

# Drag-based CME modeling with heliospheric images incorporating frontal deformation: ELEvoHI 2.0

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## Abstract

The evolution and propagation of coronal mass ejections (CMEs) in interplanetary space is still not well understood. As a consequence, accurate arrival time and arrival speed forecasts are an unsolved problem in space weather research. In this study, we present the ELLipse Evolution model based on HI observations (ELEvoHI) and introduce a deformable front to this model. ELEvoHI relies on heliospheric imagers (HI) observations to obtain the kinematics of a CME. With the newly developed deformable front, the model is able to react to the ambient solar wind conditions during the entire propagation and along the whole front of the CME. To get an estimate of the ambient solar wind conditions, we make use of three different models: Heliospheric Upwind eXtrapolation model (HUX), Heliospheric Upwind eXtrapolation with time dependence model (HUXt), and EUropean Heliospheric FORecasting Information Asset (EUHFORIA). We test the deformable front on a CME first observed in STEREO-A/HI on February 3, 2010 14:49 UT. For this case study, the deformable front provides better estimates of the arrival time and arrival speed than the original version of ELEvoHI using an elliptical front. The new implementation enables us to study the parameters influencing the propagation of the CME not only for the apex, but for the entire front. The evolution of the CME front, especially at the flanks, is highly dependent on the ambient solar wind model used. An additional advantage of the new implementation is given by the possibility to provide estimates of the CME mass.

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## Key Points:

- The implementation of a deformable front based on ELEvoHI for three different ambient solar winds models is presented
- The parameters influencing the propagation of the CME are studied in detail
- An estimate of the CME mass is obtained depending on DBM fitting and the cross-sectional area of the CME

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## Abstract

The evolution and propagation of coronal mass ejections (CMEs) in interplanetary space is still not well understood. As a consequence, accurate arrival time and arrival speed forecasts are an unsolved problem in space weather research. In this study, we present the ELLipse Evolution model based on HI observations (ELEvoHI) and introduce a deformable front to this model. ELEvoHI relies on heliospheric imagers (HI) observations to obtain the kinematics of a CME. With the newly developed deformable front, the model is able to react to the ambient solar wind conditions during the entire propagation and along the whole front of the CME. To get an estimate of the ambient solar wind conditions, we make use of three different models: Heliospheric Upwind eXtrapolation model (HUX), Heliospheric Upwind eXtrapolation with time dependence model (HUXt), and EUropean Heliospheric FORecasting Information Asset (EUHFORIA). We test the deformable front on a CME first observed in STEREO-A/HI on February 3, 2010 14:49 UT. For this case study, the deformable front provides better estimates of the arrival time and arrival speed than the original version of ELEvoHI using an elliptical front. The new implementation enables us to study the parameters influencing the propagation of the CME not only for the apex, but for the entire front. The evolution of the CME front, especially at the flanks, is highly dependent on the ambient solar wind model used. An additional advantage of the new implementation is given by the possibility to provide estimates of the CME mass.

## 1 Introduction

Coronal mass ejections (CMEs) are large clouds of energetic and magnetized plasma erupting from the solar corona (Hundhausen, Stanger, & Serbicki, 1994). They propagate in the solar system and are responsible for the strongest space weather effects. Earth directed CMEs can directly impact various systems including space missions, power grids, navigation systems and oil pipelines. (e.g. Cannon, 2013; Gosling, Bame, McComas, & Phillips, 1990; Kilpua, Jian, Li, Luhmann, & Russell, 2012; Richardson & Cane, 2012). Therefore, predicting the arrivals of CMEs has become essential. To obtain accurate space weather forecasting it is important to understand the behavior of CMEs in interplanetary space. Furthermore, the properties of CMEs at the time of impact determine the severity of geomagnetic storms (Pulkkinen, 2007). These properties are the magnetic field, especially the  $B_z$  component, but the size and kinematics of CMEs are also important. It is necessary to understand how CMEs evolve during their propagation in the heliosphere and how they interact with the ambient solar wind to achieve accurate forecasts (e.g. Kilpua, Lugaz, Mays, & Temmer, 2019; Manchester et al., 2017).

Our current real-time CME arrival predictions are not better than  $\sim 10 \pm 20$  hours (Riley et al., 2018). Today, a large number of CME arrival time and speed forecasting models are available. Table 1 in Riley et al. (2018) lists most of the available models, which exhibit various levels of complexity. For example, the Effective Acceleration Model (EAM; Paouris & Mavromichalaki, 2017), uses an empirical relation for the acceleration as a function of the initial speed of the CME. Other models consider physics-based equations and account for drag, i.e. drag-based models, between the ambient solar wind and the CME (e.g. DBM; Vršnak et al. 2013, DBEM; Dumbović et al. 2018, ANTEATR; Kay, Mays, and Verbeke 2020). Fixed-phi fitting (FPF; Rouillard et al., 2008; Sheeley, Walters, Wang, & Howard, 1999), harmonic mean fitting (HMF; Lugaz, 2010; Möstl et al., 2011), and self-similar-expansion fitting (SSEF; Davies et al., 2012; Lugaz et al., 2010; Möstl & Davies, 2013) are examples of CME arrival prediction models using wide-angle white light observations from heliospheric imagers (HI) that require techniques assuming certain shapes of the CME front in the ecliptic plane. Furthermore, there are prediction models combining both the drag-based approach and HI observations (e.g. DBM fitting; Žic, Vršnak, and Temmer 2015, Ellipse Evo-

68 lution model based on HI observations, ELEvoHI; Amerstorfer et al. 2018; Rollett et  
 69 al. 2016). Numerical models solve magnetohydrodynamic (MHD) equations, based on  
 70 synoptic photospheric magnetic-field maps, and simulate the ambient solar wind in the  
 71 full heliosphere (e.g., ENLIL; Odstrcil et al. 2004, EUHFORIA; Pomoell and Poedts  
 72 2018). To provide CME arrival predictions at different locations in the heliosphere,  
 73 CMEs are injected in the ambient solar wind.

74 However, none of these models were found to outperform all others (Riley et al.,  
 75 2018). Some questions arise: What are the main factors that lead to better CME  
 76 arrival predictions and can we improve forecasts by combining different model ap-  
 77 proaches?

78 It has been shown that CMEs may be influenced by different phenomena in  
 79 the heliosphere, e.g. magnetic forces close to the Sun, other CMEs, or by high-speed  
 80 solar wind streams (Gui et al., 2011; Kay & Opher, 2015; Lugaz et al., 2012; Möstl  
 81 et al., 2015; Shen, Wang, Gui, Ye, & Wang, 2011). The kinematic and morphological  
 82 characteristics of CMEs can additionally be affected by the ambient solar wind (e.g.  
 83 Gopalswamy et al., 2000; Gosling et al., 1990; Manoharan et al., 2004; Temmer et al.,  
 84 2011; Y. Wang et al., 2016; Zhuang et al., 2017). CMEs propagating slower than the  
 85 ambient solar wind speed are likely to experience acceleration while fast CMEs may  
 86 decelerate (Manoharan & Mujiber Rahman, 2011; Richardson & Cane, 2010). As a  
 87 consequence, not only the propagation direction but also the kinematics and shape of  
 88 CMEs can be altered (e.g. Kay & Nieves-Chinchilla, 2020; Liu et al., 2014; Rollett  
 89 et al., 2014; Ruffenach et al., 2015; Savani, Owens, Rouillard, Forsyth, & Davies, 2010;  
 90 Zuccarello et al., 2012).

91 HI-based prediction models typically assume a certain geometry for the propa-  
 92 gation in the heliosphere. In a series of three papers (Howard & Tappin, 2009a, 2009b;  
 93 Tappin & Howard, 2009) the authors proposed a model based on the Solar Mass Ejec-  
 94 tion Imager (SMEI) to constrain the CME frontal shape at large distances from the  
 95 Sun and to obtain the kinematics of CMEs. The Tappin-Howard (TH) model was  
 96 further updated to use STEREO data and Howard and Tappin (2010) showed the ap-  
 97 plicability for space weather forecasting. Rollett et al. (2014) and Barnard et al. (2017)  
 98 proposed to include a non-uniform evolution of a CME in order to account for different  
 99 ambient solar wind conditions. This result is further supported in a statistical study  
 100 by Hinterreiter et al. (2021). The authors apply the ELEvoHI method, which assumes  
 101 an elliptical shape of the CME front and show that predictions for the same CME  
 102 based on STEREO-A and STEREO-B observations exhibit the largest differences in  
 103 highly structured ambient wind conditions.

104 In this study we present the next step in the ELEvoHI model development and  
 105 account for a time- and spatial dependent drag along the CME front and during the  
 106 entire propagation of the CME. With this approach, we aim to shed light upon CME  
 107 propagation in the interplanetary space by considering different parameters crucial for  
 108 the arrival time and speed at different locations in the heliosphere.

109 In Section 2, we present the selected CME for this case study and list the applied  
 110 data from different spacecraft. Section 3 deals with ELEvoHI, its set-up and the input  
 111 data needed as well as the three ambient solar wind models used. In Section 3.3, we  
 112 explain the implementation of the deformable front into ELEvoHI. Section 4 lists our  
 113 results and compares the deformable front to the elliptical front for one event based  
 114 on the ambient solar wind models. We summarize and discuss our results in Section 5.

## 115 2 Data

116 In this case study, we model the arrival time and arrival speed of the CME that  
 117 hit Earth on February 7, 2010 18:04 UT using ELEvoHI. To run the model we make

118 use of several data products. Most important are images from HI onboard STEREO  
 119 (Eyles et al., 2009). The HI instrument on each STEREO spacecraft consists of two  
 120 white-light wide-angle imagers, HI1 and HI2. HI1 has a field-of-view (FOV) extending  
 121 from  $4^\circ - 24^\circ$  elongation (angle from Sun center) in the ecliptic and HI2 has an angular  
 122 FOV extending from  $18.8^\circ - 88.8^\circ$  elongation in the ecliptic. The nominal cadence of  
 123 the HI1 and HI2 science data is 40 minutes and 120 minutes, respectively. The science  
 124 image bin size is 70 arc sec for HI1 and 4 arc min for HI2. The studied CME was  
 125 first observed in STEREO-A/HI on February 3, 2010 14:49 UT. This time corresponds  
 126 to the unique identifier and time according to the HELCATS HICAT CME catalog  
 127 (version 6). The first observation in STEREO-B occurred six hours later on February  
 128 3, 2010 20:49 UT. The HELCATS catalog provides the initial speed of  $\sim 350 \text{ km}$   
 129  $\text{s}^{-1}$  based on self-similar expansion fitting. The CME fronts were tracked by the  
 130 authors from about  $4^\circ$  to  $28^\circ$  in STEREO-A and from about  $6^\circ$  to  $27^\circ$  in STEREO-  
 131 B HI observations using ecliptic time-elongation maps (Davies et al., 2009; Sheeley  
 132 et al., 1999). To extract the time-elongation profiles, we use the SATPLOT tool  
 133 implemented in IDL<sup>TM</sup> SolarSoft, which allows any user to measure the elongation at  
 134 different latitudes. The time-elongation profiles are then converted to time-distance  
 135 profiles using the ELLipse Conversion (ELCon; a derivation can be found in Rollett et  
 136 al., 2016) procedure. ELCon is similar to other conversion methods (e.g. Fixed-Phi,  
 137 Harmonic Mean, Self-similar Expansion), but additionally to the propagation direction  
 138 and longitudinal extent also the shape of the modeled CME front is taken into account.

139 Figure 1 shows the in situ solar wind parameters measured by the Wind space-  
 140 craft from February 6 – 9, 2010. Plotted from top to bottom are: the magnetic field  
 141 components with the total field, the solar wind speed, and solar wind density. The  
 142 identified interplanetary CME (ICME) in situ arrival time is indicated by the vertical  
 143 solid black line, while the vertical dashed black line is the start date of the magnetic  
 144 flux rope. The ICME in situ signatures reveal a density enhancement but no shock  
 145 about 1 hour ahead of a magnetic flux rope (MFR). This density enhancement is used  
 146 to define the arrival time at Earth, on February 7, 2010 18:04 UT, with an arrival speed  
 147 of  $406 \pm 2 \text{ km s}^{-1}$ . The ICME times and speeds are taken from the HELCATS ICME-  
 148 CAT catalog (version 2.0; Möstl et al., 2020, see also the links in the data section),  
 149 which gives an in situ arrival time of the ICME in question at the Wind spacecraft  
 150 located in a Lissajous orbit around Lagrange point 1.

151 To get the propagation direction and the half width of the CME we use the  
 152 Ecliptic cut Angles from GCS for ELEvoHI tool (EAGEL, Hinterreiter et al., 2021),  
 153 which incorporates the Graduated Cylindrical Shell method (GCS, A. Thernisien,  
 154 Vourlidas, & Howard, 2009; A. F. R. Thernisien, Howard, & Vourlidas, 2006). Figure 2  
 155 shows STEREO-A coronagraph images used to perform GCS fitting. STEREO/COR2  
 156 have a FOV from  $2 - 15 R_\odot$  with a cadence of the coronagraph science images of about  
 157 15 minutes. GCS fitting was performed based on COR2 images from both, STEREO-  
 158 A and STEREO-B spacecraft (no LASCO data available for this event), on February  
 159 3, 2010 15:54 UT. At this time, the CME front was clearly visible and already far out  
 160 in the coronagraph images. The GCS fitting parameters in Stonyhurst coordintate  
 161 system are: longitude  $355^\circ$ , latitude:  $-17^\circ$ , tilt angle:  $-1^\circ$ , aspect ratio: 0.33, half  
 162 angle:  $30^\circ$ . Based on the ecliptic cut, the half width used in this study is  $40^\circ$ , and the  
 163 CME propagation direction is set to  $68^\circ$  with respect to STEREO-A, which corresponds  
 164 to  $4^\circ$  East of Earth. These values serve as initial input to ELEvoHI. The STEREO-  
 165 A/COR2 images are further used to get an estimate of the latitudinal extent of the  
 166 CME (see Figure 2).

### 167 3 Methods

168 In the following paragraphs, we describe the ELEvoHI ensemble model and the  
 169 input data needed to obtain an estimate of the arrival time and speed at any location

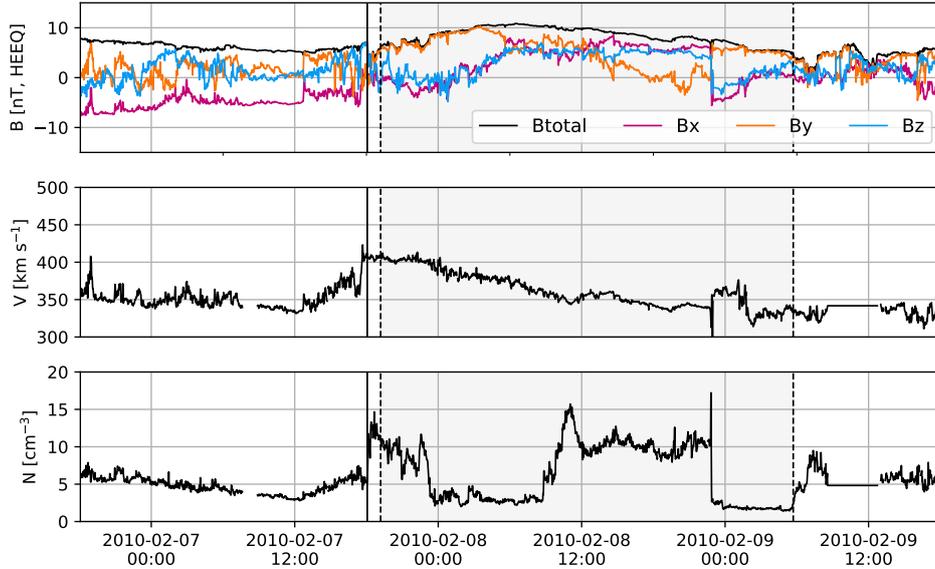


Figure 1: In situ signatures of the studied CME. The vertical solid black line indicates the defined arrival time of the CME, which is February 7, 2010 18:04 UT. The vertical dashed black lines define the start and the end time of the magnetic flux rope. The top panel shows the total magnetic field and the individual components. The middle and the lower panel show the solar wind speed and density at Wind spacecraft, respectively.

170 in the heliosphere (Section 3.2). An essential input to the model is the ambient solar  
 171 wind speed in the ecliptic. We therefore employ three different ambient solar wind  
 172 models, introduced in Section 3.1. The implementation of the deformable front in  
 173 ELEvoHI not only requires the solar wind bulk speed but also the solar wind mass  
 174 density, both as a function of radial distance and in the ecliptic plane (Section 3.3).  
 175 For the CME, we assume the longitudinal and latitudinal expansion to be constant as  
 176 well as a constant mass during the whole propagation in the heliosphere.

### 177 3.1 Ambient Solar Wind models

178 The three ambient solar wind models considered in this study are the Helio-  
 179 spheric Upwind eXtrapolation model (HUX; Reiss et al., 2019, 2020), the Heliospheric  
 180 Upwind eXtrapolation with time dependence model (HUXt; M. Owens et al., 2020),  
 181 and EUropean Heliospheric FORecasting Information Asset (EUHFORIA; Pomoell  
 182 & Poedts, 2018), which exhibit some differences. HUX and HUXt are based on the  
 183 solution of the 1D incompressible hydrodynamics equations, whereas EUHFORIA is  
 184 based on the solution of the full 3D MHD equations. Additionally, HUX and EUHFOR-  
 185 IRIA provide a static solution of the ambient solar wind for a full Carrington rotation,  
 186 HUXt provides a map of the ambient solar wind speed for each time step. Important  
 187 for the deformable front is an estimate not only for the ambient solar wind speed but  
 188 also for the ambient solar wind density. Contrary to the other two models, EUHFOR-  
 189 IRIA self-consistently models the plasma dynamics and thus also provides the ambient  
 190 solar wind density,  $n$ . For HUX and HUXt, we rely on an empirical relation proposed  
 191 by Eyni and Steinitz (1980):

$$n(r, w) = 1.3 \times 10^6 r^{-2.0} w^{-2.0}, \quad (1)$$

192 where  $r$  [AU] is the radial distance and  $w$  [km s<sup>-1</sup>] the solar wind speed. Hence,  
 193  $n$ , [protons cm<sup>-3</sup>] is not only dependent on the radial distance to the Sun but also on  
 194 the ambient solar wind speed, leading to a structured ambient solar wind density.

### 195 **3.1.1 HUX**

196 To model the physical conditions in the evolving ambient solar wind flow, we  
 197 use the numerical framework discussed in Reiss et al. (2019, 2020). We specifically use  
 198 magnetic maps of the photospheric magnetic field from the Global Oscillation Network  
 199 Group (GONG) provided by the National Solar Observatory (NSO) as input to mag-  
 200 netic models of the corona. Using the Potential Field Source Surface model (PFSS;  
 201 Altschuler & Newkirk, 1969; Schatten, Wilcox, & Ness, 1969) and the Schatten current  
 202 sheet model (SCS; Schatten, 1971) we compute the global coronal magnetic field topol-  
 203 ogy. While the PFSS model attempts to find the potential magnetic field solution in the  
 204 corona with an outer boundary condition that the field is radial at the source surface at  
 205 2.5 R<sub>⊙</sub>, the SCS model in the region between 2.5 and 5 R<sub>⊙</sub> accounts for the latitudinal  
 206 invariance of the radial magnetic field as observed by Ulysses (Y.-M. Wang & Sheeley,  
 207 1995). From the global magnetic field topology, we calculate the solar wind conditions  
 208 near the Sun using the established Wang-Sheeley-Arge (WSA) relation Arge, Odstrcil,  
 209 Pizzo, and Mayer (2003); Riley and Lionello (2011); Y.-M. Wang and Sheeley (1995)  
 210 as described in Reiss et al. (2019). To evolve the solar wind solutions from near the  
 211 Sun to Earth, we use the Heliospheric Upwind eXtrapolation model (HUX) Riley and  
 212 Lionello (2011). The HUX model simplifies the fluid momentum equation as much  
 213 as possible, by neglecting the pressure gradient and the gravitation term in the fluid  
 214 momentum equations as proposed by Riley and Lionello (2011). The model solutions  
 215 match the dynamical evolution explored by global heliospheric MHD codes fairly well  
 216 while having low processor requirements.

217 HUX provides a static solution of the ambient solar wind for a full Carrington  
 218 rotation. The data spans from 5 to 430 R<sub>⊙</sub> with a radial resolution of 1 R<sub>⊙</sub> while the  
 219 longitudinal resolution is 2°.

### 220 **3.1.2 HUXt**

221 HUXt is a solar wind numerical model that treats the solar wind as a 1D incom-  
 222 pressible, time-dependent hydrodynamic flow (M. Owens et al., 2020). This reduced  
 223 physics approach enables very efficient computational solutions, which are approxi-  
 224 mately 10<sup>3</sup> times faster than comparable 3D MHD solar wind solutions. Nonetheless,  
 225 HUXt can closely emulate the solar wind speed output of full 3D MHD solar wind  
 226 models (M. Owens et al., 2020). Consequently, HUXt can be a useful surrogate in  
 227 situations where full 3D MHD solar wind simulations are too computationally expen-  
 228 sive - for example, large ensemble simulations (Barnard, Owens, Scott, & de Koning,  
 229 2020). The only boundary condition of HUXt is the solar wind speed on the inner  
 230 boundary, which is typically derived from the output of coronal models.

231 For this study we use the HUXt model with the inner boundary conditions from  
 232 WSA, provided by the CCMC. HUXt data starts at 21.5 R<sub>⊙</sub>, corresponding the outer  
 233 boundary from the WSA, and reaches up to 300.5 R<sub>⊙</sub> with a resolution of 1 R<sub>⊙</sub>. The  
 234 longitudinal resolution is 0.7° while the temporal resolution is given by 3.865 minutes.

### 235 **3.1.3 EUHFORIA**

236 As noted in the previous sections, EUHFORIA models the dynamical evolution  
 237 of the solar wind in the inner heliosphere by numerically solving the equations of  
 238 single-fluid magnetohydrodynamics (including gravity) in a three-dimensional volume  
 239 starting at a heliocentric distance of 0.1 AU. On the sphere defining the inner radial

240 boundary, the MHD quantities representing the solar wind at that heliocentric distance  
 241 need to be specified. This is most often done by employing empirical relations that are  
 242 based on magnetic field models of the low and extended corona using the PFSS and  
 243 SCS models, respectively. For this study, as input to the coronal model, a synoptic  
 244 magnetogram constructed from SOHO/MDI observations for Carrington rotation 2093  
 245 as provided by the Joint Science Operations Center (JSOC) was used.

246 To arrive at a solution describing the heliospheric plasma conditions at a given  
 247 time, EUHFORIA solves the MHD equations in the HEEQ coordinate frame until a  
 248 steady-state solution in the co-rotating frame is achieved. Thus, after this time, if  
 249 the boundary conditions do not evolve in this frame, the solution remains unchanged.  
 250 Employing this assumption in this study, the solar wind conditions like for HUX, are  
 251 provided as a steady-state solution for a full Carrington rotation. The model output  
 252 spans from 20.56 to 324.43  $R_{\odot}$  with a resolution of 0.94  $R_{\odot}$  while the longitudinal  
 253 and latitudinal resolution is  $1^{\circ}$ . EUHFORIA not only provides the ambient solar wind  
 254 speed but all MHD quantities and therefore self-consistently provides the ambient solar  
 255 wind density. Note that for this study, from the model output a two-dimensional slice  
 256 of data representing the ecliptic plane is henceforth used in all the analysis.

### 257 3.2 ELEvoHI ensemble modeling

258 ELEvoHI uses HI time-elongation profiles of CME fronts and assumes an elliptical  
 259 shape for those fronts to derive their interplanetary kinematics. The model converts the  
 260 resulting time-elongation profiles to time-distance profiles, assuming an elliptic frontal  
 261 shape using the ELEvoHI built-in procedure ELCon. Furthermore, ELEvoHI accounts  
 262 for the effect of the drag force exerted by the ambient solar wind. The interaction of  
 263 the CME with the solar wind, that can effectively be described by introducing a drag  
 264 term in the equation of motion, is an essential factor influencing the dynamic evolution  
 265 of CMEs in the heliosphere. ELEvoHI incorporates a drag-based equation of motion  
 266 (DBM; Vršnak et al., 2013) to fit the time-distance tracks. Within these profiles, the  
 267 user has to manually define the start- and end point for the DBM fit. For this event  
 268 they are set to around 30  $R_{\odot}$  and 65  $R_{\odot}$ , respectively. In order to account for the  
 269 de-/acceleration of the CME due to drag, an estimate of the ambient solar wind speed  
 270 is needed.

271 In a previous study by Amerstorfer et al. (2021), the authors applied different ap-  
 272 proaches to get an estimate of the ambient solar wind speed used as input to ELEvoHI.  
 273 They tested 1) the ambient solar wind speed from the HUX model, 2) a range of possi-  
 274 ble solar wind speeds (225 – 625  $\text{km s}^{-1}$ ), and 3) solar wind speed measured at  
 275 L1 during the evolution of the CME, and found the best results based on the HUX  
 276 ambient solar wind conditions.

277 In this study we make use of three different ambient solar wind models: HUX,  
 278 HUXt, and EUHFORIA. The ambient solar wind speeds in the ecliptic plane for each  
 279 model can be seen in Figure 3, with snapshots of the ELEvoHI modeled CME fronts.  
 280 The estimate of the ambient solar wind speed used for DBM fitting is obtained identi-  
 281 cally for each model. We only consider the region of the full ambient solar wind speed  
 282 data according to the start- and end-point selected by the user, the CME propagation  
 283 direction, and the half width for each ensemble member. This corresponds to the ra-  
 284 dial extent used for DBM fitting (see Section 3.3 in Hinterreiter et al., 2021). From  
 285 that region we take the median of the solar wind speed and define the uncertainties to  
 286 be  $\pm 100 \text{ km s}^{-1}$ , based on a study by Reiss et al. (2020), where the authors considered  
 287 nine years (mid 2006 to mid 2015) and report a mean absolute error of the HUX solar  
 288 wind speed prediction with respect to the in situ speed of 91  $\text{km s}^{-1}$  (see Section  
 289 3.3 in Hinterreiter et al., 2021, for more details). For consistency, we also apply the  
 290 same uncertainties for the obtained median solar wind speed for the HUXt and the

291 EUHFORIA ambient solar wind models. We then split the ambient solar wind speed  
 292 with its uncertainty into steps of  $25 \text{ km s}^{-1}$ , leading to nine different input speeds to  
 293 ELEvoHI. For each of the nine input speeds DBM fitting is performed. ELEvoHI then  
 294 selects the combination of drag parameter and ambient solar wind speed that best  
 295 fits the time-distance profile for each ensemble member (for a detailed description see  
 296 Rollett et al., 2016).

297 The selected drag parameter,  $\gamma$ , and solar wind speed,  $w$ , from DBM fitting  
 298 are assumed to be valid for the entire propagation of the apex, which is defined by  
 299 Equation 2 and Equation 3 (Vršnak et al., 2013):

$$v(t) = \frac{v_0 - w}{1 \pm \gamma(v_0 - w)t} + w \quad (2)$$

$$r(t) = \pm \ln[1 \pm \gamma(v_0 - w)t] + wt + r_0, \quad (3)$$

301 with  $v_0$  as the initial CME speed while  $t$  defines the time of the CME propagation.  
 302 An important factor in these equations is the sign of  $\gamma$ . It is defined so that the CME  
 303 accelerates when the sign is negative while the CME front decelerates when the sign  
 304 of  $\gamma$  is positive.

305 In order to get the shape and the propagation direction of the CME we make use  
 306 of the EAGEL tool (Hinterreiter et al., 2021). It provides the propagation direction  
 307 with respect to the observer ( $\phi = 68^\circ$ , with respect to STA) and half width ( $\lambda = 40^\circ$ ).  
 308 The inverse ellipse aspect ratio,  $f$ , defines the shape of the assumed CME front in the  
 309 ecliptic plane, where  $f = 1$  represents a circular front, while  $f < 1$  corresponds to  
 310 an elliptical CME front (with the semi-major axis perpendicular to the propagation  
 311 direction).

312 ELEvoHI is operated in ensemble mode by varying  $\phi$ ,  $\lambda$ , and  $f$  (for a detailed  
 313 description see Amerstorfer et al., 2018). The parameters  $\phi$  and  $\lambda$  vary over a range  
 314 of  $\pm 10^\circ$  with a step size of  $2^\circ$  and  $5^\circ$ , respectively. The range  $\pm 10^\circ$  is based on a study  
 315 by Mierla et al. (2010), in which the authors report an uncertainty in the parameters  
 316 when different users manually perform GCS reconstruction. For  $f$  we set a fixed range  
 317 from  $0.7 - 1.0$  (0.1 step size). Thus we get a total of 220 ensemble members for one  
 318 event (i.e. 11 values of  $\phi$ , 5 values of  $\lambda$  and 4 values of  $f$ ). When running ELEvoHI  
 319 in ensemble mode, we get a frequency distribution from which we can calculate the  
 320 median, mean and standard deviation of the modeled CME arrival time and speed.  
 321 In addition, we can give a probability for whether a CME is likely to hit Earth or  
 322 not. When all of the 220 ensemble members model an arrival at Earth, we assume the  
 323 likelihood of an Earth hit to be 100%.

### 324 3.3 Implementation of the deformable CME front

325 In the original version of ELEvoHI, i.e. for the elliptical front, the apex of the  
 326 CME propagates the whole way through the heliosphere according to the ambient solar  
 327 wind speed and drag parameter obtained from DBM fitting.

328 For the deformable front, however,  $\gamma$  and  $w$  from the DBM fit are not considered  
 329 for the entire propagation of the CME front, but only up to about  $65 R_\odot$  (corresponding  
 330 to the endcut of the DBM fit defined by the user). At this distance we start a transition  
 331 from the rigid elliptical front to a deformable front. We define the front to consist of  
 332 101 points, leading to a longitudinal resolution of about  $1^\circ$  when assuming a half width  
 333 of  $50^\circ$ . With decreasing  $\lambda$  the longitudinal resolution increases. Each point of the front  
 334 can propagate individually according to the different ambient solar wind conditions.  
 335 We therefore need to know the parameters in Equation 2 and 3 ( $v_0$ ,  $w$ ,  $\gamma$ ) at each time  
 336 and location in the heliosphere. The CME frontal speed for each point,  $v_0$ , is obtained  
 337 from the previous time step, while the solar wind speed,  $w$ , for each time and location

338 is taken from the ambient solar wind models. To derive the drag parameter,  $\gamma$ , for  
 339 each time and location we have to make further assumptions. That is, the longitudinal  
 340 and latitudinal expansion as well as the mass,  $M$ , of the CME is constant during the  
 341 entire propagation.

342 In order to obtain an estimate of  $M$ , we use a similar approach as Amerstorfer  
 343 et al. (2018) and rearrange Equation 4 (Cargill, 2004):

$$\gamma(r) = c_d \frac{A(r)n(r, w)}{M}, \quad (4)$$

344 where  $\gamma$  is the drag parameter,  $c_d$  is a dimensionless drag coefficient and is set to  
 345 1 in this study.  $A$  is the cross-sectional area of the CME,  $n$  is the ambient solar wind  
 346 density. We get  $\gamma$  and  $w$  from DBM fitting, i.e. the drag parameter and the ambient  
 347 solar wind at the transition from rigid to deformable front. Also the radial distance  
 348 of the front at this time is known, so  $n(r, w)$  can be derived from Equation 1 and  
 349  $A(r)$  can be calculated (see below). Note that  $n$  is provided by EUHFORIA and can  
 350 therefore directly be used within ELEvoHI. An estimate of the CME mass can now be  
 351 given based on DBM fitting. Furthermore,  $\gamma$  can be expressed by the radial distance  
 352 and the solar wind density at any location in the heliosphere, by assuming a constant  
 353 mass.

354 To get an estimate of the cross-sectional area,  $A$ , at different time steps of the  
 355 model, we assume a constant expansion in longitude and latitude. The longitudinal  
 356 extent of the CME is obtained by EAGEL and is defined by  $\lambda$ . For the latitudinal  
 357 extent, we make use of STEREO coronagraph images (see Figure 2). We first define  
 358 the main latitudinal propagation direction (red solid line in Figure 2c). Next, two  
 359 parallel lines are added at the maximum northern and southern extent of the CME  
 360 (dashed red lines in Figure 2c). The magenta line is orthogonal to the red lines and  
 361 indicates the CME front. The intercept of the magenta line with the dashed red lines  
 362 represents the maximum latitudinal extent of the CME. The blue solid lines connect  
 363 the two intercepts with the solar center and therefore provide an angle ( $\kappa$ ) for the  
 364 latitudinal extent of the CME ( $\kappa = 28^\circ$  for this event). As mentioned above,  $\kappa$  is  
 365 assumed to be constant during the propagation. In good approximation, the cross-  
 366 sectional area can be considered as an ellipse ( $A = ab\pi$ ). The semi major axis,  $a$ , is  
 367 defined by  $\lambda$  and can be calculated for each radial distance from the Sun. The same  
 368 applies for the semi minor axis,  $b$ , which is dependent on  $\kappa/2$  and the radial distance.  
 369 As a consequence,  $A$  can be expressed with regard to the radial distance of the CME  
 370 front to the Sun, i.e.  $A = A(r)$ .

371 With the assumptions mentioned previously, all the parameters in Equation 2  
 372 and 3 at any time and location in the heliosphere can be estimated. So, at around  
 373  $65 R_\odot$  we perform a transition from the rigid elliptical CME front to the deformable  
 374 front that is able to react to the different solar wind conditions. We set this distance in  
 375 agreement with M. J. Owens, Lockwood, and Barnard (2017), who found that at about  
 376 0.3 AU the majority of CMEs can no longer be considered as coherent structures. We  
 377 set the temporal resolution for the deformable front to 15 minutes. Only for HUXt  
 378 the temporal resolution is set to be 15.46 minutes, which corresponds to 4 times the  
 379 temporal resolution of the model output.

380 Note that the results for the rigid elliptical front are still generated, allowing us  
 381 to compare the modeled arrivals for the different implementations of the ELEvoHI.

## 382 4 Results

383 Figure 3 shows one ensemble member of the elliptical front (green) and all the  
 384 ensemble members of the deformed front (red) for the three different ambient solar wind

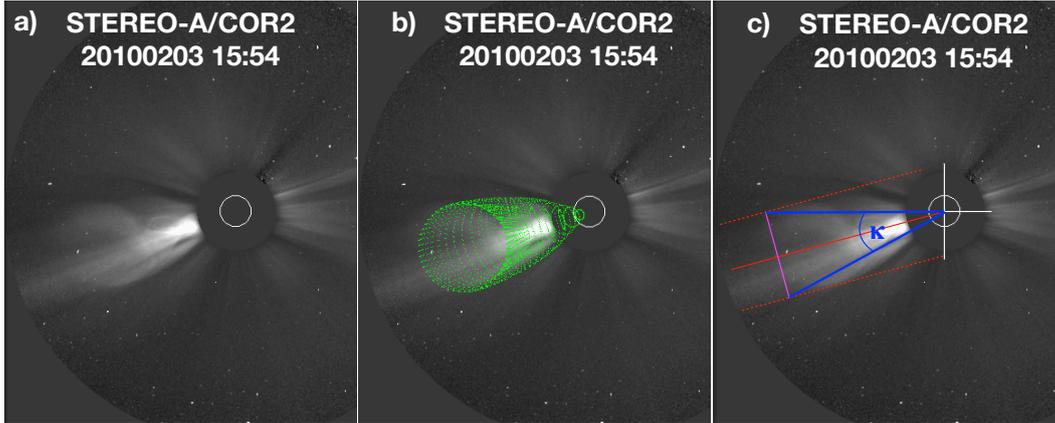


Figure 2: STEREO-A coronagraph images for the CME on February 3, 2010. a) COR2 image at 15:54 UT. b) Same as a) with the GCS wireframe overplotted. c) COR2 image with the definition of the latitudinal extent of the CME. The red dashed lines represent the maximum extent (north and south) of the CME as viewed from the propagation direction in the latitude (solid red line). The solid magenta line defines the CME front. The angle ( $\kappa$ ) between the solid blue lines represents the latitudinal extent of the CME.

385 models used as input. The dark red deformed front corresponds to the single ensemble  
 386 member shown in green for the elliptical front. The ELEvoHI input parameters for  
 387 this ensemble member are:  $\phi = 68^\circ$  with respect to STEREO-A (corresponding to  $4^\circ$   
 388 with respect to Earth),  $\lambda = 40^\circ$  and  $f = 0.7$ . In Table 1 we list the modeled arrival  
 389 times for the elliptical and the deformed front for the three ambient solar wind models.  
 390 Note that all of the individual ensemble members estimate an arrival at Earth giving  
 391 a 100% chance of an Earth hit. Table 1 further lists the modeled arrival times at  
 392 two different predefined positions in the heliosphere, called virtual spacecraft (VSC).  
 393 VSC1 and VSC2 are located  $\pm 30^\circ$  East and West of Earth, respectively. We include  
 394 these two additional locations in order to assess the CME propagation at the flanks.  
 395 Furthermore, introducing VSC1 and VSC2 allows us to point out the differences based  
 396 on the three ambient solar wind models at other longitudes. In contrast to the 100%  
 397 chance of an arrival at Earth, not all ensemble members are estimated to arrive at  
 398 VSC1 and VSC2. The reason can be found in the changing propagation direction and  
 399 half width for each of the ensemble members.

#### 400 4.1 Model results for the elliptical front

401 From Table 1 it can be seen that the elliptical fronts of all of the solar wind  
 402 models estimate the Earth arrival too early (in situ arrival time is defined to be Febru-  
 403 ary 7, 2010 18:04 UT). The modeled arrival times are February 7, 2010 10:54 UT  
 404  $\pm 0.7$  hours, February 7, 2010 12:04 UT  $\pm 0.6$  hours, and February 7, 2010 09:34  
 405 UT  $\pm 1.1$  hours for ELEvoHI/HUX, ELEvoHI/HUXt, and ELEvoHI/EUHFORIA,  
 406 respectively. The largest difference within the ambient solar wind models is found for  
 407 ELEvoHI/HUXt and ELEvoHI/EUHFORIA with 2.5 hours. This leads to more than  
 408 8.5 hours difference for the calculated arrival time based on ELEvoHI/EUHFORIA  
 409 with respect to the actual in situ arrival time. Also the modeled arrival times for the  
 410 virtual spacecraft, differ up to about 3.5 hours for VSC1 and 3 hours for VSC2.

411 To find the reasons for the differences, we check the median ambient solar wind  
 412 speed in the range corresponding to the start- and endcut of the DBM fit of each model.  
 413 From ELEvoHI/HUX we obtain  $455 \text{ km s}^{-1}$ , from ELEvoHI/HUXt it is  $421 \text{ km s}^{-1}$ .

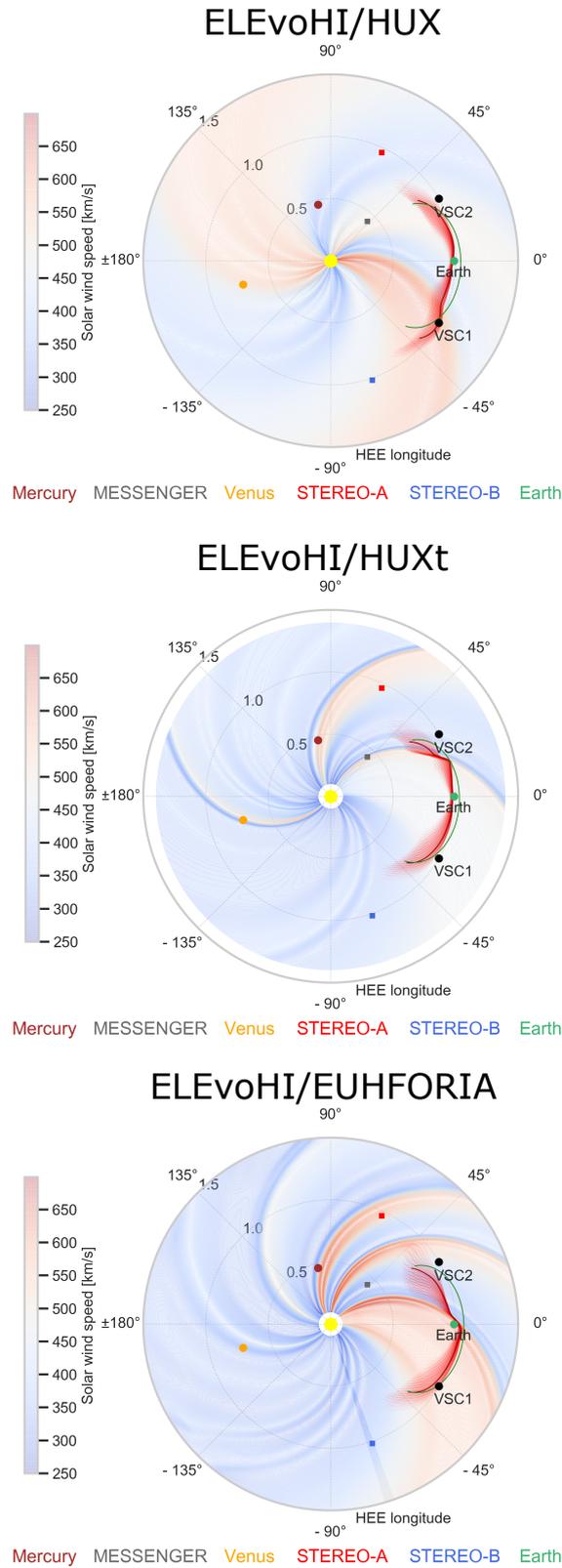


Figure 3: Snapshots of the ELEvoHI model results. From top to bottom the CME fronts based on HUX, HUXt, and EUHFORIA are shown. The green solid line represents the elliptical CME front (for one individual ensemble member) and the red lines represent the deformed fronts. The dark red line corresponds to the same individual run as for the elliptical CME front (green line). Plotted in black are the positions of the virtual spacecraft (VSC1 and VSC2), which are located  $\pm 30^\circ$  East and West of Earth. The positions of additional planets and spacecraft are indicated by the colored circles and squares, respectively.

Table 1: Modeled arrival times for different ambient solar wind models and locations for the elliptical and the deformed CME front. Given are the median arrival times with the standard deviation as uncertainty.  $\Delta_{\text{insitu}}$  lists the difference to the in situ arrival time for both the elliptical and deformed front.  $\Delta_{\text{shape}}$  gives the difference between the two frontal shapes, where a positive value represents a later arrival of the deformed front. The in situ arrival time is defined to be February 7, 2010 18:04 UT.

Location	AT <sub>ellipse</sub> [UT $\pm$ h]	$\Delta_{\text{insitu}}$ [h]	AT <sub>deformed</sub> [UT $\pm$ h]	$\Delta_{\text{insitu}}$ [h]	$\Delta_{\text{shape}}$ [h]
<b>ELEvoHI/HUX</b>					
Earth	2010-02-07 10:54 $\pm$ 0.7	-7.2	2010-02-07 16:21 $\pm$ 0.6	-1.7	5.5
VSC1	2010-02-07 22:44 $\pm$ 10.2	—	2010-02-07 17:51 $\pm$ 3.0	—	-4.9
VSC2	2010-02-08 05:24 $\pm$ 9.7	—	2010-02-08 04:06 $\pm$ 3.7	—	-1.3
<b>ELEvoHI/HUXt</b>					
Earth	2010-02-07 12:04 $\pm$ 0.6	-6.0	2010-02-07 16:26 $\pm$ 0.5	-1.6	4.4
VSC1	2010-02-08 00:04 $\pm$ 10.2	—	2010-02-08 02:14 $\pm$ 5.2	—	2.1
VSC2	2010-02-08 06:44 $\pm$ 10.2	—	2010-02-08 14:21 $\pm$ 6.0	—	7.6
<b>ELEvoHI/EUHFORIA</b>					
Earth	2010-02-07 09:34 $\pm$ 1.1	-8.5	2010-02-07 11:51 $\pm$ 0.6	-6.2	2.3
VSC1	2010-02-07 20:39 $\pm$ 10.2	—	2010-02-07 22:29 $\pm$ 5.2	—	1.8
VSC2	2010-02-08 03:44 $\pm$ 9.2	—	2010-02-08 13:06 $\pm$ 9.0	—	9.4

414 For ELEvoHI/EUHFORIA the median ambient solar wind speed is  $561 \text{ km s}^{-1}$  (more  
415 than  $100 \text{ km s}^{-1}$  faster than for the other two models). The in situ solar wind speed is  
416 roughly  $500 \text{ km s}^{-1}$  about 3.5 days prior to the actual arrival and gradually decreases  
417 to about  $350 \text{ km s}^{-1}$  (see Figure 7). When checking the speed from the best DBM  
418 fit, we find for ELEvoHI/HUX:  $555 \text{ km s}^{-1}$ , for ELEvoHI/HUXt:  $521 \text{ km s}^{-1}$ , and  
419 for ELEvoHI/EUHFORIA:  $661 \text{ km s}^{-1}$ , indicating that ELEvoHI selects the fastest  
420 ambient solar wind available. The drag parameters,  $\gamma$ , are  $2.73 \times 10^{-8} \text{ km}^{-1}$  for  
421 ELEvoHI/HUX,  $4.20 \times 10^{-8} \text{ km}^{-1}$  for ELEvoHI/HUXt, and  $1.07 \times 10^{-8} \text{ km}^{-1}$  for  
422 ELEvoHI/EUHFORIA. The  $\gamma$  obtained for all the models seems to be roughly in the  
423 same range of other studies (see, e.g. Dumbović et al., 2018; Rollett et al., 2016; Vršnak  
424 et al., 2013). Even with the largest  $\gamma$ , in this case the highest acceleration, the HUXt  
425 based model provides the latest arrival at Earth.

## 426 4.2 Model results for the deformed front

427 Next, we compare the modeled arrival times for the deformed front based on the  
428 three different ambient solar wind models. Here we find an almost identical modeled  
429 arrival time for ELEvoHI/HUX and ELEvoHI/HUXt on February 7, 2010 16:21 UT  
430 and 16:26 UT, respectively (see Table 1). They are about two hours too early with  
431 respect to the actual in situ arrival time, while ELEvoHI/EUHFORIA models the  
432 arrival time more than 6 hours too early. The calculated arrival times at VSC1 exhibit  
433 quite large differences of more than 8.5 hours for ELEvoHI/HUX and ELEvoHI/HUXt.  
434 At VSC2 location, the calculated arrival times show even larger differences of more  
435 than  $\sim 10$  hours.

436 To find the reason for the arrival time variations based on the ambient solar wind  
437 models, we check the input parameters to the deformable front right at the transition  
438 from the elliptical to the deformed front. The CME speed at the transition is similar  
439 based on all the three ambient solar wind models and reaches  $404 \text{ km s}^{-1}$ , while a  
440 calculated cross-sectional area,  $A$ , of  $6.93 \times 10^{14} \text{ km}^2$  is obtained.  $\gamma$  and  $n$  are based

441 on the DBM fit and therefore lead to different values for each ambient solar wind  
 442 model. When expressing  $M$  from Equation 4 we get  $1.17 \times 10^{15}$  g for ELEvoHI/HUX,  
 443  $1.61 \times 10^{15}$  g for ELEvoHI/HUXt, and  $3.92 \times 10^{15}$  g for ELEvoHI/EUHFORIA, which  
 444 is more than two times larger than for the other two models. However, these values  
 445 are in good agreement with the CME mass estimated based on coronagraph images  
 446 of  $1.45 \pm 0.15 \times 10^{15}$  g. In coronagraph images, the CME mass is defined via the  
 447 excess brightness in the white-light image. Assuming a composition of 90% hydrogen  
 448 and 10% helium, the brightness is converted into electron mass (see Billings 1966).  
 449 A detailed description of how the CME mass is estimated can be found in Colaninno  
 450 and Vourlidas (2009) and Bein, Temmer, Vourlidas, Veronig, and Utz (2013), while  
 451 de Koning (2017) provides a discussion regarding the uncertainties. In Figure 4 the  
 452 calculated mass based on the three different ambient solar wind models are shown.  
 453 The red vertical line indicates the input parameters for the individual run shown in  
 454 dark red in Figure 3. For all the input parameters from the ensemble mode to the  
 455 deformable front see the supplementary material .

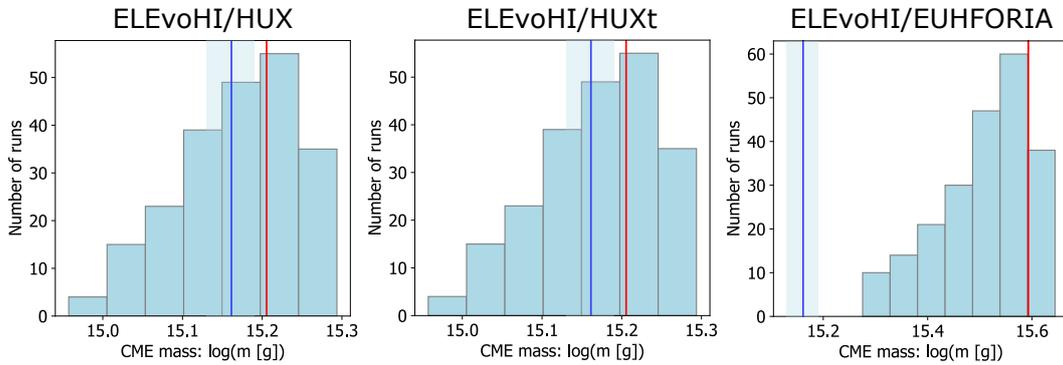


Figure 4: Calculated masses for each individual ensemble member and the three ambient solar wind models. The red vertical line represents the mass obtained for the individual ensemble run plotted in dark red in Figure 3. The blue vertical line indicates the CME mass with its uncertainty obtained from coronagraph images.

### 4.3 Deformation measure

456  
 457 In Figure 3 the green solid line represents the ELEvoHI elliptical CME front,  
 458 while the dark red solid line is the deformed front for one ensemble member. We  
 459 further aim to find a measure to determine the deformation of the CME front with  
 460 regard to the elliptical front. To do so, we calculate the mean of the absolute difference  
 461 in radial coordinate ( $\Delta F$ ) of each point from the elliptical and the deformed CME front  
 462 at the arrival time at Earth. This gives a first indication on the difference between  
 463 the elliptical and the deformed front. However, this value is not just dependent on  
 464 the deformation, but also changes when the deformed front propagates faster or slower  
 465 than the elliptical front. Hence, we provide an additional parameter,  $\sigma F$ , which is  
 466 defined to be the standard deviation of the absolute differences for each point on the  
 467 CME front. A larger value of  $\sigma F$  represents a more deformed CME front. For the single  
 468 ensemble member (dark red and green lines shown in Figure 3) of ELEvoHI/HUX, we  
 469 obtain  $\Delta F = 12.1 R_{\odot}$  and  $\sigma F = 7.3 R_{\odot}$ . The parameters for ELEvoHI/HUXt are  
 470  $\Delta F = 9.2 R_{\odot}$  and  $\sigma F = 4.2 R_{\odot}$  and for ELEvoHI/EUHFORIA we obtain  $\Delta F =$   
 471  $11.5 R_{\odot}$  and  $\sigma F = 6.8 R_{\odot}$ . Based on the  $\sigma F$  values for the different ambient solar  
 472 wind models, the ELEvoHI/HUX results show the largest deformation, followed by the  
 473 ELEvoHI/EUHFORIA and ELEvoHI/HUXt. To get an impression for these values,  
 474 we also calculate these measures only for the elliptical front on February 7, 2010

475 13:00 UT and 5 hours later (February 7, 2010 18:00 UT) for ELEvoHI/HUX. We find  
 476  $\Delta F = 11.0 R_{\odot}$  and  $\sigma F = 0.8 R_{\odot}$ , indicating that the CME front shows almost no  
 477 deformation but the absolute difference between the CME points is comparable to the  
 478 deformed front.

#### 479 4.4 Behavior of the propagation parameters

480 Another interesting point is how the individual parameters develop during the  
 481 propagation of the CME front in the heliosphere. We therefore consider the ambi-  
 482 ent solar wind speed, the CME frontal speed, the drag parameter, and the ambient  
 483 solar wind density. In Figure 5 these parameters are plotted for ELEvoHI/HUX,  
 484 ELEvoHI/HUXt, and ELEvoHI/EUHFORIA, respectively. The plots further show  
 485 the four parameters for three different propagation directions along predefined longi-  
 486 tudes: Earth, VSC1, and VSC2. Earth direction (black) is the longitude corresponding  
 487 to Earth location. VSC1 (red) and VSC2 (blue) are virtual spacecraft located 30° East  
 488 and West of Earth, respectively. For the ELEvoHI/HUX Earth direction the ambient  
 489 solar wind is in the range of 450 km s<sup>-1</sup>. The same applies for the ELEvoHI/HUXt  
 490 Earth direction, while here the ambient solar wind starts slightly below 450 km s<sup>-1</sup>.  
 491 The ambient solar wind speed for ELEvoHI/EUHFORIA shows the largest variation  
 492 starting from roughly 500 km s<sup>-1</sup>, rising to about 650 km s<sup>-1</sup> and coming back to  
 493 about 500 km s<sup>-1</sup>.

494 A striking feature in Figure 5 is that the ambient solar wind speed shows 'jumps'  
 495 for ELEvoHI/HUX and ELEvoHI/EUHFORIA nearly throughout the entire propaga-  
 496 tion and for almost every longitude plotted. The reason can be found in the static  
 497 solution of the ambient solar wind speed provided by these models and the temporal  
 498 resolution of ELEvoHI. In order to select the corresponding ambient solar wind speed  
 499 at a given time and location in the heliosphere, we purely rotate the solar wind  
 500 output according to the correct time. The small 'jumps' in the plot arise from changing  
 501 from one grid cell to the other in the radial direction, while the large 'jumps' are due  
 502 to the change from one longitude to the next. The 'jumps' in  $\gamma$  and  $n$  are due to the  
 503 'jumps' in the solar wind speed since these parameters are derived from the solar wind  
 504 speed. Even though the ELEvoHI/HUXt ambient solar wind model is time dependent  
 505 (with a resolution of 3.865 minutes) the speeds also exhibit small 'jumps'. They occur,  
 506 however, only in regions where the ambient solar wind changes significantly during a  
 507 short period of time (see VSC2 in the HUXt panel in Figure 5).

508 For all of the ambient solar wind models the CME frontal speeds, at the three  
 509 predefined longitudes, do not reach the ambient solar wind speed leading to a contin-  
 510 uous acceleration of the front up to L1 distance (roughly 214 R<sub>⊙</sub>).  $\gamma$  is quite small for  
 511 all the models and directions already in the beginning, with the exception of VSC2 di-  
 512 rection for ELEvoHI/HUXt. Furthermore,  $\gamma$  decreases due to the decreasing ambient  
 513 solar wind density,  $n$ , when the front is farther out in the heliosphere. Therefore, it  
 514 is less likely that the CME catches up with the ambient solar wind farther out in the  
 515 heliosphere. For ELEvoHI/EUHFORIA however, it can be seen that at about 320 R<sub>⊙</sub>  
 516 the CME speed is higher than the ambient solar wind speed. This directly leads to  
 517 change in sign of  $\gamma$  and corresponds to a deceleration of the CME front within Earth  
 518 direction.

519 The modeled arrival time for the deformed front shows the largest discrepancy to  
 520 the actual in situ arrival time for the ELEvoHI/EUHFORIA combination. We believe  
 521 that this mainly arises from the high ambient solar wind speed. While the Earth-  
 522 directed part for ELEvoHI/HUX and ELEvoHI/HUXt only slightly accelerates, the  
 523 modeled speed from ELEvoHI/EUHFORIA increases from about 400 km s<sup>-1</sup> up to  
 524 more than 475 km s<sup>-1</sup> at the end of the simulation, resulting in an even earlier arrival  
 525 than for ELEvoHI/HUX and ELEvoHI/HUXt.

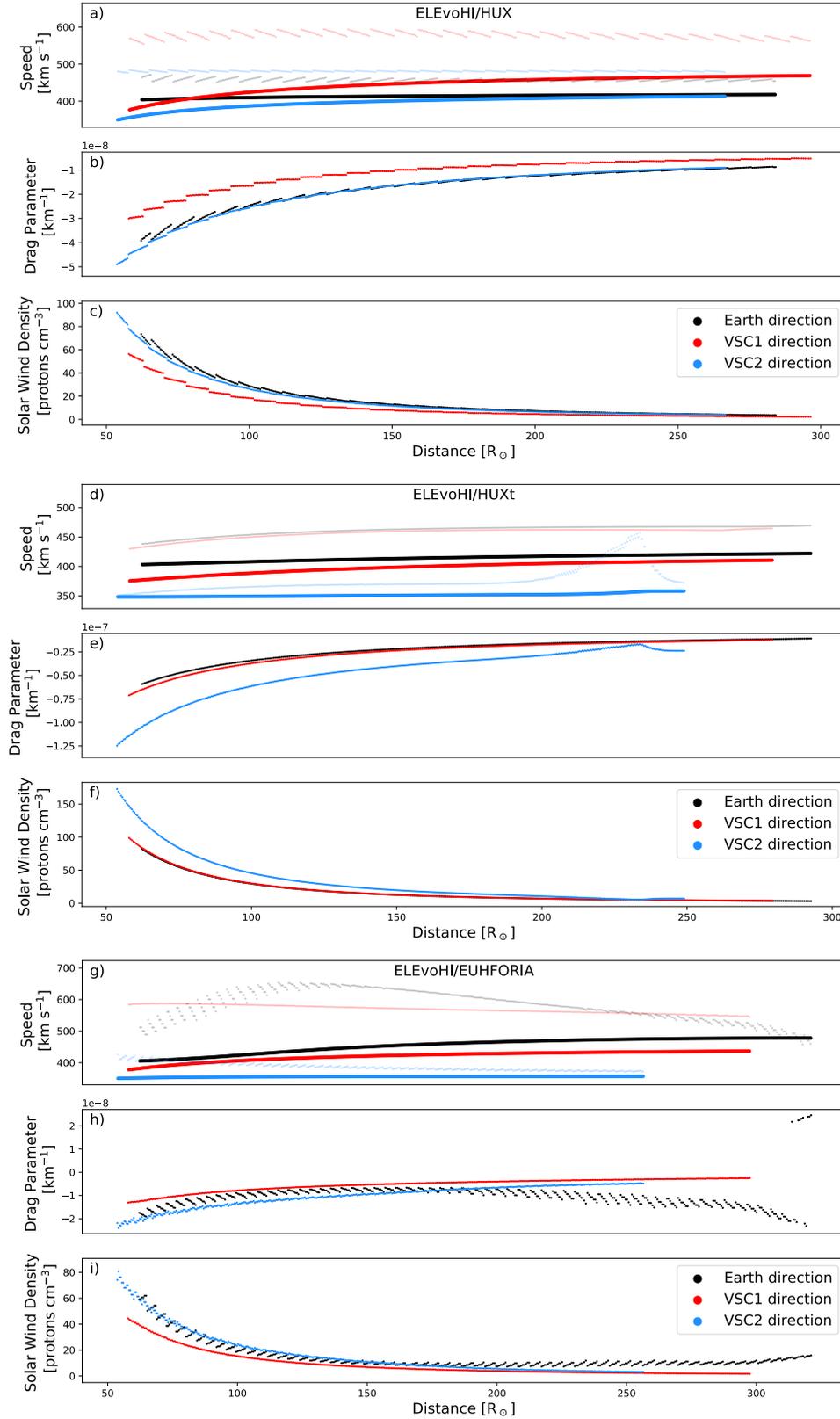


Figure 5: Extracted parameters over distance in the heliosphere for the three different ambient solar wind models. The positions are indicated by the different colors, where black represents Earth direction, red represents VSC1, and blue VSC2. ELEvoHI/HUX: panel a), b), c); ELEvoHI/HUXt: panel d), e), f); ELEvoHI/EUHFORIA: panel f), h), i). In panel a), d), and g) the ambient solar wind speed (faint colors) and the speed of the CME front (bold colors) are shown. Panels b), e), h) show the drag parameter and panels c), f), i) the ambient solar wind density.

### 4.5 Modeled CME arrival speed

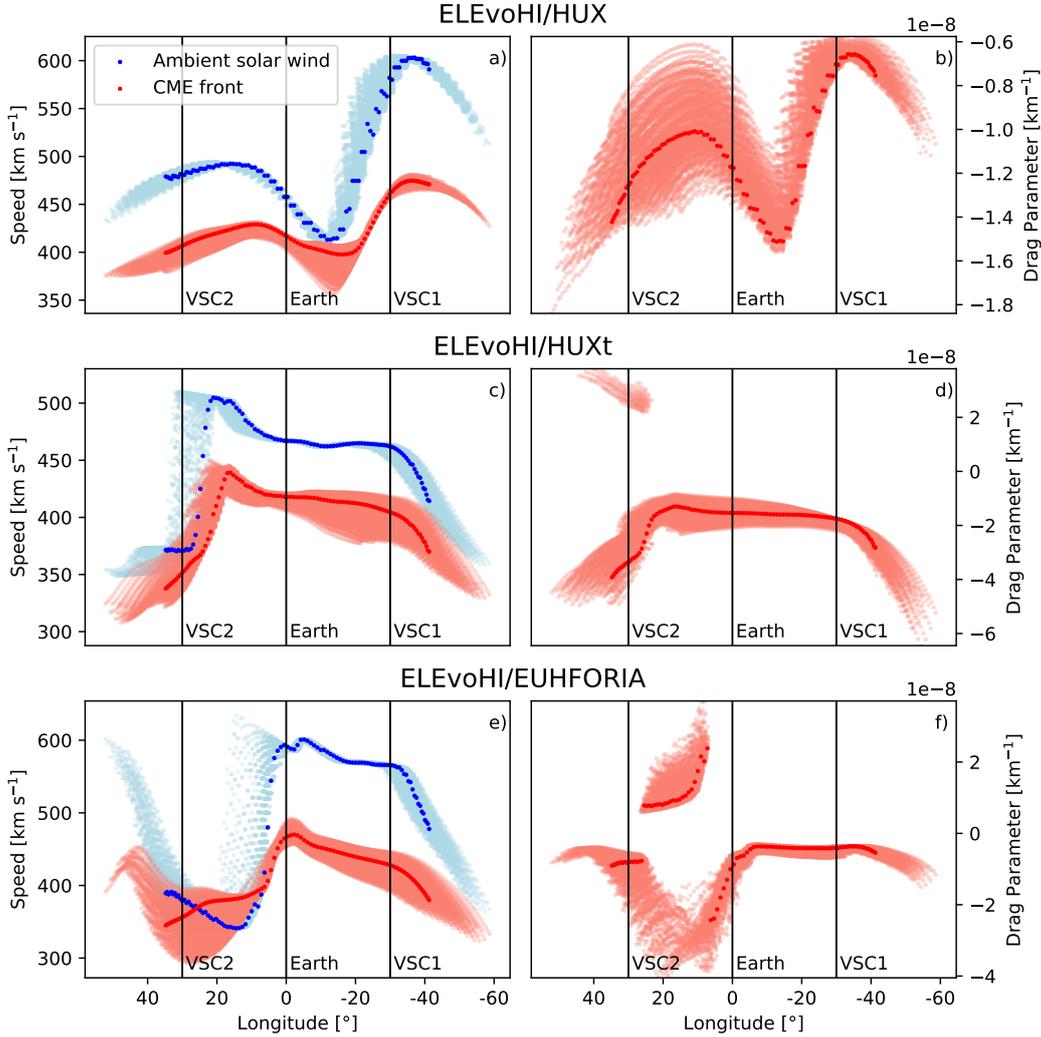


Figure 6: CME front parameters at the modeled arrival time. Panels a), c), e): Ambient solar wind speed (blue) and CME speed of the deformed front (red) of each individual ensemble member and the different ambient solar wind models. Panels b), d), f): Drag parameter for each ensemble member and ambient solar wind models. The dark colors represent the values for one individual ensemble member.

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We are further interested in the CME frontal speed for the three different ambient solar wind models. We therefore plot the speed of the ambient solar wind and the frontal speed at the time when the front is estimated to arrive at Earth (see Figure 6) with the drag parameter for the ambient solar wind models. The CME frontal speed (red in the left panels in Figure 6) resembles the shape of the CME front. Also the drag parameter seems to show the same behavior as the ambient solar wind. The most striking feature is that the sign of  $\gamma$  changes for different longitudes. As mentioned before, we define a negative sign of  $\gamma$  to indicate an acceleration while a positive sign of  $\gamma$  leads to a deceleration for this certain part of the CME front. When comparing the left and the right panels in Figure 6 it is obvious that only such ensemble members show a change in sign of  $\gamma$  for which the ambient solar wind speed is lower than the

538 CME frontal speed of this part. This is most pronounced for the EUHFORIA based  
 539 model results.

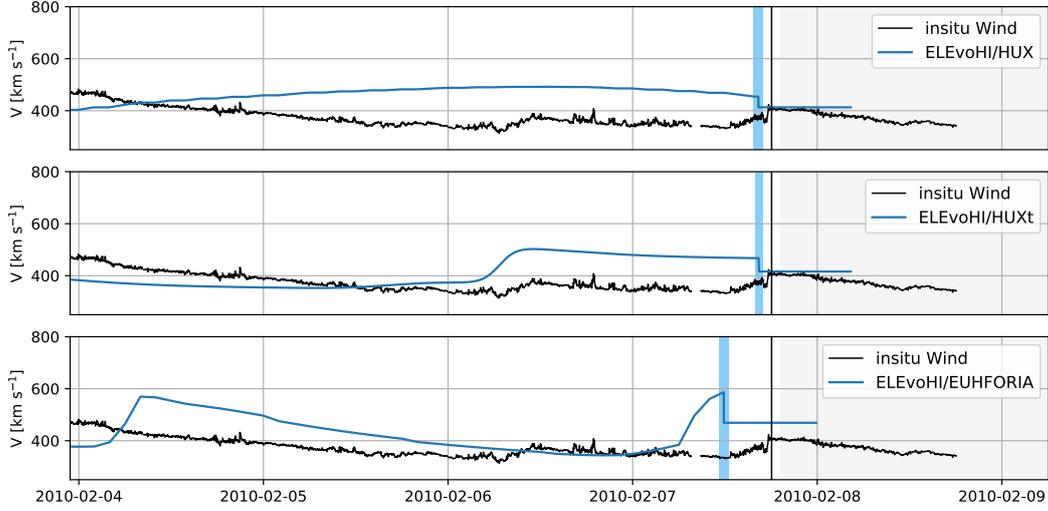


Figure 7: Solar wind speed profiles for Earth direction. The black line is the in situ speed, while the blue line represents the modeled solar wind speed. The vertical solid black line indicates the in situ arrival and the vertical dashed black line is the start of the magnetic flux rope. The blue vertical bar indicates the modeled arrival time with its uncertainty. Up to that time, the speed is taken from the ambient solar wind models, afterwards the speed is set to the calculated CME arrival speed. From top to bottom the results for ELEvoHI/HUX, ELEvoHI/HUXt, and ELEvoHI/EUHFORIA are shown.

540 The actual in situ arrival speed is given by  $406 \pm 2 \text{ km s}^{-1}$ . The modeled arrival  
 541 speeds are  $413 \pm 3 \text{ km s}^{-1}$  for ELEvoHI/HUX,  $416 \pm 3 \text{ km s}^{-1}$  for ELEvoHI/HUXt and  
 542  $469 \pm 7 \text{ km s}^{-1}$  for ELEvoHI/EUHFORIA, where the speed corresponds to the median  
 543 of all the ensemble members and the uncertainty is given by the standard deviation.  
 544 The high overestimation of the calculated arrival speed also explains the early arrival  
 545 when using EUHFORIA speed maps. However, the deformable front provides better  
 546 speed results than for the original version of ELEvoHI. The modeled arrival speeds  
 547 for the elliptical front are  $474 \pm 7 \text{ km s}^{-1}$  for ELEvoHI/HUX,  $461 \pm 4 \text{ km s}^{-1}$  for  
 548 ELEvoHI/HUXt and  $492 \pm 12 \text{ km s}^{-1}$  for ELEvoHI/EUHFORIA.

549 In Figure 7 the speed profiles for the three ambient solar wind models in compar-  
 550 ison to the in situ wind speed are shown. We indicate the modeled arrival time by the  
 551 vertical blue bar, where the uncertainty is given by the standard deviation of all the  
 552 ensemble members that are estimated to hit Earth. Before the modeled arrival time  
 553 the solar wind speed is taken from the ambient solar wind models. After that time, the  
 554 calculated CME arrival speed is plotted for half a day. We can see that HUX already  
 555 overestimates the ambient solar wind speed about three days prior to the in situ arrival  
 556 time. The HUXt model seems to correctly model a small speed enhancement at around  
 557 February 6, 2010 04:00 UT. However from this time on, also HUXt overestimates the  
 558 in situ speed. EUHFORIA shows a good agreement with the in situ speed but seems to  
 559 be shifted roughly by one day. Also the speed after about February 7, 2010 06:00 UT  
 560 is highly overestimated. From Figure 7 we see that all of the models provide ambient  
 561 solar wind speeds that are too fast compared to the measurements. The figure further  
 562 shows that the modeled arrival time and speed match the actual in situ arrival quite  
 563 well for ELEvoHI/HUX and ELEvoHI/HUXt. For ELEvoHI/EUHFORIA the arrival

564 is estimated too early and too fast. Interestingly, the modeled speed profiles behave  
 565 contrary to the measured speed profiles. The in situ speed is slightly slower before the  
 566 defined CME arrival time and increases when the CME passes the Wind spacecraft.  
 567 The modeled wind profiles, however, show a decrease of solar wind speed at arrival.

#### 568 **4.6 Shifting Earth**

569 A different approach to get an estimate of the uncertainty of the modeled CME  
 570 arrival time is to artificially shift Earth position. This means that we do not consider  
 571 longitude  $0^\circ$  to be the location of Earth (see Figure 6) but shift Earth to  $\pm 10^\circ$ . By doing  
 572 so, we get a calculated arrival time for  $+10^\circ$  of February 07, 2010 16:07 UT  $\pm 1.8$  hours  
 573 and for  $-10^\circ$  February 07, 2010 18:07 UT  $\pm 2.3$  hours for ELEvoHI/HUX. The modeled  
 574 arrival time based on ELEvoHI/HUXt gives February 07, 2010 16:42 UT  $\pm 2.0$  hours  
 575 for  $+10^\circ$  and February 07, 2010 16:42 UT  $\pm 1.8$  for  $-10^\circ$  and ELEvoHI/EUHFORIA  
 576 models an arrival at February 07, 2010 21:07 UT  $\pm 2.6$  hours for  $+10^\circ$  and February  
 577 07, 2010 12:07 UT  $\pm 1.6$  for  $-10^\circ$ . The calculated arrival times for ELEvoHI/HUX  
 578 differ by 2 hours, with the  $-10^\circ$  being almost spot on regarding the in situ arrival time.  
 579 ELEvoHI/HUXt provides exactly the same modeled arrival time, which is still about  
 580 1.5 hours too early. A quite different result is found ELEvoHI/EUHFORIA. For this  
 581 ambient solar wind model we obtain the largest differences of 9 hours. This result  
 582 is not surprising when having a look at Figure 6. It can be seen that the modeled  
 583 speed is much slower for the ELEvoHI/EUHFORIA ambient solar wind speed at  $+10^\circ$   
 584 leading to a much later calculated arrival time.

## 585 **5 Discussion and Conclusions**

586 In this study we present a new method for a deformable front based on ELEvoHI.  
 587 The original version of ELEvoHI accounts for the drag exerted by the ambient solar  
 588 wind. However, the kinematic of a CME obtained by DBM fitting is assumed only for  
 589 the apex of the CME. Furthermore, the drag parameter and the ambient solar wind  
 590 speed are assumed to be constant during the entire propagation in the heliosphere.  
 591 With the new approach of a deformable front, ELEvoHI is able to adapt to the ambient  
 592 solar wind conditions not only at the apex, but along the whole CME front. The new  
 593 version of ELEvoHI can handle three different ambient solar wind models: HUX,  
 594 HUXt, and EUHFORIA.

595 We test the deformable front by studying a CME first observed in STEREO-  
 596 A/HI on February 3, 2010 14:49 UT, which has a defined in situ arrival time on  
 597 February 7, 2010 18:04 UT and a measured speed of  $406 \pm 2 \text{ km s}^{-1}$ . In addition  
 598 to Earth direction, we also model the arrival times for two additional locations in  
 599 the heliosphere, defined to be  $\pm 30^\circ$  East and West of Earth (VSC1 and VSC2). We  
 600 compare the calculated arrival times based on the three different ambient solar wind  
 601 models for the original implementation of ELEvoHI, i.e. the elliptical front. For Earth  
 602 direction the modeled arrival times differ at maximum 2.5 hours. However, the best  
 603 model result (ELEvoHI/HUXt) is still 6 hours too early with respect to the in situ  
 604 arrival time. For VSC1 and VSC2 the model results differ at maximum 3.5 and  
 605 3 hours, respectively. Considering the deformable front, we find quite different results.  
 606 ELEvoHI/HUX and ELEvoHI/HUXt model an almost identical arrival time (less than  
 607 2 hours too early with respect to the in situ arrival time), while ELEvoHI/EUHFORIA  
 608 models the arrival time 4.5 hours earlier compared the other two ambient solar wind  
 609 models. The differences are even bigger when comparing the arrival times at the virtual  
 610 spacecraft. At VSC1 the calculated arrival times differ up to more than 8.5 hours,  
 611 while for VSC2 the differences reach even more than 10 hours for the three ambient  
 612 solar wind models. For this case study, the modeled arrival times at Earth with  
 613 the deformable front provide better results (at least 2.2 hours and  $23 \text{ km s}^{-1}$  for

614 ELEvoHI/EUFHORIA) than the elliptical front for all the three ambient solar wind  
615 models used.

616 With this new approach it is further possible to get an estimate of the CME mass  
617 based on DBM fitting to the heliospheric imager data and an estimate of the cross-  
618 sectional area. For this event it could be shown that the CME mass is close to the  
619 results purely based on coronagraph images, which is in agreement with Amerstorfer  
620 et al. (2018), who applied ELEvoHI to a halo CME event and found similar results.

621 Additionally, all the parameters important for the propagation of the CME front  
622 in the heliosphere can now be studied in detail at each time and location (see Figure 5  
623 for three distinct directions). The solar wind density,  $n$ , decreases with increasing  
624 distance to the Sun, which also leads to a decreasing drag parameter,  $\gamma$ . The CME  
625 continually adjusts to the ambient solar wind speed the further out it propagates in  
626 the heliosphere. Both, the modeled CME frontal speed and drag parameter, resemble  
627 the CME shape quite well (see Figure 6). Also, most parts of the CME front show  
628 acceleration while some parts (especially for ELEvoHI/EUHFORIA) are decelerated.

629 For the CME treated in this case study, we obtain almost perfect arrival speeds  
630 for ELEvoHI/HUX and ELEvoHI/HUXt, while it is overestimated by about  $60 \text{ km s}^{-1}$   
631 by ELEvoHI/EUHFORIA. Interestingly, all of the ambient solar wind models overes-  
632 timate the solar wind speed about one day before the actual in situ arrival. This leads  
633 to a modeled speed profile that is contrary to the measured speed profile. In the data  
634 we see an increase in solar wind speed up to the in situ arrival time, while in the  
635 modeled profile the speed drops at the calculated arrival time.

636 We also study the arrival time uncertainties by shifting Earth to different loca-  
637 tions (e.g.  $\pm 10^\circ$ , see Section 4.6). We find that for ambient solar wind models, which  
638 exhibit more structured ambient solar wind conditions, the uncertainties in the arrival  
639 time increases. In the case of ELEvoHI/EUHFORIA the modeled arrival times differ  
640 up to more than 9 hours. This is again in the range of our current forecast capabili-  
641 ties. It also shows that ELEvoHI is highly dependent on accurate ambient solar wind  
642 models but those are known to have substantial inherent uncertainties by themselves.

643 In this study we consider the CME arrival times and speed only in the ecliptic  
644 plane, even though the ambient solar wind and CMEs are 3D phenomena. Therefore,  
645 we do not provide any uncertainties regarding the modeled CME arrival depending on  
646 the latitude. However, we expect the uncertainties to be in the same range as when  
647 shifting the Earth to different longitudes.

648 In the previous version of ELEvoHI the CMEs are treated as coherent structures,  
649 meaning that the frontal shape, once defined, does not change during propagation.  
650 Hence, it assumes that the internal magnetic field and the associated magnetic ten-  
651 sion force prevents the CME from deformation. M. J. Owens et al. (2017) showed  
652 that at about 0.3 AU the majority of CMEs do not behave as coherent structures any-  
653 more. As a consequence the different flanks of a CME are effectively independent from  
654 each other, while neighbouring parts of the CME front are most likely to experience  
655 magnetic tension. In the current implementation of ELEvoHI 2.0 each point of the  
656 CME front propagates individually, i.e. no structural coherence is given. However,  
657 the results obtained in this study indicate that the CME fronts do not show disconti-  
658 nuities for the three ambient solar wind models used. The reason is mainly due to the  
659 relatively small change of ambient solar wind speed from one longitude to the next.

660 Recent studies (e.g. Barnard et al., 2017; Kay & Nieves-Chinchilla, 2021; Y. Wang  
661 et al., 2016; Zhuang et al., 2017) have shown the importance of deformation, but  
662 also deflection and expansion of CMEs to obtain more accurate CME arrival time  
663 predictions for drag-based models. Associated to that, an evaluation of the drag  
664 parameter along the whole CME front is required. Also CME-CME interaction is

665 essential for arrival time prediction. However, such interactions are not incorporated  
 666 in the current version of ELEvoHI 2.0. A preceding CME leads to a preconditioning  
 667 of the ambient solar wind (e.g. Temmer, Reiss, Nikolic, Hofmeister, & Veronig, 2017),  
 668 which is so far not implemented in the solar wind models used by our model. This study  
 669 is only a first step to a better understanding of the CME propagation behavior in the  
 670 heliosphere. Future work will include a broader test based on a larger sample of events  
 671 to detect and constrain the important factors influencing CME arrival predictions.

## 672 **6 Data Sources**

### 673 **Data**

674 STEREO/HI: <https://www.ukssdc.ac.uk/solar/stereo/data.html>

675 STEREO/COR2: <https://stereo-ssc.nascom.nasa.gov/data/>

676 HELCATS: <https://www.helcats-fp7.eu>

677 ICMECAT: <https://doi.org/10.6084/m9.figshare.6356420>

### 678 **Model**

679 ELEvoHI 2.0 is available at <https://doi.org/10.5281/zenodo.5045415>

### 680 **Results**

681 The visualization of each model result, i.e. movies and figures, as well as the results  
 682 from the ambient solar wind models can be downloaded from <https://doi.org/10.6084/m9.figshare.14923032.v1>.  
 683

### 684 **Software**

685 IDL<sup>TM</sup> Version 8.4

686 Python 3.7.6

687 SATPLOT: [https://hesperia.gsfc.nasa.gov/ssw/stereo/secchi/idl/jpl/satplot/](https://hesperia.gsfc.nasa.gov/ssw/stereo/secchi/idl/jpl/satplot/SATPLOT_User_Guide.pdf)

688 [SATPLOT\\_User\\_Guide.pdf](https://hesperia.gsfc.nasa.gov/ssw/stereo/secchi/idl/jpl/satplot/SATPLOT_User_Guide.pdf)

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