress and strain markers by quantitative comparisons of their orientations across the region.

#### 226 3 Methods

We select published oriented data sets and compilations. Pairs of data sets are com-227 pared as shown in Fig. 3 as an example. Orientations of collocated pairs of data with 228 amplitude and strike information are plotted against each other in map view as in Fig. 3a. 229 A second view for each comparison pair shows the angular deviation on a local footprint 230 as background color (Fig. 3b) to highlight geographical variations in angular agreement 231 or disagreement. Statistics of the angle difference over the entire area in which data from 232 both methods are available are calculated and plotted in an inset (example Fig. 3b). For 233 this study, we assume all data with azimuthal information to be orientational, or axial, 234 rather than vectorial (e.g., N-S, rather than N or S). Most data used in this study are 235 indeed axial (fast polarization or propagation orientations, stress and strain axes, short-236 ening or extensional axes). Fault orientations are reduced to unsigned strike, ignoring 237 dip direction. Azimuthal receiver function arrival strikes are signed and offer dip direc-238 tion information if additional assumptions on the layers bounding a contrast are made, 239 such as sign of a velocity contrast, whether anisotropy is stronger above or below an in-240 terface, or whether anisotropy has a fast or slow symmetry axis (Schulte-Pelkum, Ross, 241 et al., 2020). In this study, we use unsigned strikes for receiver functions to compare to 242 other axial quantities, but display the signed orientation in Fig. 6c; the short arrows point 243 towards downdip if the signal is from the top of an anisotropic layer with slow axis sym-244 metry (such as schists; (Brownlee et al., 2017) or from a slow-over-fast dipping interface 245 between isotropic layers. 246

To test whether specific datasets are consistent with the kinematic regime of San 247 Andreas transform right-lateral shear, we plot them relative to each other as well as rel-248 ative to two possible reference orientations coinciding with the instantaneous and finite 249 strain ellipses, assuming simple shear strain geometry. The right-lateral motion along 250 the Pacific-North America plate boundary is oriented NW-SE, with the Pacific plate mov-251 ing NW relative to North America. Instantaneous shear strain in a medium deforming 252 under distributed viscous simple shear deformation is expected to show compression and 253 extension at  $45^{\circ}$  to the shear plane, in this case, N-S compression and E-W extension. 254 During progressive simple shear, however, the lengthening orientation rotates into the 255 plane of shear (e.g. McKenzie & Jackson, 1983), so datasets that potentially track high 256 strain fabrics such as ductile foliations or gouge fabrics along faults may show alignment 257 with the finite strain ellipse (SAF-parallel, NW-SE). 258

We also compare some datasets to the inferred orientation of Farallon paleofolia-259 tions. Farallon convergence was oriented NE relative to the North American margin, and 260 we assume paleofoliations formed perpendicular to Farallon convergence orientations, con-261 sistent with the general orientation of major Mesozoic-to-early-Tertiary terrane bound-262 aries, faults, and ductile foliations exposed at the surface presently (Ernst, 1970; Hamil-263 ton, 1969; Dickinson, 1970; Jacobson et al., 1996). In most of the study area, these paleo-264 orientations have a similar strike to that of the SAF system. Exceptions are blocks that 265 underwent post-Farallon rotations such as the Western Transverse Ranges (e.g. McQuar-266 rie & Wernicke, 2005). One may expect deep-seated Farallon-convergence-related pale-267 ofoliations to have a shallower dip than transform shear fabric. However, Porter et al. 268



Figure 3. (a) Fast axis orientation and delay time of SKS splits (compilation of Becker et al., 2012, updated as of 2020) and Rayleigh wave upper mantle fast axis and percent anisotropy (orange bars; Lin et al., 2011). Elevation shading and faults are shown for orientation, with faults in green for surface traces and red for blind faults from the SCEC CFM5.3 as in Fig. 1. (b) Signed angular difference comparison between the two orientation sets (color coding of bars in angle sign definition as in (a); amplitude information is not used in this case). Inset shows histogram of the angular differences, with mean(median) and standard deviation indicated; N is number of measurements pairs per bin, N<sub>0</sub> total number of pairs.

(2011) inferred lower crustal foliation dips with a broad range of dip angles and a mean
 dip of 55° from receiver function modeling. Intrusive fabrics may also show steeply dip ping foliations.

#### 272 4 Results

# 273

#### 4.1 Mantle/asthenospheric depths

In Figure 3, we compare fast axis orientations from SKS splitting (i.e. inferred ori-274 entation of the polarization plane of the fast propagating pulse) from a compilation (update 275 of Becker et al., 2012) to the uppermost mantle layer solution of an isotropic and anisotropic 276 Rayleigh wave inversion using ambient noise as well as earthquake phase dispersion (Lin 277 et al., 2010). Sensitivity of SKS extends from the core-mantle boundary to the surface 278 but is assumed to be dominated by the asthenospheric mantle. The surface wave inver-279 sion has depth constraints down to  $\sim 100$  km (Lin et al., 2010). This can be compared 280 to an estimated LAB depth ranging mostly from 60-80 km (half-width of distribution) 281 in the area inferred from Sp receiver functions (Lekic et al., 2011; Levander & Miller, 282 2012).283

Both sets of observed fast axes are rotated counterclockwise from the SAF (Fig. 4 284 and Supplementary Information). The misorientation of SKS relative to the average SAF 285 strike outside of the Big Bend is  $-52^{\circ}$  (SAF is NW-SE, SKS WSW-ENE), with a stan-286 dard deviation of  $\pm 12^{\circ}$  and a strong unimodal peak of 24% amplitude (percentage of mea-287 surement pairs in the peak bin). The mean and standard deviation are computed account-288 ing for orientational angular misfit  $\Delta \alpha \in [-90; 90]$ , allowing for a distinction between 289 clockwise and counterclockwise deviation, and based on even area sampling of the re-290 gion where both data sets provide constraints. The "area of influence" of each dataset 291 is seen in the circular regions of Fig. 3b and chosen based on a rough estimate of aver-292 aging width, e.g. Fresnel zone of SKS. 293

Mantle depth, surface-wave derived fast axes are slightly less rotated relative to the 294 average strike of the SAF  $(-36^{\circ}\pm18^{\circ}, \text{ peak } 23\%)$ . The average angle difference between 295 SKS-fast and mantle surface wave-fast axes is  $12^{\circ}\pm 15^{\circ}$  at at a strong (23%) unimodal 296 peak. The largest misalignment is in the Western Transverse Ranges and exceeds  $30^{\circ}$ (Fig. 3b). The P tomography study by Yu and Zhao (2018) shows only low-amplitude 298 azimuthal anisotropy at depth nodes of 125 and 200 km. Cross-comparisons plots for all 299 datasets and models can be accessed through Fig. 4 which also summarizes the median, 300 standard deviation, as well as the degree of unimodality of the angular misfit distribu-301 tions. 302

#### 4.2 Lithospheric mantle

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<sup>304</sup> Depth-specific constraints for the lithospheric mantle are extracted from  $P_n$  tomog-<sup>305</sup>raphy, localized  $P_n$  azimuthal velocity variations, and P tomography. While some anisotropic <sup>306</sup>receiver function signals are from structures below the Moho, most are from crustal depths, <sup>307</sup>and receiver function inferred strikes will be discussed in the next section.

Figure 5 shows the angular alignment between  $P_n$  fast orientations from Buehler 308 and Shearer (2017) and SAF-strike-averaged orientations outside of the Big Bend region 309 applied to the entire study area. Agreement is close in a broad corridor (order 100-200 km 310 width) around the SAF, with no localization close to the SAF. Notably, the  $P_n$  fast axes 311 do not change strike along with the SAF in the Big Bend area, and there is no indica-312 tion of constrictional E-W strikes due to the restraining bend as proposed by Monteiller 313 and Chevrot (2011). The area closest to the SAF trace that shows significant misalign-314 ment with average SAF strike is in the Western Transverse Ranges. 315

To test the possibility that lithospheric mantle fabric is not controlled by present-316 day shear associated with the SAF system and instead persists from previous tectonic 317 episodes, we compare  $P_n$  fast axes to Farallon convergence-perpendicular strikes includ-318 ing block rotations since 36 Ma from McQuarrie and Wernicke (2005) with interpolations 319 by Porter et al. (2011). These orientations are a proxy for lithospheric fabric strikes that 320 developed under compression, accretion, and pluton emplacement during long-lived sub-321 duction and were subsequently rotated along with the surface of the respective blocks 322 as proposed by e.g. Atwater and Stock (1998). Agreement is improved in the western 323 part of the Western Transverse Ranges and similar to the SAF comparison case elsewhere. 324

P anisotropy (Yu & Zhao, 2018) shows the highest degree of seismic anisotropy in
the lithospheric mantle layer (75 km depth grid nodes; compare 60-80 km LAB depths
from Lekic et al. (2011)), with fast azimuths fairly well aligned with SAF strike or Farallon paleofabric in the SAF corridor (Fig. 4). P anisotropy at 75 km depth also shows
a strong falloff of amplitudes in the Western Transverse Ranges.

#### 4.3 Crust

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Receiver functions show conversions with  $360^{\circ}$  azimuthal periodicity in amplitude 331 (two polarity flips over entire backazimuth range; here called  $A_1$ ) that originate from con-332 trasts in plunging axis anisotropy, such as dipping foliation, as well as dipping interfaces 333 between isotropic layers (Schulte-Pelkum & Mahan, 2014b). We interpret both as indi-334 cators of tectonic grain (Schulte-Pelkum, Caine, et al., 2020) rather than attempting a 335 distinction by testing for the bent direct P wave present only in the isotropic dipping 336 case (Schulte-Pelkum & Mahan, 2014b). We use published strikes of such contrasts in 337 our study area from Schulte-Pelkum, Ross, et al. (2020), showing the largest  $A_1$  ampli-338 tude arrival at each station. Depths of these contrasts are concentrated in the crust (Fig. 6a, 339 inset and strike bar fill color in Fig. 6c). The sign of the azimuthal polarity flips contains 340 information about foliation dip at a contrast or interface dip, but the dip sense is am-341 biguous. The phase of the  $A_1$  amplitude signal is shown as short one sided arrows in Fig. 6c. 342



Figure 4. Mean angular difference (squares, scaled by "unimodality", i.e. unity minus Sarle's bimodality coefficient,  $1 - \frac{\gamma^2 + 1}{\kappa}$  where  $\gamma$  and  $\kappa$  are the skewness and kurtosis, respectively) and standard deviation of the distribution (diamonds, scaled by the coverage area, cf. Fig. 9) for an extended selection of data sets. a) Mean SAF fault strike (single value); b) SAF fault strike perpendicularly extended from the main fault (both from the Pacific-North America plate boundary from Bird, 2003); c) local fault strike from SCEC CFM 5.3 (Plesch et al., 2007) (cf. Fig. 6); d) extensional axes from SCEC CGM\_V1 (Sandwell et al., 2016) geodetic strain rates (cf. Fig. 7); e) extensional axes of a Kostrov summation of an update of the Yang et al. (2012) focal mechanisms; f) extensional stress from Yang et al. (2012) based stress inversion (Yang & Hauksson, 2013) (cf. Fig. 7); g) fast axes from local S splits (Z. Li & Peng, 2017) plus  $90^{\circ}$ ; h) fast axes from receiver functions (Schulte-Pelkum, Ross, et al., 2020) (cf. Fig. 6); i) cumulative block rotations from McQuarrie and Wernicke (2005) and Porter et al. (2011) minus 45° (cf. Fig. 5); j) crustaldepth surface wave anisotropy (Lin et al., 2011); k)  $P_n$  anisotropy (Buehler & Shearer, 2017) (cf. Fig. 5); k-n) P tomography fast axes (Yu & Zhao, 2018) at depths of 25, 75, and 125 km, respectively; o) mantle depth surface wave anisotropy (Lin et al., 2011) (cf. Fig. 3); p) absolute plate motion (APM) orientations in the spreading-aligned reference frame (Becker et al., 2015); and q) SKS splitting compilation fast axes (update of Becker et al., 2012) (cf. Fig. 3). Clicking on any of the symbols in the PDF version of this article provides a link to web based cross-comparison plots such as in Fig. 3. (To be implemented: For now, the reader can access all the plots from, e.g., http://www-udc.ig.utexas.edu/external/becker/vwus/wusan.c-d.png, where "c" and "d" are referring to the models as per the figure legend.)



Figure 5. (a) In blue, fast propagation axes and % anisotropy from  $P_n$  tomography (Buehler & Shearer, 2017), compared to SAF strike averaged outside of the Big Bend (green bars). (b) Signed angular difference of the quantities in (a), description as for Fig. 3b. (c)  $P_n$  as in (a), but here compared to Farallon convergence-perpendicular strike including subsequent block rotations from McQuarrie and Wernicke (2005) with interpolated values from Porter et al. (2011). (d) Misorientation for (c), description as in Fig. 3b.

If the arrival is from the top of an anisotropic layer, then the arrow points in the downdip 343 direction of the dipping foliation below the interface. If the dipping foliation is above the 344 interface, then the arrow would point foliation-updip. These two scenarios assume that 345 the anisotropy is well approximated by a hexagonal slow axis symmetry. The apparent 346 dip sense is reversed if the anisotropy is closer to fast axis hexagonal (Schulte-Pelkum, 347 Ross, et al., 2020). If the conversion is from a dipping contact between isotropic layers, 348 then the phase arrow points downdip for a slow-over-fast dipping shear velocity contrast 349 and reverses sign in the fast-over-slow case. The majority of phase arrows point is ori-350 ented NE/N/NNW (see also rose histograms in Fig. 4 of Schulte-Pelkum, Ross, et al., 351 2020), consistent with imaging the tops of layers with NE/N/NW-dipping foliation or 352 slow-over-fast isotropic velocity contrasts dipping in the same directions. 353

We compare the receiver function strikes to Farallon paleofabric (McQuarrie & Wer-354 nicke, 2005; Porter et al., 2011) as for  $P_n$  in Fig. 6a and b and to local fault strikes in 355 the SCEC CFM (Plesch et al., 2007) in Fig. 6c and d. The angular deviation histograms 356 (insets in Fig. 6b and d) are peaked at  $0^{\circ}$  and  $-2^{\circ}$  deviation, respectively. A rotation 357 of receiver function strikes from SAF-parallel to E-W in the Western Transverse Ranges 358 is matched in both cases. The comparison to Farallon paleofabric shows a higher peak 359 at zero deviation, in part due to station distribution such as the dense Salton Sea ex-360 periment (Klemperer, 2011; Barak et al., 2015) showing consistent strikes in the notably 361 presently internally undeformed Peninsular Ranges block near the international border. 362 The comparison to local fault strikes shows reduced scatter such as the improved matches 363 in the northern border of the Western Transverse Ranges and other localized strikes such 364 as the White Wolf Fault (Fig. 1). A comparison of receiver function strikes to average 365 SAF strike shows large deviations in the Western Transverse Ranges and in the East-366 ern California shear zone (Fig. 4). Despite the concentration of receiver function strikes 367 above 20 km depth, there is little alignment of the strikes to local event shear wave split-368 ting fast axes (Fig. 4), suggesting that microcracks are not a dominant mechanism for 369 generating anisotropy detected by  $A_1$  arrivals in receiver functions. 370

As noted in Schulte-Pelkum, Ross, et al. (2020), vertical foliation that may be ex-371 pected from subvertical shear along transform faults would produce a 180°-periodic ar-372 rival with backazimuth  $(A_2)$  rather than the  $A_1$  signal considered here. In our study area, 373 the  $A_2$  signal amplitude is smaller than that of than  $A_1$  (Schulte-Pelkum, Ross, et al., 374 2020). If we assume that Farallon paleofoliations have gentle to intermediate dips and 375 that foliation reset by SAF-age transform motion should be subvertical and possibly con-376 centrated along the SAF, then the strong and geographically distributed  $A_1$  signal ap-377 pears to favor paleofabric. 378

379

#### 4.4 Seismogenic crust and surface

As noted, local shear wave splitting is thought to show fast polarization axes par-380 allel to maximum compressive stress due to compression-parallel orientation of micro-381 cracks. We compare local S splitting with compressive stress from a focal mechanism in-382 version (Yang & Hauksson, 2013) in Fig. 7a and b. As identified by Z. Li and Peng (2017), 383 there is significant misorientation to the maximum horizontal compressive stress axis along 384 the SAF and other major transform fault strands as well as in additional regions such 385 as the Peninsular and Coast Ranges. In most of these areas of misalignment, local S fast 386 axes are closer to Farallon paleofabric (Fig. 6c). 387

In contrast, a comparison of the same focal mechanism-based maximum compressive stress axes with GNSS-derived compressive strain rates (Sandwell et al., 2016) shows a close match, with a strongly peaked distribution centered on  $-6^{\circ}$  (Fig. 7c,d).



Figure 6. (a) Strikes and amplitude of largest  $A_1$  harmonic receiver function arrival at each station (in orange), compared to Farallon paleofabric (in pink) as in Fig. 5c). Inset shows depth distribution of  $A_1$  arrivals. (b) Orientation comparison for (a) as in Fig. 3b. (c) As in (a), but here compared to local fault strikes from SCEC CFM 5.3 (Plesch et al., 2007) and colored by conversion depth. Short arrows show receiver function inferred up-or downdip direction, colored by the strike of that vector to allow easier geographic subsetting. (d) Orientation comparison for (c).



Figure 7. (a) Compressive stress axes from focal mechanism inversion in orange (Yang & Hauksson, 2013) compared to local event S splitting fast axes (Z. Li & Peng, 2017) in cyan. (b) Orientation comparison for (a) as in Fig. 3b. (c) Focal mechanism compressive stress axes (in orange) as in (a), here with compressive strain-rate axes from the Southern California Earthquake Center (in light blue) (SCEC) CGM\_V1 (Sandwell et al., 2016), scaled with maximum shear strain amplitude. (d) Orientation comparison for (c).

#### <sup>391</sup> 5 Discussion

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### 5.1 Comparing datasets from all depths with SAF transform-related stress state

Fig. 8 shows six data sets with progressively deeper depth sensitivities relative to a common, average SAF-based reference orientation for illustration. In this comparison, we use a reference orientation that is rotated 45° counterclockwise to the SAF average strike. This corresponds to the direction of extension for instantaneous infinitesimal strain on the plate boundary as discussed above. In this figure, we show the unsigned angle difference.

Geodetic inferred deformation and focal mechanism-derived stress orientations agree 400 well with a regional, roughly N-S compressional/E-W extensional state (Fig. 7c,d). Ex-401 tensional horizontal strain based on surface geodesy as well as extensional stress from 402 focal mechanisms are roughly E-W,  $\sim 45^{\circ}$  counterclockwise from average SAF strike (Fig. 8a,b). 403 This is broadly what is expected if the whole region were in a stress and strain-rate state 404 with the major compressive axes oriented N-S and assuming the crust deforms as a vis-405 cous continuum. When inferred from focal mechanism inversions, that average azimuthal 406 value is close to 8° (Yang & Hauksson, 2013). Surface instantaneous strain as well as fo-407 cal mechanisms therefore are as expected for the present transform motion, an alignment 408 that is borne out on smaller scales for most faults in the area besides those recently af-409 fected by major earthquakes (Becker et al., 2005). 410

Local shear wave splitting results sample the same depth range as focal mechanisms, 411 but as discussed in Section 2, they have the potential to be parallel to either the com-412 pressive stress orientation (in the case of microcracks) and/or the direction of finite strain 413 (in the case of fault gouge fabrics). In some parts of the study area, fast local S split-414 ting polarizations match the focal-mechanism-based stress and GPS-derived strain ( $\sim$ N-415 S fast, parallel to maximum compressive horizontal stress). However, there are signif-416 icant areas of misorientation along, for example, the SAF and San Jacinto fault and in 417 internally undeformed blocks such as the Peninsular Ranges (Fig. 7a,b), as discussed 418 by Z. Li and Peng (2017). For these areas of mismatch to the stress field, we hypoth-419 esize that shallow splitting observations are dominated by paleofabric (Coastal Ranges, 420 Peninsular Ranges; Fig. 6a) and/or present-day fault-parallel (Fig. 6c) fabric. Labora-421 tory ultrasonic studies suggest that microcracks in the uppermost crust above crack clo-422 sure pressure depths may orient themselves along preexisting mineral fabric (Rasolofosaon 423 et al., 2000). We thus propose that shallow splitting of local event shear waves is partly 424 controlled by current stress state, but also partly by rock fabric, some of the latter in-425 herited since it is found to dominate splitting in presently internally non-deforming blocks. 426

Proceeding to the entire crust past seismogenic depths and into the creeping de-427 formation regime, we find that receiver function  $A_1$  arrivals show a pervasive fabric that 428 deviates from the near-surface E-W extension/N-S compression orientation (Fig. 8c), with 429 foliation strikes paralleling present-day surface fault traces as well as paleofabric strikes 430 (Fig. 6). It is unlikely that the fabric imaged by receiver functions is strictly due to present-431 day faulting. Since the inferred fabric is distributed widely geographically (no clear de-432 pendence with distance from faults) and in depth, Schulte-Pelkum, Ross, et al. (2020) 433 previously interpreted the receiver function signal as inherited fabric. This conclusion 434 is bolstered by the match between receiver function strikes and paleofabric (Fig. 6). 435

The Moho depth in the study region mostly varies between 25-32 km, with a few local Moho roots reaching depths of 35-40 km under the western foothills of the Sierra Nevada, San Bernardino mountains, and Peninsular Ranges (Zhu & Kanamori, 2000; Yan & Clayton, 2007; Miller et al., 2014; Ozakin & Ben-Zion, 2015). Receiver function  $A_1$ station maxima are concentrated at crustal depths, but reach into lithospheric mantle depths (Fig. 6a) with similar strikes to those at shallower depths (Schulte-Pelkum, Ross,



Figure 8. Comparison of six data sets in a common reference system. The reference orientation is average SAF strike rotated 45° counterclockwise to match approximate *SKS* and surface extension orientations. Note that the background color scale used to represent axis misorientation is different from that used in Figs. 3-7; purple/blue shades now show alignment, and we do not distinguish between CW and CCW rotations, i.e.  $|\Delta \alpha| \in [0; 90^\circ]$ . (a) GPS extension as in Fig. 7c. (b) Focal mechanism extension as in Fig. 7a,c. (c) Receiver function strikes as in Fig. 6. (d)  $P_n$  fast axis as in Fig. 5. (e) Mantle surface wave fast axis as in Fig. 3. (d) *SKS* fast axes as in Fig. 3.

et al., 2020). Changes in seismically imaged anisotropic symmetry can be due to changes in mineralogy and do not necessarily imply a change in deformation regime (Bernard & Behr, 2017; Brownlee et al., 2017), and the converse also holds. Nevertheless, the similarity between receiver function and  $P_n$  inferred fast strikes (Fig. 5) suggests similar fabrics in the deep crust and uppermost lithospheric mantle.

Like receiver functions strikes,  $P_n$  fast orientations deviate from shallow E-W/N-447 S orientations (Fig. 8d). The area showing SAF-parallel  $P_n$  fast axes is broad and shows 448 no clear dependence on distance to the SAF. No rotation of  $P_n$  fast axes are seen in the 449 compressive Big Bend in the Eastern Transverse Ranges. In contrast, the Western Trans-450 verse Ranges show  $P_n$  strikes consistent with compressive Farallon-age paleofabric that 451 was subsequently rotated. This contrast between the western and eastern part of the Big 452 Bend as well as the lack of localization of  $P_n$  anisotropy relative to major fault strands 453 supports inherited fabric rather than present day deformation as a source of lithospheric 454 mantle fabric. Teleseismic P wave derived anisotropy at 75 km depth supports this in-455 terpretation (Yu & Zhao, 2018) (Fig. 4). Tomographic inversions solving for isotropic 456 and anisotropic structure are in principle subject to trade-off effects between the two types 457 of anomalies. However, the trade-off tests presented in the tomographic studies (Buehler 458 & Shearer, 2010, 2012, 2014, 2017; Yu & Zhao, 2018) and the agreement of tomographic 459  $P_n$  results with those using azimuthal variations between station pairs (G. P. Smith & 460 Ekström, 1999; Buehler & Shearer, 2012) lend some confidence to the results and our 461 interpretation. While isotropic  $P_n$  velocity models may be impacted by local Moho to-462 pography, it is less likely that the fast axes are distorted significantly. 463

We thus interpret the crustal and lithospheric mantle deformation indicators as a pervasive lithospheric fabric that may largely reflect formation processes (accretion and intrusions during long-lived Farallon subduction) rather than recent deformation processes. Wholesale rotation of fabric at lithospheric mantle depths along with their block surfaces such as in the Western Transverse Ranges and a lack of effects of recent processes such as compression in the Eastern Transverse Ranges on deep crustal and lithospheric mantle fabric suggests that deep fabric is preserved rather than reset.

At deeper levels in the mantle, surface wave tomography and teleseismic splitting 471 show a close correspondence and are not parallel to either paleofabric or SAF strike ori-472 entation. SKS fast axes deviate more from SAF strike (Fig. 8f) than surface wave fast 473 azimuths at periods sensitive to the mantle (Fig. 8e). It is likely that SKS is sensitive 474 to greater depths (asthenosphere) than the surface wave mantle solution (Lin et al., 2010). 475 and the latter may be averaging over lithospheric as well as deeper anisotropy. Although 476 the roughly E-W orientation for SKS matches surface extension (Fig. 8a), modeling sug-477 gests it is due to asthenospheric broader-scale mantle circulation (Becker et al., 2006). 478 Whether and how this mantle circulation, mostly due to sinking of the formerly subduct-479 ing slab into the mantle, may control the present-day near-surface stress state remains 480 an open question (Humphreys & Coblentz, 2007; Ghosh et al., 2013; Chamberlain et al., 481 2014; Kosarian et al., 2011). Short-wavelength perturbations to SKS likely reflect influ-482 ence from shallower, lithospheric structure (e.g. Savage et al., 2004; Bonnin et al., 2010; 483 Jiang et al., 2018), but may also be associated to smaller length scale convection than 484 what was considered by Becker et al. (2006) and/or reorientation of olivine fabrics (e.g. 485 Zhou et al., 2018; W. Wang & Becker, 2019). 486

We show histograms of the angular misalignment as in Figs. 3-7 for all quantities 487 discussed above as well as additional axial data sets in Fig. 9. This comparison is fur-488 ther expanded and summarized in Fig. 4 whose quantification of the statistical moments 489 490 can, however, only incompletely capture the character of alignment where, for example, a block-wise match of orientations can leads to a smeared out distribution (Fig. 9). Each 491 comparison pair appears twice in Fig. 9, and we show median and standard deviation 492 as well as area of coverage in addition to the angle difference histogram. The quantities 493 compared here are the same as ones discussed above. The comparisons show the same 494



**Figure 9.** Angular difference histograms  $(-90...90^{\circ})$  as in e.g. Fig. 3b for all data sets discussed above. The top right triangle has as diamonds the median deviation, with the diamond's size scaled with the inverse of the standard deviation and the diamond centered on the median. The lower left has the same coloring, but the square size scales with the area of coverage out of the whole study area. See Fig. 4 for an abbreviated representation of an extended comparison set.

trends of agreement between surface strain and focal mechanism-based sets with deeper mantle estimates from SKS and surface waves on the one hand, and between lithospheric estimates (receiver function studies,  $P_n$ , lithospheric depths from P tomography) on the other hand. We conclude that even if mantle strain is transmitted through the lithosphere to the surface, lithospheric fabric itself is preserved from prior tectonics and independent of current lithospheric deformation.

501 Our interpretation is summarized in Fig. 10. Geodetic strain and focal mechanisms 502 are markers of instantaneous strain and match N-S compression and E-W extension ori-503 ented at ~45° to the NW-SE oriented right-lateral relative plate motion. Shallow shear 504 wave splitting results from local events conform to microcracks due to N-W compres-505 sion in parts of the study region, but show systematic deviations in other areas such as



Figure 10. Schematic illustration of dominant mechanisms for strain and seismic anisotropy in the southern California lithosphere and asthenosphere. Only surface strain and focal mechanisms are in accord with compression/extension (black thin arrows) from relative plate motion (black bold arrows). Inherited fabric influences the lithosphere up into the uppermost crust (white arrow is sketched to show approximate orientation of Farallon convergence). Asthenospheric shear reflects large scale circulation with entrainment from a slab sinking far to the east (curved/dashed arrow).

near the SAF and in the Coast Ranges and Peninsular Ranges blocks. In the areas of
 misalignment with stress, the shallow splitting results may be interpreted as fault-parallel
 (SAF), but they may also show paleofabric, in particular in areas with little present-day
 internal deformation (Peninsular Ranges block).

#### 510

#### 5.2 Potential origins and implications of preserved paleofabric

Several lines of evidence support our interpretation that most levels of the crust 511 and lithospheric mantle preserve inherited fabrics as opposed to fabrics that were reset 512 by SAF system deformation. Firstly, although lithospheric orientations are broadly SAF-513 parallel as well as Farallon paleofabric-parallel, the rotation of these strikes in the West-514 ern Transverse Ranges only matches the expected rotations of Farallon paleofabrics and 515 is inconsistent with SAF-related shear. Secondly, the strength of the receiver function, 516  $P_n$ , and P signals does not show any obvious dependence with distance from major trans-517 form fault strands such as the SAF or San Jacinto fault. Third, azimuthally varying re-518 ceiver function arrivals from all levels of the crust show strikes that are consistent with 519 dipping foliation. The receiver function signal is not explained by purely vertical or hor-520 izontal foliation, but may be caused by foliation dips ranging from subhorizontal ( $\sim 20^{\circ}$ ) 521 to steep. The phase of the receiver function signal is consistent with imaging the upper 522 interfaces of anisotropic layers with dominantly NE-dipping fast foliation planes (e.g. schists). 523 If fabric is due to accretionary tectonics and schist underplating during Farallon conver-524 gence and subsequent extension, they may be expected to show shallow to intermedi-525 ate dips (Chapman, 2017; Jacobson et al., 1996). In contrast, most strike-slip faults tak-526 ing up the present-day transform motion are thought to have intermediate to vertical 527 dips, and foliation from transform shear would be expected to be subvertical. Past re-528 ceiver function studies in the area that attempted to constrain foliation dip angle (or-529

thogonal to symmetry axis plunge) through waveform modeling inferred a wide range
of dips (Porter et al., 2011; Audet, 2015).

While regional-scale surface wave inversions for crustal azimuthal anisotropy are 532 not yet available (Qiu et al., 2019), crustal radial anisotropy shows anomalous negative 533 values (vertically polarized shear wave speeds higher than horizontally polarized shear 534 wave speeds) in the mid-to lower crust, mostly south and west of the SAF (K. Wang et 535 al., 2020). There are several possible explanations for this observation. Steeply dipping 536 schists are one, although K. Wang et al. (2020) argue that the isotropic average veloc-537 ities in the mid- to lower crust are too high for schists. Another possibility are mafic in-538 trusive dikes. A third possibility is preservation of steep fabrics associated with intra-539 batholithic strike-slip faults activated during oblique subduction in the Late Cretaceous, 540 as described for several surface exposures in the Sierra Nevada (Busby-Spera & Saleeby, 541 1990; Kistler, 1993). Although they seem localized in surface exposures, the receiver func-542 tion strikes in the southern Sierra Nevada show broad consistency at lower crustal depths 543 (Fig. 6c) and are parallel to the Kern Canyon fault (Fig. 1), which itself reactivated a 544 Cretaceous ductile shear zone (Nadin & Saleeby, 2010; Busby-Spera & Saleeby, 1990). 545 Cretaceous transpressional strike-slip shear zones are also exposed in the eastern San Gabriel 546 mountains within the Transverse Ranges (May & Walker, 1989). A fourth option for gen-547 eration of negative radial anisotropy is suggested by K. Wang et al. (2020) based on xeno-548 lith work by (Bernard & Behr, 2017) showing that feldspars can align with a foliation-549 perpendicular fast axis. In this case, the lower crust would have high isotropic veloci-550 ties as well as radial anisotropy. This case would also match the receiver function ob-551 servations if the foliation is gently dipping, since strikes inferred by the receiver func-552 tion method are strikes of the plane perpendicular to the symmetry axis, regardless of 553 whether that axis is fast or slow (Schulte-Pelkum & Mahan, 2014b; Brownlee et al., 2017). 554 Whether foliation is steeply or shallowly dipping, the presence of rotated strikes in the 555 rotated Western Transverse Ranges block compared to dominant NW strikes in the un-556 rotated Central and Eastern Transverse Ranges supports a paleofabric interpretation. 557 Fault strikes themselves match paleofabric strikes well (Fig. 4). Reactivation of preex-558 isting structures by faulting is a well-established process (Burchfiel & Davis, 1975; Good-559 win & Wenk, 1995; Todd et al., 1988; Nadin & Saleeby, 2010), and previous work sug-560 gests that inherited mechanical anisotropy and heterogeneity influence current faulting 561 behavior (Langenheim et al., 2004; Barak et al., 2015; Fuis et al., 2017; Schulte-Pelkum, 562 Ross, et al., 2020). 563

 $P_n$  sampling the mantle just below the Moho shows fast axes that are broadly par-564 allel to SAF and paleo-subduction strike. Similar results are given for 75 km depth in 565 anisotropic teleseismic P tomography (Yu & Zhao, 2018); the neighboring solution grid 566 depths are at 25 km and 125 km depth, and the 75 km depth slice shows the strongest 567 mantle anisotropy. For  $P_n$ , the prominent NW-SE strike is seen across a broad zone of 568  $\gtrsim 100$  km width through the Big Bend region, showing no obvious change in azimuth 569 along with the SAF. In contrast,  $P_n$  fast axes are rotated in the Western Transverse Ranges 570 block. This picture is consistent with previously proposed bottom accretion of a stack 571 of Farallon lithospheric mantle slices (Luffi et al., 2009), and/or with strong shearing of 572 North American-affinity mantle in response to flat-slab subduction, as suggested by sev-573 eral studies on western US mantle xenoliths (Z.-X. A. Li et al., 2008; Behr & Smith, 2016; 574 D. Smith et al., 2004; Usui et al., 2003). Similar to receiver functions, the  $P_n$  orienta-575 tions appear to support a paleofabric interpretation over SAF motion-induced alignment. 576

The Transverse Ranges are related to the restraining bend in the SAF, and a number of related mantle anomalies have been proposed previously. A roughly contiguous isotropic Transverse Ranges fast upper mantle anomaly is imaged in body wave tomographic studies (Humphreys & Dueker, 1994; Schmandt & Humphreys, 2010; Yu & Zhao, 2018) and was interpreted as downwelling lithosphere, while surface wave tomography show a fast mantle root limited to the Western (K. Wang et al., 2018, 2020) or West-

ern and Eastern (Barak et al., 2015) Transverse Ranges. A study that attempted to in-583 vert for depth dependent SKS splitting attributed a local path of E-W fast axes in the 584 Big Bend area to local shortening (Monteiller & Chevrot, 2011). The downwelling in-585 terpretation would be contradicted by the strong azimuthal anisotropy seen in anisotropic  $P_n$  (Buehler & Shearer, 2017) and P (Yu & Zhao, 2018) tomography. It appears diffi-587 cult to reconcile all tomographic results from the Transverse Ranges area, but the up-588 permost mantle is also notoriously difficult to resolve. Irrespective of what the isotropic 589 structure may be, the anisotropic results in conjunction with the crustal receiver func-590 tion results appear to favor a paleofabric interpretation. 591

While it may be tempting to interpret the correspondence between E-W surface extension and E-W *SKS* splitting fast axes in a pure shear or instantaneous strain framework within SAF-parallel shear, mantle flow modeling studies (Steinberger, 2000; Becker et al., 2006; Zhou et al., 2018; W. Wang & Becker, 2019) show that slab sinking to the east of the study area is likely responsible for aligning asthenospheric fabric sampled by teleseismic splitting.

#### 598 6 Conclusions

We compare orientations of stress- and deformation-associated observables such as 599 surface deformation, stress state inverted from focal mechanisms, local event and tele-600 seismic shear wave splitting, receiver function azimuthally varying conversions,  $P_n$  and 601 local/teleseismic P tomography, and surface wave azimuthal anisotropy, in addition to geological information such as fault strike and block rotations since Farallon subduction. 603 The deformation indicators separate into three classes, with a near-surface match be-604 tween geodesy and focal mechanisms and some local event shear wave splits, a lithospheric 605 depth range comprising receiver functions,  $P_n$ , and local event splitting in other areas, 606 and an asthenospheric component detected by mantle surface waves and SKS splitting 607 that is driven by mantle circulation and can be speculated to transmit through the litho-608 sphere to drive stress at the surface. Notably, lithospheric rock fabric and anisotropy do 609 not appear to be reset to reflect present-day deformation and instead appear to persist 610 since the time of accretion and intrusion during long-lived subduction. Fabric from the 611 upper crust through the lithospheric mantle appears to have been preserved and rotated 612 along with surface block rotations through temporal changes in deformation regimes. 613

#### 614 Acknowledgments

All data are from previously published work. This analysis was supported by NSF and
 USGS through SCEC grants 14026, 15059, and 17097 as well as NSF grants EAR-1927216,
 EAR-1853856, and EAR-1735890. We thank Peter Shearer, Kai Wang, and Chengxin

<sup>618</sup> Jiang for discussion.

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