

# On the Correspondence between Atmosphere-Only and Coupled Simulations for Radiative Feedbacks and Forcing from CO<sub>2</sub>

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## Abstract

Atmosphere-only experiments are widely used to investigate climate feedbacks simulated in more computationally expensive fully-coupled global climate model simulations. We confirm that this remains a valid approach by comparing the radiative feedbacks and forcing between coupled and atmosphere-only simulations for the latest models taking part in the 6th phase of the Coupled Model Intercomparison Project (CMIP6). For cloud feedbacks, we find a better than previously known correspondence between these experiments, which applies even to the response of individual cloud properties (amount, altitude and optical depth), is present at nearly every geographic location, and holds even when considering atmosphere-only simulations of only 1 year duration. In the tropics, the correspondence between the two experiments is better revealed when considering feedbacks stratified by vertical motion rather than by geography, owing to the non-uniform warming pattern in the coupled experiment. For the lapse rate and surface albedo feedbacks, the correspondence between the two experiments is weaker due to the lack of sea-ice changes in the atmosphere-only experiment. For the across-model relationship between 4xCO<sub>2</sub> radiative forcing and feedback, we find a different behavior across experiments in CMIP6 than in CMIP5, casting doubt on the physical significance of previous results that highlighted an anti-correlation between the two quantities. Overall, these results confirm the utility of atmosphere-only experiments particularly to study cloud feedbacks, which are the dominant source of inter-model spread in climate sensitivity.

1     **On the Correspondence between Atmosphere-Only and Coupled Simulations for**  
2             **Radiative Feedbacks and Forcing from CO<sub>2</sub>**

3  
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8  
9     **Key Points:**

- 10     • Cloud radiative feedbacks in atmosphere-only and coupled simulations are highly  
11         correlated across both CMIP5 and CMIP6 models
- 12     • This correlation extends to cloud property feedbacks and the regional distribution of cloud  
13         feedbacks
- 14     • Atmosphere-only experiments need only be run for 1 year to capture the inter-model spread  
15         of global-mean coupled cloud feedbacks.  
16

17 **Abstract**

18 Atmosphere-only experiments are widely used to investigate climate feedbacks simulated in more  
19 computationally expensive fully-coupled global climate model simulations. We confirm that this  
20 remains a valid approach by comparing the radiative feedbacks and forcing between coupled and  
21 atmosphere-only simulations for the latest models taking part in the 6th phase of the Coupled  
22 Model Intercomparison Project (CMIP6). For cloud feedbacks, we find a better than previously  
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24 individual cloud properties (amount, altitude and optical depth), is present at nearly every  
25 geographic location, and holds even when considering atmosphere-only simulations of only 1 year  
26 duration. In the tropics, the correspondence between the two experiments is better revealed when  
27 considering feedbacks stratified by vertical motion rather than by geography, owing to the non-  
28 uniform warming pattern in the coupled experiment. For the lapse rate and surface albedo  
29 feedbacks, the correspondence between the two experiments is weaker due to the lack of sea-ice  
30 changes in the atmosphere-only experiment. For the across-model relationship between  $4\times\text{CO}_2$   
31 radiative forcing and feedback, we find a different behavior across experiments in CMIP6 than in  
32 CMIP5, casting doubt on the physical significance of previous results that highlighted an anti-  
33 correlation between the two quantities. Overall, these results confirm the utility of atmosphere-  
34 only experiments particularly to study cloud feedbacks, which are the dominant source of inter-  
35 model spread in climate sensitivity.

36 **1 Introduction**

37 Radiative feedback and forcing are generally calculated from fully-coupled simulations of  
38 global climate models (GCMs) forced by abruptly quadrupled  $\text{CO}_2$  concentration run for 150 years  
39 or longer. However, conducting sensitivity experiments to better understand the physical  
40 mechanisms driving feedbacks is generally not feasible with fully coupled simulations, which are  
41 computationally expensive. Hence, simplified atmosphere-only experiments, including  
42 Atmospheric Model Intercomparison Project (AMIP) and aquaplanet experiments with globally  
43 uniform increases in sea surface temperature (SST), are more commonly used to understand inter-  
44 model differences in radiative feedbacks and forcing (Bony & Dufresne, 2005; del Genio et al.,  
45 2007; Ringer et al., 2006; Medeiros et al., 2015) or to investigate physical mechanisms involved  
46 in feedbacks in individual models (Bretherton et al., 2014; Brient & Bony, 2012, 2013; Ceppi et  
47 al., 2016; Demoto et al., 2013; Gettelman et al., 2012, 2013, 2019; Kamae et al., 2016; Webb et

48 al., 2015; Xu & Cheng, 2016). An additional benefit of atmosphere-only simulations is that  
49 radiative feedbacks and forcing can be estimated in a straightforward manner via experiments  
50 forced by imposed changes in SSTs or CO<sub>2</sub> concentration as described in Cloud Feedback Model  
51 Intercomparison Project (CFMIP) protocols (Bony et al., 2011; Taylor et al., 2012; Webb et al  
52 2017) rather than from the estimate by the Gregory method (Gregory et al., 2004) for fully-coupled  
53 experiments. This helps disentangle the separate contributions of radiative feedbacks and forcing  
54 to the diversity of equilibrium climate sensitivities (ECS) across models.

55 But this raises an important question: to what extent can AMIP simulations reproduce the  
56 climate feedbacks, especially the uncertain cloud feedback, in coupled simulations? Ringer et al.  
57 (2014) found global-mean feedbacks from AMIP experiments agree well with those from coupled  
58 experiments using a set of CMIP5 models. In this study, we assess whether this correspondence  
59 continues to hold in the latest generation of models that are part of CMIP6. Additionally, we will  
60 determine whether CMIP6 models exhibit the across-model anti-correlation between radiative  
61 feedback and forcing which was found to be stronger in simpler experiments in CMIP5 models  
62 (Andrews et al., 2012; Webb et al., 2012; Ringer et al., 2014; Caldwell et al., 2016; Chung &  
63 Soden, 2018).

64 The complexity of feedback processes, especially those related to clouds, hinders our  
65 understanding of mechanisms causing the large uncertainty of climate feedbacks. It is informative  
66 to decompose the total feedback into components (Bony & Dufresne, 2005; Shell et al., 2008;  
67 Soden et al., 2008; Soden & Held, 2006; Webb et al., 2006). So doing reveals that cloud feedbacks  
68 are particularly uncertain and drive inter-model spread in climate sensitivity. The cloud feedback  
69 itself comprises several cloud property feedbacks, which have been elucidated using the cloud  
70 radiative kernel method and shown to be widely-varying across models (Zelinka et al., 2012,  
71 2016). Thus, combining these different diagnostic methods provides a more comprehensive  
72 evaluation of the consistency between atmosphere-only and coupled feedbacks not only for the  
73 global average but also for spatial patterns and individual cloud components.

74 Given the correspondence of radiative feedback and forcing between AMIP and coupled  
75 experiments, it is useful to know whether we can use AMIP experiments to estimate the ECS of  
76 the corresponding coupled model in advance of performing the coupled model simulation. This  
77 would be helpful in the case of a new atmosphere model, which might be a very expensive storm-

78 resolving model (e.g., DYAMOND models, Stevens et al., 2019), or one of a multitude of  
79 perturbed parameter versions of a given model, or a candidate version of the next version of the  
80 GCM for which a coupled model is not yet available. This further motivates the topic from two  
81 perspectives -- first, what combinations of AMIP experiments are in the best agreement with the  
82 ECS of the coupled model, and second, how long an AMIP simulation must be performed in order  
83 for its feedbacks to be representative of that from its corresponding coupled simulation. Previous  
84 studies generally use as few as 5-year AMIP experiments to investigate the radiative feedback in  
85 low-resolution (100~200 km), super-parameterized, and even global cloud-resolving climate  
86 models (Bretherton et al., 2014; Gettelman et al., 2012, 2019; Noda et al., 2019; Parishani et al.,  
87 2018; Zhang et al., 2018). However, CFMIP protocols (Bony et al., 2011; Taylor et al., 2012;  
88 Webb et al., 2017) require longer AMIP simulations (e.g., ~20 years in CFMIP2, ~36 years in  
89 CFMIP3). It would be useful to know the duration of atmosphere-only simulations necessary to  
90 get robust radiative feedbacks that are comparable to those from coupled experiments, especially  
91 given the rapid development of global cloud-resolving models (Stevens et al., 2019), whose huge  
92 computational expense may not permit AMIP-style simulations of more than a few months or years  
93 (Miura et al. 2005; Satoh et al., 2012; Tsushima et al., 2015).

94 The paper is organized as follows. Section 2 presents the used model data and methods.  
95 Detailed examination of the correspondence between AMIP and coupled radiative feedbacks and  
96 forcing from 4xCO<sub>2</sub> will be shown in Sections 3.1 and 3.2, respectively. In Section 3.3, the  
97 relationship between radiative feedback and forcing will be also examined in a hierarchy of models  
98 to check whether simpler experiments better capture this relationship as was found in CMIP5.  
99 Section 3.4 will discuss what combination of AMIP experiments gives the best estimate of ECS  
100 from coupled experiments and Section 3.5 will further discuss the minimum duration of AMIP  
101 simulation needed to represent the coupled feedback and the inter-model spread. Conclusions and  
102 discussion are in Section 4.

## 103 **2 Materials and Methods**

### 104 **2.1 Data**

105 We use output from:

106 1) fully coupled GCM experiments in which CO<sub>2</sub> concentrations are abruptly quadrupled  
107 from preindustrial concentrations and held fixed (abrupt4xCO<sub>2</sub>) and their control experiments  
108 (piControl);

109 2) atmosphere-only experiments in which their CO<sub>2</sub> concentrations are abruptly quadrupled  
110 (sstClim4xCO<sub>2</sub>) and their control experiments with preindustrial SST (sstClim);

111 3) atmosphere-only experiments in which SST is uniformly increased by 4K (amip4K) or  
112 a composite SST warming pattern derived from CMIP3 coupled simulations of idealized 1% per  
113 year increase in atmospheric CO<sub>2</sub>, scaled to an ice-free ocean mean of 4K, is imposed (amipFuture)  
114 or CO<sub>2</sub> concentration is abruptly quadrupled (amip4xCO<sub>2</sub>) and their control experiments with  
115 prescribed observed monthly sea surface temperature and sea ice concentrations starting from 1979  
116 (amip);

117 4) aqua-planet experiments in which SST is increased by 4K (aqua4K) or CO<sub>2</sub>  
118 concentration is abruptly quadrupled (aqua4xCO<sub>2</sub>) and their control experiments with a prescribed  
119 SST profile (aquaControl).

120 Please see Taylor et al. (2012) or Webb et al. (2017) for a more detailed definition for these  
121 experiments. All anomalies are computed relative to their corresponding period in their control  
122 experiments.

123 To simplify the experiment descriptions used hereafter, we define the following  
124 annotations: feedbacks calculated from abrupt4xCO<sub>2</sub> and piControl, amip4K and amip,  
125 amipFuture and amip, aqua4K and aquaControl are referred to as coupled, amip4K, amipFuture  
126 and aqua4K feedbacks, respectively. Similarly, forcing calculated from abrupt4xCO<sub>2</sub> and  
127 piControl, amip4xCO<sub>2</sub> and amip, sstClim4xCO<sub>2</sub> and sstClim, aqua4xCO<sub>2</sub> and aquaControl are  
128 referred to as coupled, amip4xCO<sub>2</sub>, sstClim4xCO<sub>2</sub> and aqua4xCO<sub>2</sub> forcing, respectively.

## 129 **2.2 Methods to calculate radiative feedbacks and forcing**

130 For coupled simulations (abrupt4xCO<sub>2</sub> and piControl), regression of global- and annual-  
131 mean surface air temperature anomalies ( $\Delta T_s$ ) against global- and annual-mean TOA net  
132 downward radiation anomalies is used to derive the 4xCO<sub>2</sub> radiative forcing (Y-intercept) and  
133 feedback (slope) following the Gregory method (Gregory et al., 2004). This method is also applied

134 to cloud radiative effect (CRE) anomalies to obtain CRE adjustments (Y-intercept) and feedbacks  
135 (slope).

136 For AMIP and aquaplanet simulations, the feedback is derived from global-mean and  
137 climatological net TOA downward radiative flux anomalies (amip4K minus amip; amipFuture  
138 minus amip; aqua4K minus aquaControl) divided by  $\Delta T_s$ . 4xCO<sub>2</sub> radiative forcing is the global-  
139 and annual-mean net TOA downward radiative flux anomalies between amip4xCO<sub>2</sub>/aqua4xCO<sub>2</sub>  
140 and amip/aquaControl. Correspondingly, the CRE adjustments are calculated from the related CRE  
141 anomalies between amip4xCO<sub>2</sub>/aqua4xCO<sub>2</sub> and amip/aquaControl.

142 To decompose the total feedback into individual components, the radiative kernel method  
143 is used to quantify the sensitivity of TOA net radiative flux anomalies to surface temperature  
144 (Planck feedback; PL), atmospheric temperature (lapse rate feedback; LR), water vapor (water  
145 vapor feedback; WV) and surface albedo (albedo feedback; ALB) (Shell et al., 2008; Soden et al.,  
146 2008). First, the monthly temperature, water vapor, and albedo anomalies are multiplied by the  
147 corresponding radiative kernels and in the case of atmospheric temperature and water vapor  
148 integrated from the surface to a varying tropopause (Reichler et al., 2003). Finally, the annual-  
149 mean TOA radiative anomalies due to each field are regressed on  $\Delta T_s$  to get individual feedback  
150 components for coupled experiments. For AMIP and aquaplanet experiments, the individual  
151 feedback components are calculated by dividing the annual-mean TOA net radiative anomalies by  
152  $\Delta T_s$ . We also implement an alternative decomposition method, which avoids the large  
153 compensation between LR feedback and WV feedback by using relative humidity as the state  
154 variable (Held & Shell, 2012).

155 The cloud feedback is computed by adjusting the change in cloud radiative effect (CRE;  
156 clear- minus all-sky upwelling radiation) for non-cloud influences (Shell et al., 2008; Soden et al.,  
157 2008). We use Huang et al. (2017) kernels as more models passed the clear-sky linearity test  
158 (Zelinka et al., 2020). To get more insights about different cloud types on the total cloud feedback,  
159 cloud radiative kernel analysis (Zelinka et al., 2012, 2016) is applied to those models with ISCCP  
160 simulator output to estimate the cloud feedback due to the changed cloud amount, altitude, and  
161 optical depth for low (cloud top pressure > 680 hPa) and non-low (cloud top pressure < 680 hPa)  
162 clouds.

163 In this study, all available models for radiative feedback/forcing calculations, radiative  
164 kernel analysis, and cloud radiative kernel analysis are respectively labeled ‘O’, ‘R’ and ‘C’ in  
165 Table 1 and 2. Those models with both available AMIP and coupled simulations for radiative  
166 kernel analysis are further labelled by numbers.

167 The correspondence between AMIP and coupled feedbacks/forcing is evaluated by two  
168 main metrics: Pearson correlation coefficient (R) with Student’s *t*-test and revised coefficient of  
169 determination ( $\gamma$ ). For the correlation, the statistical significance uses a 95% significance level.  
170 The  $\gamma$  is defined as:

$$171 \quad \gamma(\mathbf{y}, \hat{\mathbf{y}}) = 1 - \frac{\sum_{i=1}^n (y_i - \hat{y}_i)^2}{\sum_{i=1}^n (y_i - \bar{y})^2}$$

172 where  $\hat{y}_i$  is the AMIP feedback/forcing of the *i*-th model and  $y_i$  is the corresponding  
173 coupled feedback/forcing for total *n* samples. Overbars denote the average of all coupled  
174 feedback/forcing. So  $\gamma$  describes the percentage of the coupled radiative feedback/forcing  
175 variation ( $y_i$ ) that is explained by AMIP radiative feedback/forcing ( $\hat{y}_i$ ). For example, a  $\gamma$  of 0.8  
176 means AMIP feedback/forcing can explain 80% of the variation of coupled feedback/forcing. It is  
177 a statistical measure of how closely the AMIP and coupled data fit to the 1-1 line. The higher the  
178  $\gamma$ , the better fit to the 1-1 line. The maximum value of  $\gamma$  is 1.0 which occurs when all of the data  
179 lies on the 1-1 line.

180

181 **Table 1.** CMIP5 models. ‘O’ denotes models used in radiative feedback calculation. ‘R’ denotes  
 182 models used in radiative kernel analysis, and ‘C’ denotes models used in cloud-radiative kernel  
 183 analysis. Models with both abrupt4xCO<sub>2</sub> and amip4K experiments are labelled by numbers in the  
 184 first column.

Label	MODEL	RIPF	abrupt4xCO2	amip4K	amipFuture	amip4xCO2	sstClim4xCO2	aqua4K	aqua4xCO2
	ACCESS1-0	rlilpl	OR						
	ACCESS1-3	rlilpl	OR						
	BNU-ESM	rlilpl	OR				O		
0	CCSM4	rlilpl	OCR	OCR	OCR		O	O	O
1	CNRM-CM5	rlilpl	OR	OCR	OCR	O		O	O
	CNRM-CM5-2	rlilpl	OR						
	CSIRO-Mk3-6-0	rlilpl	OR				O		
2	CanESM2	rlilpl	OCR	OCR	OCR	O	O		
3	FGOALS-g2	rlilpl	OR	OR		O		O	O
	FGOALS-s2	rlilpl	OR				O	O	O
	GFDL-CM3	rlilpl	OR						
	GFDL-ESM2G	rlilpl	OR						
	GFDL-ESM2M	rlilpl	OR						
	GISS-E2-H	rlilpl	OR						
	GISS-E2-R	rlilpl	OR						
4	HadGEM2-ES	rlilpl	OCR	OCR	OCR	O		O	O
	IPSL-CM5A-LR	r2ilpl		R		O		O	O
5	IPSL-CM5A-LR	rlilpl	OR	OR	OR	O	O	O	O
	IPSL-CM5A-MR	rlilpl	OR						
6	IPSL-CM5B-LR	rlilpl	OR	OR	OR	O			
	MIROC-ESM	rlilpl	OCR						
7	MIROC5	rlilpl	OCR	OCR	OCR	O	O	O	O

8	MPI-ESM-LR	rlilpl	OCR	OCR	OCR	O	O	O	O
9	MPI-ESM-MR	rlilpl	OR	OR	OR	O	O	O	O
	MPI-ESM-P	rlilpl	OR				O		
10	MRI-CGCM3	rlilpl	OCR	OCR	OCR	O	O	O	O
	NorESM1-M	rlilpl	OR			O	O		
11	bcc-csm1-1	rlilpl	OR	OR	OR	O	O		
	bcc-csm1-1-m	rlilpl	OR						
	inmcm4	rlilpl	OR				O		

185

186 **Table 2.** As in Table 1, but for CMIP6 models.

Label	MODEL	RIPF	abrupt-4xCO2	amip-p4K	amip-future4K	amip-4xCO2	piClim-4xCO2	aqua-p4K	aqua-4xCO2
	ACCESS-CM2	rlilplfl	OR				O		
	ACCESS-ESM1-5	rlilplfl	OR						
	AWI-CM-1-1-MR	rlilplfl	OR						
12	BCC-CSM2-MR	rlilplfl	OR	OCR	OCR	O			
	BCC-ESM1	rlilplfl	OR						
	CAMS-CSM1-0	rlilplfl	OR						
	CAS-ESM2-0	rlilplfl	O						
13	CESM2	rlilplfl	OR	OCR	OR	O	O	O	O
	CESM2-FV2	rlilplfl	OR						
	CESM2-WACCM	rlilplfl	OR						
	CESM2-WACCM-FV2	rlilplfl	OR						
	CIESM	rlilplfl	OR						
	CMCC-CM2-SR5	rlilplfl	OR						
	CMCC-ESM2	rlilplfl	OR						

14	CNRM-CM6-1	rlilp1f2	OR	OCR	OCR	O	O	O	O
	CNRM-CM6-1-HR	rlilp1f2	O						
	CNRM-ESM2-1	rlilp1f2	OR				O		
15	CanESM5	rlilp2f1	OCR	OCR	OCR	O	O		
16	E3SM-1-0	rlilp1f1	OCR	OCR	OCR	O			
	EC-Earth3	rlilp1f1	O				O		
	EC-Earth3-AerChem	rlilp1f1	OR						
	EC-Earth3-Veg	rlilp1f1	OR						
	FGOALS-f3-L	rlilp1f1	OR						
	FGOALS-g3	rlilp1f1	OR						
17	GFDL-CM4	rlilp1f1	OCR	OCR	O	O	O	O	O
	GFDL-ESM4	rlilp1f1	OR						
18	GISS-E2-1-G	rlilp1f1	OR	OR		O	O		
	GISS-E2-1-H	rlilp1f1	OR						
	GISS-E2-2-G	rlilp1f1	OR						
19	HadGEM3-GC31-LL	rlilp1f3	OCR	OCR	OCR	O	O	O	O
	HadGEM3-GC31-MM	rlilp1f3	O						
	IITM-ESM	rlilp1f1	OR						
	INM-CM4-8	rlilp1f1	OR						
	INM-CM5-0	rlilp1f1	OR						
	IPSL-CM5A2-INCA	rlilp1f1	OR						
20	IPSL-CM6A-LR	rlilp1f1	OCR	OCR	OCR	O	O	O	O
	KACE-1-0-G	rlilp1f1	O						
	KIOST-ESM	rlilp1f1	OR						
	MIROC-ES2L	rlilp1f2	OCR						

21	MIROC6	rli1p1f1	OCR	OCR	OCR	O	O		
	MPI-ESM1-2-HAM	rli1p1f1	OR						
	MPI-ESM1-2-HR	rli1p1f1	OR						
	MPI-ESM1-2-LR	rli1p1f1	OR				O		
22	MRI-ESM2-0	rli1p1f1	OCR	OCR	OCR	O	O		
	NESM3	rli1p1f1	OR						
	NorESM2-LM	rli1p1f1	OR				O		
	NorESM2-MM	rli1p1f1	OR				O		
	SAM0-UNICON	rli1p1f1	OR						
	TaiESM1	rli1p1f1	OR						
	UKESM1-0-LL	rli1p1f2	OCR						

187

### 188 3 Results

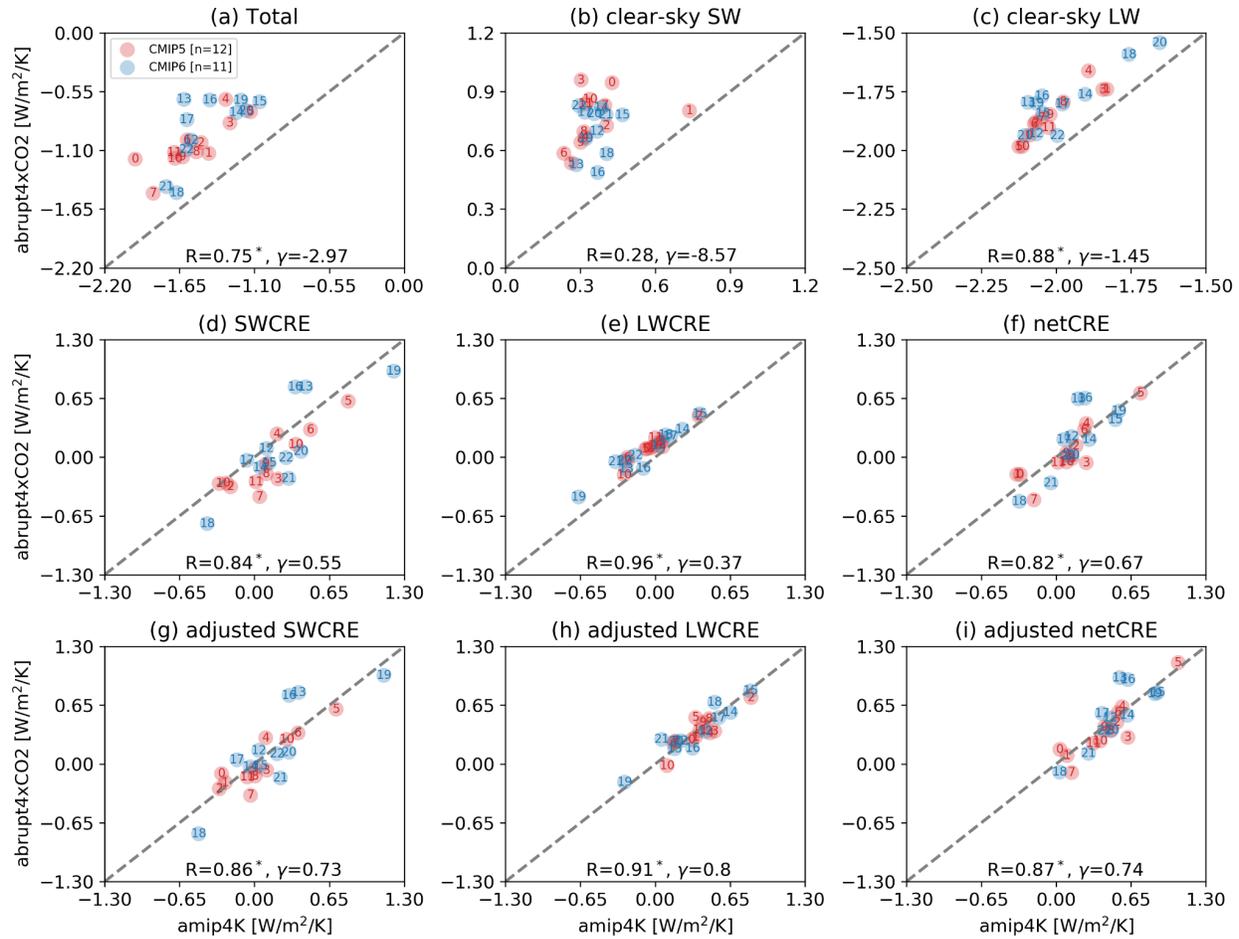
#### 189 3.1 Relationships between radiative feedbacks in AMIP and coupled experiments

##### 190 3.1.1 Global-mean radiative feedbacks

191 Figure 1 examines the relationship between amip4K and coupled radiative feedbacks for  
192 CMIP5 and CMIP6 models. Both clear-sky SW (SWCLR) and clear-sky LW (LWCLR) feedbacks  
193 lie to the left of the 1-1 line, indicating weaker positive SWCLR feedback and more negative  
194 LWCLR feedback in amip4K experiments compared with coupled experiments, as found in Ringer  
195 et al. (2014). The weaker positive SWCLR feedback from amip4K experiments is because their  
196 SST and sea ice are fixed and there is no strong sea ice reduction in response to the warming as  
197 that in coupled experiments (Figure 2g). The more negative LWCLR feedback in amip4K  
198 experiments is partly related to the greater atmospheric LW transmissivity in the absence of  
199 increased CO<sub>2</sub> concentrations (Good et al., 2012). This is confirmed by comparing the radiative  
200 kernel-derived, instead of model-calculated, clear-sky LW feedbacks between amip4K and  
201 coupled experiments (Figure S1). Because the radiative kernels are computed with respect to  
202 present-day rather than quadrupled CO<sub>2</sub> concentrations, radiative-kernel derived clear-sky LW  
203 feedbacks in abrupt4xCO<sub>2</sub> experiments are more negative than those derived from direct model

204 output and hence in better agreement with those from amip4K. We find the model spread is  
205 reduced and models lie much closer to the 1-1 line with  $\gamma$  increasing from -1.45 to -0.43.

206         The large spread of SW, LW and net CRE feedbacks in coupled experiments is well  
207 captured by amip4K, with significant correlations of 0.84, 0.96 and 0.82, respectively (Figure 1d-  
208 f). However, their  $\gamma$  suggests there is a systematic bias for both LW and SW CRE feedbacks: most  
209 models exhibit slightly stronger SWCRE feedbacks and weaker LWCRE feedbacks in amip4K  
210 experiments (Figure 1d and 1e), which can also be seen in Figure 2 of Ringer et al. (2014).  
211 However, if we compare the adjusted SW and LW CRE feedbacks derived from radiative kernel  
212 methods between amip4K and coupled experiments, we find the systematic biases for LW and SW  
213 CRE feedbacks are largely alleviated. The  $\gamma$  is increased from 0.55 to 0.73 for SW CRE feedbacks  
214 (Figure 1g) and 0.37 to 0.80 for LW CRE feedbacks (Figure 1h). Although the unadjusted net CRE  
215 feedback bias is much weaker (Figure 1f) due to the ‘bias’ compensation between SW and LW  
216 CRE feedbacks, the  $\gamma$  is also improved from 0.67 to 0.74 for net CRE feedbacks (Figure 1i). These  
217 results suggest that the systematic biases in unadjusted CRE feedbacks between amip4K and  
218 coupled experiments are mostly an artifact of not correcting for cloud masking. For simplicity, the  
219 adjusted CRE feedbacks are called cloud feedbacks hereafter.



220

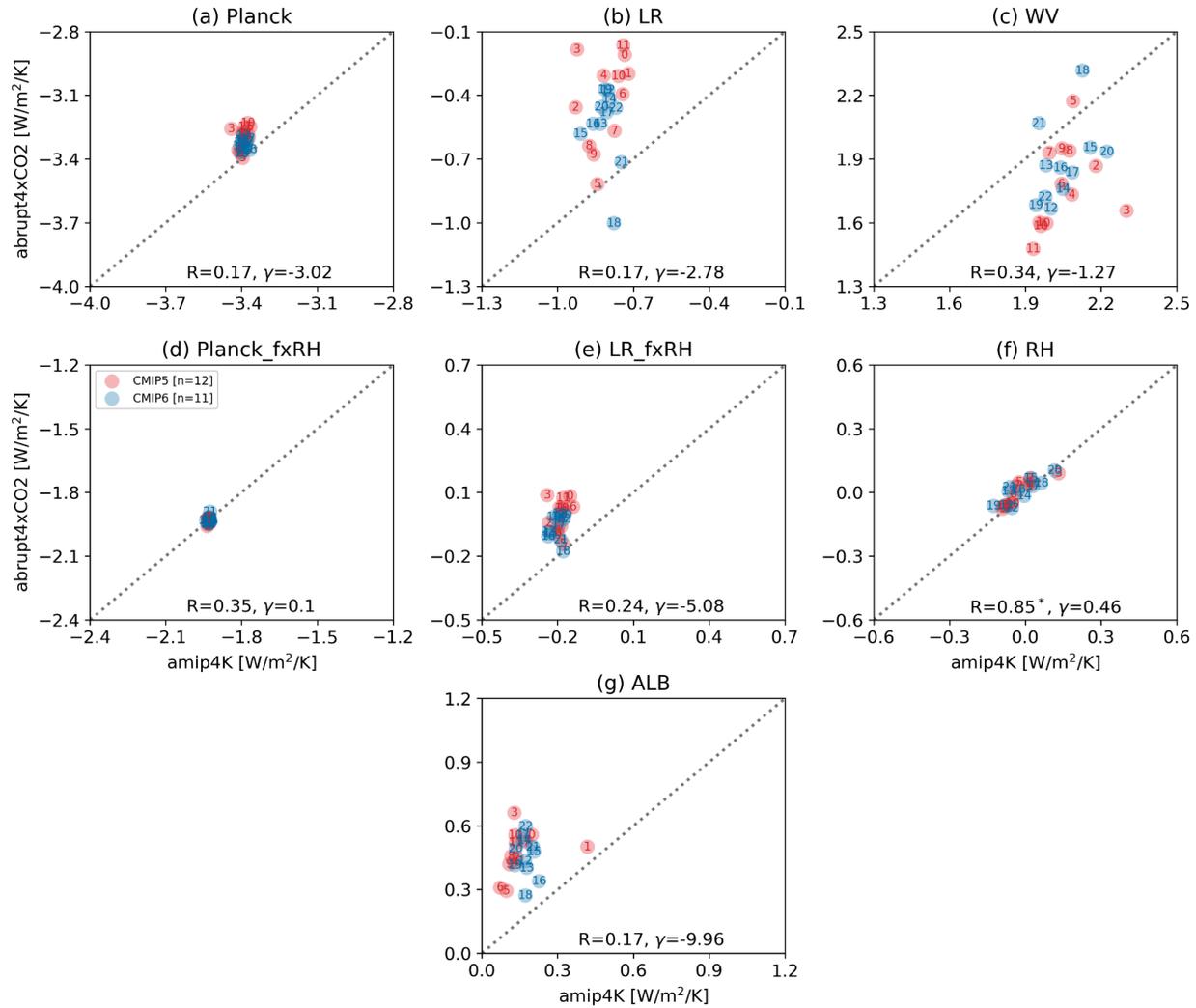
221 Figure 1. Global-mean radiative feedbacks ( $\text{W/m}^2/\text{K}$ ) compared between amip4K and fully  
 222 coupled abrupt4xCO<sub>2</sub> experiments. (a) total climate feedback; (b) clear-sky SW feedback; (c)  
 223 clear-sky LW feedback; (d) unadjusted SWCRE, LWCRE and netCRE feedbacks; (g-i) adjusted  
 224 SWCRE, LWCRE and netCRE feedbacks derived from the radiative kernel method. Red and blue  
 225 dots denote CMIP5 and CMIP6 models respectively. Models used in later radiative kernel and  
 226 cloud radiative kernel analysis are labelled by numbers as denoted in Table 1 and 2. R denotes the  
 227 correlation coefficient with single asterisk indicating significance at the 95% level and  $\gamma$  denotes  
 228 the fraction of the variation in the value of abrupt4xCO<sub>2</sub> feedback (Y) that is explained by the Y=X  
 229 regression line where X is the amip4K feedback.

230

231 The close agreement between coupled and amip4K cloud feedbacks means that the stronger  
 232 negative total climate feedback in amip4K than in coupled experiments (Figure 1a) comes solely  
 233 from the combination of weaker positive SWCLR and stronger negative LWCLR feedbacks in  
 234 amip4K (Figure 1b and 1c). The good agreement of cloud feedbacks also implies that the evolving  
 235 surface temperature pattern ('pattern effect') in coupled experiments is not the first-order impact  
 236 on the model diversity in those experiments, in agreement with Dong et al. (2020). Two models,

237 CESM2 (#13) and E3SM-1-0 (#16), diverge from the other models in having much stronger  
238 positive SW and net cloud feedbacks in their coupled than amip4K experiments (Figure 1g and  
239 1i). This behavior will be elucidated in more detail in Section 3.1.2.

240 The radiative kernel analysis allows us to further separate the total feedback parameter into  
241 terms corresponding to the effects of different climate components as we described in Section 2.2.  
242 We present the comparison of radiative kernel-derived non-cloud feedbacks between amip4K and  
243 coupled experiments in Figure 2. Compared with amip4K feedbacks, both the negative Planck  
244 feedback (Figure 2a) and the positive water vapor feedback are weaker (Figure 2c) in coupled  
245 experiments. Given that the Planck feedback goes as roughly  $4\sigma T^3$ , where  $\sigma$  is the Stefan-  
246 Boltzmann constant and  $T$  is the global mean temperature, the weaker negative Planck feedback  
247 in coupled than amip4K experiments arises in part because amip4K feedbacks are computed with  
248 respect to the warmer present-day state (amip) than the piControl climate that coupled feedbacks  
249 are computed with respect to. Indeed, the relative warming between present day and pre-industrial  
250 of about 1 K implies a more negative Planck feedback in the present-day of about  $0.06 \text{ W/m}^2/\text{K}$  in  
251 present-day, which is close to the multi-model mean difference between amip4K and coupled  
252 experiments. The less negative coupled lapse rate feedback (Figure 2b and 2e) is mainly due to  
253 polar amplification of surface warming in the coupled experiments, which leads to a stronger  
254 positive lapse rate feedback in polar regions (where the warming is confined to the lower  
255 troposphere) that compensates the negative lapse rate feedback in the tropics (where warming is  
256 amplified with height). Feedbacks derived from the fixed relative humidity (RH) framework  
257 (Figure 2d-f), exhibit much smaller inter-model spread than do the traditional Planck, water vapor  
258 and lapse rate feedbacks (Figure 2a-c), consistent with previous studies (Held and Shell, 2008;  
259 Zelinka et al., 2020). Moreover, models lie closer to the 1-1 line for constant-RH Planck and  
260 relative humidity feedbacks (Figure 2d and 2f). The stronger positive surface albedo feedback  
261 (Figure 2g) is due to the sea-ice reduction in coupled experiments, which is not present in amip4K  
262 experiments. The inter-model spread of these non-cloud feedbacks in coupled experiments, though  
263 narrower than for cloud feedbacks, is not negligible and might be related to model differences in  
264 the pattern of surface warming (Po-Chedley et al., 2018).



265

266 Figure 2. Global mean feedbacks ( $\text{W/m}^2/\text{K}$ ) compared between amip4K and abrupt4xCO<sub>2</sub>  
 267 experiments. (a) Planck and (b) lapse rate (LR) feedback computed holding absolute humidity  
 268 fixed, (c) water vapor (WV) feedback, (d) Planck and (e) LR feedback computed holding relative  
 269 humidity fixed (Held and Shell, 2012), (f) relative humidity (RH) feedback, and (g) surface albedo  
 270 feedback. The sum of (a-c) is identical to the sum of (d-f). Red and blue dots denote CMIP5 and  
 271 CMIP6 models, respectively.

272

273 From this, we conclude that the more negative total feedback in amip4K relative to coupled  
 274 experiments comes from a stronger negative clear-sky LW feedback and weaker positive clear-  
 275 sky SW feedback. The former is due to a stronger negative lapse rate feedback in amip4K  
 276 experiments, where polar amplification and its attendant locally positive lapse rate feedback is  
 277 strongly muted. The latter is due to the lack of sea ice reduction with warming in amip4K  
 278 experiments. The strong correlation between amip4K and coupled cloud feedbacks after correcting

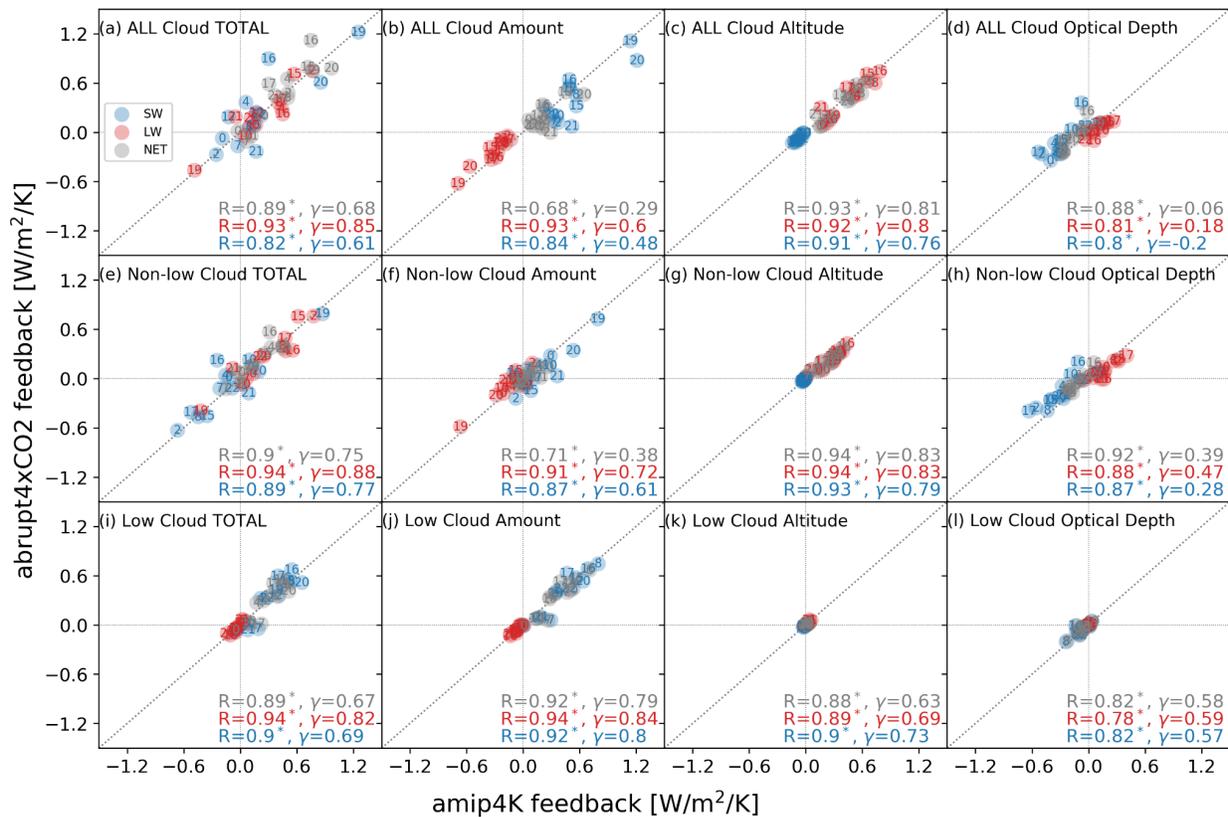
279 for the cloud masking effect motivates an even more detailed examination of the correspondence  
280 of individual cloud types and in different regions in the section below.

### 281 **3.1.2 Cloud decomposition**

282 The cloud feedbacks are decomposed into the components due to changes in the individual  
283 cloud properties of amount, altitude and optical depth and for clouds at different vertical levels  
284 using the cloud radiative kernel method as described in Section 2.2 (Zelinka et al. 2012, 2016).  
285 The correspondence between amip4K and coupled cloud feedback components is shown in Figure  
286 3. The significant correlation between amip4K and coupled feedbacks for all cloud feedback  
287 components indicates that the close correspondence between amip4K and coupled total cloud  
288 feedbacks identified above extends to the individual cloud responses composing the total cloud  
289 feedback, and amip4K simulations can largely capture the diversity of individual cloud feedback  
290 components in coupled experiments. For each component, most models also lie closely to the 1-1  
291 line with relatively high  $\gamma$ . For example, the non-low cloud altitude and low cloud amount  
292 feedbacks (two large and important terms) agree fairly well between amip4K and coupled  
293 experiments, with  $\gamma$  around 0.8 for both LW, SW and net components (Figure 3g and 3j). With the  
294 near-zero altitude and optical depth feedbacks for low clouds, the good correspondence for total  
295 low cloud feedbacks is dominated by the low cloud amount feedback (Figure 3i). Slightly weaker  
296 consistency ( $\gamma$  is smaller) is shown for non-low cloud amount and optical depth feedbacks (Figure  
297 3f and 3h). Coupled SW non-low cloud optical depth feedbacks tend to be more positive than those  
298 in amip4K, and vice versa for the LW (Figure 3h). Therefore, most intermodel spread of coupled  
299 cloud feedback components can be well captured by amip4K cloud feedback components. This  
300 decomposition is also helpful to identify the source of differences between coupled and amip4K  
301 feedbacks related to individual cloud properties for individual models. For example, the stronger  
302 positive SW cloud feedback in coupled experiments than that in AMIP experiments for E3SM-1-  
303 0 (model #16) can be further traced to the non-low cloud optical depth feedback (Figure 3h).

304 An assumption of the decomposition used in Figure 3 is that the cloud radiative kernel  
305 analysis using ISCCP simulator output (which has some methodological limitations) is able to  
306 reconstruct the total cloud feedback calculated from the radiative kernel method (which has fewer  
307 methodological limitations). Therefore, we also verified that total global-mean LW, SW and net  
308 cloud feedbacks estimated from the radiative kernel method agree well (with correlations 0.95,

309 0.96, and 0.93 for LW, SW, and net cloud feedbacks respectively) with those computed using the  
 310 above cloud radiative kernel analysis for 13 models (6 CMIP5 models + 7 CMIP6 models) for  
 311 which ISCCP simulator output is available. However, owing to the limited model samples for the  
 312 cloud radiative kernel analysis, we use adjusted CRE feedbacks in later analyses to ensure a larger  
 313 sample size for more robust comparison and evaluation.



314

315 Figure 3. Global mean SW (blue), LW (red), and net (grey) cloud feedbacks ( $W/m^2/K$ ) compared  
 316 between amip4K and abrupt4xCO<sub>2</sub> experiments. (a, e, i) Total cloud feedbacks are decomposed  
 317 into (b, f, j) amount, (c, g, k) altitude, (d, h, l) and optical depth components for (a-d) all clouds,  
 318 (e-h) non-low clouds only (cloud top pressures less than 680 hPa), and (i-l) low clouds only (cloud  
 319 top pressures greater than 680 hPa). Decomposition residuals are very small in all models and are  
 320 not shown for clarity.

321

### 322 3.1.3 Spatial distribution

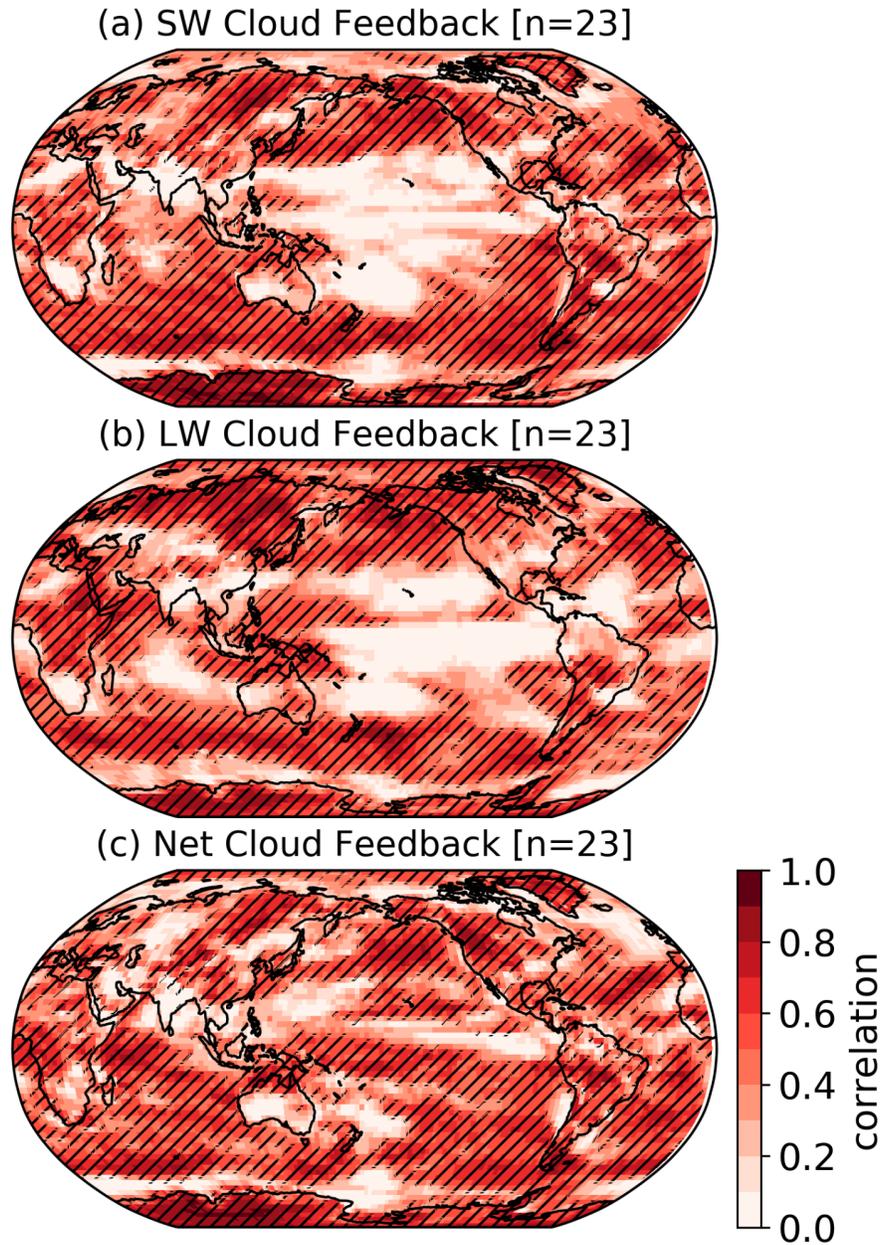
322

323 Given that global-mean cloud feedbacks agree well between amip4K and coupled  
 324 experiments, the next question is whether the agreement is maintained for the spatial distribution.  
 325 Maps of across-model correlation indicate that LW, SW, and net cloud feedbacks in amip4K

326 experiments significantly correlate with those in coupled experiments (Figure 4). A notable  
327 exception is in the tropical Pacific, where the correspondence for LW and SW cloud feedbacks is  
328 much weaker. This could be understood as follows: High cloud changes -- which strongly affect  
329 both LW and SW radiation without strongly affecting net radiation -- are closely tied to large scale  
330 circulation changes. Therefore, in regions where the circulation regime changes substantially in  
331 coupled but not in amip4K experiments, the across-model correlation of LW and SW feedbacks  
332 will be degraded. Indeed, this interpretation is supported by maps of the response of 500 hPa  
333 vertical velocity ( $\omega_{500}$ ), shown in Figure 5. In coupled models, deep convection moves towards  
334 the central Pacific where SST anomalies are much greater than in amip4K (the “El-Nino like  
335 response”), hence there are much larger ascent anomalies in this region compared to that in amip4K  
336 experiments (Figure 5c). To demonstrate this more quantitatively, in Figure S2, we sort the control  
337 and warming CRE by the corresponding  $\omega_{500}$  first, and then get the CRE anomalies in each  
338 dynamic regime for both amip and coupled experiments. Consistent with the interpretation above,  
339 the amip-coupled correlation turns out to be significant in each dynamic regime. This indicates the  
340 inconsistency in tropical SW and LW cloud feedbacks between amip4K and coupled experiments  
341 is mainly due to the ascent/descent regions moving around with different surface warming patterns  
342 in amip4K and coupled experiments.

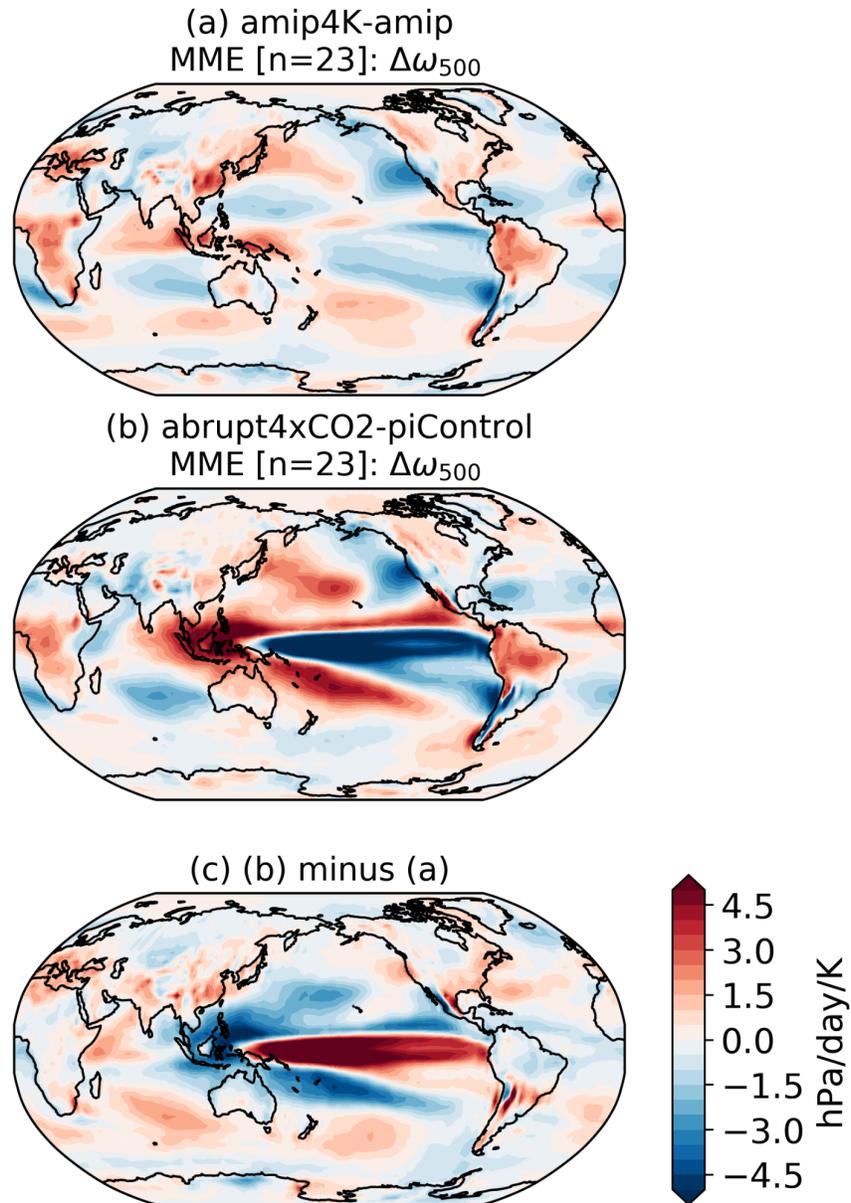
343 The across-model correlation of net cloud feedbacks between amip4K and coupled  
344 experiments is significant near-globally including most tropical regions (Figure 4c). The good  
345 agreement of tropical net cloud feedbacks suggests most models have a compensation between  
346 LW and SW components, tied to large-scale circulation and cloud responses, which do not strongly  
347 affect the change of net CRE. However, even for the net cloud feedback, some regions exhibit less  
348 consistency, like India, western Pacific Ocean, North Atlantic Ocean, and high-latitude oceans.  
349 The different warming pattern in Indian and Pacific Ocean between amip4K and coupled  
350 experiments might lead to different cloud feedbacks over India because monsoon simulation is  
351 very sensitive to the air-sea coupling and land-sea temperature contrast (Wang et al., 2005; Endo  
352 et al. 2018; Singh et al., 2019; Geen et al., 2020). A “warming hole” is commonly simulated by  
353 coupled models in the North Atlantic, which could cause a locally different cloud feedback  
354 compared to that occurring when SSTs are warmed uniformly. The lack of correspondence of net  
355 cloud feedback over the high-latitude oceans near Antarctica and in the far north Atlantic and  
356 Arctic oceans is tied to cloud responses near the sea-ice edge, which retreats poleward with

357 warming in coupled but not in amip4K experiments. Notwithstanding these differences, the above  
358 investigation shows that the amip4K experiments can be widely used to infer the model diversity  
359 of 150-year coupled cloud feedbacks, not only for the global average, but also for the spatial  
360 distribution.



361

362 **Figure 4.** Across-model correlations of adjusted (a) SW, (b) LW and (c) net CRE feedbacks  
363 between amip4K and coupled experiments. Correlation coefficients significant at the 95%  
364 confidence level are indicated with hatching.



365

366 **Figure 5.** The multi-model ensemble-mean (MME) change in 500 hPa vertical pressure velocity  
 367 ( $\omega_{500}$ ) per degree global warming (hPa/day/K); positive values are downward. The change is  
 368 computed by differencing the (a) amip4K and amip simulations or (b) abrupt4xCO<sub>2</sub> and piControl  
 369 simulations and normalizing this difference by the change in global mean temperature for each  
 370 model, and then averaging the result across all models. (b) minus (a) is shown in (c).

371

### 372 3.2 Relationships between 4xCO<sub>2</sub> radiative forcing in AMIP and coupled experiments

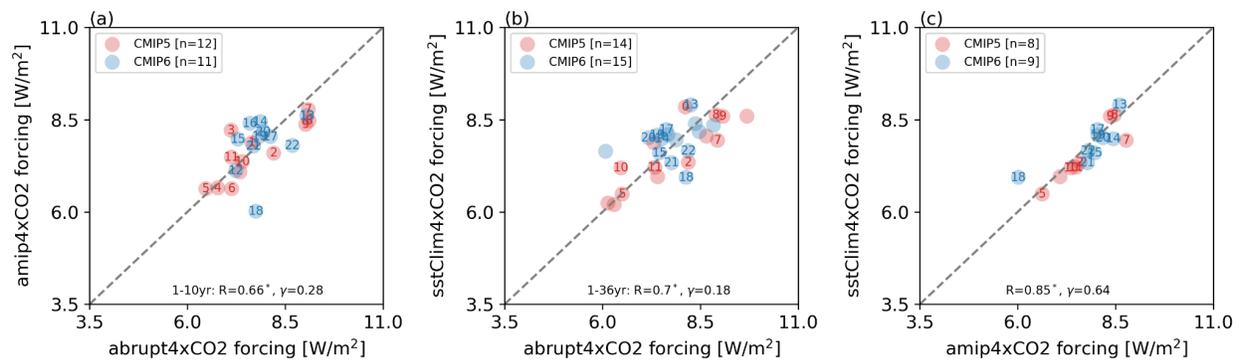
373 In this section, we use the Gregory method (Gregory et al., 2004) and Hansen method  
 374 (Hansen et al., 2005) to estimate the 4xCO<sub>2</sub> effective radiative forcing (ERF) for coupled and

375 amip4xCO<sub>2</sub>/sstClim4xCO<sub>2</sub> experiments, respectively. Previous studies have evaluated the  
376 strengths and weaknesses of various methods of calculating ERF (e.g., Forster et al., 2016; Smith  
377 et al., 2020; Chung and Soden, 2015; Andrew et al., 2012). The Gregory method derives the ERF  
378 (Y-intercept) by linearly regressing the TOA radiative anomalies against the global-mean surface  
379 temperature anomalies. It is generally applied to coupled experiments with its simple split of  
380 radiative forcing and feedback in one framework (Zelinka et al., 2020). However, a simple linear  
381 regression over the full 150-year experiment does not capture the time-evolving response, so the  
382 derived ERF is sensitive to the selected years (Andrew et al., 2012). The Hansen method estimates  
383 the ERF by differencing the radiation between a fixed SST simulation with the forcing agent  
384 imposed and one without the forcing agent imposed. Compared with the Gregory method, Hansen  
385 method is more computationally efficient and less sensitive to the selected simulation years  
386 (Forster et al., 2016). However, the land surface temperature in fixed-SST experiments is allowed  
387 to change and it could contribute to the change of global-mean surface temperature (Andrews et  
388 al., 2021). Whereas their definitions are different, comparing these two types of ERF across models  
389 can help understand the model diversity of ERF and the correspondence between AMIP and  
390 coupled ERF among CMIP models.

391         Because the estimate of 4xCO<sub>2</sub> ERF using Gregory method is sensitive to the starting and  
392 ending years considered when computing the regression, we calculate coupled ERFs using all  
393 possible windows of 5- to 50-year duration with starting years ranging from 1 to 10. We also  
394 consider the radiation anomaly from the first year of the coupled simulation as an additional ERF  
395 estimate. We then diagnose the correlation and  $\gamma$  between every coupled ERF value and those  
396 derived from amip4xCO<sub>2</sub>/sstClim4xCO<sub>2</sub> experiments (Figure S3). We find the best  
397 correspondence with amip4xCO<sub>2</sub> when deriving ERF using the first 10 years of the coupled  
398 simulation ( $\gamma = 0.28$ ; Figure 6a) and the best correspondence with sstClim4xCO<sub>2</sub> when deriving  
399 ERF using the first 36 years of the coupled simulation ( $\gamma = 0.18$ ; Figure 6b). However, if we use  
400 those models with both sstClim4xCO<sub>2</sub> and amip4xCO<sub>2</sub> experiments available, the best  
401 correspondence (i.e., largest  $\gamma$ ) occurs when deriving ERF using the first 14 or 15 years of the  
402 coupled simulation (Figure S4). This implies that the best segment of the coupled simulation to  
403 match the sstClim4xCO<sub>2</sub>/amip4xCO<sub>2</sub> ERF is sensitive to the selected model samples. However,  
404 we find that the correlation of ERF between coupled and amip4xCO<sub>2</sub>/sstClim4xCO<sub>2</sub> experiments  
405 is best (0.78; 0.75) when simply taking the first year of the coupled simulation and is less sensitive

406 to the selected model samples (Figure S3a and c; Figure S4a and c). This suggests that the TOA  
 407 radiation anomaly in the first year of the coupled simulation can largely capture the inter-model  
 408 spread of ERF derived from amip4xCO<sub>2</sub>/sstClim4xCO<sub>2</sub> simulations, although the former is  
 409 generally smaller than the latter.

410 Because the sstClim4xCO<sub>2</sub> experiment has more consistent base state and radiatively active  
 411 constituents (aerosols, ozone, etc) with abrupt4xCO<sub>2</sub> (Webb et al., 2017), the correlation with  
 412 coupled ERF improves when using sstClim4xCO<sub>2</sub> rather than amip4xCO<sub>2</sub>, regardless of what  
 413 simulation period of coupled experiments is used to derive the coupled ERF (Figure 6 and Figure  
 414 S3a). Figure 6c further shows ERFs from amip4xCO<sub>2</sub> and sstClim4xCO<sub>2</sub> are highly correlated (R:  
 415 0.85;  $\gamma$ : 0.70), indicating the quadrupled CO<sub>2</sub> is still the dominant factor in affecting the net TOA  
 416 radiation anomalies although the difference of other forcing agencies and initial conditions can  
 417 affect the ERF. GISS-E2-1-G (#18) diverges from other models in having a stronger forcing from  
 418 sstClim4xCO<sub>2</sub> than that from amip4xCO<sub>2</sub> experiments, which needs further investigation. Chung  
 419 and Soden (2015) found small differences of ERF exist among sstClim4xCO<sub>2</sub>, amip4xCO<sub>2</sub> and  
 420 aqua4xCO<sub>2</sub> experiments in CMIP5 models owing to differences in base states, consistent with our  
 421 results. In the multi-model space, it is plausible to use the global-mean amip4xCO<sub>2</sub> ERF to  
 422 represent its sstClim4xCO<sub>2</sub> ERF.



423

424 **Figure 6.** Global-mean effective radiative forcing (W/m<sup>2</sup>) compared between (a) amip4xCO<sub>2</sub> and  
 425 abrupt4xCO<sub>2</sub> experiments, (b) sstClim4xCO<sub>2</sub> and abrupt4xCO<sub>2</sub> experiments, and (c) amip4xCO<sub>2</sub>  
 426 and sstClim4xCO<sub>2</sub> experiments. The first 10 years of abrupt4xCO<sub>2</sub> data is used in (a) and the first  
 427 36 years of abrupt4xCO<sub>2</sub> data is used in (b) to derive the coupled ERF (see section 3.2 for  
 428 explanation of these choices). Red and blue dots denote CMIP5 and CMIP6 models respectively.

429

### 430 **3.3 Relationships between radiative forcing and feedback**

431 Previous studies identified that 4xCO<sub>2</sub> radiative forcing and feedbacks are anti-correlated  
432 across models, which damps inter-model spread in ECS (Andrews et al., 2012; Ringer et al., 2014;  
433 Caldwell et al., 2016). Understanding whether there is any physical basis for such a relationship  
434 between radiative forcing and feedback is an important topic (Sherwood et al., 2020). From CMIP5  
435 models, Ringer et al. (2014) found that the increased complexity of model configuration blurs the  
436 relationship between radiative forcing and feedback in coupled simulations, and that AMIP and  
437 aquaplanet simulations are simpler configurations for studying this relationship. In this section we  
438 re-examine this relationship using CMIP6 models.

439 Table 3 summarizes the across-model correlation between radiative forcing and feedback  
440 in different model configurations. From fully coupled to AMIP and aquaplanet experiments, the  
441 correlation between radiative forcing and total feedback is indeed increased in CMIP5 models as  
442 Ringer et al. (2014) found, but this feature does not exist in CMIP6 models. Whereas the  
443 correlation strength increases with decreasing model complexity in CMIP5 models from -0.46 in  
444 abrupt4xCO<sub>2</sub> to -0.46 in amip4K to -0.87 in aqua4K, it varies non-monotonically from -0.52 in  
445 abrupt4xCO<sub>2</sub> to +0.40 in amip4K to -0.27 in aqua4K. This relation is quite consistent for net CRE  
446 for CMIP5 and CMIP6 models, for which only 5 models are currently available in aquaplanet  
447 experiments of CMIP6. When considering all CMIP5 and CMIP6 models together, the  
448 strengthening of the anti-correlation as experiments become simpler (Ringer et al., 2014) is no  
449 longer present due to the non-monotonic relation with model complexity.

450 Different model samples are used to calculate the forcing-feedback relationship in different  
451 experiments (labeled model numbers in Table 3), and coupled experiments generally have larger  
452 model samples than AMIP and aquaplanet experiments. To eliminate the potential systematic bias  
453 on correlation due to using different model samples across different experiments, the across-model  
454 correlation is recalculated using those models with both AMIP and coupled experiments (Table  
455 4), reducing the model sample size to 11 CMIP5 and 11 CMIP6 models. The anti-correlation  
456 between forcing and feedback no longer increases from coupled (-0.66) to AMIP (-0.46)  
457 experiments in CMIP5. This suggests that the stronger anti-correlation with decreased model  
458 complexity is not robust and is sensitive to the selected model samples.

459 Due to the limited model samples for aqua4K/aqua4xCO<sub>2</sub> from CMIP6, results from  
 460 CMIP6 models should be viewed with caution. Nevertheless, based on the combination of all  
 461 CMIP5 and CMIP6 models, our results are inconsistent with Ringer et al. (2014). Furthermore, the  
 462 lack of anti-correlation between forcing and feedback in the AMIP experiments when using all  
 463 models suggests that there is no physical basis relating forcing to feedback.

464 **Table 3.** Cross-model correlation between 4xCO<sub>2</sub> radiative forcing/cloud adjustments and total  
 465 radiative feedback/unadjusted CRE feedbacks. Labels ‘1-150’ indicate that first 150 years of  
 466 coupled experiments are used to calculate the radiative feedback/forcing. The number of model  
 467 samples used for each correlation entry is listed in parentheses. Single asterisk indicates  
 468 correlations significant at 95% level.

Experiments used to derive feedback/forcing	TOTAL			netCRE			SWCRE			LWCRE		
	ALL	CMIP5	CMIP6	ALL	CMIP5	CMIP6	ALL	CMIP5	CMIP6	ALL	CMIP5	CMIP6
abrupt4xCO <sub>2</sub> (1-150) / abrupt4xCO <sub>2</sub> (1-150)	-0.48* (79)	-0.46* (29)	-0.53* (50)	-0.40* (79)	-0.46* (29)	-0.42* (50)	-0.38* (79)	-0.54* (29)	-0.38* (50)	0.21 (79)	0.42* (29)	0.19 (50)
amip4K/ amip4xCO <sub>2</sub>	0.01 (22)	-0.46 (11)	0.40 (11)	-0.01 (22)	-0.59 (11)	0.66* (11)	0.06 (22)	-0.56 (11)	0.54 (11)	-0.10 (22)	0.07 (11)	-0.19 (11)
aqua4K/ aqua4xCO <sub>2</sub>	-0.54* (16)	-0.87* (11)	-0.27 (5)	-0.61* (16)	-0.96* (11)	-0.03 (5)	-0.65* (16)	-0.97* (11)	-0.25 (5)	0.60* (16)	0.91* (11)	0.45 (5)

469

470 **Table 4.** As in Table 3, but for those models with both coupled and amip experiments.

Experiments used to derive feedback/forcing	TOTAL			netCRE			SWCRE			LWCRE		
	ALL	CMIP5	CMIP6	ALL	CMIP5	CMIP6	ALL	CMIP5	CMIP6	ALL	CMIP5	CMIP6
abrupt4xCO <sub>2</sub> (1-150)/ abrupt4xCO <sub>2</sub> (1-150)	-0.51* (22)	-0.66* (11)	-0.54 (11)	-0.49* (22)	-0.53 (11)	-0.61* (11)	-0.48* (22)	-0.61* (11)	-0.57 (11)	0.20 (22)	0.23 (11)	0.26 (11)
amip4K/ amip4xCO <sub>2</sub>	0.01 (22)	-0.46 (11)	0.40 (11)	-0.01 (22)	-0.59 (11)	0.66* (11)	0.06 (22)	-0.56 (11)	0.54 (11)	-0.10 (22)	0.07 (11)	-0.19 (11)

471 **3.4 What combination of AMIP experiments gives ECS estimates in best agreement**  
472 **with coupled experiments?**

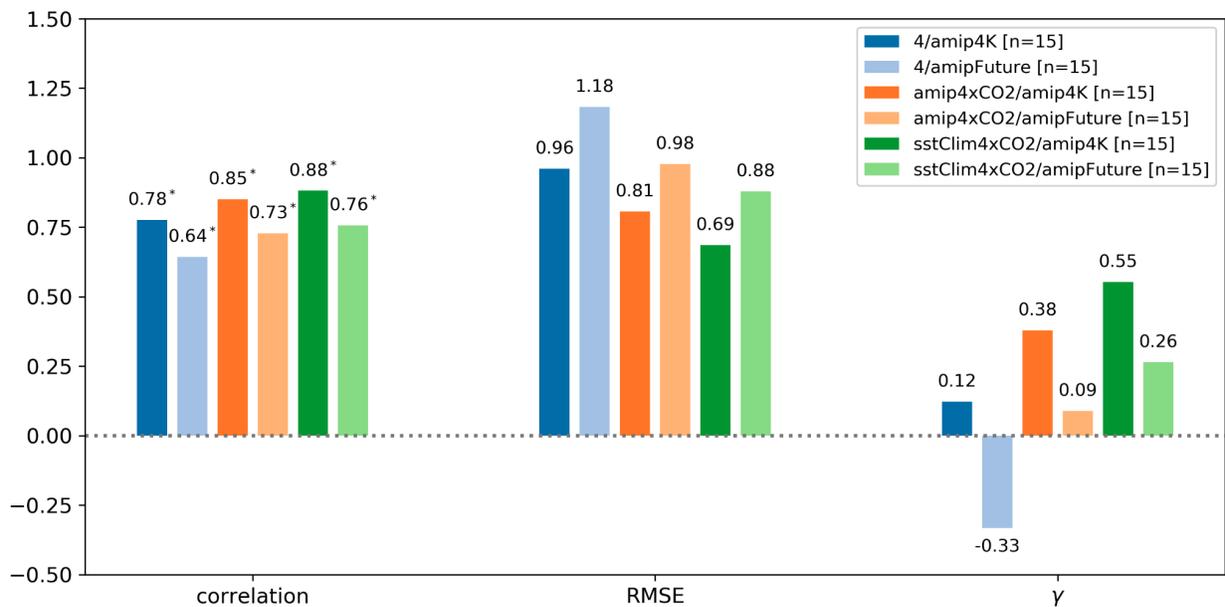
473 Many studies use atmosphere-only models to infer the feedbacks and ECS for fully coupled  
474 GCMs owing to the much lower computational expense for atmosphere-only experiments.  
475 However, different AMIP experiments with different configurations are available to estimate  
476 feedbacks and forcing as shown in previous sections. Thus, it is useful to know what combination  
477 of radiative forcing and feedbacks from AMIP experiments is most predictive of the coupled  
478 models' feedbacks and ECS.

479 We consider three options for radiative forcing:  $ERF = 4 \text{ W/m}^2$  (Sherwood et al. 2020),  
480  $ERF$  derived from *sstClim4xCO<sub>2</sub>*, and  $ERF$  derived from *amip4xCO<sub>2</sub>*, and two options for total  
481 radiative feedback: *amip4K* feedback and *amipFuture* feedback. To compensate for the lack of  
482 polar warming and sea ice reduction on the total radiative feedback in AMIP experiments (Figure  
483 1a), an estimate of  $0.50 \text{ W/m}^2/\text{K}$  from Figure 1a is added to all total feedbacks from AMIP  
484 experiments. The ECS values from fully-coupled experiments are derived using the ordinary  
485 Gregory method (i.e., the x-intercept of the regression of radiative imbalance on surface  
486 temperature) and are obtained from the analysis of Zelinka et al. (2020,  
487 [https://github.com/mzelinka/cmip56\\_forcing\\_feedback\\_ecs](https://github.com/mzelinka/cmip56_forcing_feedback_ecs)).

488 Figure 7 shows the across-model correlation, root mean squared error (RMSE) and  $\gamma$   
489 between the ECS predicted from AMIP experiments and the actual coupled models' ECS. To avoid  
490 model sampling problems, we make the comparison only for the same 15 models which have  
491 performed all the necessary experiments. Predicting ECS with the combination of *sstClim4xCO<sub>2</sub>*  
492 forcing and *amip4K* feedback gives the best agreement with the coupled ECS, with a correlation  
493 of 0.88, RMSE of 0.69, and  $\gamma$  of 0.55. The combination of  $4 \text{ W/m}^2$  forcing and *amipFuture*  
494 feedback gives the worst correspondence. Two models, CESM2 and IPSL-CM5A-LR, show a  
495 weaker agreement between *amip*-predicted and coupled ECS due to the larger difference of cloud  
496 feedback between *amip4K* and coupled experiments and a relatively much weaker sea ice feedback  
497 in coupled experiments, respectively. As discussed in Section 3.2, compared to *amip4xCO<sub>2</sub>*, the  
498 *sstClim4xCO<sub>2</sub>* radiative forcing is generally closer to the coupled forcing because its base state  
499 and emissions are similar to the coupled experiments. Hence, it is reasonable that the derived ECS  
500 using *sstClim4xCO<sub>2</sub>* forcing agrees better with the coupled ECS than using the *amip4xCO<sub>2</sub>*  
501 forcing. An issue with the *sstClim4xCO<sub>2</sub>* experiment is that one would need to know the

502 climatology of the corresponding coupled model in order to perform the simulation. In the case of  
 503 atmospheric models without a corresponding coupled model this would be unavailable. For those  
 504 models, one could only perform the amip4xCO<sub>2</sub> experiment to derive the forcing since it does not  
 505 need a corresponding coupled model. Fortunately, Figure 7 indicates that using the forcing from  
 506 the amip4xCO<sub>2</sub> experiment together with the feedbacks from the amip4K experiment is almost as  
 507 predictive as when using the forcing from the sstClim4xCO<sub>2</sub> experiment with the feedbacks from  
 508 the amip4K experiment.

509



510

511 **Figure 7.** Pearson correlation coefficient, root mean square error (RMSE) and  $\gamma$  between  
 512 ECS derived from atmosphere-only experiments and ECS derived from fully coupled experiments.  
 513 All combinations between atmosphere-only ERF and feedback are shown in the legend  
 514 (ERF/feedback). Three options for ERF ( $\text{W}/\text{m}^2$ ) are: 4, amip4xCO<sub>2</sub> and sstClim4xCO<sub>2</sub>, and two  
 515 options for total feedback ( $\text{W}/\text{m}^2/\text{K}$ ) are: amip4K and amipFuture. Single asterisks indicate  
 516 correlations significant at the 95% level. Used model samples are shown in brackets.

517

518 It is interesting that the amipFuture feedback does not exhibit a better agreement than the  
 519 amip4K feedback. This is likely because the imposed SST warming pattern in amipFuture  
 520 experiments comes from the ensemble mean sea surface temperature anomaly pattern in coupled

521 CMIP3 experiments with 1% per year increase in atmospheric CO<sub>2</sub>, which differs from the  
522 warming trend in the latest CMIP models. In particular, CMIP6 models show a stronger warming  
523 in the Southern Hemisphere (Dong et al., 2020) than is present in the pattern imposed for  
524 amipFuture experiments. This difference leads to models that are sensitive to the warming pattern  
525 (e.g., CESM2 #16) getting a closer correspondence to the coupled feedback with a uniform  
526 warming pattern instead of the amipFuture warming pattern.

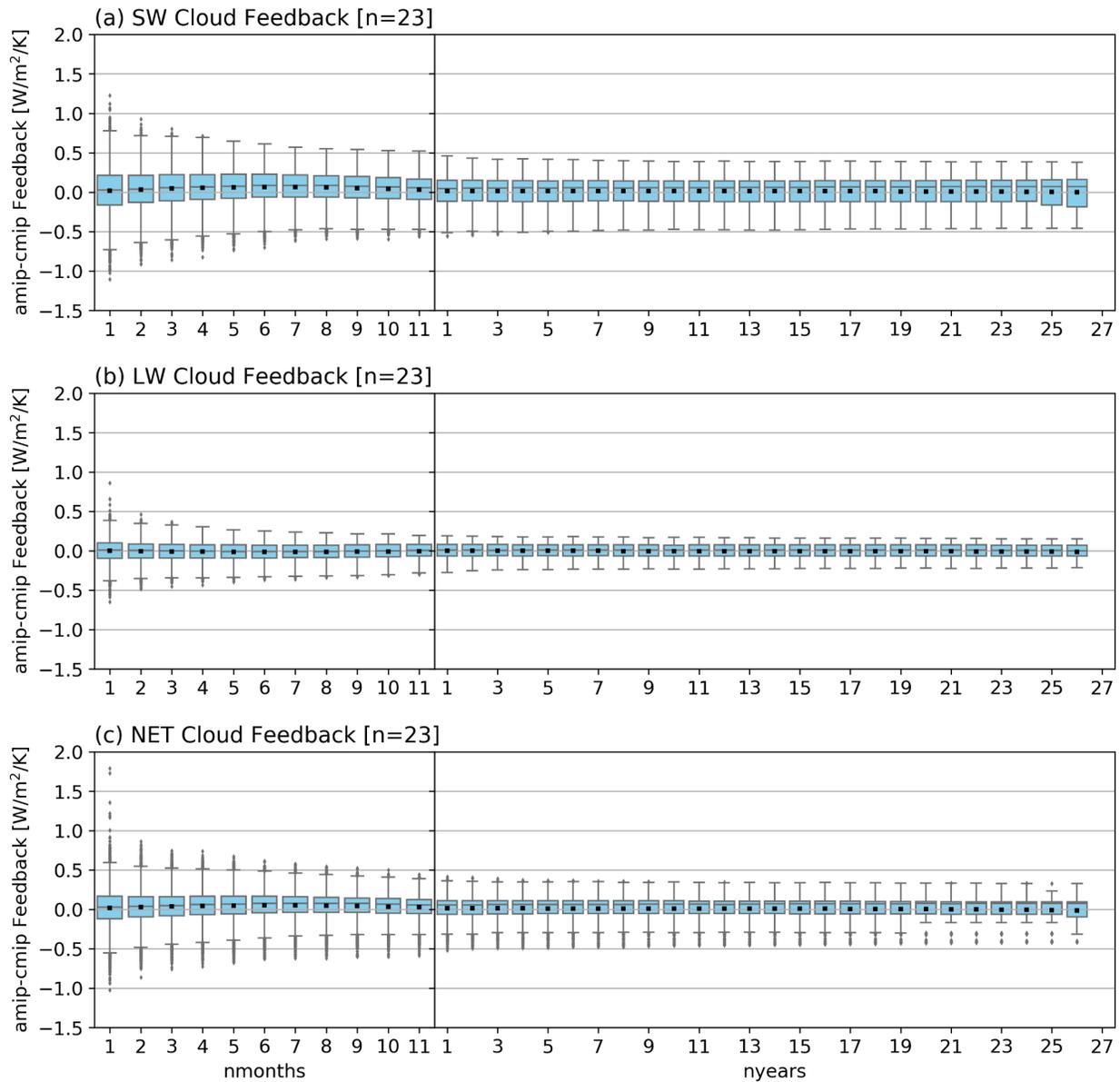
### 527 **3.5 What is the minimum duration required for AMIP simulations to capture the** 528 **coupled cloud feedbacks?**

529 Given the good agreement described in the previous section between ECS derived from  
530 sstClim4xCO<sub>2</sub>/amip4K (or amip4xCO<sub>2</sub>/amip4K) experiments and coupled experiments, it is useful  
531 to know how long one needs to run AMIP experiments to capture forcing and feedbacks in coupled  
532 experiments. Since the radiative forcing from AMIP experiments is more direct and stable than  
533 that from the coupled experiments, the minimum duration required for AMIP simulations to  
534 capture the coupled forcing will not be discussed. For the radiative forcing from AMIP  
535 experiments, Forster et al. (2016) found that 30-year duration is sufficient to keep the global mean  
536 ERF to 0.1 W/m<sup>2</sup> in the 5%-95% confidence interval. Therefore, the following discussion will  
537 focus on the feedback.

538 We calculate the amip4K feedbacks using different simulation lengths of amip4K  
539 experiments at both yearly and monthly timescales. For example, for a full 27-year amip4K  
540 experiment, we consider 27 samples of yearly feedbacks for each model, derived using data from  
541 every 1-year period in turn. In this way, we can also calculate other N-year feedbacks. Every N-  
542 year feedback will have a total 27-N+1 overlapping samples. For example, 26-year feedback  
543 includes two samples, which calculates feedback using 1-26 years and 2-27 years. respectively.  
544 Similarly, we consider 27\*12 samples of monthly feedbacks for each model, derived using data  
545 from every 1-month period in turn. This method is helpful to increase the sample size for further  
546 statistical evaluation and quantify the uncertainty brought in due to the varying selected duration.  
547 We use the same 23 models in calculating diagnostic variables below as those used in radiative  
548 kernel analysis (Section 3.1.1). For each N-year/N-month feedback and each diagnostic variable,  
549 all available samples are used to calculate the corresponding standard deviation.

550 To determine the minimum duration necessary for amip4K feedbacks to capture the inter-  
551 model spread of the coupled model feedbacks, we first examine the ratio of amip4K across-model  
552 standard deviation relative to the coupled (std\_ratio), as well as the correlation and  $\gamma$  between  
553 coupled and amip4K feedbacks as a function of amip4K simulation duration (Figure S5). These  
554 diagnostic variables are nearly invariant with increased AMIP duration for the total feedback and  
555 each component (SWCLR, LWCLR, SWCRE and LWCRE as in Figure 1). This suggests that the  
556 feedback difference between AMIP and coupled experiments is hardly reduced with increased  
557 simulation length. Considering (1) the better correspondence of cloud feedbacks between AMIP  
558 and coupled experiments (Figure 1g-i) than that of other feedback components (Figure 1a-f) and  
559 (2) the larger uncertainty of cloud feedbacks, it is more useful to get the minimum duration of  
560 amip4K experiments to capture the coupled cloud feedbacks. It is also important to know whether  
561 amip4K vs coupled cloud feedback differences tend to decrease with increased amip4K  
562 experiment length or asymptote quickly to some systematic bias, like the bias exhibited by models  
563 #13 (CESM2) and #16 (E3SM-1-0) in Figure 1g and i.

564 Figure 8 shows the evolution of the global mean cloud feedback difference between  
565 amip4K and coupled experiments ( $\Delta\lambda_c$ ) as a function of simulation duration from amip4K  
566 experiments for all available models. First, the multi-model mean  $\Delta\lambda_c$  is quite close to zero for  
567 both LW, SW and net cloud feedbacks (Figure 8) and the spread of LW feedback is weaker than  
568 that of SW and net cloud feedbacks in both monthly and yearly timescales (Figure 8b). The inter-  
569 model spread reduces with increased simulation months and becomes quite stable with further  
570 increased simulation years. Furthermore,  $\Delta\lambda_c$  for each model is also stable with increased years  
571 with reduced uncertainty (Figure S6-S8). Different models tend to get different systematic biases  
572 for  $\Delta\lambda_c$  but increasing the amip4K simulation length does not reduce the magnitude of  $\Delta\lambda_c$ . Two  
573 models, CESM2 (model #13) and E3SM-1-0 (model #16), have larger biases for SW and net cloud  
574 feedbacks than other models as shown in Figure 1. Nonetheless, the systematic differences  
575 between amip4K and coupled feedbacks for these two models can also be estimated from 1-year  
576 feedback without running longer amip4K experiments (Figure S6-S8).



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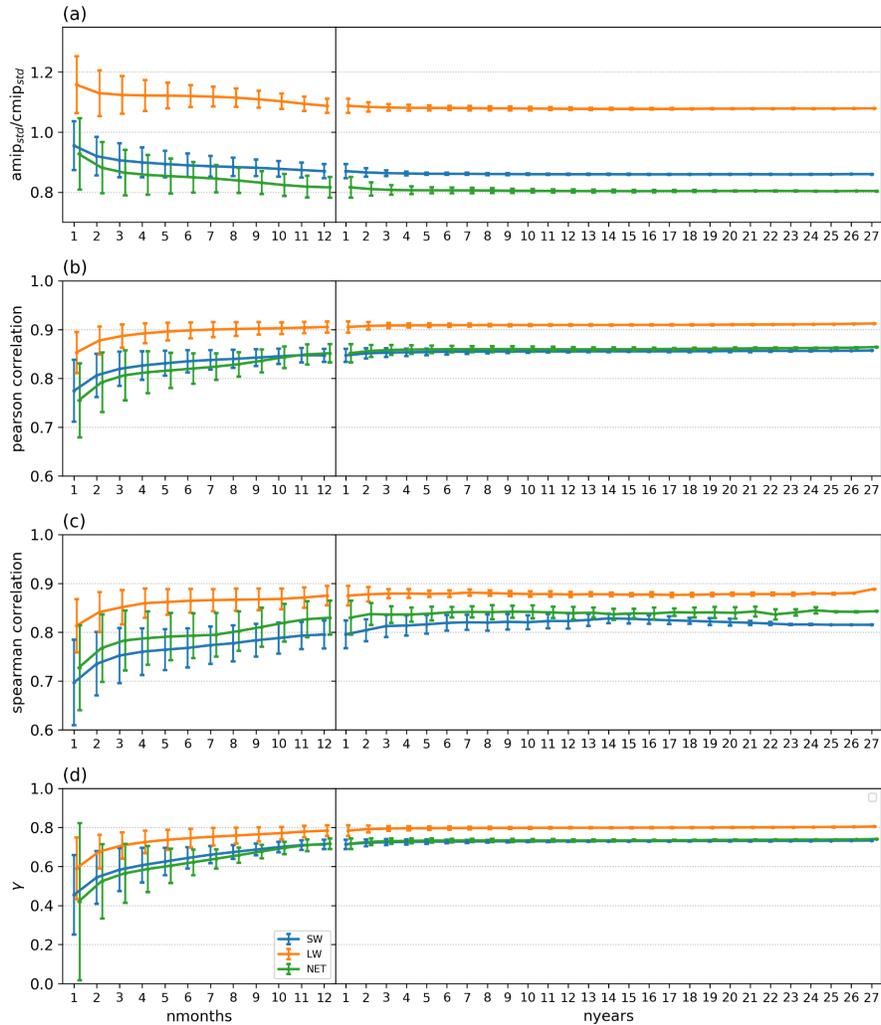
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**Figure 8.** Adjusted (a) SWCRE, (b) LWCRE and (c) netCRE feedback ( $W/m^2/K$ ) difference between amip4K and abrupt4xCO<sub>2</sub> as a function of years used in computing amip4K feedbacks. The box extends from the 25th percentile (Q1) and 75th percentile (Q3) with the horizontal line at the median (Q2) and the square at the mean. The whiskers indicate the range of the nonoutliers [outliers are either  $> (Q3 + 1.5 * IQR)$  or  $< (Q1 - 1.5 * IQR)$ ;  $IQR=Q3-Q1$ ]. Outliers are plotted as separate dots.

585           Figure 9 further presents the  $\text{std\_ratio}$ , the correlations and  $\gamma$  between coupled and amip4K  
586 feedbacks as a function of amip4K simulation duration. The  $\text{std\_ratio}$  for SW and net cloud  
587 feedbacks decreases from around 1.0 to 0.8 with increased months and stabilizes at around 0.8-0.9  
588 in the yearly scale (Figure 9a), indicating that inter-model spread of amip4K feedbacks is slightly  
589 reduced compared to that in coupled. In contrast, although the  $\text{std\_ratio}$  also decreases with  
590 increased months, the stabilized  $\text{std\_ratio}$  exceeds 1 for the LW cloud feedback (Figure 9a),  
591 indicating slightly greater spread in amip4K than in coupled. The  $\text{std\_ratio}$  is nearly invariant with  
592 further increased amip4K duration for each component in the yearly scale. A similar conclusion is  
593 reached from considering the Pearson and Spearman correlation coefficients and  $\gamma$  between  
594 amip4K and coupled feedbacks, which show little variation with amip4K simulation duration  
595 (Figure 9b-c). Overall, amip4K feedbacks derived from the first year would be sufficient to capture  
596 the inter-model spread of coupled feedbacks. Further investigation on those 1-year cloud feedbacks  
597 indicates that the exact year chosen does not matter much (Figure S9), although for some models,  
598 it is slightly better if one avoids ENSO/volcano years (not shown). If taking the monthly feedback  
599 into account, correlations and  $\gamma$  are smaller and the variation of diagnostic variables is larger than  
600 that from the yearly feedback (Figure 9). Nonetheless, we find that solstice months should be  
601 avoided if only one month of atmosphere-only simulation is to be run (Figure S10d-i), as they  
602 show systematically less agreement with the coupled feedbacks.



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**Figure 9.** (a) the ratio of amip4K cross-model standard deviation (std) to abrupt-4xCO<sub>2</sub> cross-model standard deviation, (b) Pearson correlation coefficient, (c) Spearman correlation coefficient and (d)  $\gamma$  for adjusted (blue) SW, (orange) LW and (green) net CRE feedbacks between abrupt4xCO<sub>2</sub> and amip4K experiments as a function of months/years used in computing amip4K cloud feedbacks. The error bar denotes the standard deviation of each variable due to the variation of selected time slices.

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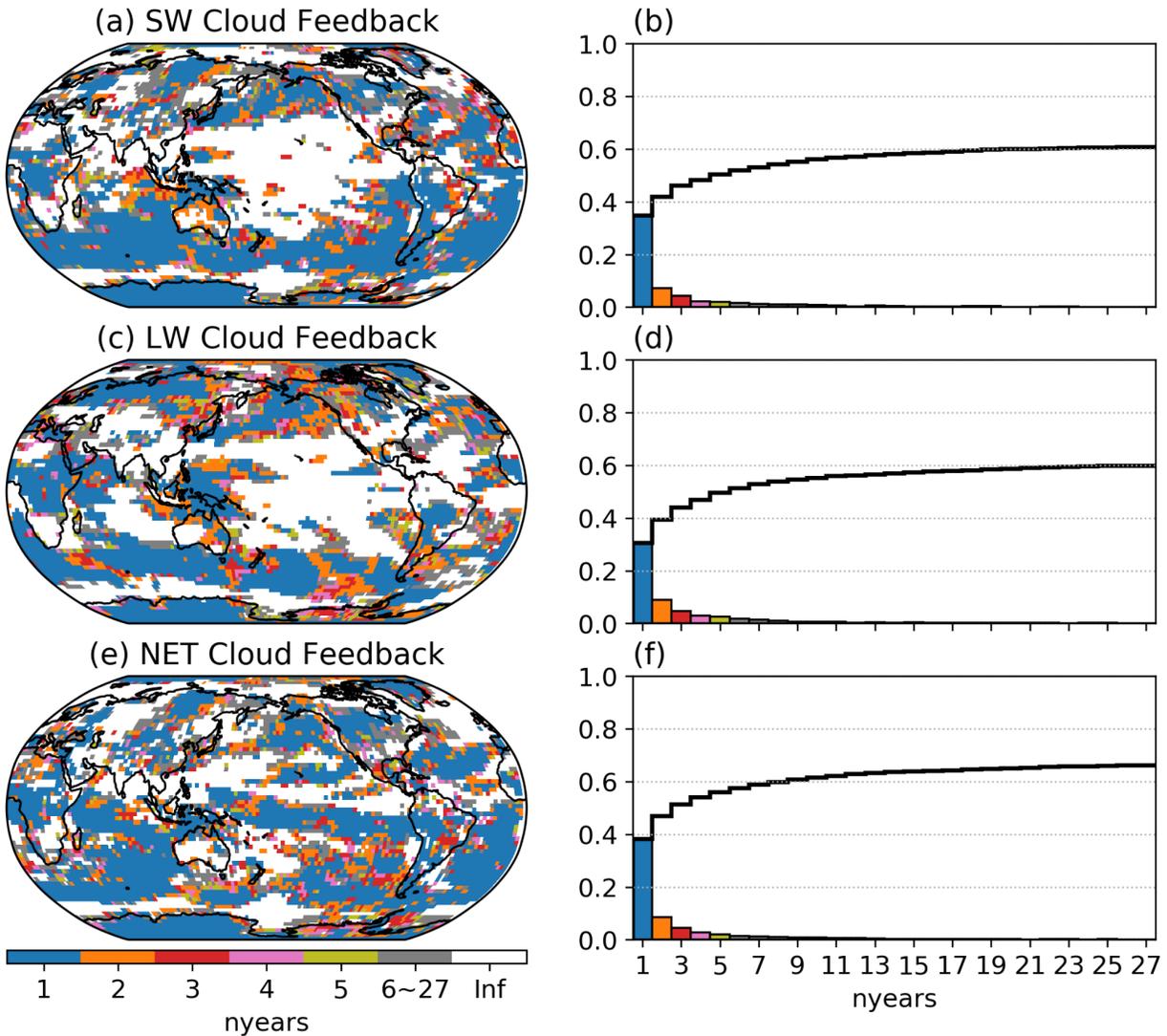
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Section 3.1.3 shows a near global correspondence between amip and coupled cloud feedbacks, especially for net cloud feedback (Figure 4). Given that 1-year global-mean amip4K cloud feedbacks would be enough to capture the inter-model spread of coupled feedbacks, it would be useful to know whether the good correspondence holds regionally using 1-year amip4K cloud feedbacks or whether some regions need more amip4K simulation duration to capture the coupled cloud feedbacks (if correspondence is possible). Figure 10 shows the spatial distribution of

616 required minimum simulation years and the corresponding fraction area of the planet with  
617 significant correlations (p-value smaller than 0.05) with increased amip4K years for SW, LW and  
618 net cloud feedbacks. For each grid point, the minimum simulation year is defined as the simulation  
619 duration which (1) first exhibits a p-value smaller 0.05 and (2) the significance is held for the  
620 following 5 simulation duration. For example, if the 1-year duration for one grid point first exhibits  
621 significance and the following 2-, 3-, 4-, 5- and 6-year durations are also significant, then we regard  
622 1-year duration as the minimum simulation year for this grid point. The 1-year amip4K simulation  
623 can largely capture the inter-model spread of local coupled feedbacks in many regions including  
624 but not limited to the southern Indian and Atlantic Oceans (Figure 10a, c, e). The signal is slightly  
625 more complicated in the Pacific Ocean where 2 or more years are often needed to get a significant  
626 correlation. Over some land regions in the northern hemisphere, longer than 5 years are necessary.  
627 Of the spatial area of the planet in which a statistically significant correspondence between coupled  
628 and amip4K cloud feedbacks occurs, about half is achieved with a single year of the amip4K  
629 simulation, and about 90% is achieved with 5 years. Note that the choice of p-threshold (0.05 or  
630 0.01) does not fundamentally affect this result (not shown).



631

632 **Figure 10.** (a, c, e) The spatial distribution of the required minimum years of amip4K simulations  
 633 to capture coupled cloud feedbacks (see the text for a description of the criteria applied), and (b,  
 634 d, f) the fractional area of the planet with significant inter-model correlations as a function of years  
 635 used in computing the amip4K feedbacks for the adjusted (a, b) SW, (c, d) LW and (e, f) net cloud  
 636 feedbacks. White regions in (a, c, e) indicate locations where the correlation is not significant even  
 637 using the full 27 years amip4K experiments. The black line in (b, d, f) denotes the cumulative  
 638 curve.

639

640 In summary, we conclude that cloud feedbacks computed from amip4K experiments of  
 641 only 1 year duration can closely capture the inter-model spread of global mean coupled feedbacks.  
 642 Increased simulation duration does not improve this agreement materially. Furthermore, for each  
 643 model, increasing the simulation years does not reduce the cloud feedback difference between

644 AMIP and coupled experiments. For most models, the systematic bias between amip4K and  
645 coupled cloud feedbacks is apparent with only a single year of output and does not change much  
646 with increased simulation duration. For regional feedbacks, 1-year experiments can capture around  
647 half of the significant regions and 5-year experiments are sufficient to capture almost all the  
648 regions shown to have a significant correlation when using the full 27 years of amip4K simulations.  
649 This is reassuring evidence that short duration atmosphere-only experiments, such as those often  
650 performed while developing new atmosphere model versions, provide highly valuable information  
651 about the cloud feedbacks operating in the corresponding fully coupled model.

#### 652 **4 Conclusions**

653 We have compared radiative feedbacks between amip4K and coupled experiments in  
654 CMIP5 and CMIP6 models, including their global-mean values, spatial distribution, and  
655 breakdown into individual cloud feedback components. Consistent with previous studies (Ringer  
656 et al., 2014), the total negative radiative feedback is weaker in coupled experiments, which arises  
657 solely from differences in clear-sky feedback strengths. Weaker positive global-mean clear-sky  
658 SW radiative feedbacks are related to the weaker surface albedo feedbacks in amip4K experiments,  
659 which lack sea ice reduction. Stronger negative global-mean clear-sky LW radiative feedbacks  
660 arise from stronger negative lapse rate feedbacks in amip4K experiments, which lack polar-  
661 amplified surface warming. In contrast to clear-sky feedbacks, global-mean cloud feedbacks are  
662 highly correlated between amip4K and coupled experiments. This correspondence is better than  
663 previously reported in the literature because we have accounted for non-cloud influences that alias  
664 onto raw changes in cloud radiative effect. This good correspondence also extends to the cloud  
665 feedbacks resulting from individual cloud property changes, as we showed that amip4K  
666 experiments successfully capture most of the coupled model diversity in global-mean cloud  
667 amount, altitude, and optical depth feedback components for all, low, and non-low clouds.

668 The close correspondence between amip4K and coupled cloud feedback extends beyond  
669 the global mean to the spatial distribution with around  $\frac{2}{3}$  of the planet exhibiting significant local  
670 correlations. Poor correspondence is present in the tropical Pacific for LW and SW cloud  
671 feedbacks. This arises because of a disparate response of high clouds between the two experiments,  
672 which have very different patterns of surface warming and therefore very different large-scale

673 circulation responses. Tropical cloud feedbacks segregated into vertical motion regimes are,  
674 however, well-correlated between the two experiments.

675 Radiative forcing derived from the first 10 years and 36 years coupled experiments agrees  
676 best with the forcing from amip4xCO<sub>2</sub> and sstClim4xCO<sub>2</sub> experiments, respectively. The best time  
677 segment of coupled experiments to match the amip4xCO<sub>2</sub> or sstClim4xCO<sub>2</sub> radiative forcing is  
678 sensitive to the used model samples though. The higher similarity (control climate state, emissions,  
679 et al.) between sstClim and coupled experiments leads to a stronger correlation (relative to  
680 amip4xCO<sub>2</sub>) between sstClim4xCO<sub>2</sub> and coupled forcing. However, the good correspondence  
681 between amip4xCO<sub>2</sub> and sstClim4xCO<sub>2</sub> forcing suggests the difference of model setup for amip  
682 and sstClim experiments play a second order role in the inter-model spread of forcing, consistent  
683 with Forster et al. (2016).

684 Ringer et al. (2014) found an anti-correlation between radiative forcing and feedback  
685 across CMIP5 models that becomes monotonically stronger with reduced complexity of  
686 experiments (from coupled to AMIP to aquaplanet). This is no longer the case in CMIP6 because  
687 the correlation between amip4xCO<sub>2</sub> forcing and amip4K feedback is now positive. The strong anti-  
688 correlation between cloud feedbacks and rapid cloud adjustments that drove the forcing-feedback  
689 relationship across CMIP5 models has also become weaker in CMIP6, for reasons that remain to  
690 be investigated. The lack of anti-correlation between forcing and feedback in the AMIP  
691 experiments when using all models suggests that there is no physical basis relating forcing to  
692 feedback.

693 In all possible options for forcing and feedback, the estimated ECS using the sstClim4xCO<sub>2</sub>  
694 forcing and amip4K feedback agrees best with the coupled ECS, with the values from amip4xCO<sub>2</sub>  
695 forcing and amip4K feedback close behind. Furthermore, we find that cloud feedbacks derived  
696 from 1-year atmosphere-only simulations can largely capture the inter-model spread of the coupled  
697 feedbacks. The feedback difference between amip4K and coupled experiments asymptotes quickly  
698 to a small systematic bias for most models. Further examination of the correspondence of regional  
699 feedbacks shows that 1-year amip4K simulation can capture about half of the regions with  
700 significant correlation, and 5 years get a very similar correspondence as that using the full 27-year  
701 amip4K experiments. The good agreement of cloud feedbacks in both global-mean and spatial  
702 distribution justifies using amip4K experiments to further understand coupled cloud feedbacks not

703 only for global-mean, but also for the  $\frac{2}{3}$  of the planet with significant local correlations and all  
704 tropical vertical motion regimes.

705

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**On the Correspondence between Atmosphere-Only and Coupled Simulations for Radiative Feedbacks and Forcing from CO<sub>2</sub>**

Yi Qin<sup>1</sup>, Mark D. Zelinka<sup>1</sup>, Stephen A. Klein<sup>1</sup>

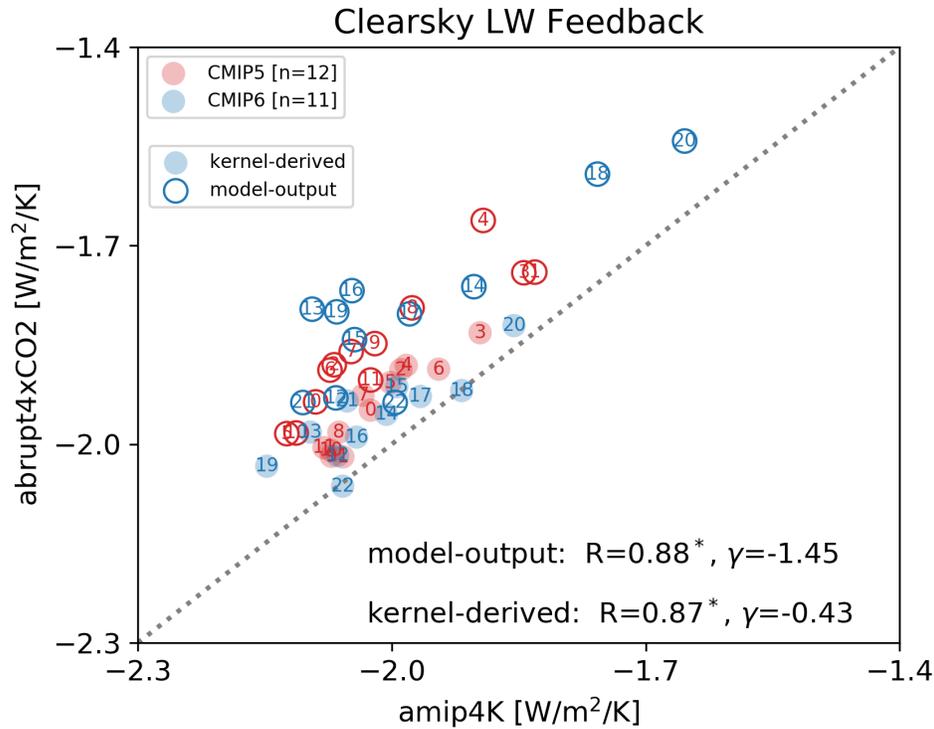
<sup>1</sup>PCMDI/Lawrence Livermore National Laboratory, Livermore, CA, USA

**Contents of this file**

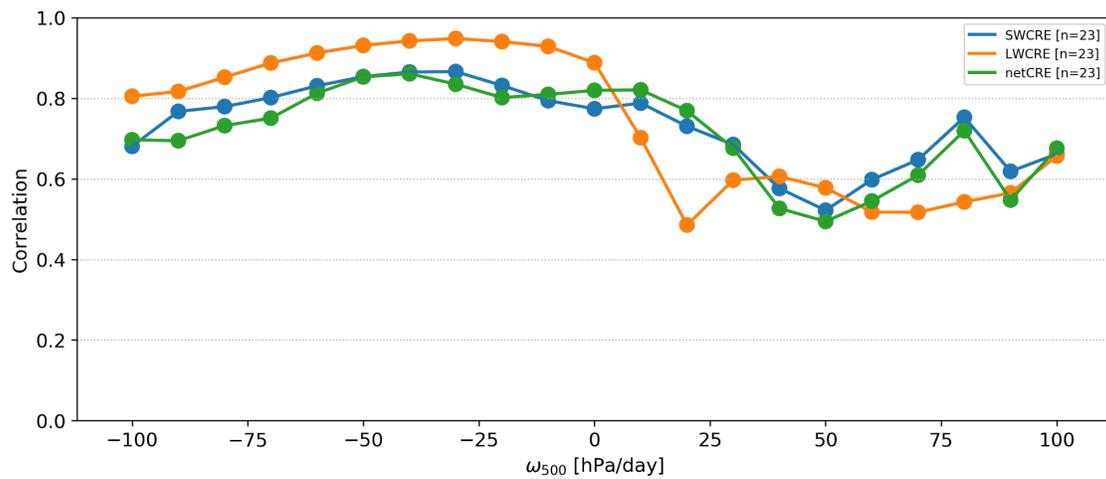
Figures S1 to S10

**Introduction**

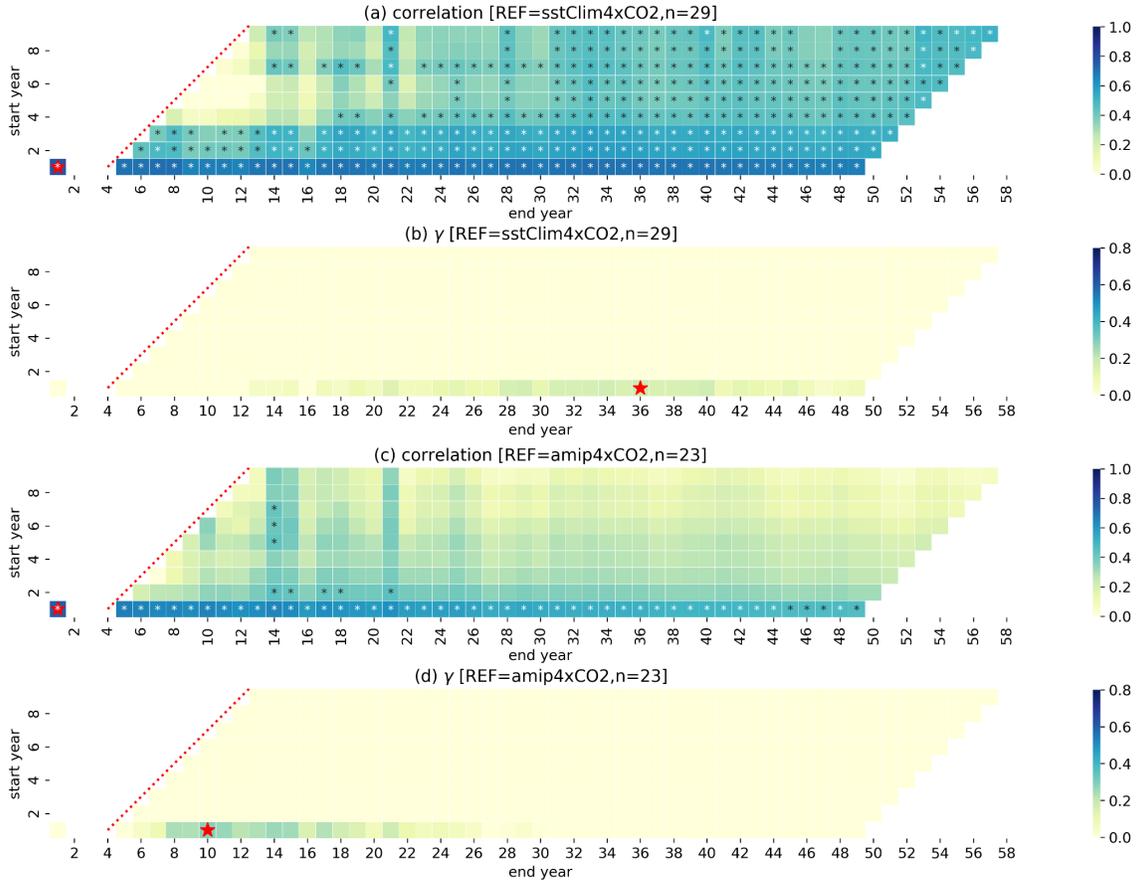
In this Supporting Information, we provide additional figures that support the results in the main text (Figure S1-S10).



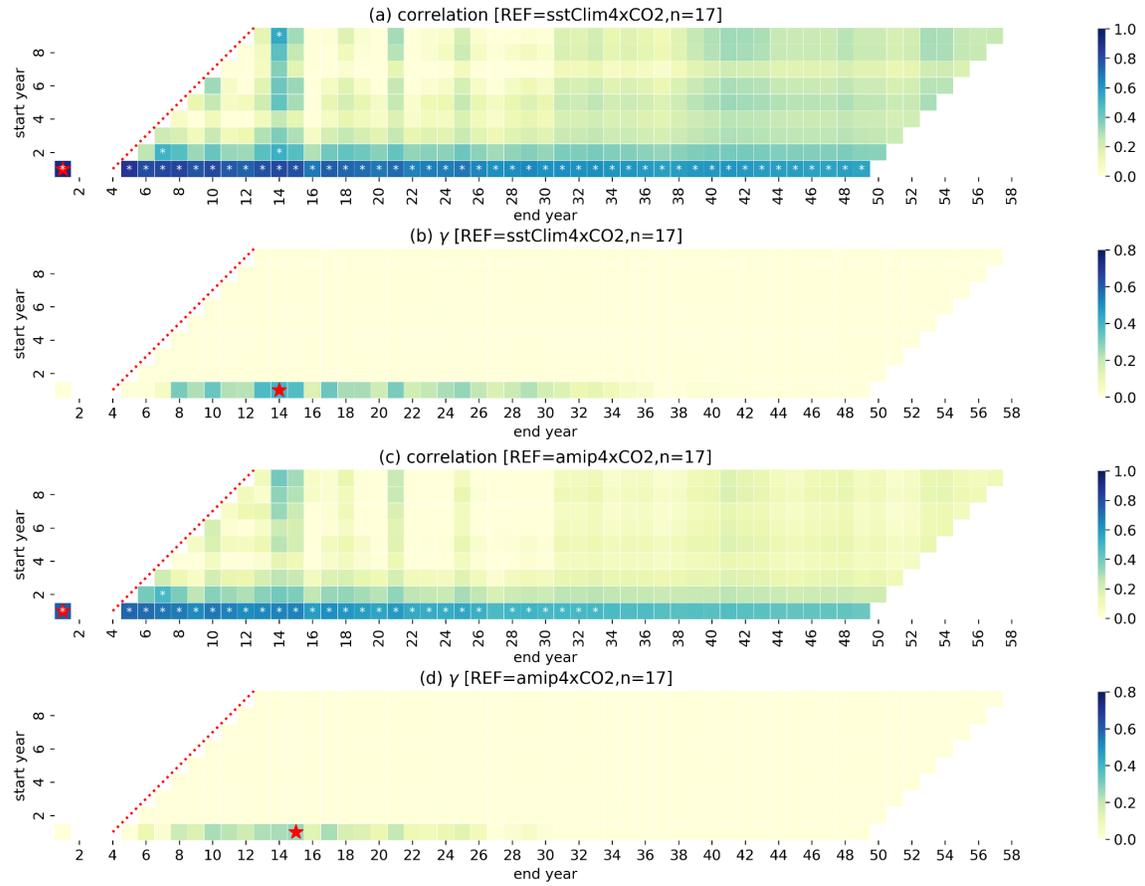
**Figure S1.** Global-mean net clear-sky LW radiative feedbacks ( $\text{W/m}^2/\text{K}$ ) calculated by adding contributions from primary contributors (water vapor, surface temperature, atmospheric temperature) determined using the radiative kernel method (filled markers) and directly calculated by the model output (unfilled markers) compared between amip4K and fully coupled abrupt4xCO<sub>2</sub> experiments. Red and blue dots denote CMIP5 and CMIP6 models respectively. Models used in later radiative kernel and cloud radiative kernel analysis are labelled by numbers as denoted in Table 1 and 2.



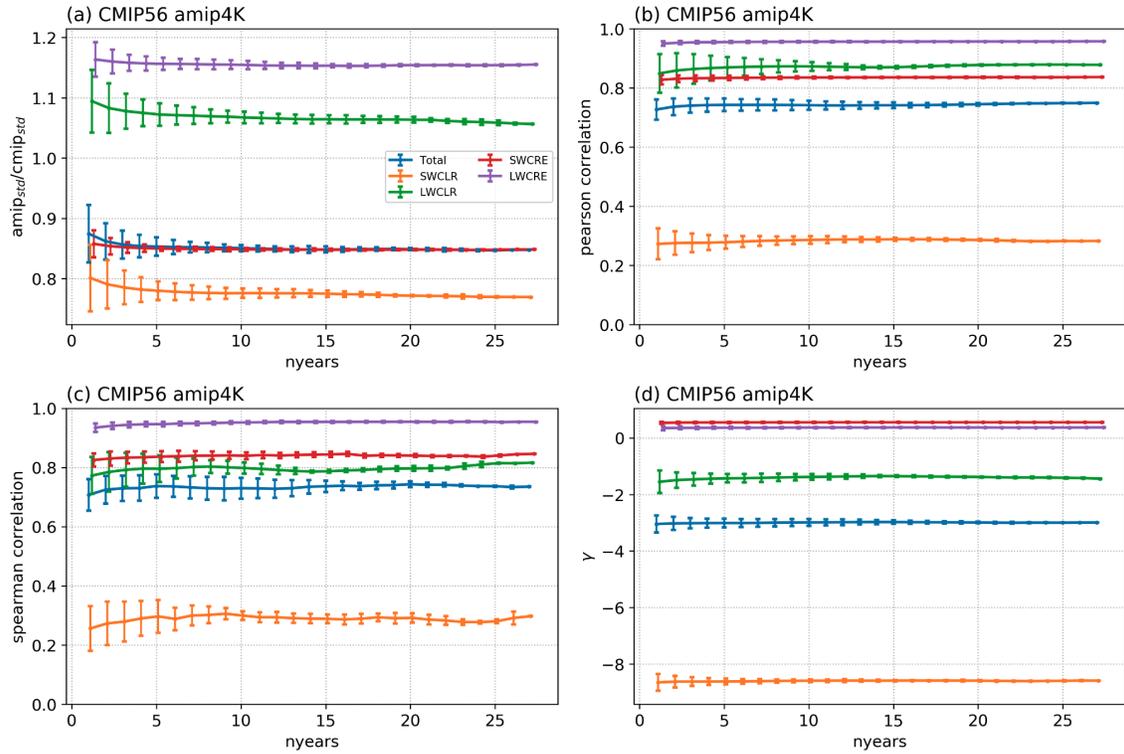
**Figure S2.** Across-model correlation of tropical (30°S-30°N) ocean unadjusted SW (blue), LW (orange) and net (green) CRE feedbacks (amip4K minus amip; abrupt4xCO<sub>2</sub> minus piControl) between amip4K and coupled experiments in each 500 hPa vertical velocity regime. Correlation coefficients significant at the 95% confidence level are marked by solid dots.



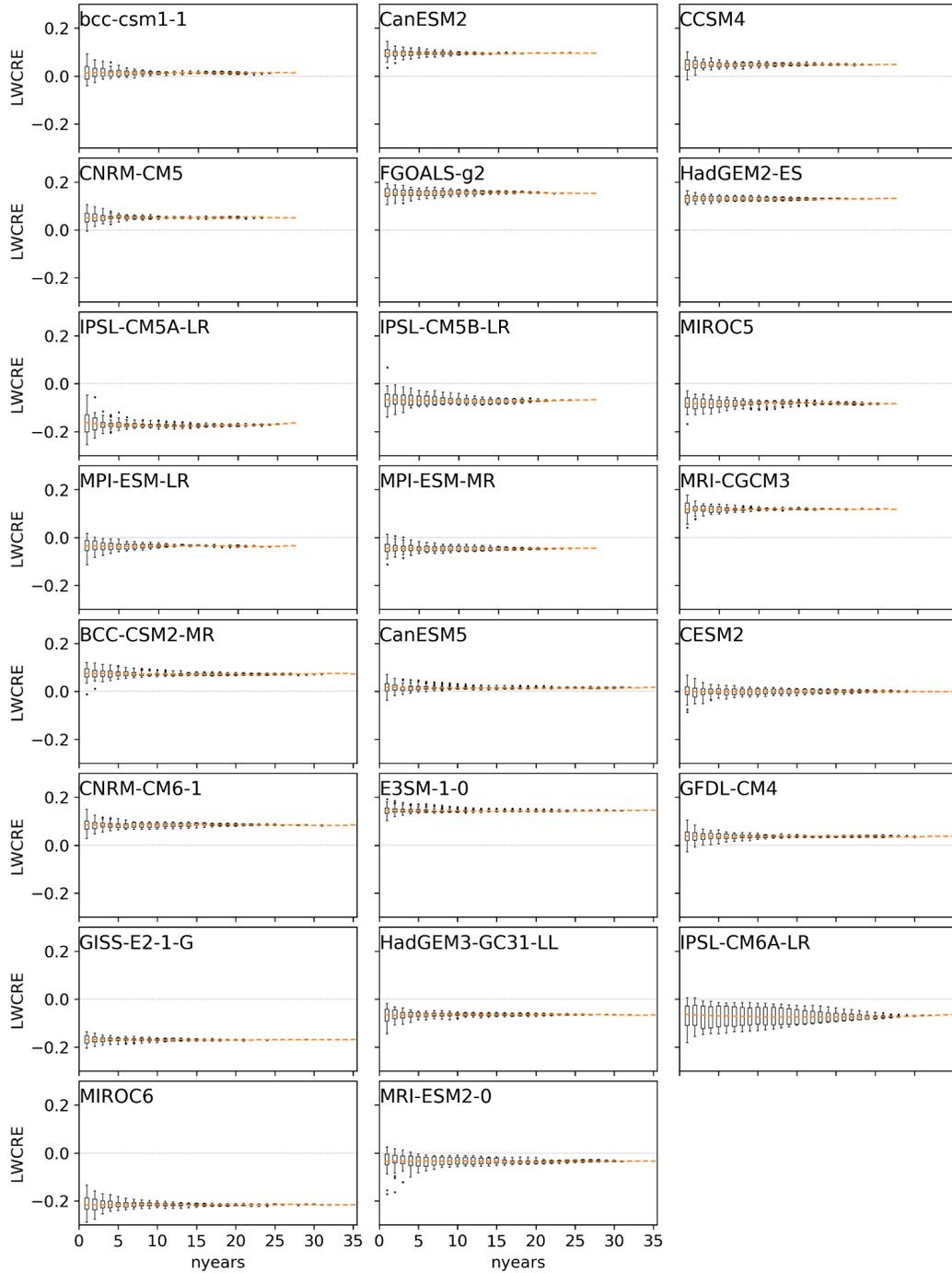
**Figure S3.** The (a, c) correlation and (b, d)  $\gamma$  matrix between (a-b) sstClim4xCO<sub>2</sub>/ (c-d) amip4xCO<sub>2</sub> forcing and coupled forcing derived using varying starting and end years. The selected minimum length of coupled experiments is 5 years (red dotted lines). Single asterisk indicates correlations significant at the 95% level. Red stars denote the largest correlation/ $\gamma$ . The starting year ranges from 1 to 10 and the total duration considered ranges from 5 to 50. The year-1 coupled radiation anomaly is also considered.



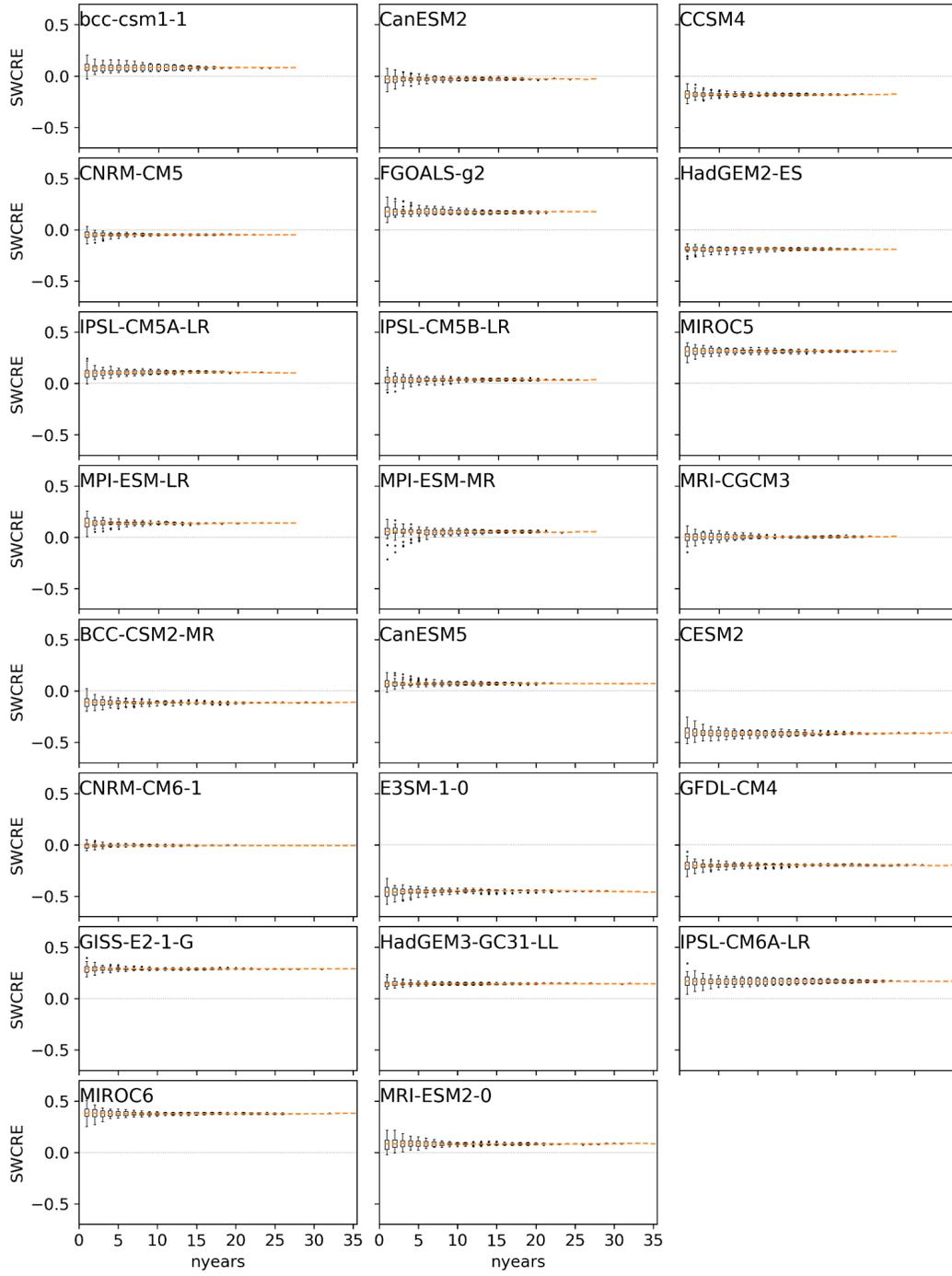
**Figure S4.** As in Figure S3, but for those models with both sstClim4xCO<sub>2</sub> and amip4xCO<sub>2</sub> experiments.



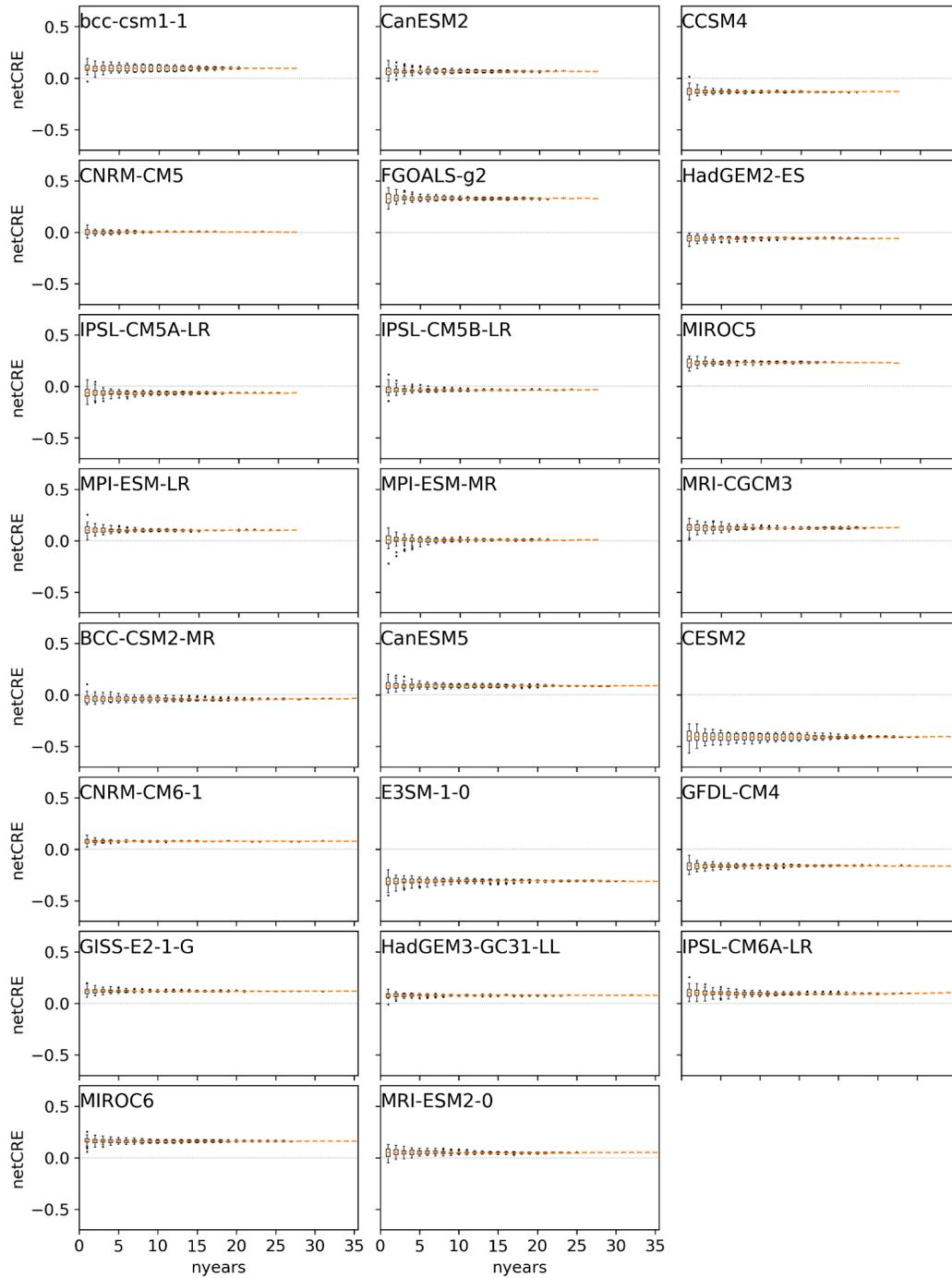
**Figure S5.** (a) the ratio of amip4K cross-model standard deviation (std) to abrupt-4xCO<sub>2</sub> cross-model standard deviation, (b) Pearson linear correlation coefficient, (c) Spearman rank correlation coefficient and (d)  $\gamma$  for (blue) total, (orange) SWCLR, (green) LWCLR, unadjusted (red) SWCRE and (purple) LWCRE feedbacks between abrupt4xCO<sub>2</sub> and amip4K experiments as a function of years used to calculate amip4K cloud feedbacks. The error bar denotes the standard deviation of each variable due to the variation of selected time slices.



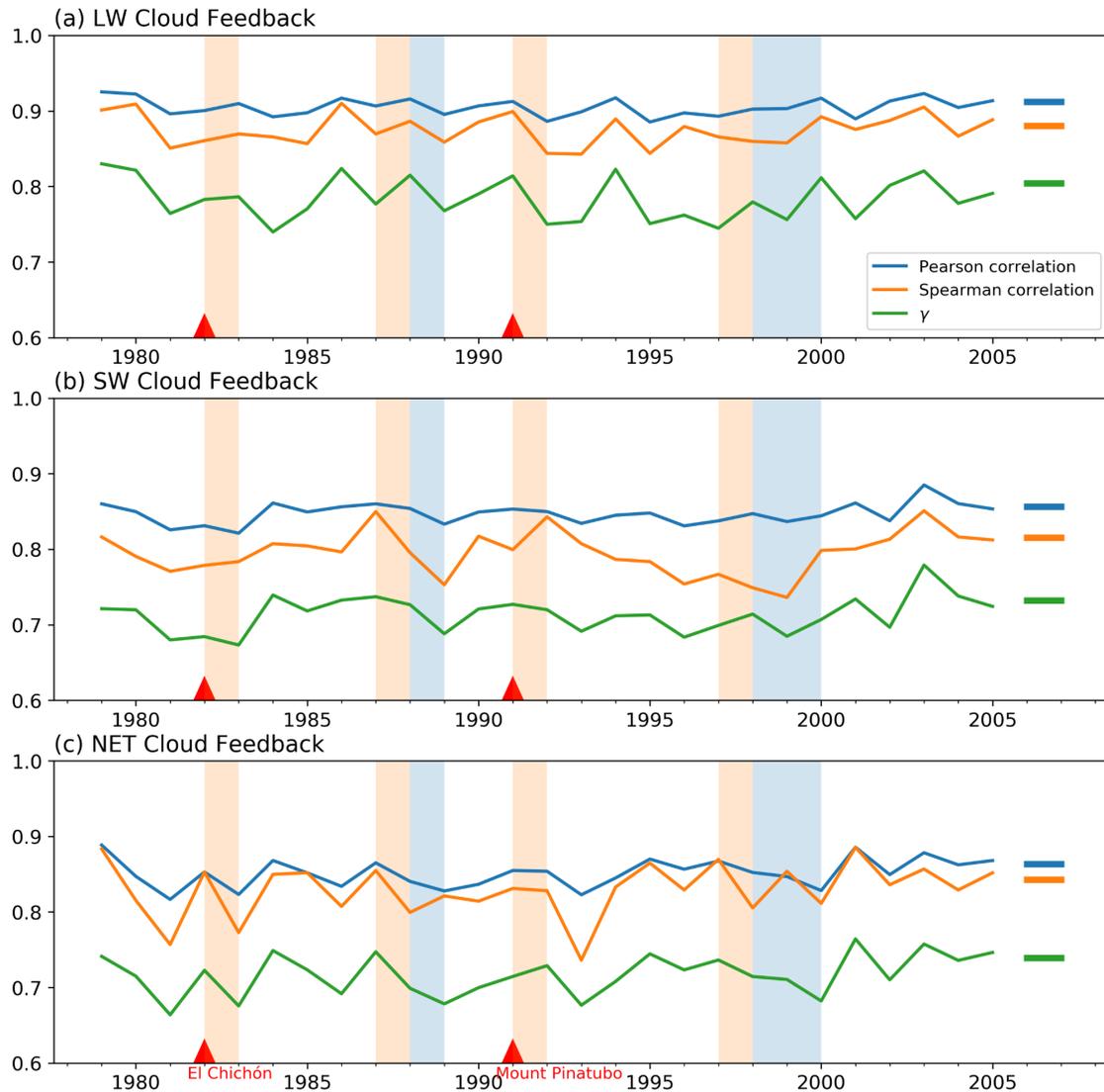
**Figure S6.** The adjusted LWCRE feedback ( $W/m^2/K$ ) difference between amip4K and coupled experiments as a function of years used in calculating amip4K feedbacks for available CMIP models. The box extends from the 25th percentile (Q1) and 75th percentile (Q3) with the horizontal line at the mean. The whiskers indicate the range of the nonoutliers [outliers are either  $> (Q3 + 1.5 * IQR)$  or  $< (Q1 - 1.5 * IQR)$ ;  $IQR = Q3 - Q1$ ]. Outliers are plotted as separate dots.



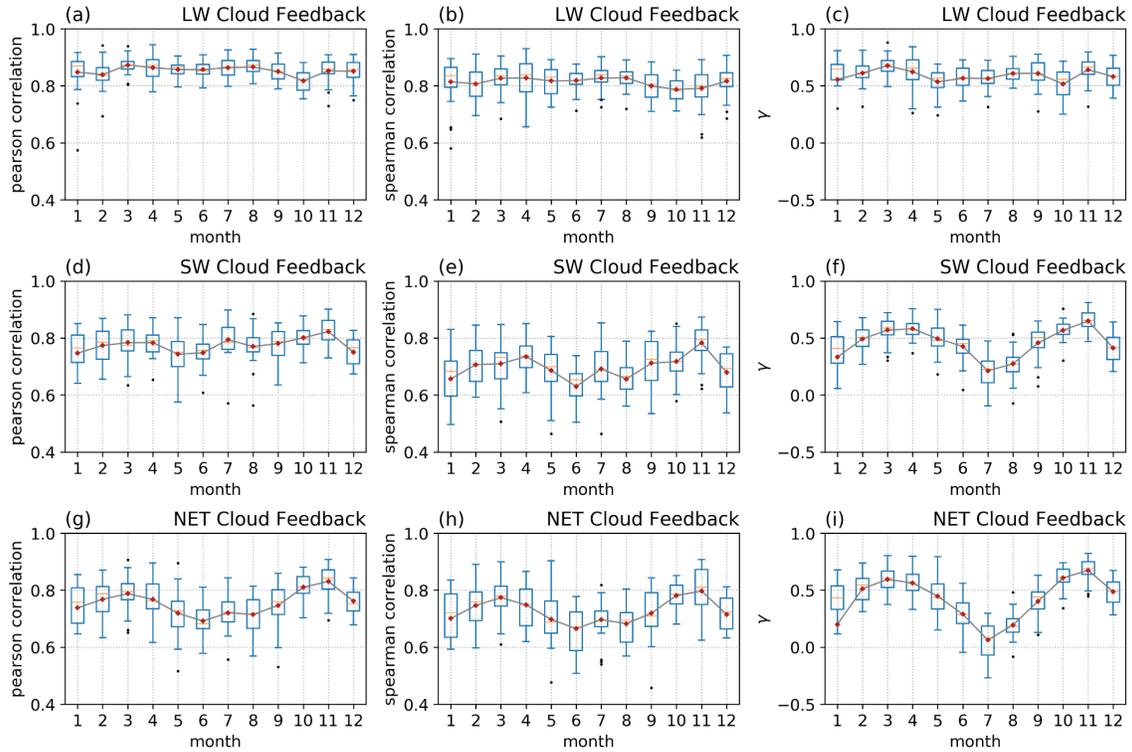
**Figure S7.** As in Figure S6, but for adjusted SWCRE feedback.



**Figure S8.** As in Figure S6, but for adjusted net CRE feedback.



**Figure S9.** The (blue) Pearson linear correlation, (orange) Spearman rank correlation, and (green)  $\gamma$  for adjusted (a) LW, (b) SW and (c) net CRE feedbacks between 1-year amip and coupled experiments as a function of the simulation year varying from 1979 to 2005. Two volcanoes (El Chichon in 1982 and Mount Pinatubo in 1991) are labelled by red triangles. Red shades denote El Nino events, and blue shades denote La Nina events.



**Figure S10.** The (a, d, g) Pearson correlation, (b, e, h) Spearman correlation, and (c, f, i)  $\gamma$  for adjusted (a-c) SW, (d-f) LW and (g-i) net CRE feedbacks between 1-month amip and coupled experiments as a function of the used month for amip feedback varying from January to December. The box extends from the 25th percentile (Q1) and 75th percentile (Q3) with the horizontal line at the median and the red dot at the mean. The whiskers indicate the range of the nonoutliers [outliers are either  $> (Q3 + 1.5 * IQR)$  or  $< (Q1 - 1.5 * IQR)$ ;  $IQR = Q3 - Q1$ ]. Outliers are plotted as separate black dots.