

# Realism of Lagrangian large eddy simulations: Tracking a pocket of open cells under a biomass burning aerosol layer

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## Abstract

An approach to improve the fidelity of Lagrangian large eddy simulation (LES) of boundary layer clouds is presented and evaluated with satellite retrievals and aircraft in-situ measurements. The Lagrangian LES are driven by reanalysis meteorology and follow trajectories of the boundary layer flow. They track the formation and evolution of a pocket of open cells (POC) underneath a biomass burning aerosol layer in the free troposphere. The simulations are evaluated with data from the Spinning Enhanced Visible and Infrared Imager (SEVIRI) on board the Meteosat Second Generation (MSG) satellite, and in-situ aircraft measurements from the Cloud-Aerosol-Radiation Interactions and Forcing (CLARIFY) field campaign. The simulations reproduce the evolution of observed cloud morphology, cloud optical depth, and cloud effective radius, and capture the timing of the cloud state transition from closed to open cells seen in the satellite imagery on the three considered trajectories. They also reproduce a biomass burning aerosol layer identified by the in-situ aircraft measurements above the inversion of the POC. We find that entrainment of aerosol from the biomass burning layer into the POC is limited to the extent of having no impact on cloud- or boundary layer properties, in agreement with observations from the CLARIFY field campaign. The simulations reproduce in-situ cloud microphysical properties reasonably well. The role of the model and simulation setup and the resulting uncertainties and biases are presented and discussed, and research and development needs are identified.

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2 **a pocket of open cells under a biomass burning aerosol**  
3 **layer**

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11 **Key Points:**

- 12 • An approach to improve the fidelity of Lagrangian large eddy simulation (LES)  
13 of boundary layer clouds is presented and evaluated.
- 14 • Uncertainties, biases, and development needs are discussed, with comments on fu-  
15 ture high-resolution global models.
- 16 • The approach enables realistic simulations of clouds and their evolution in the con-  
17 sidered case, based on satellite and in-situ data.

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**Abstract**

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**Plain Language Summary**

We developed a new approach to represent clouds with greater accuracy in computer simulations. In this approach, a global model provides meteorological input at its coarse resolution to a high resolution model. The global model is a good representation of the atmosphere at its resolution because it ingests observations. The high resolution model represents clouds on much smaller areas than a global model, but is able to represent processes that the global model cannot. The high resolution model follows clouds so that their evolution can be studied. We compare the clouds simulated by the high resolution model with satellite imagery, satellite measurements, and measurements that were taken on an aircraft. We show that the simulated clouds agree well with the observations as the clouds evolve from one cloud type to another. The high resolution model also simulates aerosol, small particles existing in air from which cloud droplets form. The sim-

50 ulated aerosol also agrees well with the observations. This work thus establishes that the  
51 approach we developed can realistically represent clouds and their evolution, and pro-  
52 vides the basis for the application of the approach in scientific research.

## 53 **1 Introduction**

54 The climatically important cloud decks in the eastern subtropical oceans undergo  
55 an evolution during their passage to the equator. They begin their journey commonly  
56 as shallow marine stratus, grow into stratocumulus, and mature into trade cumuli. In  
57 this evolution, they can transition directly from an overcast state into trade cumuli, or  
58 through stages of less or more organized stratocumulus states, associated with different  
59 modes of boundary layer circulation (Wood, 2012).

60 The direct transition proceeds from a shallow, well-mixed stratocumulus-topped  
61 boundary layer to a deeper, decoupled boundary layer with cumulus rising into stratocu-  
62 mulus. This is followed by the dissipation of the overlying stratocumulus deck, which leaves  
63 behind a trade cumulus cloud field (Krueger et al., 1995a, 1995b). The underlying mech-  
64 anism is the deepening of the boundary layer which is accompanied by a warming and  
65 decoupling, without precipitation (Bretherton & Wyant, 1997; Wyant et al., 1997). Ev-  
66 idence is growing that precipitation is also capable of driving the transition (Yamaguchi  
67 et al., 2017; Sarkar et al., 2020).

68 The staged transition can pass through the organized closed- and open cell stra-  
69 tocumulus states (Agee et al., 1973; Agee, 1984, 1987; Atkinson & Zhang, 1996). The  
70 closed-cell state has a cloud fraction with a median of 0.9, while open cells exhibit a markedly  
71 lower cloud fraction with a median of about 0.5 (Wood & Hartmann, 2006), as well as  
72 a smaller cloud radiative effect (Goren & Rosenfeld, 2014). Observational (Stevens et  
73 al., 2005; Comstock et al., 2005; Wood et al., 2008; Bretherton et al., 2010; Wood et al.,  
74 2011; Wood et al., 2011) and modeling (Xue et al., 2008; Savic-Jovicic & Stevens, 2008;  
75 Wang & Feingold, 2009a) studies show that precipitation is a necessary but not a suf-  
76 ficient condition for the transition from closed to open cells: precipitation needs to be  
77 sufficiently strong over a sufficiently large area, or have a spatial distribution that is con-  
78 ductive for the transition to occur (Yamaguchi & Feingold, 2015). Precipitation also main-  
79 tains the open-cell state and its spatial and temporal oscillations (Feingold et al., 2010).

80 Satellite imagery (Agee, 1987) indicates that the preferred cloud state evolution  
81 is from the closed- to the open-cell state in the cloud sheets of the subtropical eastern  
82 oceans. The reverse transition, from the open- to the closed-cell state, has been proposed  
83 by Rosenfeld et al. (2006) and identified in satellite observations by Goren and Rosen-  
84 feld (2012), at locations where aerosol particles from ship exhaust entered the cloud deck.  
85 This reverse transition occurs less readily in subtropical stratocumulus decks because it  
86 requires restoration of liquid water and cloud top cooling of the closed-cell state by sus-  
87 tained suppression of precipitation with a substantial aerosol source (Feingold et al., 2015).

88 The onset and progress of cloud state transitions is tied to the state of the atmo-  
89 sphere and ocean, such as sea surface temperature, subsidence, lower tropospheric sta-  
90 bility, free tropospheric humidity, and boundary layer depth (Agee, 1987; Bretherton &  
91 Wyant, 1997; Wyant et al., 1997; Pincus et al., 1997; Wang et al., 2010; Mauger & Nor-  
92 ris, 2010; Sandu et al., 2010; Sandu & Stevens, 2011; Chung & Teixeira, 2012; Mechem  
93 et al., 2012; van der Dussen et al., 2016; Eastman & Wood, 2016; Eastman et al., 2017;  
94 Eastman & Wood, 2018). When precipitation drives the transition, higher aerosol lev-  
95 els delay the onset, as found in simulations (Wang et al., 2010; Mechem et al., 2012; Ya-  
96 maguchi & Feingold, 2015) and satellite observations (Gryspeerdt et al., 2014).

97 Atmospheric, oceanic, or aerosol conditions may hence shift the boundary between  
98 overcast and broken clouds up- or downstream, and reduce or increase the size of areas  
99 with high cloud fraction in the subtropical cloud sheets of the eastern oceans. Turbulence-  
100 resolving simulations and analysis of emergent constraints using observations show a ro-  
101 bust positive cloud feedback to climate change with a contribution from a faster tran-  
102 sition from stratocumulus to cumulus as climate warms (Nuijens & Siebesma, 2019). Goren  
103 et al. (2019) showed, using satellite data and Lagrangian large eddy simulations driven  
104 by reanalysis meteorology, that the timing of the closed- to open-cell transition varies  
105 systematically with aerosol concentration, with higher aerosol concentrations delaying  
106 the transition, even in polluted conditions. Christensen et al. (2020) analyzed satellite  
107 data along Lagrangian trajectories spanning several days along stratus-to-cumulus tran-  
108 sition. They found that clouds forming on relatively polluted trajectories tend to have  
109 higher cloud albedo and cloud fraction compared with unpolluted trajectories. The re-  
110 sponse of cloud state transitions to environmental conditions therefore connects anthro-  
111 pogenic climate change, aerosol emissions, and Earth’s radiation balance.

112 Low clouds represent a challenge to the fidelity of climate models (Bony & Dufresne,  
113 2005; Williams & Webb, 2009; Vial et al., 2013; Lin et al., 2014), and cloud state tran-  
114 sitions contribute to the challenge. Teixeira et al. (2011) evaluated an array of models  
115 along a Pacific Ocean cross section, from the stratocumulus regions off the coast of Cal-  
116 ifornia, across the shallow convection-dominated trade winds, to the deep convection re-  
117 gions of the intertropical convergence zone. They found that the stratocumulus-to-cumulus  
118 transition occurred too early along the trade wind Lagrangian trajectory. The transi-  
119 tion also occurred either too abruptly or too smoothly, depending on model, with ob-  
120 servations in-between the extremes.

121 Large eddy simulations (LES) are the tool of choice for the study of boundary layer  
122 clouds. In the Eulerian framework, they perform well against surface-based remote sens-  
123 ing and aircraft in-situ observations (Kazil et al., 2011; Berner et al., 2011; Yamaguchi  
124 et al., 2013). They also capture well the observed boundary layer and cloud state when  
125 following the course of a ship (McGibbon & Bretherton, 2017).

126 Lagrangian LES have been used extensively to study boundary layer cloud state  
127 transitions (Krueger et al., 1995a, 1995b; Wyant et al., 1997; Sandu & Stevens, 2011;  
128 Yamaguchi & Feingold, 2015; de Roode et al., 2016; Yamaguchi et al., 2017). These La-  
129 grangian LES employed idealized initial and boundary conditions and forcings, or com-  
130 posites from a set of trajectories in a reanalysis meteorology. Neggers et al. (2019) stud-  
131 ied Arctic cloudy mixed layers using Lagrangian LES driven with forcings and bound-  
132 ary conditions estimated from analysis and forecast products of the European Centre for  
133 Medium-Range Weather Forecasts (ECMWF), and with calibrated initial conditions to  
134 reproduce ship-based observations.

135 However, LES also face challenges in simulating boundary layer clouds. Scatter among  
136 LES models is significant, and especially for the decoupled stratocumulus (transition)  
137 regime, different LES models can predict feedbacks of opposite sign in response to spe-  
138 cific controlling factors (Nuijens & Siebesma, 2019). The challenges encountered by LES  
139 call for improved approaches and methods.

140 We have developed an approach to improve the fidelity of Lagrangian LES and gain  
141 insights into the evolution of boundary layer clouds and their state transitions. In this  
142 approach, Lagrangian LES are driven by meteorology from a reanalysis model. The ap-

143 proach was used by Goren et al. (2019) to study the evolution and response to anthro-  
144 pogenic aerosol of a mid-latitude cloud deck in continental outflow.

145 The purpose of the current work is to document and evaluate the approach, based  
146 on two-day simulations of a sub-tropical cloud state transition, using satellite observa-  
147 tions covering the simulation period, and aircraft profiles at its end. We show that the  
148 approach realistically simulates the observed clouds and their evolution, and determine  
149 key elements in the model formulation and simulation setup that are essential for its fi-  
150 delity. We examine uncertainties and biases and identify research and development needs  
151 for Lagrangian LES driven by reanalysis meteorology.

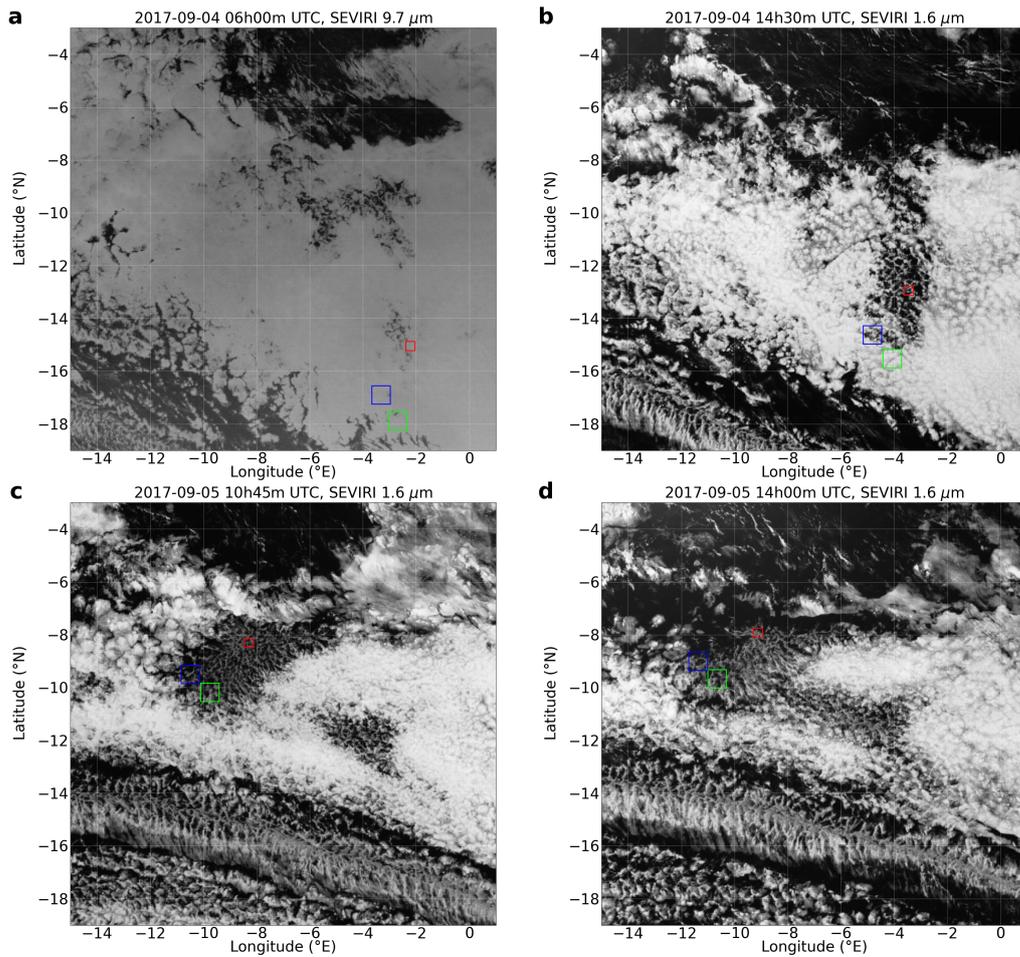
152 The paper is organized as follows: Section 2 introduces the methods and data. Sec-  
153 tion 3 presents the simulation results and their evaluation, and explores the role of model  
154 and simulation setup. Section 4 discusses uncertainties and biases, and research and de-  
155 velopment needs. A summary and conclusions are given in Section 5.

## 156 **2 Methods and data**

### 157 **2.1 Observed cloud state evolution and trajectories**

158 We study a pocket of open cells (POC) sampled during flight C052 of the Cloud-  
159 Aerosol-Radiation Interactions and Forcing (CLARIFY) campaign (Abel et al., 2020; Hay-  
160 wood et al., 2021). The cloud state evolution is documented with imagery from the Spin-  
161 ning Enhanced Visible and Infrared Imager (SEVIRI) onboard the Meteosat Second Gen-  
162 eration (MSG) satellite in Figure 1, and the animation A1 (SI). Simulations in this work  
163 follow three distinct boundary layer air mass trajectories (green, blue, and red) that be-  
164 gin on 3 September 2017, 14:45:00 UTC and end on 5 September 2017, 17:00:00 UTC.  
165 We determined the trajectories from the wind field of the fifth generation of the ECMWF  
166 atmospheric reanalysis (ERA5, Hersbach et al., 2020) at a resolution of  $0.3^\circ$ , using the  
167 Hybrid Single Particle Lagrangian Integrated Trajectory Model (HYSPLIT, Stein et al.,  
168 2015). The trajectories are located at a constant height of 500 m above sea level, to re-  
169 move them from shear effects near the surface and the inversion.

170 The transition from the closed- to the open-cell stratocumulus state occurs at dif-  
171 ferent times on each trajectory. On 4 September 2017, 06:00:00 UTC (Fig. 1 a), the POC  
172 has begun to form on the red trajectory. The stratocumulus deck is still in the overcast,  
173 closed cell state at this time on the blue and green trajectories. By 4 September 2017,



**Figure 1.** Meteosat Second Generation (MSG) Spinning Enhanced Visible and Infrared Imager (SEVIRI) imagery, with simulation domains on the green, blue, and red trajectory, to scale.

174 14:30:00 UTC (Fig. 1 b), an open cell state has formed on the red trajectory. The tran-  
 175 sition from the closed- to the open cell state is in progress on the blue trajectory at this  
 176 time, while a closed-cell state is still present on the green trajectory. The next day, on  
 177 5 September 2017, 10:45:00 UTC (Fig. 1 c), an open cell state is present on each trajec-  
 178 tory, with differences in morphology: open cells are distinctly smaller on the red trajec-  
 179 tory compared to the blue and green trajectories. On 5 September 2017, 14:00:00 UTC  
 180 (Fig. 1 d), the POC is beginning to dissipate.

181 **2.2 Model and simulation setup**

182 We use the System for Atmospheric Modeling (SAM Khairoutdinov & Randall, 2003),  
 183 version 6.10.10, with periodic lateral boundary conditions.

184 **2.2.1 Large scale meteorology**

185 We use meteorology and sea surface temperature from ERA5 at 0.3° resolution to  
 186 drive the simulations. ERA5 assimilates radiosonde profiles and satellite radiances (Hersbach  
 187 et al., 2020), which helps to capture the effect of phenomena that are not represented  
 188 by the underlying model, such as heating due to absorption of radiation by aerosol. Adebiyi  
 189 et al. (2015) showed that the ERA-Interim reanalysis, the predecessor of ERA5, captures  
 190 thermodynamic profiles measured by radiosondes in the South-East Atlantic better than  
 191 other reanalysis products when compared to radiosonde measurements, under the caveat  
 192 that the evaluated reanalyses, to different degrees, assimilate radiosonde data.

193 We use ERA5 temperature and moisture profiles to initialize the simulations, and  
 194 nudge mean temperature and water vapor in the free troposphere towards ERA5 with  
 195 Newtonian relaxation. Nudging begins 100 m above the inversion in the simulation or  
 196 in ERA5, whichever is higher. From this nudging base level, the nudging tendencies in-  
 197 crease smoothly over a height interval of 500 m from a value of zero to a value correspond-  
 198 ing to the nudging time scale of 1800 s. The inversion is diagnosed at the height of the  
 199 maximum vertical gradient of liquid water static energy in the simulations, and at the  
 200 height of the maximum vertical gradient of liquid water potential temperature in ERA5.

201 We nudge mean horizontal wind speed towards ERA5 at all levels with Newtonian  
 202 relaxation. When model levels are located below the lowest level of ERA5, we extrap-  
 203 olate the ERA5 wind speed towards the surface assuming a logarithmic wind profile. The  
 204 nudging time scale is 10 s between the surface and 500 m, and 1800 s above 1000 m, with  
 205 a smooth interpolation in-between. The short nudging time scale near the surface coun-  
 206 ters deceleration by surface drag and maintains the mean wind speed close to ERA5 val-  
 207 ues. The more relaxed nudging above 500 m allows the simulations to establish their own  
 208 wind speed structure around an inversion height of their choice, rather than conform-  
 209 ing to the wind speed structure at the inversion height of ERA5. We apply ERA5 pro-  
 210 files of vertical velocity (subsidence) to temperature, water vapor, and aerosol.

### 2.2.2 *Cloud microphysics*

We represent cloud microphysical processes with a bin or a bulk scheme. The bin microphysics scheme is the Tel Aviv University (TAU) two-moment bin microphysics model (Tzivion et al., 1987; Feingold et al., 1996). The hydrometeor size distribution is divided into 33 bins with mass doubling from one bin to the next. The smallest droplet radius is 1.56  $\mu\text{m}$ . Cloud and rain hydrometeors are distinguished for diagnostic purposes by a threshold radius of 25  $\mu\text{m}$ . Supersaturation is calculated based on the balance of dynamical and microphysical source and sink terms over the course of a time step (Clark, 1973). Activation of aerosol is based on the predicted supersaturation. Condensation and evaporation are computed via vapor diffusion to/from drops using the method of Stevens et al. (1996). Collection processes are based on Tzivion et al. (1987) and breakup processes on Feingold et al. (1988). The collection kernels are based on collision efficiencies after Hall (1980) as well as coalescence efficiencies for drizzle (Ochs et al., 1986) and raindrops (Low & List, 1982). In the rain drop regime where drops are unstable enough to be able to breakup as a result of binary collisions, the breakup efficiency is assumed to be 1 minus the coalescence efficiency. Drop sedimentation is computed with a first-order upwind scheme. The bin microphysics scheme as implemented in SAM is described in further detail by Yamaguchi et al. (2019).

The bulk microphysics is a two-moment bin-emulating method (Feingold et al., 1998; Wang & Feingold, 2009a, 2009b) that calculates mass and number of hydrometeors. Cloud and rain water modes are represented using lognormal functions with fixed geometric standard deviation of 1.2. The threshold between the two modes is a radius of 25  $\mu\text{m}$ . Supersaturation and aerosol activation are calculated as in the bin microphysics scheme. Condensation and evaporation are calculated analytically. Sedimentation of mass and number mixing ratios is calculated from mass- and number-weighted average sedimentation velocities, respectively, and for each hydrometeor mode. Hydrometeor breakup is not implemented. The bulk microphysics as implemented in SAM is described in further detail by Yamaguchi et al. (2017).

In both microphysics schemes, advection is applied to the total mass mixing ratio (sum of vapor and condensate) and total number concentration (sum of aerosol and hydrometeors). Water vapor mixing ratio and aerosol number concentration are diagnostic variables. This implementation implicitly maintains the budget of both mass mix-

243 ing ratio and number concentration through cloud microphysical processes. Further de-  
244 tails of the implementation are given in Yamaguchi et al. (2019).

245 In both microphysics schemes, aerosol particles are activated in supersaturated con-  
246 ditions and removed from the aerosol population, increasing the hydrometeor number  
247 by the same amount. Collision-coalescence reduces the hydrometeor number, thereby al-  
248 lowing for cloud processing of the aerosol. Upon evaporation, hydrometeors release one  
249 aerosol particle for each evaporated drop (Mitra et al., 1992). Surface precipitation re-  
250 moves hydrometeors and the corresponding number of aerosol particles from the atmo-  
251 sphere.

### 252 **2.2.3 Aerosol**

253 We use a simplified representation of the aerosol size distribution with a lognor-  
254 mal mode with a geometric-mean diameter  $D_g = 200$  nm and a geometric standard de-  
255 viation  $\sigma = 1.5$ . These parameters are consistent with the aerosol accumulation mode size  
256 distribution measured by the Passive Cavity Aerosol Spectrometer Probe (PCASP, Rosen-  
257 berg et al., 2012) during CLARIFY flight C052 in both the overcast stratiform region  
258 surrounding the POC ( $D_g = 186$  nm,  $\sigma = 1.51$ ), and within the free-tropospheric biomass  
259 burning aerosol layer above the stratiform and POC cloud regimes ( $D_g = 206$  nm,  $\sigma = 1.53$ ).  
260 The surface flux of ocean-emitted aerosol is calculated with the parameterization of sea  
261 salt aerosol production of Clarke et al. (2006). The whitecap fraction is parameterized  
262 with the expression of Monahan et al. (1986) as a function of wind speed at 10 m above  
263 the ocean surface.

### 264 **2.2.4 Radiation**

265 Radiation is computed every 10 s from the distribution of temperature, gas phase  
266 constituents, and liquid water mass mixing ratio and cloud drop effective radius, with  
267 the Rapid Radiative Transfer Model (RRTMG, Iacono et al., 2008; Mlawer et al., 1997).  
268 Between the top of the model domain and the top of the atmosphere radiation is cal-  
269 culated with profiles of temperature, water vapor, and ozone from ERA5. The ocean sur-  
270 face albedo is set to 0.06, emissivity to 0.95. CO<sub>2</sub> is set to the September 2017 value of  
271 403 ppm (McGee, 2020).

272 In the case considered in this work, free tropospheric biomass burning aerosol re-  
 273 mains above the POC inversion (Abel et al., 2020). It will be shown that this is also the  
 274 case in the simulations (Sec. 3.4). Aerosol in the boundary layer is assumed to be pre-  
 275 dominantly sea spray, with negligible interaction with radiation. The interaction between  
 276 aerosol and radiation is therefore not treated explicitly in the simulations, and the rep-  
 277 resentation of effects from heating due to the absorption of radiation by biomass aerosol  
 278 in the free troposphere is delegated to ERA5 and its assimilation of radiosonde and satel-  
 279 lite data (Hersbach et al., 2020).

### 280 **2.2.5 Numerics**

281 SAM solves the anelastic system of equations using the finite difference approxi-  
 282 mation formulated on the Arakawa C grid with a height coordinate. Velocity components  
 283 are predicted using the third-order Adams-Bashforth scheme (Durran, 1991) and the sec-  
 284 ond order center advection scheme. Scalars are integrated with the forward in time, mono-  
 285 tonic fifth-order advection scheme of Yamaguchi et al. (2011). Diffusion is explicitly com-  
 286 puted with eddy viscosity based on Deardorff (1980). Surface fluxes of sensible and la-  
 287 tent heat and of momentum are computed based on Monin-Obukhov similarity (Monin  
 288 & Obukhov, 1954). The time step of 1 s is dynamically shortened by SAM to meet the  
 289 Courant-Friedrichs-Levy condition.

290 Advection due to subsidence is solved in SAM with the advective form of the trans-  
 291 port equation. This approach is known to preserve shape but not mass, resulting in spu-  
 292 rious sink or source terms in the presence of velocity and tracer gradients. Strong tracer  
 293 gradients exist at the upper and lower boundary of biomass burning layers. We main-  
 294 tain vertically integrated tracer mass in the layer by re-normalizing its vertically inte-  
 295 grated tracer mass in the free troposphere after the model applies advection due to sub-  
 296 sidence. The correction is not applied outside the biomass burning layer or in the bound-  
 297 ary layer, where mixing due to turbulence quickly dissipates strong gradients.

### 298 **2.2.6 Domain size, grid, and sampling**

299 Sufficiently large horizontal domains sizes are required to capture mesoscale organ-  
 300 ization and the associated properties of the open-cell (Feingold et al., 2010) and closed-  
 301 cell (Kazil et al., 2017) stratocumulus cloud state. We use domain sizes of  $76.8 \times 76.8 \text{ km}^2$

302 and  $38.4 \times 38.4 \text{ km}^2$  depending on the open cell size seen in the SEVIRI imagery on a given  
 303 trajectory. The simulations employ a horizontal grid spacing of  $dx = dy = 200 \text{ m}$ , and a  
 304 vertical grid with variable spacing. At the surface, the thickness of the first three (mass)  
 305 levels is  $dz_1 = 35 \text{ m}$ ,  $dz_2 = 22.5 \text{ m}$ , and  $dz_3 = 12.5 \text{ m}$ .  $dz$  is  $10 \text{ m}$  to  $1965 \text{ m}$ ,  $20 \text{ m}$  to  $4025 \text{ m}$ ,  
 306 and coarsens thereabove by  $10 \%$  per level to the domain top at  $7000 \text{ m}$ . A grid with a  
 307 constant  $dz = 10 \text{ m}$  from the surface to  $1965 \text{ m}$  and otherwise identical grid structure is  
 308 also tested. 3D fields are saved every hour, 2D fields and domain mean profiles every minute.  
 309 The results are sampled as a function of fractional day of year  $d$ , with  $d = 0$  correspond-  
 310 ing to January 1, 00h00m00s.

### 311 2.3 Simulations

312 The simulations are run from 3 September 2017, 14:45:00 UTC to 5 September 2017,  
 313 17:00:00 UTC ( $d = 245.61458$  to  $247.70833$ ). We analyze results starting on 4 Septem-  
 314 ber 2017, 06:00:00 UTC ( $d = 246.25$ ), allowing  $15.25 \text{ h}$  for spin-up. The simulations and  
 315 their setup are listed in Table 1. The simulations  $G_i$ ,  $B_i$ , and  $R_i$  run on the green, blue,  
 316 and red trajectory, respectively;  $i$  is the simulation number. The simulations  $G_i$  and  $B_i$   
 317 use a  $76.8 \text{ km}$  domain to capture the larger open cell size on their trajectories (Fig. 1).  
 318 Smaller open cells are present along the red trajectory, and the simulations  $R_i$  use a  $38.4 \text{ km}$   
 319 domain.

320 Space-borne lidar (Cloud-Aerosol Lidar with Orthogonal Polarization, CALIOP)  
 321 measurements on 3 September 2017, 01:35:00 UTC,  $13 \text{ h}$  before the start of the simu-  
 322 lations, show an aerosol layer approximately between  $3\text{--}4.5 \text{ km}$ , upstream of the POC lo-  
 323 cations sampled by CLARIFY flight C052 (Abel et al., 2020, Fig. 4 d). Based on this  
 324 observation, we initialize the aerosol profiles with a biomass burning aerosol layer be-  
 325 tween  $3100\text{--}3700 \text{ m}$  (Figure S1, SI). The mean aerosol number mixing ratio in the layer  
 326 is set to  $4700 \text{ mg}^{-1}$ , corresponding to a mean number concentration of  $3850 \text{ cm}^{-3}$ . Out-  
 327 side the biomass burning aerosol layer, the initial aerosol number mixing ratio is  $37.5 \text{ mg}^{-1}$   
 328 in the free troposphere. In the boundary layer, we set the aerosol number mixing ratio  
 329 to  $145 \text{ mg}^{-1}$  on the green and blue trajectories, and to  $115 \text{ mg}^{-1}$  on the red trajectory.  
 330 The lower value is motivated by the earlier onset of open cell formation on the red tra-  
 331 jectory (Fig. 1), which indicates the presence of stronger precipitation and aerosol re-  
 332 duction by wet scavenging, and hence a more depleted aerosol population compared to

333 the blue and green trajectories. The aerosol size distribution is described in Sec. 2.2.3.

334

## 335 2.4 Satellite data

336 We use SEVIRI measurements processed with the algorithm developed by Peers  
337 et al. (2019), and with the Optimal Retrieval for Aerosol and Cloud (ORAC, Thomas  
338 et al., 2009) algorithm. The cloud retrievals are aggregated at hourly intervals over a  $1^\circ \times 1^\circ$   
339 region that moves along the Lagrangian trajectories, and the mean and standard devi-  
340 ation of the aggregated data are used to evaluate the simulations. For more information  
341 on the data extraction process, see Christensen et al. (2020).

342 The Peers et al. (2019) algorithm accounts for absorbing aerosols located above clouds.  
343 The presence of absorbing aerosol above clouds has a small effect on retrieved cloud drop  
344 effective radius  $r_{\text{eff}}$ , but cloud optical depth  $\tau$  is underestimated by 35 % when ignoring  
345 above-cloud aerosol (Peers et al., 2021). The retrieved cloud properties are only weakly  
346 sensitive to assumptions on the properties of the absorbing aerosol, with biases lower than  
347 6 % in  $\tau$  and 3 % in  $r_{\text{eff}}$ . The retrieved cloud properties match well MODIS retrievals and  
348 in-situ measurements from the CLARIFY field campaign (Peers et al., 2021).

349 The ORAC algorithm uses an optimal estimation technique applied to two visible  
350 (0.64 and 0.84  $\mu\text{m}$ ), two near infrared (1.6 and 3.9  $\mu\text{m}$ ) and seven infrared channels (6.2,  
351 7.3, 8.7, 9.7, 10.8, 12.0 and 13  $\mu\text{m}$ ) to retrieve  $r_{\text{eff}}$  and  $\tau$  at the native resolution of the  
352 SEVIRI instrument (3.5 km at nadir). The  $r_{\text{eff}}$  retrievals operate on the 1.6  $\mu\text{m}$  band,  
353 the  $\tau$  retrievals use the visible channels. ORAC provides top and bottom of atmosphere  
354 broadband radiative fluxes that were recently used in aerosol-cloud interaction studies  
355 (Christensen et al., 2017; Neubauer et al., 2017), and is described in detail in Sus et al.  
356 (2018) and McGarragh et al. (2018). ORAC has been evaluated with ground-based mea-  
357 surements (Stengel et al., 2020) and the top of atmosphere fluxes agree to within 3 %.  
358 The uncertainty under ideal conditions, e.g. unbroken closed-cell stratocumulus cloud  
359 decks, in droplet  $r_{\text{eff}}$  and  $\tau$  is approximately 30 %. Uncertainties are considerably larger  
360 in broken cloudy conditions due to issues involving three-dimensional radiative trans-  
361 fer and photon leakage out of the sides of clouds (Coakley et al., 2005). The main dif-  
362 ference in the retrieved cloud properties between the ORAC applied to SEVIRI and MOD-  
363 erate Resolution Imaging Spectroradiometer collection 6 products (MODIS, Platnick et

**Table 1.** Simulations and setup.  $dz_i$  the thickness of the model level  $i$ , counted from the surface.  $\tau_{uv}^{0-500}$  is the nudging time constant for domain mean horizontal wind speed between the surface and 500 m. BL = boundary layer, FT = free troposphere.

Trajectory	Initial aerosol (# mg <sup>-1</sup> )		Biomass burning layer (m)	Cloud micro-physics	Grid structure near surface (m)			$\tau_{uv}^{0-500}$ (s)	Domain size (km <sup>2</sup> )
	BL	FT			BB layer	dz <sub>1</sub>	dz <sub>2</sub>		
G <sub>0</sub>	145	37.5	-	bin	35	22.5	12.5	10	76.8×76.8
G <sub>1</sub>	145	37.5	3100–3700	bin	35	22.5	12.5	10	76.8×76.8
G <sub>2</sub>	145	37.5	3100–3700	bulk	35	22.5	12.5	10	76.8×76.8
G <sub>3</sub>	145	37.5	3100–3700	bulk	10	10	10	10	76.8×76.8
G <sub>4</sub>	145	37.5	3100–3700	bulk	10	10	10	1800	76.8×76.8
B <sub>1</sub>	145	37.5	3100–3700	bin	35	22.5	12.5	10	76.8×76.8
B <sub>2</sub>	145	37.5	3100–3700	bulk	35	22.5	12.5	10	76.8×76.8
R <sub>1</sub>	115	37.5	3100–3700	bin	35	22.5	12.5	10	38.4×38.4
R <sub>2</sub>	115	37.5	3100–3700	bulk	35	22.5	12.5	10	38.4×38.4
R <sub>3</sub>	145	37.5	3100–3700	bin	35	22.5	12.5	10	38.4×38.4

364 al., 2017) from satellites Terra and Aqua is the broader range in solar and satellite zenith  
 365 angles, as well as the broader range covered by the lookup tables used for cloud drop ef-  
 366 fective radius ( $5 < r_{\text{eff}} < 30$  for MODIS;  $1 < r_{\text{eff}} < 50$  ORAC) and cloud optical depth  
 367 ( $\tau < 100$  MODIS;  $\tau < 250$  ORAC). The two products broadly agree, particularly for  
 368 homogenous low-level stratocumulus cloud layers. ORAC does not account for the ef-  
 369 fect of absorbing aerosol located above clouds. More information on the ORAC cloud  
 370 retrieval algorithm is given in Sus et al. (2018) and McGarragh et al. (2018).

## 371 2.5 In-situ data

372 We use in-situ data collected during the CLARIFY flight C052 on its profiles P1–  
 373 P7 (Abel et al., 2020). P1 to P7 sampled the open cell region within the POC (Fig. S2,  
 374 SI). P1 was a descent from 7150 m altitude to 35 m above the sea-surface, enabling both  
 375 the free-tropospheric biomass burning aerosol layer and the boundary layer to be char-  
 376 acterized. Profiles P2 to P7 then measured the boundary layer vertical profile on a track  
 377 through the POC, sampling from altitudes close to the surface to the lower free-troposphere  
 378 above the trade-wind inversion.

379 Simulations on the green trajectory enclose the CLARIFY flight C052 profile P7,  
 380 approximately on 5 September 2017 16:30:00 UTC. We evaluate simulations on the green  
 381 trajectory with boundary layer temperature, water vapor, aerosol concentration, and hy-  
 382 drometeor properties at its intersect with profile P7. We also evaluate the simulations  
 383 with hydrometeor properties aggregated over the profiles P1 to P7. Measurements taken  
 384 along the profile P1 are used to evaluate biomass burning aerosol concentrations in the  
 385 free troposphere.

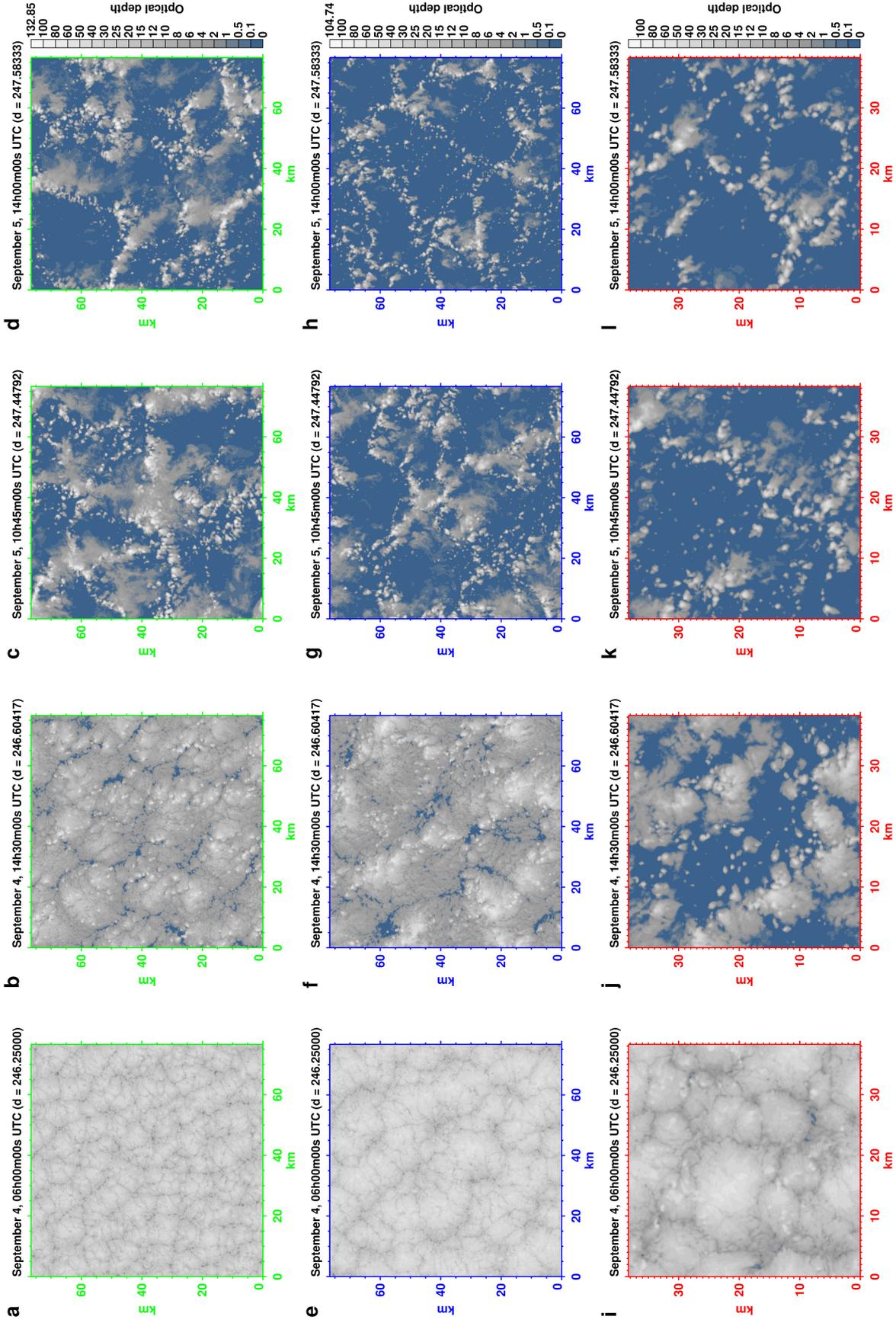
## 386 3 Results

### 387 3.1 Cloud state transition from closed- to open cells

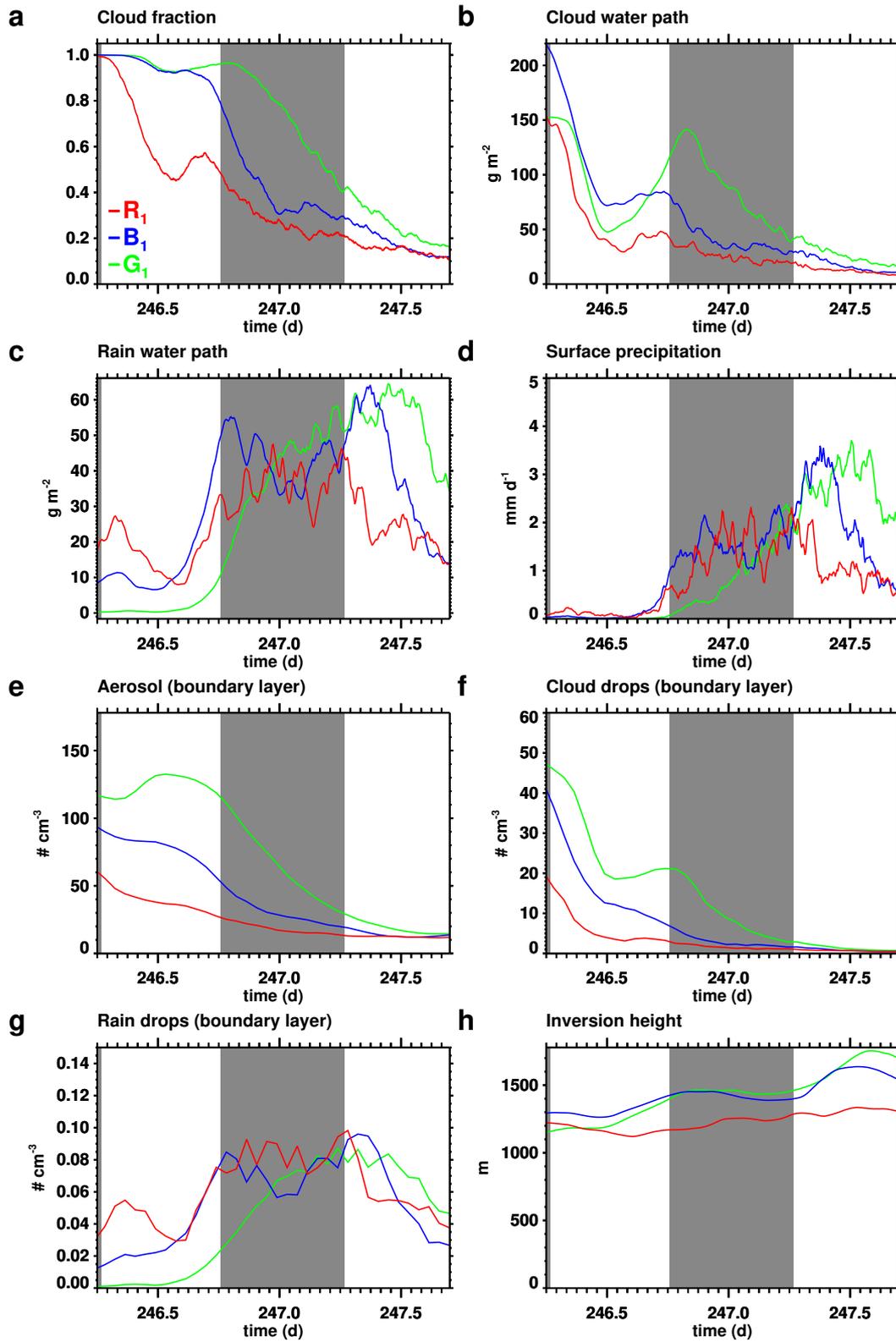
388 Figure 2 shows snapshots of the cloud state in the simulations  $G_1$ ,  $B_1$ , and  $R_1$ , at  
 389 the time and locations of the satellite imagery in Fig. 1. The simulated cloud state evo-  
 390 lution is also shown in animation A1 (SI). The cloud deck starts out overcast on 4 Septem-  
 391 ber 2017, 06:00:00 UTC (Fig. 2 a, e, i) in all three simulations, and transitions into a bro-  
 392 ken, open-cell state. The transition takes place at a different time on each trajectory:  
 393 it occurs the latest in  $G_1$ , earlier in  $B_1$ , and the soonest in  $R_1$ . The cloud deck is homo-

394 geneous on 4 September 2017, 06:00:00 UTC in  $G_1$  and  $B_1$  (Fig. 2 a, e), while in  $R_1$ , in-  
 395 individual locations with elevated cloud optical depth dot the cloud field (Fig. 2 i), indi-  
 396 cating localized cloud thickening, drizzle, and the onset of the transition. By 4 Septem-  
 397 ber 2017, 14:30:00 UTC, cloud breakup has set in (Fig. 2 b, f, j). Open cells are present  
 398 in all three simulations on 5 September 2017 (Fig. 2 c, d, g, h, k, l). Visual comparison  
 399 of these snapshots (Fig. 2) with the cloud deck at the corresponding locations in the satel-  
 400 lite imagery (Fig. 1) shows that the simulations match the observed cloud state evolu-  
 401 tion, including the timing of the transition on the three the trajectories. This is most  
 402 evident in the satellite image of 4 September 2017, 14:30:00 UTC, when the cloud deck  
 403 is mostly overcast on the green trajectory, partly broken on the blue trajectory, and fully  
 404 broken on the red trajectory (Fig. 1 b), as in the simulations (Fig. 2 b, f, j).

405 Figure 3 shows the time series in the simulations  $G_1$ ,  $B_1$ , and  $R_1$  from 4 Septem-  
 406 ber 2017, 06:00:00 UTC ( $d = 246.25$ ). The different timing of the transition from closed-  
 407 to open cells between the trajectories is evident in cloud fraction (Fig. 3 a), rain water  
 408 path (Fig. 3 c), and surface precipitation (Fig. 3 d). Cloud fraction drops and rain wa-  
 409 ter path and surface precipitation rise the earliest in simulation  $R_1$ , and the latest in  $G_1$ .  
 410 The early onset of the transition in simulation  $R_1$  is caused by its lower initial bound-  
 411 ary layer aerosol concentration (Sec. 2.3). A lowered aerosol concentration at the out-  
 412 set of the simulation may arise for meteorological reasons farther upstream, such as a  
 413 moister boundary layer with a higher liquid water path and enhanced wet scavenging.  
 414 It may also be caused by variability in aerosol itself, without a contribution from me-  
 415 teorology. Simulation  $G_1$  and  $B_1$  have identical initial aerosol concentrations in the bound-  
 416 ary layer (Tab. 1), yet the transition is delayed in  $G_1$  relative to  $B_1$ , consistent with the  
 417 satellite imagery (Fig. 1). It is hence meteorology that determines the timing of the tran-  
 418 sition in  $G_1$  and  $B_1$ , a hint that ERA5 may capture spatial variability in meteorology  
 419 that drives the formation of this POC. The different timing of the transition is also ap-  
 420 parent in aerosol (Fig. 3 e) and cloud and rain drop (Fig. 3 f, g) number concentrations:  
 421 On the green trajectory aerosol removal by cloud scavenging is slowest, resulting in higher  
 422 aerosol and cloud drop concentrations throughout the simulation (Fig. 3 e). Faster cloud  
 423 scavenging on the blue trajectory results in lower aerosol concentrations, and the low-  
 424 est aerosol concentrations are present on the red trajectory.



**Figure 2.** Optical depth of liquid water in simulation G1, green trajectory (a-d), B1, blue trajectory (e-h), and R1, red trajectory (i-l), at the times and locations shown in Fig. 1.



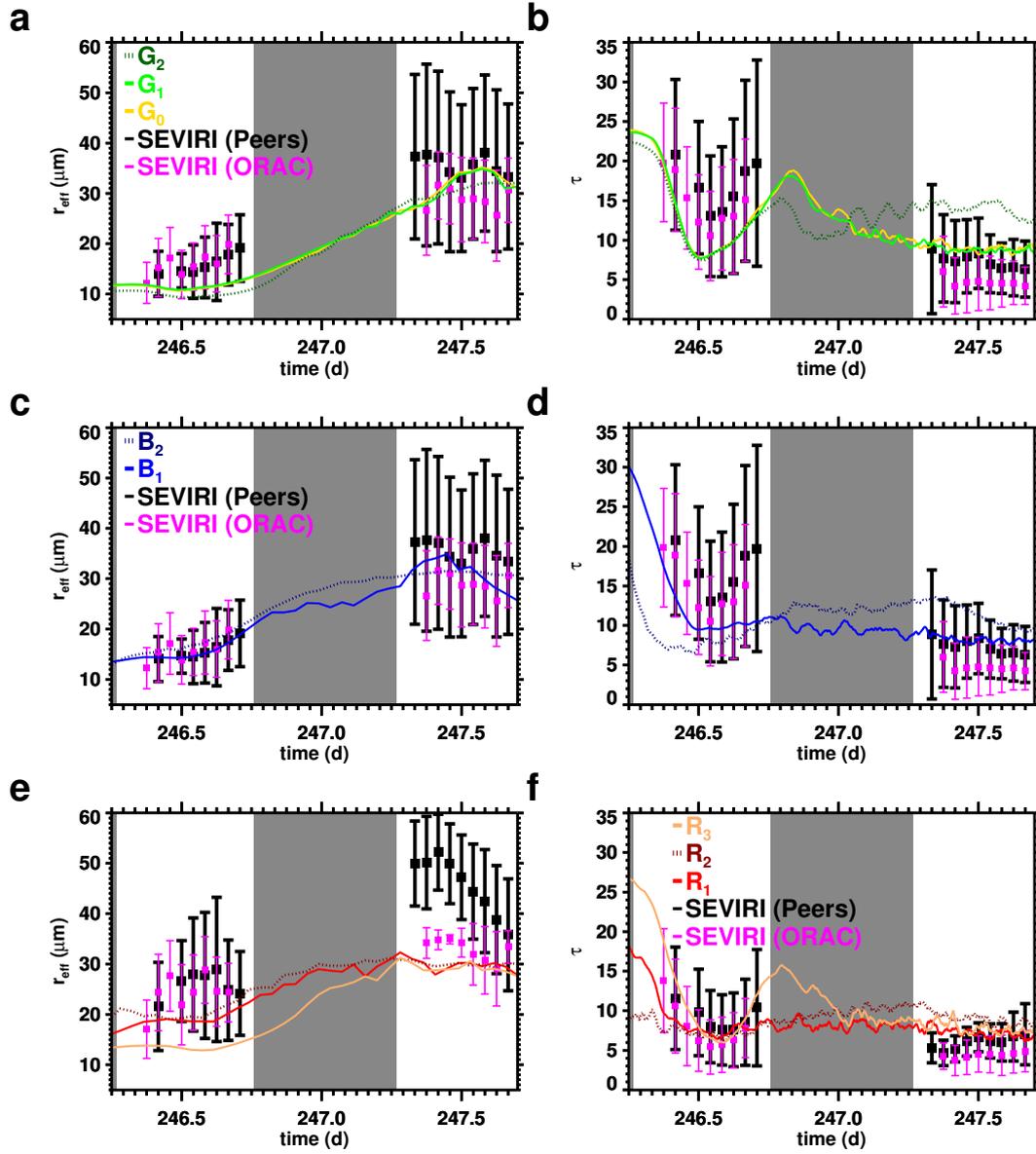
**Figure 3.** Time series in the simulations G<sub>1</sub> (green), B<sub>1</sub> (blue), and R<sub>1</sub> (red). Gray shading indicates nighttime.

### 3.2 Evaluation with satellite data

Figure 4 evaluates the simulated  $r_{\text{eff}}$  and  $\tau$  with SEVIRI Peers and ORAC retrievals along the trajectories. The  $r_{\text{eff}}$  in the simulations was calculated as the ratio of the third and second moments of the hydrometeor size distribution, after the moments were averaged over one optical depth at cloud top at locations where  $\tau \geq 1$ .  $\tau$  in the simulations was calculated from the hydrometeor size distribution at locations where cloud  $\tau \geq 1$ . This section evaluates the simulations  $G_1$ ,  $B_1$ , and  $R_1$ , which use bin microphysics. The other simulations shown in Figure 4 are discussed in Sec. 3.4, 3.5, and 3.6.

The simulations  $G_1$ ,  $B_1$ , and  $R_1$  capture the evolution of  $r_{\text{eff}}$  (4 a, c, e) and  $\tau$  (4 b, d, f) retrieved by the satellite instrument over the two day simulation period.  $r_{\text{eff}}$  evolves from smaller values on the first day to larger values on the second day. This increase of hydrometeor size reflects the transition from a non-precipitating closed-cell state on the first day to a broken, precipitating open-cell state on the second day. The simulations capture the daytime dip in  $\tau$  on the first day. This daytime dip is driven by a combination of insolation warming and precipitation. On the second day, when the cloud deck is in the open-cell state, the observed  $\tau$  assumes very low values.

The simulations  $G_1$ ,  $B_1$ , and  $R_1$  are in overall good agreement with the SEVIRI Peers  $r_{\text{eff}}$  and  $\tau$ , but exhibit biases and mismatches due to model and retrieval uncertainties. On the first day, when the cloud deck is in the closed-cell stratocumulus cloud state, the simulated  $r_{\text{eff}}$  and  $\tau$  are consistent with the SEVIRI Peers retrievals, but  $r_{\text{eff}}$  is biased low in  $G_1$  (Fig. 4 a) and  $R_1$  (Fig. 4 e), and  $\tau$  in  $G_1$  (Fig. 4 b) and  $B_1$  (Fig. 4 d). The likely cause is the finite vertical resolution and associated numerical diffusion in the simulations, which causes spurious entrainment drying across the strong inversion of the closed-cell stratocumulus cloud state, thereby reducing hydrometeor size and mass. On the second day, when the cloud deck is in the open-cell stratocumulus cloud state, the simulated  $r_{\text{eff}}$  and  $\tau$  are in very good agreement with the SEVIRI Peers retrievals, except in  $R_1$  (Fig. 4 e), when the SEVIRI Peers retrieval gives very high  $r_{\text{eff}}$  values, up to 60  $\mu\text{m}$ . These high values may be an artifact of the data filter used by the algorithm, which rejects pixels identified as partly cloudy and/or associated with cloud edges, and heterogeneous clouds in the SEVIRI data aggregated at  $0.1^\circ \times 0.1^\circ$  resolution (Peers et al., 2021). Such pixels would be associated with smaller  $r_{\text{eff}}$  compared to fully cloudy pixels, and their rejection would result in an overestimation of  $r_{\text{eff}}$ . The ORAC algorithm



**Figure 4.** Cloud drop effective radius ( $r_{\text{eff}}$ ) and optical depth ( $\tau$ ) in the simulations along the green (a, b), blue (c, d), and red (e, f) trajectory. SEVIRI retrievals at the trajectory locations are shown in magenta (ORAC, Thomas et al., 2009) and black Peers et al. (2019, 2021), with squares representing the mean and whiskers the lower and upper standard deviation. Simulations are listed in Table 1. Gray shading indicates nighttime.

457 uses a data filter that captures more broken clouds, and produces smaller  $r_{\text{eff}}$  values com-  
 458 pared to the very high Peers values on the second day (Fig. 4 e). The simulation  $R_1$  is  
 459 in line with the smaller  $r_{\text{eff}}$  values produced by ORAC.

460 The ORAC algorithm does not account for absorbing aerosol above clouds which  
 461 were present in the free troposphere during CLARIFY flight C052. The ORAC retrieval  
 462 gives very similar  $r_{\text{eff}}$  values as the Peers retrieval on the first day of the simulations, when  
 463 the cloud deck is in the closed-cell stratocumulus clouds state (4 a, c, e). The Peers re-  
 464 trieval gives generally higher values on the second day, when the cloud deck is in the open-  
 465 cell stratocumulus cloud state. However, above-cloud absorbing aerosol has only a small  
 466 effect on retrieved  $r_{\text{eff}}$  values (Peers et al., 2021). The higher  $r_{\text{eff}}$  values of the Peers re-  
 467 trieval on the second day may hence in general be caused by its data filter, discussed above.  
 468 The ORAC retrieval gives systematically lower  $\tau$  values than the Peers retrieval (4 b,  
 469 d, f). This low bias is small on the first day and larger on the second day, when it as-  
 470 sumes values that are by and large consistent with an underestimation of 35 % caused  
 471 by ignoring above-cloud aerosol (Peers et al., 2021).

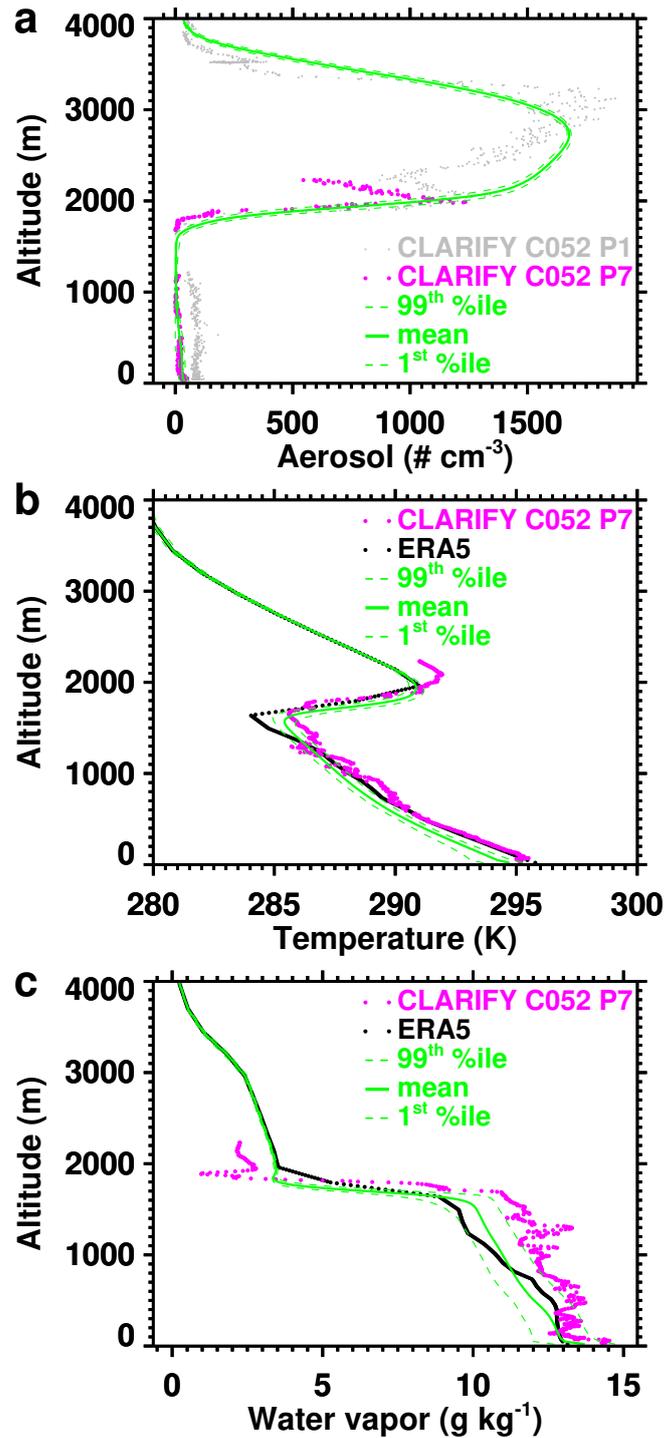
472 A comparison of simulated  $r_{\text{eff}}$  and  $\tau$  with MODIS collection 6 products (Platnick  
 473 et al., 2017) is shown in Fig. S3 (SI) for completeness.  $r_{\text{eff}}$  and  $\tau$  in  $G_1$ ,  $B_1$ , and  $R_1$  agree  
 474 overall well with the MODIS retrieval, with similar biases as seen relative to the SEVIRI  
 475 data.

### 476 **3.3 Evaluation with in situ data**

477 Figures 5–7 evaluate the simulation  $G_1$  at the location where its trajectory crosses  
 478 the path of CLARIFY flight C052 (Abel et al., 2020). The location of the simulation do-  
 479 main and of the CLARIFY flight C052 profiles P1–P7 that provide measurements are  
 480 shown in Fig. S2 (SI).

#### 481 **3.3.1 Aerosol, temperature, and water vapor**

482 Figure 5 compares aerosol concentrations, temperature, and water vapor in sim-  
 483 ulation  $G_1$  with CLARIFY C052 data. Simulation  $G_1$  accurately reproduces the observed  
 484 aerosol profile in the boundary layer (below about 1800 m), including its slight negative  
 485 gradient with altitude which arises from sea surface emissions and depletion in the cloud  
 486 layer. The depletion of boundary layer aerosol by cloud processes is evident in the low



**Figure 5.** Domain mean profiles in simulation  $G_1$  (green) on 5 September 2017, 16h45m00s UTC, ERA5 profiles (black), interpolated to the vertical grid of the simulations, on 5 September 2017, 16h32m30s UTC, and in-situ measurements from CLARIFY flight C052. CLARIFY C052 profile P7 (5 September 2017, 16:27:49–16:36:33 UTC, magenta) is located at the intercept of the simulation trajectory and the CLARIFY C052 flight path. CLARIFY flight C052 profile P1 (5 September 2017, 15h25m18s–15h50m53s, gray) is located upstream along the flight path. The location of the simulation domain, the CLARIFY flight C052 path and its profile P1 and P7 are shown in Fig. S2 (SI).

487 observed aerosol concentration (Fig. 5 a, magenta) at the location of the simulation do-  
488 main (CLARIFY profile P7), where open cells have existed for a longer time, relative  
489 to the less depleted aerosol concentration (Fig. 5 a, gray) farther upstream (CLARIFY  
490 profile P1), where open cells have formed more recently. The simulation matches the ver-  
491 tical distribution of the biomass burning layer above the inversion, with the exception  
492 of a “bite-out” in the lower free troposphere around 2200 m, and peak aerosol concen-  
493 trations around 3200 m (Fig. 5 a).

494 The simulated temperature (Fig. 5 b) and water vapor (Fig. 5 c) profiles reproduce  
495 well the qualitative features of the observations, with quantitative biases. The simulated  
496 inversion misses the observed inversion by only 100 m, but the boundary layer has a cold  
497 and dry bias. Under the assumption that simulated and observed variability in these quan-  
498 tities is comparable, this is unlikely due to a sampling bias at levels where the observed  
499 profiles are outside the 1st–99th percentile range of the simulated values (Fig. 5 b, c).  
500 The assumption may not apply, since the observations, which sample a limited volume  
501 of the boundary layer, show variability that is comparable or greater than variability over  
502 the entire simulation domain. Hence variability in the simulations may be too small and  
503 the observed profiles not statistically representative.

504 The slightly low inversion, and the cold and dry bias in the boundary layer may  
505 be caused by a horizontal grid spacing that is too coarse. A finer horizontal grid spac-  
506 ing would reduce numerical diffusion of vertical momentum and hence strengthen tur-  
507 bulence. This would enhance mixing and reduce the dry bias in the upper boundary layer  
508 by transporting moisture from the surface to higher levels. The stronger turbulence would  
509 also drive entrainment, lift the inversion, and warm the boundary layer. This response  
510 to a refinement of grid spacing would in part be offset by adjustments in surface fluxes,  
511 cloud water content, and radiative heating and cooling. Simulation grid effects are dis-  
512 cussed in more detail in Sec. 4.1.

513 ERA5 places the inversion at the observed height, but has a very strong cold (Fig. 5 b)  
514 and dry (Fig. 5 c) bias in the upper boundary layer. Since it reproduces both temper-  
515 ature and water vapor well near the surface, the cold and dry bias farther aloft may arise  
516 from insufficient boundary layer turbulence and mixing. ERA5 has a cold and moist bias  
517 in the lowermost free troposphere, at about 2000 m, relative to the observations (Fig. 5 b,  
518 c). The warmer temperature in the observed lowermost free troposphere may be caused

519 by heating from absorption of radiation by the biomass burning aerosol layer, which may  
 520 not not be fully captured in ERA5 via data assimilation. The ERA5 biases in the low-  
 521 ermost free troposphere may propagate into our simulations by affecting inversion sta-  
 522 bility, and entrainment of heat and moisture into the boundary layer.

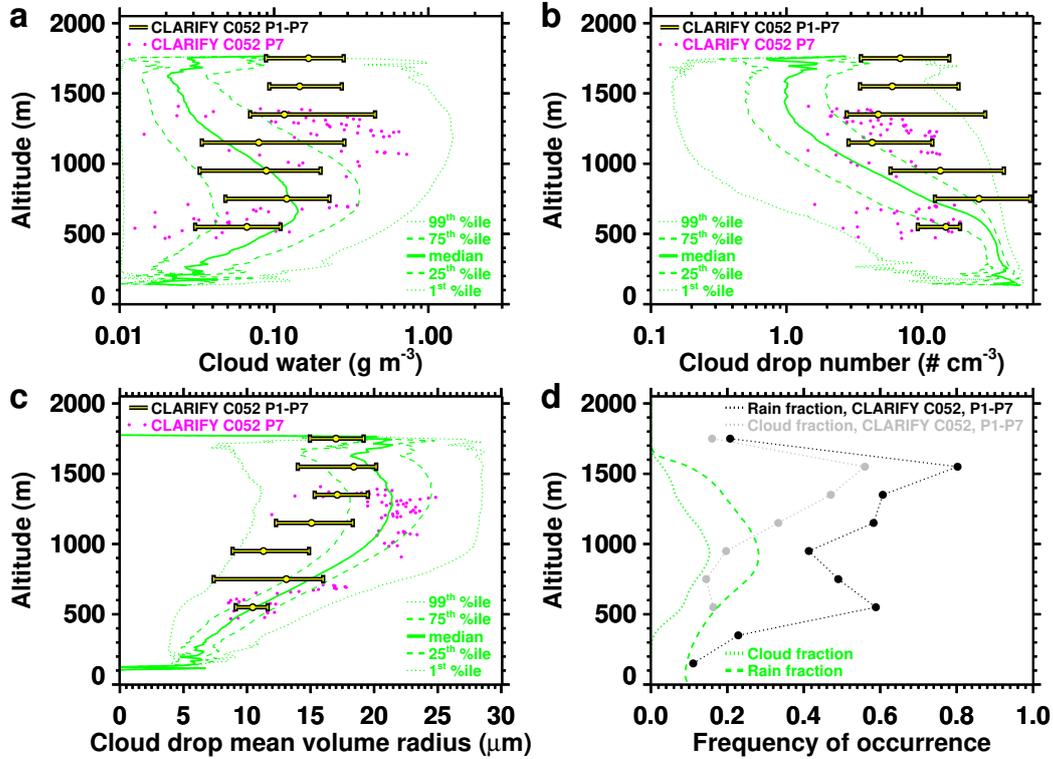
### 523 ***3.3.2 Cloud and rain properties***

524 Figures 6 and 7 compare cloud and rain properties in simulation  $G_1$  with measure-  
 525 ments from CLARIFY flight C052. The simulation results are evaluated at the intercept  
 526 of the simulation trajectory with the path of flight C052 with measurements from that  
 527 location (flight profile P7), and with measurements from a longer flight segment that ex-  
 528 tends upstream of the intercept (flight profiles P1–P7). The simulation results were pro-  
 529 cessed to emulate the sampling by the aircraft instruments using thresholds given in Abel  
 530 et al. (2020): Cloud properties represent hydrometeors up to  $25\ \mu\text{m}$  radius, sampled from  
 531 locations where their liquid water content is  $> 0.01\ \text{gm}^{-3}$ , and such locations contribute  
 532 to the calculation of cloud fraction. Rain properties represent hydrometeors of  $30\ \mu\text{m}$  in  
 533 radius or larger, sampled from locations where their concentration exceeds  $1\ \text{L}^{-1}$ , and  
 534 such locations contribute to the calculation of rain fraction.

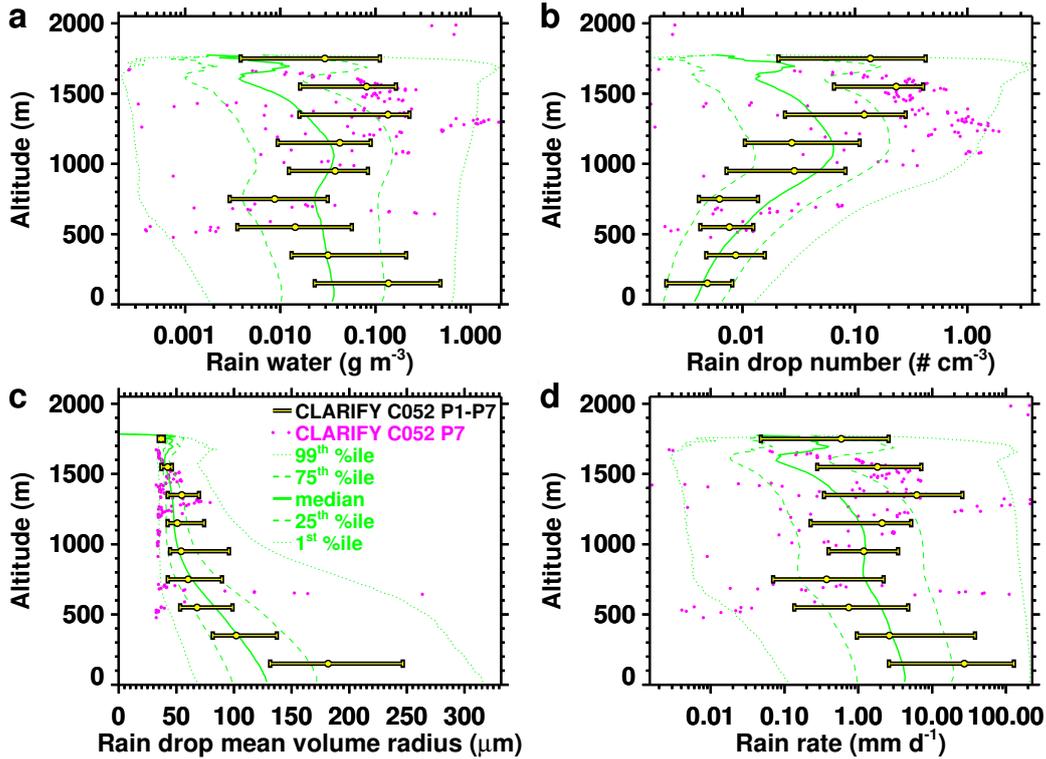
535 Simulated cloud properties are consistent with the observations at the intercept of  
 536 the simulation trajectory with the path of CLARIFY flight C052 (flight profile P7, Fig. 6 a-  
 537 c). Large scatter in the measurements arises from the profile P7 extending almost across  
 538 the simulation domain, sampling different locations and cloud elements in the cloud field  
 539 (Fig. S2, SI). Despite the scatter in the observed cloud water (Fig. 6 a) and cloud drop  
 540 number (Fig. 6 b), the model and observations show good agreement in the vertical struc-  
 541 ture of cloud drop mean volume radius, which increases with height (Fig. 6 c).

542 Simulated rain water and rain drop number are in reasonable agreement with the  
 543 observations at the intercept, given the significant scatter in the measurements (Fig. 7 a,  
 544 b). Observed rain drop mean volume radii are often around  $35\ \mu\text{m}$ , smaller compared to  
 545 the simulated values across the domain (Fig. 7 c). Simulated rain rates are consistent  
 546 with measured values (Fig. 7 d), with the caveat of large scatter in the observations that  
 547 likely arises from the sampling of different locations in the cloud field.

548 Since the aircraft profile P7 represents only a very small sample volume relative  
 549 to the simulation domain, we compare the simulation with observations aggregated over



**Figure 6.** Cloud properties (a, b, c) and frequency of occurrence of cloud and rain water (d). Simulation G<sub>1</sub> (green) is shown on 5 September 2017, 16h45m00s UTC, at the intercept of the simulation trajectory with the path of CLARIFY flight C052. Measurements at the intercept (magenta) were taken during the C052 flight profile P7 (5 September 2017, 16:27:49–16:36:33 UTC). Measurements from C052 flight profiles P1–P7 (median and interquartile range, yellow/black dots with whiskers) represent a longer flight segment, extending upstream of the intercept (5 September 2017, 15h44m10s–16h39m41s UTC). CLARIFY flight C052 profiles P1–P7 mean cloud (gray) and rain (black) fractions are shown in panel d. The location of the simulation domain at the intercept with CLARIFY flight C052, and locations of the profiles P1–P7 are shown in Fig. S2 (SI).



**Figure 7.** Same as Fig. 6 but rain properties.

550 the flight profiles P1–P7 (Fig. 6 and 7). Simulated cloud water and cloud drop number  
 551 are in good agreement below 1250 m (Fig. 6 a, b). Above 1250 m, observed values of cloud  
 552 water and cloud drop number are systematically higher than the simulation results, but  
 553 the distributions of simulated and observed data exhibit a large overlap. The higher ob-  
 554 served values can have several causes. The leading potential cause is that the clouds sam-  
 555 pled on the profiles P1–P6 are systematically richer in liquid water and cloud drops com-  
 556 pared to the clouds sampled on the profile P7 at the simulated location. This is supported  
 557 the SEVIRI 1.6  $\mu\text{m}$  channel image at time of the profiles P1–P7 (Fig. S2, SI), which shows  
 558 that the flight segment upstream (east-southeast) of profile P7 crossed brighter cloud el-  
 559 ements, indicating higher liquid water than is present on flight profile P7, or within the  
 560 simulation domain. In agreement, measurements upstream (farther east) of profile P7  
 561 show higher values of cloud water and cloud drop number (Fig. S4, SI). Differences be-  
 562 tween the simulated cloud water and cloud drop number and the measurements along  
 563 the profiles P1–P7 hence likely arise from different conditions and cloud state along the  
 564 flight segment upstream of the simulated location. Despite these differences, simulated

565 cloud drop mean volume radii are in good agreement with the observations along the pro-  
566 files P1–P7 (Fig. 6 c). The agreement holds up to the cloud top region, which is consis-  
567 tent with the good agreement between the simulated cloud drop effective radii in  $G_1$  and  
568 SEVIRI measurements at the corresponding time,  $d = 247.69$  (Fig. 4 a).

569 Simulated rain water and rain drop number are in good agreement with the ob-  
570 servations aggregated along the CLARIFY flight C052 profiles P1–P7, with observed val-  
571 ues generally higher than the simulations at altitudes above 1500 m (Fig. 7 a, b). The  
572 simulation captures well the vertical structure in the observed rain drop number, which  
573 shows lower values near the surface and higher values near cloud top (Fig. 7 b). The sim-  
574 ulation closely reproduces observed rain drop mean volume radii (Fig. 7 c) and rain rates  
575 (Fig. 7 d), both in terms of absolute values and vertical structure.

576 Figure 6 d shows the cloud and rain fractions from simulation  $G_1$  and along the  
577 CLARIFY flight C052 profiles P1–P7. Observed values are larger than the simulated val-  
578 ues for both cloud and rain fraction. Visual inspection of the satellite imagery shows that  
579 the aircraft frequently crossed cloudy areas upstream (east-southeast) of the simulation  
580 domain (Fig. S2, SI). Frequent cloud encounters by the aircraft upstream (east-southeast)  
581 of the simulation domain are documented in measurements of cloud water and cloud drop  
582 number (Fig. S4, SI). We hence attribute the higher observed cloud and rain fractions  
583 to different conditions and cloud state along the flight segment upstream of the simu-  
584 lated location. Despite these differences, simulation and observations agree on a higher  
585 rain fraction compared to the cloud fraction.

586 In summary, the simulation is in reasonable agreement with the in-situ observa-  
587 tionsof cloud and rain water mass and number, with the main limitation of the evalu-  
588 ation being the sparseness of the observations relative to the variability in the cloud field  
589 on the scale of the simulation domain, and the associated scatter. To reduce the uncer-  
590 tainty in the evaluation of the simulation from this scatter, we compared the simulation  
591 with observations aggregated over a longer flight segment. The simulation matches this  
592 larger sample better, although in the upper boundary layer, it systematically underes-  
593 timates cloud water mass and number, and to some extent rain water mass and num-  
594 ber. These biases are likely caused by different conditions and cloud state along the longer  
595 flight segment compared to the simulated location. The simulation does well in repro-  
596 ducing profiles of cloud and rain drop mean volume radii, rain water and rain drop num-

ber, and rain rate. This, together with the good agreement with the satellite cloud optical depth and cloud drop effective radii (Sec. 3.2), indicates that the model performs well, and that disagreements with the in-situ data are largely due to in-situ undersampling and different conditions at the simulated and observed locations.

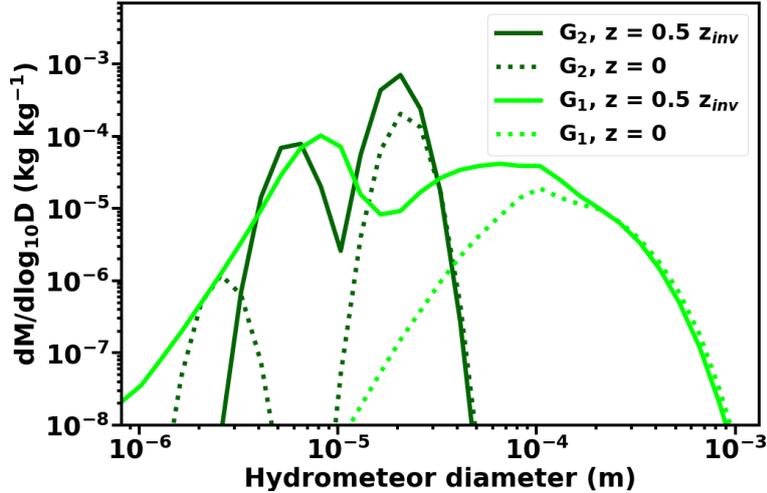
### 3.4 Impact of the free tropospheric biomass burning layer observed during CLARIFY flight C052

Based on measurements during CLARIFY flight C052, Abel et al. (2020) found weaker entrainment of free-tropospheric biomass burning aerosol into the boundary layer of the underlying POC compared to the surrounding closed-cell cloud deck. We hence tested the impact of entrainment of aerosol from the free-tropospheric biomass burning layer on cloud- and boundary layer properties underneath.

Simulation  $G_0$  and  $G_1$  have identical setups, but simulation  $G_1$  is initialized with a biomass burning layer in the free troposphere and simulation  $G_0$  without it (Tab. 1 and Fig. S5 a, SI). The two simulations produce nearly identical evolutions of  $r_{\text{eff}}$  and  $\tau$  (Fig. 4 a, b). The time series of other cloud- and boundary layer properties are also nearly identical except for variability in the rain water path and surface precipitation on short time scales (Fig. S6, SI). At the time of the intercept of the simulation trajectory with the path of flight C052 with measurements from that location (flight profile P7), the simulations produce identical profiles of aerosol, temperature, and water vapor, except in the free troposphere, where simulation  $G_0$  exhibits the free tropospheric background aerosol concentration, while simulation  $G_1$  matches the aerosol concentrations observed in biomass burning layer above the inversion (Fig. S5 b-c, SI). We conclude that entrainment of aerosol from the biomass burning layer overlying the POC sampled during CLARIFY flight C052 is limited to the extent of having no impact on cloud- or boundary layer properties. This is in agreement with the observations of Abel et al. (2020).

### 3.5 Bin- and bulk microphysics

Time series of  $r_{\text{eff}}$  and  $\tau$  calculated with the bin ( $G_1$ ,  $B_1$ ,  $R_1$ ) and the bulk ( $G_2$ ,  $B_2$ ,  $R_2$ ) cloud microphysics scheme (Sec. 2.2.2) are shown in Figure 4. The  $r_{\text{eff}}$  time series shows no systematic difference between the two microphysics schemes (Fig. 4 a, c, e). Systematic differences are present in the  $\tau$  time series (Fig. 4 b, d, f): the bulk scheme

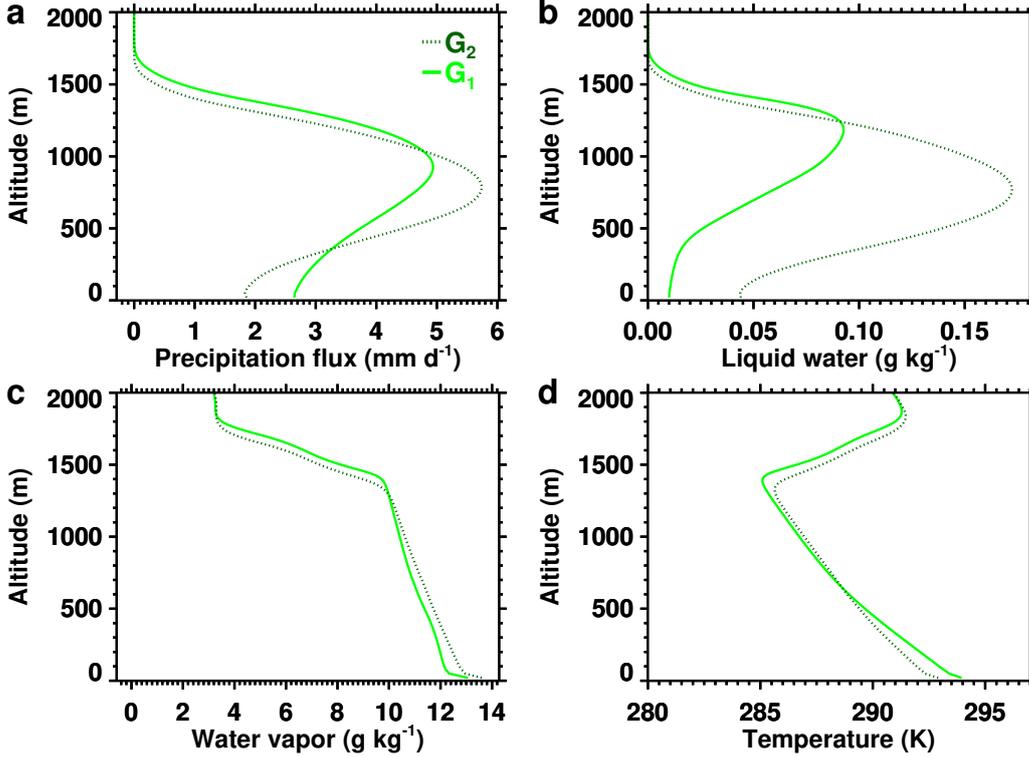


**Figure 8.** Hydrometeor mass distribution, averaged over the last 12 h ( $d = 247.2$  to  $247.7$ ), in simulation  $G_1$  (green) using bin microphysics, and simulation  $G_2$  (dark green) using bulk microphysics, in the center of the boundary layer (solid) and at the surface (dotted).

627 produces high values starting at night and over the course of the second day. The ele-  
 628 levated  $\tau$  values are due to a higher rain water path in the bulk scheme, which dominates  
 629 liquid water path, and is caused by lower surface precipitation during the night and the  
 630 second day in the simulations (Figs. S8, S9, S10, S1).

631 Figure 8 shows the hydrometeor mass distribution averaged over the last 12 h of  
 632 simulation  $G_1$  (bin microphysics) and simulation  $G_2$  (bulk microphysics), in the center  
 633 of the boundary layer and at the surface. The cloud deck is in the open-cell state at this  
 634 stage of the simulations. The bin microphysics produces a rain mode with hydromete-  
 635 ors that are approximately one order of magnitude larger than those in the rain mode  
 636 of the bulk microphysics. Between the center of the boundary layer and the surface, the  
 637 rain mode moves to larger sizes in the bin microphysics and to smaller sizes in the bulk  
 638 microphysics. The larger hydrometeors in the rain mode of the bin microphysics and their  
 639 faster fall speeds are responsible for a larger precipitation flux near the surface compared  
 640 to the bulk microphysics (Fig. 9 a). In turn, less liquid water is retained in simulation  
 641  $G_1$  and more in simulation  $G_2$  (Fig. 9 b).

642 Hence when precipitation is present, the bulk microphysics overestimates liquid wa-  
 643 ter compared to the bin microphysics, and produces a high bias in  $\tau$  relative to the SE-



**Figure 9.** Vertical profiles, averaged over the last 12 h ( $d = 247.2$  to  $247.7$ ) of simulation  $G_1$  (green) using bin microphysics, and simulation  $G_2$  (dark green) using bulk microphysics.

644 VIRI observations, because of an underestimation of surface precipitation due to insuffi-  
 645 cient formation of large rain drops. In the bulk microphysics, the largest hydromete-  
 646 ors in the rain mode evaporate as they travel towards the surface, in contrast to the bulk  
 647 microphysics (Fig. 8). The evaporation of rain with the bulk microphysics results in a  
 648 moist (Fig. 9 c) and cold (Fig. 9 d) bias in the lower regions of the boundary layer rel-  
 649 ative to the bin microphysics.

### 650 3.6 Initial aerosol concentration

651 On the red trajectory, a lower boundary layer aerosol concentration is used com-  
 652 pared to the green and blue trajectories to initialize simulations. The rationale for the  
 653 lower value on the red trajectory is given in Section 2.3. Here we expand on this ration-  
 654 ale by comparing simulation  $R_1$ , which uses the lower initial aerosol concentration of  $115 \text{ mg}^{-1}$ ,  
 655 with simulation  $R_3$ , which uses the higher value of  $145 \text{ mg}^{-1}$ , in the context of the SE-  
 656 VIRI satellite observations. Time series of  $r_{\text{eff}}$  and  $\tau$  in simulations along the red tra-

657 jectory are shown in Figure 4 (e, f). Simulation R<sub>3</sub> underestimates the observed  $r_{\text{eff}}$  on  
 658 the first day, while simulation R<sub>1</sub> produces larger  $r_{\text{eff}}$  values that match the observations  
 659 better (Fig. 4 e). No distinguishing difference exists between the simulations in terms  
 660 of  $r_{\text{eff}}$  on the second day.  $\tau$  is consistent in both simulations with the observations on  
 661 both days (Fig. 4 f). The better agreement of R<sub>1</sub> compared to R<sub>3</sub> with the observed  $r_{\text{eff}}$   
 662 on the first day supports the use of a lower initial boundary layer aerosol concentration  
 663 for simulations on the red trajectory.

### 664 **3.7 Role of simulation setup**

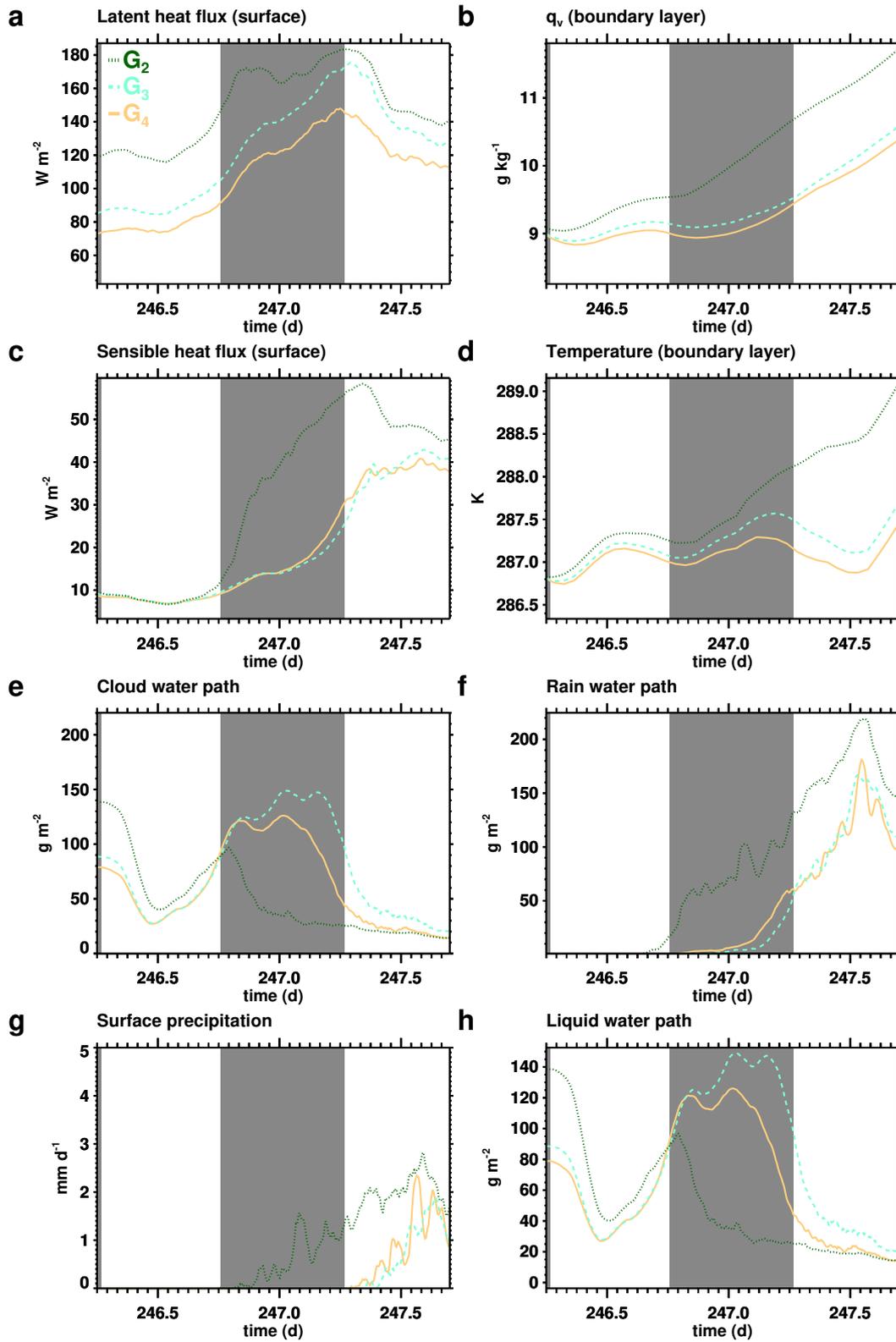
665 We determine key elements of the simulation setup for the ability of the simula-  
 666 tions to reproduce the observations. These elements have in common that they act on  
 667 the surface flux and vertical transport of water vapor, and thereby alter the thermody-  
 668 namic properties of the boundary layer. The analysis uses simulations with the bulk mi-  
 669 crophysics scheme.

#### 670 ***3.7.1 Vertical grid spacing and ventilation of the surface layer***

671 The simulations in this work employ a vertical grid that coarsens towards the sur-  
 672 face in the lowermost three levels. To illustrate its effect, we compare simulation G<sub>2</sub>, which  
 673 uses the grid coarsening towards the surface, with simulation G<sub>3</sub>, which uses a constant  
 674 grid down to the surface. The constant grid has a finer spacing near the surface (Tab. 1  
 675 and Sec. 2.2.6).

676 The surface latent heat flux is lower in G<sub>3</sub> compared to G<sub>2</sub>, and G<sub>3</sub> has a drier bound-  
 677 ary layer (Fig. 10 a, b). Yet, G<sub>3</sub> has a moister surface layer compared to G<sub>2</sub> (Figs. S10  
 678 a, b and S11, a, b, SI), indicating suppressed surface ventilation. The surface sensible  
 679 heat flux, in contrast, is nearly identical in G<sub>2</sub> and G<sub>3</sub> during the first daytime period  
 680 of the simulations (Fig. 10 c), and the surface layer in G<sub>3</sub> is warmer by only fractions  
 681 of a degree during this time (Figs. S10 c and S11 c SI). We hypothesize that surface layer  
 682 warming due to a weaker ventilation of the surface in G<sub>3</sub> is offset by longwave radiative  
 683 cooling.

684 Following the first daytime period, the surface sensible heat flux (Fig. 10 c) rises  
 685 sooner in G<sub>2</sub> (at nightfall) compared to G<sub>3</sub> (towards dawn), because in the moister bound-  
 686 ary layer in G<sub>2</sub>, rain and surface precipitation form sooner (Figs. 10 f, g). The associ-



**Figure 10.** Time series in simulation G<sub>2</sub> (dark green), G<sub>3</sub> (aquamarine), and G<sub>4</sub> (beige).

Gray shading indicates nighttime.

687 ated evaporation results in a greater temperature contrast near the surface between  $G_2$   
 688 and  $G_3$  (Figs. S10 d and S11, d SI). The boundary layer as a whole, however, is warmer  
 689 in  $G_2$  compared to  $G_3$  (Fig. 10 d), owing to its higher surface precipitation.

690 Hence a constant, refined vertical grid near the surface hampers ventilation of the  
 691 surface layer, causing it to moisten. This in turn suppresses the surface latent heat flux,  
 692 which alters the thermodynamic properties of the boundary layer: The boundary layer  
 693 is drier and surface precipitation delayed and suppressed. This results in a warmer sur-  
 694 face layer but an overall cooler boundary layer. Overall, the refined vertical grid near  
 695 the surface causes a dry and cold bias in the boundary layer. Coarsening the vertical grid  
 696 towards the surface reduces this effect.

### 697 *3.7.2 Wind speed nudging near the surface*

698 Mean horizontal wind speed is maintained in the simulations by nudging towards  
 699 ERA5 wind speed profiles. To counter deceleration by surface drag away from the ERA5  
 700 wind speed, the nudging time constant tightens towards the surface (Sec. 2.2.1). To il-  
 701 lustrate the impact, we compare results obtained with the tighter nudging towards the  
 702 surface (simulation  $G_3$ ) against results obtained with more relaxed nudging at all lev-  
 703 els (simulation  $G_4$ ). With the relaxed nudging, wind speed near the surface is slower in  
 704  $G_4$  compared to  $G_3$  (Fig.S12, SI). The surface latent heat flux falls in response, which  
 705 renders the boundary layer drier (Fig. 10 a, b). The surface sensible heat flux is largely  
 706 insensitive to the surface wind speed reduction, but the boundary layer in  $G_4$  is cooler  
 707 compared to  $G_3$  (Fig. 10 c, d). We hypothesize that this response arises from the com-  
 708 plex interactions connecting dynamics, surface fluxes, cloud state, radiative cooling, and  
 709 entrainment warming. Overall, relaxed nudging of the wind speed towards ERA5 reduces  
 710 surface wind speed and causes a dry and cold bias in the boundary layer. This can be  
 711 counteracted by tighter nudging towards the surface.

## 712 **4 Discussion**

713 The cold and dry bias in the simulated boundary layer relative to the in-situ ob-  
 714 servations found in this work indicates remaining model uncertainties and potential for  
 715 improvement. Areas that contribute to these uncertainties, and where improvements are

716 possible, are discussed in the following, with comments on future high-resolution global  
717 models.

#### 718 **4.1 Grid anisotropy**

719 Non-isotropic grids with large grid aspect ratios enable large simulation domains  
720 that capture the stratocumulus mesoscale structure and its effect on cloud properties (Kazil  
721 et al., 2017). In this work we used an aspect ratio of 20 across the boundary layer, ex-  
722 cept towards the surface, where it falls to 5.7. This reduction of the grid aspect ratio to-  
723 wards the surface, implemented by a coarsening of the vertical grid spacing, improves  
724 surface ventilation and reduces a boundary layer cold and dry bias (Sec. 3.7.1). A cold  
725 and dry bias was also found by Vogel et al. (2020) in LES of shallow cumuli with an as-  
726 pect ratio of 7.8 near the surface. This raises the question whether in general, large grid  
727 aspect ratios near the surface should be avoided.

728 Nishizawa et al. (2015) investigated the role of LES grid aspect ratio at fixed sur-  
729 face heat flux for turbulence in the dry boundary layer. In their simulations, which re-  
730 duced the grid aspect ratio from 20 to 10 and from 6 to 2, implemented by coarsening  
731 the vertical grid spacing at all levels, the vertical component of grid-resolved turbulence  
732 kinetic energy (TKE) increased at all scales in the surface layer. This translates to bet-  
733 ter surface ventilation. Higher up in the boundary layer, the reduction in aspect ratio  
734 had only a small effect on the vertical component of grid-resolved TKE. These findings  
735 provide more general support for improving surface ventilation by using smaller grid as-  
736 pect ratios towards the surface.

737 The dependence of LES results on the grid aspect ratio represents an uncertainty  
738 that will, over time, diminish as increasing computing power enables smaller grid aspect  
739 ratios on large domains. Concurrently, the issue will arise in global models as increas-  
740 ing computing power enables finer grids spacings. Once grid spacings are too fine for bound-  
741 ary layer parameterizations to be applicable, and to compensate a suppression of sur-  
742 face ventilation by a large grid aspect ratio, coarsening the vertical grid spacing towards  
743 the surface, as done in this work, may offset artifacts.

744 Sub-grid scale turbulence parameterizations that account for grid anisotropy may  
745 constitute a better approach. Nishizawa et al. (2015) demonstrated the importance of  
746 parameterizing the LES mixing length as a function of grid aspect ratio, and of using

747 an appropriate LES filter length to isolate sub-grid scales in order to obtain the theo-  
748 retical scaling of TKE with wavenumber. Aspect ratio-aware sub-grid scale parameter-  
749 izations may help reduce or eliminate the dependence of results on the grid aspect ra-  
750 tio in LES, and later serve in high-resolution global simulations with non-isotropic grids.

## 751 **4.2 Aerosol and cloud microphysics**

752 Simulated cloud properties are sensitive to the representation of the aerosol size  
753 distribution and of activation and condensational growth (e.g., Feingold & Kreidenweis,  
754 2002). The simplified representation of the aerosol size distribution used in this work (Sec.  
755 2.2.3) may affect its response to activation and cloud processing, with potential conse-  
756 quences for subsequent activation and cloud microphysical processes. Representing the  
757 aerosol size distribution with a bin scheme, e.g., could enable a more detailed and po-  
758 tentially more accurate response of the aerosol size distribution to activation and cloud  
759 processing. However, we expect the uncertainty due to the representation of the aerosol  
760 size distribution to be relatively small owing to the buffering of aerosol activation by su-  
761 persaturation, i.e., overactivation suppresses supersaturation, which self-corrects the strength  
762 of activation.

763 The two-moment bin microphysics scheme used in our simulations performs well  
764 relative to the observations (Sec. 3.2 and 3.3), but the remaining biases and deviations  
765 relative to the observations could, potentially, arise from its limitations. One such lim-  
766 itation is the artificial broadening of hydrometeor size distributions. The use of a two-  
767 moment bin scheme reduces the broadening considerably but is not immune to numer-  
768 ical diffusion artifacts (Witte et al., 2019). The broadening arises from numerical dif-  
769 fusion caused by the remapping of the hydrometeor size distribution after growth and  
770 collisions (see, e.g. Khain et al., 2008, and references therein), and due to numerical dif-  
771 fusion associated with advection (Morrison et al., 2018). Morrison et al. concluded that  
772 Eulerian dynamical models, such as most LES using bin microphysics, may be unable  
773 to investigate the physical mechanisms for size distribution broadening, even though they  
774 may reasonably simulate overall size distribution characteristics. More advanced repre-  
775 sentations of the hydrometeor size distribution and processes could identify and reduce  
776 or eliminate potential artifacts. Lagrangian cloud microphysics schemes (e.g. Grabowski  
777 et al., 2018), in combination with a linear eddy model to represent unresolved turbulent  
778 mixing at the subgrid scale of LES (Hoffmann et al., 2019) can eliminate issues affect-

779 ing other microphysics schemes and their calculation of droplet activation and growth.  
780 However, such highly accurate solutions will remain computationally too expensive for  
781 some time for typical LES applications, and even more so for climate models. This rep-  
782 represents an opportunity for further research and development of microphysics schemes that  
783 aim for reduced artifacts at manageable computational expense, such as three-moment  
784 bulk schemes (e.g., Paukert et al., 2019).

### 785 **4.3 Large scale meteorology**

786 Improved understanding of the role of assumptions and methods used in the im-  
787 plementation of large scale meteorology in Lagrangian LES driven by reanalysis mete-  
788 orology may improve the approach. In this work, the mean LES temperature and mois-  
789 ture profiles in the free troposphere are nudged towards the reanalysis. An alternative  
790 is the application of tendencies of temperature and moisture due to horizontal advec-  
791 tion from the reanalysis to the LES temperature and moisture profiles. In the bound-  
792 ary layer, the tendencies will vanish in good approximation as the LES domain moves  
793 with the boundary layer air mass. Around the inversion and above, these tendencies will  
794 be different from zero and could be used instead of nudging. Using horizontal advective  
795 tendencies instead of nudging would allow the LES radiation scheme to act on temper-  
796 ature in the free troposphere. This would, e.g., enable the study of the effect of absorb-  
797 ing aerosol layers in the free troposphere. This approach could, however, overestimate  
798 heating by the absorbing aerosol, as its effect could already be partially present in the  
799 horizontal advective temperature tendency from the reanalysis, as a result of data as-  
800 simulation. A further potential downside of using tendencies instead of nudging is that  
801 differences between the radiation schemes in the LES and reanalysis model may lead to  
802 inconsistent free tropospheric temperature profiles between the LES and reanalysis, with  
803 potential consequences for the LES results.

804 The mean horizontal wind speed in the simulations in this work is nudged towards  
805 the reanalysis wind speed with a short nudging time scale near the surface, to offset spu-  
806 rious slowing by surface drag and to drive appropriate surface fluxes. Higher up, a longer  
807 nudging time scale is used to allow the LES to establish its own wind speed profile around  
808 the inversion, as opposed to being forced by the wind speed profile around the inversion  
809 in the reanalysis. Still, shear in the mean horizontal wind speed around the inversion in  
810 the reanalysis may affect the mean wind speed profile in the LES and possibly lead to

811 artifacts, in particular if the inversion in the reanalysis is located at a different height  
812 than the inversion in the LES. An alternative approach is to use the horizontal pressure  
813 gradient from the reanalysis to let the LES generate its own mean horizontal wind field.  
814 This may reduce or eliminate artifacts that potentially arise from nudging towards the  
815 mean horizontal wind speed in the reanalysis.

816 A need for development is present in the treatment of tracer advection due to sub-  
817 sidence when tracers have strong vertical gradients, such as aerosol layers. The numer-  
818 ical treatment of advection by subsidence by the model used in this work preserves shape,  
819 but not mass. We conserve tracer mass by re-normalizing its vertically integrated value  
820 in the free troposphere after subsidence is applied (Sec. 2.2.5). A better solution is needed  
821 in the form of an advection scheme that maintains both the shape and mass of free-tropospheric  
822 tracer distributions against advection by subsidence.

823 The reanalysis meteorology that drives the Lagrangian LES is itself a source of un-  
824 certainty. ERA5 performs better relative to its predecessor ERA-Interim, and ERA5 de-  
825 viations from observations just prior to their assimilation are decreasing over the reanal-  
826 ysis period. Yet, e.g., the 30-day mean of the ERA5 standard deviation from observed  
827 2 m relative humidity just prior to its assimilation is 9-10 % in 2017, the year of our sim-  
828 ulations (Hersbach et al., 2020). Larger uncertainty should be expected at locations where  
829 observations are not assimilated, on shorter time scales, and in quantities that are not  
830 constrained by data assimilation. Subsidence, e.g., has been found to exhibit large vari-  
831 ability among different reanalyses as well as biases relative to observations (Uma et al.,  
832 2021). However, the overall good agreement of our simulation results with the observa-  
833 tions indicates that ERA5 characterizes large scale meteorology well in the considered  
834 case.

## 835 **5 Summary and conclusions**

836 In this work we presented and evaluated an approach to improve the fidelity of La-  
837 grangian large eddy simulation (LES) to simulate boundary layer clouds. The Lagrangian  
838 LES follow trajectories of the boundary layer flow and are driven by reanalysis meteo-  
839 rology. The simulated case is a sub-tropical transition from a closed- to an open-cell stra-  
840 tocumulus cloud state over a period of two days, which occurred during the formation

841 and evolution a pocket of open cells (POC) underneath a free-tropospheric biomass burn-  
842 ing aerosol layer.

843 The simulations were evaluated with retrievals of cloud optical depth  $\tau$  and cloud  
844 drop effective radius  $r_{\text{eff}}$  from the SEVIRI instrument on board the MSG satellite (Peers  
845 et al., 2019; Christensen et al., 2020; Peers et al., 2021), and with aircraft in-situ mea-  
846 surements from the CLARIFY field campaign (Abel et al., 2020). The simulations re-  
847 produce the observed cloud morphology,  $\tau$ , and  $r_{\text{eff}}$  observed by the satellite in the over-  
848 cast, closed-cell stratocumulus cloud state on the first day of the simulations and in the  
849 broken, open cell state on the second day. They capture the timing of the cloud state  
850 transition from the closed to the open cell state seen in the satellite imagery on the three  
851 considered trajectories. The simulated inversion height of the open-cell state matches  
852 the the aircraft data, but the boundary layer has a cold and dry bias relative to the in-  
853 situ measurements.

854 We found two key elements in the simulation setup that contribute to the cold and  
855 dry bias of the open cell state: firstly, large grid aspect ratios, needed to cover large do-  
856 mains, suppress ventilation of the surface layer. Reducing the grid aspect ratio towards  
857 the surface by coarsening the vertical grid spacing improves surface ventilation and re-  
858 duces this cold and dry bias. Secondly, the use of a short time scale for the nudging of  
859 mean horizontal wind speed towards the reanalysis near the surface maintains mean wind  
860 speed close to the reanalysis values. This maintains the surface fluxes of sensible and la-  
861 tent heat and warms and moistens the boundary layer. The remaining cold and dry bias  
862 in the simulated boundary layer likely includes contributions from the still anisotropic  
863 grid, from the treatment of cloud microphysics, and from uncertainty in the reanalysis  
864 meteorology used to drive the simulations.

865 The simulations closely reproduce a biomass burning aerosol layer identified by the  
866 in-situ aircraft measurements just above the inversion of the POC, as well as the aerosol  
867 concentration in the boundary layer. Simulations with and without the biomass burn-  
868 ing layer produce nearly identical results. We conclude that entrainment of aerosol from  
869 the biomass burning layer overlying the POC is limited to the extent of having no im-  
870 pact on cloud- or boundary layer properties. This is in agreement with observations from  
871 the CLARIFY field campaign, which found only limited entrainment of biomass burn-  
872 ing aerosol into the boundary layer (Abel et al., 2020).

873 Simulated mass and number of cloud and rain are consistent with the in-situ air-  
874 craft measurements. Simulated cloud and rain drop sizes, as well as rain rates are in good  
875 agreement with the observations. Based on analysis of the satellite imagery and the in-  
876 situ data, we conclude that aggregation of measurements along the aircraft flight path,  
877 which reduces noise but merges data from conditions with different cloud properties, is  
878 a key contribution to differences between simulated and observed hydrometeor proper-  
879 ties.

880 Simulations using a numerically efficient two-moment bulk microphysics scheme,  
881 instead of the two-moment bin microphysics scheme, reproduce the satellite  $r_{\text{eff}}$  and  $\tau$   
882 in the non-precipitating closed-cell state of the simulations well. However, they overes-  
883 timate  $\tau$  in the precipitating, open-cell state. The cause is an insufficient formation of  
884 large rain drops, which results in an underestimation of surface precipitation and over-  
885 estimation of liquid water path.

886 In summary, we find that Lagrangian LES, driven by reanalysis meteorology, are  
887 capable of realistically simulating boundary layer clouds. Owing to its ability to repro-  
888 duce real-world cases, the approach is suited to investigate and explain observed phe-  
889 nomena, such as in the context of field campaigns. This potential for realism, together  
890 with a spatially and temporally highly resolved output, also renders the approach suit-  
891 able as a framework for the development of process representations, such as cloud mi-  
892 crophysics schemes, and of single column models and retrieval algorithms for remote sens-  
893 ing instruments.

894 The challenges facing Lagrangian LES driven by reanalysis meteorology, such as  
895 the dependence of the results on the grid aspect ratio, will diminish over time, possibly  
896 due to the use of improved sub-grid scale turbulence parameterizations that account for  
897 grid anisotropy, and certainly as increasing computing power will enable smaller grid as-  
898 pect ratios on large domains. These challenges will, however, with increasing comput-  
899 ing power and finer grids, eventually arise in global models. They will be compounded  
900 by boundary layer parameterizations being applicable only as long as the grid spacings  
901 are not too fine. The development and use of Lagrangian LES driven by reanalysis me-  
902 teorology can thus pave the way for the development of future global models.

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# Supporting Information for "Realism of Lagrangian large eddy simulations: Tracking a pocket of open cells under a biomass burning aerosol layer"

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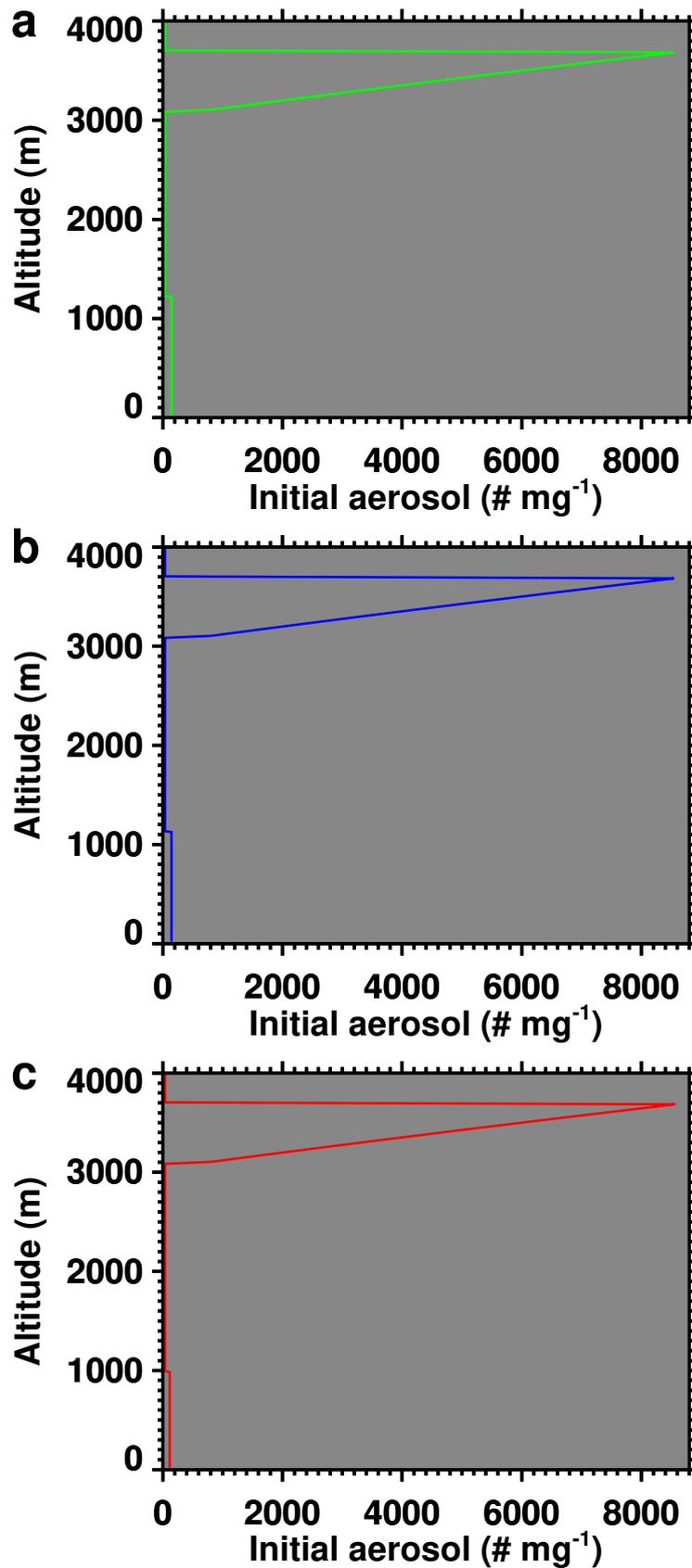
<sup>4</sup>Met Office, Exeter, Devon, UK

## Contents of this file

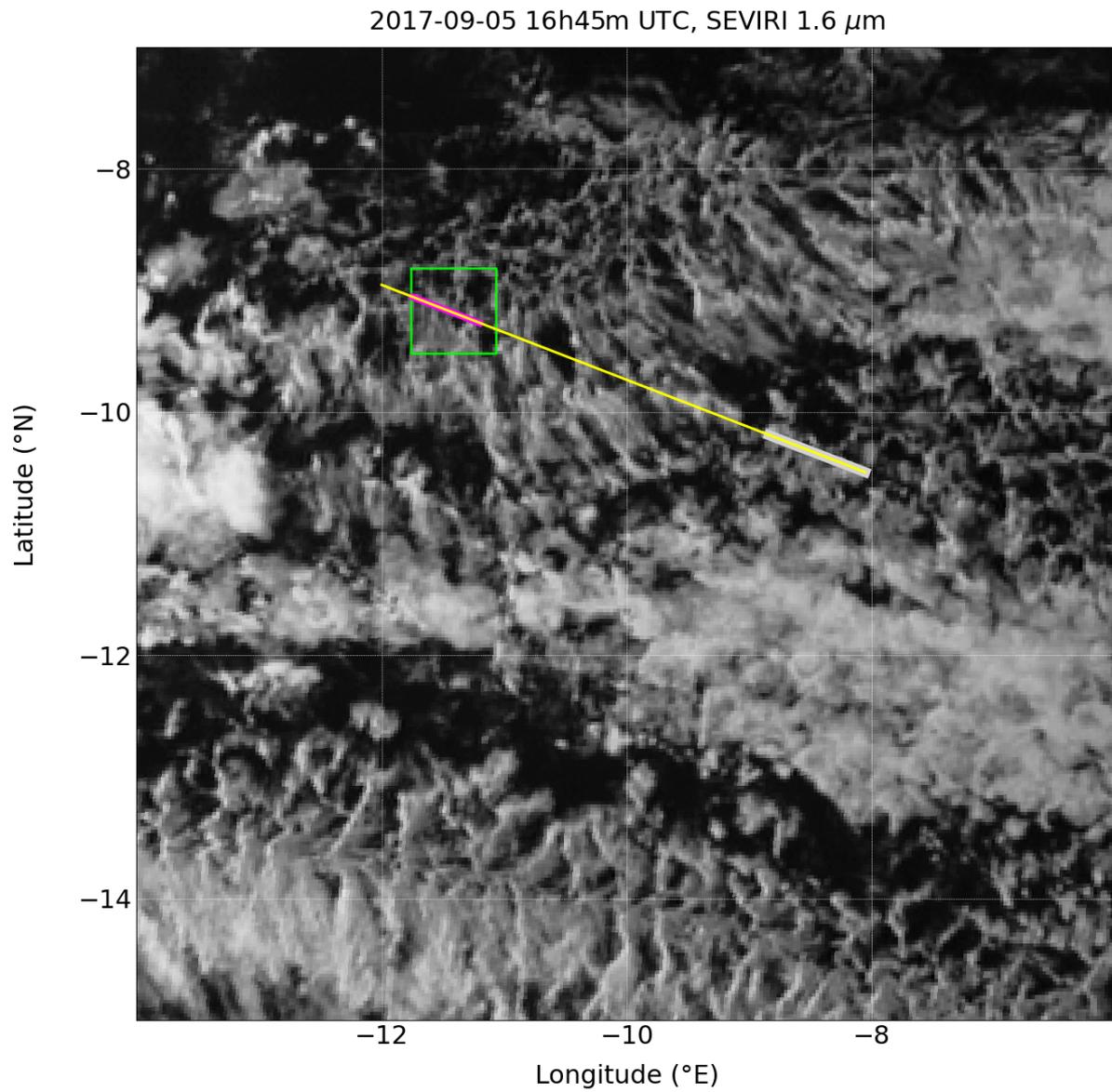
1. Supplemental Figures S1 to S12

## Additional Supporting Information (Files uploaded separately)

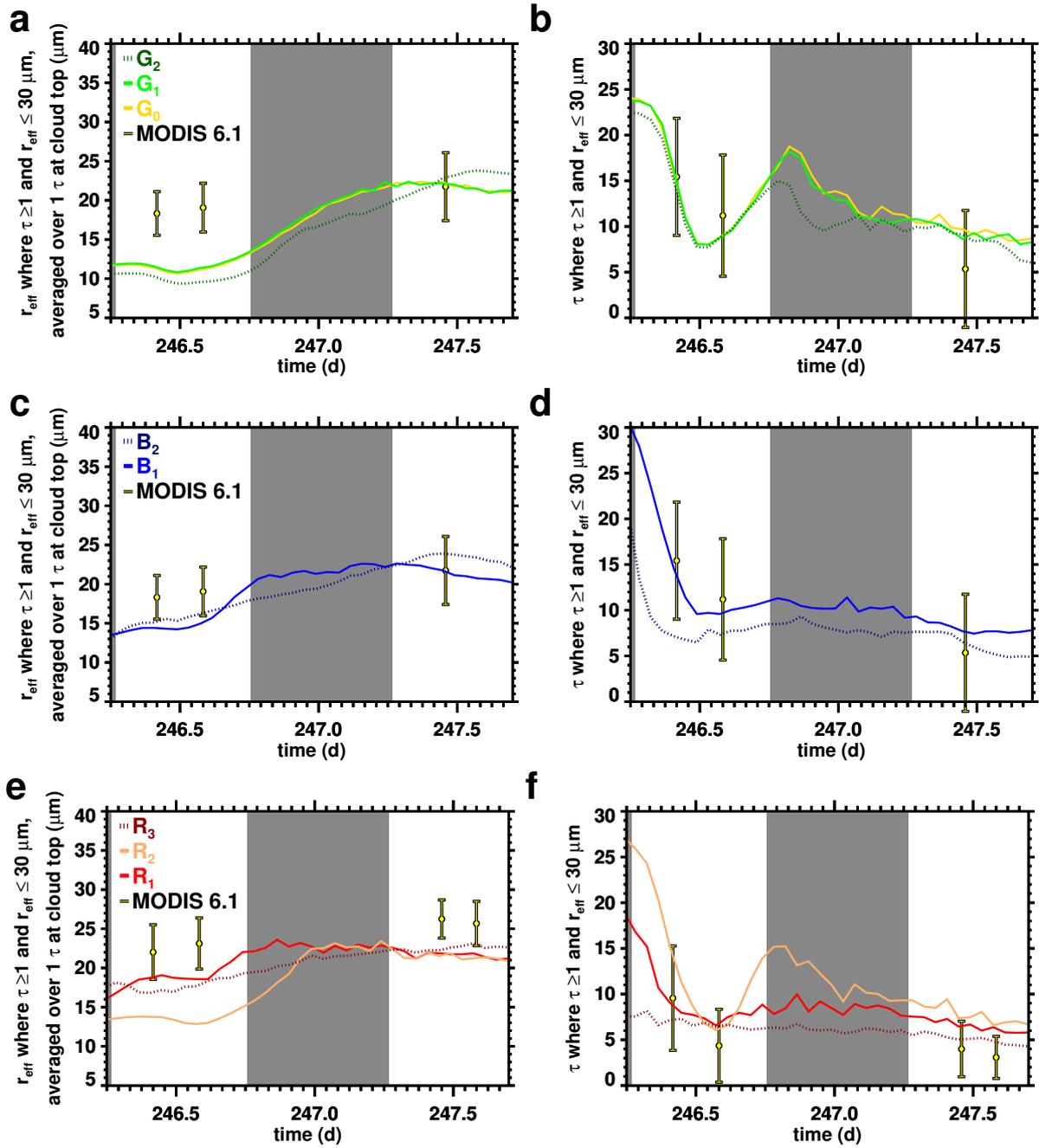
1. Animation A1, showing the cloud evolution seen by satellite and in the simulations



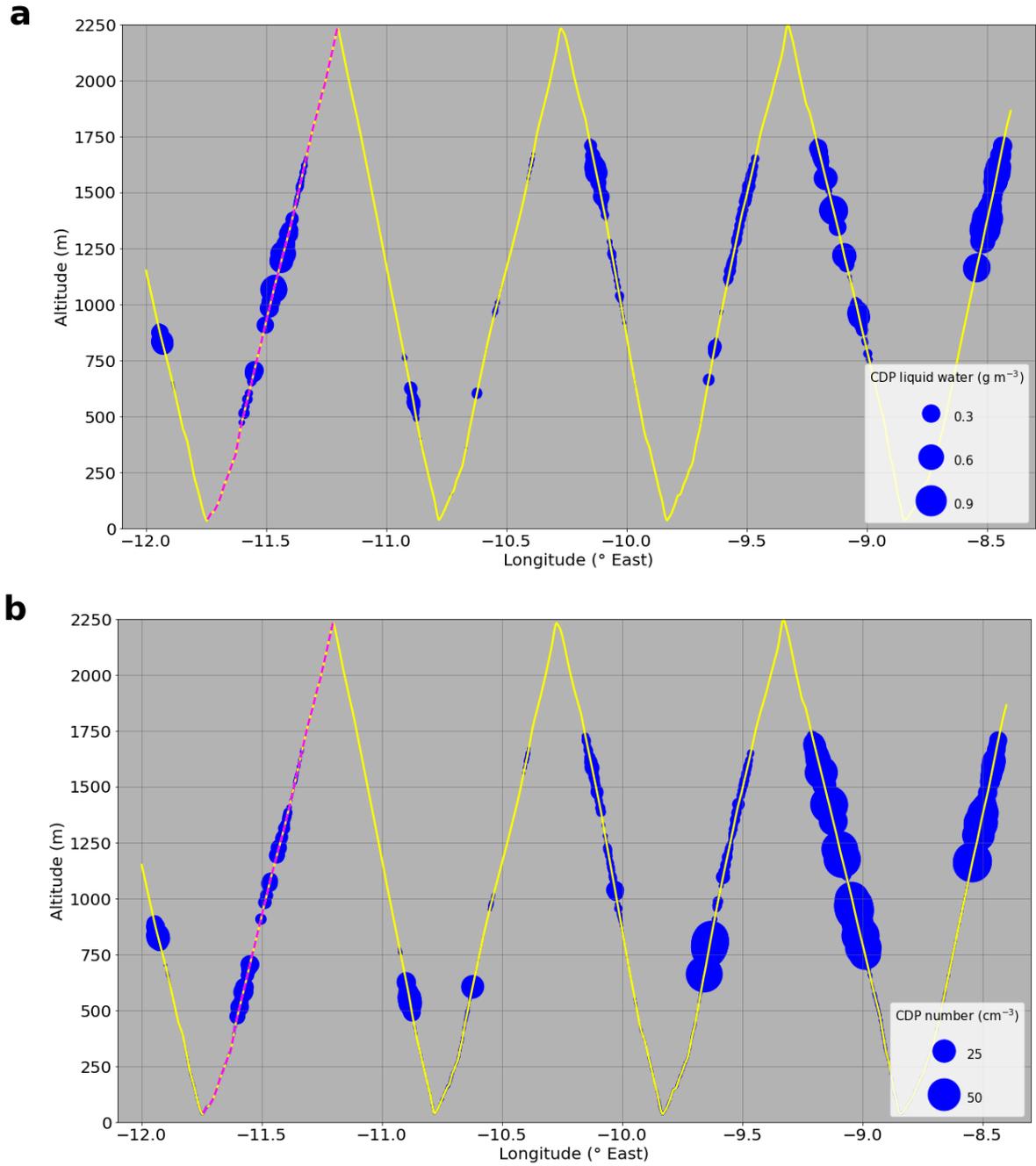
**Figure S1.** Aerosol number at the outset of the simulations on 3 September 2017, 14:45:00 UTC (fractional day of year  $d = 245.61458$ ) on the (a) green, (b) blue, and (c) red trajectories.



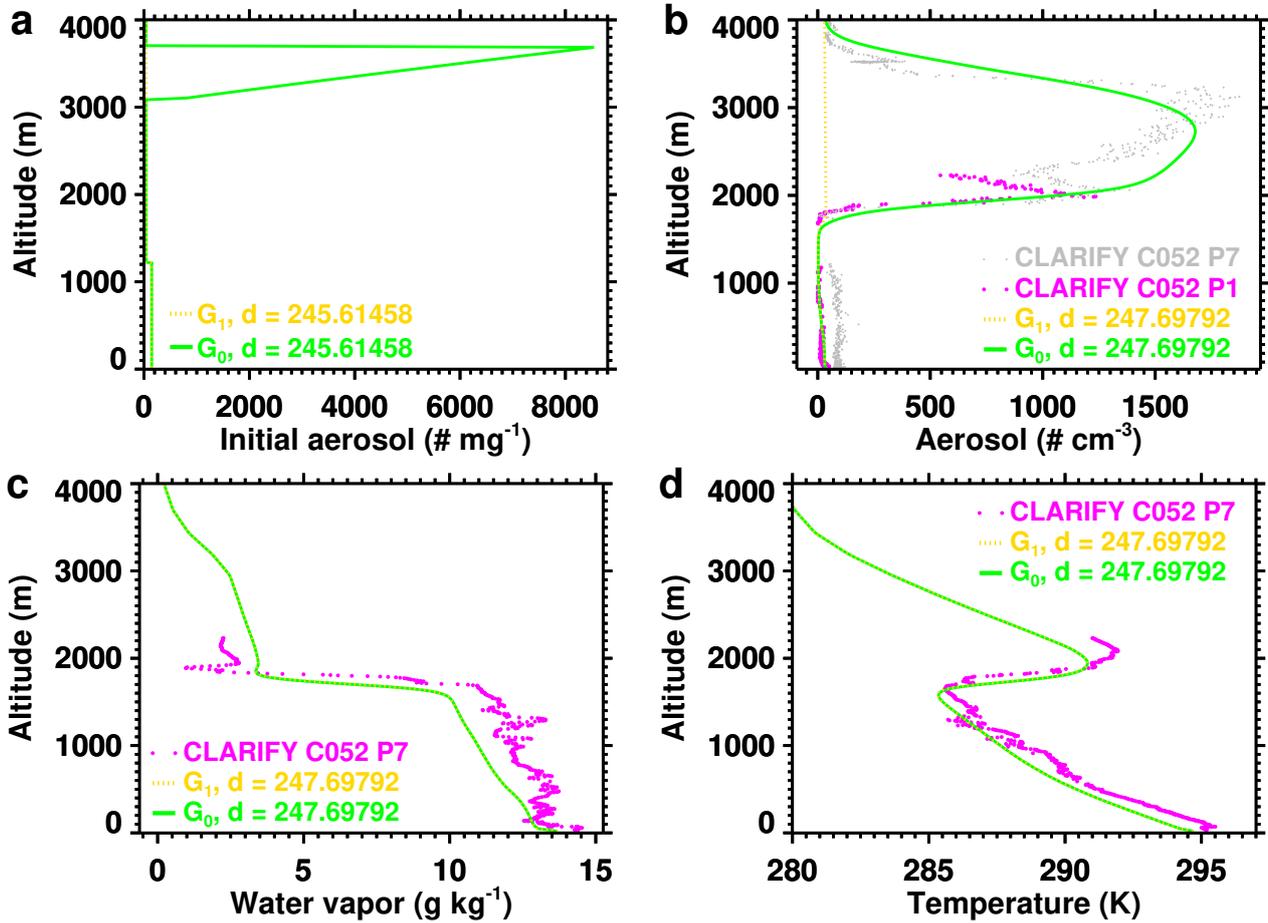
**Figure S2.** Meteosat Second Generation (MSG) Spinning Enhanced Visible and Infrared Imager (SEVIRI) imagery, with domain of simulation  $G_1$ , to scale, at 16:45:00 UTC. The trajectory of the simulation intersects with the path of CLARIFY flight C052 at this time. Magenta indicates flight C052 profile P7 (16:27:49–16:36:33 UTC). Yellow indicates the C052 flight profiles P1–P7 (5 September 2017, 15h44m10s–16h39m41s UTC). Light gray indicates the profile P1, which provided biomass burning aerosol concentrations in the free troposphere. See also Abel et al. (2020).



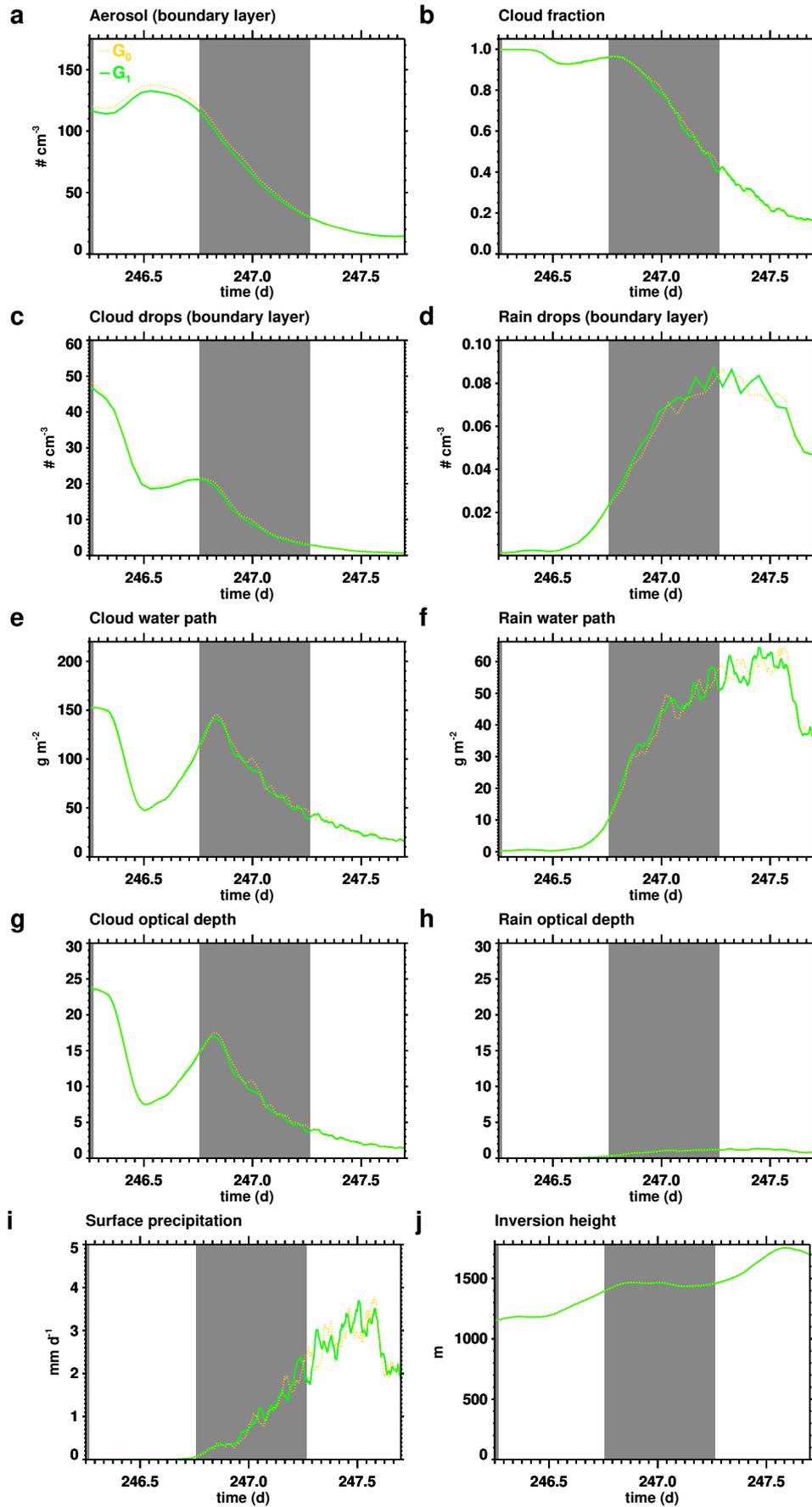
**Figure S3.** Cloud effective radius ( $r_{\text{eff}}$ ) and optical depth ( $\tau$ ) in the simulations along the green (a, b), blue (c, d), and red (e, f) trajectory. MODIS retrievals at the trajectory locations are shown in yellow/black. MODIS samples data from locations with  $r_{\text{eff}} \leq 30 \mu\text{m}$ . The simulated  $r_{\text{eff}}$  and  $\tau$  were sampled over locations where  $\tau \geq 1$  and where  $r_{\text{eff}} \leq 30 \mu\text{m}$ . Gray shading indicates nighttime. The simulations are listed in Table 1 of the main text.



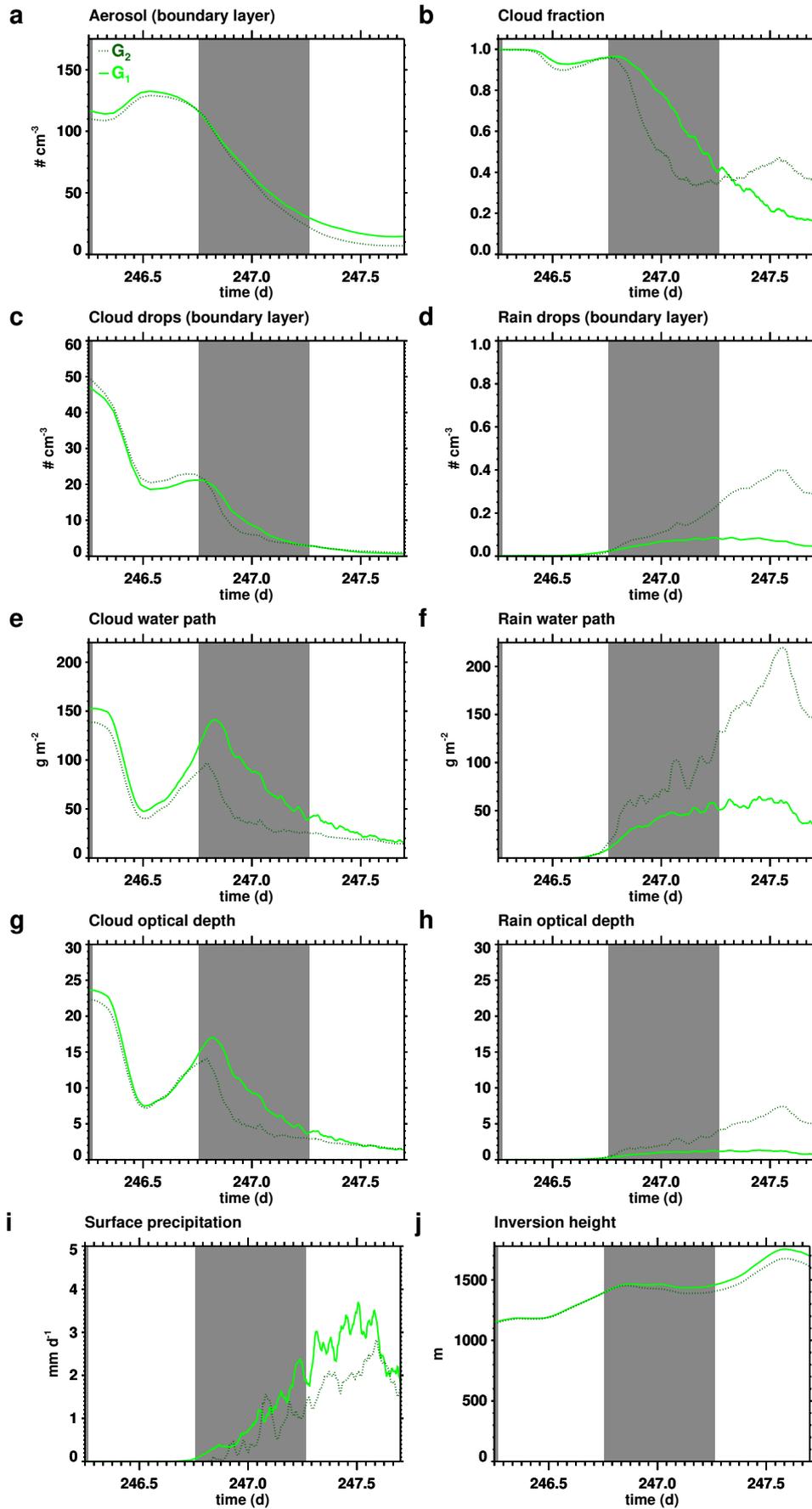
**Figure S4.** Cloud liquid water (a) and cloud drop number (b) collected by the CDP instrument along the segment of the CLARIFY flight C052 shown in Fig. S3. Magenta indicates the C052 profile P7 (16:27:49–16:36:33 UTC). Yellow indicates the C052 flight profiles P1–P7 (5 September 2017, 15h44m10s–16h39m41s UTC). See Abel et al. (2020) for details.



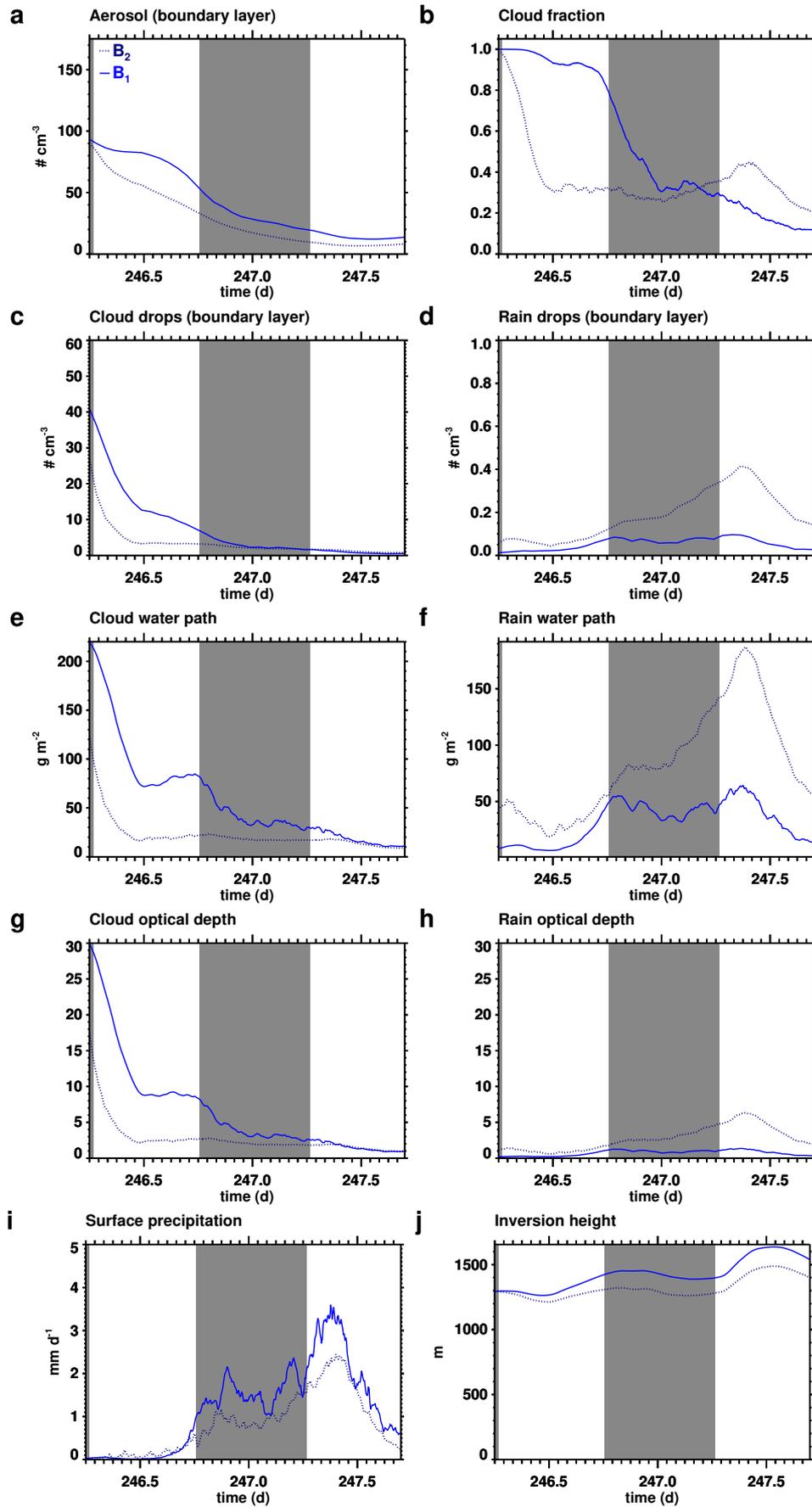
**Figure S5.** Vertical profiles in simulation  $G_0$  (green), with a biomass burning layer in the free troposphere, and simulation  $G_1$  (yellow), without a biomass burning layer. (a) Aerosol number mixing ratio at the outset of the simulations on 3 September 2017, 14:45:00 UTC (fractional day of year  $d = 245.61458$ ). (b) aerosol number concentration, (c) water vapor mixing ratio, and (d) temperature on 5 September 2017, 16h45m00s UTC (fractional day of year  $d = 247.69792$ ), and in-situ measurements from CLARIFY flight C052. CLARIFY C052 profile P7 (5 September 2017, 16:27:49–16:36:33 UTC, magenta) is located at the intercept of the simulation trajectory and the CLARIFY C052 flight path. CLARIFY flight C052 profile P1 (5 September 2017, 15h25m18s–15h50m53s, gray) is located upstream along the flight path. The location of the simulation domain, the CLARIFY flight C052 path and its profile P1 and P7 are shown in Fig. S2.



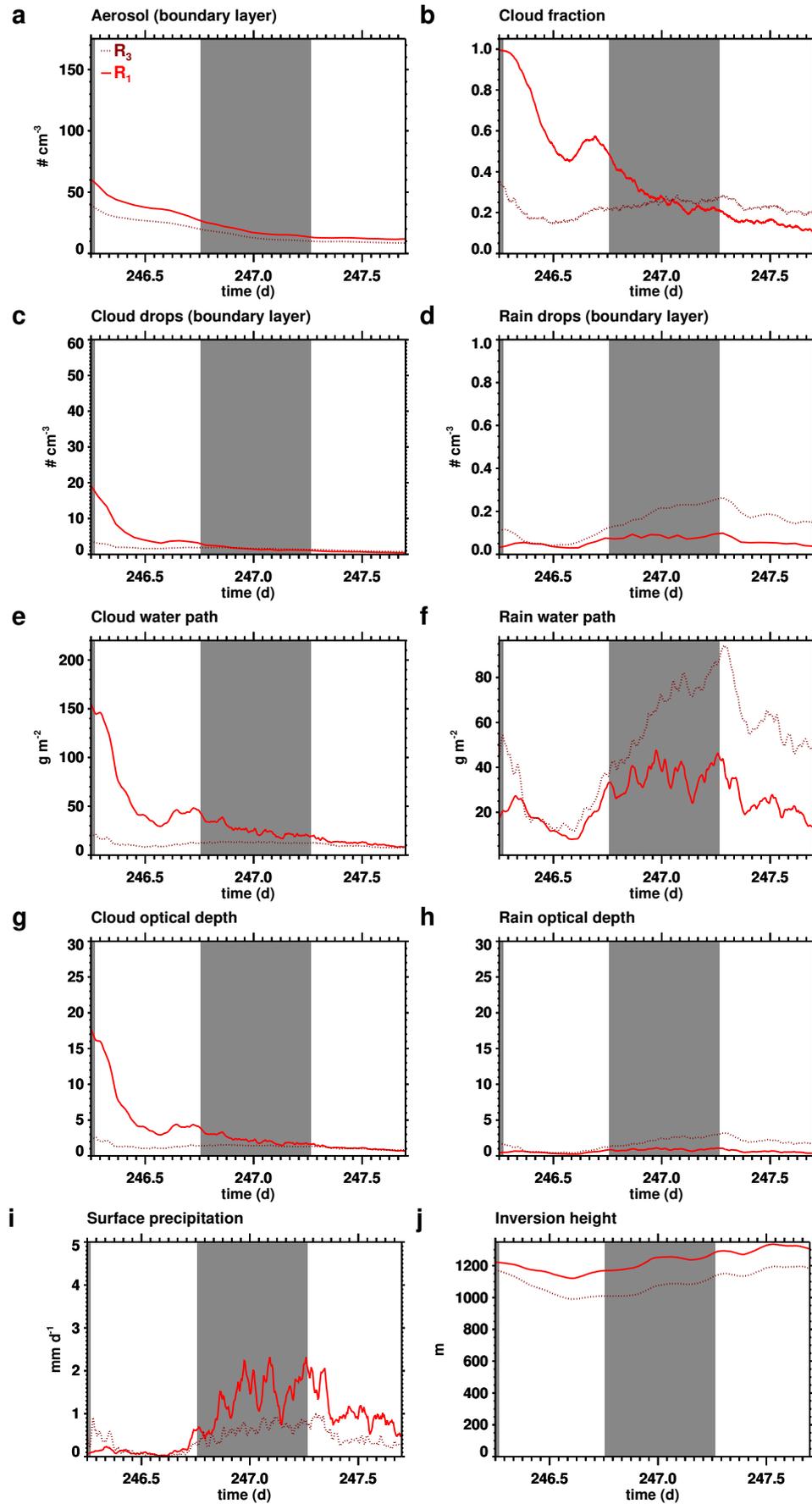
**Figure S6.** Time series in simulation  $G_0$  (yellow, without a biomass burning layer above the inversion) and simulation  $G_1$  (green, with a biomass burning layer above the inversion). Gray shading indicates nighttime.



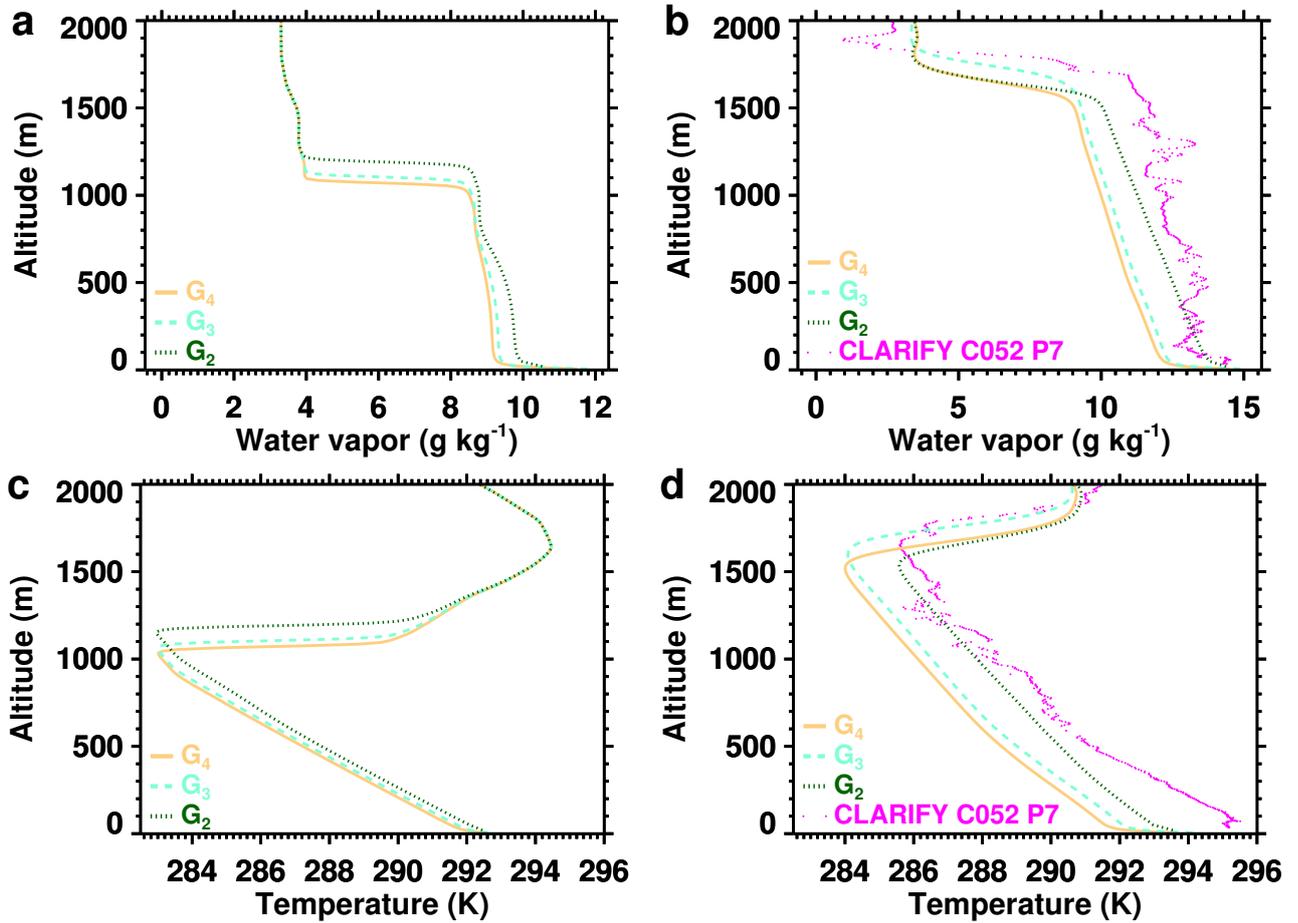
**Figure S7.** Time series in simulation G<sub>1</sub> using bin cloud microphysics (green) and simulation G<sub>2</sub> using bulk cloud microphysics (dark green). Gray shading indicates nighttime.



**Figure S8.** Time series in simulation B<sub>1</sub> using bin cloud microphysics (blue) and simulation B<sub>3</sub> using bulk cloud microphysics (dark blue). Gray shading indicates nighttime.



**Figure S9.** Time series in simulation R<sub>1</sub> using bin cloud microphysics (red) and simulation R<sub>2</sub> using bulk cloud microphysics (dark red). Gray shading indicates nighttime.



**Figure S10.** Domain mean profiles of water vapor (a, b) and temperature (c, d) in simulation  $G_2$  (dark green, dotted),  $G_3$  (aquamarine, dashed), and  $G_4$  (beige, solid), on (a, c) 4 September 2017, 12h00m00s UTC ( $d = 246.50000$ ) and (b, d) 5 September 2017, 16h45m00s UTC ( $d = 247.69800$ ), at the intercept of the simulation trajectory with the path of CLARIFY flight C052, with observations from the location of the intercept (CLARIFY flight C052 profile P7, 5 September 2017, 16:27:49–16:36:33 UTC, magenta).

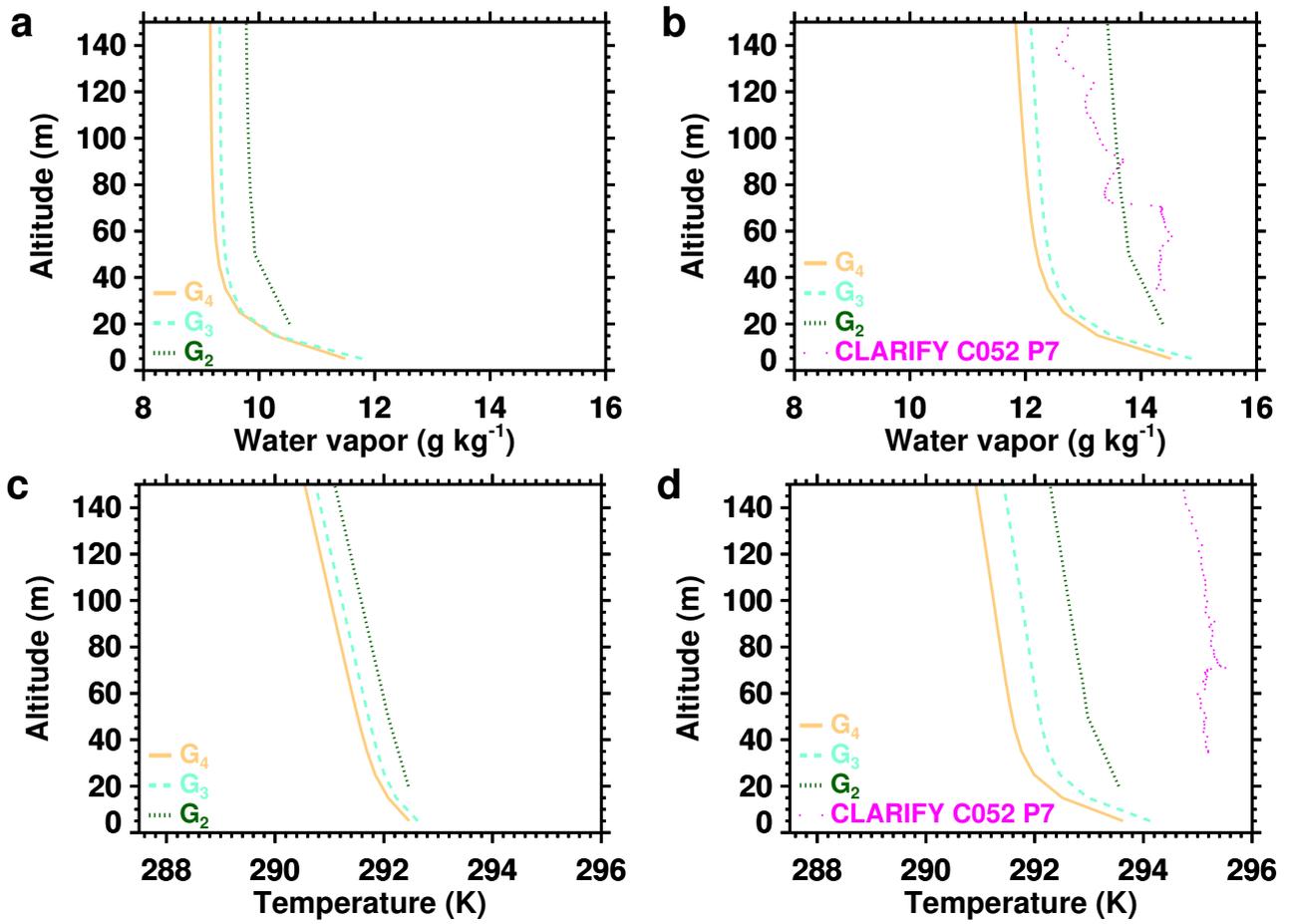
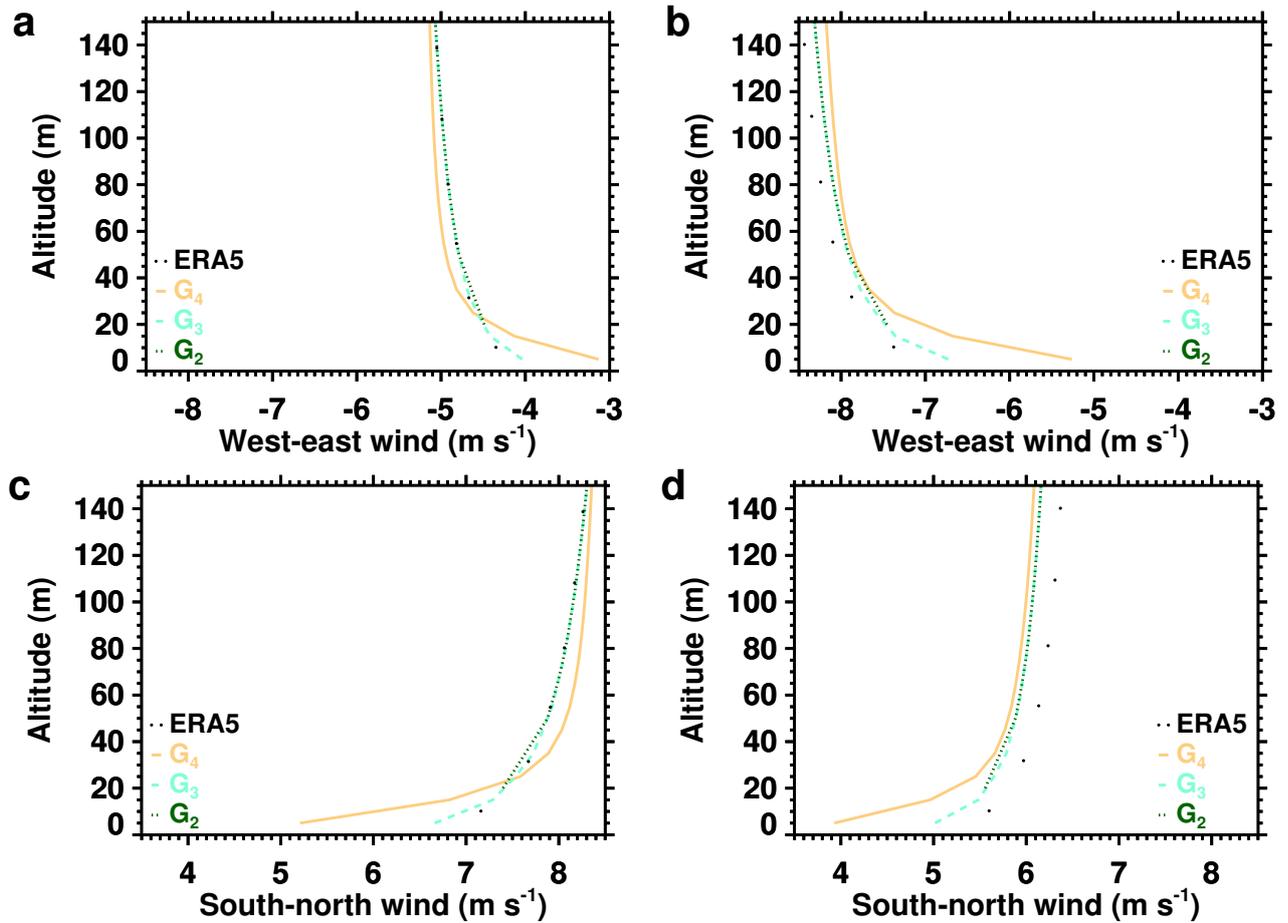


Figure S11. Same as Fig. S10, focusing on the altitude range 0–150 m.



**Figure S12.** Domain mean profiles of west-east (a, b) and south-north (c, d) wind speed in simulation G<sub>2</sub> (dark green, dotted), G<sub>3</sub> (aquamarine, dashed), and G<sub>4</sub> (beige, solid), on (a, c) 4 September 2017, 12h00m00s UTC ( $d = 246.50000$ ) and (b, d) 5 September 2017, 16h45m00s UTC ( $d = 247.69800$ ) with ERA5 values at the ERA5 model levels (black dots).