Deducing non-migrating diurnal tides in the middle thermosphere with GOLD observations of the Earth's far ultraviolet dayglow from geostationary orbit

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Abstract

The Global-scale Observations of the Limb and Disk (GOLD) Mission images middle thermosphere temperature and the vertical column density ratio of oxygen to molecular nitrogen ($\Sigma O/N_2$) using its far ultraviolet imaging spectrographs in geostationary orbit. Since GOLD only measures these quantities during daylight, and only over the ~140^o of longitude visible from geostationary orbit, previously developed tidal analysis techniques cannot be applied to the GOLD dataset. This paper presents a novel approach that deduces two specified non-migrating diurnal tides using simultaneous measurements of temperature and $\Sigma O/N_2$. DE3 (diurnal eastward propagating wave 3) and DE2 (diurnal eastward propagating wave 2) during October 2018 and January 2020 are the focus of this paper. Sensitivity analyses using TIE-GCM simulations reveal that our approach reliably retrieves the true phases, whereas the restriction in longitude and random noise can lead to ~ 50% error in the retrieved amplitudes at certain latitudes. Application of our approach to GOLD data during these time periods provides the first observations of non-migrating diurnal tides in measurements taken from geostationary orbit. We identify discrepancies between GOLD observations and TIE-GCM modeling. It is found that ionospheric contamination of $\Sigma O/N_2$ perturbations vary with season and can introduce biases as large as 3% around the equatorial ionization anomaly.

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17	Key Points:
18	i. First estimates of non-migrating diurnal tides from an observational platform in
19	geostationary orbit using GOLD.
20	ii. Deduction of non-migrating tides via known phase relationships between
21	temperature and composition.

22 iii. Retrieved tidal amplitudes from GOLD observations exceed their respective TIE23 GCM amplitudes by a factor of two in some cases.

24 Abstract

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42 Plain Language Summary

43 The uppermost region of the Earth's atmosphere, known as the thermosphere (~80-600
44 km altitude), is connected to the lowermost region by planetary-scale atmospheric waves, called

45 non-migrating tides, which are thermally driven and do not follow the apparent motion of the 46 Sun across the sky. Understanding non-migrating tides is essential to describing the global 47 dynamics of the Earth's upper atmosphere. There is a gap in observations of these waves in the 48 middle thermosphere temperature, around 150 km altitude. The NASA/GOLD instrument, in 49 geostationary orbit above the mouth of the Amazon River, images the temperature and 50 composition of the middle thermosphere. Conventional tidal analysis techniques cannot be 51 applied to the GOLD dataset, so we have designed a novel technique that infers important tides 52 using simultaneous measurements of temperature and composition. For two separate time 53 periods, we apply our technique to simulated observations and actual GOLD data. We find that 54 our technique generally infers the most important tides in simulated data with high accuracy. 55 The GOLD data reveal valuable observations of tides in the middle thermosphere as well as 56 discrepancies with the simulated data.

57

58 1) Introduction

59 The temperature and composition of the middle thermosphere change drastically with 60 altitude. The neutral temperature increases sharply with altitude while the density of neutral 61 constituents tends to decrease exponentially according to their respective scale heights (as well 62 as the production and loss mechanisms for certain species). Atomic oxygen and molecular 63 nitrogen are the two main constituents of this region. The vertical column density ratio of these 64 two $(\Sigma O/N_2)$ is a sensitive measure of thermosphere composition. Any upward propagating 65 waves present in the mesosphere/lower thermosphere (MLT) can impact middle thermosphere 66 temperature and composition structures. A subset of thermal atmospheric tides, including some 67 non-migrating components, are generated in the troposphere and have long enough vertical

68 wavelengths to penetrate the thermosphere (Hagan et al., 2002). Decades of space-based 69 measurements have shown that the upper atmosphere owes a significant amount of its 70 longitudinal variability to non-migrating tides (e.g., Forbes et al., 2003, 2008; García-Comas et 71 al., 2016; Häusler & Lühr, 2009; Lieberman et al., 1991, 2013; Oberheide et al., 2002). 72 Thermal atmospheric tides are persistent planetary-scale waves in the neutral atmosphere 73 which are principally forced by absorption of solar radiation. They have components which have 74 periods that are subharmonics of a solar day and zonal wavelengths that are integer fractions of 75 circles of constant latitude. Non-migrating diurnal tides are the non-Sun-synchronous 76 components that have periods equal to a solar day. These tidal components induce longitudinal 77 and local time perturbations in the thermosphere-ionosphere system, and it has been shown that 78 some of the most prominent are forced by latent heat release from deep tropical convection in the 79 equatorial troposphere (Hagan et al., 2007). Additional sources of these waves in the 80 thermosphere include, but are not limited to, changes in solar radiation absorption by the 81 troposphere (Zhang et al, 2010a), wave-wave interactions (Forbes et al., 2006), and magnetic 82 field influences (Jones et al., 2013). Non-migrating diurnal tides perturb the MLT neutral 83 temperature (Zhang et al., 2006), thermospheric wind (Liebermann et al., 2013), neutral 84 composition (Oberheide et al., 2013), and significantly modify the ionosphere (England et al., 85 2012; Immel et al., 2006). Accurate characterization of non-migrating tides is required to 86 establish agreement between modeled and observed longitudinal variations of thermosphere 87 dynamics (Ward et al., 2010). The naming convention of tidal components used in this paper is 88 as follows. The name of a tidal component begins with its period: (D = diurnal, S = semidiurnal, S = semid89 T = terdiurnal), followed by its horizontal propagation direction: (E = eastward, W = westward, 90 no letter included in the case of stationary wave), and ends with its zonal wavenumber in the

91 universal time frame. For example, DE3 is the tidal component that propagates eastward with 92 diurnal period and zonal wavenumber 3 and S0 is the stationary semidiurnal component. 93 Longitudinal oscillations caused by non-migrating diurnal tides can be found in various 94 atmospheric fields observed by spacecraft. The global longitude coverage afforded by 95 continuous datasets collected by low Earth orbiting satellites enables the decomposition of 96 observed tides into zonal wavenumbers. Analysis of temperature observations collected by the 97 Sounding of the Atmosphere using Broadband Emission Radiometry (SABER) instrument, 98 onboard the Thermosphere Ionosphere Mesosphere Energetics and Dynamics (TIMED) satellite 99 in low Earth orbit, has elucidated the climatology of tides in the MLT region (Forbes et al., 2008; 100 Zhang et al., 2006). Non-migrating tides have been characterized in zonal wind at 400 km as 101 observed by the Challenging Minisatellite Payload (Haüsler and Lühr, 2009) as well as near 260 102 km by the Gravity Field and Steady-State Ocean Circulation Explorer (Gasperini et al., 2015). 103 Consequently, the tidal spectrum of the MLT is well-understood on climatological timescales 104 and there is some knowledge of tides at the upper thermosphere. However, there exists a gap of 105 understanding of tidal temperature dynamics in the lower and middle thermosphere. Up to now, 106 empirical modeling, namely, the Climatological Tidal Model of the Thermosphere (CTMT), has 107 been used to extend MLT temperature tides to the middle thermosphere (Oberheide et al., 2011). 108 Recently, Nischal et al. (2019) diagnosed non-migrating tides in nitric oxide 5.3 μ m and 109 carbon dioxide 15 μ m infrared cooling rates between 100 and 150 km as measured by SABER. 110 Infrared cooling rate tides derived from SABER are a sensible proxy for tidal activity in middle 111 thermosphere temperature. However, characterization of tides in middle thermosphere 112 temperature has not been done heretofore due to the absence of global-scale systematic 113 measurements.

114 Tidal features are expected to be prominent in spacecraft measurements of daytime 115 $\Sigma O/N_2$, but such variations have not yet been fully explained at all local times (He et al., 2010; 116 Kil et al., 2013). As discussed in Cui et al. (2014), the linearized continuity equation for plane 117 wave perturbations in the absence of rapid diffusion and in the long-wavelength limit takes the 118 form:

119
$$\frac{\tilde{\rho}_i}{\bar{\rho}_i} = \frac{j\tilde{w}}{\omega H_i},\tag{1}$$

Where $\tilde{\rho}_i/\bar{\rho}_i$ is the relative density perturbation corresponding to species *i*, \tilde{w} is the vertical wind 120 121 perturbation, ω is the wave period, H_i is the species-dependent scale height, and j is the 122 imaginary unit. Therefore, atomic oxygen and molecular nitrogen respond differently according 123 to their respective scale heights. Modification of the distribution of atomic oxygen and 124 molecular nitrogen in the thermosphere is one pathway through which tides can modify the 125 ionosphere (England et al., 2010) since the ion production rate is proportional to [O] while 126 ionosphere loss is proportional to [N₂]. Analysis of TIMED/GUVI data (He et al., 2010) 127 revealed unexpected wavenumber-4 longitudinal signatures in $\Sigma O/N_2$ which remained stationary. 128 This contradicts the expectation from previous tidal observations that wavenumber-4 variations 129 propagate eastward because the DE3 amplitude in the middle thermosphere is much larger 130 (~20%) than that of the stationary planetary wave-4 (England et al., 2010; Hagan et al., 2009; 131 Häusler et al., 2010). Kil and Paxton (2011) and Kil et al. (2013) proposed that 135.6 nm 132 emissions originating from O+ radiative recombination in the ionosphere contribute more to the 133 tidal variations in the derived $\Sigma O/N_2$ as compared to contributions from emissions due to 134 photoelectron impact in the middle thermosphere. In Appendix: Assessing the Impact of 135 Ionospheric Contamination, we discuss possible ionospheric signatures in the GOLD 136 measurements of $\Sigma O/N_2$ used in this work.

137	The importance of properly characterizing troposphere-thermosphere tidal coupling has
138	partially motivated the dedication of several novel spaceflight missions designed to investigate
139	the thermosphere and ionosphere from Earth orbit. The NASA Global-scale Observations of the
140	Limb and Disk (GOLD) mission has been imaging neutral temperature and $\Sigma O/N_2$ from
141	geostationary orbit since October 2018 (Eastes et al., 2020). The global and continuous
142	sampling afforded by GOLD allows for the study of tides at periods much shorter than the
143	precession period of a low Earth orbiting spacecraft. However, the GOLD instrument only
144	samples on the dayside disk within its field-of-regard. Therefore, the full tidal spectrum cannot
145	be extracted from the GOLD dataset.
146	The purpose of this paper is to (1) describe a novel approach to deducing non-migrating
147	diurnal tides using observations of far ultraviolet dayglow from geostationary orbit and (2)
148	present first results from application of the approach to GOLD data. This paper is organized as
149	follows. Section 2 describes the GOLD and TIEGCM datasets used in this work. Section 3
150	provides an explanation of the non-migrating diurnal tide retrieval algorithm. Section 4 presents
151	tests of the method on simulated GOLD data as well as the first tides retrieved from GOLD data
152	during two seasons, focusing on DE3 and DE2. Section 5 gives a summary and conclusions.
153	

154 **2) Data**

155 2.1 GOLD Dayside Disk Observations

The GOLD mission employs two identical far ultraviolet imaging spectrographs onboard
the SES-14 telecommunications satellite in geostationary orbit at 47.5° West (McClintock et al.,
2020a; McClintock et al., 2020b). From geostationary orbit, GOLD has the advantage of being
able to separate spatial and temporal variations as well as image the Earth without being

160 contaminated by the South Atlantic Anomaly. The two identical and independent channels (A
161 and B) of the GOLD instrument measure emissions from ~ 132 to 162 nm of the limb and disk in
162 its field-of-regard which encompasses much of North and South America, the Atlantic Ocean,
163 and West Africa. GOLD performs dayside disk scans ~ 68 times each day at 30-minute cadence.
164 The northern and southern hemispheres are scanned separately.

165 GOLD infers disk neutral temperature from the rotational structure of N₂ LBH band 166 system emissions, $\sim 2/3$ of which comes from within one scale height of the altitude of peak 167 emission near 150 km. The GOLD disk neutral temperature is thus an effective, column 168 integrated quantity that is weighted heavily by the peak of the N₂ LBH volume emission rate 169 (photons cm⁻³ s⁻¹). Since the peak altitude of emission increases with solar zenith angle (SZA) 170 and neutral temperature increases rapidly with height, there is a weak (<20%) dependence of the 171 GOLD effective temperature on SZA, particularly above ~60°. GOLD infers $\Sigma O/N_2$ from atomic 172 oxygen 135.6 nm and molecular nitrogen LBH band emissions (Correira et al., 2020). Disk 173 temperature and $\Sigma O/N_2$ are not retrieved when the SZA is greater than 80° or the view angle from 174 local nadir, referred to as the emission angle, is greater than 75°.

From geostationary orbit, GOLD provides new opportunities to investigate the impacts of neutral dynamics (Oberheide et al., 2020) and geomagnetic activity (Cai et al., 2020; Cai et al., 2021) on thermospheric composition. One of the primary scientific objectives of the GOLD mission is to determine the significance of tides propagating from below on the thermospheric temperature structure (Eastes et al., 2017). This work addresses this objective by deducing nonmigrating diurnal tides in the combined temperature-composition dataset from GOLD. In the following, we use GOLD Level 2 TDISK and ON2 data products, Version 3, which both use

182 channel A exclusively and contain images with data reported at 52 longitudes and 46 latitudes
183 (250 x 250 km² resolution at nadir).



Figure 1. (a) Contribution function used in the computation of effective neutral temperature, at
three select solar zenith angles and nadir viewing. (b) TIE-GCM non-migrating diurnal
temperature field as a function of altitude and longitude at 12:00 UT during October.

189 2.2 GOLD Observational Filter

190 The NCAR Thermosphere Ionosphere Electrodynamics General Circulation Model (TIE-191 GCM) is a nonlinear, three-dimensional representation of the coupled thermosphere-ionosphere 192 system (Maute et al., 2017). TIE-GCM is used in this work because it provides all the 193 parameters needed to simulate the tides in GOLD dayside disk observations. Much work has 194 been done to analyze and validate tides as lower boundary forcing in TIE-GCM (Chang et al., 195 2013; Jones et al., 2014; Pedatella et al., 2011). The TIE-GCM output used in this work has 10-196 minute temporal resolution and $2.5^{\circ} \times 2.5^{\circ}$ spatial resolution. The 10.7 cm solar radio flux was 197 set to 70 sfu. The lower boundary, at approximately 97 km, is perturbed by tides from the 198 Global Scale Wave Model (Hagan et al. (2002); see also Zhang et al. (2010b) and references

therein) thereby representing propagation of tides from below. As a model of disk neutraltemperature, we calculate effective neutral temperature expressed as:

201
$$T_n^{eff}(\lambda) = \frac{\int j(s)e^{-\tau(s,\lambda)}T_n(s)ds}{\int j(s)e^{-\tau(s,\lambda)}ds}$$
(2)

Where *s* is the slant path distance from the spacecraft (cm), *j* is the N₂ LBH volume emission rate (photons cm⁻³ s⁻¹), τ is the wavelength dependent slant optical depth due to absorption by molecular oxygen, and T_n is the neutral temperature (K). For our calculations of effective temperature, we define *j* as that of the N₂ LBH (2,0) band at 138.3 nm. Eqn. 2 can be rewritten as:

207
$$T_n^{eff}(\lambda) = \int C(s,\lambda)T_n(s)ds$$
(3)

Where $C(s, \lambda)$ is a normalized emission rate profile called the contribution function which weights the neutral temperature profile. The contribution function, whose altitude dependence changes with solar zenith angle and emission angle (EMA), maximizes at the altitude of peak LBH emission rate.

212 Figure 1a shows the contribution function for nadir viewing $(EMA = 0^{\circ})$ and for three 213 select solar zenith angles: 0°, 60°, and 70°. Figure 1b presents the TIE-GCM neutral temperature 214 non-migrating diurnal field as a function of altitude and longitude at 12:00 UT during October. 215 There is a clear wavenumber-3 pattern and eastward phase progression up to a certain altitude, \sim 216 180 km, above which amplitudes and phases settle to roughly constant values as molecular 217 diffusion becomes dominant. This pattern indicates the DE3 tide. The temperature amplitude of 218 the tides at the altitude of the peak emission is on the order of 10 K, but the effective temperature 219 amplitude is necessarily lower since a band of altitudes, over which tidal phase varies, is sampled. For our purposes, we keep the viewing geometry angles constant at SZA = 70° and 220 $EMA = 0^{\circ}$. This is justified because (1) our approach (discussed in Section 3) uses data at SZA 221

222	\sim 70°, (2) the contribution function depends weakly on EMA at high SZA, and (3) allowing SZA
223	to vary would lead to distorted tides due to SZA effects. To compute $\Sigma O/N_2$ from TIE-GCM, we
224	define the vertical O column densities relative to a standard reference N_2 depth of 10^{17} cm ⁻²
225	(Strickland et al., 1995). The non-migrating diurnal tidal phases that are used as a priori
226	information in our approach (see Section 3) are computed as a function of latitude and month
227	using two-dimensional fast Fourier transforms. Figure 2 presents the latitudinal structure of
228	select non-migrating diurnal tides in effective neutral temperature and $\Sigma O/N_2$ during October and
229	solar minimum conditions according to TIE-GCM. Temperature amplitude is presented in units
230	of Kelvin and $\Sigma O/N_2$ amplitude is expressed as percent deviation from the daytime zonal mean at
231	each latitude. Phase is presented as the universal time of maximum at 0° longitude. The same
232	representations of amplitude and phase are used throughout this paper. DE3 is the dominant tide
233	during October. Figure 3 is the same as Figure 2 but for January and solar minimum conditions.
234	In January, DE3 and DE2 are the two leading components in the non-migrating diurnal spectrum.
235	In Section 4.1, we use a simulated GOLD dataset to test the sensitivity of our approach to
236	random noise and aliasing. We simulate GOLD images of neutral temperature and $\Sigma O/N_2$ by
237	projecting the 24-hour, full global coverage, TIE-GCM model output onto the disk in the GOLD
238	field-of-regard. This is done through a geolocation algorithm that determines the perimeter of
239	the disk in GOLD's field-of-regard. Only model grid points inside this perimeter are sampled for
240	our analysis and, consistent with GOLD data products, we restrict data to SZA $< 80^{\circ}$ and
241	EMA < 75°.





Figure 2. TIE-GCM non-migrating diurnal tidal amplitudes and phases as a function of latitude for effective neutral temperature, (a) and (c), and column O/N_2 ratio, (b) and (d), during October and solar minimum conditions.



247 *Figure 3.* Same as Figure 2 but for January.

3) A Novel Approach to Deducing Non-Migrating Diurnal Tides

249 The algorithm used in this work deduces the dominant, non-migrating diurnal tides in the 250 combined temperature-composition dataset from GOLD. The algorithm products are tidal 251 amplitudes and phases as functions of latitude for two specified non-migrating diurnal 252 components. In this section, we will describe the case of deducing the DE3 and DE2 tides 253 during October to provide an overview of the procedure. Deducing other tides during other 254 seasons follows a similar approach (shown in Section 4.2). The algorithm assumes that the non-255 migrating diurnal variations are composed of two tidal components: DE3 and DE2, in this case. 256 The validity of this assumption is discussed in Section 4.1. A constraint on the temperature-257 composition phase differences enforces consistency between the deduced temperature and 258 composition tides whose phase relationship depend on the horizontal wavelength and direction of 259 zonal propagation (Eqn. 4). Our algorithm makes the additional assumption that the zonal mean 260 of the dusk – dawn difference is correct despite the incomplete longitude coverage. We have 261 found that the DE3 amplitude bias introduced by limited longitude sampling is on average less 262 than 2% for October and depends on the DE3 phase. For January, we found that the bias in the 263 maximum deviation of the non-migrating diurnal proxy is on average less than 5% and depends 264 on the tidal phases of DE3 and DE2.

This work follows in the long tradition of inferring diurnal tides from 12-hour differences (Brownscombe et al., 1985; Hitchman and Leovy, 1985; Lieberman et al., 1991, 2004, 2013; Oberheide et al., 2002; Wallace and Hartranft, 1969; Wallace and Tadd, 1974; Ward et al., 1999). For our approach, a proxy for the non-migrating diurnal tides is computed in the following way. First, at each spatial grid point, we take half the difference of two measurements taken at local times roughly 12 hours apart. This eliminates the mean value, removes the

271 semidiurnal and stationary planetary wave signals, and leaves the diurnal variations (assuming 272 that higher order periodicities are negligible). It is important to note that GOLD affords 273 approximately 10-hour local time differences rather than the ideal 12-hour because of the SZA 274 restrictions. Because the same local times, longitudes, and latitudes are sampled each day, taking 275 multiple day averages of data can be done to smooth the variations due to short-term and long-276 term traveling planetary waves. For dayside disk sampling from geostationary orbit, computing 277 \sim 12-hour local time differences is achieved by taking the difference of measurements near dusk 278 and dawn. We interpolate to the earliest morning local time and the latest evening local time 279 possible to take the maximum constant local time difference. Additionally, we require that the 280 SZA for the dusk and dawn data points are within 1 degree because offsets in SZA would 281 introduce large biases (Figure 1a). This requirement typically leads to data being analyzed at 282 SZA ~ 70°.

283 The non-migrating diurnal proxy at each latitude bin is then specified by the deviations 284 from the zonal mean of the dusk – dawn differences. For each latitude, the method of analysis 285 proceeds by normalizing the longitudinal perturbations. For temperatures, this is done by 286 dividing by the maximum temperature perturbation M_T , i.e., the maximum deviation from the 287 zonal mean of the dusk – dawn differences. Similarly, for $\Sigma O/N_2$, this is done by dividing by the 288 maximum $\Sigma O/N_2$ perturbation $M_{\rm R}$. In this way, temperature and $\Sigma O/N_2$ are weighted evenly in 289 the fit. The normalized longitudinal perturbations serve as the observations to be fitted to in a 290 least squares approach. When least squares fitting, we use Fourier harmonics which include 291 correction terms accounting for the less than 12-hour local time differences following Oberheide 292 et al. (2002). At a constant latitude and altitude, a tidal component with period n and zonal

293 wavenumber *s* induces a perturbation in universal time *t* and longitude λ of the form, following 294 Zhang et al., (2006),

$$A_{n,s}\cos\left(n\Omega t + s\lambda - \phi_{n,s}\right) \tag{4}$$

Where s < 0 denotes eastward zonal propagation, Ω is the rotation rate of the Earth, $A_{n,s}$ is the tidal component's amplitude, and ϕ is the tidal component's phase (typically defined as the universal time of maximum at 0° longitude). It is commonplace to analyze spacecraft measurements in the local time frame. The conversion between local time t_{LT} and universal time t is the following:

$$t_{LT} = t + \lambda/\Omega \tag{5}$$

300 Substituting Eqn. 5 into Eqn. 4 yields the tidal perturbation in the local time frame:

$$A_{n,s}\cos\left(n\Omega t_{LT} + (s-n)\lambda - \phi_{n,s}\right) \tag{6}$$

301 Migrating tides (n = s) are thus longitudinally invariant at a constant local time while non-302 migrating $(n \neq s)$ control longitudinal variability in the local time frame. The temperature non-303 migrating diurnal proxies at a single latitude, as a function of longitude λ , can be expressed by 304 Eqn. 7 where T_1 and T_2 are expressions for tidal perturbations consisting of DE3 and DE2 at local times t_1 and t_2 , respectively (see Eqns. 8 and 9). Residual contributions due to tidal 305 306 components not captured in the fitting, DO for example, contributes to the uncertainty in the 307 estimated tidal parameters. In this analysis, t_1 denotes a morning local time, t_2 , an evening local 308 time.

309

$$\Delta T(\lambda) = T_2(\lambda) - T_1(\lambda), \tag{7}$$

310
$$T_{1}(\lambda) = T_{DE3}\cos(\Omega t_{1} - 4\lambda - \phi_{DE3}) + T_{DE2}\cos(\Omega t_{1} - 3\lambda - \phi_{DE2}) + T_{b},$$
(8)

311
$$T_{2}(\lambda) = T_{DE3}\cos(\Omega t_{2} - 4\lambda - \phi_{DE3}) + T_{DE2}\cos(\Omega t_{2} - 3\lambda - \phi_{DE2}) + T_{b},$$
(9)

312 T_{DE3} and T_{DE2} denote the DE3 and DE2 temperature amplitudes, ϕ_{DE3} and ϕ_{DE2} , the DE3 and

313 DE2 temperature phases. Ω is the Earth's rotation rate. T_b denotes a tidal bias term which

314 vanishes in the local time difference. Eqn. 10 gives an analytical expression of the local time

315 difference if only DE3 and DE2 contribute to the non-migrating diurnal proxy. Note that $\Delta t =$

316 $t_2 - t_1 - 12$. Eqn. 11 is the corresponding expression for $\Sigma O/N_2$ where R_{DE3} and R_{DE2} denote

317 the DE3 and DE2 $\Sigma O/N_2$ amplitudes, Φ_{DE3} and Φ_{DE2} , the DE3 and DE2 $\Sigma O/N_2$ phases.

318
$$\Delta T(\lambda) = 2T_{DE3}\cos\left(\Omega\frac{\Delta t}{2}\right)\cos\left(\Omega t_2 + \Omega\frac{\Delta t}{2} - 4\lambda - \phi_{DE3}\right) + 2T_{DE2}\cos\left(\Omega\frac{\Delta t}{2}\right)\cos\left(\Omega t_2 + \Omega\frac{\Delta t}{2} - 3\lambda - \phi_{DE2}\right), \quad (10)$$

319
$$\Delta R(\lambda) = 2R_{DE3}\cos\left(\Omega\frac{\Delta t}{2}\right)\cos\left(\Omega t_2 + \Omega\frac{\Delta t}{2} - 4\lambda - \Phi_{DE3}\right) + 2R_{DE2}\cos\left(\Omega\frac{\Delta t}{2}\right)\cos\left(\Omega t_2 + \Omega\frac{\Delta t}{2} - 3\lambda - \Phi_{DE2}\right), \quad (11)$$

320 The $\Sigma O/N_2$ phases Φ_{DE3} and Φ_{DE2} are constrained by the prescribed phase differences at the

321 latitude of interest (Eqns. 12 and 13).

322
$$\Phi_{DE3} = \phi_{DE3} - \Theta_{DE3}$$
, (12)

$$\Phi_{DE2} = \phi_{DE2} - \Theta_{DE2} , \qquad (13)$$

324 Θ_{DE3} and Θ_{DE2} are the temperature – $\Sigma O/N_2$ phase differences for DE3 and DE2 respectively

- 325 (Figure 4). To best fit the data, the prescribed phase differences are allowed to vary $+/-10^{\circ}$ of
- 326 longitude.



This large divergence is likely a consequence of differences between the observed and modeled atmospheres related to the tidal vertical winds and the rate of dissipation/nonlinearity in the tides. In October, we require that $T_{DE3} \ge 3T_{DE2}$ to ensure that DE3 is much higher in amplitude than DE2. This constraint is justified for this season because DE3 has consistently been identified as the dominant non-migrating diurnal component around September equinox on a climatological basis (Forbes et al., 2006; Nischal et al., 2019).

In order to deduce the tides, the normalized non-migrating diurnal proxies for temperature and $\Sigma O/N_2$, T_{obs} and R_{obs} , are simultaneously fitted to Eqns. 10 and 11. A leastsquares scheme determines the combination of temperature tidal parameters T_{DE3} , T_{DE2} , ϕ_{DE3} , and ϕ_{DE2} that yields the lowest total squared residual $T_{res}^2 + R_{res}^2$ (Eqn. 18).

$$T_{res}^2 + R_{res}^2 = [T_{obs}(\lambda) - \Delta T(\lambda)]^2 + [R_{obs}(\lambda) - \Delta R(\lambda)]^2,$$
(18)

354 We have implemented a pattern search optimization approach (Lewis et al., 2000) to efficiently 355 determine a solution. The five best combinations of tidal parameters are determined from a 25 356 $\times 25 \times 25 \times 25$ parameter grid and serve as initial guesses. For each initial guess, the residual 357 value is then compared to those at each of its neighboring grid points after the parameter grid 358 resolution is halved. If one of the neighboring grid points yields a lower total squared residual, 359 then the center moves to that point. If the center is the best guess, then the parameter grid 360 resolution is further halved. This process proceeds until there have been 4 reductions. The 361 deduced tidal parameters are taken from best result out of the five pattern searches starting from 362 the initial guesses. The retrieved temperature and $\Sigma O/N_2$ amplitudes in normalized units are 363 then converted back to geophysical units by multiplying by the respective maximum 364 perturbation, i.e., M_T and M_R .

366 4) Results

367 4.1 TIE-GCM Sensitivity Analyses

368 Testing our approach on the TIE-GCM-simulated GOLD dataset, consisting of the 369 effective neutral temperature and vertical column density ratio of O to N_2 (described in Section 370 2), allows us to examine its reliability when applied to a dataset in which the true tides are 371 known. This dataset contains a realistic tidal spectrum and is sampled in the observational 372 geometry of GOLD. Two test cases are considered: October and January during solar minimum 373 conditions. For both, we deduce DE3 and DE2 between -21.25° to 21.25° latitude. We restrict 374 our analysis to this latitude range as it is where DE3 and DE2 have their largest amplitudes 375 (Figures 2 and 3). Robustness of our algorithm to noise is tested using runs at 5 linearly 376 increasing levels of random noise where the maximum noise magnitude for temperature is 60 377 Kelvins and 0.08 (~15%) for $\Sigma O/N_2$. We performed 10 simulations at each noise level and 378 compare the average result to the truth to reduce random effects in the amplitude and phase 379 errors.

380 During October, DE3 is the most dominant non-migrating tide (Figure 2). Shown in 381 Figure 5a is the percent error in the temperature amplitude retrieval for DE3 as a function of 382 latitude and random noise magnitude. Figures 5b shows the absolute error (in units of hours of 383 universal time) of the phase retrieval for DE3. The $\Sigma O/N_2$ results are similar and are thus not 384 shown. The errors in the deduced DE2 tide are not shown because the DE2 amplitude is small 385 during October. The DE3 phases (Figure 5b) are retrieved very accurately even in the case of 386 maximum random noise. The error in the deduced DE3 amplitude (Figure 5a) strongly depends 387 on random noise amplitude and latitude. For the lowest noise amplitudes, the error is negligible. For the case of maximum noise amplitude, the DE3 temperature amplitude is overestimated by
about 40% at 21.25° N, but elsewhere the error is negligible.

390 To assess the assumption that only two tides are present, we applied our approach to a 391 modified dataset where we remove the terdiurnal tide and all components in the non-migrating 392 diurnal spectrum except for DE3 and DE2. This filtering removes the tidal aliasing caused by 393 components assumed to be zero and removes any bias in the zonal mean caused by a partially 394 viewed component such as DO, for example. It was found that the errors in deduced tidal 395 parameters do not change appreciably (supplementary material, Figure S1). This suggests that 396 tidal aliasing does not play a major role and that the errors present in Figure 5 are primarily due 397 to random noise and the restriction in longitude.



Figure 5. Retrieval errors as a function of latitude and random noise amplitude for DE3
temperature tidal amplitudes (a) and phases (b) when applying our approach to a simulated
GOLD dataset for October and solar minimum conditions.

Figure 6 is the same as Figure 5 but for January. The errors in the deduced DE2 are included since, along with DE3, it is the leading non-migrating diurnal tide (Figure 3). Figures 6a and 6c show the percent error in the deduced temperature amplitude for DE3 and DE2 respectively. The DE3 amplitude is underestimated by ~40% in the southern hemisphere at the

406 second noise level (12 K) while DE2 is overestimated by as much as \sim 50% for the highest noise 407 level (60 K). Interestingly, the underestimation of the DE3 amplitude in the southern hemisphere 408 is smaller for the highest noise levels. A possible explanation is that the random noise drowns 409 out the residual contributions from other non-migrating diurnal tides. Phase retrieval error as 410 shown by Figures 6b and 6d is negligible for both DE3 and DE2, always less than 4 hours. As 411 was done for October, we applied our approach to a modified dataset where only DE3 and DE2 412 remain. It was found that the errors in the retrieved tidal amplitudes are smaller when residual 413 contributions from other components are removed (supplementary material, Figure S2). 414 Therefore, more so than in October conditions, aliasing of tides assumed to be absent contributes 415 to uncertainty in the retrieved amplitudes.



418 *Figure 6.* Same as Figure 5, but for January and the errors in the retrieved DE2 tidal

⁴¹⁹ *parameters are also shown.*

4.2 Application to the GOLD Dataset

421 In this subsection we discuss application of our approach (discussed in Section 3) to 422 GOLD observations from two weeks during different seasons: 21-27 October 2018 and 8-14 423 January 2020. These fitting periods were selected to be (1) long enough to smooth large day-to-424 day tidal variability (Li et al., 2015; Pedetalla et al., 2016), (2) representative of times when non-425 migrating tides are strong and somewhat different, and (3) absent of rapid changes, e.g., during a 426 sudden stratospheric warming or geomagnetic storm. Our analysis is conducted between -25° to 427 25° latitude. We initially analyze the data in the irregularly spaced latitude-longitude spatial grid 428 provided in the GOLD data products. While this would not be justified at mid to high latitudes, 429 there is only a negligible change in latitude across a row of pixels reported on the disk within this 430 latitude range. The value at each disk pixel (longitude, latitude) and scan (universal time) in our 431 analysis represents the 7-day mean. About 68 GOLD dayside disk scans are performed at about 432 the same universal times each day during the respective time periods. It is assumed that the tidal 433 amplitudes and phases are time-invariant during the fitting period. GOLD disk neutral 434 temperature responds episodically to variations in geomagnetic and solar activity (not shown) 435 while $\Sigma O/N_2$ exhibits response to geomagnetic activity (Cai et al., 2020). Therefore, we ensure 436 that only days with sufficiently low geomagnetic and solar activity are used in the analysis. Our 437 geomagnetic activity threshold is Kp > 4 and our solar activity threshold is a F10.7cm index 438 more than 2.5 standard deviations higher than the mean F10.7cm index over a window equal to 439 the fitting period +/-7 days. Data are also treated for outliers by removing data points for a 440 given pixel/scan that are 2 standard deviations from the median value (most 7-day time series for 441 a pixel/scan contain one outlier, if any). Also, we disregard the edge rows of pixels around the 442 equator where data quality may be lower (due to reduced sensitivity of the detector near the end

443	of the entrance slit). The standard deviation for the 7-day means corresponding to a given
444	pixel/scan is on average about 50 K for temperature and 6% relative to the zonal mean for $\Sigma O/N_2$
445	at the latitudes/SZA analyzed. Additionally, we found it necessary to remove linear trends with
446	longitude from the non-migrating diurnal proxies (especially $\Sigma O/N_2$) at some latitudes. This
447	linear detrending makes the salient wave signal more apparent. One may consider that the linear
448	trends with longitude are the actual tides (which must have zonal wavelengths larger than the
449	GOLD field-of-regard, i.e., zonal wavenumber 1 or 2), and the residuals reflect random noise.
450	But this is unlikely since analysis of slightly offset fitting periods or the same season in different
451	years yields similar $\Sigma O/N_2$ morphology after the linear trends are removed (not shown). Before
452	performing the least squares fit to the tidal perturbation equations, we interpolate the normalized
453	longitudinal perturbations to an evenly spaced longitude grid so that each sector of longitude is
454	equally weighted in the fit. The non-migrating diurnal proxies are also smoothed in the
455	longitude dimension. We estimate the resultant damping of the dominant tidal amplitudes(s) is
456	on the order of 5%. In what follows, we present results for each time period.
457	Both TIE-GCM simulations (see Figure 2) and SABER observations of MLT temperature
458	(Forbes et al, 2006) indicate that DE3 is the dominant tidal component at/around September
459	equinox. DE2 is the secondary tide in our analysis during this time because of its similar modal
460	structure to that of DE3. In Figure 7, we compare global maps of the dusk – dawn difference
461	(non-migrating diurnal proxy) and the retrieved tides (DE3 + DE2) for temperature (K) and
462	$\Sigma O/N_2$ (% relative to the zonal mean at each latitude). Figures 7a and Figure 7b respectively
463	indicate peak-to-peak perturbations of about 32 K and 7%. The latitudinal structure is not
464	symmetric, and the phase rapidly changes with latitude especially in temperature. It is
465	noteworthy that both the temperature and the $\Sigma O/N_2$ dusk and dawn differences exhibit these

466 features. This similarity may be explained by a combination of (1) similar tidal dynamics and 467 (2) instrument or processing artifacts. It is not surprising that the northern hemisphere and 468 southern hemisphere are not coherent since there are clear hemispheric biases in the GOLD disk 469 neutral temperature and $\Sigma O/N_2$ measurements (not shown) caused by varying instrument 470 characteristics along the slit that are not currently removed in the processing of FUV radiances 471 (McClintock et al., 2020b). Additionally, the relatively high uncertainty in the retrieved disk 472 neutral temperature at high SZA analyzed leads to the noisy dusk – dawn differences in Figure 473 7a, perhaps best exemplified by the unphysical change in temperature north of (60° W, 15° N). 474 Figures 8 and 9 respectively show the retrieved amplitudes and phases as functions of 475 latitude. We show select latitudes where the correlation coefficient between the non-migrating 476 diurnal proxy and retrieved tides is greater than 0.75 for both temperature and $\Sigma O/N_2$. The error 477 bars represent the root mean square deviation of the least squares fit at each latitude and indicate 478 the degree of uncertainty. Figure 8a shows that the DE3 temperature amplitude is mostly above 479 10 K which is greater than that from TIE-GCM (Figure 2a). The DE3 $\Sigma O/N_2$ amplitudes shown 480 in Figure 8b are markedly higher in the southern hemisphere than in the northern hemisphere. 481 The amplitude in the southern hemisphere is greater than that from TIE-GCM (Figure 2b). 482 Results for DE2 amplitudes (Figures 8c and 8d) are similar but much lower in amplitude. The 483 results in Figure 8 indicate the DE3 and DE2 amplitudes required to generate the perturbations in 484 Figures 7a and 7b and provide the first estimates of non-migrating diurnal tidal amplitudes in 485 middle thermosphere temperature. Phases as a function of latitude are shown in Figure 9 in units 486 of universal time of maximum at 0° longitude. All the retrieved phases for a given component 487 and parameter appear to be within about 4 hours. This suggests that we are seeing the same 488 wave at these latitudes while the tides at other latitudes are perhaps obscured by instrument

artifacts or limitations associated with using GOLD disk neutral temperature at high SZA. In general, the retrieved tides generally reproduce the large-scale morphology of the dusk – dawn differences (compare Figure 7a to 7b and 7c to 7d). It does not do so when the phase difference between the temperature and $\Sigma O/N_2$ variations differs much more than 10° longitude from the prescribed phase difference provided by TIE-GCM. Proving what causes this discrepancy is beyond the scope of this work but they may differ because of (1) instrument/algorithm artifacts present in the GOLD data or (2) TIE-GCM does not perfectly represent the real atmosphere.



496

Figure 8. Retrieved amplitudes from GOLD data during October 2018 as a function of latitude

503 for DE3, (a) and (b), and DE2, (c) and (d). Errors bars reflect the root mean square deviation of

504 the least squares fit at each latitude. Only latitudes where the least squares fit in both

505 temperature and O/N_2 yields a correlation coefficient greater than 0.75 are shown.

Figure 9. Retrieved phases (universal time of maximum at 0° longitude) from GOLD data during October 2018 as a function of latitude for DE3 (a) and DE2 (b). Temperature is shown in blue, O/N_2 in red. Only latitudes where the least squares fit in both temperature and O/N_2 yields a correlation coefficient greater than 0.75 are shown.

512 TIE-GCM (Figure 3) indicates that DE3 and DE2 are the leading components in the non-513 migrating diurnal spectrum around January solstice. Forbes et al. (2008) analyzed 514 TIMED/SABER temperatures from 2003-2005 and showed that DE2 was the dominant non-515 migrating diurnal tide at the equator and at 116 km altitude around January solstice, with DE3 516 being minor. Informed by both modeling and observations, we deduce DE3 and DE2 during 517 January 2020. Figures 10, 11, and 12 are the same as Figures 7, 8, and 9 but when we apply our 518 approach to GOLD data analyzed over 8-14 January 2020. The dusk – dawn differences 519 (Figures 10a and 10c) respectively have peak-to-peak perturbations of about 42 K and about 520 11%. Figure 10b and 10d reproduce the large-scale structure present in Figures 10a and 10c 521 respectively. Figure 10a, like its October counterpart (Figure 7a), exhibits seemingly random 522 fluctuations as well as a lack of latitude symmetry. The same reasons discussed above for 523 October 2018 likely explain these features. Figure 10c shows that for $\Sigma O/N_2$ there is a coherent 524 structure in the non-migrating diurnal tide with zonal wavelength approximately equal to 100° of

535 Figure 10. Same as Figure 7 but for 8-14 January 2020.

542 Latitude, degrees
543 *Figure 12. Same as Figure 9 but for 8-14 January 2020.*

544 **5)** Summary and Conclusions

We have presented a synoptic view of non-migrating diurnal tides in the middle 545 546 thermosphere temperature and composition using GOLD, the first of its kind from an 547 observational platform in geostationary orbit. To accomplish this, we have employed a novel 548 approach to estimate non-migrating diurnal tides in the middle thermosphere. Our approach 549 derives two specified non-migrating tides, i.e., DE3 and DE2, from simultaneous observations of 550 temperature and composition ($\Sigma O/N_2$) by taking dusk – dawn differences, while constraining 551 temperature – composition phase relationships using TIE-GCM. We have provided the first 552 estimates of non-migrating diurnal tidal amplitudes in middle thermosphere temperature. The 553 DE3 and DE2 amplitudes required to explain the observed diurnal variations exceed the 554 respective TIE-GCM amplitudes. The latitudinal structure of the retrieved tides exhibit a lack of 555 continuity and symmetry, not present in TIE-GCM simulations, possibly caused by a 556 combination of (1) unrepresented tidal dynamics, (2) relatively high uncertainty of GOLD disk 557 neutral temperature at higher SZA, and (3) instrument/algorithm artifacts. Nevertheless, even 558 estimates with ~50% amplitude retrieval errors provide much needed constraints on temperature 559 tides in the middle thermosphere. A systematic removal of contaminant ionospheric contribution 560 to the observed $\Sigma O/N_2$ tides will be the topic of a future work.

561

562 Appendix: Assessing the Impact of Ionospheric Contamination

563 O^+ radiative recombination by the equatorial arcs has the potential to impact the global 564 structure of the $\Sigma O/N_2$ dusk – dawn differences. Previous studies (e.g., Kil et al., (2013) and 565 references therein) have shown that investigations of non-migrating tides in $\Sigma O/N_2$ retrieved 566 from far ultraviolet dayglow are impacted by O^+ radiative recombination in the ionosphere,

567	concentrated around the equatorial ionization anomaly (EIA), which emits at the same
568	wavelength, 135.6 nm, used in the $\Sigma O/N_2$ retrieval. Kil et al. (2013) concluded that the
569	longitudinal wave patterns in GUVI $\Sigma O/N_2$ near 15:00 LT mostly reflect the ionosphere 135.6
570	nm emissions. The tidal variations in the O ⁺ radiative recombination likely correlate with those
571	in F-region plasma density which are driven by E-region dynamo modulation by tidal winds
572	(England et al., 2006; Immel et al., 2006). In general, the $\Sigma O/N_2$ tidal signatures near the EIA
573	may be produced by a superposition of the thermospheric tides and the ionospheric
574	contamination, which should both have the same wavenumber structure but are out of phase. It
575	is expected that $\Sigma O/N_2$ near the morning terminator is less impacted by the ionosphere (since
576	nighttime recombination depresses the O+ density). In the following, we assess the potential
577	impact of ionospheric contamination on the $\Sigma O/N_2$ non-migrating diurnal proxies used in our
578	approach. GOLD has the unique advantage of measuring post-sunset 135.6 nm emissions of the
579	ionosphere in the same sector of the Earth over which $\Sigma O/N_2$ is retrieved during daytime (Eastes
580	et al., 2019). We use version 04 GOLD night scans, exclusively channel B, to construct a map of
581	post-sunset 135.6 nm emissions by averaging into a local time bin extending from 19:00-22:00
582	LT. These maps serve as a proxy for the ionospheric contribution to 135.6 nm emissions around
583	dusk used in the $\Sigma O/N_2$ retrieval. The maps are constructed using data from 21-27 October 2018
584	and 8-14 January 2020. When analyzed in the same fashion, the dusk – dawn difference of the
585	ratio of the 135.6 nm and LBH band (1356/LBH) intensities correlate extremely well (not
586	shown) with those of $\Sigma O/N_2$ (shown in Figures 7c and 10c) since $\Sigma O/N_2$ is derived from
587	1356/LBH. We can therefore assess the potential impact of ionospheric contamination on our
588	approach by first removing the post-sunset 135.6 nm emissions from the dusk 135.6 nm
589	emissions used in the retrieval of $\Sigma O/N_2$ and then recomputing the 1356/LBH ratio. Figures 13a

590 and 13b compare 1356/LBH brightness ratios before and after the post-sunset 135.6 nm 591 emissions are removed for the period during October 2018. Note that there is a gap of 592 longitudinal coverage on the western side of the disk because GOLD does not perform night 593 scans in the entire region over which GOLD performs day scans. Figure 13c shows the 594 difference of the 1356/LBH before and after treating for ionospheric contamination. This 595 difference resembles the map of post-sunset 135.6 (Figure 13d) used in the removal. The 596 geomagnetic equator is indicated as a dashed line in Figure 13d, and the brightest post-sunset 597 135.6 nm emissions clearly follow the equatorial arcs and exhibit longitudinal asymmetry, 598 especially in the southern hemisphere. Figure 13c suggests that while the ionospheric 599 contamination appreciably affects the longitudinal asymmetry, it is not the dominant mode of 600 variability in the global structure of the 1356/LBH pattern. Therefore, ionospheric 601 contamination does not affect the zonal wavenumber or phase and likely thus does not 602 fundamentally change the retrieved tides. Figure 14 is the same as Figure 13 but for January 603 2020. The post-sunset 135.6 nm emissions (Figure 14d) are dimmer during this time and the 604 resulting difference between the 1356/LBH brightness ratios before and after treating 605 ionospheric contamination (Figure 14c) is smaller. It is evident that ionospheric contamination 606 has a seasonal dependence such that our results in October 2018 are more likely to be impacted 607 by ionospheric contamination. Although beyond the scope of the current work, it is conceivable 608 to produce a revised GOLD $\Sigma O/N_2$ product where the post-sunset 135.6 nm emissions are 609 removed from the retrieval input near dusk. From the above analysis, we expect the ionospheric 610 signature in GOLD $\Sigma O/N_2$ non-migrating tides to be minimal due to the pronounced dip in the 611 magnetic equator with respect to the geographic equator across the Earth in GOLD's field-of-612 regard which would tend to smooth out any ionospheric signature in the non-migrating tides.

615616Figure 13. Dusk-dawn differences of 1356/LBH intensity ratios before (a) and after (b) O+RR617is removed from the dusk 1356 radiances. Presented as perturbations from the zonal mean of618the 1356/LBH ratio. (c) shows the difference of (a) and (b). The global map (d) of post-sunset6191356 used in the O+RR treatment. The dashed line indicates the geomagnetic equator. The620dotted lines north and south of the geomagnetic equator indicate lines of constant geomagnetic621latitude at +/- 15°.

624 Figure 14. Same as Figure 13 but for 8-14 January 2020.

625

626 Data Availability Statement

- 627 GOLD data are available from the GOLD Science Data Center
- 628 (http://gold.cs.ucf.edu/search/) and the NASA Space Physics Data Facility

629 (https://spdf.gsfc.nasa.gov). The TIE-GCM tidal parameters and contribution function used in

- 630 this work are available for peer-review purposes at
- 631 https://figshare.com/s/1e29f99114a466f4dc08?file=27913752 (this will later be moved to the
- 632 Virginia Tech Library permanent repository and assigned a DOI).

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Supporting Information for

Deducing non-migrating diurnal tides in the middle thermosphere with GOLD observations of the Earth's far ultraviolet dayglow from geostationary orbit

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Contents of this file

Figure S1 Figure S2

Introduction

This document presents figures which summarize a noise sensitivity analysis of our approach as applied to a dataset simulated by TIE-GCM, but where residual contributions from tides assumed to be absent are removed.

Figure S1. Retrieval errors as a function of latitude and random noise amplitude for DE3 temperature tidal amplitude (a) and phase (b) when applying our approach to a simulated GOLD dataset for October and solar minimum conditions where only DE3 and DE2 contribute to the non-migrating diurnal variations. Results for the retrieval of tidal parameters in column O/N_2 are similar and thus not shown. Results for DE2 are not shown because it has a negligible amplitude in October TIE-GCM runs.

Figure S2. Same as Figure S1, but for January and the errors in the retrieved DE2 tidal parameters are also shown.