Ocean model formulation influences transient climate response

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Abstract

The transient climate response (TCR) is 20% higher in the Alfred Wegener Institute Climate Model (AWI-CM) compared to the Max Planck Institute Earth System Model (MPI-ESM) whereas the equilibrium climate sensitivity (ECS) is only by less than 10% higher in AWI-CM. These results are largely independent of the two considered model resolutions for each model. The two coupled CMIP6 models share the same atmosphere-land component ECHAM6.3 developed at the Max Planck Institute for Meteorology (MPI-M). However, ECHAM6.3 is coupled to two different ocean models, namely the MPIOM sea ice-ocean model developed at MPI-M and the FESOM sea ice-ocean model developed at the Alfred Wegener Institute, Helmholtz Centre for Polar and Marine Research (AWI). A reason for the different TCR is related to ocean heat uptake in response to greenhouse gas forcing. Specifically, AWI-CM simulations show stronger surface heating than MPI-ESM simulations while the latter accumulate more heat in the deeper ocean. The vertically integrated ocean heat content is increasing slower in AWI-CM model configurations compared to MPI-ESM model configurations seems to be key for these differences. The strongest difference in vertical ocean mixing occurs inside the Weddell Gyre and the northern North Atlantic. Over the North Atlantic, these differences materialize in a lack of a warming hole in AWI-CM model configurations and the presence of a warming hole in MPI-ESM model configurations. All these differences occur largely independent of the considered model resolutions.

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11 Key points

- 12 The transient climate response in two coupled models with the same atmosphere but different
- 13 ocean components differs by 20%

14

- 15 The upper (deeper) ocean heats faster (slower) in AWI-CM compared to MPI-ESM, independent
- 16 of model resolution

- 18 Vertical mixing in the northern North Atlantic and the Weddell Gyre appears to be key for these
- 19 differences
- 20

21 Abstract

22 The transient climate response (TCR) is 20% higher in the Alfred Wegener Institute Climate 23 Model (AWI-CM) compared to the Max Planck Institute Earth System Model (MPI-ESM) 24 whereas the equilibrium climate sensitivity (ECS) is only by less than 10% higher in AWI-CM. 25 These results are largely independent of the two considered model resolutions for each model. 26 The two coupled CMIP6 models share the same atmosphere-land component ECHAM6.3 27 developed at the Max Planck Institute for Meteorology (MPI-M). However, ECHAM6.3 is 28 coupled to two different ocean models, namely the MPIOM sea ice-ocean model developed at 29 MPI-M and the FESOM sea ice-ocean model developed at the Alfred Wegener Institute, 30 Helmholtz Centre for Polar and Marine Research (AWI). A reason for the different TCR is 31 related to ocean heat uptake in response to greenhouse gas forcing. Specifically, AWI-CM 32 simulations show stronger surface heating than MPI-ESM simulations while the latter 33 accumulate more heat in the deeper ocean. The vertically integrated ocean heat content is 34 increasing slower in AWI-CM model configurations compared to MPI-ESM model 35 configurations in the high latitudes. Weaker vertical mixing in AWI-CM model configurations 36 compared to MPI-ESM model configurations seems to be key for these differences. The 37 strongest difference in vertical ocean mixing occurs inside the Weddell Gyre and the northern 38 North Atlantic. Over the North Atlantic, these differences materialize in a lack of a warming hole 39 in AWI-CM model configurations and the presence of a warming hole in MPI-ESM model 40 configurations. All these differences occur largely independent of the considered model 41 resolutions.

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43 Key words

44 Transient climate response, CMIP6 simulations, vertical mixing

⁴⁶ Plain language summary

47 The transient climate response describes how strongly near-surface temperatures warm in 48 response to gradually increasing greenhouse-gas levels. Here we investigate the role of the ocean 49 which takes up heat and thereby delays the surface warming. Two models of the Coupled Model 50 Intercomparison Project Phase 6 (CMIP6), the Alfred Wegener Institute Climate Model (AWI-51 CM) and the Max Planck Institute Earth System Model (MPI-ESM), which use the same 52 atmosphere model but different ocean models are selected for this study. In AWI-CM the upper 53 ocean layers heat faster than in MPI-ESM, while the opposite is true for the deep ocean. As a 54 consequence, the transient climate response is 20% stronger in AWI-CM compared to MPI-55 ESM. We find that weaker vertical ocean mixing in AWI-CM compared to MPI-ESM, especially 56 over the northern North Atlantic and the Weddell Sea, is key for these differences. Our findings 57 corroborate the importance of realistic ocean mixing in climate models when it comes to getting the strength and timing of climate change right. 58

59 Introduction

60 The equilibrium climate sensitivity (ECS) and the transient climate response (TCR) are important metrics of how a climate model reacts to greenhouse gas forcing (Meehl et al., 2020). 61 62 The ECS has been already computed from climate models as early as in the 1970s (Charney et 63 al., 1979) and the TCR in the 1980s (Stouffer et al., 1989). Both metrics have been used over the 64 generations of model intercomparison projects (MIPs) that serve as a basis for the assessment reports of the Intergovernmental Panel on Climate Change (IPCC). The ECS is commonly 65 66 defined as the 2 m temperature response to a doubling of CO_2 after equilibration of the climate system. The TCR is the transient 2 m temperature response from a 1% per year CO₂ increase at 67 the time of a doubling of CO₂. The ECS is known to be mainly dependent on the atmosphere 68 69 model while the TCR also critically depends on the pattern of ocean surface warming (Meehl et 70 al., 2020). The ocean with its high heat capacity plays an important role in delaying the response 71 to a forcing resulting in a clearly lower TCR compared to the ECS (Meehl et al., 2020). Cloud 72 feedbacks and cloud-aerosol interactions in models with prognostic aerosols have a large 73 influence on ECS (Mauritsen & Roeckner, 2020; Meehl et al., 2020; Gettelman et al., 2019)

although ocean heat uptake and inhomogeneous Pacific surface warming that may not be
properly simulated by CMIP5 models have been recently acknowledged as a source of
uncertainty (Sherwood et al., 2020).

77

78 Typically, a coupled atmosphere-ocean model needs to be spun up for at least 500 years to 79 account for adjustments on comparably short time scales (Rackow et al., 2016) while it may take 80 5000 years to achieve an equilibrium of the deep ocean (Li et al., 2012). The e-folding time (time 81 when the difference between simulated and equilibrium temperature of the deep ocean has 82 decayed to 1/e times the difference between initialized and equilibrium temperature) of a 83 previous version of the Alfred Wegener Institute Climate Model (AWI-CM) has been found to 84 be about 800 years (Rackow et al., 2016). For a radiation imbalance, changes of effectiveness of 85 vertical mixing and diffusion at high latitudes as well as the thermohaline circulation have been 86 found to be important for the heat redistribution in the ocean (Banks and Gregory, 2006; Gregory 87 et al., 2000). Depending on the intensity of the circulation and vertical mixing the ocean can be a 88 heat sink of different intensity as a response to greenhouse gas forcing which may also influence 89 the climate sensitivity, especially the transient climate response (TCR). It has been found that the 90 ocean takes up 93% of the current (recent past) radiation imbalance (Rhein et al., 2013). 91

92 The role of the ocean for the climate sensitivity, especially TCR, has been investigated in various 93 previous studies: Winton et al. (2014) examine the role of horizontal resolution (eddy 94 parameterizing versus eddy resolving) on the TCR and attribute changes in the TCR to 95 differences in initial Atlantic meridional overturning circulation (AMOC) as well as AMOC 96 decline and Southern Ocean surface warming under increasing greenhouse gas concentrations. 97 Models with weaker AMOC decline tend to show higher TCR to ECS ratios. He et al. (2017) 98 point out that starting from different ocean climates can have an influence on ocean circulation 99 changes. In their study, a simulation with initially weaker overturning shows a smaller 100 overturning decrease than the one with initially stronger overturning. The weaker overturning 101 decrease leads to stronger high latitude surface heating - since the poleward energy transport is 102 less decreased - and thus stronger TCR compared to their simulation with stronger overturning 103 decrease. Furthermore, convection regions in the high latitudes such as Labrador, Weddell, and 104 Ross Sea play an important role for the redistribution of the heat. In addition, TCR may be

strongly influenced by the calculation method, and long control simulations are important to get a robust estimate of TCR (Liang et al., 2013). This is in line with investigations of variability in a pre-industrial control state which is stronger than the variability in a transient state with increasing greenhouse gas concentrations (Brierley et al., 2009). Newsom et al. (2020) argue that differences in surface warming and its influences on ventilation especially in the Southern Ocean and subtropical areas are important for the heat uptake of the ocean.

111

112 Two models in the CMIP6 archive, MPI-ESM and AWI-CM, offer the opportunity to examine 113 the influence of a different ocean sea-ice model formulation on the climate while leaving the 114 atmosphere and land surface component untouched. In contrast to previous studies (e.g., Winton 115 et al., 2014; Yokohata et al., 2007), we consider not only different horizontal ocean resolutions 116 within one model, but also consider completely different ocean models. Both models utilize the 117 atmosphere and land surface model ECHAM 6.3 / JSBACH 3.2.0. For the ocean and sea ice, 118 MPI-ESM uses MPIOM and AWI-CM FESOM. The models are documented in Mauritsen et al. 119 (2019) and Semmler et al. (2020). For both models, two different configurations are considered 120 in this study: the low resolution version with dynamic vegetation in JSBACH and the high / 121 medium resolution without dynamic vegetation in JSBACH.

122

123 The MPI-ESM versions have been tuned to an ECS of 3°C to match the observed historical 2 m 124 temperature development over the last 150 years (Mauritsen and Roeckner 2020). Nevertheless, 125 since in the AWI-CM setups the same ECHAM 6.3 / JSBACH 3.2.0 version as in MPI-ESM has 126 been used without any further tuning, different ECS and TCR in the AWI-CM setups can be 127 interpreted as the influence of the different ocean model formulation and the slightly different 128 land sea masks resulting from the coupling to the different ocean model formulation. A third 129 candidate for this comparison could be the FOCI model (ECHAM6.3 / JSBACH 3 coupled to the 130 ocean model NEMO: Matthes et al., 2020). However, in this case the ECHAM 6.3 model has 131 been differently tuned and therefore the reasons for different developments of the ocean state 132 cannot be purely ascribed to the different ocean model formulation. 133

134 In section 2 a brief model description of both coupled systems is given; section 3 compares and

- explains the results of the different models. In section 4 the results are discussed in the light of
- 136 previous studies and in section 5 conclusions are given.

137 Model components

In the following, a brief summary of the model components used in this study is given. We briefly characterize the model components rather than the coupled models since both coupled models share the same atmospheric and land components. Both coupled models employ the coupler OASIS3-MCT 3.0 (Craig et al., 2017).

142 ECHAM 6.3 / JSBACH 3.2.0

143 The general circulation model for the atmosphere is ECHAM 6.3 as it is implemented in the 144 CMIP6 version of MPI-ESM (Mauritsen et al., 2019; and Mueller et al., 2018; Mauritsen and 145 Roeckner, 2020). ECHAM consists of a dry spectral-transfer dynamical core, a transport model 146 and a suite of physical parameterizations. The vertical discretization employs a hybrid sigma-147 pressure coordinate system. ECHAM's design principles and features are described in detail in 148 Stevens et al. (2013) and Mauritsen et al. (2019) account for changes from the CMIP5 to the 149 CMIP6 generation of ECHAM. The model is applied here in two configurations that differ in 150 horizontal and vertical resolution. The low-resolution (LR) version applies a triangular truncation 151 of the spherical harmonics to 63 wave numbers (T63). In physical space this transfers to a grid 152 spacing of roughly 200km. In the vertical, the LR version employs 47 levels. The higher-153 resolution (HR) set-up has a T127 truncation (roughly 100km) and 95 vertical levels. Both 154 versions resolve the atmosphere up to 0.01 hPa or about 80km. 155

The land component is represented in the JSBACH model (Reick et al., 2013; Mauritsen et al.,
2019; Reick et al., 2021). JSBACH 3.2.0 provides the lower boundary conditions for the
atmosphere and includes the land geochemistry, soil hydrology, the terrestrial carbon cycle, and
a river-routing scheme. In the low resolution version of JSBACH 3.2.0, dynamic vegetation is

160 considered while in the high resolution version the vegetation is prescribed. While for the AWI

161 model configurations this difference is reflected in the model name of the coupled system (AWI-

162 ESM with dynamic vegetation and AWI-CM without dynamic vegetation) this is not the case for

163 the MPI model configurations (both are called MPI-ESM).

164 FESOM 1.4

165 A detailed description of FESOM 1.4 is given by Wang et al. (2014). This model is the first 166 global sea ice ocean model to use unstructured meshes with variable resolution for climate 167 research (Wang et al., 2014). The mesh flexibility allows to increase resolution in dynamically 168 active regions, while keeping a relatively coarse resolution elsewhere. FESOM allows global 169 multi-resolution simulations without traditional nesting. The dynamical core of FESOM 1.4 170 employs the finite element method to solve the primitive equations. The mesh is composed of 171 horizontal triangles that constitute the faces of 3-dimensional prisms which are cut into 172 tetrahedral elements. The ice module FESIM uses 0-layer thermodynamics and elastic-viscous-173 plastic rheology. Small-scale mixing along isopycnals as well as tracer stirring through eddies 174 are parameterized (Redi 1982, Gent & McWilliams 1990) and scaled by the local horizontal 175 resolution. Vertical mixing is implemented via KPP (Large et al. 1994). FESOM1.4 is used in 176 this study in two different horizontal resolutions while maintaining the same vertical 46 levels. 177 The low resolution varies between about 25 km in the Arctic and the tropics and around 100 km 178 in the subtropical regions (Fig. 1a). The high resolution features resolutions as high as 8 km over 179 key ocean regions such as the Gulf Stream / North Atlantic Current area, parts of the Southern 180 Ocean as well as coastal regions (Fig. 1b). Over the subtropical areas the horizontal resolution is 181 around 80 km.

182 MPIOM

183 MPIOM is the ocean component of MPI-ESM (Jungclaus et al., 2013; Mauritsen et al., 2019; 184 Mueller et al., 2018). MPIOM applies the Boussinesq and hydrostatic approximations and is 185 discretized on a Arakawa-C grid in the horizontal and on a z-level grid in the vertical direction 186 (Marsland et al., 2003). Subgrid-scale parameterizations such as those for lateral mixing on 187 isopycnals and tracer transports by unresolved eddies are described in Jungclaus et al. (2013). 188 Vertical mixing employs a Richardson-number dependent formulation (Pacanowski and 189 Philander, 1981) and directly wind-induced mixing in the mixed layer (Marsland et al., 2003). 190 The configurations used in this study differ in their horizontal grid design (Figure 1). The low-191 resolution (LR) version uses a bi-polar grid, where one grid pole is located over Antarctica, the 192 other over Greenland. The resulting grid features enhanced resolution in the northern deep water 193 formation regions and the Greenland-Scotland Ridge (Fig. 1c). The nominal 1.5 degree 194 resolution is therefore transformed to less than 20 km near Greenland and almost 200 km in the 195 tropical Pacific. The three-pole "HR" set-up (Jungclaus et al., 2013) has a more uniform 196 resolution of 0.4 degree (Fig. 1d), which can be classified as "eddy-permitting". The vertical 197 dimension is represented in both cases by 40 levels with the first 20 levels covering the upper 198 700m. The dynamical sea-ice model in MPIOM uses a viscoplastic rheology following Hibler 199 (1979) and the thermodynamic representation of sea ice is based on a simple zero-layer mono-200 category formulation (Semtner, 1976). The sea-ice model is basically unchanged from the 201 version described in Notz et al. (2013). 202

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Low resolution

High resolution



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Fig. 1: Resolution of the four ocean grids, computed as the square-root of grid-cell area. (a)
AWI-LR, (b) AWI-MR, (c) MPI-LR, (d) MPI-HR.

208

209 Methods

210 Simulations



Semmler et al. (2020) for AWI-MR, and for MPI-LR and HR in Mauritsen et al. (2019), Müller

et al. (2018), and Mauritsen and Roeckner (2020). Data of all four configurations are published

at the Earth System Grid Federation (ESGF) (Semmler et al., 2018; Danek et al., 2020; Jungclaus

227 et al., 2019; Wieners et al., 2019).

228

229 In the following, we classify AWI-MR and MPI-HR as high resolution configurations and AWI-

230 LR and MPI-LR as low resolution configurations. AWI-MR consists of the high resolution

231 version of FESOM1.4 coupled to the high resolution version of ECHAM6.3. It is called AWI-

232 MR and not AWI-HR because in CMIP6 this set-up is the MR version of AWI-CM. MPI-HR

233 consists of the high resolution version of MPIOM coupled to the high resolution version of

ECHAM6.3. Similarly, AWI-LR consists of low resolution FESOM1.4 coupled to low resolution

ECHAM6.3 and MPI-LR of low resolution MPIOM coupled to low resolution ECHAM6.3.

236

237 Unless otherwise stated, we use years 60-80 of the 1pctCO2 simulation and compare these to the

corresponding years 60-80 (after branch-off of the corresponding 1pctCO2 simulation) of the

239 piControl simulation. Since in the 1pctCO2 simulations we are in a strongly transient climate, we

240 opt for a relatively short averaging time period as we otherwise may mix signals from

substantially different states of the climate system.

ECS and TCR

243

244 For the calculation of the ECS and the TCR, we use the same methodologies as defined in the 245 ESMValTools (Eyring et al., 2016b). The ECS is computed according to the method of Gregory 246 et al. (2004). For each year, the near- surface (2 m) air temperature change and the change in net 247 downward radiative flux between the abrupt- $4xCO_2$ and piControl simulations are computed. 248 For this, a linear fit of the piControl simulation to the 150 years corresponding to the years 1-150 249 of the abrupt- $4xCO_2$ simulation is calculated. These annual fitted values are subtracted from the 250 abrupt- $4xCO_2$ simulation annual mean values. To compute the equilibrium temperature 251 difference, a regression is built from all insofar detrended data points and extrapolated to the 252 equilibrium (net shortwave radiation change = 0). The ECS is then obtained by dividing the 253 equilibrium temperature difference by 2. The TCR is computed as the globally averaged 2 m

- 254 temperature change of the 1pctCO2 simulation versus piControl (CO₂-doubling is reached after
- 255 \sim 70 years) averaged over the years 61-80. Here, the linear fit detrending was based on the first
- 256 140 years of the piControl simulation counted from the branch point of the 1pctCO2 simulation.

Two-layer energy balance model (EBM) 257

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259 Simplified climate models relating the global mean surface temperature to a prescribed effective 260 radiative forcing (Held et al., 2010, Geoffroy et al., 2013; Mauritsen et al., 2019) are able to 261 emulate the thermal properties and time-dependent responses seen in coupled atmosphere ocean 262 models (AOGCM). Using analytical solutions, Geoffroy et al. (2013) provided a method to 263 calibrate the parameters of a two-layer energy balance model (EBM) so that it can mimic a 264 specific AOGCM. The first layer corresponds to the atmosphere, the land surface and the upper 265 ocean, the second layer represents the deeper ocean below the mixed layer. The equations can be 266 written as follows:

267

 $C \frac{dT}{dt} = \mathcal{F} - \lambda T - \gamma (T - T_0)$ and (1) $C_0 \frac{dT_0}{dt} = \gamma (T - T_0).$ (2)

268

269 Here the prognostic variables T and T_0 are the temperature perturbation at the surface and a 270 characteristic temperature perturbation of the deeper ocean, respectively. F is the "effective" 271 radiative forcing. The other symbols are free parameters: C and C_0 are effective heat capacities 272 of the upper and deeper layer, λ the climate feedback parameter and γ is the heat uptake 273 coefficient of the deeper ocean. The heat capacities C and C_0 correspond to equivalent ocean 274 layer depths D and D_0 using equation 22 of Geoffroy et al. (2013). 275

276 In a first step the feedback parameter is estimated by a linear regression of the radiative

277 imbalance at the top-of-the-atmosphere as a function of the surface temperature perturbation

278 (Gregory et al., 2004). The second step involves the analytical solution of (1) and (2). For step

279 forcing, the time evolution of surface and deeper ocean temperatures take the form $T(t) = T_{eq} - a_f T_{eq} e^{-t/\tau_f} - a_s T_{eq} e^{-t/\tau_s} \text{ and}$ $T_0(t) = T_{eq} - \phi_f a_f T_{eq} e^{-t/\tau_f} - \phi_s a_s T_{eq} e^{-t/\tau_s}.$

282

The parameters can be determined by fitting the global mean surface air temperature response and regression analyses (see Geoffroy et al. (2013) for details). The solution includes the characteristic time scales for fast (τ_f) and slow (τ_s) response. This method is applied to the abrupt 4xCO₂ experiments where the effective radiative forcing is constant in time and is determined following Gregory et al. (2004).

288 **Results**

ECS and TCR from the four model configurations are shown in Table 1. The four values of TCR

are around the mean value of 2.0°C from the CMIP6 simulations available at ESGF in March

291 2020 (Meehl et al., 2020) while the four values of ECS are all below the mean value of 3.7°C

from these CMIP6 simulations (Meehl et al., 2020). Compared to the range of existing TCR

values (1.3 to 3.0 °C) and existing ECS values (1.8 to 5.6 °C) according to Meehl et al. (2020)

differences between the four model configurations are rather small (up to about 20% for TCR

and up to about 10% for ECS). However, there are some important differences between ocean

characteristics of the four model configurations that lead to the differences especially in the TCR

which are described in the following.

298

Table 1: Equilibrium Climate Sensitivity (ECS) and Transient Climate Response (TCR) from the
four model configurations, computed according to the definition in the ESMValTools (Eyring et
al., 2016b).

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	AWI-LR	MPI-LR	AWI-MR	MPI-HR
ECS (°C)	3.29±0.02	3.00±0.02*	3.16±0.03	2.98±0.03*

	TCR (°C)	2.11	1.84	2.06	1.66
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- *tuned to be close to 3.0 (to match the observed historical development of 2 m temperature)

306 The AWI configurations heat stronger at the surface in most areas compared to the MPI

307 configurations in the 1pctCO2 experiment (Fig. 2), consistent with their higher TCR. In the low

308 resolution, the surface heating is up to around 1.5 °C stronger in the AWI configuration

309 compared to the MPI configuration over the Southern Ocean as well as over parts of the North

310 Atlantic and North Pacific Ocean. There are some limited areas around the gateways to the

311 Arctic as well as in the Agulhas region and south of Australia in which the AWI low resolution

312 configuration shows up to around 1°C less surface heating compared to the MPI low resolution

313 configuration. In the high resolution, strongest positive differences in the surface heating of up to

around 2°C in AWI compared to MPI occur over parts of the North Atlantic and North Pacific

including the gateways to the Arctic. Small negative differences of up to 0.5°C are mainly

316 restricted to subtropical areas in the Southern Hemisphere.



- 317
- 318 Fig. 2: Ocean potential temperature response at 0 m and 1000 m for years 60-80 (21 years
- 319 centered around doubling of CO₂) from 1pctCO2 simulation compared to piControl. From left to
- 320 right: AWI, MPI, difference AWI minus MPI. (a), (b), (c): low resolution at 0 m; (d), (e), (f): low
- 321 resolution at 1000 m; (g), (h), (i): high resolution at 0 m; (j), (k), (l): high resolution at 1000 m.
- 322
- 323 While globally averaged there is more surface heating in the AWI configurations compared to
- the MPI configurations, the opposite is true for the mid-depth ocean (1000 m) (Fig. 2). In the
- 325 Southern Ocean as well as in the Arctic ocean AWI-LR heats by up to around 1 °C less than

MPI-LR. In the high resolution configuration, the strongest negative differences between AWI and MPI occur over the Atlantic Ocean excluding the very north. There are some areas with positive differences in mid-depth heating between AWI and MPI configurations: Stronger middepth heating in AWI compared to MPI configurations occurs over parts of the North Atlantic Ocean. In the low resolution this is the case in mid-latitude and subtropical North Atlantic areas and in the high resolution in the very north of the North Atlantic Ocean.

332

333 In conclusion, AWI configurations tend to heat stronger at the surface and weaker in mid-depth 334 compared to MPI configurations with strongest differences occurring in the Southern Ocean, 335 North Atlantic, and North Pacific. The faster surface temperature response in AWI 336 configurations compared to MPI configurations goes along with a faster decline of surface 337 albedo in the 1pctCO2 experiment (Fig. 3b). The stronger albedo decrease in AWI 338 configurations is such that the outgoing longwave radiation (OLR) is reduced compared to the 339 piControl only for a few decades (seen from difference between dotted and solid lines in Fig. 340 3a); thereafter the OLR is virtually the same as in piControl again, and the imbalance is 341 completely linked to a corresponding change in shortwave radiation: the solid and dotted blue 342 curves almost coincide in case of AWI-MR starting from year 100 onwards. In AWI-LR the 343 solid and dotted curves even cross each other around year 100, meaning that OLR becomes even 344 larger than in piControl afterwards, consistent with the even stronger albedo decrease in AWI-345 LR. That is not the case in the MPI configurations, where in both cases a reduced OLR still 346 contributes around 30% to the total imbalance at the end of the 1pctCO2 runs. 347



350 Fig. 3: Annual-mean changes in the energy budget in 1pctCO2 relative to piControl. (a) Top of

351 Atmosphere (TOA) net total (longwave (LW) + shortwave (SW); solid) and net SW (dashed)

352 radiation time series after application of an 11-year running-mean. Positive is downward. (b)

353 Changes in the effective albedo diagnosed as upwelling divided by downwelling SW radiation at

354 *the TOA (planetary; solid) and at the surface (dashed). (c) and (d) same as (a) and (b) but as*

zonal means averaged over the years 60-80, plotted against sin(latitude) to reflect equal-area

356 global contributions. (e) and (f) same as (c) and (d) but averaged over the years 130-150.

357 Extreme negative values of effective surface albedo changes, reaching about -0.2 in both polar

358 regions, are truncated in (f) to increase the visibility of changes in lower latitudes.

359

360 The faster decline of surface albedo in AWI configurations compared to MPI configurations 361 happens in particular in the southern Southern Ocean due to sea ice decline (Fig. A1), which is 362 visible on the surface albedo and to some extent also the planetary albedo (Figs. 3d,f). Slightly 363 further north, the low resolution versions exhibit a stronger planetary albedo increase compared 364 to the high resolution versions, especially in the last 21 years of the 1pctCO2 simulation (Figs. 365 3d,f). Differences in albedo changes are less pronounced in other latitudes, except for some 366 interesting differences between low and high resolution configurations around 60-70°N. The low 367 resolution configurations have been run with dynamic vegetation, the high resolution 368 configurations not. Therefore, the stronger albedo decrease in those latitudes could be due to a 369 northward extension of vegetation cover in the runs with dynamic vegetation. In fact, in addition 370 to the four configurations discussed in this manuscript, we have run AWI-LR simulations 371 without dynamic vegetation which show a similar albedo response in those latitudes compared to 372 the two high resolution configurations without dynamic vegetation (not shown).

373

AWI-MR shows a weaker anomalous inner tropical heat uptake through radiation than all other configurations, especially the low resolution configurations (Figs. 3c,e). This is apparently not related to albedo (Figs. 3d,f). At the same time, the low resolution configurations feature the weakest TOA imbalance change around 15°N/15°S.

378

It is possible to mimic the surface temperature evolution of AOGCMs with a two-layer energy balance model (EBM) containing the atmosphere and upper ocean as one layer and deeper ocean that is still active as an energy reservoir at the considered time scales of 150 years as another layer (Geoffroy et al., 2013). The very deep ocean that is at the considered time scales quasi inactive as an energy sink is excluded in this simple model. AWI configurations show a low effective heat capacity C_0 in the deeper ocean compared to MPI configurations (Table 2). The

- 385 difference is especially pronounced between the LR configurations and amounts to more than a
- 386 factor of 2. Similar differences exist for the related parameters: deeper ocean equivalent depth D_0
- and slow relaxation time scale τ_s . In contrast, upper ocean parameters C, D, and τ_f are similar
- between the four different configurations. By applying the coefficients given in Table 2, we can
- 389 mimic the AOGCM-simulated surface temperature evolution by the EBM (Fig. 4). Roughly
- 390 consistent with the deeper ocean parameters, AWI-LR shows the strongest surface heating while
- 391 the two MPI configurations show the weakest surface heating.
- 392

Combining these insights with the results from Figure 2, the EBM analysis shows that AWI

configurations, especially the LR configuration, simulate a stronger near-surface heating and less
 vertical heat exchange with the deep ocean (below the two layers of the EBM) compared to MPI
 configurations.

- 397
- 398 *Table 2: Parameter estimates from the global mean two-layer energy balance model according*
- to Geoffroy et al. (2013) for AWI and MPI configurations. λ is the radiative feedback parameter,
- 400 γ the heat exchange coefficient, C and C₀ the upper and deeper ocean effective heat capacities, D
- 401 and D_0 the upper and deeper ocean layer equivalent depths, and τ_f and τ_s the fast and slow
- 402 *relaxation time scales.*
- 403

Param	AWI-LR	MPI-LR	AWI-MR	MPI-HR
$\lambda [Wm^{-2}K^{-1}]$	-1.18	-1.42	-1.15	-1.21
γ [Wm ⁻² K ⁻¹]	0.53	0.71	0.55	0.80
C [Wyrm ⁻² K ⁻¹]	7.58	8.06	7.1	6.84
C ₀ [Wyrm ⁻² K ⁻¹]	36.05	93.14	50.68	76.42

D [m]	79.38	84.41	74.35	71.2
D ₀ [m]	377.5	975.3	530.7	800.3
τ _f [years]	4.34	3.74	3.99	3.35
τ _s [years]	101.4	198.0	131.4	160.6

404

405



407 Fig. 4: Surface temperature evolution for AWI-LR (light-blue), MPI-LR (orange), AWI-MR
408 (blue), and MPI-HR (red), from the abrupt-4xCO2 simulations (solid lines) and the upper layer
409 fit to a 2-layer EBM (dashed lines).

410

406

411 However, investigating the total ocean column including the very deep ocean not covered by the

412 2-layer EBM, it turns out that the vertically integrated ocean heat content increases less in AWI

413 configurations compared to MPI configurations according to the 1pctCO2 simulations in most

414 latitudes, especially in the high latitudes (Fig. 5). Only in limited mid-latitude bands there is a by

415 a factor of up to 2 stronger increase of vertically integrated ocean heat content in AWI-MR



- 426
- 427





429 Fig. 5: Temporal evolution of zonal mean heat content density of 1pctCO2 simulation [Jm-2x1e-

- 430 9] as anomaly with respect to piControl simulation (left and middle columns) for a) AWI-LR, b)
- 431 MPI-LR, d) AWI-MR, and e) MPI-HR. Right column: differences AWI minus MPI between c)
- 432 *low resolution configurations and f) high resolution configurations*.
- 433

434 Differences in mixed-layer depth (Fig. 6) suggest that weaker mixing in AWI compared to MPI 435 configurations may be key for these differences. The strongest difference occurs in the key 436 region Weddell Gyre, but there are also important differences in another key region, the northern 437 North Atlantic. This is consistent with the fact that the strongest differences in ocean heat content 438 increase between AWI and MPI configurations occur in the high latitudes (Fig. 5). Comparing 439 the monthly maximum mixed layer depth with ARGO float measurement data (Holte et al., 440 2017), it turns out that AWI configurations underestimate mixing in the northern high latitudes 441 while MPI configurations overestimate Southern Ocean mixing, especially in the Weddell Sea. 442 The ARGO float measurement data can neither be compared directly to the piControl nor to the 443 1pctCO2 simulations at the time of doubling of CO₂ but would be representative for a CO₂ 444 concentration between piControl and doubling of CO₂.

445



447 Fig. 6: 21-year mean (years 60-80 of 1pctCO2 simulation and corresponding years of piControl)

448 of monthly maximum mixed layer depth (m; CMIP6 variable omldamax) for (from top to bottom)

- 449 AWI-LR, AWI-MR, MPI-LR, MPI-HR. piControl (left), 1pctCO2 (middle) and their difference
- 450 (right). Panel m) shows measurements from ARGO floats (Holte et al., 2017).
- 451

452 The high latitudes, especially key regions such as the Gulf Stream separation, the Kuroshio, the 453 Agulhas, and the Nordic Seas are characterized by heat release at the air-sea interface in all 454 simulations (examples shown in Figs. 7 a,b). In contrast, the tropical Pacific takes up heat at the 455 surface. With increasing greenhouse gas concentrations, none of the four model configurations 456 shows a substantial change in the tropics (Figs. 7 c-f). Strongest affected regions of heat release 457 decrease are the Gulf stream separation and the North Atlantic subpolar gyre. Furthermore, an 458 extended area of heat release decrease exists over the Southern Ocean. This is true for all four 459 model configurations. Differences between AWI and MPI configurations (Fig. 7 g,h) are not as 460 clear-cut as for other investigated parameters; there are small areas of stronger / weaker heat 461 release decrease in AWI compared to MPI configurations close to each other.

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-40





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Fig. 7: Ocean heat uptake (W/m²) computed as the sum of radiative and turbulent heat fluxes at
the ocean-atmosphere interface averaged over years 60-80 of the 1pctCO2 simulation and the
corresponding 21 years of the piControl simulation. (a) AWI-LR piControl, (b) AWI-LR
1pctCO2, (c) AWI-LR response (1pctCO2 minus piControl), (d) MPI-LR response, (e) AWI-MR
response, (f) MPI-HR response, (g) AWI-LR response minus MPI-LR response, (h) AWI-MR
response minus MPI-HR response.

477

478 The substantially weakening heat release with increasing greenhouse gas concentrations in all 479 four investigated model configurations over the North Atlantic subpolar gyre and surroundings 480 (Figs. 7 c-f) is related to a relatively small ocean surface temperature increase (Figs. 2a, b, g, h) 481 compared to adjacent regions due to a weakening of the Atlantic Meridional Overturning 482 Circulation (AMOC). The AMOC starts at different levels for the different configurations, AWI-483 LR showing the weakest AMOC and MPI-LR the strongest (Fig. 8). However, here we explain 484 the changes with the AMOC anomalies of the 1pctCO2 experiment with respect to piControl 485 (Fig. 9). The ocean surface temperature increase is particularly small in the MPI configurations 486 (compare Figs. 2b and h for MPI configurations with Figs. 2a and g for AWI configurations); in 487 these configurations there even is a small area over the North Atlantic subpolar gyre in which the

- 488 ocean surface temperature actually decreases with increasing greenhouse gas concentrations.
- 489 This phenomenon is referred to as the North Atlantic warming hole (e.g., Chemke et al., 2020;
- 490 Menary and Wood, 2018). It is likely related to the weakening of the AMOC which is more
- 491 pronounced in MPI configurations compared to AWI configurations (Figs. 9 and 10). While
- 492 according to AWI configurations the AMOC at 26°N decreases by around 5 Sv and the
- 493 northward heat transport by 0.2 PW towards the end of the 140-year period of the 1pctCO2
- 494 simulation, stronger decreases of around 8 Sv and 0.3 PW occur, respectively, in the MPI
- 495 configurations (Figs. 10 and 11).



497 Fig. 8: Latitude-depth plot of the Atlantic meridional overturning circulation (Sv) for the piControl

498 simulations (averaged over the 150 years corresponding to the years of 1pctCO2 simulations)
499 for (a) AWI-LR, (b) MPI-LR, (c) AWI-MR, and (d) MPI-HR.



Fig. 9: Latitude-depth difference plot of the Atlantic meridional overturning circulation (Sv)
between the 1pctCO2 simulation (averaged over the years 60-80) and the piControl (over the
150 years corresponding to the years of the 1pctCO2 simulation) for (a) AWI-LR, (b) MPI-LR, (c)

505 AWI-MR, and (d) MPI-HR.



507 Fig. 10: Time series of the AMOC strength in Sverdrup (1Sv=106m3s-1) at 26N and appr.

508 1000m depth for the 1pctCO2 experiment (solid lines) and the piControl simulation (dashed

509 lines) for (a) AWI-LR, (b) MPI-LR, (c) AWI-MR, and (d) MPI-HR. Thin black lines denote the

510 period for averaging in Fig. 8.



512 Fig. 11: Time series of the meridional ocean heat transport in Peta Watt (PW) at 26N for the
513 1pctCO2 experiment (solid lines) and the piControl simulation (dashed lines) for (a) AWI-LR, (b)

514 MPI-LR, (c) AWI-MR, and (d) MPI-HR. Thin black lines denote the period for averaging in Fig. 9.

515 Discussion and conclusions

516 This study highlights the importance of the ocean and in particular the intensity of high-latitude 517 ocean mixing for the TCR. In contrast, the ECS is only weakly affected by ocean formulation. 518 The small impact of the ocean formulation on the estimated ECS in our study may even be 519 spurious since the linear Gregory et al. (2004) model applied to only 150 years of data results in 520 inaccuracies of the ECS, or more specifically an underestimation of the ECS (Knutti and 521 Rugenstein, 2015; Rugenstein et al., 2020). In fact, if there is a systematic offset between the 522 estimated ECS and the true ECS depending on how fast the deep ocean takes up heat, the higher 523 estimated ECS for the AWI configurations might be due to differences in mixing (and thus the 524 TCR) rather than true ECS differences. However, true ECS differences cannot be ruled out, one

525 possible reason being differences in cloud feedback induced by the different underlying ocean

- 526 (Sherwood et al., 2020; Andrews et al., 2012). However, changes in total cloud cover are very
- 527 similar between the different simulations (not shown). In addition, different warming patterns are
- 528 known to result in different ECS (Rugenstein et al., 2020 and references therein).
- 529

For climate change impact studies relevant for society the TCR is more appropriate as it serves
better to predict the development of the climate in the next decades (Knutti et al., 2017). An
equilibrium state of the coupled atmosphere-ocean system is reached only after several thousand
years (Li et al., 2012) and is therefore not as relevant for political decision-making as the TCR.

534

535 In the case of the two considered CMIP6 models in this study we found that the AWI model 536 upper ocean layers heat faster as a response to greenhouse gas forcing compared to the MPI 537 model upper ocean layers while the opposite is true in the deep ocean starting from around 1000 538 m downward. The faster upper layer ocean warming can be mimicked with a two-layer energy 539 balance model (EBM). AWI configurations, especially AWI-LR, are pumping the heat less 540 vigorously into the deep ocean (1000 m and below) compared to MPI configurations and 541 therefore warm relatively fast in the upper ~1000 m. From the sea surface, more energy is 542 transferred to the atmosphere and then into space as longwave radiation in AWI configurations 543 compared to MPI configurations. As a result, the anthropogenically induced energy imbalance 544 leads to slower heat accumulation in the global ocean column than in MPI configurations. 545 Differences come from the high latitudes while the tropics are hardly affected. We link this to 546 weaker high-latitude ocean mixing, particularly in the key regions Weddell Gyre and Nordic 547 Seas in AWI configurations compared to MPI configurations.

548

The convection regions of the ocean which are linked to the changes in overturning strength are key for the differences in the heat redistribution of the ocean that we see in our study. Kostov et al. (2014) link the different vertical distribution of ocean heat uptake to differences in the Atlantic meridional overturning circulation (AMOC). Weaker AMOC and weaker decrease of it as response to greenhouse gas forcing have been linked to stronger high latitude surface heating and stronger TCR increase (He et al., 2017; Winton et al., 2014). In our study this relationship is true for the *changes* in, but not necessarily the *initial intensity* of the overturning strength which 556 amounts to 17 to 18 Sv for both the AWI and MPI high-resolution configurations. However, for 557 the low resolution versions both relationships discussed in He et al. (2017) and Winton et al. 558 (2014) do hold since AWI-LR starts with an AMOC of about 15 Sv and MPI-LR with an AMOC 559 of about 20 Sv in pre-industrial conditions. According to AWI configurations the AMOC at 560 26°N decreases by around 5 Sv and the northward heat transport by 0.2 PW towards the end of 561 the 140-year period of the 1pctCO2 simulation; according to MPI configurations stronger 562 decreases of around 8 Sv and 0.3 PW occur. This leads to a lack of a North Atlantic subpolar 563 gyre warming hole in AWI model configurations and therefore stronger surface warming 564 compared to MPI model configurations. In contrast, MPI model configurations show a clear 565 warming hole over the North Atlantic subpolar gyre with local cooling in this region.

566

A substantial increase in TCR along with a comparably weak increase in ECS has been found previously by Yokohata et al. (2007) when increasing horizontal ocean resolution; even the values (20% TCR increase along with 10% ECS increase) are very similar to the values reported in our study - with the difference that in our case this does not happen through increasing the horizontal resolution but through replacing the ocean component MPIOM with FESOM. In both cases different high-latitude ocean mixing leads to the differences in TCR and ECS.

573

In our case the ocean mixed layer depth seems to suffer from different biases in the different models: AWI model configurations tend to show too weak mixing in the northern high latitudes while MPI model configurations are more realistic compared to ARGO floats there (Fig. 6). On the other hand, over the Southern Ocean the MPI model configurations show strong ocean mixing in the Weddell Gyre which is not observed from ARGO floats - here AWI model configurations seem to be more realistic.

580

There is an interesting aspect of tuning a model towards the observed near-surface temperature increase during the historical simulation through tuning the ECS to a certain value as done for MPI model configurations (Mauritsen and Roeckner, 2020): A higher (lower) ECS model with a stronger (weaker) deep-ocean mixing might yield a similar historical surface temperature evolution, that is, stronger deep-ocean mixing might compensate for a higher ECS when it comes to the TCR. In case one would have wanted to tune the AWI model configurations to match the historical 2 m temperature development, the tuning goal would have been towards a lower ECScompared to MPI model configurations.

589

Regarding the vertical ocean heat uptake distribution, Gleckler et al. (2016) analysed the ocean heat uptake from pre-industrial times to 2010 in three different layers: 0-700 m, 700-2000 m, below 2000 m. They conclude that the uppermost 700 m have taken around twice as much energy compared to 700-2000 m and around four times as much energy as below 2000 m. For a more recent time period, 1972-2018, von Schuckman et al. (2020) found a similar vertical distribution: the uppermost 700 m have taken almost twice as much energy as the layer between 700-2000 m and almost six times as much as below 2000 m.

597

598 A quantitative comparison with our results cannot be made due to the different strength of 599 transient forcing (1% per year in our idealized model simulations; less than half of that in the 600 past few decades). Nevertheless the order of magnitude is similar between both models and the 601 observations. In AWI configurations the uppermost 700 m takes around three times as much 602 energy as the layer between 700-2000 m. For AWI-MR, the uppermost 700 m takes around nine 603 times as much energy as the lowest layer below 2000 m while in AWI-LR the deep ocean heat 604 uptake is negligible and therefore the factor between uppermost 700 m heat uptake and 2000 m 605 and below heat uptake is as large as around 50. Consistent with the stronger ocean mixing in 606 MPI configurations compared to AWI configurations, especially the factor between the two 607 upper layers (uppermost 700 m versus 700-2000 m) is limited to 2 in MPI-HR and even 1.5 in 608 MPI-LR. In MPI-HR the uppermost 700 m takes around 10 times more heat than the layer below 609 2000 m while in MPI-LR the factor is only 4. Generally, the observations show values between 610 the AWI and the MPI configurations. Having said this, due to the stronger transient forcing in 611 our idealized model simulations compared to the observed greenhouse gas concentration 612 increase, the factors are expected to be higher than in the observations. 613

Brierley et al. (2009) state that cold ocean states in a pre-industrial climate tend to warm stronger

615 in response to greenhouse gas forcing compared to warm ocean states. While they investigate

this for different states of the ocean in one long control run we see this phenomenon in two

- 617 different versions of the AWI model: AWI-LR is about 1 K colder than AWI-MR in pre-
- 618 industrial climate and warms stronger as response to greenhouse gas forcing.
- 619

620 The faster albedo response in AWI configurations compared to MPI configurations may be due

to the more rapid surface temperature response (due to weaker connection with the deep ocean as

622 seen from EBM results and weaker mixing in AWI configurations compared to MPI

623 configurations) rather than the other way around. This implies that the equilibrium response

should be more similar between the models again. Indeed it is the TCR that is substantially

625 different between AWI and MPI model configurations rather than the ECS.

626

627 Donohoe et al. (2014) make an attempt to constrain shortwave and longwave radiation changes

628 with satellite observations from the last decades. They come to the conclusion that OLR

629 reduction takes place only for a few decades after greenhouse gas forcing is switched on and that

630 afterwards shortwave radiation feedbacks kick in and lead to enhanced shortwave radiation

absorption at the surface. This seems to be more consistent with the AWI simulations (Fig. 3a);

632 however a quantitative comparison is not possible because the considered simulations in our

633 study are idealized 1pctCO2 simulations. This is a faster increasing forcing compared to the

observed greenhouse gas concentration increase during the last century.

635

Even though a quantitative comparison of the AWI and MPI simulations with observations
cannot be made due to the pre-industrial and idealized 1pctCO2 rather than historical forcing, it
becomes clear from this study that a realistic representation of high-latitude ocean mixing is
crucial for constraining the TCR. For future studies historical simulations should be considered

639 Crucial for constraining the TCK. For future studies instorical simulations should

640 in a comparison.

641

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890	Figure and Table captions
891	
892	Fig. 1: Resolution of the four ocean grids, computed as the square-root of grid-cell area. (a)
893	AWI-LR, (b) AWI-MR, (c) MPI-LR, (d) MPI-HR.
894	
895	Fig. 2: Ocean potential temperature response at 0 m and 1000 m for years 60-80 (21 years
896	centered around doubling of CO ₃) from 1pctCO2 simulation compared to piControl. From left to
897	right: AWI, MPI, difference AWI minus MPI. (a), (b), (c): low resolution at 0 m; (d), (e), (f): low
898	resolution at 1000 m; (g), (h), (i): high resolution at 0 m; (j), (k), (l): high resolution at 1000 m.
899	
900	Fig. 3: Annual-mean changes in the energy budget in 1pctCO2 relative to piControl. (a) Top of
901	Atmosphere (TOA) net total (longwave (LW) + shortwave (SW); solid) and net SW (dashed)
902	radiation time series after application of an 11-year running-mean. Positive is downward. (b)
903	Changes in the effective albedo diagnosed as upwelling divided by downwelling SW radiation at
904	the TOA (planetary; solid) and at the surface (dashed). (c) and (d) same as (a) and (b) but as
905	zonal means averaged over the years 60-80, plotted against sin(latitude) to reflect equal-area
906	global contributions. (e) and (f) same as (c) and (d) but averaged over the years 130-150.
907	Extreme negative values of effective surface albedo changes, reaching about -0.2 in both polar
908	regions, are truncated in (f) to increase the visibility of changes in lower latitudes.
909	
910	Fig. 4: Surface temperature evolution for AWI-LR (light-blue), MPI-LR (orange), AWI-MR (blue),
911	and MPI-HR (red), from the abrupt-4xCO2 simulations (solid lines) and the upper layer fit to a 2-
912	layer EBM (dashed lines)
913	
914	Fig. 5: Temporal evolution of zonal mean heat content density of 1pctCO2 simulation [Jm-2x1e-
915	9] as anomaly with respect to piControl simulation (left and middle columns) for a) AWI-LR, b)
916	MPI-LR , d) AWI-MR, and e) MPI-HR. Right column: differences AWI minus MPI between c) low
917	resolution configurations and f) high resolution configurations

- 919 Fig. 6: 21-year mean (years 60-80 of 1pctCO2 simulation and corresponding years of piControl)
- 920 of monthly maximum mixed layer depth (m; CMIP6 variable omldamax) for (from top to bottom)
- 921 AWI-LR, AWI-MR, MPI-LR, MPI-HR. piControl (left), 1pctCO2 (middle) and their difference
- 922 (right). Panel m) shows measurements from ARGO floats (Holte et al., 2017).
- 923
- 924 Fig. 7: Ocean heat uptake (W/m²) computed as the sum of radiative and turbulent heat fluxes at
- 925 the ocean-atmosphere interface averaged over years 60-80 of the 1pctCO2 simulation and the
- 926 corresponding 21 years of the piControl simulation. (a) AWI-LR piControl, (b) AWI-LR 1pctCO2,
- 927 (c) AWI-LR response (1pctCO2 minus piControl), (d) MPI-LR response, (e) AWI-MR response,
- 928 (f) MPI-HR response, (g) AWI-LR response minus MPI-LR response, (h) AWI-MR response
- 929 minus MPI-HR response.

930 Fig. 8: Latitude-depth plot of the Atlantic meridional overturning circulation (Sv) for the piControl

- simulations (averaged over the 150 years corresponding to the years of 1pctCO2 simulations)
- 932 for (a) AWI-LR, (b) MPI-LR, (c) AWI-MR, and (d) MPI-HR.
- 933 Fig. 9: Latitude-depth difference plot of the Atlantic meridional overturning circulation

934 (Sv) between the 1pctCO2 simulation (averaged over the years 60-80) and the piControl (over

935 the 150 years corresponding to the years of the 1pctCO2 simulation) for (a) AWI-LR, (b) MPI-

936 *LR*, (c) *AWI-MR*, and (d) *MPI-HR*.

- 937 Fig. 10: Time series of the AMOC strength in Sverdrup (1Sv=106m3s-1) at 26N and appr.
- 938 1000m depth for the 1pctCO2 experiment (solid lines) and the piControl simulation (dashed
- 939 lines) for (a) AWI-LR, (b) MPI-LR, (c) AWI-MR, and (d) MPI-HR. Thin black lines denote the
- 940 period for averaging in Fig. 8.
- 941 Fig. 11: Time series of the meridional ocean heat transport in Peta Watt (PW) at 26N for the
- 942 1pctCO2 experiment (solid lines) and the piControl simulation (dashed lines) for (a) AWI-LR, (b)
- 943 MPI-LR, (c) AWI-MR, and (d) MPI-HR. Thin black lines denote the period for averaging in Fig. 9.
- 944

Table 1: Equilibrium Climate Sensitivity (ECS) and Transient Climate Response (TCR) from the
four model configurations, computed according to the definition in the ESMValTools (Eyring et
al., 2016b).

- 949 Table 2: Parameter estimates from the global mean two-layer energy balance model according
- 950 to Geoffroy et al. (2013) for AWI and MPI configurations. λ is the radiative feedback parameter,
- 951 γ the heat exchange coefficient, C and C_o the upper and deeper ocean effective heat capacities,
- 952 D and D_o the upper and deeper ocean layer equivalent depths, and τ_i and τ_s the fast and slow
- 953 relaxation time scales.

954 APPENDIX





959 Fig. A1: Antarctic sea ice reduction (%) for years 60-80 of 1pctCO2 simulation compared to

- 960 corresponding years of piControl simulation for (a) AWI-LR, (b) MPI-LR, (c) AWI-MR, and (d)
- 961 *MPI-HR*