Performance Characterization of ESA's Tropospheric Delay Calibration System for Advanced Radio Science Experiments

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Abstract

Media propagation noises are amongst the main error sources of radiometric observables for deep space missions, with fluctuations of the tropospheric excess path length representing a relevant contributor to the Doppler noise budget. Microwave radiometers currently represent the most accurate instruments for the estimation of the tropospheric path delay (or excess path length) along a slant direction. A prototype of a Tropospheric Delay Calibration System (TDCS), using a 14 channel Ka/V band microwave radiometer, has been developed under ESA contract and installed at the deep-space antenna DS3 complex in Malargüe (Argentina) in February 2019. After its commissioning, the TDCS has been involved in an extensive testbed campaign by recording a total of 44 tracking passes of the Gaia spacecraft, which were used to perform an orbit determination analysis. This work presents the first statistical characterization of the end-to-end performance of the TDCS prototype in an operational scenario. The results show that using TDCS-based calibrations instead of the standard GNSS-based calibrations leads to a significant reduction of the residual Doppler noise and instability.

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16 Key Points:

- A prototype water vapor radiometer for tropospheric delay calibration was installed at the Deep
 Space Antenna complex in Malargüe.
- The instrument performance has been statistically characterized through orbit determination of the Gaia spacecraft.
- Our results indicate that the instrument allows for improved frequency stability with respect to current calibrations.
- 23
- 24

25 Abstract

Media propagation noises are amongst the main error sources of radiometric observables for deep 26 27 space missions, with fluctuations of the tropospheric excess path length representing a relevant 28 contributor to the Doppler noise budget. Microwave radiometers currently represent the most 29 accurate instruments for the estimation of the tropospheric path delay (or excess path length) along 30 a slant direction. A prototype of a Tropospheric Delay Calibration System (TDCS), using a 14 31 channel K_a/V band microwave radiometer, has been developed under ESA contract and installed 32 at the deep-space antenna DS3 complex in Malargüe (Argentina) in February 2019. After its 33 commissioning, the TDCS has been involved in an extensive testbed campaign by recording a total 34 of 44 tracking passes of the Gaia spacecraft, which were used to perform an orbit determination 35 analysis. This work presents the first statistical characterization of the end-to-end performance of 36 the TDCS prototype in an operational scenario. The results show that using TDCS-based 37 calibrations instead of the standard GNSS-based calibrations leads to a significant reduction of the 38 residual Doppler noise and instability.

39 1 Introduction

40 Precise radiometric tracking is of key importance during operations of interplanetary missions and

41 for advanced radio science applications. Radio science research performed on deep space missions

42 like Cassini, BepiColombo, and the upcoming JUICE mission, rely on a combination of X and K_a

band radio links to mitigate the dispersive effects of propagation through interplanetary plasma,
 solar corona and Earth ionosphere, leaving tropospheric delay as one of the main error contributors

44 solar corona and Earth fonosphere, leaving tropospheric delay as one of the main end 45 to Doppler and ranging measurements.

46 To meet the demanding requirements of BepiColombo's Mercury Orbiter Radio science

47 Experiment (MORE) (Iess, et al., 2021) and JUICE's Geodesy and Geophysics of Jupiter and the 48 Galilean Moons (3GM) (Cappuccio, et al., 2020) Radio Science experiment, in terms of

radiometric tracking accuracy and end-to-end stability of the Doppler signals, ground-based

Microwave Radiometers (MWR) are deemed as the most appropriate instruments for tropospheric
 delay calibration (Iess, Asmar, & Tortora, 2009).

52 The use of MWRs to calibrate the tropospheric delay for deep space tracking was originally 53 introduced by JPL to support the Cassini radio science experiments (Naudet, et al., 2000), (Resch,

introduced by JPL to support the Cassini radio science experiments (Naudet, et al., 2000), (Resch,
 et al., 2001), (Tanner & Riley, 2003). In the following years, in combination with multi-frequency

55 links to calibrate the dispersive media, such as the ones available on Cassini and Juno, the use of

a MWR allowed to reach a remarkable end-to-end fractional frequency stability, expressed in

57 terms of Allan Standard Deviation (ASD), of about 1×10^{-14} at a 1000 s stability interval (Tortora,

58 Iess, Bordi, Ekelund, & Roth, 2004), (Durante, et al., 2020). Later, ESA performed a preliminary

59 study named AWARDS (Tortora, et al., 2013), (Graziani, et al., 2014) for the definition of the

60 requirements and preliminary system design of a Tropospheric Delay Calibration system (TDCS).

61 In addition, media calibration performance requirements for accurate spacecraft (S/C) tracking

62 were studied in detail in another ESA study called ASTRA (Iess, et al., 2012) (Iess, et al., 2014).

63 The TDCS described here represents the prototype of a new instrument for the calibration of 4 transpresents delay based on a high stability and high accuracy K_{i} (*N* hand MNP, which was

tropospheric delay based on a high stability and high accuracy K_a/V band MWR, which was developed in the framework of an ESA-ESTEC contract by a consortium formed by Radiometer

66 Physics GmbH (RPG), University of Bologna, and the Université Catholique de Louvain.

67 Specifically, this work focuses on the end-to-end performance characterization of the TDCS

68 system, which was carried out through a detailed orbit determination (OD) analysis of Doppler

69 measurements acquired during several tracking passes of ESA's Gaia S/C.

70 It is important to clarify that the purpose of this test is not to reproduce the full OD solution used

for the navigation of Gaia, but to validate the TDCS products by making a punctual evaluation of the relative end-to-end noise reduction when TDCS-based calibrations are used in place of

12 the relative end-to-end noise reduction when TDCS-based calibrations are used in place of 72 standard CNSS based calibrations

73 standard GNSS-based calibrations.

74 2 Tropospheric Delay Calibration System

75 The TDCS is a combination of instruments, software (S/W) tools and operational procedures that 76 allows an accurate estimation of the tropospheric delay along the slant direction while minimizing 77 the effect of the instrument instability. The main TDCS subsystem is an ultra-stable MWR for 78 deep-space applications, which represents a modified version of the standard HATPRO-G5 MWR 79 developed by RPG (Maschwitz, Czekala, Orlandi, & Rose, 2019). A description of the concept 80 and design of the first HATPRO generation is given by Rose, et al. (2005). In 2015, the radiometric 81 performance was significantly improved with the fifth generation of the series (G5). The TDCS, 82 shown in Figure 1, measures sky noise emissions at frequencies near the water vapor absorption 83 peak at 22.2 GHz, the oxygen absorption band around 60 GHz and in the 30 GHz window, which 84 is sensitive to liquid water content (clouds and rain). With respect to the standard HATPRO-G5 85 RpG radiometer, this instrument was tailored for S/C tracking applications by adding: a) a 2-axes Antenna Tracking System (ATS) to gain full sky scanning capabilities; b) a modified antenna 86 system including an external heated parabolic reflector, which narrows the MWR half-power 87 beamwidth down to 1.2°, allowing to better replicate the air volume sampled by the Deep Space 88 89 Antenna (DSA) and to reduce the effect of solar radiation contamination during periods of superior 90 conjunction; c) a high precision meteo station providing values of air pressure, temperature, 91 relative humidity, rain rate and wind at ground level. The instrument includes internal and external 92 temperature control and antenna blower systems to avoid water condensation and icing on the 93 exposed surfaces and to maintain accurate stability of the receivers' temperature.

94 Further updates include new control procedures for the ATS, specific calibration procedures for 95 the instrument parameters, and the development of an external S/W tool that acts as a high-level 96 access point for monitoring and controlling of the TDCS functions by the ground station Front-

- 97 End Controller (FEC).
- 98





Figure 1 The TDCS prototype deployed at ESA's DS3 complex in Malargüe, Argentina.

101 **3** Testbed summary and data availability

102 Two successive testbed campaigns were carried out between February and July 2019, targeting a 103 total of 44 tracking passes of ESA's Gaia S/C. Both H/W and S/W updates were performed in 104 response to issues that were encountered during the test campaign. As a result, availability of key 105 data products (Doppler data, GNSS-based calibrations, and TDCS-based calibrations) has varied 106 among the different tracking passes. Since the simultaneous availability of all these products is 107 required for the performance evaluation, only 32 of the total passes were eventually included 108 within the OD analysis, as shown in Table 1, which provides an overview of this subset along with 109 a summary of the atmospheric conditions that were encountered during each pass.

- 110 The range of TDCS retrieved ZWD values provides an indication of the potential improvement 111 that can be obtained when tropospheric calibrations are introduced in the OD process. Being Gaia
- 112 only visible at night, most of the tracking passes are characterized by dry conditions, which can
- 113 limit the effectiveness of the TDCS calibrations. Values of TDCS retrieved Liquid Water Path 114 (LWP) above $\sim 10 \text{ g/m}^2$ indicate the presence of condensed water (clouds or fog) along the TDCS
- 114 (Lwr) above ~10 g/m multate the presence of condensed water (clouds or log) along the TDCS 115 line-of-sight. These values scale with the length of the propagation path through the cloud, so high
- 115 Inte-or-signt. These values scale with the length of the propagation path through the cloud, so high 116 LWP values (i.e. $\sim 500-1000 \text{ g/m}^2$) indicate the presence of thick cloud formations and may suggest
- 117 the presence of precipitated water, in particular when coupled with the triggering of the Rain Flag
- 118 (RF) by the rain sensor included within the TDCS. Characteristic integrated values for wind speed
- 119 (WS) and turbulence strength (C_N^2), derived from the European Center for Medium Weather
- 120 Forecast (ECMWF) database (Molteni, Buizza, Palmer, & Petroliagis, 1996), can be considered
- 121 as proxy parameters for the presence of turbulent eddies in the lower portions of the atmosphere,
- 122 which affect the accuracy of the TDCS calibrations (Lasagni Manghi, et al., 2019). Both values of
- 123 WS and C_N^2 were provided by UCL (Quibus, et al., 2019) and were derived by averaging over
- time the local vertical profiles from the ECMWF dataset that fell within the tracking pass interval,
- when available. The obtained vertical profiles were then spatially averaged from ground level to a
- 126 height of 1 km above the surface.
- 127 Finally, the wind speed at ground level, measured by the TDCS meteo station, provides on one
- hand an indication of the strength of the mechanical noise introduced in the Doppler measurements by the tropospheric calibrations, and on the other hand represents another proxy for the effect of
- 130 wind shears on tropospheric turbulence.

131 Table 1 Summary of data availability and main meteorological parameters for the analyzed tracking passes: a) pass ID number; b)

date; c) time coverage; d) characteristic elevations, corresponding to the start of the session, the maximum value, and the end

133 of the session, respectively; e) Boolean indicating the activation of the rain flag of the TDCS meteo station; f) 99th percentile of 134 the estimated LWP along the slant direction; g) range of estimated ZWD values; h) 99th percentile of the wind speed measured

134 the estimated LWP along the slant direction; g) range of estimated ZWD values; h) 99th percentile of the wind speed measured 135 by the TDCS meteo station; i) characteristic integrated wind speed derived from the ECMWF dataset; j) characteristic integrated

136 turbulence strength derived from the ECMWF dataset.

Pass ID	Date	From/To	El [°]	RF	LWP [g/m ²]	ZWD [mm]	WS _{TDCS} [km/h]	WS _{ECMWF} [km/h]	C_N^2 [km/h]
1	16 February 2019	[02:00, 03:30]	[27, 37, 37]	NO	62	[99, 104]	10	-	-
2	17 February 2019	[02:00, 03:30]	[27, 37, 37]	NO	281	[132, 155]	15	-	-
3	23 February 2019	[02:00, 03:30]	[26, 38, 38]	NO	24	[112, 121]	8	-	-
4	24 February 2019	[02:00, 03:30]	[26, 38, 38]	NO	18	[24, 32]	25	-	-
5	25 February 2019	[08:00, 09:30]	[26, 26, 15]	NO	106	[55, 61]	30	-	-
6	26 February 2019	[08:00, 09:30]	[27, 27, 15]	NO	83	[46, 49]	8	-	-
7	27 February 2019	[01:00, 03:30]	[15, 39, 39]	YES	32	[75, 83]	11	-	-
8	03 March 2019	[01:30, 03:30]	[15, 40, 40]	NO	36	[75, 87]	8	-	-
9	09 April 2019	[02:00, 11:00]	[21, 62, 15]	NO	136	[46, 66]	14	9.86	5.34·10 ⁻¹⁴
10	10 April 2019	[00:00, 11:00]	[20, 62, 15]	YES	141	[59, 78]	30	19.75	5.75·10 ⁻¹⁴
11	11 April 2019	[02:00, 11:00]	[22, 62, 15]	NO	145	[47, 71]	6	29.11	$4.14 \cdot 10^{-14}$

12	12 April 2019	[00:00, 10:00]	[15, 62, 19]	NO	142	[38, 70]	10	18.53	1.27.10-13
13	14 April 2019	[02:00, 11:00]	[23, 63, 15]	NO	106	[14, 26]	42	42.61	7.83.10-14
14	16 April 2019	[01:00, 08:30]	[24, 64, 36]	NO	47	[51, 67]	10	20.27	1.60.10-13
15	17 April 2019	[02:00, 08:30]	[24, 64, 36]	NO	23	[28, 45]	13	23.16	4.90.10-14
16	18 April 2019	[00:00, 10:30]	[15, 65, 18]	NO	85	[53, 71]	8	22.49	7.82.10-14
17	19 April 2019	[00:00, 10:00]	[15, 65, 28]	NO	262	[63, 104]	27	16.87	9.19·10 ⁻¹⁴
18	20 April 2019	[00:00, 09:30]	[15, 65, 32]	YES	2555	[85, 172]	9	16.34	4.83.10-14
19	21 April 2019	[00:00, 08:30]	[15, 66, 43]	NO	79	[60, 73]	10	17.79	8.73.10-14
20	22 April 2019	[00:00, 07:30]	[15, 66, 52]	NO	69	[64, 75]	9	20.91	5.66.10-14
21	23 April 2019	[01:00, 08:30]	[15, 66, 41]	NO	43	[74, 97]	8	5.37	1.40.10-14
22	29 April 2019	[23:30 ¹ , 07:30]	[15, 69, 51]	NO	134	[48, 97]	15	28.16	9.18·10 ⁻¹⁴
23	30 April 2019	[01:00, 06:00]	[19, 69, 66]	NO	405	[69, 84]	8	16.24	6.22·10 ⁻¹⁴
24	01 May 2019	[23:30 ¹ , 05:00]	[15, 69, 69]	NO	128	[27, 61]	15	24.77	1.35.10-13
25	04 May 2019	[23:30 ¹ , 05:00]	[15, 70, 70]	NO	1082	[100, 127]	12	15.61	3.02.10-14
26	11 May 2019	[23:00 ¹ , 03:00]	[15, 62, 62]	NO	141	[32, 47]	10	15.21	7.77.10-14
27	19 May 2019	[23:00 ¹ , 06:00]	[15, 75, 66]	NO	183	[54, 71]	8	15.31	7.65.10-14
28	29 June 2019	[21:30 ¹ , 05:30]	[15, 83, 64]	NO	36	[54, 71]	13	26.73	6.65·10 ⁻¹⁴
29	30 June 2019	[21:30 ¹ , 06:00]	[21, 83, 61]	NO	25	[22, 47]	25	33.08	6.02·10 ⁻¹⁴
30	11 July 2019	[06:30, 09:00]	[53, 53, 25]	NO	2	[28, 38]	10	-	-
31	16 July 2019	[06:00, 09:00]	[63, 63, 20]	NO	1	[41, 46]	12	18.36	1.19.10-13
32	18 July 2019	[07:00, 09:00]	[47, 47, 20]	NO	0	[52, 74]	23	-	-

137 4 TDCS data processing

The retrieval of atmospheric variables from MWR observations is an ill-posed problem, since a 138 139 given set of Brightness Temperature (TB) measurements may be related to several different 140 atmospheric states (Keihm & Marsh, 1998). To resolve this ambiguity, the TDCS uses a Neural 141 Network (NN) retrieval algorithm, which was trained using a large number of atmospheric vertical 142 profiles extracted specifically for the Malargüe site from a numerical weather prediction model (ECMWF reanalysis). From each of these profiles of temperature, humidity, pressure and liquid 143 144 water concentration, the Slant Wet Delay (SWD) was computed. At the same time, each profile was used as input for the simulation of the corresponding TB measurements via a state-of-the art 145 146 radiative transfer model. The resulting dataset was split into a training part and a test part. For the 147 former, pairs of simulated TB measurements and SWD values were used to derive a set of retrieval 148 coefficients, which minimized the SWD output error over the complete training dataset. The latter 149 served for validation of the retrieval performance through a statistical comparison of SWD values calculated from the test profiles and the SWD values retrieved via the NN coefficients. 150

151 During operations, the retrieval coefficients related the measured TB input vector ($14 \text{ K}_a/\text{V}$ band

channels) to the best SWD value. Since TB measurements and SWD scale differently with the length of the propagation path through the atmosphere, the estimation process of the retrieval parameters was repeated at 19 discrete elevation angles (spaced at constant airmass steps) covering

the range between 10° and 90°, leading to the generation of an elevation-dependent grid of retrieval

156 coefficients. The discrete angle-grid may cause artificial effects at low elevation angles (<30°).

157 This was handled by a smart interpolation scheme based on the following steps: at first, the mean

- radiative temperature (TMR) was derived from surface sensors observations and a dedicated NN
- retrieval and used to derive the atmospheric opacity from TB values. Then, the atmospheric opacity
- 160 was linearly interpolated to the nearest nodes on the SWD retrieval grid and used to derive the
- 161 corresponding TB values using TMR, neglecting the small variation of TMR with airmass. Finally,

¹ The start times for these OD passes correspond to the previous day with respect to the date reported in the 2nd column.

162 the SWD output was calculated as an airmass weighted average of the SWD values retrieved for 163 the two considered grid nodes.

164 For each of the analyzed tracking passes, SWD values were retrieved from TB measurements using

165 the trained NN retrieval and converted to Zenith Wet Delay (ZWD) using a sin(el) mapping

166 function, where *el* represents the instantaneous elevation as indicated by the ATS. This simple

167 mapping was preferred to higher fidelity mapping functions, such as the one from Niell (1996), to

168 be consistent with the procedures used for the NN retrieval training.

169 Then, ZWD outliers were identified and removed using a z-score technique to evaluate the

deviation of each data point from a smoothed dataset, which was generated using a median filterwith a 10 minute time window.

- 172 Finally, Control Statement Processor (CSP) calibration cards were generated from the ZWD time
- 173 series using a linear piecewise fit between consecutive data points and according to the definitions
- 174 in (TRK-2-23 Media Calibration Interface, 2008). Several CSP cards were generated with
- 175 increasing values of the ZWD integration time to improve the signal-to-noise ratio. Specifically,
- 176 TDCS calibrations with 1 s, 20 s, and 60 s integration time for the ZWD time series were
- 177 respectively used and compared within the OD analysis .

178 The Zenith Hydrostatic Delay (ZHD) was computed according to the model of Saastamoinen

179 (1971), using equation (4.1). In this expression, $p_{\rm S}$ [hPa] is the surface pressure measured by the

180 TDCS meteo sensor, λ [°] is the geocentric latitude, and h [m] is the height over the Mean Sea

181 Level (MSL). Coordinates for the TDCS and DSA phase center are provided in Table 2.

$$ZHD = 2.2767 \cdot 10^{-3} \frac{p_S}{1 - 0.0266 \cdot \cos(2\lambda) - 0.00028 \cdot h} \quad [m]$$
(4.1)

182 The ZHD values were scaled to the height of the phase center of the DSA using the correction 183 provided in equation (4.2), where Δh [m] is the height difference between the TDCS and the DSA 184 phase center, p_s [hPa] is the surface pressure, and T_s[K] is the surface temperature. This expression

185 is a modified version of the one from Estefan & Sovers (1994), where the average pressure and

186 temperature of the vertical air column were replaced by the instantaneous surface measurements

187 provided by the TDCS meteo station.

$$\Delta ZHD \cong -7.76 \cdot 10^{-5} \Delta h \frac{p_s}{T_s} \ [m] \tag{4.2}$$

The corrected ZHD values were then smoothed using a gaussian filter with a 10 minute time window and down-sampled to 20 s. This filtering process was required due to the limited resolution of data generated by the TDCS pressure sensor (0.1 hPa), which caused some discontinuities within the ZHD time series. These discontinuities had orders of magnitude similar to the short scale variations in the ZWD and might have resulted in an increased Doppler noise if not properly corrected. Finally, CSP calibration cards were generated from the ZHD time series using a linear piecewise fit between consecutive data points.

195

Table 2 Coordinates of the relevant GS instruments

	Latitude	Longitude	Height (MSL)		
DSA	35° 46' 33.63" S	69° 23' 53.51" W	1571.5 [m]		
TDCS	35° 46' 32.69" S	69° 23' 52.42" W	1552 [m]		

196 5 Orbit Determination analysis

197 **5.1 Introduction**

Gaia is an ESA cornerstone scientific mission, whose aim is to measure the three-dimensional position and velocity distributions of stars within the Milky Way using accurate astrometric measurements (Prusti, De Brujine, Brown, & al., 2016). The selection of this particular mission for the TDCS testbed campaign was mainly driven by geometrical and operational considerations. Since Gaia operates from a Lissajous-type orbit around the second Earth-Sun Lagrange point (L₂), the S/C is constantly near solar opposition. This means that the impact of solar plasma and Earth

- ionosphere on the propagation delay is particularly limited, thus simplifying the processing and
- 205 calibration procedures for the Doppler data. Furthermore, several Gaia tracking passes were 206 already scheduled at the DS3 complex during the same time period, thus the inclusion of TDCS 207 operations had a marginal impact on ground station operations.
- 208 The overall concept for this analysis was to perform a standard OD process for the Gaia S/C using
- 209 Doppler measurements collected at DS3 and *a priori* information on the dynamical model provided
- 210 by the Flight Dynamics team at ESA's European Space Operation Centre in Darmstadt, Germany
- 211 (ESOC FD). This process was repeated by keeping all parameters fixed while varying the applied
- 212 tropospheric calibrations (either generated from dual-frequency GNSS measurements or generated
- 213 by the TDCS measurements) to allow for a direct comparison of the respective accuracies.

214 **5.2 Data selection and processing**

- 215 Raw Doppler measurements at X/X band acquired during the Gaia tracking passes between 16
- February and 18 July 2019 were provided by ESA as collected by the Telemetry, Tracking and
- 217 Command Processor (TTCP), according to the format definitions provided by Ricart (2018). As a
- first step, the set of Doppler observables was reduced by removing all measurements in the provimity of the chemically propelled maneuvers to avoid discontinuities
- 219 proximity of the chemically propelled maneuvers to avoid discontinuities.
- Then, all observables collected below 15° of elevation at the ground station were removed to mitigate the progressive degradation of the radiometric retrieval accuracy. This value, which represents a conservative limit, was selected to account for the retrieval errors due to the granularity of the NN retrieval coefficients, possible fast variations of the observed atmospheric scene, and contaminations due to ground and clutter emission.
- Doppler measurement weights for each tracking pass were computed as the root mean square (RMS) value of the residuals for that pass.

227 **5.3 Media calibrations**

- For the most recent deep space missions, the dispersive effect from the charged particles in the solar corona is calibrated using a multi-frequency link with coherent up-link and down-link (Bertotti, Comoretto, & Iess, 1993) (Mariotti & Tortora, 2013). This was not possible for the
- current analysis, since Gaia uses a single frequency link at X-band. However, the effect of Solar
- plasma is assumed to be small, considering that the S/C operates near solar opposition, with Sun
- Earth Probe (SEP) angle values always larger than 170° (Asmar, Armstrong, Iess, & Tortora,
- 234 2005), (Iess, et al., 2014).
- 235 Another relevant source of propagation delay excess is represented by the dispersive effect of
- 236 charged particles within the Earth's ionosphere. Radio Science and OD analyses mostly use
- 237 ionospheric calibrations derived from GNSS dual-frequency measurements, which are provided in
- form of CSP cards. These data products are not routinely generated by ESOC FD, which relies
- instead on the analytical model of Jakowski, Hoque, & Mayer (2011) to estimate the Doppler and

240 ranging errors due to the Earth's ionosphere. The same approach was used for this analysis to

241 generate a time series of corrections to be applied for the scheduled Gaia tracking passes. Being

242 Gaia only visible at night, because of its solar opposition geometry, the ionospheric induced

243 Doppler error at X-band was expected to be small when compared to the variations of tropospheric

delay (Thornton & Border, 2003), as confirmed by the computed values, which were often below the 10 μ m/s resolution of the Jakowsky model. Considering the small magnitude of these

245 the 10 µm/s resolution of the Jakowsky model. Considering the small magnitude of these 246 corrections and the limited resolution of the model, it was decided not to include the ionospheric

247 calibrations within the final OD analysis, to avoid introducing discontinuities in the Doppler

248 measurements.

249 **5.4 Dynamical model**

The overall goal of this analysis was to validate the TDCS products by performing a direct comparison between the OD performances obtained using TDCS-based calibrations and the ones

obtained using standard GNSS-based calibrations. Keeping this in mind, the dynamical model was

- kept reasonably simple to reduce the likelihood of possible biases in the results caused by mismodelling errors
- The gravitational accelerations that were considered for this analysis include point-mass gravity
- from the Sun, the planets and their satellites, the Moon, and Pluto. Higher order gravitational

harmonics were neglected. State vectors and gravitational parameters for the Solar System bodies

258 were taken from JPL's DE430 planetary ephemerides (Folkner, Williams, Boggs, Park, &

- 259 Kuchynka, 2014).
- 260 Non-Gravitational Accelerations (NGA) were introduced in the form of interpolating polynomials
- 261 using tabulated coefficients generated by ESOC FD, allowing for easier replicability. Specifically,
- the main NGAs acting on the S/C are the ones from Solar Radiation Pressure (SRP) and Thermal
- 263 Radiation Pressure (TRP), which were provided in form of normalized acceleration components.
- Gaia performed three main Orbit Trim Maneuvers (OTMs) throughout the testbed campaign: two
- station keeping OTMs, in February and April 2019, respectively, and an inclination change
- 266 maneuver, split into 9 burns, in July 2019. All OTMs occurring during the tracking passes were 267 modelled as impulsive burns and estimated within the filter using *a priori* ΔV values provided by
- 268 ESOC FD.
- 269 Attitude control during chemically propelled maneuvers was performed using the Reaction Control
- 270 System (RCS), which caused parasitic ΔVs to be imparted on the S/C. Similarly to the OTMs,
- 271 RCS firings were modelled as impulsive burns and estimated within the filter.
- 272 Conversely, attitude control during standard operations was performed using a cold-gas Micro-
- 273 Propulsion System (MPS), which caused parasitic accelerations to act permanently on the S/C.
- 274 Instantaneous accelerations from cold-gas MPS thrusters were provided in tabulated form by
- ESOC FD.

276 **5.5** Filter setup

277 The analysis was carried out using JPL's MONTE OD S/W (Evans et al., 2018), which adopts a

278 weighted least-squares batch filter to generate iterative corrections to the *a priori* dynamical model

in order to minimize the difference between the real and the simulated measurements (Bierman,2006).

- 281 Table 3 summarizes the solve-for parameters within the square root information batch filter and
- their associated *a priori* uncertainties.
- 283 *A priori* values for the S/C state were taken from the operational trajectory reconstructed by ESOC
- FD. Another key parameter that was estimated within the filter is the phase center of the onboard

S/C antenna. Since Gaia uses two separate antennas for uplink and downlink, which are the Low-Gain Antenna (LGA1) and the Phased Array Antenna (PAA), respectively, the estimated antenna coordinates are actually referred to a virtual antenna (VA) located at the midpoint of the LGA1-PAA segment. Estimated values for the coordinates of the VA were consistent with the *a priori* uncertainty and mostly absorbed the short term variations in the location of the S/C center of mass.

It should be noted that the VA coordinate along the spin axis, which corresponds to the x-axis of the S/C body frame, is not observable using Doppler measurements, so only the y and z components were estimated locally for each pass.

293

Table 3 Estimated parameters and their corresponding a priori uncertainties

Parameter	Туре	Nest	A priori σ	Comments
S/C position	Local	3	100 km	A priori values were taken from the
S/C velocity	Local	3	1 m/s	ESOC FD solution.
VA position	Local	2	10 cm	A priori coordinates in the S/C body frame $x_{VA} \cong [-0.08, 0.775, -0.15] m$
$\Delta V (OTMs)$	Global	3×Notm	10 cm/s	A priori values were provided by
$\Delta V (RCS)$	Global	3×N _{RCS}	1 cm/s	ESOC FD

294 6 Results

295 The overall accuracy of the TDCS calibrations is driven by several intrinsic and scene-dependent 296 factors. The former comprise all error sources which are related to the MWR components, such as 297 the noise characteristics of the radiometric receivers, fluctuations of the absorption coefficient for 298 the main reflector, and spill-over losses of the K_a band channels over a variable background. The 299 latter comprise all error sources whose magnitude depends on the local atmospheric conditions 300 encountered during the measurements. These include the retrieval error contribution, which 301 depends on the completeness and variety of the training database, and a beam mismatch 302 contribution related to the different air volumes observed by the TDCS and the DSA.

The impact of the radiometer noise performances and of the retrieval error on the calibration accuracy were the subject of previous investigations by the authors (Maschwitz, Czekala, Orlandi, & Rose, 2019), (Lasagni Manghi, et al., 2019), and were numerically quantified with simulations and testing in controlled environments. Analogously, the beam mismatch error was quantified by numerical simulations under specific atmospheric conditions (Graziani, et al., 2014).

308 When the tropospheric calibrations are included within the OD process, the Doppler measurements 309 will thus be affected by a variable amount of uncalibrated (or residual) tropospheric delay and by

310 additional error sources that are introduced as part of the calibration generation process.

311 Instead of focusing on individual error contributions, the current analysis provides an estimation

312 of the end-to-end frequency stability of the Doppler residuals obtained when using either GNSS-

313 based or TDCS-based tropospheric calibrations.

314 As a first step, a visual inspection of the Doppler residuals at 60 s count time was performed to

315 highlight the presence of major signatures within the data and to identify possible causes for these

316 features. The specific value of 60 s for the count time was selected since it represents a standard

317 case for radio science applications (Tortora et al 2016, Durante et al 2019, Zannoni et al 2020,

318 Gomez et al 2021). In fact, this value is sufficiently smaller than the characteristic time scales of

the typical investigated processes and sufficiently large to avoid numerical noise issues (Zannoni

and Tortora 2013). Absolute RMS values of the residuals were then produced for each pass, along

with an estimation of the relative noise reduction between the two analyzed cases.

Finally, the overall stability of the Doppler residuals was quantified by computing the Allan Standard Deviation (ASD) according to equation (6.1), where y represents the normalized

- 324 frequency residuals, ΔT is the stability time interval, the brackets (·) indicate an ensemble average
- 325 over the measured time series, and $\overline{y(t, \Delta T)}$ indicates a time average over the interval between t
- 326 and t+ Δ T, according to the expression in (6.2). Specifically, stability intervals of 20 s, 60 s, and
- 327 1000 s were considered, which represent typical values used for radio science applications.

$$ASD_{y}(\Delta T) = \left(\frac{\langle \left[\overline{y(t + \Delta T, \Delta T)} - \overline{y(t, \Delta T)}\right]^{2} \rangle}{2}\right)^{1/2} [s/s]$$
(6.1)

$$\overline{y(t,\Delta T)} = \frac{1}{\Delta T} \int_{t}^{t+\Delta T} y(\tau) \, d\tau$$
(6.2)

In the following, a single representative pass is analyzed in detail according to the procedure described above. Then, a summary of all passes is produced with a quantitative comparison of the relative performances between the analyzed test cases.

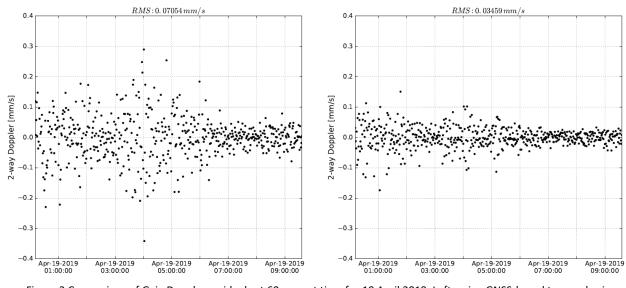
331 6.1 Example tracking pass (19 April 2019)

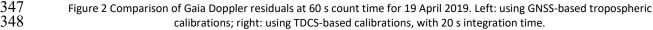
This pass was selected as representative for standard conditions that were encountered at the DS3 complex in Malargüe. From Table 1, we observe that this pass was characterized by moderate liquid water content and that the rain flag was not triggered, suggesting the presence of clouds along the line of sight, with no precipitation. Moderate to high values of wind speed were also observed at ground level, in particular during the first hours of the pass. Vertical profiles from the ECMWF dataset also suggest the presence of moderate to high turbulence levels.

Figure 2 compares the Doppler residuals at 60 s count time, using GNSS-based calibrations (left) and TDCS-based calibrations, with 20 s integration time (right). With the introduction of TDCS calibrations we observe a consistent improvement in the residuals, with an overall 51% reduction of the RMS values and no apparent signature being introduced. The observed improvement is particularly pronounced during the first half of the tracking pass, where higher wind speed and LWP values are observed. This may provide an indication of the ability of the selected NN retrieval to correctly separate the information content of liquid water from the one provided by water vapor.

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The left plot in Figure 3 shows a comparison of the ASD curves obtained by applying equation

(6.1) to the Doppler residuals for four analyzed test cases, corresponding to GNSS calibrations,
 and TDCS calibrations at 1 s, 20 s, and 60 s integration time, respectively. Up to stability intervals

of 10 s, all ASD curves, with the exception of the TDCS calibrations at 1 s integration time, are

353 collapsed and approximately follow a power law with slope equal to -1. This behavior may suggest

that the dominant error source at those characteristic timescales is the Doppler thermal noise (Iess,

et al., 2014). However, for short integration times of the tropospheric products, the thermal noise of the MWR receiver components, which is introduced through the calibrations, becomes comparable in magnitude and induces the observed offset in the 1 s curve.

At longer stability intervals, the uncalibrated tropospheric delay becomes progressively more relevant, as indicated by the departure of all curves from the initial linear trend. From Figure 3 it is clear that the TDCS-based calibrations are able to capture the atmospheric variability along the slant path much better than their GNSS-based counterpart, with minimum ASD values that are obtained for a 20 s integration time of the ZWD, which is therefore used in the following sections for the overall performance characterization.

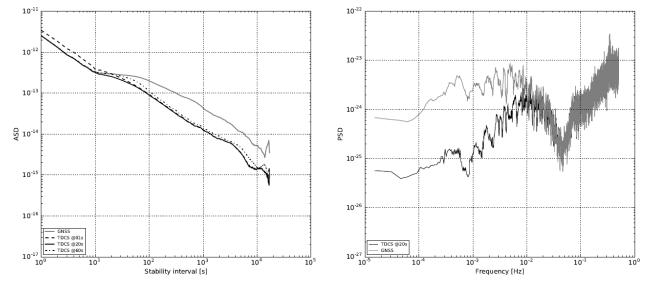
364 Similar results are observed through a comparison of the Power Spectral Density (PSD) of the

365 Doppler residuals, which were generated using an adaptive Multi-Taper Spectral Estimation

366 (MTSE) method (Percival & Walden, 1993). From the right plot of Figure 3 we can observe that

367 most of the atmospheric instability that is calibrated using TDCS data occurs at characteristic 368 frequencies lower than 10^{-2} Hz.

369



370

Figure 3 Left: ASD of Gaia Doppler residuals at 1 s count time for 19 April 2019. Four test cases are analyzed: a) GNSS calibrations
(solid grey), b) TDCS calibrations at 1 s integration time (dashed black), c) TDCS calibrations at 20 s integration time (solid black),
d) TDCS calibrations at 60 s integration time (dash-dotted black). Right: PSD of Gaia Doppler residuals at 1 s count time for 19
April 2019 (MTSE method). Only case a) and case c) are plotted here.

375 6.2 Overall statistics

The procedure described above was repeated for all the passes included within the OD analysis.

377 Figure 4 compares the Doppler residuals at 60 s count time, using GNSS-based calibrations and

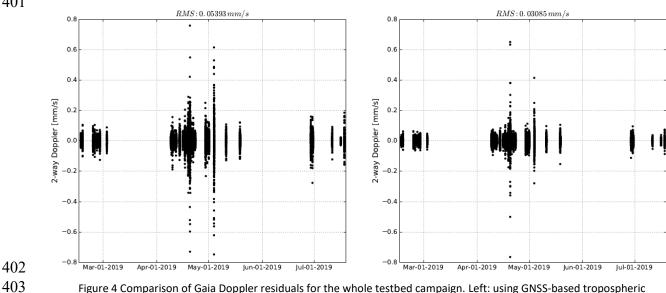
378 TDCS-based calibration, respectively, for the whole testbed campaign. An overall improvement

379 of the residuals is clearly detectable, and this is more pronounced depending on the atmospheric

380 conditions encountered during the passes.

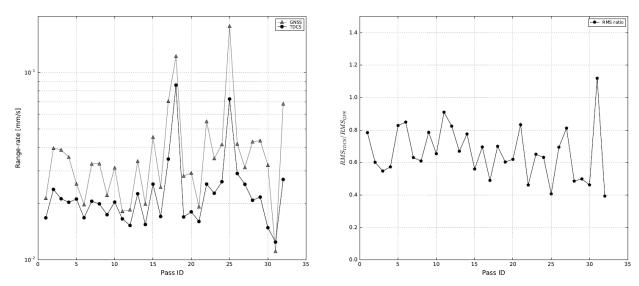
381 Figure 5, provides a comparison of the RMS values for the two analyzed cases. More specifically, 382 the left plot depicts the absolute RMS values for the Doppler residuals as a function of the pass 383 ID, while the right plot shows the ratio of the RMS values. The average noise reduction between 384 the different tracking passes is approximately 34% when using TDCS-based calibrations instead 385 of the GNSS-based ones, with a maximum reduction of 61% for pass 32 (18 July 2019). Although 386 with different magnitudes, all passes show a noise reduction using TDCS calibrations, with the 387 exception of pass 31 (16 July 2019) for which the noise is increased by approximately 11%. 388 However, this pass incidentally coincides with a series of RCS and OTM maneuvers, which 389 increase the number of estimated parameters and limit the availability of Doppler observables. 390 Moreover, by looking at Table 1 we can observe that this pass corresponds to an extremely dry 391 condition, thus reducing the actual signal-to-noise ratio of the estimated calibrations.

392 Considering the limited number of observed tracking passes, it is difficult to pinpoint an exact 393 cause for the variability in performance of the TDCS products. The amount of uncalibrated 394 atmospheric variability affecting the Doppler residuals depends both on the actual value of the 395 integrated ZWD and on the accuracy of the calibrations, which strongly depends on the 396 atmospheric conditions. Using TDCS calibrations may also introduce additional error sources such 397 as the mechanical noise from wind-induced vibrations of the ATS mounting structure or 398 radiometric retrieval errors induced by fast variations in the observed atmospheric scene (in 399 particular at low elevations), which may dominate over the tropospheric noise for particular 400 tracking passes. 401



403 404

re 4 Comparison of Gaia Doppler residuals for the whole testbed campaign. Left: using GNSS-based tropospheri calibrations; right: using TDCS-based calibrations with 20 s integration time.



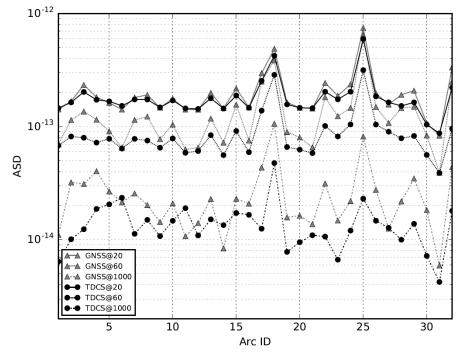
406 Figure 5 Left: absolute RMS values for the Doppler residuals at 60 s count time using GNSS-based calibrations (grey triangles) and TDCS-based calibrations with 20 s integration time (black circles); right: ratio between RMS values for the two test cases.

408 Finally, Figure 6 offers a comparison of the ASD curves computed at characteristic stability 409 intervals of 20 s, 60 s, and 1000 s, respectively, which represent typical values used for radio 410 science applications. It can be observed that both the 20 s and 60 s curves shows a consistent 411 reduction of the ASD values when using TDCS-based calibrations, with a magnitude that is more pronounced for the latter case. A similar reduction is observed for the 1000 s stability interval 412 curves, with the exception of a couple of tracking passes, corresponding to pass IDs 11 and 14. A 413 414 detailed inspection of the Doppler residuals of these tracking passes highlighted the introduction by the TDCS calibrations of small wave-like signatures at elevation angles below 30°. The cause 415 416 of these signatures, which is currently under investigation, is expected to be related to the 417 granularity of the elevation-dependent retrieval coefficients. The retrieval-induced error, which is 418 small for most of the tracking passes, may become relevant for specific atmospheric conditions 419 and particularly at low elevation angles, for which the observed atmospheric scene may be subject 420 to fast variations. Additional investigations may be required for a fine-tuning of the retrieval algorithm, which could 421

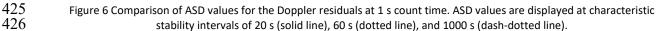
422 improve the accuracy of the tropospheric calibrations at low elevations.

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427 7 Conclusions

428 This work presented the first statistical characterization of the end-to-end performances of the

429 TDCS prototype that was installed at ESA's DS3 station complex in Malargüe, Argentina.

430 An extensive testbed campaign was carried out between February and September 2019, using the

431 TDCS alongside the main DSA to track the Gaia S/C during a series of scheduled passes. The 432 described analysis, which does not replicate the full OD solution for the navigation of Gaia, was 433 mostly intended as a side-by-side comparison of the OD performance when TDCS-based 434 tropospheric calibrations are used in place of the standard GNSS-based calibrations.

435 The instrument performance was characterized in terms of RMS values of the Doppler residuals

and ASD values of the fractional frequency stability computed at characteristic stability intervals.

The OD results indicate that an average reduction of about 34% in the RMS of the Doppler residuals is observed when TDCS-based calibrations are used. The actual magnitude of this improvement strongly varies between the different tracking passes, with maximum reductions around 61% and a few cases with no appreciable improvement. The overall quality of TDCS calibrations depends on several factors, including: the magnitude of the actual tropospheric variability (which depends on the integrated water vapor content along the slant direction), the

443 accuracy of the NN retrieval, and the magnitude of the additional error sources introduced by the 444 calibration process.

A complete statistical characterization of the TDCS performances would require the analysis of a
 larger sample of tracking passes under diverse observing conditions.

Future work may therefore include additional observations for the Gaia S/C, along with the analysis of large datasets for BepiColombo, Mars Express, or the ExoMars orbiter, which are routinely being tracked from the DS3 station complex. More specifically, an analysis of BepiColombo tracking passes is currently underway as part of the cruise tests and solar conjunction radio science experiments. This analysis is expected to improve the TDCS 452 performance characterization, thanks to the more accurate K_a/K_a band tracking link that allows for

453 an almost complete cancellation of the solar and ionospheric plasma noises. Moreover, most of

- these observations will occur during daytime as opposed to the more still and dry air conditions
- 455 that were encountered for the Gaia night-time observations described in this paper, allowing to

456 broaden the range of observing conditions for the performance characterization.

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- 472 research institutions can request a license to use the data for their own research scientific purposes
- 473 without the right to grant sublicences.

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