

# True Gravity in Ocean Circulation

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## Abstract

Two related issues in oceanography are addressed: (1) the unit vector ( $\mathbf{k}$ ) normal to the Earth spherical/ellipsoidal surface is not vertical (called deflected-vertical) since the vertical is in the direction of the true gravity,  $\mathbf{g} = i\gamma_\lambda + j\gamma_\varphi + kg_z$ , with  $(\lambda, \varphi, z)$  the (longitude, latitude, depth) and  $(i, j, k)$  the corresponding unit vectors; and (2) the true gravity  $\mathbf{g}$  is replaced by the standard gravity  $(-g_0\mathbf{k}, g_0 = 9.81 \text{ m/s}^2)$ . In the spherical/ellipsoidal coordinate  $(\lambda, \varphi, z)$  and local coordinate  $(x, y, z)$ , the  $z$ -direction is along  $\mathbf{k}$  (positive upward). The spherical/ellipsoidal surface and  $(x, y)$  plane are perpendicular to  $\mathbf{k}$ , and therefore they are not horizontal (called deflected-horizontal) since the horizontal surfaces are perpendicular to the true gravity  $\mathbf{g}$  such as the geoid surface. In the vertical-deflected coordinates, the true gravity  $\mathbf{g}$  has deflected-horizontal component,  $\mathbf{g}_h = i\gamma_\lambda + j\gamma_\varphi$  (or  $= ig_x + jg_y$ ), which is neglected completely in oceanography. This study uses the classic ocean circulation theories to illustrate the importance of  $\mathbf{g}_h$  in the vertical-deflected coordinates. The standard gravity  $(-g_0\mathbf{k})$  is replaced by the true gravity  $\mathbf{g}$  in the existing equations for geostrophic current, thermal wind relation, and Sverdrup-Stommel-Munk wind driven circulation to obtain updated formulas. The proposed non-dimensional  $(C, D, F)$  numbers are calculated from four publicly available datasets to prove that  $\mathbf{g}_h$  cannot be neglected against the Coriolis force, density gradient forcing, and wind stress curl.

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### Key Points:

- Normal to Earth spherical/ellipsoidal surface is not vertical. True gravity  $\mathbf{g}$  represents vertical with longitudinal-latitudinal components
- Replacement of the standard gravity  $-g_0\mathbf{k}$  ( $g_0=9.81 \text{ m s}^{-2}$ ) by  $\mathbf{g}(\lambda, \varphi, z)$  in oceanography leads to new physics
- With four publicly available datasets, the longitudinal and latitudinal components of the true gravity are proved non-negligible

## 14 Abstract

15 Two related issues in oceanography are addressed: (1) the unit vector ( $\mathbf{k}$ ) normal to the Earth  
 16 spherical/ellipsoidal surface is not vertical (called deflected-vertical) since the vertical is in the  
 17 direction of the true gravity,  $\mathbf{g} = \mathbf{i}g_\lambda + \mathbf{j}g_\varphi + \mathbf{k}g_z$ , with  $(\lambda, \varphi, z)$  the (longitude, latitude, depth) and  $(\mathbf{i},$   
 18  $\mathbf{j}, \mathbf{k})$  the corresponding unit vectors; and (2) the true gravity  $\mathbf{g}$  is replaced by the standard gravity  
 19  $(-g_0\mathbf{k}, g_0 = 9.81 \text{ m/s}^2)$ . In the spherical/ellipsoidal coordinate  $(\lambda, \varphi, z)$  and local coordinate  $(x, y, z)$ ,  
 20 the  $z$ -direction is along  $\mathbf{k}$  (positive upward). The spherical/ellipsoidal surface and  $(x, y)$  plane are  
 21 perpendicular to  $\mathbf{k}$ , and therefore they are not horizontal (called deflected-horizontal) since the  
 22 horizontal surfaces are perpendicular to the true gravity  $\mathbf{g}$  such as the geoid surface. In the vertical-  
 23 deflected coordinates, the true gravity  $\mathbf{g}$  has deflected-horizontal component,  $\mathbf{g}_h = \mathbf{i}g_\lambda + \mathbf{j}g_\varphi$  (or =  
 24  $\mathbf{i}g_x + \mathbf{j}g_y$ ), which is neglected completely in oceanography. This study uses the classic ocean  
 25 circulation theories to illustrate the importance of  $\mathbf{g}_h$  in the vertical-deflected coordinates. The  
 26 standard gravity  $(-g_0\mathbf{k})$  is replaced by the true gravity  $\mathbf{g}$  in the existing equations for geostrophic  
 27 current, thermal wind relation, and Sverdrup-Stommel-Munk wind driven circulation to obtain  
 28 updated formulas. The proposed non-dimensional  $(C, D, F)$  numbers are calculated from four  
 29 publicly available datasets to prove that  $\mathbf{g}_h$  cannot be neglected against the Coriolis force, density  
 30 gradient forcing, and wind stress curl.

31

## 32 Plain Language Summary

33 Oceanographers use the spherical/ellipsoidal coordinates  $(\lambda, \varphi, z)$  to represent (longitude, latitude,  
 34 depth) and local coordinates  $(x, y, z)$  to represent (eastward, northward, depth) with  $(\mathbf{i}, \mathbf{j}, \mathbf{k})$  the  
 35 corresponding unit vectors. Here,  $\mathbf{k}$  is normal to the Earth spherical/ellipsoidal surface but not in  
 36 the vertical since the vertical is in the direction of the true gravity,  $\mathbf{g} = \mathbf{i}g_\lambda + \mathbf{j}g_\varphi + \mathbf{k}g_z$ . The  $z$ -direction  
 37 is called the deflected-vertical. Correspondingly, the spherical/ellipsoidal surface and  $(x, y)$  plane  
 38 are perpendicular to  $\mathbf{k}$ , and therefore they are not horizontal (called deflected-horizontal) since the  
 39 horizontal surfaces are perpendicular to the true gravity  $\mathbf{g}$  such as the geoid surface. In the vertical-  
 40 deflected  $(\lambda, \varphi, z)$  and  $(x, y, z)$  coordinates, the true gravity  $\mathbf{g}$  has deflected-horizontal component  
 41  $\mathbf{g}_h = \mathbf{i}g_\lambda + \mathbf{j}g_\varphi$  (or =  $\mathbf{i}g_x + \mathbf{j}g_y$ ), which is neglected completely in oceanography. This study uses four  
 42 publicly available datasets and classical ocean circulation theory to prove that  $\mathbf{g}_h$  cannot be  
 43 neglected in oceanography.

## 44 1 Introduction

45 Oceanographers use the Earth-fixed coordinate system with  $(\lambda, \varphi, z)$  representing the  
 46 longitude, latitude, and spherical normal (or depth) with  $(\mathbf{i}, \mathbf{j}, \mathbf{k})$  the corresponding unit vectors.  
 47 The unit vector  $\mathbf{k}$  is normal to the Earth spherical surface (polar spherical coordinate) or to the  
 48 ellipsoidal surface (ellipsoidal coordinate), and does not represent the true vertical direction since  
 49 the Earth true gravity  $\mathbf{g} (= g_\lambda\mathbf{i} + g_\varphi\mathbf{j} + g_z\mathbf{k})$  represents the true vertical direction. We may call the  
 50 direction of  $\mathbf{k}$  the deflected-vertical or  $z$ -direction. The angle between  $-\mathbf{k}$  and  $\mathbf{g}$  is the vertical  
 51 deflection. The spherical (or ellipsoidal) surfaces are not the horizontal surfaces since the  
 52 equipotential surfaces of  $\mathbf{g}$  such as the geoid surface represent the horizontal surfaces. Figure 1  
 53 shows the global static geoid height  $(N)$  varying from -106.20 m (minimum) to 85.83 m  
 54 (maximum) from the EIGEN-6C4 model (Förste et al., 2014; Ince et al., 2019), which was  
 55 developed jointly by the GFZ Potsdam and GRGS Toulouse up to degree and order 2190. The

56 geoid surface ( $z = N$ ) is the **true horizontal surface**, which is obviously different from the Earth  
 57 spherical/ellipsoidal surface ( $z = 0$ ).

58 The two  $(\lambda, \varphi, z)$  coordinate systems (spherical and ellipsoidal) are called the vertical-  
 59 deflected coordinates. Appendix A describes the difference between them. In addition to the  $(\lambda, \varphi,$   
 60  $z)$  coordinates, oceanographers also use the local coordinate  $(x, y, z)$ ,

$$61 \quad \frac{\partial}{\partial x} = \frac{1}{R \cos \varphi} \frac{\partial}{\partial \lambda}, \quad \frac{\partial}{\partial y} = \frac{1}{R} \frac{\partial}{\partial \varphi} \quad (1)$$

62 where the  $(x, y)$  plane is perpendicular to  $\mathbf{k}$ , and therefore is not the true horizontal plane. Thus,  
 63 the local coordinate is also classified as the vertical-deflected coordinate system.

64 In addition to the use of vertical-deflected coordinate system, oceanographers simplify the  
 65 true gravity vector  $\mathbf{g}$  into the standard gravity  $-g_0\mathbf{k}$  ( $g_0 = 9.81 \text{ m s}^{-2}$ ). The longitudinal-latitude  
 66 component of the true gravity,  $\mathbf{g}_h (= g_\lambda\mathbf{i} + g_\varphi\mathbf{j})$  is totally neglected. Use of the standard gravity ( $-$   
 67  $g_0\mathbf{k}$ ) instead of the true gravity  $\mathbf{g}$  is based on the comparison that the strength of the  $z$ -component  
 68  $|g_z|$  is 5-6 orders of magnitude larger than the strength of its longitudinal-latitude component  
 69  $|\mathbf{g}_h|$ . Recent study (Chu, 2021) shows such simplification may not be correct. Because such a huge  
 70 difference in magnitude between the components in  $\mathbf{k}$  and in  $(\mathbf{i}, \mathbf{j})$  also occurs in the pressure  
 71 gradient force. But, the pressure gradient force in  $(\mathbf{i}, \mathbf{j})$  is never neglected against the pressure  
 72 gradient force in  $\mathbf{k}$ . Thus, the feasibility of using the standard gravity  $(-g_0\mathbf{k})$  in oceanography needs  
 73 to be investigated. Updated ocean dynamic equations including  $\mathbf{g}_h$  were proposed (Chu, 2021).

74 The objective of this paper is to report the follow-up work showing the importance of  $\mathbf{g}_h$  in  
 75 ocean circulation such as the geostrophic current, thermal wind, wind-driven circulation such as  
 76 the Sverdrup and Stommel volume transports by the comparison between  $\mathbf{g}_h$  and other forcing  
 77 terms such as the density gradient, Coriolis force, and surface wind stress with corresponding non-  
 78 dimensional  $(C, D, F)$  numbers.

79 The rest of the paper is outlined as follows. Section 2 describes the vertical-true coordinate  
 80 versus vertical-deflected coordinate. Section 3 presents the dynamic equation with the true gravity.  
 81 Section 4 describes the data sources. Sections 5-7 show the geostrophic current, thermal wind,  
 82 and wind-driven circulation with  $\mathbf{g}_h$ . Section 8 presents the conclusions. Appendices A-D present  
 83 the two vertical-deflected coordinate systems and the basic information about the true gravity  $\mathbf{g}$   
 84 and related disturbing static gravity potential  $T$ . Appendix E presents the derivation of the  
 85 combined Sverdrup-Stommel-Munk equation with  $\mathbf{g}_h$ .

## 86 **2 Vertical-True Coordinate versus Vertical-Deflected Coordinate**

87 The true vertical direction  $\mathbf{e}_3$  is with the true gravity  $\mathbf{g}$ ,

$$88 \quad \mathbf{g}(\lambda, \varphi, z) = |\mathbf{g}(\lambda, \varphi, z)| \mathbf{e}_3(\lambda, \varphi, z). \quad (2)$$

89 The true horizontal surfaces are the equipotential surfaces of the true gravity  $[V(\lambda, \varphi, z)]$  (see  
 90 Appendix C). The geoid is one of them (see Figure 1). On a true horizontal surface, the orthogonal  
 91 unit vectors are represented by  $[\mathbf{e}_1(\lambda, \varphi, z), \mathbf{e}_2(\lambda, \varphi, z)]$ , but not  $(\mathbf{i}, \mathbf{j})$ . The coordinate system  
 92 represented by  $(\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3)$  is called the vertical-true coordinate system. In the vertical-true  
 93 coordinate, the true gravity  $\mathbf{g}$  has the true-vertical component only and no true-horizontal  
 94 component.

95 However, it is not feasible to use the  $(\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3)$  coordinate since the unit vectors  
 96  $[\mathbf{e}_1(\lambda, \varphi, z), \mathbf{e}_2(\lambda, \varphi, z), \mathbf{e}_3(\lambda, \varphi, z)]$  vary at each point inside the oceans, and it is almost impossible  
 97 to convert any ocean model (theoretical or numerical) with the standard gravity  $(-g_0\mathbf{k})$  into the

98 model with the true gravity  $\mathbf{g}$  using the reference coordinates with the unit vectors [ $\mathbf{e}_1(\lambda, \varphi, z)$ ,  
 99  $\mathbf{e}_2(\lambda, \varphi, z)$ ,  $\mathbf{e}_3(\lambda, \varphi, z)$ ]. Besides, all the existing ocean models and datasets are represented in the  
 100 vertical-deflected coordinate system [ $(\lambda, \varphi, z)$  or  $(x, y, z)$ ]. Also, even in the geodetic community,  
 101 the gravity models are represented in the vertical-deflected coordinate  $(\lambda, \varphi, z)$ . Thus, the feasible  
 102 approach in oceanography is to keep the traditional vertical-deflected coordinates, and to replace  
 103 the standard gravity ( $-\mathbf{g}_0\mathbf{k}$ ) by the true gravity  $\mathbf{g}$  ( $= \mathbf{g}_h - \mathbf{g}_0\mathbf{k}$ ) in dynamic equations.

### 104 3 Dynamic Equation with the True Gravity

105 Application of the Newton's second law of motion into the oceans with the Boussinesq  
 106 approximation (replacement of density  $\rho$  by a constant  $\rho_0$  except  $\rho$  being multiplied by the gravity  
 107 and incompressibility) is given by (Chu, 2021)

$$108 \quad \rho_0 \left[ \frac{D\mathbf{U}_3}{Dt} + 2\boldsymbol{\Omega} \times \mathbf{U} \right] = -\nabla_3 p + \mathbf{g} + \rho_0 (\mathbf{F}_h + \mathbf{F}_v) \quad (3a)$$

$$109 \quad \nabla \cdot \mathbf{U} + \frac{\partial w}{\partial z} = 0 \quad (3b)$$

110 if the pressure gradient force, true gravity  $\mathbf{g}$  (see Appendices B and C), and friction are the only  
 111 real forces. Here,  $\nabla_3 \equiv \mathbf{i} \frac{1}{R \cos \varphi} \frac{\partial}{\partial \lambda} + \mathbf{j} \frac{1}{R} \frac{\partial}{\partial \varphi} + \mathbf{k} \frac{\partial}{\partial z}$ , and  $\nabla \equiv \mathbf{i} \frac{1}{R \cos \varphi} \frac{\partial}{\partial \lambda} + \mathbf{j} \frac{1}{R} \frac{\partial}{\partial \varphi}$  are the 3D and

112 2D vector differential operators in the polar spherical coordinates;  $\boldsymbol{\Omega} = \Omega(\mathbf{j} \cos \varphi + \mathbf{k} \sin \varphi)$ , is the  
 113 Earth rotation vector with  $\Omega = 2\pi/(86164 \text{ s})$  the Earth rotation rate;  $\rho$  is the density;  $\rho_0 = 1,028$   
 114  $\text{kg/m}^3$ , is the characteristic density;  $\mathbf{U} = (u, v)$ , is the 2D longitudinal-latitude velocity vector;  
 115  $w$  is the z-component velocity;  $\mathbf{U}_3 = (\mathbf{U}, w)$ , is the 3D velocity vector;  $p$  is the pressure;  $D/Dt$  is the  
 116 total time rate of change;  $(\mathbf{F}_h, \mathbf{F}_v)$  are the frictional forces with longitudinal-latitude and z-  
 117 directional shears represented by

$$118 \quad \mathbf{F}_h = A \nabla^2 \mathbf{U}, \quad \mathbf{F}_v = \frac{\partial}{\partial z} \left( K \frac{\partial \mathbf{U}}{\partial z} \right) \quad (4)$$

119 where  $(A, K)$  are the corresponding eddy viscosities. The true gravity  $\mathbf{g}$  is represented by [see  
 120 Eq.(D5) in Appendix D]

$$121 \quad \mathbf{g}(\lambda, \varphi, z) \approx \mathbf{g}_h - \mathbf{g}_0 \mathbf{k} \approx \mathbf{g}_0 \nabla N(\lambda, \varphi) - \mathbf{g}_0 \mathbf{k} \quad (5)$$

122 for oceanography. Substitution of (5) into (3a) leads to the equation in the longitudinal and  
 123 latitudinal directions,

$$124 \quad \rho_0 \left[ \frac{D\mathbf{U}}{Dt} + 2\boldsymbol{\Omega} \times \mathbf{U} \right] = -\nabla p + \rho \mathbf{g}_h + \rho_0 (\mathbf{F}_h + \mathbf{F}_v) \quad (6)$$

125 and the equation in the z-direction (hydrostatic balance),

$$126 \quad \frac{\partial p}{\partial z} = -\rho g_0 \quad (7)$$

### 127 4 Data Sources

128 Four datasets were used in this study: (a) the global static gravity model EIGEN-6C4  
 129 (<http://icgem.gfz-potsdam.de/home>), which was developed jointly by the GFZ Potsdam and GRGS  
 130 Toulouse up to degree and order 2190, for the geoid height  $N(\lambda, \varphi)$ , (b) the climatological annual  
 131 mean hydrographic data from the NOAA/NCEI World Ocean Atlas 2018 (WOA18)  
 132 (<https://www.nodc.noaa.gov/OC5/woa18/>) for the sea water density  $\rho(\lambda, \varphi, z)$ , (c) the

133 climatological annual mean surface wind stress ( $\tau_\lambda, \tau_\varphi$ ) from the Surface Marine Data (SMD94)  
 134 (<http://iridl.ldeo.columbia.edu/SOURCES/.DASILVA/.SMD94/.climatology/>) (da Silva et al.,  
 135 1994), and (d) the Ocean Surface Current Analysis Real-time (OSCAR) third degree resolution  
 136 5-day mean surface current vectors (<https://podaac-tools.jpl.nasa.gov/drive/files/allData/oscar/>)  
 137  $\mathbf{U}(\lambda, \varphi)$ . The OSCAR data represent averaged surface currents in the  $z$ -direction over the top 30 m  
 138 of the upper ocean, which consist of a geostrophic component with a thermal wind adjustment  
 139 using satellite sea surface height, and temperature and a wind-driven ageostrophic component  
 140 using satellite surface winds (<https://doi.org/10.5067/OSCAR-03D01>).

141

## 142 5 Geostrophic Current

143 For steady-state low Rossby number (negligible nonlinear advection) flow without friction  
 144 (i.e.,  $\text{DU}/\text{Dt} = 0$ ,  $\mathbf{F}_h = 0$ ,  $\mathbf{F}_v = 0$ ), Eq.(6) is simplified into

$$145 \quad 2\boldsymbol{\Omega} \times \mathbf{U} = -\frac{1}{\rho_0} \nabla p + \frac{\rho}{\rho_0} g_0 \nabla N \quad (8)$$

146 where (5) is used. Here, Eq.(8) includes the effect of  $\mathbf{g}_h$  on the geostrophic motion, and can be  
 147 rewritten as

$$148 \quad \boxed{f \rho_0 \mathbf{U} = \mathbf{k} \times (\nabla p - \rho g_0 \nabla N)} \quad (9)$$

149 A non-dimensional  $C$ -number is defined by (Chu 2021)

$$150 \quad C(\lambda, \varphi) = \frac{|\mathbf{g}_h|}{|f| |\mathbf{U}|} = \frac{g_0 |\nabla N(\lambda, \varphi)|}{|f| |\mathbf{U}(\lambda, \varphi)|} \quad (10)$$

151 to identify the relative importance of  $\mathbf{g}_h$  versus the Coriolis force. Chu (2021) calculated the  $C$ -  
 152 number at  $z = 0$  from the geoid ( $N$ ) data from the EIGEN-6C4 gravity model and the surface current  
 153 data  $\mathbf{U}(\lambda, \varphi)$  data from the OSCAR third degree resolution 5-day mean surface current vectors on  
 154 26 February 2020, and showed that  $\mathbf{g}_h$  cannot be neglected against the Coriolis force due to large  
 155 values of the  $C$ -number.

156 On the Earth spherical surface ( $z = 0$ ),

$$157 \quad p|_{z=0} = \rho g_0 S, \quad \rho|_{z=0} \cong \rho_0 \quad (11)$$

158 where  $S$  is the sea surface height. Substitution of (11) into (9) leads to

$$159 \quad \boxed{\mathbf{U}|_{z=0} = \frac{g_0}{f} \mathbf{k} \times \nabla D, \quad D = S - N} \quad (12)$$

160 where  $D$  is the dynamic ocean topography.

161

## 162 6 Thermal Wind Relation

163 Differentiation of Eq.(9) with respect to  $z$  and use of Eq.(7) lead to the thermal wind relation,

$$164 \quad \boxed{f \frac{\partial \mathbf{U}}{\partial z} = \mathbf{k} \times \left[ -\left( \frac{g_0}{\rho_0} \right) \nabla \rho + \Theta^2 \nabla N \right], \quad \Theta^2 \equiv -\left( \frac{g_0}{\rho_0} \frac{\partial \rho}{\partial z} \right)} \quad (13)$$

165 where  $\Theta$  is the buoyancy frequency. The second term in the righthand side represents the effect  
 166 of  $\mathbf{g}_h$ . The WOA18 annual mean temperature and salinity data with ( $1^\circ \times 1^\circ$ ) horizontal resolution  
 167 and 102 vertical levels (0 to 5500 m depth) were used to compute the density  $\rho$ . With the given  
 168 density ( $\rho$ ) and marine geoid height ( $N$ ), two vectors in the thermal wind relation (13),  
 169  $\left( \frac{g_0}{\rho_0} \right) \nabla \rho$  (representing deflected-horizontal density gradient) and  $\Theta^2 \nabla N$  (representing  $\mathbf{g}_h$ )

170 were computed at all grid points for all the vertical levels ( $z = 0$  to  $-5,500$  m). The vector  
 171  $(g_0 / \rho_0) \nabla \rho$  at the four levels,  $z = 0$ ,  $-500$  m,  $-1,000$  m, and  $-2,000$  m, are presented with  
 172 the vector plots (Figure 2a), contour plots of the magnitudes  $|(g_0 / \rho_0) \nabla \rho|$  (Figure 2b), and  
 173 histograms (Figure 2c) of  $|(g_0 / \rho_0) \nabla \rho|$ . The magnitude  $|(g_0 / \rho_0) \nabla \rho|$  has the mean of 13.45  
 174 Eotvos (1 Eotvos =  $10^{-9} \text{s}^{-2}$ ) at the surface ( $z = 0$ ), 2.154 Eotvos at  $z = -500$  m, 1.245 Eotvos at  $z =$   
 175  $-1,000$  m, and 0.5615 Eotvos at  $z = -2,000$  m.

176 Besides, the square of the annual mean buoyancy frequency  $\Theta^2$  was also computed at each grid  
 177 point from the density using Eq.(13). With the global  $N$  and  $\Theta^2$  data, the vectors of  $-\Theta^2 \nabla N$   
 178 (geostrophic shear due to the horizontal gravity component) on the four levels,  $z = 0$ ,  $-500$  m,  
 179  $-1,000$  m, and  $-2,000$  m, with the vector plots in Figure 3a and contour plots of the magnitudes  
 180  $|\Theta^2 \nabla N|$  in Figure 3b. The histograms (Figure 3c) of  $|\Theta^2 \nabla N|$  show the mean of 1.128 Eotvos at  $z$   
 181  $= 0$ , 0.4789 Eotvos at  $z = -500$  m, 0.4389 Eotvos at  $z = -1,000$  m, and 0.3894 Eotvos at  $z = -2,000$   
 182 m.

183 The importance of  $\mathbf{g}_h$  can also be identified by a non-dimensional  $D$  number

$$184 \quad D = \frac{O(|\Theta^2 \nabla N|)}{O(|(g_0 / \rho_0) \nabla \rho|)} \quad (14)$$

185 Taking the calculated mean values of  $|(g_0 / \rho_0) \nabla \rho|$  and  $|\Theta^2 \nabla N|$  for each  $z$ -level as the  
 186 characteristic scales, the  $D$ -number was calculated for each  $z$ -level (Figure 4). The  $D$ -number  
 187 increases with depth almost monotonically from a small value (0.084) at  $z = 0$ , 0.222 at  $z = -500$   
 188 m, 0.353 at  $z = -1,000$  m, 0.693 at  $z = -2,000$  m, 0.814 at  $z = -3,000$  m, 1.087 at  $z = -4,000$  m, and  
 189 1.576 at  $z = -5,000$  m.

## 191 7 Wind Driven Circulation

### 192 7.1 Combined Sverdrup-Stommel-Munk Equation

193 For steady-state low Rossby number (negligible nonlinear advection) flow with friction (i.e.,  
 194  $\mathbf{DU}/Dt = 0$ , and  $\mathbf{F}_h \neq 0$ ,  $\mathbf{F}_v \neq 0$ ), Eq.(6) is simplified into

$$195 \quad \rho_0 (2\boldsymbol{\Omega} \times \mathbf{U}) = -\nabla p + \rho \mathbf{g}_h + \rho_0 (\mathbf{F}_h + \mathbf{F}_v). \quad (15)$$

196 With the wind stress  $(\tau_x, \tau_y)$  as the forcing at the rigid-lid ocean surface ( $z = 0$ ) and negligible  
 197 bottom stress (Sverdrup 1947, Munk 1950) or taking the Rayleigh friction as the bottom stress  
 198 (Stommel 1948) at the flat bottom ( $z = -H$ ), a combined Sverdrup-Stommel-Munk equation in the  
 199 local coordinate system (1) as the tradition is derived from (15) (see derivation in Appendix E)

$$200 \quad \boxed{-A \nabla^4 \Psi + \gamma \nabla^2 \Psi + \beta \frac{\partial \Psi}{\partial x} = \frac{1}{\rho_0} \left[ \text{curl } \boldsymbol{\tau} + g_0 \int_{-H}^0 J(\rho, N) dz \right]} \quad (16)$$

201 where  $\beta = (2\Omega \cos \varphi)/R$ ;  $J(\rho, N) \equiv [(\partial \rho / \partial x)(\partial N / \partial y) - (\partial \rho / \partial y)(\partial N / \partial x)]$ , is the Jacobian of  $\rho$  and  
 202  $N$ ; and  $\Psi$  is the volume transport stream function defined by

$$203 \quad \frac{\partial \Psi}{\partial x} = \int_{-H}^0 v dz, \quad \frac{\partial \Psi}{\partial y} = - \int_{-H}^0 u dz \quad (17)$$

204 After changing the flat bottom into non-flat bottom topography,  $z = -H(x, y)$ , Eq.(17) becomes,

$$205 \quad -A\nabla^4\Psi + \gamma\nabla^2\Psi + \beta\frac{\partial\Psi}{\partial x} = \frac{1}{\rho_0} \left[ \text{curl } \boldsymbol{\tau} + g_0 \int_{-H(x,y)}^0 J(\rho, N) dz \right] + \begin{array}{l} \text{Bottom Topographic} \\ \text{Effect Term} \end{array} \quad (18)$$

206 Here, the bottom topographic effect on the volume transport is beyond the scope of this study, and  
207 therefore is not identified. The second term in the righthand side is an additional term called “Joint  
208 Effect of Baroclinicity and true Gravity” (JEBAG)

$$209 \quad \text{JEBAG} = g_0 \int_{-H(x,y)}^0 \left( \frac{\partial\rho}{\partial x} \frac{\partial N}{\partial y} - \frac{\partial\rho}{\partial y} \frac{\partial N}{\partial x} \right) dz \quad (19)$$

210

## 211 7.2. Global Surface Wind Stress Curl and JEBAG

212 The relative importance of wind stress curl and JEBAG on the volume transport  
213 streamfunction  $\Psi$  is identified using the EIGEN-6C4 geoid data for the geoid  $N(x, y)$ , SMD94  
214 annual mean surface wind stress  $(\tau_x, \tau_y)$  data for computing the surface wind stress curl, and  
215 WOA18 annual mean hydrographic data for computing the sea water density  $\rho(x, y, z)$ . The  
216 calculated global surface wind stress curl (Figure 5) and JEBAG (simplified as ‘J’ in Figure 6)  
217 have comparable magnitudes with different horizontal distributions (Figure 5a and Figure 6a). The  
218 histograms of  $(\text{curl } \boldsymbol{\tau})$  (Figure 5b) and JEBAG (Figure 6b) show nearly symmetric with mean  
219 values near zero. The histograms of the absolute values,  $|\text{curl } \boldsymbol{\tau}|$  (Figure 5c) and  $|\text{JEBAG}|$  (Figure  
220 6c) show near Gamma distribution with the shape parameter of 1 and scale parameter of 2.  
221  $|\text{JEBAG}|$  has comparable mean and standard deviation  $(3.448, 4.283) \times 10^{-8} \text{ Nm}^{-3}$ , with  $|\text{curl } \boldsymbol{\tau}|$   
222  $(4.984, 4.052) \times 10^{-8} \text{ Nm}^{-3}$ ; but has two-time larger skewness and kurtosis (2.19, 8.12), than  $|\text{curl } \boldsymbol{\tau}|$   
223  $(1.081, 4.137)$ . The statistics show comparable forcing of JEBAG and  $(\text{curl } \boldsymbol{\tau})$  in the ocean  
224 circulation.

225 Note that large  $|\text{JEBAG}|$  values occurring around the Gulf Stream and Antarctic  
226 Circumpolar Circulation regions. The reason is explained as follows. From Eq.(16) the JEBAG  
227 can be rewritten by

$$228 \quad \text{JEBAG} = \mathbf{k} \cdot (\mathbf{B} \times \mathbf{g}_h) = |\mathbf{B}| |\mathbf{g}_h| \sin \alpha, \quad \mathbf{B} \equiv \int_{-H}^0 \nabla \rho dz, \quad \mathbf{g}_h = g_0 \nabla N \quad (20)$$

229 where the vector  $\mathbf{B}$  represents the baroclinicity; and  $\alpha$  is the angle between  $\mathbf{B}$  and  $\mathbf{g}_h$ . The  $|\text{JEBAG}|$   
230 value depends on the angle  $\alpha$  and the intensities of the two vectors  $|\mathbf{B}|$  and  $|\mathbf{g}_h|$ . Near the Gulf  
231 Stream and Antarctic Circumpolar Circulation regions, the vector  $\mathbf{B}$  is in the north-south direction  
232 usually with large magnitude. However, the vector  $\mathbf{g}_h$  is in the east-west direction (Figure 1) with  
233 noticeable magnitude (i.e.,  $\nabla N$ ). Near  $90^\circ$  cross angle  $\alpha$  may be the major reason to cause large  
234  $|\text{JEBAG}|$  values there.

235 Importance of  $\mathbf{g}_h$  can be identified by a non-dimensional  $F$  number from the ratio between  
236 the JEBAG versus the surface wind stress curl,

$$237 \quad F(\lambda, \varphi) = \frac{|\text{JEBAG}(\lambda, \varphi)|}{|\text{curl } \boldsymbol{\tau}(\lambda, \varphi)|} \quad (21)$$

238 The comparable forcing between  $\mathbf{g}_h$  and surface wind stress curl is also shown in the world ocean  
239 distribution of  $F$  values (Figure 7a). The histogram of  $F$  (Figure 7b) indicates a positively skewed  
240 distribution with a long tail extending to values larger than 10. The statistical characteristics of  $F$   
241 are 1.053 as the mean, 1.647 as the standard deviation, 2.779 as the skewness, and 11.36 as the



242 kurtosis. The statistics show that  $\mathbf{g}_h$  cannot be neglected in comparison to the surface wind stress  
 243 curl in the wind-driven circulation.

244

### 245 7.3. Sverdrup and Stommel Volume Transports

246 The Sverdrup equation is obtained by setting  $A = 0$  (no deflected-horizontal eddy  
 247 viscosity), and  $\gamma = 0$  (no bottom friction) in (18)

$$248 \quad \beta \frac{\partial \Psi}{\partial x} = \frac{1}{\rho_0} \left[ \text{curl } \boldsymbol{\tau} + g_0 \int_{-H(x,y)}^0 J(\rho, N) dz \right] \quad (22)$$

249 The Stommel equation is obtained by setting  $A = 0$  (no deflected-horizontal eddy viscosity) in (18),

$$250 \quad \gamma \nabla^2 \Psi + \beta \frac{\partial \Psi}{\partial x} = \frac{1}{\rho_0} \left[ \text{curl } \boldsymbol{\tau} + g_0 \int_{-H}^0 J(\rho, N) dz \right], \quad \gamma = 10^{-6} \quad (23)$$

251 The standard boundary conditions are used:  $\Psi = 0$  at the eastern boundary for the Sverdrup  
 252 equation, and at all boundaries for the Stommel equation. In the Southern Ocean, the cyclic  
 253 boundary condition is used at 20°E section across the Africa and Antarctic continents. Three  
 254 numerical integrations are conducted to solve Eqs(22) and (23): (a)  $\Psi_1$  with both (curl  $\boldsymbol{\tau}$ ) and  
 255 JEBAG, (b)  $\Psi_2$  with (curl  $\boldsymbol{\tau}$ ) only, and (c)  $\Psi_3$  with JEBAG only for the Sverdrup (Figure 8) and  
 256 Stommel (Figure 9) volume transport streamfunctions. Relative root mean differences between  
 257 ( $\Psi_1, \Psi_2$ ) and ( $\Psi_1, \Psi_3$ ) for both equations,

$$258 \quad \text{RRMSD}(\Psi_1, \Psi_n) = \frac{\sqrt{\sum_i \sum_j [\Psi_1(i, j) - \Psi_n(i, j)]^2}}{\sqrt{\sum_i \sum_j [\Psi_1(i, j)]^2}}, \quad n = 2, 3 \quad (24)$$

259 are calculated with using the true gravity ( $\Psi_1$ ) taken as the reference. RRMSD between  $\Psi_1$  (winds  
 260 and JEBAG) (Figures 8a and 9a),  $\Psi_2$  (winds only) (Figure 8b and 9b) is 0.373 for the Sverdrup  
 261 volume transport streamfunction, and 0.405 for the Stommel volume transport stream function.  
 262 RRMSD between  $\Psi_1$  (winds and JEBAG) and  $\Psi_3$  (JEBAG only) (Figures 8c and 9c) is 0.767 for  
 263 the Sverdrup volume transport streamfunction, and 0.848 for the Stommel volume transport  
 264 streamfunction.

265

### 266 7.4 Comparison with observations

267 Strong Sverdrup (Figure 8) and Stommel (Figure 9) volume transports are identified  
 268 associated with the Antarctic Circumpolar Current (ACC). The Sverdrup volume transport is 78  
 269 Sv with wind stress curl and JEBAG (Figure 8a) and 37 Sv with wind stress curl only (Figure 8b).  
 270 The Stommel volume transport is 50 Sv with wind stress curl and JEBAG (Figure 9a) and 28 Sv  
 271 with wind stress curl only (Figure 9b).

272 During the International Southern Ocean Studies (ISOS) several estimates from yearlong  
 273 observation in 1975 on the Antarctic Circumpolar Current (ACC) volume transport through the  
 274 Drake Passage were obtained: 110-138 Sv (1 Sv =  $10^6$  m<sup>3</sup>/s) (Nowlin et al., 1977),  $139 \pm 36$  Sv  
 275 (Bryden and Pillsbury, 1977), and  $127 \pm 14$  Sv (Fandry and Pillsbury, 1977). Later, it was  
 276 estimated as 118-146 Sv using a combination of moorings and hydrographic survey (Whitworth,  
 277 1983). Recently, this transport was estimated as 173.3 Sv (Donohue et al., 2016) with adding the  
 278 near-bottom current meter measurements. It is not author's intention to identify the basin-like

279 mechanism (Stommel, 1957) or the channel-like mechanism (e.g., Johnson and Bryden, 1989) for  
 280 the ACC's setup or to verify the accuracy of the Sverdrup and Stommel models using the  
 281 observational data. However, these observations show the enhancement of the ACC volume  
 282 transport through the Drake Passage (closer to the observational estimates) with the wind stress  
 283 curl and JEBAG in comparison to that with the wind stress curl only.

284

## 285 **8 Conclusions**

286 Oceanographers take the vertical-deflected coordinate  $(\lambda, \varphi, z)$  [or  $(x, y, z)$ ] instead of the  
 287 vertical-true coordinate, and use the standard gravity  $(-g_0)\mathbf{k}$  instead of the true gravity  $\mathbf{g}$ . In the  
 288 vertical-deflected coordinate, the true gravity  $\mathbf{g}$  has longitudinal-latitude component  $\mathbf{g}_h$ , which  
 289 is neglected completely. This study demonstrates the importance of  $\mathbf{g}_h$  in ocean circulation such as  
 290 the geostrophic current, thermal wind, and wind-driven circulation in the  $(\lambda, \varphi, z)$  [or  $(x, y, z)$ ]  
 291 coordinate.

292 This additional force ( $\mathbf{g}_h$ ) is added to the traditional geostrophic equation. Along with the  
 293 traditional hydrostatic balanced equation, a new thermal wind equation is derived. The effect of  
 294  $\mathbf{g}_h$  is evaluated using the two independent datasets: WOA18 for water density ( $\rho$ ), and EIGEN-6C4  
 295 for the geoid ( $N$ ). Non-dimensional  $C$ -number and  $D$ -number are proposed to identify relative  
 296 importance of  $\mathbf{g}_h$ . The  $D$ -number (representing the relative importance of  $\mathbf{g}_h$  versus deflected-  
 297 horizontal density gradient on the thermal wind) increases with depth almost monotonically from  
 298 a small value (0.084) at the surface ( $z=0$ ), and to 1.576 at  $z=-5,000$  m. The horizontal gravity is  
 299 an important force in ocean geostrophic motion.

300 Importance of  $\mathbf{g}_h$  in the wind-driven ocean circulation is demonstrated using the combined  
 301 Sverdrup-Stommel-Munk equation with replacement of the standard gravity  $(-g_0)\mathbf{k}$  by the true  
 302 gravity  $\mathbf{g}$ . An additional forcing (JEBAG) appears with comparable magnitude to the wind stress  
 303 curl after being calculated from three independent datasets: SMD94 for wind stress ( $\boldsymbol{\tau}$ ), WOA18  
 304 for water density ( $\rho$ ), and EIGEN-6C4 for the geoid ( $N$ ). The relative difference in the volume  
 305 transport (with using the true gravity as the reference) is evident between standard and true  
 306 gravities such as 0.373 in the Sverdrup volume transport streamfunction and 0.405 in the Stommel  
 307 volume transport streamfunction. Observational data on the ACC volume transport through the  
 308 Drake Passage show the importance of JEBAG in wind-driven ocean circulation.

309 Finally, if the oceanographic community wants to keep the traditional terminology about  
 310 the vertical (normal to the Earth sphere/ellipsoid, i.e., the direction of  $\mathbf{k}$ ) and the horizontal (Earth  
 311 spherical/ellipsoidal surface, or  $x$ - $y$  plane perpendicular to  $\mathbf{k}$  in the local coordinate), the direction  
 312 along the true gravity vector  $\mathbf{g}$  ( $= i\mathbf{g}_\lambda + \mathbf{j}g_\varphi + \mathbf{k}g_z$ ) should be called the **true vertical**; and the  
 313 equipotential surfaces such as the geoid should be called the **true horizontal**.

## 314 **Appendix A. Ellipsoidal Versus Spherical Coordinates**

315 The ellipsoidal (or called oblate spheroid) coordinates share the same longitude ( $\lambda$ ) but  
 316 different latitude ( $\varphi_{ob}$ ) and radial coordinate (representing vertical) ( $r_{ob}$ ) with corresponding unit  
 317 vectors ( $\mathbf{i}, \mathbf{j}, \mathbf{k}$ ). The relationship between the oblate spheroid coordinates  $(\lambda, \varphi_{ob}, r_{ob})$  and the polar  
 318 spherical coordinates  $(\lambda, \varphi, r)$  is given by (Gill, 1982)

$$319 \quad r^2 = r_{ob}^2 + \frac{1}{2}d^2 - d^2 \sin^2 \varphi_{ob}, \quad r^2 \cos^2 \varphi = (r_{ob}^2 + \frac{1}{2}d^2) \cos^2 \varphi_{ob} \quad (\text{A1})$$

320 where  $d$  is the half distance between the two foci of the ellipsoid. For the normal Earth,  $d = 521.854$   
 321 km. The 3D vector differential operator in the oblate spheroid coordinates is represented by

$$\nabla_3 = \mathbf{i} \frac{1}{h_\lambda^{ob}} \frac{\partial}{\partial \lambda} + \mathbf{j} \frac{1}{h_\varphi^{ob}} \frac{\partial}{\partial \varphi} + \mathbf{k} \frac{1}{h_r^{ob}} \frac{\partial}{\partial z}, \quad z = r - R \quad (\text{A2})$$

where  $R = 6.3781364 \times 10^6$  m, is the semi-major axis of the normal Earth (Earth radius). The coefficients (or called Lamé numbers) ( $h_\lambda^{ob}$ ,  $h_\varphi^{ob}$ ,  $h_r^{ob}$ ) are given by

$$h_\lambda^{ob} = \sqrt{r^2 + \frac{1}{2}d^2} \cos \varphi, \quad h_\varphi^{ob} = \sqrt{r^2 - \frac{1}{2}d^2 + d^2 \sin^2 \varphi}, \quad h_r^{ob} = \frac{r \sqrt{r^2 - \frac{1}{2}d^2 + d^2 \sin^2 \varphi}}{\sqrt{r^4 - \frac{1}{4}d^4}}. \quad (\text{A3})$$

However, the 3D vector differential operator in the polar spherical coordinates is represented by

$$\nabla_3 = \mathbf{i} \frac{1}{R \cos \varphi} \frac{\partial}{\partial \lambda} + \mathbf{j} \frac{1}{R} \frac{\partial}{\partial \varphi} + \mathbf{k} \frac{\partial}{\partial z}. \quad (\text{A4})$$

The difference between the two coordinates is 0.17% (Gill, 1982).

329

## Appendix B. True Gravity and Its Approximation

331

The true gravity  $\mathbf{g}$  is represented by a three-dimensional vector in the  $(\lambda, \varphi, z)$  coordinate system,

$$\mathbf{g} = \mathbf{g}_h + \mathbf{k}g_z, \quad \mathbf{g}_h = \mathbf{i}g_\lambda + \mathbf{j}g_\varphi \quad (\text{B1})$$

where  $\mathbf{g}_h$  is the deflected-horizontal component, and  $g_z \mathbf{k}$  the deflected-vertical component. It has two approximated forms. The first one is the normal gravity  $[-g(\varphi)\mathbf{k}]$  and usually represented in the oblate spheroid coordinates (see Appendix A) and associated with a mathematically modeled Earth (i.e., a rigid and geocentric ellipsoid) called the normal Earth. The normal Earth is a spheroid (i.e., an ellipsoid of revolution), has the same total mass and angular velocity as the Earth, and coincides its minor axis with the mean rotation of the Earth (Vaniček & Krakiwsky, 1986). The normal gravity vector  $[-g(\varphi)\mathbf{k}]$  is the sum of the gravitational and centrifugal accelerations exerted on the water particle by the normal Earth. Its intensity  $g(\varphi)$  is determined analytically. For example, the World Geodetic System 1984 uses the Somigliana equation (National Geospatial-Intelligence Agency, 1984) to represent  $g(\varphi)$

$$g(\varphi) = g_e \left[ \frac{1 + \kappa \sin^2 \varphi}{\sqrt{1 - e^2 \sin^2 \varphi}} \right], \quad e^2 = \frac{a^2 - b^2}{a^2}, \quad \kappa = \frac{bg_p - ag_e}{ag_e} \quad (\text{B2})$$

where  $(a, b)$  are the equatorial and polar semi-axes;  $a$  is used for the Earth radius,  $R = a = 6.3781364 \times 10^6$  m;  $b = 6.3567523 \times 10^6$  m;  $e$  is the spheroid's eccentricity;  $g_e = 9.780$  m/s<sup>2</sup>, is the gravity at the equator; and  $g_p = 9.832$  m/s<sup>2</sup> is the gravity at the poles. The second one is the standard gravity vector,  $-g_0 \mathbf{k}$ , with  $g_0 = 9.81$  m/s<sup>2</sup>. Oceanographer uses the standard gravity. Both normal and standard gravities don't have longitudinal-latitudinal component  $\mathbf{g}_h$ .

351

## Appendix C. True, Normal, and Standard Gravity Potentials

352

Let  $(V, E, E_0)$  be the gravity potentials associated with the true gravity  $\mathbf{g}$ , the normal gravity  $-g(\varphi)\mathbf{k}$ , and the standard gravity  $-g_0 \mathbf{k}$ . The potentials of the normal and standard gravities are given by

$$E(\varphi, z) = -g(\varphi)z, \quad E_0(z) = -g_0z \quad (\text{C1})$$

356

357

358 Both  $V$  and  $E$  include the potential of the Earth's rotation ( $P_R$ )

$$359 \quad P_R = \Omega^2 r^2 \cos^2 \varphi / 2). \quad (C2)$$

360 The gravity disturbance is the difference between the true gravity  $\mathbf{g}(\lambda, \varphi, z)$  and the normal gravity  
361  $[-g(\varphi)\mathbf{k}]$  at the same point (Hackney & Featherstone 2003). The potential of the gravity  
362 disturbance (called the disturbing gravity potential) is given by

$$363 \quad T = V - E = V + g(\varphi)z \quad (C3)$$

364 With the disturbing gravity potential  $T$ , the true gravity  $\mathbf{g}$  ( $= \mathbf{g}_h + g_z\mathbf{k}$ ) and its components are  
365 represented by (Sandwell & Smith, 1997)

$$366 \quad \mathbf{g} = \nabla_3 V, \quad \mathbf{g}_h = \nabla T, \quad g_z = -g(\varphi) + \frac{\partial T}{\partial z} \quad (C4)$$

367 where  $\nabla \equiv \mathbf{i} \frac{1}{R \cos \varphi} \frac{\partial}{\partial \lambda} + \mathbf{j} \frac{1}{R} \frac{\partial}{\partial \varphi}$  is the 2D vector differential operator. The geoid height ( $N$ )

368 relative to the normal Earth (i.e., reference spheroid) is given by Bruns' formula (Chu 2018),

$$369 \quad N(\lambda, \varphi) = \frac{T(\lambda, \varphi, 0)}{g_0} \quad (C5)$$

370 Eqs.(C3)-(C5) clearly show that the fluctuation of the marine geoid is independent of the Earth  
371 rotation and dependent on the disturbing gravity potential ( $T$ ) evaluated at  $z = 0$ . The disturbing  
372 static gravity potential ( $T$ ) outside the Earth masses in the spherical coordinates with the spherical  
373 expansion is given by (Kostelecký et al. 2015)

$$374 \quad T(r, \lambda, \varphi) = \frac{GM}{r} \sum_{l=2}^{\infty} \sum_{m=0}^l \left( \frac{R}{r} \right)^l \left[ (C_{l,m} - C_{l,m}^{el}) \cos m\lambda + S_{l,m} \sin m\lambda \right] P_{l,m}(\sin \varphi), \quad (C6)$$

375 where  $G = 6.674 \times 10^{-11} \text{m}^3 \text{kg}^{-1} \text{s}^{-2}$ , is the gravitational constant;  $M = 5.9736 \times 10^{24} \text{kg}$ , is the mass  
376 of the Earth;  $r$  is the radial distance with  $z = r - R$ ;  $P_{l,m}(\sin \varphi)$  are the Legendre associated functions

377 with  $(l, m)$  the degree and order of the harmonic expansion;  $(C_{l,m}, C_{l,m}^{el}, S_{l,m})$  are the harmonic  
378 geopotential coefficients (Stokes parameters with  $C_{l,m}^{el}$  belonging to the reference ellipsoid. From

379 Eqs. (C1) and (C3) the potential of the true gravity is given by

$$380 \quad V = T - g(\varphi)z. \quad (C7)$$

381 From Eq.(C4) the true gravity is represented by

$$382 \quad \mathbf{g}(\lambda, \varphi, z) = \nabla T + \left[ \frac{\partial T}{\partial z} - g(\varphi) \right] \mathbf{k} \quad (C8)$$

383 The longitudinal-latitudinal component of the true gravity  $\mathbf{g}_h$  at the reference spherical/ellipsoid  
384 surface ( $z = 0$ ) is obtained using Eq.(C5) and Eq.(C8)

$$385 \quad \mathbf{g}_h(\lambda, \varphi, 0) = \nabla T(\lambda, \varphi, 0) = g_0 \nabla N \quad (C9)$$

386

### 387 **Appendix D. An Approximate 3D True Gravity Field for the Oceans**

388 According to Eq.(C6) (i.e., the spectral of the disturbing static gravity potential  $T$ ), the ratio  
389 between  $T(\lambda, \varphi, z)$  to  $T(\lambda, \varphi, 0)$  through the water column can be roughly estimated by

$$390 \quad \left| \frac{T(\lambda, \varphi, z)}{T(\lambda, \varphi, 0)} \right| \approx \frac{R}{R+z} \approx 1, \quad 0 \geq z \geq -H(\lambda, \varphi) \quad (D1)$$

391 where  $H$  is the water depth. Since  $R$  is the radius of the Earth and more than 3 orders of magnitude  
 392 larger than  $H$ . This leads to the first approximation that the disturbing gravity potential  $T(\lambda, \varphi, z)$   
 393 does not change with  $z$  in the whole water column (approximation of thin layer for the oceans)

$$394 \quad T(\lambda, \varphi, z) \approx T(\lambda, \varphi, 0), \quad 0 \geq z \geq -H(\lambda, \varphi) \quad (\text{D2})$$

395 which makes

$$396 \quad \nabla^2 T(\lambda, \varphi, z) \approx \nabla^2 T(\lambda, \varphi, 0) \quad (\text{D3})$$

397 Since the deviation of the vertical component of the gravity ( $g_z$ ) to a constant ( $-g_0$ ) is around 4  
 398 orders of magnitude smaller than  $g_0$ , it leads to the second approximation

$$399 \quad g_z \approx -g_0 \quad (\text{D4})$$

400 With the two approximations, the true gravity  $\mathbf{g}$  in the water column is given by

$$401 \quad \mathbf{g}(\lambda, \varphi, z) \approx \mathbf{g}(\lambda, \varphi, 0) = g_0 \nabla N(\lambda, \varphi) - g_0 \mathbf{k} \quad (\text{D5})$$

402 Where (C9) and (D2) are used. Correspondingly, the potential of the true gravity is approximately  
 403 given by

$$404 \quad V(\lambda, \varphi, z) \approx g_0 [N(\lambda, \varphi) - z] \quad (\text{D6})$$

405 where Eq.(D5) is used.

406

#### 407 **Appendix E. Combined Sverdrup-Stommel-Munk Equation**

408 Substitution of (4) and (5) into (15) gives the component form

$$409 \quad -f \rho_0 v = -\frac{\partial p}{\partial x} + \rho g_0 \frac{\partial N}{\partial x} + \rho_0 K \frac{\partial^2 u}{\partial z^2} + \rho_0 A \nabla^2 u, \quad (\text{E1})$$

$$410 \quad f \rho_0 u = -\frac{\partial p}{\partial y} + \rho g_0 \frac{\partial N}{\partial y} + \rho_0 K \frac{\partial^2 v}{\partial z^2} + \rho_0 A \nabla^2 v, \quad (\text{E2})$$

411 The Sverdrup-Stommel-Munk theories assume rigid lid surface ( $z = 0$ ) and flat bottom ( $z = -H$ ),

$$412 \quad w|_0 = 0, \quad w|_{-H} = 0 \quad (\text{E3})$$

413 and the wind stress ( $\tau_x, \tau_y$ ) as the forcing at the ocean surface

$$414 \quad \rho_0 K \left( \frac{\partial u}{\partial z}, \frac{\partial v}{\partial z} \right) \Big|_{z=0} = (\tau_x, \tau_y). \quad (\text{E4})$$

415 The bottom stress ( $\tau_x^{(b)}, \tau_y^{(b)}$ ) was neglected (Sverdrup, 1947; Munk, 1950), or taken as the Rayleigh  
 416 friction (Stommel, 1948),

$$417 \quad \rho_0 K \left( \frac{\partial u}{\partial z}, \frac{\partial v}{\partial z} \right) \Big|_{z=-H} = (\tau_x^{(b)}, \tau_y^{(b)}) = \gamma \rho_0 (M_x, M_y), \quad (\text{E5})$$

418 where

$$419 \quad M_x = \int_{-H}^0 u dz, \quad M_y = \int_{-H}^0 v dz \quad (\text{E6})$$

420 are the longitudinal and latitudinal volume transports per unit length, and  $\gamma$  is the Rayleigh friction  
 421 coefficient.

422 Integration of the continuity equation (3b) with respect to  $z$  and use of the boundary conditions  
 423 (E3) leads to

$$424 \quad \frac{\partial M_x}{\partial x} + \frac{\partial M_y}{\partial y} = 0 \quad (\text{E7})$$

425 Here, the volume transport stream function ( $\Psi$ ) can be defined by

$$426 \quad M_x = -\frac{\partial \Psi}{\partial y}, \quad M_y = \frac{\partial \Psi}{\partial x} \quad (\text{E8})$$

427 Integration of the momentum equations (E1) and (E2) with respect to  $z$  from  $z = -H$  to  $z = 0$  and  
428 use of (E4) and (E5) lead to

$$429 \quad -A\nabla^2 M_x - fM_y = -\frac{1}{\rho_0} \int_{-H}^0 \frac{\partial p}{\partial x} dz + g_0 \int_{-H}^0 \frac{\rho}{\rho_0} \frac{\partial N}{\partial x} dz + \frac{\tau_x - \tau_x^{(b)}}{\rho_0} \quad (\text{E9})$$

$$430 \quad -A\nabla^2 M_y + fM_x = -\frac{1}{\rho_0} \int_{-H}^0 \frac{\partial p}{\partial y} dz + g_0 \int_{-H}^0 \frac{\rho}{\rho_0} \frac{\partial N}{\partial y} dz + \frac{\tau_y - \tau_y^{(b)}}{\rho_0} \quad (\text{E10})$$

431 Cross differentiation of (E9) and (E10) with respect to  $x$  and  $y$  leads to the combined Sverdrup-  
432 Stommel-Munk equation with  $\mathbf{g}_h$ ,

$$433 \quad -A\nabla^4 \Psi + \gamma \nabla^2 \Psi + \beta \frac{\partial \Psi}{\partial x} = \frac{1}{\rho_0} \left[ \text{curl } \boldsymbol{\tau} + g_0 \int_{-H}^0 J(\rho, N) dz \right] \quad (\text{E11})$$

434 where  $\beta = (2\Omega \cos \phi)/R$ ; and  $J(\rho, N) \equiv (\partial \rho / \partial x)(\partial N / \partial y) - (\partial \rho / \partial y)(\partial N / \partial x)$ , is the Jacobian of  $\rho$   
435 and  $N$ . Without  $\mathbf{g}_h$  (i.e.,  $\nabla N = 0$ ), Eq.(E11) is reduced to Eq.(5.5.29) in the reference (Pedlosky,  
436 1984).

437

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444 (<https://www.nodc.noaa.gov/OC5/woa18/>), the International Research Institute for Climate and  
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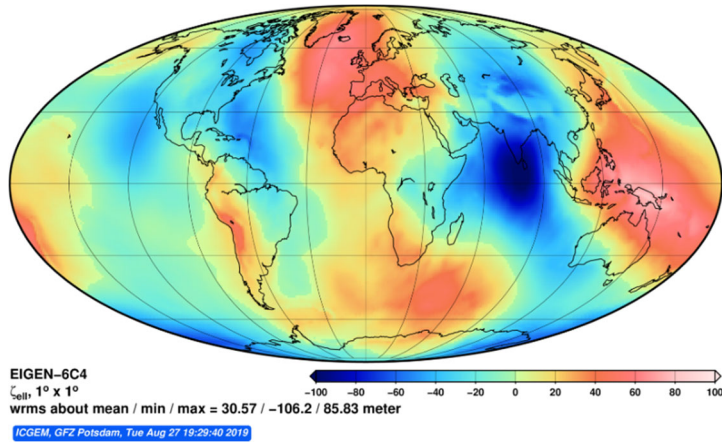
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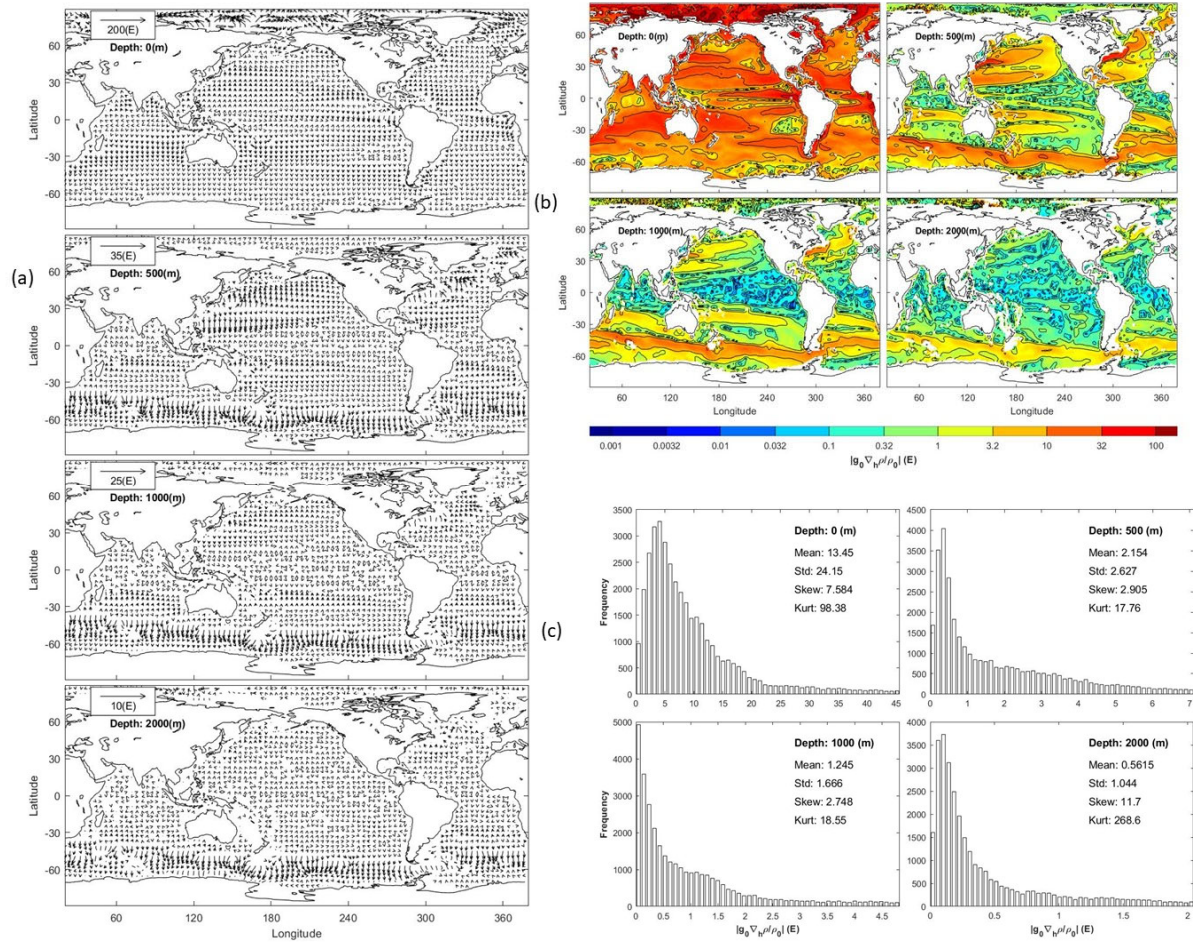


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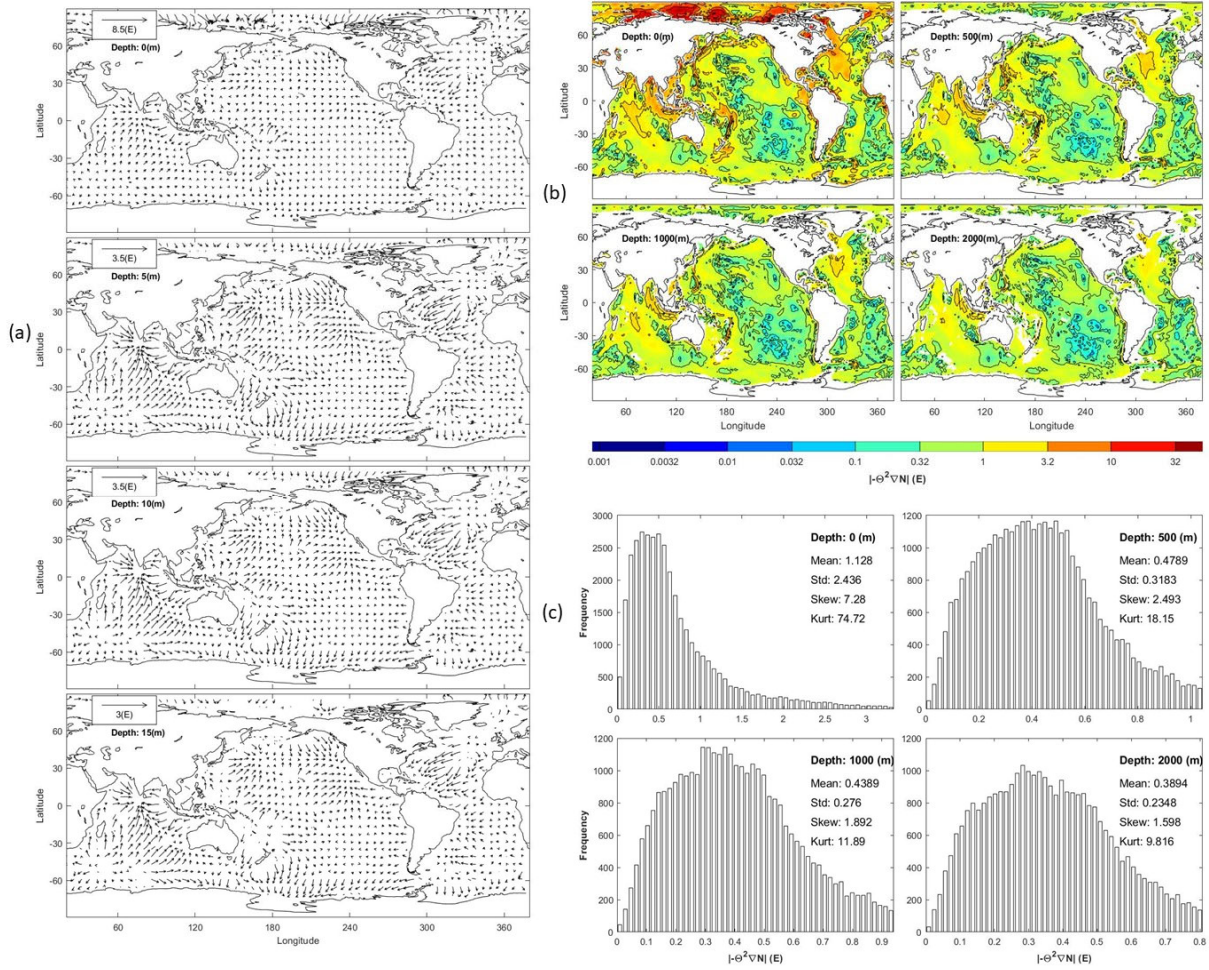


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521 **Figure 1.** Digital data for EIGEN-6C4 geoid undulation ( $N$ ) with  $1^\circ \times 1^\circ$ , computed online at the  
522 website <http://icgem.gfz-potsdam.de/home>.  
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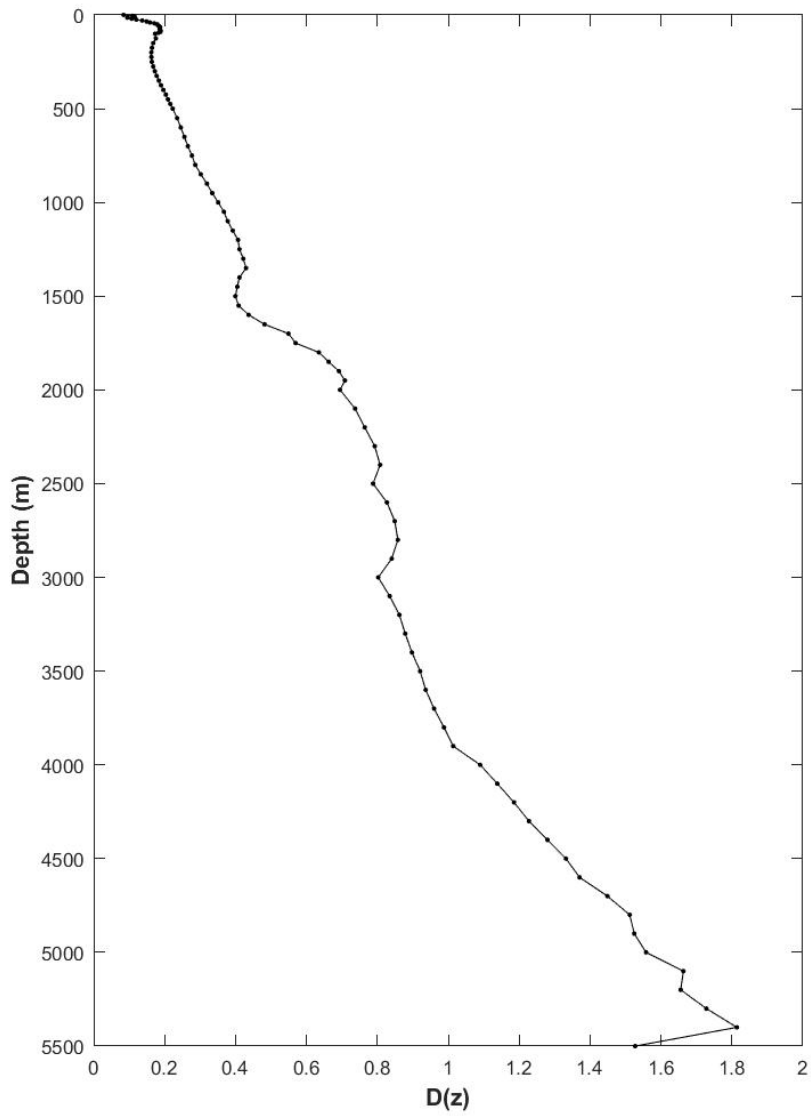


525  
 526 **Figure 2.** Horizontal density gradient  $(g_0 / \rho_0)\nabla\rho$  at the four levels ( $z = 0, -500, -1,000$   
 527  $m,$  and  $-2,000$  m): (a) the vector plots, (b) contour plots of the magnitudes  $|(g_0 / \rho_0)\nabla\rho|$ , and (c)  
 528 histograms of  $|(g_0 / \rho_0)\nabla\rho|$ . The magnitude  $|(g_0 / \rho_0)\nabla\rho|$  has the mean of  $13.45$  E ( $1E = 10^{-9}s^{-2}$ )  
 529 at the surface ( $z = 0$ ),  $2.154$  E at  $z = -500$  m,  $1.245$  E at  $z = -1,000$  m, and  $0.5615$  E at  $z = -2,000$   
 530 m.  
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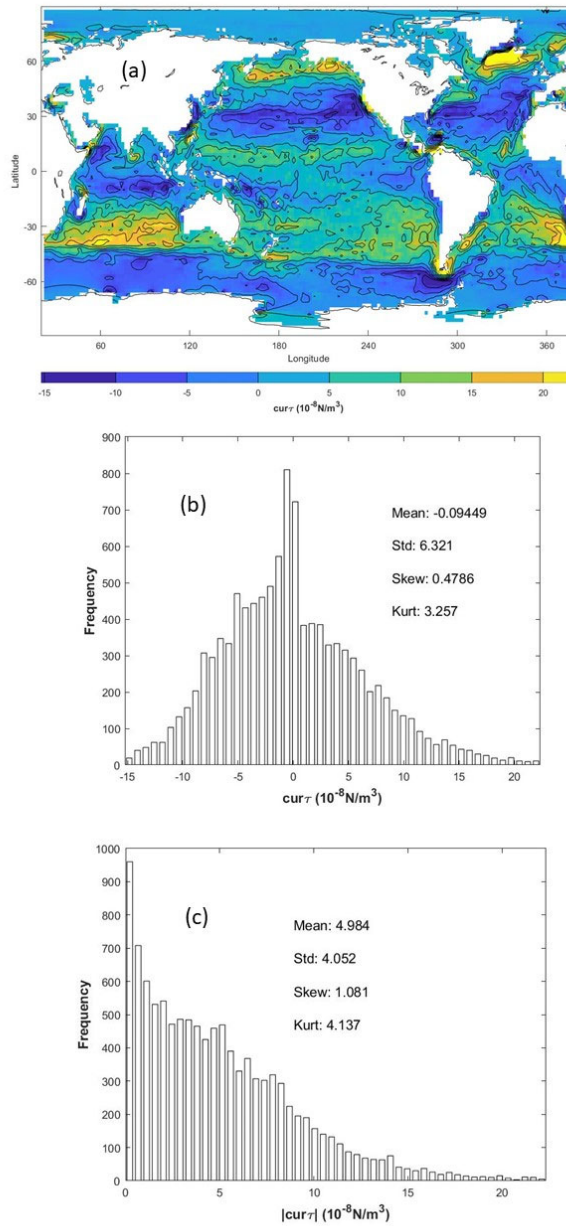
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533 **Figure 3.** Horizontal gradient  $-\Theta^2 \nabla N$  at the four levels ( $z = 0, -500$  m,  $-1,000$  m, and  $-2,000$   
 534 m): (a) the vector plots, (b) contour plots of the magnitudes  $|-\Theta^2 \nabla N|$ , and (c) histograms of  
 535  $|-\Theta^2 \nabla N|$ . The magnitude of  $|-\Theta^2 \nabla N|$  has the mean of 1.128 Eotvos (E) at the surface ( $z = 0$ ),  
 536 0.4789 Eotvos (E) at  $z = -500$  m, 0.4389 Eotvos (E) at  $z = -1,000$  m, and 0.3894 Eotvos (E) at  $z$   
 537  $= -2,000$  m.



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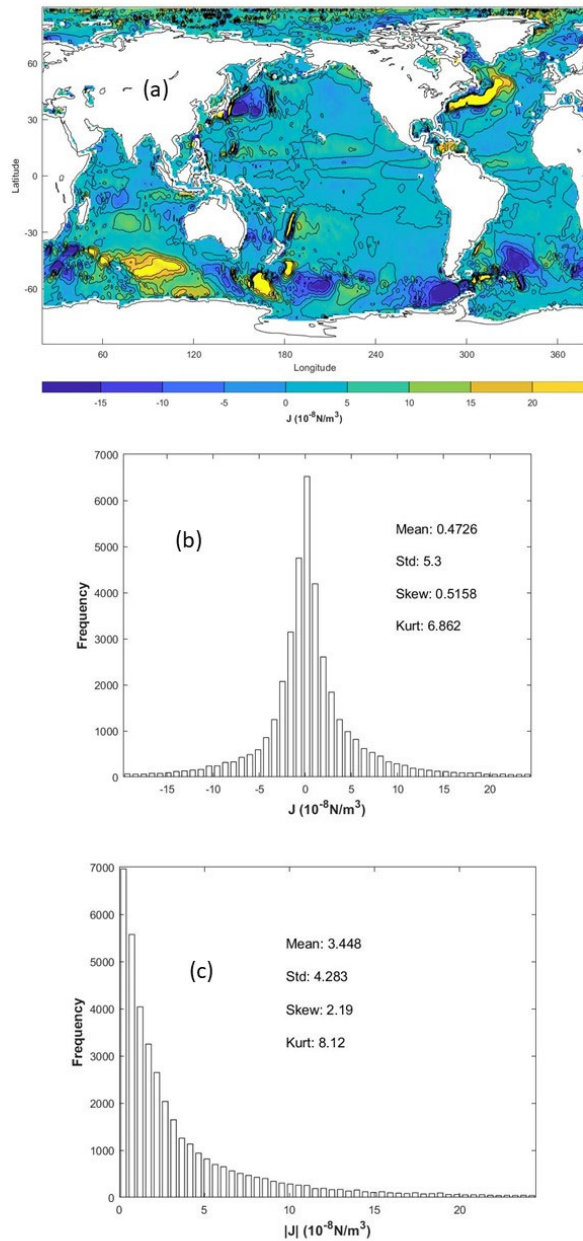
**Figure 4.** Depth dependent D-number calculated from the EIGEN-6C4 and WOA18 Datasets.



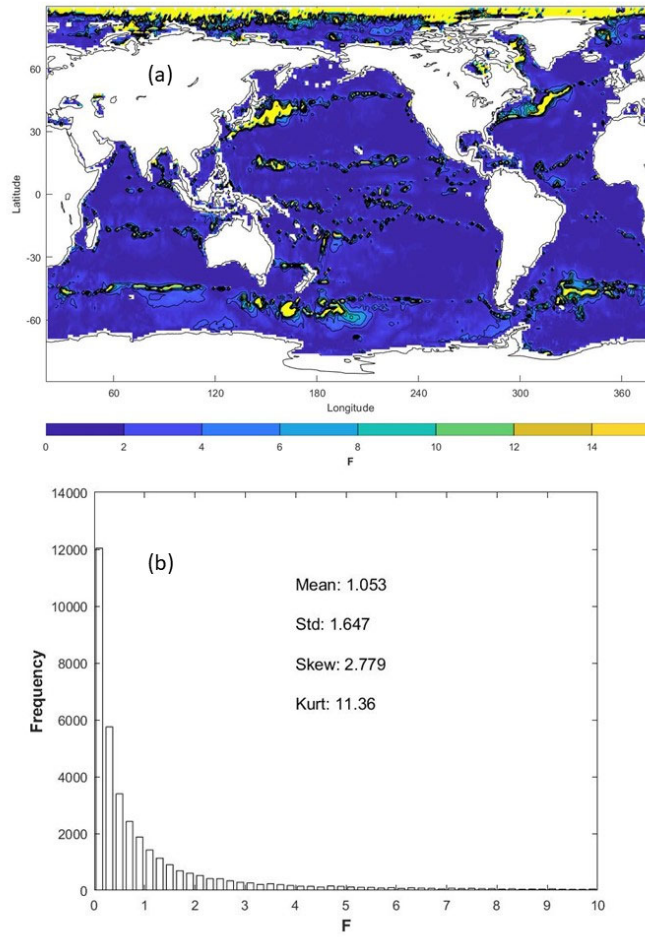
541 **Figure 5.** Climatological annual mean surface wind stress curl (unit:  $10^{-8} \text{ Nm}^{-3}$ ) calculated using  
 542 the COADS data: (a) contour plot of  $(\text{curl } \boldsymbol{\tau})$ , (b) histogram of  $(\text{curl } \boldsymbol{\tau})$ , (c) histogram of  $|\text{curl } \boldsymbol{\tau}|$ .  
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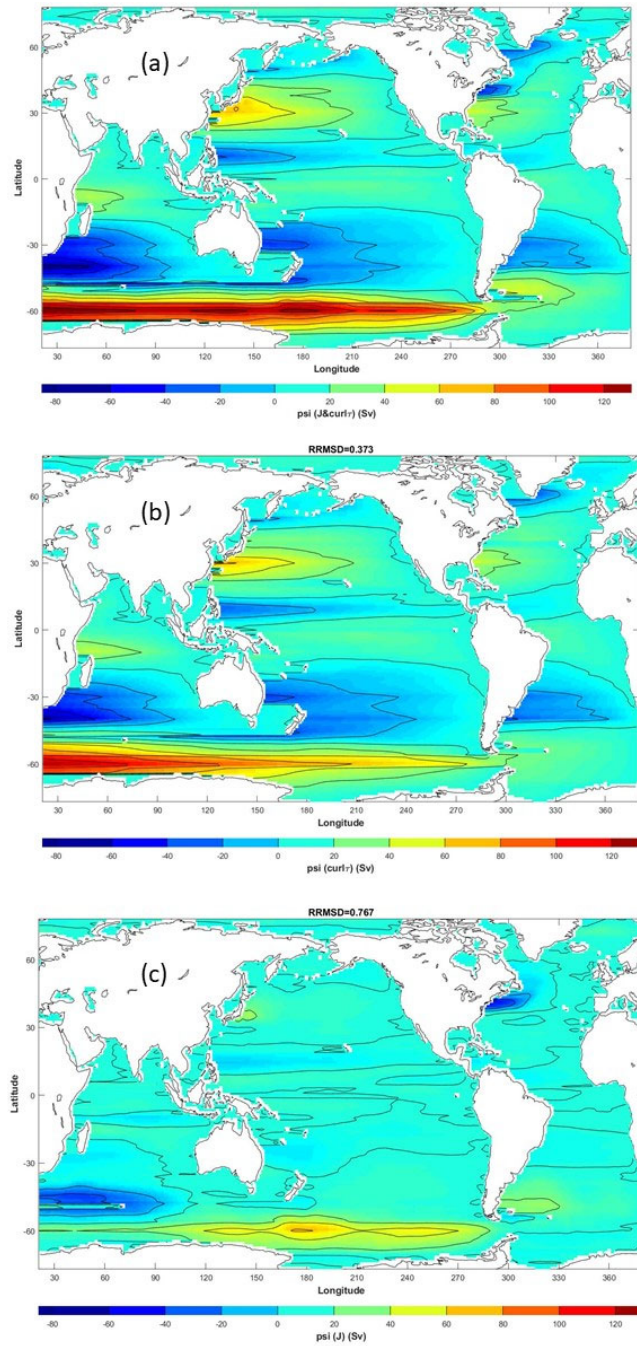


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549 **Figure 6.** Climatological annual mean JEBAG (unit:  $10^{-8} \text{ Nm}^{-3}$ ) calculated using the NOAA/NCEI  
550 WOA18 annual mean temperature and salinity data and the EIGEN-6C4 geoid undulation ( $N$ ) data:  
551 (a) contour plot of JEBAG, (b) histogram of JEBAG, and (c) histogram of  $|JEBAG|$ . Note that  
552 JEBAG is simplified by ‘J’ here.



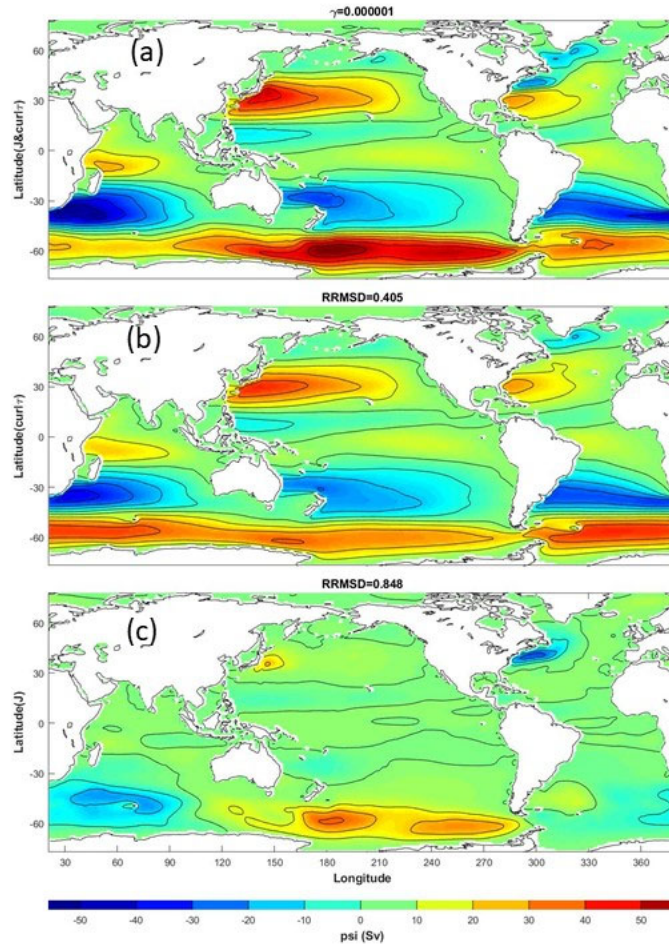
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555 **Figure 7.** Climatological annual mean F number calculated using the WOA18 hydrographic data,  
556 the COADS surface wind stress curl, and the EIGEN-6C4 geoid height ( $N$ ) data: (a) contour plot  
557 of  $F(\lambda, \varphi)$ , and (b) histogram of  $F$ .



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 559 **Figure 8.** Sverdrup volume transport streamfunction (unit: Sv) with (a) wind stress curl and  
 560 JEBAG, (b) wind stress curl, (c) JEBAG.





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563 **Figure 9.** Stommel volume transport streamfunction (unit: Sv) with (a) wind stress curl and  
564 JEBAG, (b) wind stress curl, and (c) JEBAG.