

Full-coverage mapping and spatiotemporal variations of ground-level ozone (O₃) pollution from 2013 to 2020 across China

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Abstract

Ozone (O₃) is an important trace and greenhouse gas in the atmosphere yet, and it threatens the ecological environment and human health at the ground level. Large-scale and long-term studies of O₃ pollution in China are few due to highly limited direct measurements whose accuracy and density vary considerably. To overcome these limitations, we employed the ensemble learning method of the extremely randomized trees model by utilizing the spatiotemporal information of a large number of input variables from ground-based observations, remote sensing, atmospheric reanalysis, and model simulation products to estimate ground-level O₃. This method yields uniform, long-term and continuous spatiotemporal information of daily maximum eight-hour average (MDA8) O₃ over China (called ChinaHighO₃) from 2013 to 2020 at a 10 km resolution without any missing values (spatial coverage = 100%). Evaluation against observations indicates that our O₃ estimations and predictions are reliable with an average out-of-sample (out-of-station) coefficient of determination (CV-R²) of 0.87 (0.80) and root-mean-square error of 17.10 (21.10) $\mu\text{g}/\text{m}^3$ [units here are at standard conditions (273K, 1013hPa)], and are also robust at varying spatial and temporal scales in China. This high-quality and full-coverage O₃ dataset allows us to investigate the exposure and trends in O₃ pollution at both long- and short-term scales. Trends in O₃ concentrations varied substantially but showed an average growth rate of 2.49 $\mu\text{g}/\text{m}^3/\text{yr}$ ($p < 0.001$) from 2013 to 2020 in China. Most areas show an increasing trend since 2015, especially in summer ozone over the North China Plain. Our dataset accurately captured a recent national and regional O₃ pollution event from 23 April to 8 May in 2020. Rapid increase and recovery of O₃ concentrations associated with variations in anthropogenic emissions were seen during and after the COVID-19 lockdown, respectively. This carefully vetted and smoothed dataset is valuable for studies on air pollution and environmental health in China.

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Abstract

Ozone (O₃) is an important trace and greenhouse gas in the atmosphere yet, and it threatens the ecological environment and human health at the ground level. Large-scale and long-term studies of O₃ pollution in China are few due to highly limited direct measurements whose accuracy and density vary considerably. To overcome these limitations, we employed the ensemble learning method of the extremely randomized trees model by utilizing the spatiotemporal information of a large number of input variables from ground-based observations, remote sensing, atmospheric reanalysis, and model simulation products to estimate ground-level O₃. This method yields uniform, long-term and continuous spatiotemporal information of daily maximum eight-hour average (MDA8) O₃ over China (called ChinaHighO₃) from 2013 to 2020 at a 10 km resolution without any missing values (spatial coverage = 100%). Evaluation against observations indicates that our O₃ estimations and predictions are reliable with an average out-of-sample (out-of-station) coefficient of determination (CV-R²) of 0.87 (0.80) and root-mean-square error of 17.10 (21.10) µg/m³ [units here are at standard conditions (273K, 1013hPa)], and are also robust at varying spatial and temporal scales in China. This high-quality and full-coverage O₃ dataset allows us to investigate the exposure and trends in O₃ pollution at both long- and short-term scales. Trends in O₃ concentrations varied substantially but showed an average growth rate of 2.49 µg/m³/yr ($p < 0.001$) from 2013 to 2020 in China. Most areas show an increasing trend since 2015, especially in summer ozone over the North China Plain. Our dataset accurately captured a recent national and regional O₃ pollution event from 23 April to 8 May in 2020. Rapid increase and recovery of O₃ concentrations associated with variations in anthropogenic emissions were seen during and after the COVID-19 lockdown, respectively. This carefully vetted and smoothed dataset is valuable for studies on air pollution and environmental health in China.

Keywords: Ozone, ensemble learning, COVID-19, air pollution, China

1. Introduction

Ozone (O_3) is an important atmospheric trace gas, where O_3 in the stratosphere plays a crucial role in absorbing ultraviolet rays, protecting the environment and humans. Tropospheric O_3 (< 12 km above the ground) is mainly produced by anthropogenic activity and affects radiative forcing at global scale with implications on climate change (Sinha et al., 1997; Chen et al., 2007; Shindell et al., 2013; Checa-Garcia et al., 2018; Gaudel et al., 2018). Exposure to high surface O_3 is highly related to increased human health risks, including cardiovascular and respiratory disease (Bell et al., 2004; Turner et al., 2015; Lim et al., 2019). It also affects the ecosystem and agricultural production, e.g., inhibiting plant growth, promoting leaf senescence, and affecting crop yields (Sitch et al., 2007; Ainsworth et al., 2012; Rai and Agrawal, 2012; Mills et al., 2018).

Since the middle of the 20th century, many countries around the world have observed tropospheric and ground-level O_3 . In 2013, the Chinese Ministry of Environment and Ecology (MEE) established a national air quality observation network to monitor real-time O_3 , particulate matters (PM), and other near-surface air pollutants (MEE, 2018). However, the construction and maintenance of ground networks require a lot of manpower and material resources, and as such, monitoring stations are sparsely distributed. Satellite remote sensing can make up for such deficiency by providing spatially continuous atmospheric O_3 distributions. The OMI/Aura satellite, launched in 2004, provides a variety of widely-used daily, global-coverage trace gas products (e.g., O_3 , NO_2 , and SO_2). Existing techniques from space mainly provide the total column O_3 , tropospheric O_3 , and ozone profiles at different vertical ranges (Liu et al., 2010; Huang et al., 2018; Ziemke et al., 2006). Near-surface O_3 typically accounts only for a few percent of total column O_3 , and the retrieval sensitivity to near-surface O_3 from ultraviolet measurements is limited. In some cases, tropospheric totals can be helpful for understanding global and regional scale features, but values for ozone in the planetary boundary layer are challenging and at exposure heights (~2 m) even more so. Thus, it is particularly difficult to extract the near-surface O_3 from satellite measurements.

In recent years, great effort has been made to estimate near-surface O_3 concentrations using three main methodologies: chemical transport models, statistical models, and artificial intelligence. Chemical transport methods mainly use mature models, e.g., WRF-Chem, CMAQ, and GEOS-Chem, to simulate the O_3 at the ground level by considering chemical reactions and transport of air

pollutants (Di et al., 2017; Hu et al., 2016; Qiao et al., 2019; Wang et al., 2015, 2016). Statistical models fit the relationships between the measured air pollution and their potential influence factors (e.g., satellite retrievals, precursors, and meteorology) by applying different regression methods, such as Land Use Regression (LUR; Beelen et al., 2009; Huang et al., 2017; Kerckhoffs et al., 2015; Song et al., 2018), Bayesian maximum entropy (BME; Adam-Poupart et al., 2014; Chen et al., 2020), generalized additive model (GAM; Li et al., 2020b), and geographically weighted regression (GWR, Zhang et al., 2020). Artificial intelligence, i.e., machine and deep learning, allows to obtain more accurate parameter estimates by mining valuable information from big data using different methods, e.g., neural networks (Di et al., 2017), random forests (RF; Li et al., 2020b; Zhan et al., 2018), and XGBoost (Liu et al., 2020).

In general, chemical/numerical methods can provide high spatiotemporal coverage of near-surface O₃ simulations but are computationally intensive. Predictions with any chemical mechanism are sensitive in nonlinear ways to emissions and meteorology. Statistical models have been widely adopted because of their simplicity and rapidity, but they are sensitive to outliers, and easily affected by collinear variables, leading to poor estimates. Artificial intelligence has become very popular recently due to its strong data mining ability, but they are always directly applied and neglect the spatiotemporal heterogeneity of air pollution. Most past related studies are limited by input data sources, e.g., satellite total column gas products (e.g., OMI/Aura) with missing values, and meteorological products, e.g., NCEP, MERRA2, and ERA-Interim, at low spatiotemporal resolutions (e.g., 3–6 h, 0.25°–0.625°).

Over the years, due to implemented environmental protection and control measures, PM pollution has decreased significantly (Zhang et al., 2019; Wang et al., 2020; Wei et al., 2021a), but O₃ pollution increased in China (Wang, et al., 2017; Lu et al., 2018; Li et al., 2019; Wang, 2020), attracting the major public health concern (Shen et al., 2019). Compared with PM studies, research on ground-level O₃ is more meager for China. Therefore, aimed at addressing the above problems, according to the idea of ensemble learning and considering the spatiotemporal variations in O₃ pollution, we extended a space-time extremely randomized trees (STET) model to derive the daily ground-level O₃ with full spatial coverage at a resolution of 10 km from 2013 to 2020 in China. Subsequently, we have tested the reliability of our O₃ retrievals at different spatial and temporal

scales and investigated the short-term (i.e., on a daily basis) and long-term (i.e., multi-year time series) exposure and trends in O₃ pollution across China.

109

110 **2. Materials and methods**

111 **2.1 Data sources**

112 **2.1.1 MEE network O₃ observations**

113 Used are hourly ground-based O₃ concentrations [in µg/m³ at standard conditions (273K, 1013hPa)]
114 collected by MEE across mainland China starting from an initial ~940 monitoring stations in 2013
115 and ending with ~1630 stations by 2020 (Figure S1). We first removed invalid values and abnormal
116 values due to instrument calibration issues (Guo et al., 2009). More importantly, since 31 August
117 2018, the reference state of gas observations was changed from the standard condition (i.e., 273 K
118 and 1013 hPa) to room temperature and pressure (i.e., 298 K and 1013 hPa). The new
119 measurements of O₃ concentrations (in µg/m³) are thus correspondingly rescaled by a factor of
120 1.09375 (MEE, 2018). For data presented here, 1 µg/m³ is equivalent to 0.467 ppbv. Additionally,
121 we averaged maximum O₃ concentrations over eight hours in a day to obtain MDA8 O₃ values at
122 each station in China for each year from January 1 2013 to December 31 2020.

123

124 **2.1.2 Potential factors affecting surface O₃**

125 Surface O₃, a secondary air pollutant, is the characteristic product of complex photochemical
126 reactions affected by numerous natural and human factors. Most satellites (e.g., OMI) provide only
127 the total-column or tropospheric O₃ retrievals, rather than lower tropospheric O₃ where there are
128 large differences in O₃ content. Long-term satellite O₃ products with high spatial resolutions are
129 rarely available, and these satellite retrievals have numerous missing values. In our study, we
130 provide a new approach for estimating high-resolution surface O₃ with full coverage by using two
131 crucial meteorological parameters, namely, solar radiation intensity and surface temperature
132 (Bloomer et al., 2009; Lee et al., 2014; Li et al., 2020).

133 *Atmospheric reanalysis.* Available surface downward solar radiation (DSR) and air temperature
134 (TEM) are used for ground-level O₃ estimation. Other meteorological variables can also affect O₃,
135 e.g., an increase in relative humidity (RH) and surface pressure (SP) can pose diverse effects on O₃

136 concentrations in the lower troposphere (Taubman et al., 2006; Loughner et al., 2011; He et al.,
 137 2017). A change in planetary boundary layer height (BLH) can have variable impacts on O₃
 138 pollution (Sánchez-Ccoyllo et al., 2006; Dickerson et al., 2007; Ma et al., 2011; Goldberg et al.,
 139 2014; Benish et al., 2020). Winds, i.e., horizontal (WU) and vertical (WV) components, can affect
 140 the transport of O₃ and produce high O₃ levels in the downwind direction (Dickerson et al., 2007;
 141 Duan et al., 2008; Ma et al., 2011; Benish et al., 2020). Precipitation (PRE) and evaporation (ET)
 142 can also influence O₃ pollution by affecting mixing and photolysis rates (Dickerson et al., 1997;
 143 Meleux et al., 2007). The above-nine daily meteorological variables were chosen from the latest
 144 released hourly ERA5 reanalysis data at a high spatial resolution reaching up to $0.1^\circ \times 0.1^\circ$ (C3S,
 145 2017). The spatiotemporal resolution of ERA5 reanalysis is higher than from other atmospheric
 146 reanalysis products (e.g., NCEP and MERRA2) used in previous studies (Di et al., 2017; Li et al.,
 147 2020b; Liu et al., 2020; Zhan et al., 2018).

148 *Satellite remote sensing products.* Remote sensing measurements of OMI/Aura total-column O₃
 149 products (Pawan, 2012) are also considered. NO₂ concentrations may have large impacts on O₃;
 150 thus OMI/Aura tropospheric NO₂ products (Nickolay et al., 2019) are utilized. LandScanTM product
 151 is also selected to provide the population distribution (POP), and MODIS land cover type (LUC)
 152 and NDVI products, and SRTM DEM data are employed to describe the land-use and terrain
 153 changes across China.

154 *Model simulations.* Anthropogenic emissions from fossil fuel combustion, industrial production,
 155 and vehicle exhaust are precursors affecting the formation of surface O₃ concentrations (Li et al.,
 156 2020). Therefore, the direct emissions of three main O₃ precursors, i.e., NO_x, VOCs, and CO, are
 157 provided by the Multiresolution Emission Inventory for China (MEIC) (Li et al., 2017; Zheng et al.,
 158 2018) are used.

159 Table 1 summarizes the ground-based, satellite remote sensing, atmospheric reanalysis, and model
 160 data used in this study. Except for meteorological conditions, the spatiotemporal resolutions of other
 161 auxiliary data are coarser than our targeted model resolution. The coarser-spatial-resolution
 162 variables (e.g., emissions and NO₂) have smaller variations in space than meteorological variables,
 163 while they (e.g., DEM, LUC, and POP) change little over time. In addition, they are generally less
 164 important than two main predictors (i.e., DSR and TEM) in estimating surface O₃ (Figure 1).

Therefore, similar to previous studies (Zhan et al., 2018; Liu et al., 2020; Zhang et al., 2020; Wei et al., 2021b), all the finer and coarser-resolution auxiliary data are resampled (regridded) to the same spatial resolution of $0.1^\circ \times 0.1^\circ$ using the bilinear interpolation approach, and the same time interval.

[Please insert Table 1 here]

2.2 Space-Time Extra-Trees modeling

In this study, a space-time Extra-Trees (STET) model is extended for estimating the ground-level O_3 concentrations (Wei et al., 2021a). It is based on the ensemble learning named the extremely randomized trees (extra-trees, or ERT) (Geurts et al., 2006).

2.2.1 Model training

First, all the selected factors with potential effects on surface O_3 are input to the ERT model for model training. This, to quantitatively evaluate the contribution of each variable on O_3 to see if further model adjustments are needed by removing redundant variables. Four main steps are followed:

- 1) A training and validation dataset (N) is generated by collocating the surface O_3 measurements, satellite data, meteorological variables, and model emissions at each surface monitor for each day in one year. Then the entire training dataset is used to construct each decision tree.
- 2) For each binary tree, a random split (S, a) is first generated according to the surface O_3 measurements by randomly selecting one arbitrary number (a_c) between the maximum ($a_{\max}$) and the minimum ($a_{\min}$) value; next, the training samples are randomly assigned to two branches.
- 3) All the auxiliary feature attributes ($a_1, \dots, a_k$) in the node are traversed to get the bifurcation values ($s_1, \dots, s_k$) for all feature attributes based on the Gini index (Jiang et al., 2009). Then the best split (s^*) is determined when satisfying the scoring function: $\text{score}(s^*, S) = \max[\text{Score}(s_i, S)]$ (Geurts et al., 2006).
- 4) A decision tree is established using the CART algorithm (Breiman et al., 1984), and then thousands of decision trees are constructed by repeating the above steps. Last, all the weak

classifiers are combined to form a strong classifier, i.e., extremely randomized trees, allowing parallel processing.

The ERT model enables us to evaluate the importance of each independent variable for surface O₃ estimation, named the importance score, calculated according to the Gini index (GI). It normalized the cumulative changes of GIs before and after node branching for each feature during the model training (Jiang et al., 2009). The higher this score, the more important this feature in the decision-tree construction. The variables with high scores make great contributions to the model performance; by contrast, low-score variables may pose small effects on the model or even bring redundant information (Wei et al., 2020, 2021a). Variables with an importance score of less than 1% are eliminated from the model to improve its efficiency and avoid overfitting caused by redundant input variables.

Per our analysis of each feature importance, DSR and TEM are the two most important variables for model construction, with high importance scores of 32% and 14%, respectively (Figure 1). Satellite OMI NO₂ and O₃ products are also highly valuable with importance scores of 6% and 5%; but they can only provide trace gas information of the troposphere and the whole atmosphere. Other meteorological variables (especially ET and RH), and two land-related variables (i.e., DEM and NDVI) also have significant impacts on O₃ estimates with importance scores from 2% to 7%. The emissions of three main O₃ precursors (i.e., NO_x, VOCs, and CO) have influences on the model, with importance scores of about 2%. In general, all 18 selected independent variables have an impact with importance scores > 1.5%, which cannot be neglected, and are kept in the model.

[Please insert Figure 1 here]

2.2.2 Model extension

In the second stage, we extended a STET model for surface O₃ estimation by considering the autocorrelation of O₃ pollution in space and its differences in time series using the original ERT model (Wei et al., 2021a). The position of one point in space is expressed by its longitude and latitude and the Haversine great-circle distances to the four corners and the center of the study region (i.e., 73.6°E-134.8°E, 15.8°N-53.7°N). The time is expressed by the day of the year (DOY),

set to identify each raw data record on each day under different air pollution conditions. The above-mentioned independent variables, along with space and time terms, are input into the model to build a robust ground-level O₃ estimation specific to China.

2.3 Validation method

In this study, the out-of-sample (sample-based) 10-fold cross-validation (10-CV) method, which has been widely adopted, is selected to test the overall model performance in near-surface O₃ estimates (Di et al., 2017; Li et al., 2020b; Liu et al., 2020; Zhan et al., 2018). It stipulates that all data samples are first randomly divided into 10 groups, of which 9 groups (i.e., 90% of the samples) are used for model training, and the rest (i.e., 10% of the samples) are used for model validation. This operation runs 10 times to ensure that samples have been all tested (Rodriguez et al., 2010).

Furthermore, an additional out-of-station (station-based) 10-CV method is employed to test the spatial prediction ability of the model in areas without ground-based measurements (Li et al., 2017; Wei et al., 2020; Wu et al., 2021). It is performed using the ground-based O₃ monitoring stations; the monitors are randomly divided into 10 groups, of which the data samples from 9 groups (i.e., 90% of the monitors) and the rest one group (i.e., 10% of the monitors) are employed for model training and validation. Thus, the training and validation samples are composed of data samples collected at different locations in space. This method enables us to evaluate the prediction accuracy of the model at locations where ground-based O₃ measurements are unavailable.

In addition, several main statistical metrics are used, including the ordinary least squares (OLS, Zdaniuk, 2014) regression (e.g., slope and intercept), coefficient of determination (R^2), root-mean-square error (RMSE), mean absolute error (MAE), and mean relative error (MRE). Deseasonalized O₃ monthly anomalies are adopted to calculate the temporal trends (Wei et al., 2019b) and used to analyze the long-term spatiotemporal variations in O₃ pollution across China. Figure 2 illustrates the flowchart of the mapping process of the ChinaHighO₃ dataset in our study.

[Please insert Figure 2 here]

3. Results and discussion

252 In this study, using ground-based observations, satellite remote sensing data, atmospheric reanalysis
253 products, and model simulations, we have generated a full-coverage and high-quality near-surface
254 O₃ dataset in China (i.e., ChinaHighO₃) at a 10 km resolution using the STET model. This dataset
255 was released on 30 December 2020 (DOI: 10.5281/zenodo.4400043) and is constantly updated. The
256 ChinaHighO₃ dataset includes daily MDA8 O₃ maps from 1 January 2013 to 31 December 2020. It
257 overcomes the problem of missing data in optical remote sensing products caused by cloud
258 contamination and can provide full-coverage ground-level O₃ distributions over China (i.e., 16–
259 54°N, 74–135°E). Monthly, seasonal, and annual MDA8 O₃ maps from 2013 to 2020 are also
260 available (Table S1).

261

262 **3.1 Accuracy assessment**

263 **3.1.1 Overall model performance**

264 First, we validate the overall performance of the developed model using the out-of-sample approach
265 at different spatial scales. Collocated are more than 3.5 million data samples ($N = 3,567,344$) from
266 2013 to 2020 over China. The MDA8 O₃ estimates are highly consistent ($CV-R^2 = 0.87$) with the
267 surface measurements, the slope, and y-intercept equal to 0.87 and 11.8 $\mu\text{g}/\text{m}^3$ (Figure 3). The mean
268 RMSE, MAE, and MRE values are 17.10 $\mu\text{g}/\text{m}^3$, 11.29 $\mu\text{g}/\text{m}^3$, and 18.38%, respectively, over the
269 entire domain. Note that the overall accuracy of O₃ estimates has been significantly improved
270 compared to results derived from the original ERT model (i.e., $CV-R^2 = 0.78$, slope = 0.81, RMSE =
271 22.39 $\mu\text{g}/\text{m}^3$, and MAE = 14.88 $\mu\text{g}/\text{m}^3$) (Geurts et al., 2006). This confirms the necessity for
272 spatiotemporal information on O₃ pollution.

273

274 *[Please insert Figure 3 here]*

275

276 The model performance for each separate year (Table 2) was also evaluated. The overall accuracy
277 of the MDA8 O₃ estimates in the years since 2017 (i.e., out-of-sample $CV-R^2 = 0.89$ – 0.93 , RMSE =
278 11.9–15.6 $\mu\text{g}/\text{m}^3$, MAE = 7.9–10.8 $\mu\text{g}/\text{m}^3$, and MRE = 10.3–15.0%) is generally better than that of
279 the previous years (i.e., out-of-sample $CV-R^2 = 0.79$ – 0.82 , RMSE = 19.1–22.4 $\mu\text{g}/\text{m}^3$, MAE =
280 12.9–14.9 $\mu\text{g}/\text{m}^3$, and MRE = 21.4–31.8%). The main reasons being the continuous increase in

281 density of the monitoring stations resulted in a sharp increase in the number of data samples (Wei et
282 al., 2021a) and the instrument improvements and quality control upgrades. As shown, our model
283 works well over the study period and for individual years over the study domain.

284

285 *[Please insert Table 2 here]*

286

287 Further tested was the model performance in typical regions in China (Figure 3b-f). The model
288 works best over the Beijing-Tianjin-Hebei (BTH) region and the North China Plain (NCP) with out-
289 of-sample CV-R² values of 0.91 and 0.89, respectively, and slopes from linear regression closest to
290 1.0 (0.91 and 0.89, respectively). The model performance is slightly poorer (e.g., CV-R² = 0.85–
291 0.86, and slope = 0.84–0.86) in the Yangtze River Delta (YRD), the Pearl River Delta (PRD), and
292 the Sichuan Basin (SCB). Overall, the model uncertainty is generally small and stable with small
293 differences (e.g., RMSE = 18.9–21.3 µg/m³, MAE = 12.1–13.6 µg/m³, and MRE = 17.6–24.7%).
294 These results suggest the varying robustness of our model at the regional scale in China, stemming
295 chiefly from variable input parameters in terms of their density and accuracy.

296 On the individual-station scale (Figure 4), the sample size varies from site to site due to differences
297 in the observational record and the number of useful data samples from 2013 to 2020. Except for a
298 few stations established later during the study period, most stations have sufficient data samples
299 (Figure S2a), with an average sample size (N) of 2230 and with more than 83% of the stations
300 having at least 5 years of data samples (i.e., N > 1825). In terms of model accuracy, CV-R² values
301 exceed 0.8 at ~83% of the stations, especially those located in central and eastern China (CV-R² >
302 0.9). In terms of model uncertainty, except for a few individual stations, ~83% of the stations have
303 RMSE values < 21 µg/m³, ~88% have MAE values < 15 µg/m³, and ~85% have MRE values <
304 25%. Overall, our model performs well at the station scale, with average CV-R², RMSE, MAE, and
305 MRE values of 0.86, 16.48 µg/m³, 11.23 µg/m³, and 18.36%, respectively.

306

307 *[Please insert Figure 4 here]*

308

3.1.2 Spatial prediction capability

Next, we focus on evaluating the spatial ability of our model to predict surface O₃ using the out-of-station approach at varying spatial scales. On the entire domain scale, our surface O₃ predictions are well correlated to the observations (e.g., $CV-R^2 = 0.80$, slope = 0.84) with a mean RMSE, MAE, and MRE values of 21.10 $\mu\text{g}/\text{m}^3$, 13.87 $\mu\text{g}/\text{m}^3$, and 23.18% (Figure 5a), which are a somewhat lower than the out-of-sample validation results (Figure 3a), indicating a strong spatial prediction ability. In addition, the spatial prediction ability of the model gradually increases over the years (Table 2).

On the regional scale, the prediction ability of the model varies differently (Figure 5b-f), where better surface O₃ predictions were observed in the BTH (e.g., $CV-R^2 = 0.87$, RMSE = 21.51 $\mu\text{g}/\text{m}^3$) and NCP (e.g., $CV-R^2 = 0.84$, RMSE = 21.99 $\mu\text{g}/\text{m}^3$) regions, while the opposite relatively less accurate predictions were observed in the YRD, SCB, and PRD regions (e.g., $CV-R^2 < 0.80$, RMSE > 22.6 $\mu\text{g}/\text{m}^3$). In comparison with the out-of-sample results (Figure 3b-f), the accuracy has not changed too much; nevertheless, the evaluation metrics of the former two regions declined slightly less than the other three regions. This is mainly caused by the differences in the density of monitoring stations among the regions.

[Please insert Figure 5 here]

Furthermore, the spatial prediction ability of the model shows spatial differences on the individual-station scale (Figure 6). The prediction accuracy of surface O₃ concentrations are poor with large estimated uncertainties (e.g., $CV-R^2 < 0.5$, RMSE > 24 $\mu\text{g}/\text{m}^3$, MAE > 18 $\mu\text{g}/\text{m}^3$, and MRE > 25%) in most stations located in western China. By contrast, the model has a strong prediction ability in most stations in eastern China with high $CV-R^2$ values > 0.8, and small RMSE MAE, and MRE values < 18, 12 $\mu\text{g}/\text{m}^3$, and 15%, respectively. Because the number of monitors is smaller in western China; moreover, the natural and human conditions are largely different from eastern China. For locations that have never had air pollution monitoring, such as remote desert and plateau areas, the uncertainty in the model predictions can be larger. It can only be truly quantified when new observations become available. In general, ~80%, 78%, 86% and 70% of the stations have $CV-R^2$,

338 RMSE, MAE, and MRE values > 0.7 , $< 24 \mu\text{g}/\text{m}^3$, $18 \mu\text{g}/\text{m}^3$, and 25%, showing an average value of
339 0.79, $20.08 \mu\text{g}/\text{m}^3$, $13.82 \mu\text{g}/\text{m}^3$, and 23.17%, respectively.

340

341 *[Please insert Figure 6 here]*

342

343 **3.1.3 Temporal-scale validation**

344 Subsequently, we plot the time series of model performance in daily O_3 estimates during 2013-2020
345 (Figure 7). The daily sample size is large, ranging from 8003 to 10,060, with an average of 9766
346 and remains unchanged for a long period in particular (Figure S2b). This is due to the unique
347 advantage of full coverage of our ChinaHigh O_3 dataset across China. While the performance varies
348 somewhat with the season, the magnitudes of the changes are rather moderate throughout the year,
349 with CV- R^2 s ranging from 0.69 to 0.89 (average = 0.81), exceeding 0.75 at about 88% of the days in
350 a year. The absolute uncertainties (i.e., RMSE and MAE) of the ozone estimation have apparent
351 seasonal variations, i.e., low in spring and winter, but high in summer (Fig. 7c-d); by contrast, the
352 relative uncertainty (MRE) shows an opposite seasonal variation (Fig.7d). Surface MDA8 O_3
353 concentrations are relatively high in summer in most mid-latitude regions of China (Gong et al.,
354 2018). The reason for the larger errors in summer is the greater diurnal cycles and variations in
355 summer ozone, where the averaged variables used in the model may not reflect the conditions
356 associated with high O_3 content in the afternoon, while the observations are likely driven by the
357 afternoon peaks. In general, average RMSE, MAE, and MRE values are $18.82 \mu\text{g}/\text{m}^3$, $11.27 \mu\text{g}/\text{m}^3$,
358 and 18.42%, and < 20 , $15 \mu\text{g}/\text{m}^3$, 20% on $\sim 86\%$, and 99%, and 75% of the days, respectively.

359

360 *[Please insert Figure 7 here]*

361

362 The monthly mean MDA8 O_3 estimates for each year are also evaluated (Figure 8). High accuracy
363 is seen, with strong slopes from linear regression of 0.83~0.97, high R^2 values of 0.86~0.97, and
364 small uncertainties with RMSE and MAE (MRE) values ranging from 5.5 and $4.0 \mu\text{g}/\text{m}^3$ (4.4%) to
365 13.4 and $9.0 \mu\text{g}/\text{m}^3$ (13.8%) among different years. In general, the data quality of the monthly O_3
366 estimates ($N = 119,194$) is reliable (e.g., $R^2 = 0.93$, RMSE = $9.42 \mu\text{g}/\text{m}^3$, MAE = $6.91 \mu\text{g}/\text{m}^3$, and

367 MRE = 8.56%) during the entire study period of 2013–2020. This allows us to accurately analyze
368 the spatial and temporal distributions of and variations in O₃ pollution in China.

369

370 *[Please insert Figure 8 here]*

371

372 **3.2 Spatiotemporal surface O₃ variations**

373 **3.2.1 Spatial coverage and distribution**

374 Figure 9 presents two typical examples of MDA8 O₃ maps on 18 June and 11 November 2019 and
375 the annual map for 2019 in China. This dataset can uniquely capture MDA8 O₃ concentrations
376 anywhere in the country (i.e., spatial coverage = 100%) on any given day. In general, the O₃
377 concentration is particularly high ($> 150 \mu\text{g}/\text{m}^3$) in northern China and much lower ($< 80 \mu\text{g}/\text{m}^3$) in
378 southern China on 18 June 2019 (average = $118.7 \pm 36.1 \mu\text{g}/\text{m}^3$). High emissions of three main O₃
379 precursors (i.e., NO_x, VOCs, and CO) are mainly observed in eastern China, especially the NCP
380 (Figure S3). In general, about 2% differences in surface O₃ concentrations between northern and
381 southern China are derived by the emissions on this day. A completely different situation was
382 observed on 11 November 2019 (average = $77.9 \pm 24.6 \mu\text{g}/\text{m}^3$). On an annual scale, differences in
383 O₃ distribution between northern and southern China in 2019 decreased, with an average level of
384 $98.3 \pm 11.3 \mu\text{g}/\text{m}^3$. The great differences in surface O₃ concentrations between Northern and
385 Southern China on different days are mainly dominated by differences in sunlight and ozone
386 chemical formation during different seasons. A comparison with ground-based observations shows
387 highly consistent spatial patterns on both daily and annual scales across China. As such, these
388 results illustrate that spatially continuous O₃ data, which is important for those places without
389 monitoring stations, can be provided.

390

391 *[Please insert Figure 9 here]*

392

393 Figure 10 shows mean MDA8 O₃ maps for different seasons from 2013 to 2020 across China. As
394 evident, O₃ concentrations change significantly on a seasonal scale; they are extremely high in
395 summer (average = $103.6 \pm 18.0 \mu\text{g}/\text{m}^3$), especially in the NCP (average = $138.8 \pm 13.5 \mu\text{g}/\text{m}^3$).
396 Followed by spring (average = $99.4 \pm 9.2 \mu\text{g}/\text{m}^3$). By contrast, winter yields much lower O₃

concentrations in China (average = $69.9 \pm 7.7 \mu\text{g}/\text{m}^3$), especially the BTH (average = $55.4 \pm 7.6 \mu\text{g}/\text{m}^3$). The spatial pattern of O_3 in autumn (average = $80.9 \pm 10.1 \mu\text{g}/\text{m}^3$) is similar but generally higher than that in winter across China, especially in southeastern areas (Table S2). Figure S4 shows zoomed-in summer mean O_3 maps for four key regions in China. The ChinaHigh O_3 dataset can reflect and describe well the distribution and variation in ozone pollution at the local, even urban scales due to its high spatial resolution of 10 km. All four typical regions experience different degrees of O_3 pollution in summer, especially the BTH (average = $142.9 \pm 14.5 \mu\text{g}/\text{m}^3$) and YRD (average = $113.9 \pm 13.7 \mu\text{g}/\text{m}^3$) regions.

[Please insert Figure 10 here]

3.2.2 A short-term severe O_3 pollution event

We closely examined a severe surface O_3 pollution episode that occurred from 23 April to 8 May in 2020 in eastern China (Figure 11). Before 25 April, O_3 was at a low level across the whole country, then gradually increased. On 28 April, the O_3 levels at BTH and all surrounding “2+26” cities (Figure S1) had exceeded the ambient air quality standard, i.e., $\text{MDA8 O}_3 = 160 \mu\text{g}/\text{m}^3$ (Figure S5). More severe O_3 pollution occurred in most other areas on 29 April, with a maximum value of $124.0 \pm 30.2 \mu\text{g}/\text{m}^3$ and $181.0 \pm 17.8 \mu\text{g}/\text{m}^3$ in China and YRD (Figure S6). On 30 April, BTH experienced the maximum level of O_3 pollution (average = $232.1 \pm 47.2 \mu\text{g}/\text{m}^3$), and remained high till 2 May, when > 50% of the cities in China exceeded the daily ozone standard. The air quality was significantly improved in northern BTH starting on 3 May, but central and southern China still suffered from light to moderate pollution, with some cities experiencing severe pollution. This national heavy pollution event lasted for nearly a week.

Surface O_3 concentrations were generally low in SCB before 25 April, and gradually formed into regional pollution on 26 April, when the Chengdu Plain and southern and northeast Sichuan were polluted to varying degrees. By 28 April, most cities exceeded the ambient air quality standard; Pingyuan and southern Sichuan were heavily polluted, and the O_3 concentrations remained high and reached the maximum on 3 May with an average value of $184.3 \pm 29.8 \mu\text{g}/\text{m}^3$ in SCB (Figure S6). On 6 May, the polluted air moved southward, gradually decreasing in the pollution intensity. After 7 May, accompanied by cooling and precipitation, this episode of ozone pollution ended, and the air

quality changed to good or excellent. This episode of severe regional pollution lasted for about 11 days, the first severe ozone pollution event with a long duration and wide coverage in Sichuan province since the start of 2020.

[Please insert Figure 11 here]

3.2.3 Changes during the COVID-19 pandemic

Coronavirus (COVID-19) broke out in Wuhan, Hubei Province at the end of 2019, and quickly spread to the whole country due mainly to the Spring Festival (WHO, 2020; Zu et al., 2020). To prevent the further spread of COVID-19, the entire Hubei Province was on lockdown starting at 10am on 23 January 2020, soon followed by almost all other major cities in China, which lasted about three weeks (Su et al., 2020; Tian et al., 2020). To gain further insight of the ozone changes associated with the COVID-19, O₃ changes in China are examined before (Period 1: 1–25 January), during (Period 2: 26 January to 17 February), and after (Period 3: 18 February to 31 March) the COVID-19 outbreak. Considering the increase in O₃ in recent years, only compared are the relative difference in O₃ concentrations across eastern China between 2020 and 2019 during the three periods (Figure 12).

Before the COVID-19 outbreak, O₃ concentrations remained near the historical values with relative changes within $\pm 10\%$. During the lockdown, significant increases in O₃ concentrations were seen in most parts of eastern China, especially in Hubei Province and its surrounding areas, showing a relative change $> 40\%$. In contrast, an opposite decline in O₃ concentrations was observed in the PRD, mainly caused by the sharp decline in NO_x emissions after the lockdown (Ding et al., 2020; Feng et al., 2020). Because O₃ formation rates over northern China are under the NO_x-saturated regime, a reduction in NO_x would enhance the O₃ generation rates (Liu and Wang, 2020; Shi and Brasseur, 2020; Benish et al., 2021). Ozone formation rates over the PRD are under the NO_x-limited regime, so the same reduction in NO_x would diminish the O₃ generation rates (Liu and Wang, 2020; Wang et al., 2021; Li et al., 2021). After the COVID-19 outbreak, O₃ concentrations changed little (within $\pm 10\%$) compared with concentrations in the previous year in most areas of eastern China, indicating that life had returned to normal. In southern China, there is a contrasting increase in O₃

456 concentrations, likely related to increases in NO_x and temperature (Wang et al., 2021). Although
457 sensitivities change, the total amount of ozone produced, and the size of plume scale with NO_x
458 emissions, but the rate of ozone production is nonlinear, and air quality can worsen with initial
459 emissions controls (Lin et al., 1988).

460

461 *[Please insert Figure 12 here]*

462

463 **3.2.4 Long-term variations in the recent decade**

464 Figure 13 shows the MDA8 O₃ trends (μg/m³/yr) during the study period (2013–2020) calculated
465 from monthly anomalies across China. Surface O₃ concentrations show diverse variations from the
466 national to regional scales during the recent eight years. In general, most areas of the country show
467 significant increasing O₃ pollution, with an average of 2.49 μg/m³/yr ($p < 0.001$), especially in
468 central China ($> 5 \mu\text{g/m}^3/\text{yr}$, $p < 0.05$) and NCP ($\sim 4.42 \mu\text{g/m}^3/\text{yr}$, $p < 0.001$). The BTH and YRD
469 regions had the stronger increasing trends by 3.84 and 3.43 μg/m³/yr ($p < 0.001$), respectively. In
470 addition, other two typical regions, i.e., SCB ($\sim 1.78 \mu\text{g/m}^3/\text{yr}$, $p < 0.001$), and PRD (~ 1.41
471 μg/m³/yr, $p < 0.05$) showed relatively low but obvious increasing trends. The increase in O₃ over
472 city clusters are closely associated with a decrease in NO_x emissions and PM_{2.5} concentrations (Li et
473 al., 2019; Zhang et al., 2019; Wang et al., 2020; Wei et al., 2021a) and meteorological variations (Li
474 et al., 2020). By contrast, seen are opposite weakening trends in several coastal provinces in
475 southern China (e.g., Guangxi and Zhejiang).

476

477 *[Please insert Figure 13 here]*

478

479 Next investigated are the variations in surface O₃ pollution under the background of different
480 implemented environmental policies (Table 3). During the Clear Air Action Plan (2013–2017),
481 China showed a significant increasing trend of 1.33 μg/m³/yr ($p < 0.05$), especially in the NCP
482 ($\sim 4.58 \mu\text{g/m}^3/\text{yr}$, $p < 0.001$) and BTH ($\sim 4.38 \mu\text{g/m}^3/\text{yr}$, $p < 0.001$) regions. In addition, increasing
483 trends were also found in the YRD and SCB regions. By contrast, O₃ pollution overall declined in
484 the PRD region. During the Blue-Sky Defense Plan (2018–2020), O₃ concentrations continued to

485 increase by 7.2% and 2.5–5.4% in China and typical urban agglomerations in 2020 than those in
486 2017, respectively. In particular, considering the entire study period, O₃ pollution increased the most
487 in China ($\sim 4.40 \mu\text{g}/\text{m}^3/\text{yr}$, $p < 0.001$) and most typical regions during the period 2015–2019,
488 especially NCP ($\sim 6.33 \mu\text{g}/\text{m}^3/\text{yr}$, $p < 0.001$) and YRD ($\sim 5.60 \mu\text{g}/\text{m}^3/\text{yr}$, $p < 0.001$).

489
490 *[Please insert Table 3 here]*
491

492 Taking into account the seasonal differences in O₃ discussed above, we focus on the spatiotemporal
493 variations in summer mean MDA8 O₃ from 2013 to 2020 over eastern China (Figure 14). Ozone
494 levels always remained at a high level in summer among different years in China, with an average
495 value $> 90 \mu\text{g}/\text{m}^3$. It was higher during the period 2017–2019 than in previous years, especially in
496 the NCP ($> 120 \mu\text{g}/\text{m}^3$). This is closely associated with the rising temperatures and increased
497 number of hot days in the NCP (Li et al., 2020). Changes in O₃ have been diverse in recent eight
498 years, i.e., O₃ concentrations were higher in 2014 than in 2013 in most areas of China, yet generally
499 decreased in 2015, especially in southern China. O₃ pollution has increased significantly since 2016,
500 reaching a maximum in 2019 ($\sim 117.4 \pm 23.6 \mu\text{g}/\text{m}^3$), especially in the NCP ($\sim 159.7 \pm 14.1 \mu\text{g}/\text{m}^3$).
501 This may be due to the decreasing PM_{2.5} concentrations by $\sim 15\%$ in the NCP (Li et al., 2020; Wei et
502 al., 2021a), yet, the dominant reason remains controversial. By contrast, overall O₃ pollution
503 decreased in China and in most typical regions in China in 2020 (Table S1). The coordinated control
504 measures of fine particulate matter and O₃ implemented by the Chinese government (Xiang et al.,
505 2020) may explain this, as well as the ongoing effects of the COVID-19 in China. These results are
506 highly consistent with those previously reported based on ground-based measurements made from
507 2013 to 2019 (Li et al., 2020; Lu et al., 2020; Wang et al., 2020). Our predicted results also show
508 similar patterns in spatial distribution compared to those derived from satellite OMI/Aura
509 observations (Liu et al., 2020; Zhang et al., 2020) and air quality model simulations (Hu et al.,
510 2016; Xue et al., 2020) in previous studies.
511 We have also calculated the percentage of O₃ polluted days (i.e., MDA8 O₃ $> 160 \mu\text{g}/\text{m}^3$) for each
512 grid in eastern China for each year from 2013 to 2020 (Figure 15). In 2013 and 2014, O₃ pollution
513 was mainly found along the east and south provinces of China, but the probability of occurrence

was generally low in most areas, with a percentage $< 10\%$. The area where O_3 pollution occurred overall decreased from 2014 to 2015, especially in southern China. From then on, the area covered by O_3 pollution continuously expanded until 2020, covering most areas of eastern China. More importantly, the probability of occurrence of O_3 pollution increased significantly from 2017 to 2019, especially in the NCP; for example, 23% of the days in 2019 exceed the accepted O_3 standard. At the regional scale, the proportion of days exceeding the daily O_3 standard also gradually increased in four typical regions, reaching 21%, 12%, 7%, and 3% in the BTH, YRD, PRD, and SCB regions in 2019, respectively (Figure S7). By contrast, the probability of occurrence of O_3 pollution overall declined in most areas of Northern China (e.g., NCP, BTH, and YRD) in 2020. Similar conclusions have been reported by previous studies (Liu et al., 2020; Xue et al., 2020; Zhan et al., 2018).

Figure 16 shows the evolution of MDA8 O_3 concentrations for each year at the “2+26” cities in Northern China, where pollution is of particular concern to the public (Figure S1). Until 2015, O_3 concentrations were generally lower than $120 \mu\text{g}/\text{m}^3$ in most cities, with much fewer days exceeding the air quality standard (i.e., $\text{MDA8 } O_3 = 160 \mu\text{g}/\text{m}^3$) than those after 2016. With time, the number of days with high O_3 concentrations gradually increased from year to year. In particular, a significant increase in O_3 concentrations can also be captured, i.e., from May to August in each year from 2017 to 2019, the MDA8 O_3 concentrations in almost all cities frequently exceeded $200 \mu\text{g}/\text{m}^3$, indicating a severe risk of ozone exposure.

Surface monitoring stations are distributed unevenly across China and vary greatly in density from region to region. Most are located in urban areas, making it difficult to accurately predict air pollution on a wider scale. Our study helps make up for this deficiency by generating spatially continuous and full-coverage daily surface O_3 maps, allowing users to obtain more accurate estimates of distributions and variations of O_3 pollution, especially for those areas with no or minimal ground-based measurements. These maps can also help evaluate numerical models as well as pollution control measures and estimates of pollution exposure.

3.3 Discussion

542 **3.3.1 Uncertainty and error analysis**

543 We have first investigated the effects of varying training samples on the model results in surface O₃
544 estimates. For this purpose, we gradually increase the proportion of training samples from 50% to
545 90% for model building, and the rest of the samples are used for validation by applying different N-
546 fold (i.e., 2, ..., 10) CV methods using 2020 data over China (Table S4). In general, with the
547 increase of training samples, the overall accuracy and spatial prediction ability of the STET model
548 are gradually improved with increasing CV-R² values and declined estimation uncertainties. Small
549 changes in each evaluation indicator have been found, even when the training sample has changed
550 by as much as 40%, indicating that our model is stable and robust (e.g., CV-R² > 0.90 and RMSE <
551 14.1 µg/m³). This is mainly attributed to the unique advantage of the full-coverage mapping, which
552 provides a large enough sample size to cover most surface O₃ conditions and variations across
553 mainland China; in addition, it benefits from the robustness of ensemble learning, which has a
554 strong anti-noise ability (Breiman, 2001; Geurts et al., 2006).

555 We have trained and built the models separately for each characteristic region and compared the
556 prominent features (Figure S8) and model performance (Table S5) with the national model. The top-
557 scoring features for regional models are similar to those for the national model, e.g., ERA5 DSR,
558 TEM, ET, RH, and OMI NO₂ and O₃ (Figure 1). However, there are numerical differences in the
559 importance scores for each variable. The model shows different accuracy and spatial prediction
560 ability at the regional scale, with causes closely related to the density and spatial distribution of
561 ground-level monitoring stations. The geographic, meteorological, and population conditions are
562 different in each region. The performance of the national model is generally better with smaller
563 estimation uncertainties than anyone regional model, but the differences in the statistics metrics are
564 small. The whole model involves a much bigger number of data samples that can cover more O₃
565 conditions; it can also consider the impact of adjacent regions, especially the transition areas. Full
566 coverage mapping provides the richest data set to train a robust model.

568 **3.3.2 Comparison with chemical reanalysis products**

569 We have compared our ChinaHighO₃ dataset with long-term atmospheric reanalysis products
570 generated from chemical models, including MERRA2 and ERA5, which have spatiotemporal
571 coverages. For this purpose, 3-hour MERRA2 and 1-hour ERA5 Ozone Mixing Ratio (OMR, unit:

kg kg⁻¹) simulations at a horizontal resolution of 0.25°×0.25° are collected to calculate the 2:00 p.m. and MDA8 O₃ concentrations at the ground level (μg/m³) in 2020 in China and validated with the corresponding ground-based measurements, respectively (Figure S9). The ground-level O₃ simulations from the chemical reanalysis products are very poor, showing great uncertainties (e.g., R² < 0.1, RMSE > 47 μg/m³). The main reason is that the chemical reactions in the assimilation models are substantially simplified and mainly reflect the impact of dynamic processes on stratospheric and tropospheric ozone (Knowland, et al., 2017). By contrast, our surface O₃ estimates are highly consistent with the ground-based measurements (e.g., R² = 0.96, RMSE = 8.6 μg/m³), which seem to be better than the chemical reanalysis products.

581

582 **3.3.3 Comparison with related studies**

583 We have compared our study with previous related studies, which used the same out-of-sample 10-
584 CV approach with the MEE network O₃ observations, for the same study period focusing on China
585 (Table 4). Our algorithm yields a higher accuracy with smaller estimation uncertainties (CV-R² >
586 0.83, RMSE < 15 μg/m³) than the RF (CV-R² = 0.69, RMSE = 26.0 μg/m³; Zhan et al., 2018),
587 XGBoost (CV-R² = 0.78, RMSE = 21.47 μg/m³; Liu et al., 2020), data fusion (CV-R² = 0.70,
588 RMSE = 26.20 μg/m³; Xue et al., 2020), GWR (CV-R² = 0.77, MAE = 8.14 μg/m³; Zhang et al.,
589 2020), and LUR/BME (CV-R² = 0.80, RMSE = 23.5 μg/m³; Chen et al., 2020) models at different
590 temporal scales for the same study period.

591 In addition, different studies relied on different main predictors, i.e., key variables input to the
592 model in estimating surface O₃ concentrations. These O₃ datasets in previous studies are derived
593 from main predictors, including the satellite total-column O₃/NO₂, or CH₂O, MERRA-2 reanalysis,
594 model simulations, or in situ observations, showing a large number of missing values at coarse or
595 false (e.g., forced resampling) spatial resolutions (i.e., 0.25°–0.625°) limited by the input data
596 sources. By contrast, our study overcomes these issues and is a large improvement on previous
597 studies, which provides a daily full-coverage (spatial coverage = 100%) and true-spatial-resolution
598 (~0.1° × 0.1°) O₃ dataset generated from two main predictors (i.e., DSR and TEM) provided by the
599 ERA5 reanalysis. In addition, the dataset provided here constitutes the nearly continuous record of
600 ground-level O₃ concentrations from 2013 to 2020 in China.

601

[Please insert Table 4 here]

4. Summary and conclusions

Ground-level O₃ is a major pollutant affecting our health. To compensate for the sparse and inhomogeneous coverage of ground-based ozone networks and the low data quality, missing values and low resolution of many existing satellite-based ozone estimation, we applied a spatiotemporal extremely randomized trees (STET) machine-learning model to develop a long-term near-surface ozone product that can overcome or lessen the above limitations. Besides ozone training data, the input variables include surface downward solar radiation, air temperature, meteorological variables, land use and topography, population distribution, and pollution emission inventory. The daily maximum 8-hour average (MDA8) O₃ product (ChinaHighO₃) with full coverage across China at a spatial resolution of 10 km from 2013 to 2020 are generated.

The estimates are evaluated against surface observations at varying spatiotemporal scales and compared with previous related studies. The cross-validation (CV) results illustrate that our model yields a high overall accuracy (spatial prediction ability) with an average out-of-sample (out-of-station) CV-R², RMSE, MAE, and MRE values of 0.87 (0.80), 17.10 (21.10) µg/m³, 11.29 (13.87) µg/m³, and 18.38 (23.18) %, respectively. Nevertheless, in the current stage, we can only evaluate the surface O₃ predictions by removing parts of the base data set using different 10-CV approaches, but the accuracy of predictions where there have never been O₃ measurements still remains a challenge. In particular, the ChinaHighO₃ product is superior to existing ones in terms of model accuracy, spatial coverage and resolution, and data record length.

The spatial distributions and temporal variations of ground-level O₃ concentrations are investigated during the recent decade. A long-term analysis showed that O₃ concentrations have significantly increased by 2.49 µg/m³/yr ($p < 0.001$) in China from 2013 to 2020, especially in the North China Plain (~4.42 µg/m³/yr, $p < 0.001$). In addition, summer ozone changed diversely, which was much higher since 2017 than in previous years due to the rising temperatures and increased hot days. The number of days exceeding the ambient O₃ air quality standard (MDA8 O₃ = 160 µg/m³) and the areal extent of high O₃ levels were also shown to be gradually increasing across China, especially in the “2+26” cities in the Northern China Plain. Benefiting from the unique advantages of the ChinaHighO₃ dataset, a recent short-term national and regional severe O₃ pollution event with its

632 formation and dissipation from the end of April to the beginning of May 2020 was well captured.
633 Also observed was a rapid increase in O₃ pollution during the COVID-19 lockdown, especially in
634 Hubei and surrounding provinces (e.g., an increase of > 30%), followed by a return to normal levels
635 after the lockdown ended in China. This is not a repudiation of NO_x controls. Therefore, our
636 ChinaHighO₃ dataset will be of great significance for the related studies on air pollution in China,
637 especially for those focusing on environmental health.
638

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643

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878 **Tables**

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Table 1. Summary of the data sources used in this study.

Category	Variable	Description	Unit	Spatial Resolution	Temporal Resolution	Data Source
Ground measurements	O ₃	Ozone	µg/m ³	-	Hourly	CNEMC
Atmospheric reanalysis	DSR	Downwelling surface radiation	W/m ²	0.1°×0.1°	Hourly	ERA5
	BLH	Boundary layer height	m		Hourly	reanalysis
	ET	Evaporation	mm			
	PRE	Precipitation	mm		Hourly	
	RH	Relative humidity	%		Hourly	
	TEM	2-m air temperature	K		Hourly	
	SP	Surface pressure	hPa		Hourly	
	WU	10-m u-component	m/s		Hourly	
	WV	10-m v-component	m/s		Hourly	
Satellite remote sensing products	O ₃	total-column O ₃	DU	0.25°×0.25°	Daily	OMI/Aura products
	NO ₂	tropospheric NO ₂	molec/cm ²			
	NDVI	Normalized difference vegetation index	-	0.05°×0.05°	Monthly	MODIS products
	LUC	Land-use cover	-	0.05°×0.05°	Annual	
	DEM	Surface elevation	m	90 m × 90 m	-	SRTM
	POP	Ambient population	-	1 km × 1 km	Annual	LandScan TM
Emission inventory	NO _x	Nitric oxide metabolite	Mg/grid	0.25°×0.25°	Monthly	MEIC
	VOCs	Volatile organic compounds				
	CO	Carbon monoxide				

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882 **Table 2.** Statistics of cross-validation results of MDA8 O₃ estimates (μg/m³) for each year from
883 2013 to 2020 in China.

Year	Sample size	Overall accuracy				Spatial prediction ability			
	N	R ²	RMSE	MAE	MRE	R ²	RMSE	MAE	MRE
2013	115,663	0.79	21.99	14.83	22.90	0.63	29.57	19.82	31.18
2014	325,152	0.80	22.39	14.81	31.80	0.65	30.02	20.52	45.79
2015	519,391	0.79	20.89	13.90	27.75	0.64	27.73	18.87	37.69
2016	516,746	0.82	19.19	12.99	21.49	0.72	23.71	16.38	27.45
2017	527,483	0.89	15.52	10.79	14.99	0.85	17.82	12.54	17.36
2018	520,002	0.91	14.10	9.64	13.34	0.88	15.66	10.82	15.00
2019	520,381	0.92	13.99	9.49	13.17	0.91	15.31	10.48	10.48
2020	522,526	0.93	11.96	7.97	10.27	0.92	12.96	8.71	11.33

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Table 3. Statistics of MDA8 O₃ trends (µg/m³/yr) and relative change (%) in annual mean MDA8 O₃ concentrations (µg/m³) from 2013 to 2020 in China and each typical region.

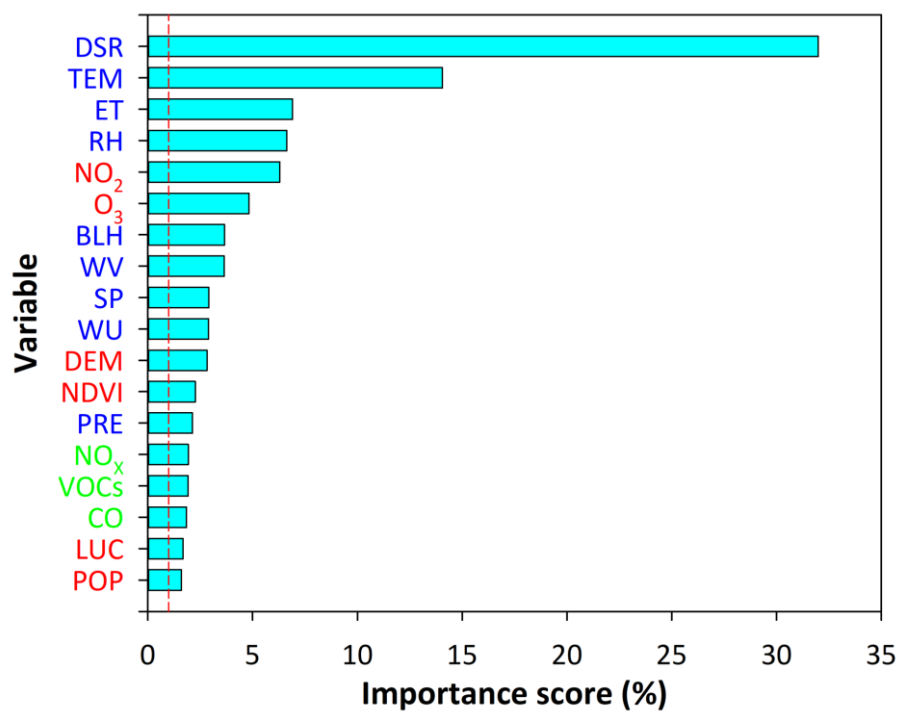
Region	2013–2020	2013–2017	2015–2019	2017	2020	2017–2020
	Trend (<i>p</i>)	Trend (<i>p</i>)	Trend (<i>p</i>)	Mean	Mean	Changed by
China	2.49 (< 0.001)	1.33 (< 0.01)	4.40 (< 0.001)	91.8±10.1	98.4±10.8	7.2 %
NCP	4.42 (< 0.001)	4.58 (< 0.001)	6.33 (< 0.001)	108.8±3.4	113.5±4.1	4.3 %
BTH	3.84 (< 0.001)	4.78 (< 0.001)	4.90 (< 0.001)	104.8±4.7	107.4±7.2	2.5 %
YRD	3.43 (< 0.001)	2.94 (< 0.01)	5.60 (< 0.001)	102.8±8.6	108.4±8.2	5.4 %
PRD	1.41 (< 0.001)	-0.72 (0.56)	4.38 (< 0.001)	89.8±5.3	94.2±6.0	4.9 %
SCB	1.78 (< 0.001)	2.37 (< 0.001)	2.14 (< 0.001)	82.9±5.7	85.3±5.8	2.8 %

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888 **Table 4.** Comparison of model performances with previous O₃ studies focused on China as a whole.

Model	Temporal resolution	Validation			Study period	Main Predictors	Missing values	Literature
		R ²	RMSE	MAE				
RF	Daily	0.69	26.00	-	2015	MERRA2	Yes	Zhan et al. (2018)
XGBoost	Daily	0.78	21.47	-	2013-2017	OMI O ₃ , MERRA-2	Yes	Liu et al. (2020)
Data fusion	Daily	0.70	26.20	16.70	2013-2017	CTM simulations	Yes	Xue et al. (2020)
GWR	Monthly	0.77	-	8.14	2014	OMI NO ₂ , CH ₂ O	Yes	Zhang et al. (2020)
LUR/BME	Daily	0.80	23.50	-	2015-2017	In situ observations	Yes	Chen et al. (2020)
STET	Daily	0.78	21.16	14.09	2015	ERA5 DSR and TEM	No	This study*
		0.81	20.27	13.38	2013-2017			
		0.83	18.88	12.72	2015-2017			
	Monthly	0.90	12.43	8.82	2014			

889 BME: Bayesian maximum entropy; CTM: chemical transport model; XGBoost: eXtreme Gradient Boosting



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Figure 1. Sorted importance scores of variables used in estimating O₃ concentrations using the STET model, where red, blue, and green colors indicate variables from satellites, ERA5 reanalysis, and MEIC emission inventory, respectively. The vertical red dashed line shows the importance score of 1%.

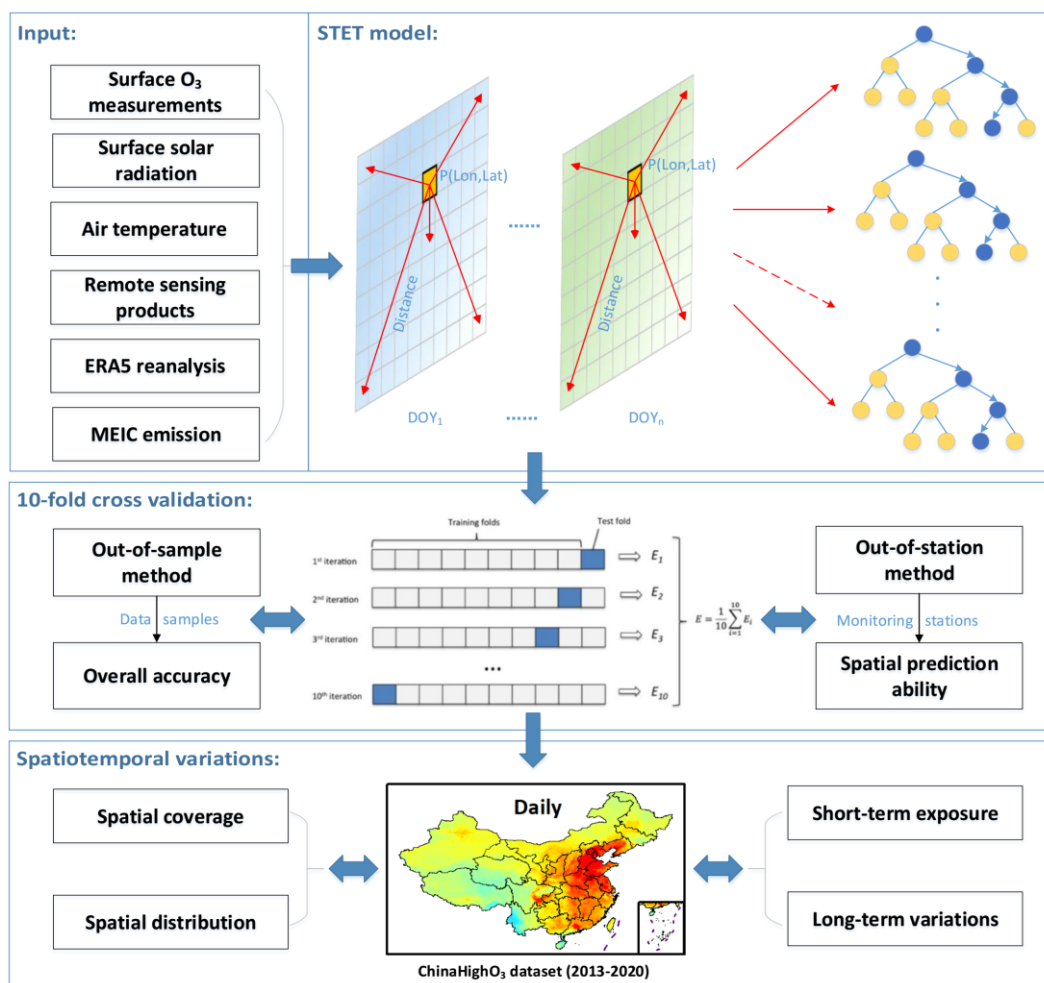


Figure 2. Flowchart of the mapping process of the ChinaHighO₃ dataset for our study.

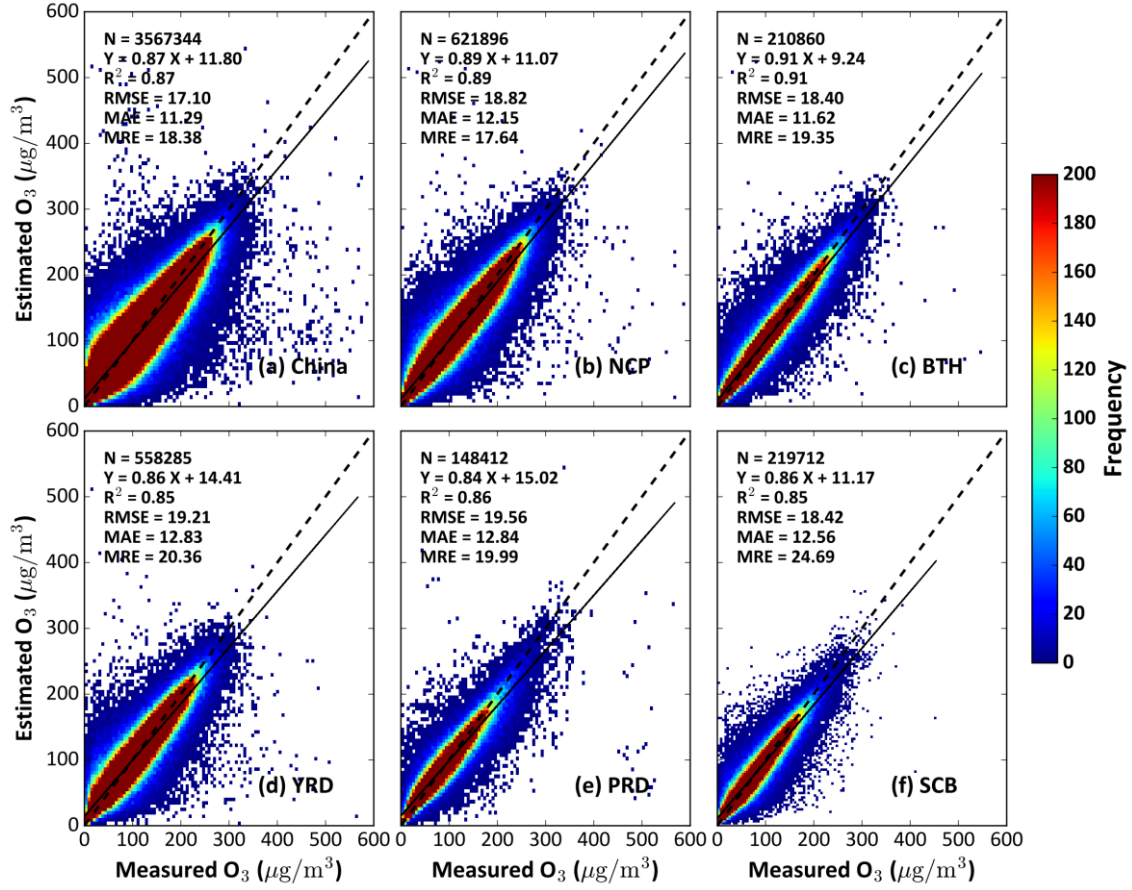


Figure 3. Out-of-sample cross-validation results of MDA8 O_3 estimates ($\mu g/m^3$) from 2013 to 2020 (a) in China, (b) North China Plain (NCP), (c) Beijing-Tianjin-Hebei (BTH) region, (d) Yangtze River Delta (YRD), (e) Pearl River Delta (PRD), and (f) Sichuan Basin (SCB). Frequency in the right legend indicates the total number of data samples in each cell.

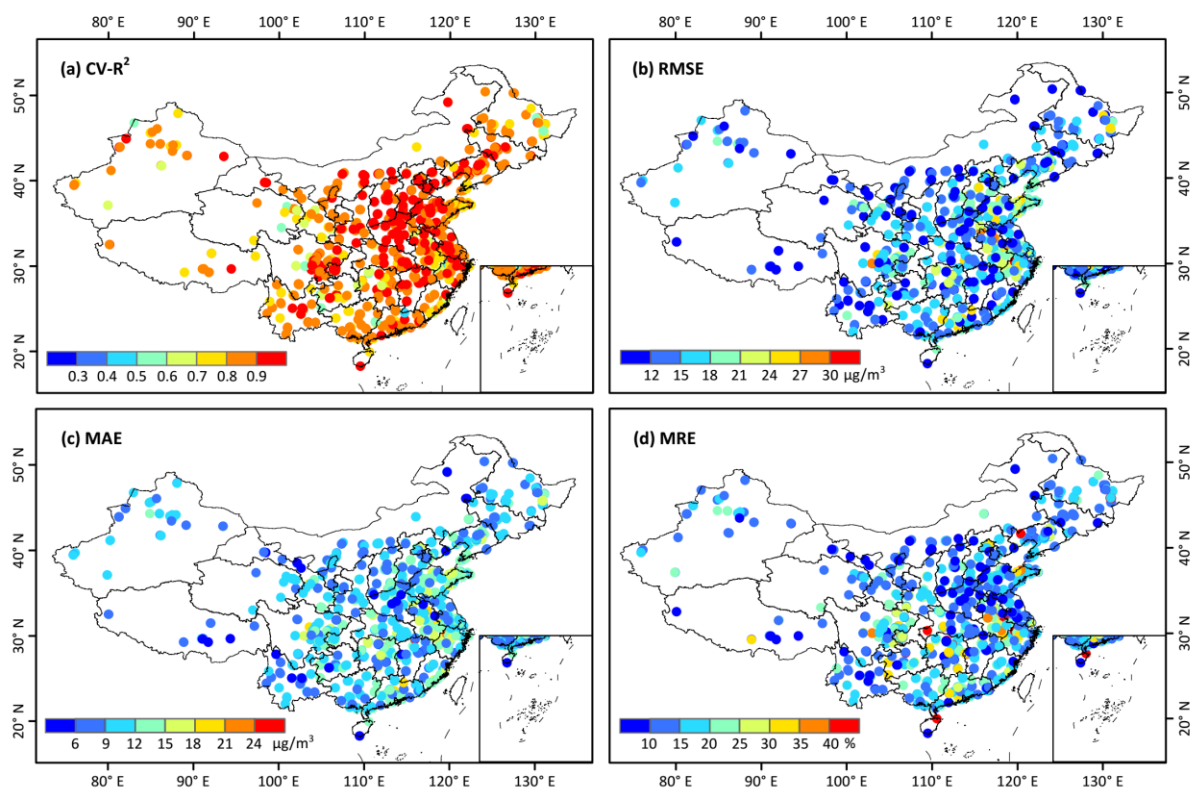


Figure 4. Individual-site-scale out-of-sample cross-validation results of MDA8 O₃ estimates (μg/m³) from 2013 to 2020 in China.

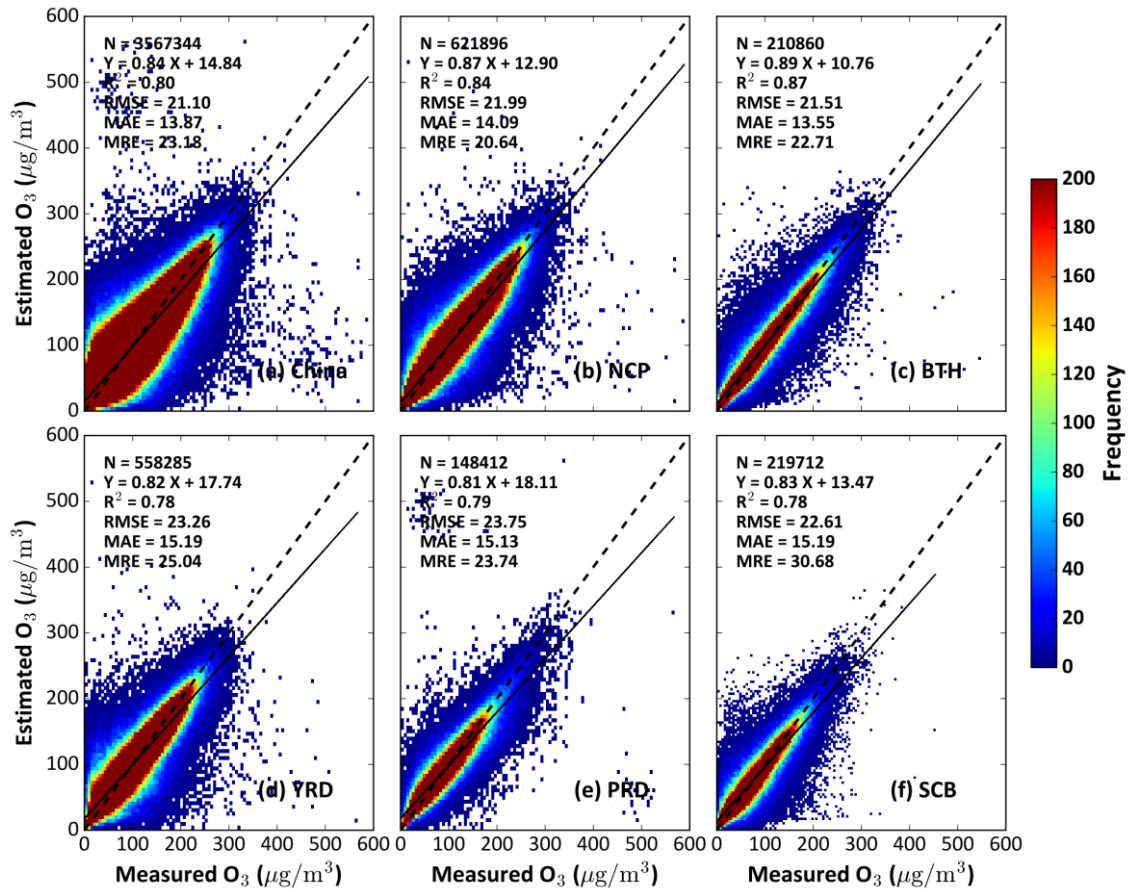


Figure 5. Same as Figure 4 but for out-of-station cross-validation results.

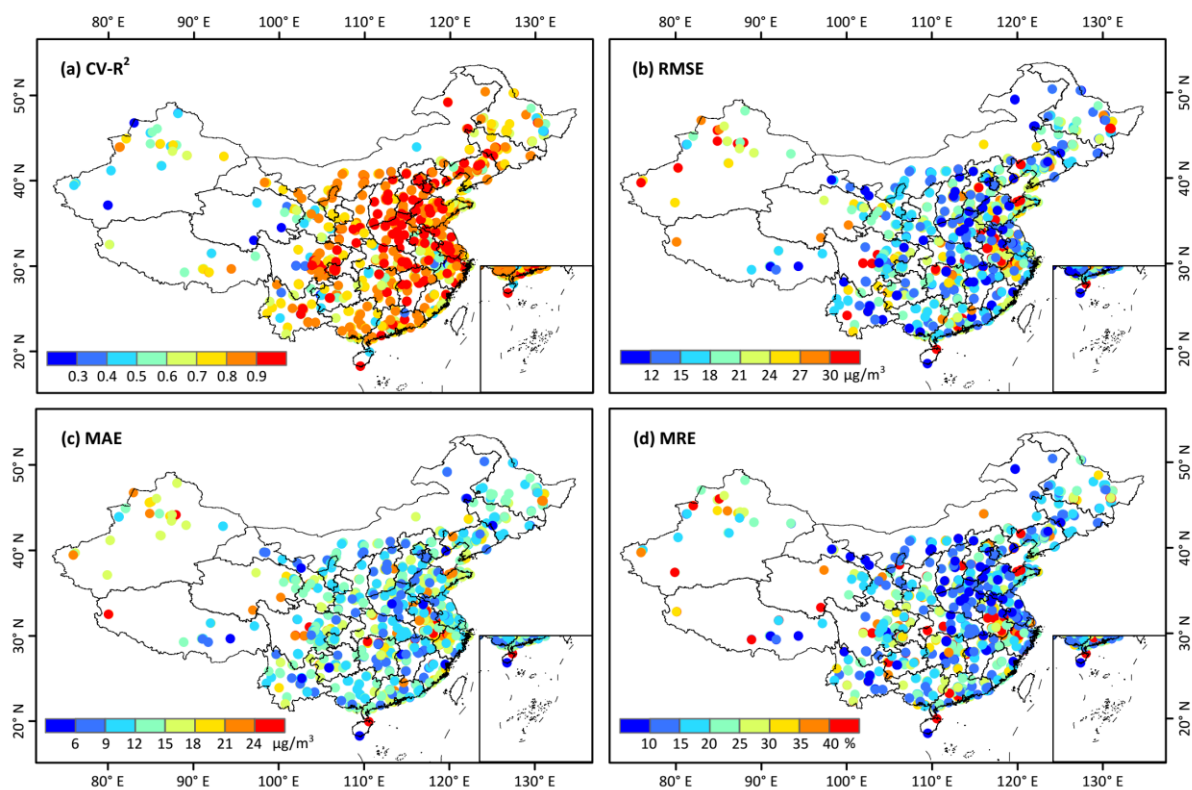


Figure 6. Same as Figure 5 but for out-of-station cross-validation results.

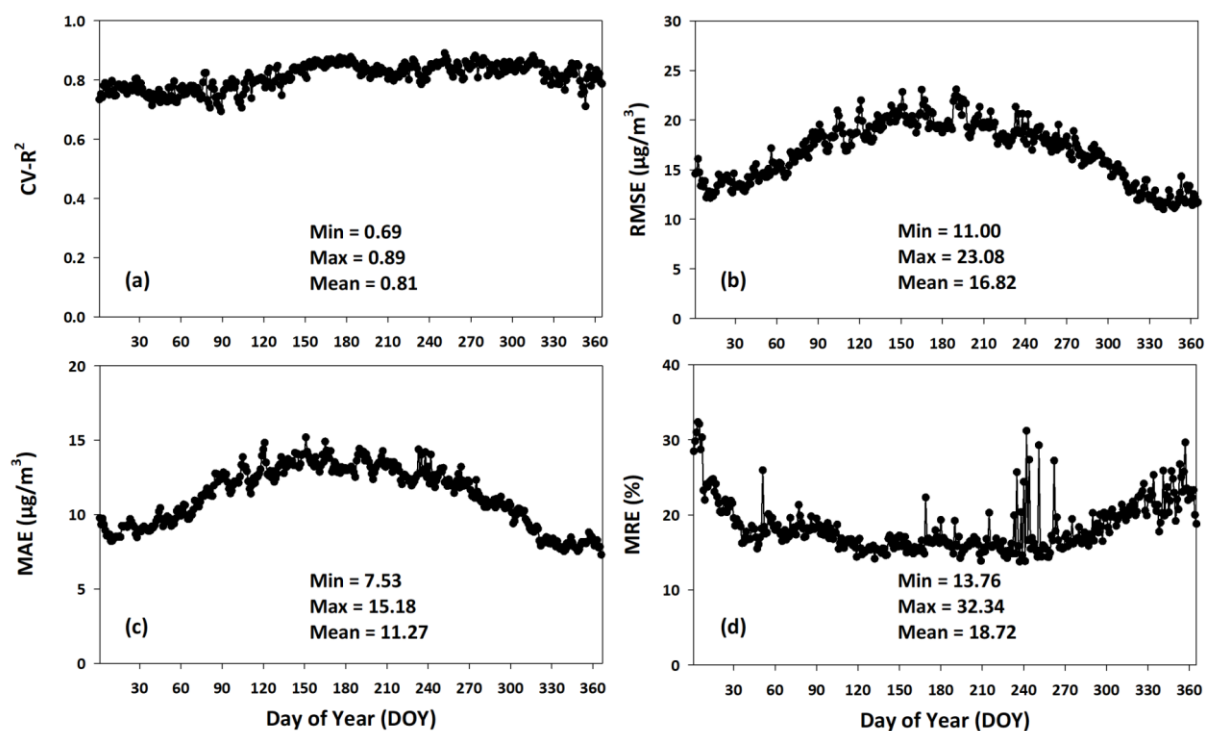


Figure 7. Time series of daily variations of the validation results of MDA8 O₃ estimates (µg/m³) from 2013 to 2020. Minimum, maximum, and mean values are given in each panel.

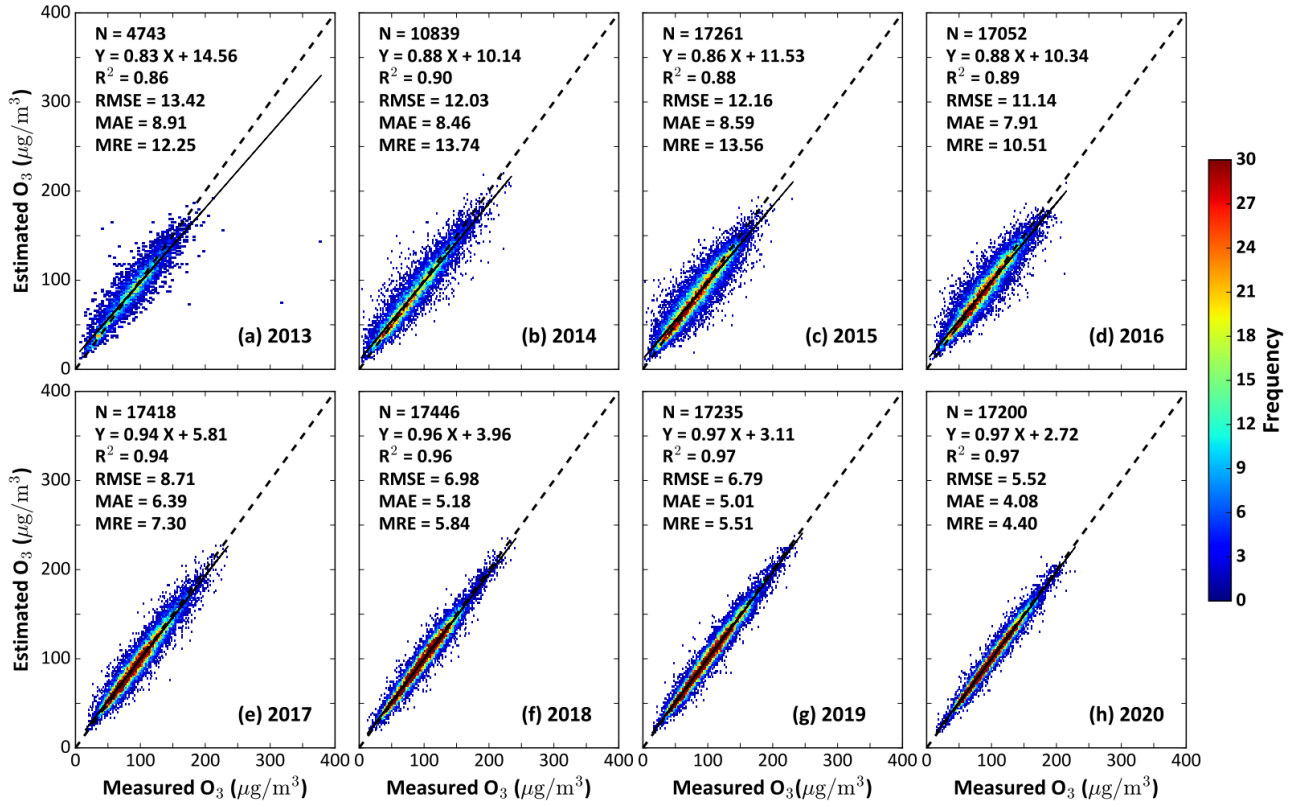


Figure 8. Validation of monthly composite MDA8 O₃ concentrations (μg/m³) for (a-g) each year and (h) all years from 2013 to 2020 in China.

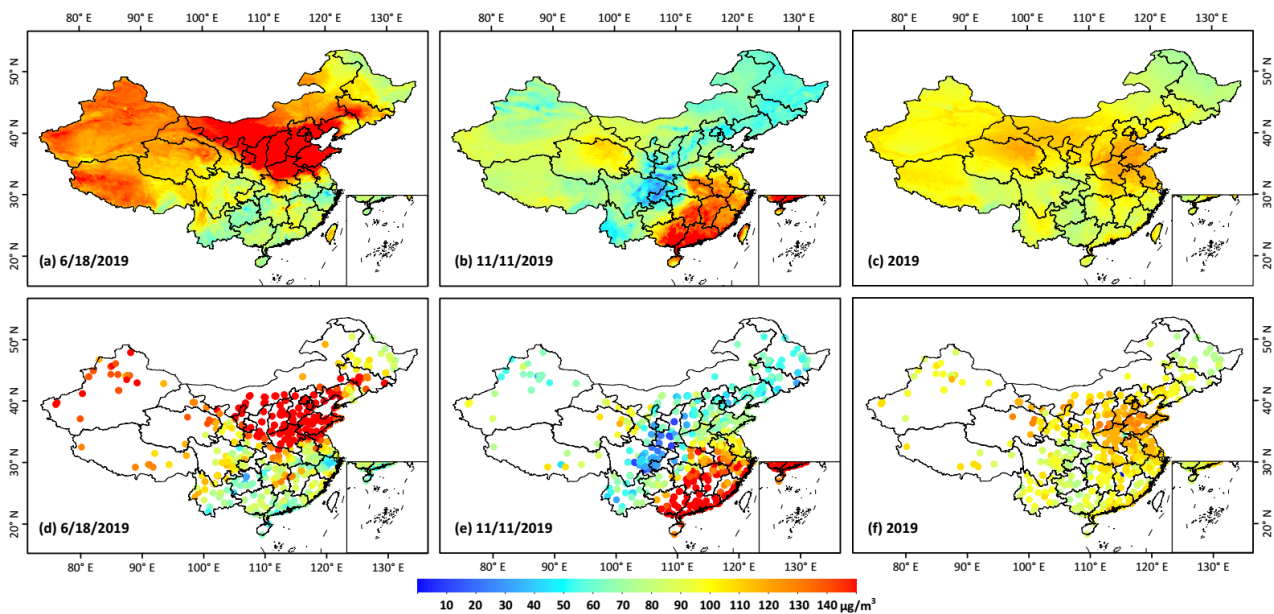


Figure 9. (a-c) STET-model-derived and (d-f) ground-based MDA8 O₃ maps on 18 June 2019 (a & d), 11 November 2019 (b & e), and the annual mean map for 2019 (c & f) covering China.

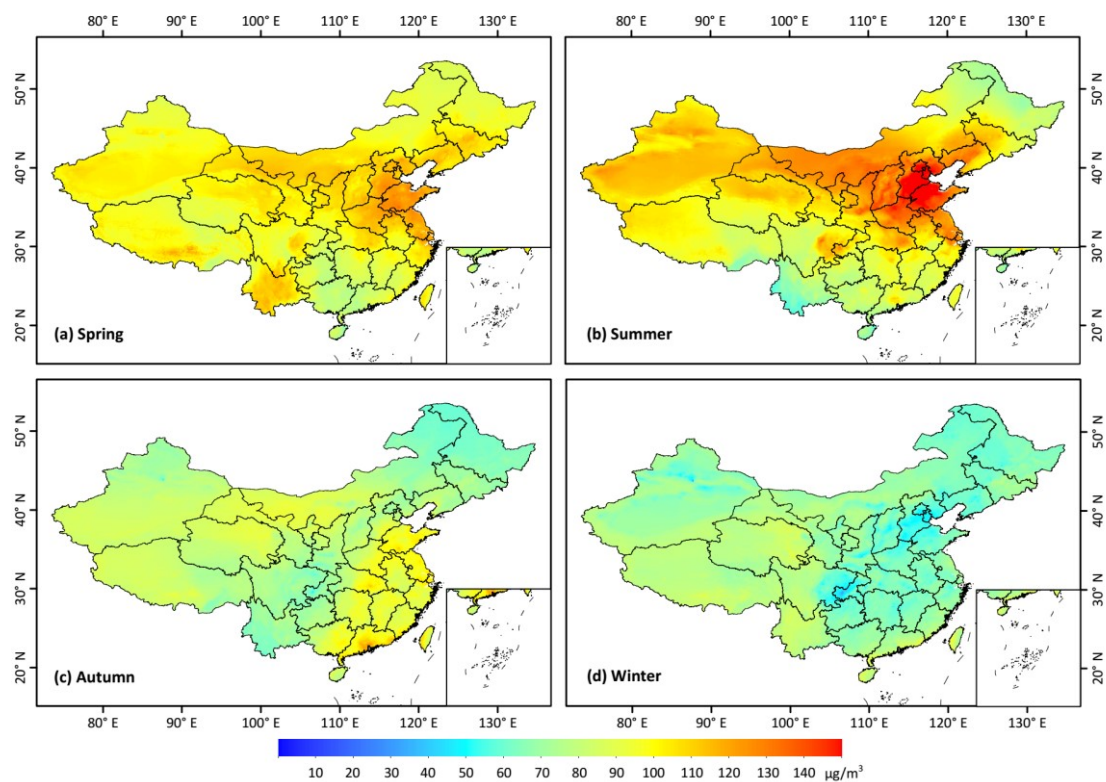


Figure 10. Multi-year seasonal mean MDA8 O₃ maps (10 km) averaged over the period 2013–2020 across China.

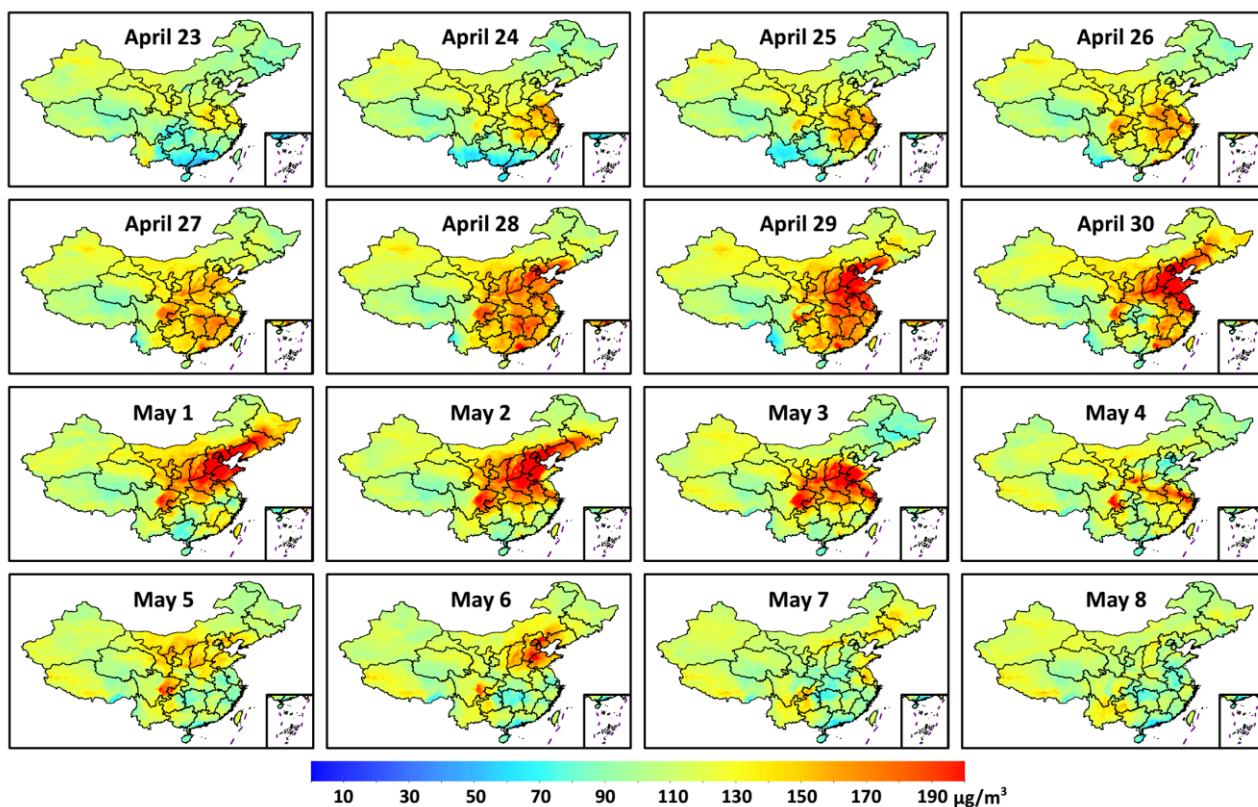
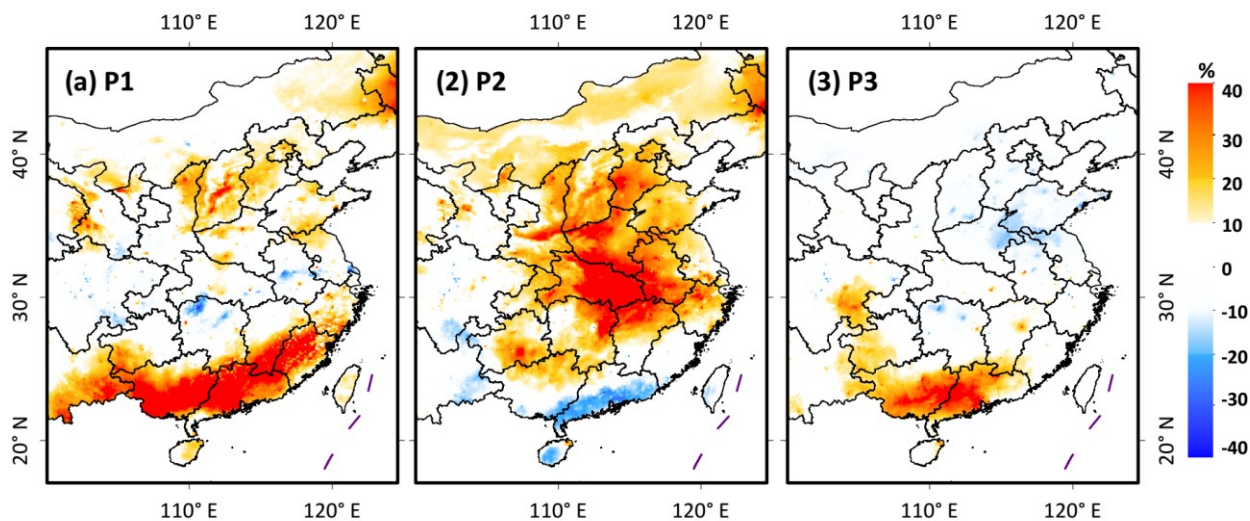


Figure 11. A typical example of a severe O₃ pollution event that occurred from 23 April 2020 to 8 May 2020 in eastern China.



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Figure 12. Relative changes (%) in mean MDA8 O₃ concentrations ($\mu\text{g}/\text{m}^3$) in 2020 (during the COVID-19 epidemic) and 2019 during the same periods: (a) Period 1 (P1, 1–25 January), (b) Period 2 (P2, 26 January to 17 February), and (c) Period 3 (P3, 18 February to 31 March) in eastern China.

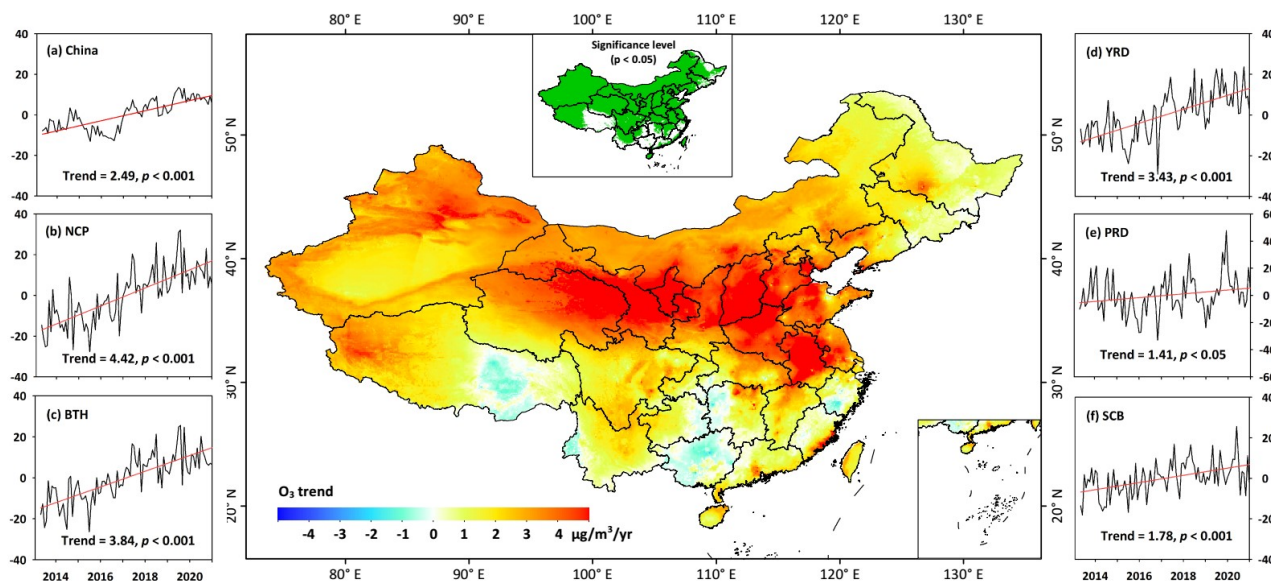


Figure 13. Linear MDA8 O₃ trends (μg/m³/yr) calculated from de-seasonalized monthly MDA8 O₃ anomalies from 2013 to 2020 across China. The surrounding panels show the variations of monthly MDA8 O₃ anomalies in (a) China and (b-f) five typical regions.

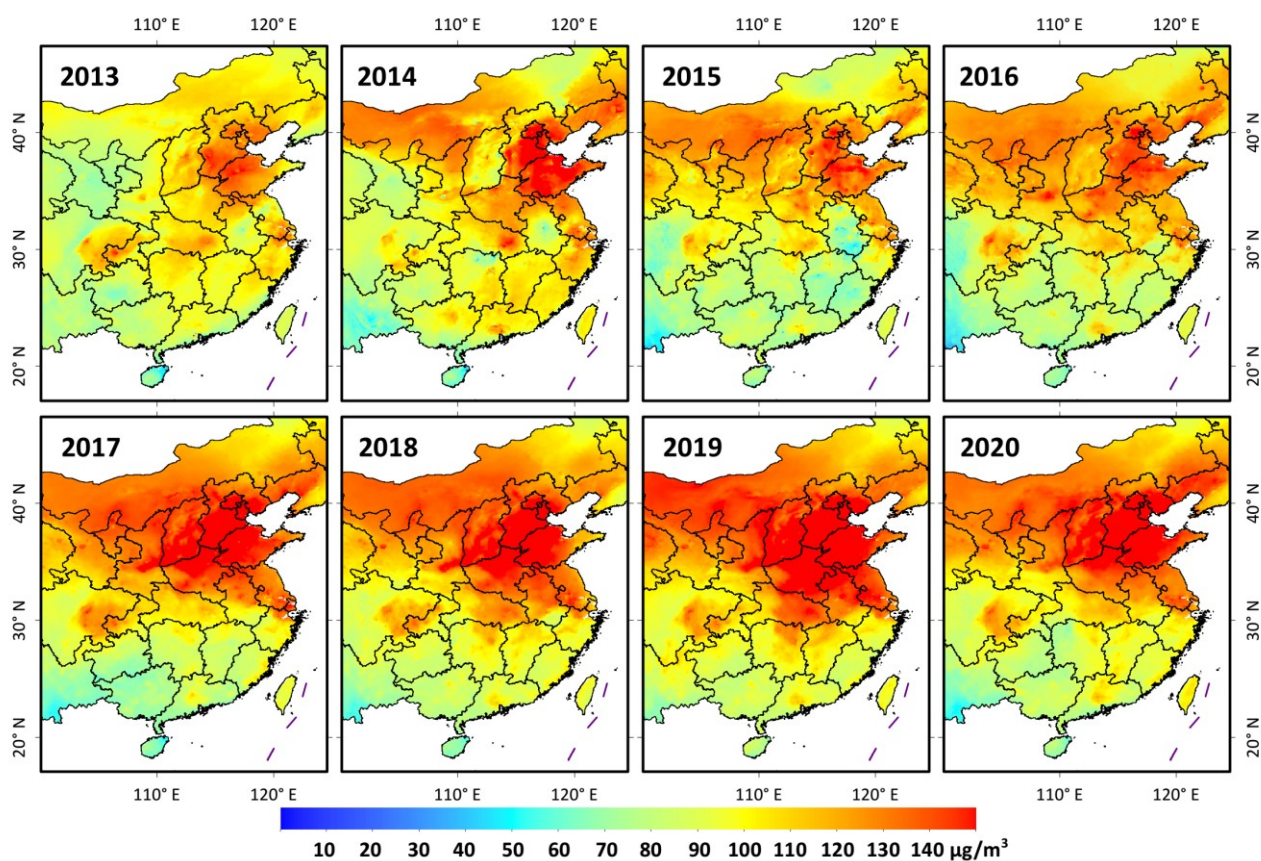


Figure 14. Spatial distributions of summer mean MDA8 O₃ concentrations (μg/m³) from 2013 to 2020 in eastern China.

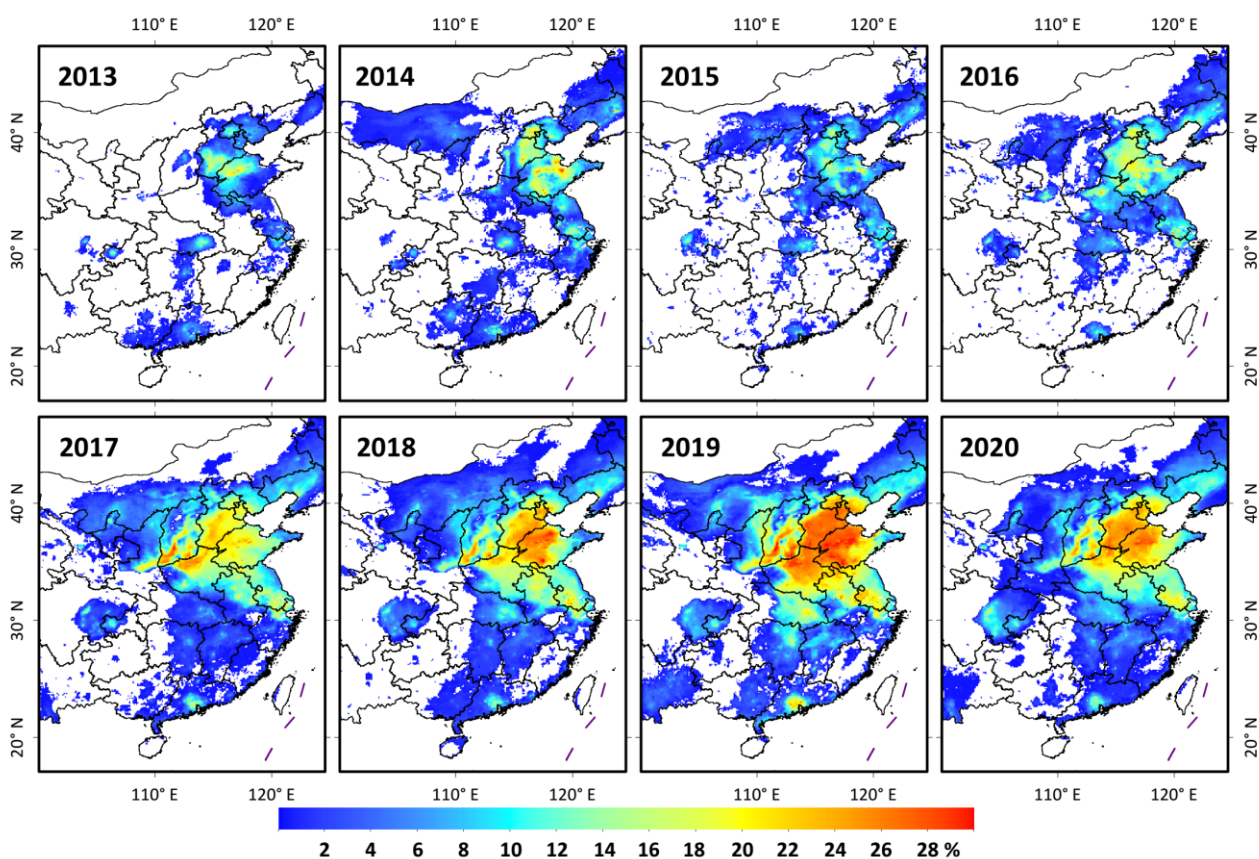


Figure 15. Spatial distributions of the percentage of days exceeding the ambient O₃ standard (i.e., MDA8 O₃ concentrations > 160 µg/m³) from 2013 to 2020 in China.

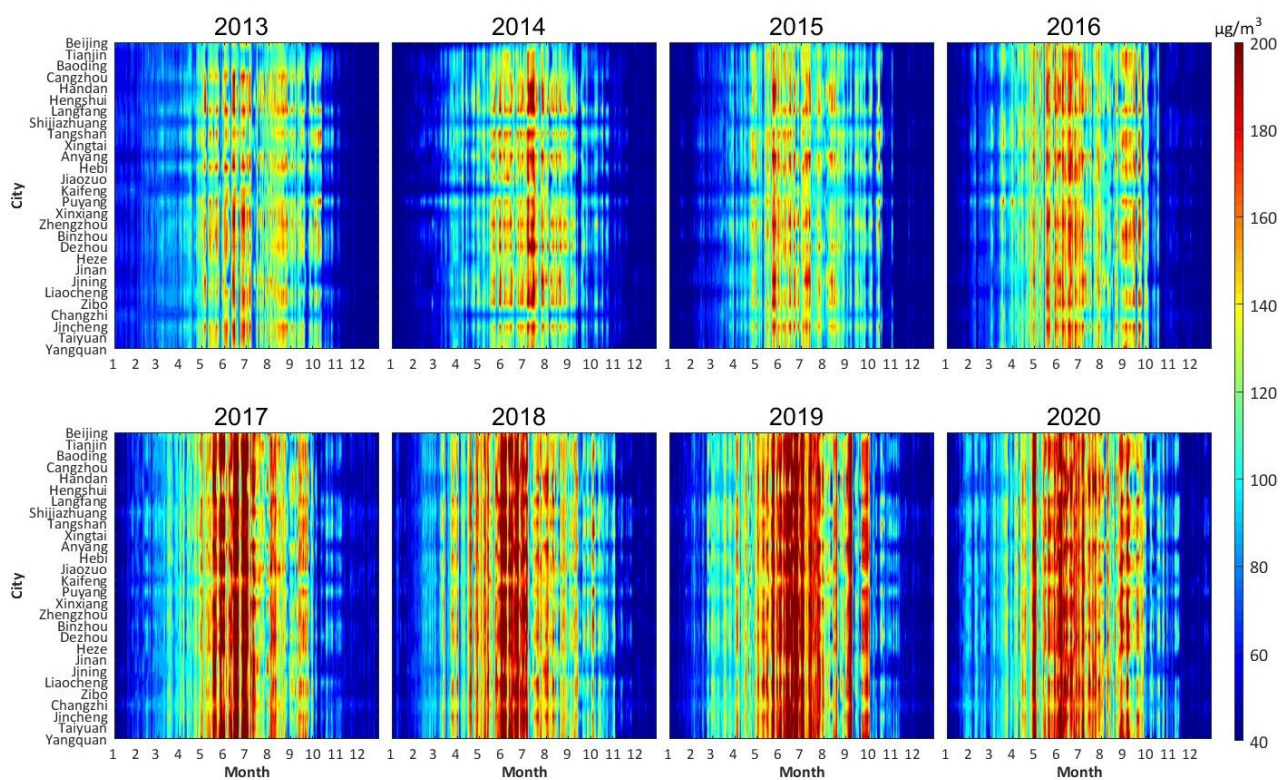


Figure 16. Heat maps of MDA8 O₃ concentrations (µg/m³) for each year from 2013 to 2020 at the “2+26” cities in China.