Cluster analysis for a standardized classification and description of volcanic ash: Case study of the 1983 eruption at Miyakejima, Japan

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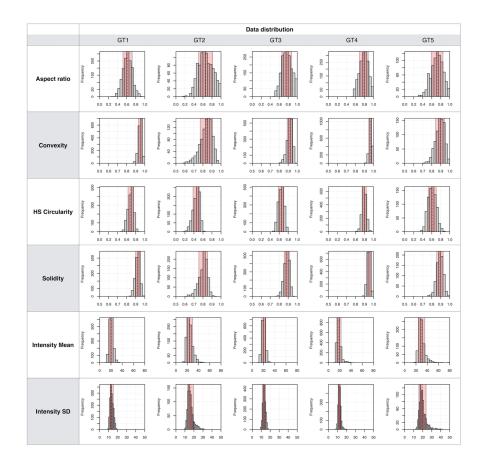
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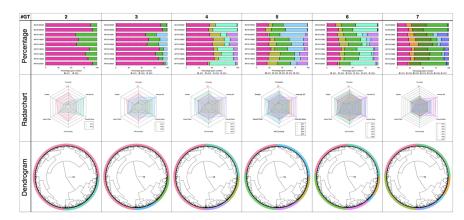
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Abstract

The composition of volcanic ash, which is a source of primary description data in volcanological study, is important information for estimating the eruption styles and sequences. However, its description under a microscope by human operation has difficulties in classification thresholds and time and effort-consumptions. This study demonstrates an accurate and rapid description of volcanic ash samples that consist of thousands of grains. We analyzed nine tephra samples (two magmatic (dry) and seven phreatomagmatic (wet)), which were produced in the 1983 A.D. fissure eruption event at Miyakejima volcano, Japan. Our dataset, which is consists of multivariate shape and transparency parameters, was rapidly obtained using an automated grain analyzer. In this study, we applied a two-step cluster analysis to objectively and quantitatively define grain type and classify samples. To define grain types, we referred to the statistically appropriate number of clusters of whole-ash grains in our samples. For our samples, the appropriate number of clusters for grain type was five. Each grain type is characterized by parameters and has different proportions among our samples. In wet tephra samples, grains that were categorized as transparent and highly irregularly shaped types were relatively abundant. Those grains can be considered as vesicular sideromelane grains, which are often found in products of phreatomagmatic eruptions. Such a standardized description of volcanic ash based on statistically determined grain type will contribute to initial descriptions before subsequent detailed analysis.





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Key Points:

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12	٠	Quantitative data treatment of thousands of volcanic ash grains is important for
13		estimating the eruption styles and sequences.
14	•	We perform an accurate and rapid description of volcanic ash samples using an
15		automated grain analyzer and a two-step cluster analysis.
16	•	Such a standardized quantitative and rapid description will contribute to initial
17		descriptions before subsequent detailed analysis.

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18 Abstract

The composition of volcanic ash, which is a source of primary description data in vol-19 canological study, is important information for estimating the eruption styles and sequences. 20 However, its description under a microscope by human operation has difficulties in clas-21 sification thresholds and time and effort-consumptions. This study demonstrates an ac-22 curate and rapid description of volcanic ash samples that consist of thousands of grains. 23 We analyzed nine tephra samples (two magmatic (dry) and seven phreatomagmatic (wet)), 24 which were produced in the 1983 A.D. fissure eruption event at Miyakejima volcano, Japan. 25 Our dataset, which is consists of multivariate shape and transparency parameters, was 26 rapidly obtained using an automated grain analyzer. In this study, we applied a two-step 27 cluster analysis to objectively and quantitatively define grain type and classify samples. 28 To define grain types, we referred to the statistically appropriate number of clusters of 20 whole-ash grains in our samples. For our samples, the appropriate number of clusters 30 for grain type was five. Each grain type is characterized by parameters and has differ-31 ent proportions among our samples. In wet tephra samples, grains that were categorized 32 as transparent and highly irregularly shaped types were relatively abundant. Those grains 33 can be considered as vesicular sideromelane grains, which are often found in products 34 of phreatomagmatic eruptions. Such a standardized description of volcanic ash based on 35 statistically determined grain type will contribute to initial descriptions before subse-36 quent detailed analysis. 37

³⁸ Plain Language Summary

How to treat thousands of volcanic ash grains? The composition of volcanic ash 39 is important information for estimating the eruption styles and sequences, though its data 40 management has difficulties in classification thresholds and time and effort-consumptions. 41 Here, we demonstrate an accurate and rapid description of volcanic ash samples that con-42 sist of thousands of grains and were formed by magmatic (dry) and phreatomagmatic 43 (wet) eruptions. We obtained accurate multivariate shape and transparency data using 44 an automated grain analyzer then applied a two-step cluster analysis to objectively and 45 quantitatively classify ash grains/samples based on their parameters. For our samples, 46 grains are classified into five types and each grain type is characterized by parameters 47 and has different proportions among our samples. In wet samples, grains that were cat-48 egorized as transparent and highly irregularly shaped types were relatively abundant. 49 Those grains can be considered as vesicular sideromelane grains, which are often found 50 in products of phreatomagmatic eruptions. Such a standardized description of volcanic 51 ash based on statistically determined grain type will contribute to initial descriptions 52 before subsequent detailed analysis performed by a human. 53

54 **1 Introduction**

The composition of volcanic ash, which is the source of primary description data 55 in volcanological study, is important information for estimating eruption styles and se-56 quences. For example, the proportion of juvenile material is used to infer the status of 57 ascending magma (e.g., Nakada et al., 1995). Characteristics of texture and shape of ju-58 venile material are used to infer its vesiculation and chilling histories (e.g., Sheridan & 59 Wohletz, 1983; Geshi et al., 2019). In general, the composition of volcanic ash is described 60 by microscopic observation, which has several issues for effective description. One of the 61 main issues is to determine classification thresholds. Even for professionals, it is diffi-62 cult to determine a threshold for grain classification. Ambiguous and/or featureless shapes 63 of some grains cause difficulty in the class setting and judgment. For these reasons, the 64 threshold highly depends on individual investigators and there are no integrated thresh-65 olds for the classification of volcanic ash grains. Furthermore, because it requires large 66 numbers of grains (e.g., Suzuki et al. (2013) classified several hundred or more ash grains 67

in a size fraction of 250 to 500 μm), the manual (i.e., microscopic observation by human)
 description of volcanic ash components takes time and effort. Especially for ongoing eruptions, accurate and rapid primary descriptions are important for monitoring and fore-

⁷¹ casting eruption activity.

The development of grain analyses, statistical techniques, and machine learning meth-72 ods has enabled automatic grain identification, measurement, and classification (e.g., Liu, 73 Cashman, & Rust, 2015; Leibrandt & Le Pennec, 2015; Shoji et al., 2018). For morpho-74 metric analysis of volcanic ash grains, several shape parameters have been proposed (e.g., 75 Dürig et al., 2019, and references therein). In general, those shape parameters are mea-76 sured using optical projections or scanning electron microscope (SEM) silhouettes. Com-77 pared with SEM observation, automated particle analysis systems can measure many ash 78 grains in a short time. For example, using an automated particle analyzer, Leibrandt and 79 Le Pennec (2015) demonstrated the measurement of 3000 ash grains in ~ 1.8 hours, while 80 measurement of 500–1000 of ash grains took 3–5 hours using a SEM (Lautze et al., 2012). 81 As many studies have presented, SEM observation is effective for detailed investigation 82 of selected grains aiming to understand magma ascension and chilling processes; how-83 ever, it is not suitable for primary, rapid, and comprehensive descriptions, such as aim-84 ing to understand the fraction of juvenile materials. Using silhouette images obtained 85 by an automated particle analyzer, Shoji et al. (2018) demonstrated the classification 86 of volcanic ash grains with a convolutional neural network, one of the machine learning 87 techniques. Such a technique significantly improves the initial description of volcanic ash, 88 especially from the viewpoint of time and effort consumption; however, dataset collec-89 tion for training classification machines is a new challenge. Machine learning using a con-90 volutional neural network requires a huge dataset consisting of more than 1000 images 91 and consumes time and effort. The subjective view of the data preparer is unavoidably 92 included in training data. To establish an integrated description procedure using these 93 advanced instruments and techniques, effective and objective preparation and analysis 94 of data are necessary. 95

In this study, we demonstrate an accurate and rapid description of volcanic ash composition with an automated grain analyzer and cluster analysis using ash samples from an observed eruption. The aim of this study was to develop an automatic assistance system for preparing the primary description before optical microscopic and SEM observations. This study will contribute to summarizing the composition and selecting ash grains before subsequent detailed investigations.

102 2 Method

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2.1 Volcanic ash samples and their geological background

In this study, we analyzed nine tephra samples that were produced in the 1983 A.D. 104 fissure eruption event (hereafter 1983 eruption) of Miyakejima volcano, Japan (Fig. 1). 105 The rock type formed in this event is tholeiitic basalt (Aramaki et al., 1986). During the 106 event, lava flow effusion, fountaining, and Strombolian eruptions ("dry") and phreatomag-107 matic eruptions ("wet") occurred in the same fissure vent system simultaneously. The 108 initial eruption started on the southwestern flank of Oyama with a NE–SW trend, and 109 then fissure vents propagated toward the northeast and southwest (Fig. 1). The erup-110 tion style was initially fountaining and shifted to localized Strombolian (Aramaki et al., 111 1986). At the southwest edge of the fissure vent, phreatomagmatic eruptions occurred 112 and formed a tuff ring in the Nippana area (e.g., Aramaki et al., 1986). The Nippana 113 tuff ring consists of surge deposits mainly composed of stratified sideromelane (Sumita, 114 1985). The lower units are characterized by block-sag structure, water-chilled bombs, 115 and water-chilled scoria. The groundmass crystallinity of scoria produced at the flank 116 (i.e., dry eruption) is slightly lower than that of the wet scoria produced at Nippana (Shimano 117 & Nakada, 2006). 118

Figure 1. Map of Miyakejima Island, Japan. Sampling locations of this study are shown by blue stars.

We used two dry tephra samples and seven wet tephra samples generated in the 119 1983 eruption (Table 1). The dry tephra samples (MJ16102402 from lower and MJ16102403 120 from upper units, corresponding to E-1 and E-2, respectively, in the stratigraphic sequence 121 in Endo et al. (1984)), which were generated by fountaining, were collected on the east-122 ern crater rim of the northern Jinan-yama scoria cone (Fig. 1). At this site, samples were 123 collected just above asphalt paving, which was the ground surface before the 1983 erup-124 tion (Figs. 2 and S1). The wet tephra samples (NP15113001–06, NP16102407) were col-125 lected at the crosscut outcrop of the Nippana tuff ring (Figs. 2 and S2). In the strati-126 graphic sequence of Endo et al. (1984), NP15113001 corresponds to S2-l, NP15113002-127 06 correspond to S2-u, and NP16102407 (the uppermost layer) corresponds to R-3. Be-128 cause these tephra samples appeared to be unaltered and were collected from their orig-129 inal emplacements, we regarded their original shape and transparency be unmodified by 130 subsequent alteration and transportation in the surface environment. 131

Eruption type (sample location)	Sample ID	Number of grains	Note
Magmatic (Jinan-yama)	MJ16102403	348	Above MJ16102402
	MJ16102402	221	Lower layer
Phreatomagmatic (Nippana)	NP16102407	790	Above NP15113006
	NP15113006	782	Above NP15113005
	NP15113005	692	Above NP15113004
	NP15113004	1097	Above NP15113003
	NP15113003	405	Above NP15113002
	NP15113002	697	Above NP15113001
	NP15113001	1820	Lower layer

Table 1. List of samples used in the present study.

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Figure 2. Stratigraphic columns of the northern Jinan-yama scoria cone and the Nippana tuff ring after Endo et al. (1984)

Most of the grains in the 1983 eruption samples are juvenile glassy fragments. In 132 dry samples, translucent glassy black/dark brown grains are dominant (Fig. 3A). These 133 glassy grains are possibly tachylite, which is formed by rapid cooling of molten basaltic 134 magma (e.g., White & Valentine, 2016). The irregular shape of some of those grains may 135 have been caused by fluidal deformation. In wet samples, glassy light brown grains are 136 dominant (Fig. 3B). Most of those grains are transparent and are considered to be siderome-137 lane, which dominates in more rapidly cooled magma, as occurs during magma-water 138 interactions (e.g., White & Valentine, 2016). The 1983 eruption tephra contains 3-5 vol% 139 of phenocrysts (Kuritani et al., 2003; Shimano & Nakada, 2006). According to Kuritani 140 et al. (2003), phenocrysts of plagioclase, olivine, augite, titanomagnetite, and rare or-141 thopyroxene are present (97:1:2 for the modal proportion of plagioclase, olivine, and augite 142 phenocrysts). In the 1983 eruption samples, crystal aggregates consisting of plagioclase, 143 olivine, augite, and titanomagnetite were found (Kuritani et al., 2003). The glassy frag-144 ments contain microlites (e.g., Shimano & Nakada, 2006). 145

Figure 3. Microscopic images of example 1983 Miyakejima eruption samples. A: MJ16102402.B: NP15113002. Note that these images were taken under incident lighting.

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2.2 Grain measurement and parameter selection

We used an automated grain analyzer to obtain accurate multivariate shape and 147 transparency data rapidly. This study used the Morphologi G3S (Malvern Instrument 148 Ltd.) automated particle analyzer at the Geological Survey of Japan, National Institute 149 of Advanced Industrial Science and Technology (AIST). The sieve size fraction of grains 150 we used was 2ϕ to 3ϕ (125 to 250 µm). We chose this size fraction because it existed in 151 sufficient quantities for statistical analysis. At $\times 5$ magnification, the measurable grain 152 diameter ranged from 6.5 to 420 µm (Malvern, 2013). Therefore, the sample sizes are 153 appropriate for these measurement conditions. In grain measurement, overlapped and 154 combined grains affect the measured shape and transparency. To scatter the volcanic ash 155 grains on the glass plate, we used a sample dispersion unit with an injection pressure of 156 1.5 bar and an injection time of 20 ms. During the measurement, the illumination was 157 set to diascopic (bottom light), under automatic light calibration (calibration intensity 158 of 80.00 and intensity tolerance of 0.20). The threshold for background separation (0 to 159 255) was set at 80 to obtain a sharp focus. The measurement lasted approximately 40 160 min for each sample. After the measurement, we excluded overexposed, unseparated, and 161 cut-off grain images by hand in the Morphologi software. As a result, we obtained grain 162 shape and transparency data for 6,852 grains in total (refer to the Supplementary Data). 163

The parameter selection step is essential for analyzing multivariate data. Taking the independence of parameters into account, Liu, Cashman, and Rust (2015) proposed adopting four parameters: convexity, solidity, axial ratio, and a form factor (i.e., highsensitivity (HS) circularity in Morphologi G3S) for grain shape analysis of volcanic ash.

In addition to grain shape, Morphologi G3S can also measure grayscale luminance, which, 168 under the diascopic lighting conditions, indicates grain transparency. Information regard-169 ing the grain transparency of volcanic ash is important for identifying the glass and crys-170 tal components (e.g., Miwa et al., 2015). In this context, we used four shape parame-171 ters (aspect ratio, convexity, HS circularity, and solidity) and two transparency values 172 (intensity mean and standard deviation). Because the axial ratio is not provided by Mor-173 phologi G3S, we used the aspect ratio instead. The parameters used in this study—aspect 174 ratio (A_r) , convexity (C_v) , solidity (S_d) , HS circularity (H_c) , intensity mean (I_m) , and 175

intensity standard deviation (I_{sd}) —are derived as follows:

$$A_r = \frac{W}{L},$$

$$C_v = \frac{P_c}{P_g},$$

$$S_d = \frac{A_g}{A_g + A_c},$$

$$H_c = \frac{4 \times \pi \times A_g}{P_g^2}$$

$$I_m = \frac{\sum_{i=1}^{N} I_i}{N},$$

$$I_{SD} = \sqrt{\frac{\sum_{i=1}^{N} {I_i}^2 - \frac{\left(\sum_{i=1}^{N} {I_i}\right)^2}{N}}{N}},$$

where W is the length along the minor axis of the grain, L is the length along the major axis of the grain, P_c is the perimeter of the convex hull, P_g is the perimeter of the grain, A_c is the area of the convex hull, A_g is the area of the grain, I_i is the intensity [0 (opaque) to 255 (transparent)] of pixel *i*, and N is the total number of pixels in the grain (Malvern, 2013). Therefore, in this study, the low values of these shape parameters indicate more elongated, rough, and/or irregular shapes. These parameters were calculated for each ash grain.

Although each parameter is not directly correlated with the material, some are char-184 acteristic in particular materials. For example, fluidally elongated glass fragments and 185 elongated crystals have a low aspect ratio. Concave sides caused by bubble walls affect 186 convexity (small bubbles) and solidity (large bubbles) (e.g., Liu, Cashman, Rust, & Gis-187 lason, 2015; Liu, Cashman, & Rust, 2015). Surface tension within a fluid droplet before 188 it cools contributes to decreasing the circularity of magma and lava fragments (e.g., Wohletz, 189 1983; Fitch & Fagents, 2020). Grains rounded by friction and collisions also show low 190 circularity (e.g., Manga et al., 2011). 191

2.3 Cluster analysis

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We applied a standard cluster analysis technique to objectively and quantitatively classify ash grains based on their shape and transparency parameters. Cluster analysis is a multivariate analysis method for unsupervised classification (e.g., Anderberg, 2014; Tan et al., 2005). In this study, we adopted Ward's hierarchical clustering method (Anderberg, 2014).

We performed a two-step clustering analysis to 1) categorize all ash grains (i.e., 6,852) 198 grains) into a small number of grain types (grain clustering) and 2) represent samples 199 by a feature vector composed of the ash fraction (sample clustering) (Fig. 4). In the first 200 cluster analysis, we categorized all ash grains across the entire sample and then consid-201 ered each cluster as a statistically determined grain type. After calculating the grain num-202 ber percentages of each grain type for all samples, considering the proportions of grain 203 types as the feature vector for the sample, we then categorized the samples. The sam-204 ple clustering was used to consider the appropriate cluster number (i.e., number of grain 205 types) in the grain clustering. Because the range of values differs between shape param-206 eters (0 to 1) and intensity values (0 to 255), ash grain data were standardized (with mean 207 0 and standard deviation 1) before the analysis. Whole-ash grain data, including images, 208 are presented in the Supplementary Data. To define grain types, we referred to the sta-209 tistically appropriate number of clusters of whole-ash grains in our samples. There are 210 several methods for determining the number of clusters. For example, the R package NbClust 211 provides 30 different indices for determining the appropriate number of clusters (Charrad 212 et al., 2012). In the NbClust package, the best number of clusters is determined by a ma-213 jority vote on the optimal numbers of clusters, which are defined for each index based 214 on maximum/minimum differentiation. Some of these indices require a heavy compu-215 tational burden, particularly considering that our data included 6.852 ash grains. In this 216 case, the package authors recommend the use of only 26 of the 30 indices, which is more 217 computationally efficient and does not depend on visual inspection. Therefore, we used 218 the NbClust package to determine the appropriate cluster number for 6,852 ash grains. 219

220 3 Results

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3.1 Determination of statistical grain types

The appropriate number of grain clusters in our sample was suggested as five based 222 on the result of the NbClust package. Fig. 5 shows the number of indices with the ap-223 propriate cluster number for each and all samples. For most samples, the appropriate 224 cluster number is two or three. MJ16102403 has the maximum appropriate cluster num-225 ber among all samples, five. For all ash grains in our samples, the appropriate cluster 226 number is three. Fig. S3 shows classification results based on the fraction of grain type 227 in each sample with two to seven clusters. The structure of classification did not change 228 when the number of clusters was four or more. The Euclidean distance became stable 229 when the cluster number was five or more. Given this background, we applied five as the 230 cluster number to determine the grain type for our samples. The results for the other 231 numbers of grain type analyses are shown in Fig. S4. 232

Fig. 6 shows the characteristics of the shape and transparency parameters for each 233 cluster in the case of five grain types. Here we refer to each cluster as grain types (GTs) 234 1 to 5. GT1, consisting of 1,586 grains, has the lowest aspect ratio and the highest con-235 vexity and solidity. From this feature, GT1 is characterized by grains with an elongated 236 and smooth surface (fewer spiky edges). GT2, consisting of 957 grains, has significantly 237 low shape parameters, especially solidity. The intensity parameters of GT2 are high. These 238 features characterize GT2 as transparent irregular-shaped grains with spiky edges. GT3, 239 consisting of 1,768 grains, is a featureless category except for a slightly high aspect ra-240 tio. This indicates that GT3 grains have an equant (blocky) shape. GT4, consisting of 241

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Figure 4. Cluster analysis procedure used in this study.

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Figure 5. Rose diagram for appropriate number of grain type clusters calculated by the NbClust package for each sample.

²⁴² 1,728 grains, has the lowest intensity parameters and highest shape parameters, espe-

cially HS circularity. These features suggest that GT4 grains are opaque and have an

equant rounded shape without spiky edges. GT5, consisting of 813 grains, has low con-

vexity and HS circularity. The intensity parameters of GT5 are significantly high. These

features characterize GT5 as very transparent and irregular-shaped grains. The frequency

of parameters in each grain type is shown in Fig. S5.

Figure 6. Characteristics of each grain type in cluster analysis. (A) Dendrogram of the cluster analysis. The number after the grain type indicates the number of grains in each type. (B) Parameter characteristics of each grain type. Note that each parameter is shown with a standard-ized scale (with mean 0 and standard deviation 1).

Fig. 7 shows example silhouette images of statistically classified grains for the five 248 grain types. GT1 grains are relatively opaque and have an elongated angular shape (acute 249 to right angles). Most sides of GT1 grains are flat, but some of them are partially con-250 cave. Some GT1 grains are transparent and have a fluidally elongated shape. Some trans-251 parent GT1 grains contain bubbles and microlites (possibly plagioclase). GT2 grains are 252 transparent and have significantly irregular and concave outlines mainly derived from 253 bubble walls. Some GT2 grains show a fluidally elongated shape. Cleary, the transpar-254 ent GT2 grains contain bubbles and microlites. GT3 grains are relatively opaque and 255 have rough outlines. Some sides of GT3 grains are partially concave. Inside the relatively 256 transparent GT3 grains, microlites and bubbles were observed. GT4 grains are relatively 257 opaque and have an equant shape with a smooth outline. Most sides of GT4 grains are 258 convex. Some GT4 grains are microlite-rich and contain bubbles. GT5 grains are trans-259 parent and have irregular and angular shapes. Inside GT5 grains, microlites are frequently 260 observed (Fig. S6). Most of the GT5 grains contain bubbles and some of them are as 261 large as penetrating grains. 262

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3.2 Composition based on grain types

Our tephra samples were characterized by a fraction of grain numbers falling into 264 each grain type, which was determined by cluster analysis (Fig. 8). GT2 and GT5 grains 265 are more common in wet samples from lower layers of the Nippana tuff ring (NP15113001, 266 02, and 03) than in the others. More than 40 % of grains of the dry samples (MJ16102402 267 and MJ16102403) and wet sample NP16102407 consists of GT4 grains. The dry sam-268 ples have quite a few numbers of GT5 grains (less than 1.3 %). There are no large dif-269 ferences in fractions of GT1 and GT3 among our samples; the average and the standard 270 deviation are $22.9 \pm 3.2 \%$ and $26.0 \pm 3.4 \%$, respectively. 271

Figure 7. Example images of each grain type.

Figure 8. Grain type composition of 1983 eruption tephra.

272 **4 Discussion**

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4.1 Can we infer eruption details from statistically determined grain types?

When the classification results are combined with microscopic observation, most 274 of the GT2 and GT5 grains could be considered as sideromelane shards. As shown in 275 Figs. 6 and 8, GT2 and GT5 grains have higher I_m values (i.e., transparency) than those 276 of the other grain types, and are common in wet tephra samples. Of course, there is a 277 possibility that they are other transparent materials, such as plagioclase phenocrysts, 278 however, they are minor in our samples, and the existence of microlites inside of those 279 grains is strong evidence that they are glass shards. This interpretation is consistent with 280 the abundance of sideromelane in products of phreatomagnetic eruptions (e.g., White 281 & Valentine, 2016). The other opaque grains of GT1, GT3, and GT4 could correspond 282 to tachylite, other colored minerals, and crystal aggregates. Because the tephra used in 283 this study mostly consisted of juvenile materials, the variety of grains is small. Our pro-284 cedure will be more effective for more complicated tephra, which contains both juvenile 285 and altered/recycled materials. 286

The abundance of GT2 grains in lower units of the Nippana tuff ring is considered 287 to be caused by intense vaporization and quenching of magma. GT2 grains are consid-288 ered as sideromelane, as discussed above, and have vesicular outlines. Vesicular grains 289 have been used as one of the indicators of phreatomagmatic eruptions (e.g., Liu, Cash-290 man, Rust, & Gislason, 2015; Schmith et al., 2017). Liu, Cashman, Rust, and Gislason 291 (2015) suggested that a vesicular grain is generated by pre-fragmentation vesiculation 292 prior to subsequent brittle fragmentation by rapid quenching. An abundance of GT2 grains, 293 as an indicator of such a fragmentation scheme, would imply the effect of external wa-294 ter during an eruption. However, as Liu, Cashman, Rust, and Gislason (2015) suggested, 295 whether a vesicular feature appears depends on the target grain and bubble sizes. They 296 showed that the proportion of bubbly particles (i.e., vesicular grains) in ash samples de-297 creases as the grain size approaches the modal vesicle diameter. If the target grain size 298 and modal vesicle diameter are in the same range, the proportion of vesicular grains could 299 have less sensitivity for scaling with the effect of external water in eruptions. Thus, GT2 300 grains have the potential to be an indicator of a phreatomagmatic eruption, but the re-301 lationship between grain and bubble size should be considered. 302

Other types of data that can be measured rapidly will increase the volcanological meaning of automatic initial descriptions of grains. In this study, only the shape and transparency data obtained from silhouette images were used. Luminance data, such as RGB composition, will greatly help to identify oxidized/altered grains and colored minerals, as shown in Miwa et al. (2015). Rapid measurement by Raman spectroscopy, which has already been implemented (e.g., Kammrath et al., 2018), also will contribute to ash classification.

Statistically determined grain types in this study are not applicable to other vol-310 canic ash samples because the determination highly depended on the specific dataset. 311 The appropriate number of grain types is not always five and depends on the sample anal-312 ysis. Perhaps, data profiling and cluster analysis with samples from several eruption styles 313 and geological backgrounds will make such clusterings more generalizable. In the future, 314 after measurement and analysis to establish statistical grain types that cover many erup-315 tion styles and volcanology more meaningfully, an automatic classification system can 316 be built. One of the procedures is setting parameter-based thresholds. However, as shown 317 in Fig. S5, because a statistically determined grain type has a wide range of parameters 318 that often overlap with other types, setting a threshold is complicated. To solve this is-319 sue, machine learning techniques, such as neural networks, can be applied. Trained clas-320 sification models can classify volcanic ash accurately and rapidly (Shoji et al., 2018). One 321 of the advantages to applying neural networks is that they can calculate the class prob-322 ability. Because volcanic ash is a natural product, it contains ambiguous grains that are 323

difficult to classify. In such a case, the concept of probability in volcanic ash grain classification will be useful. Even if a very accurate classification system could be developed, it would only support the first description. In subsequent detailed analyses, manual microscopic and other observations by experts are required.

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4.2 Application to other materials

The initial description scheme for grain materials can be adapted to other fields, 329 such as sedimentology and planetary science. Grain classification is a common theme in 330 geology (e.g., Drolon et al., 2000; MacLeod, 2002). Our procedure could improve the qual-331 ity of those classifications by applying transparency. In the case of the Martian Moons 332 eXploration mission, which is scheduled to return grain samples from Phobos in the late 333 2020s, about 1 million grains, which may include not only Phobos material but also Mar-334 tian samples (Hyodo et al., 2019), will be described and classified without supervised clas-335 sification. To avoid unexpected pollution, which affects subsequent detailed analyses (e.g., 336 detection of organic matter), the initial description of those returned samples will be per-337 formed by observation systems that do not damage the samples. The development of an 338 automatic description and classification method for large numbers of grains based on shape 339 and transparency would play an important role in initial and subsequent analyses to achieve 340 the mission goal. 341

342 5 Conclusion

In this study, we demonstrated an accurate and rapid description method for vol-343 canic ash composition with an automated grain analyzer and cluster analysis using ash 344 samples from the 1983 Miyakejima eruption. We used samples produced in magmatic 345 ("dry") and phreatomagmatic ("wet") eruptions that occurred in the same fissure erup-346 tion system simultaneously. Using four shape parameters and two transparency param-347 eters, we found five statistically determined grain types following the suggested appro-348 priate cluster number. Each grain type was characterized by parameters that had dif-349 ferent proportions among our samples. In wet tephra samples, grains that were catego-350 rized as transparent and highly irregular-shaped were relatively abundant. Those grains 351 can be considered as vesicular sideromelane grains, which are often found in the prod-352 ucts of phreatomagmatic eruptions. Such a statistically determined grain type could be 353 used as supervised data in a machine learning procedure in further automatic grain clas-354 sification, though analysis of samples covering many eruption styles is needed. The de-355 velopment and improvement of our procedure will contribute to initial descriptions be-356 fore subsequent detailed analysis performed by a human. 357

358 Acknowledgments

The grain data used in the present study were collected using Morphologi G3S at the Geological Survey of Japan, AIST. Data sets use this study are available online (at https:// doi.org/10.6084/m9.figshare.14676045.v1). This work was supported by the Japan Society for the Promotion of Science, Grants-in-Aid for Scientific Research (grant numbers 17H02063 and 20H01986 for RN, and CREST JPMJCR1761 for HH) and the Joint Usage/Research Center Program of the Earthquake Research Institute, University of Tokyo (grant number 2015-B-04).

366 References

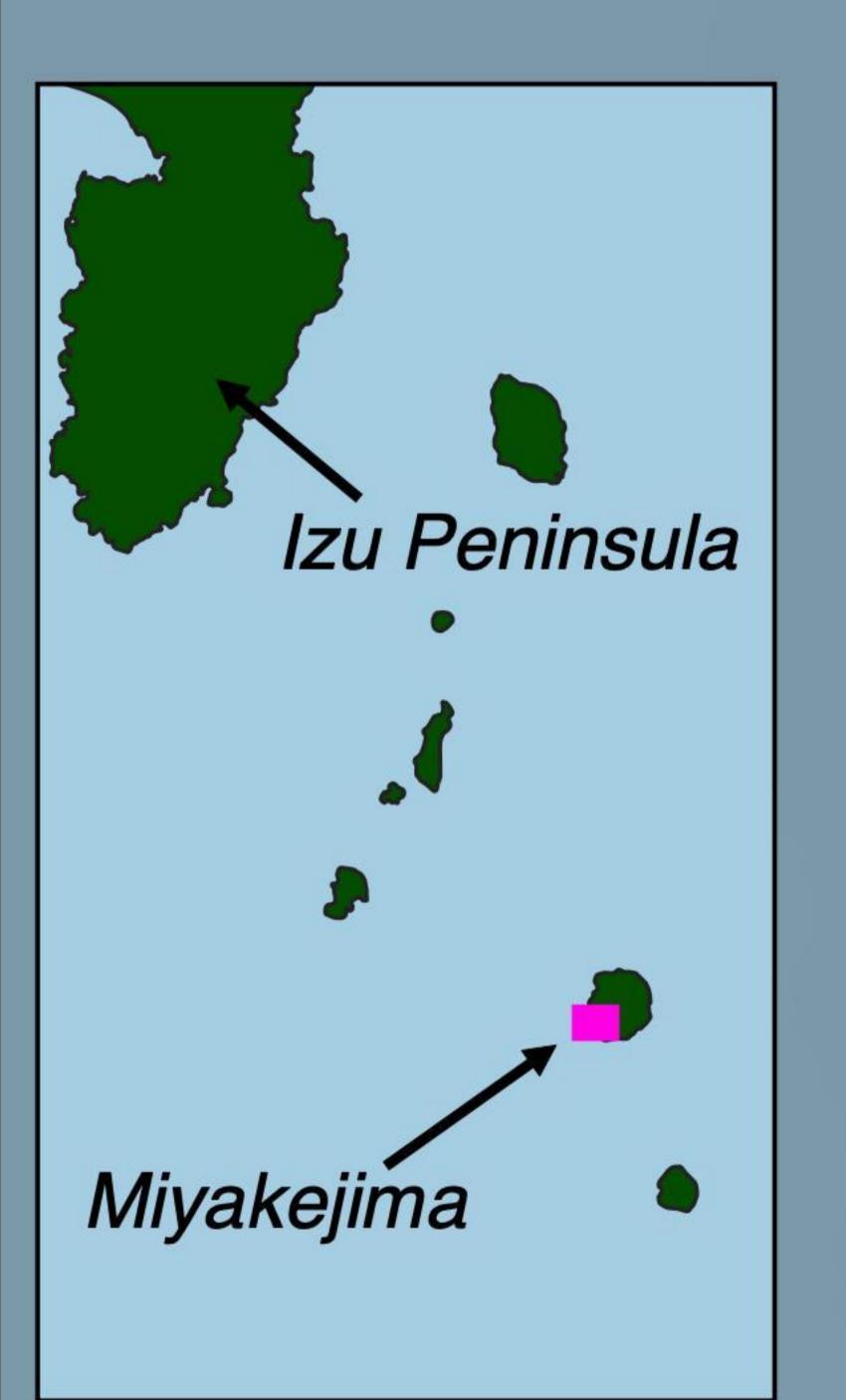
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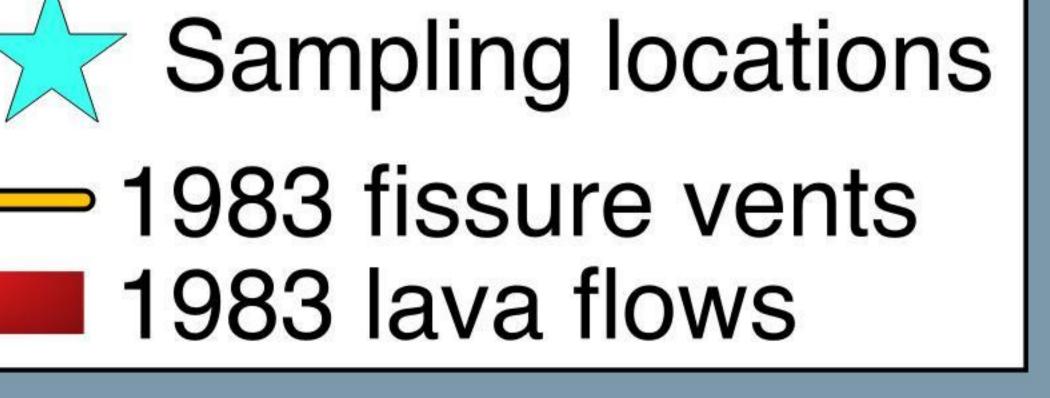
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Figure 1.





Legend



NP15113001–06, NP16102407

Ako





2000 caldera



Oyama

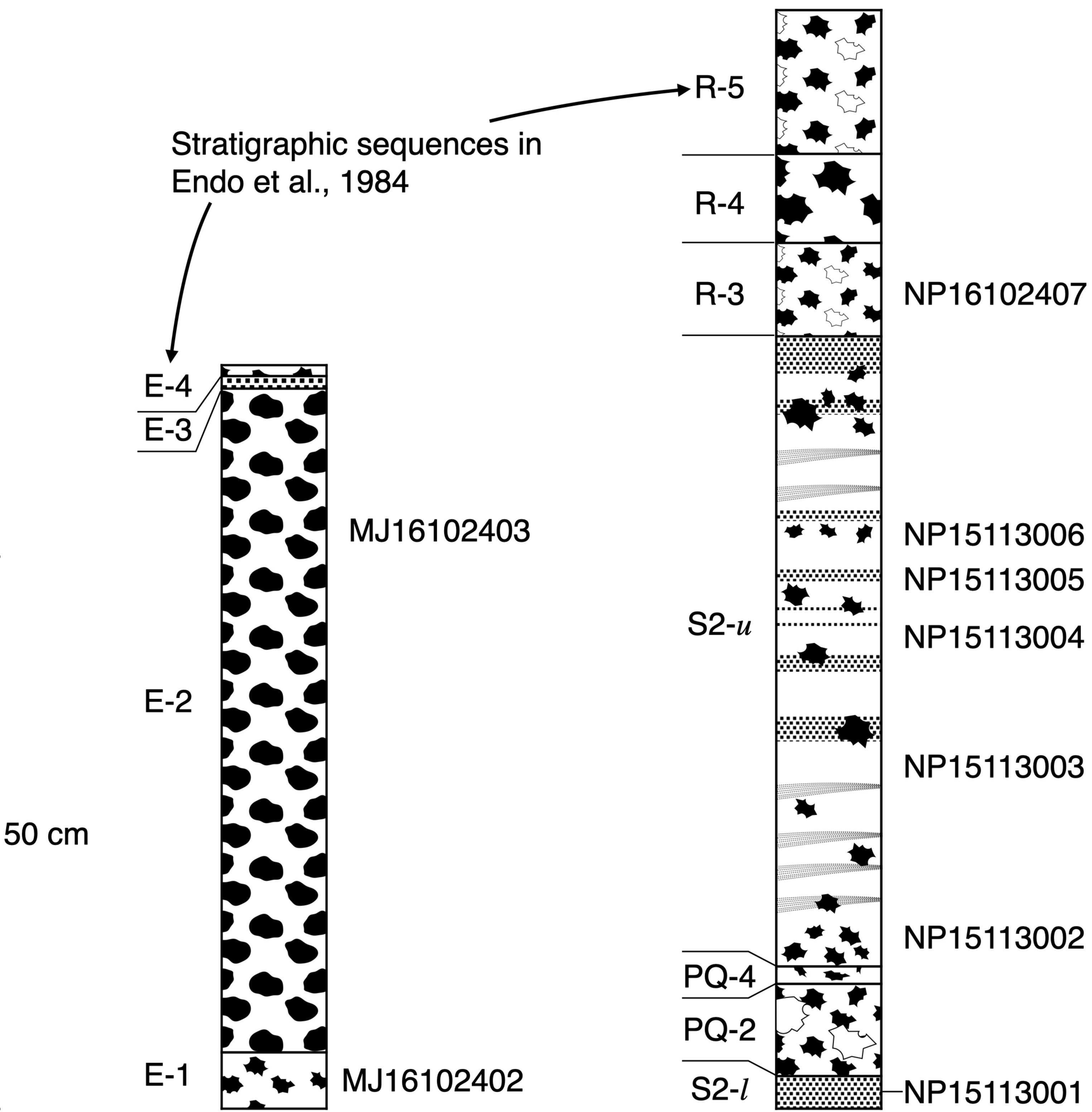




500

34.05 °N

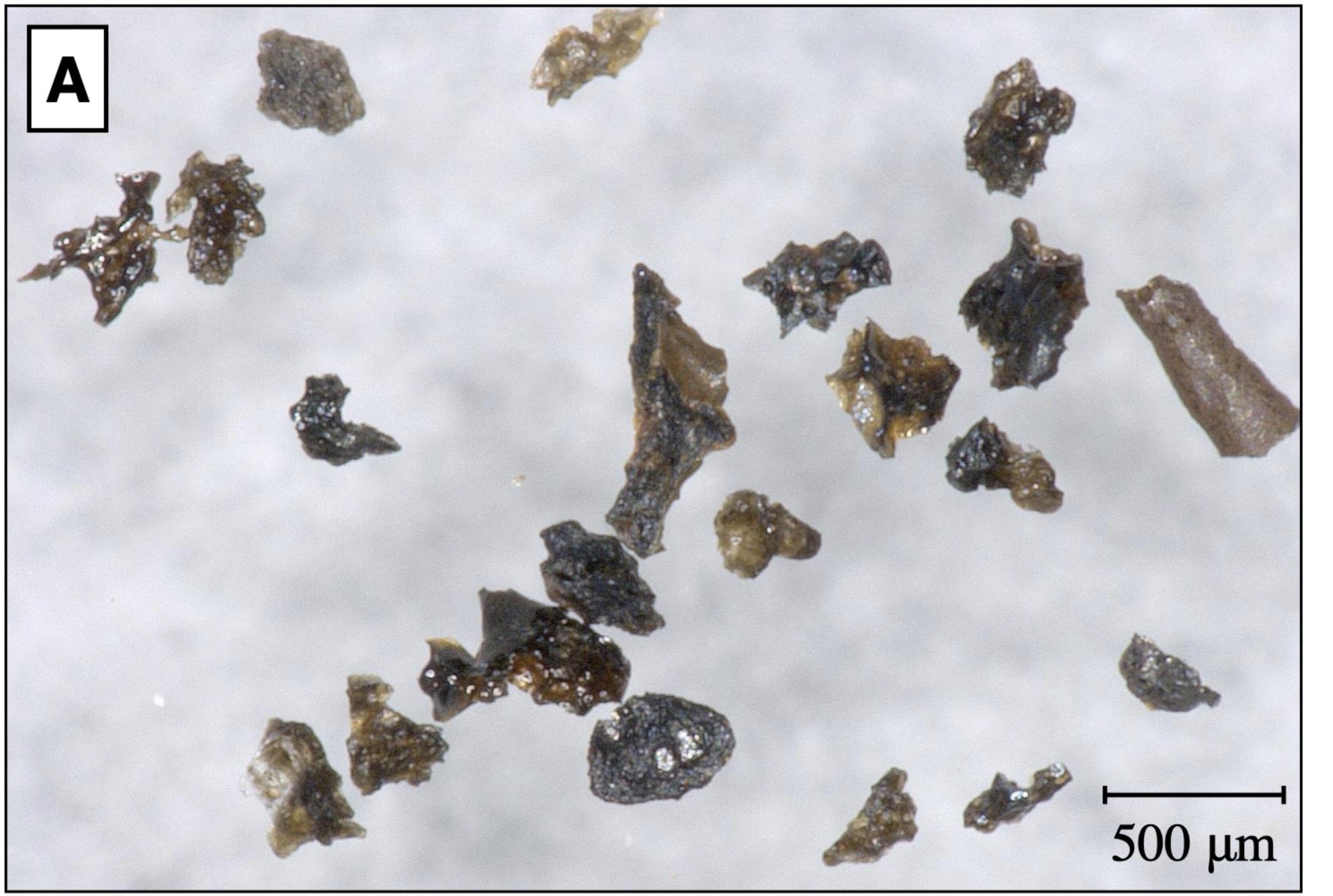
Figure 2.



Jinan-yama



Figure 3.



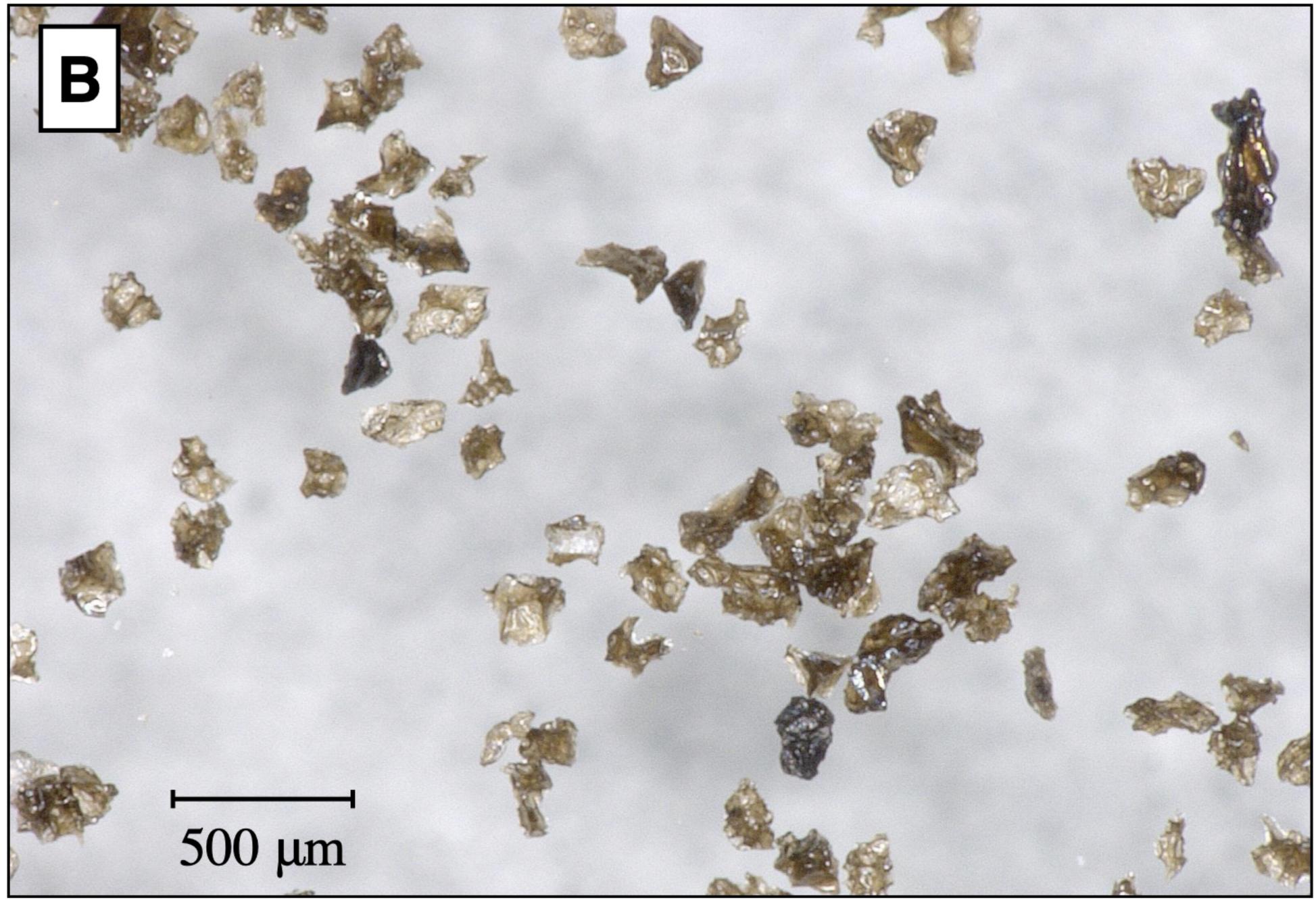


Figure 4.

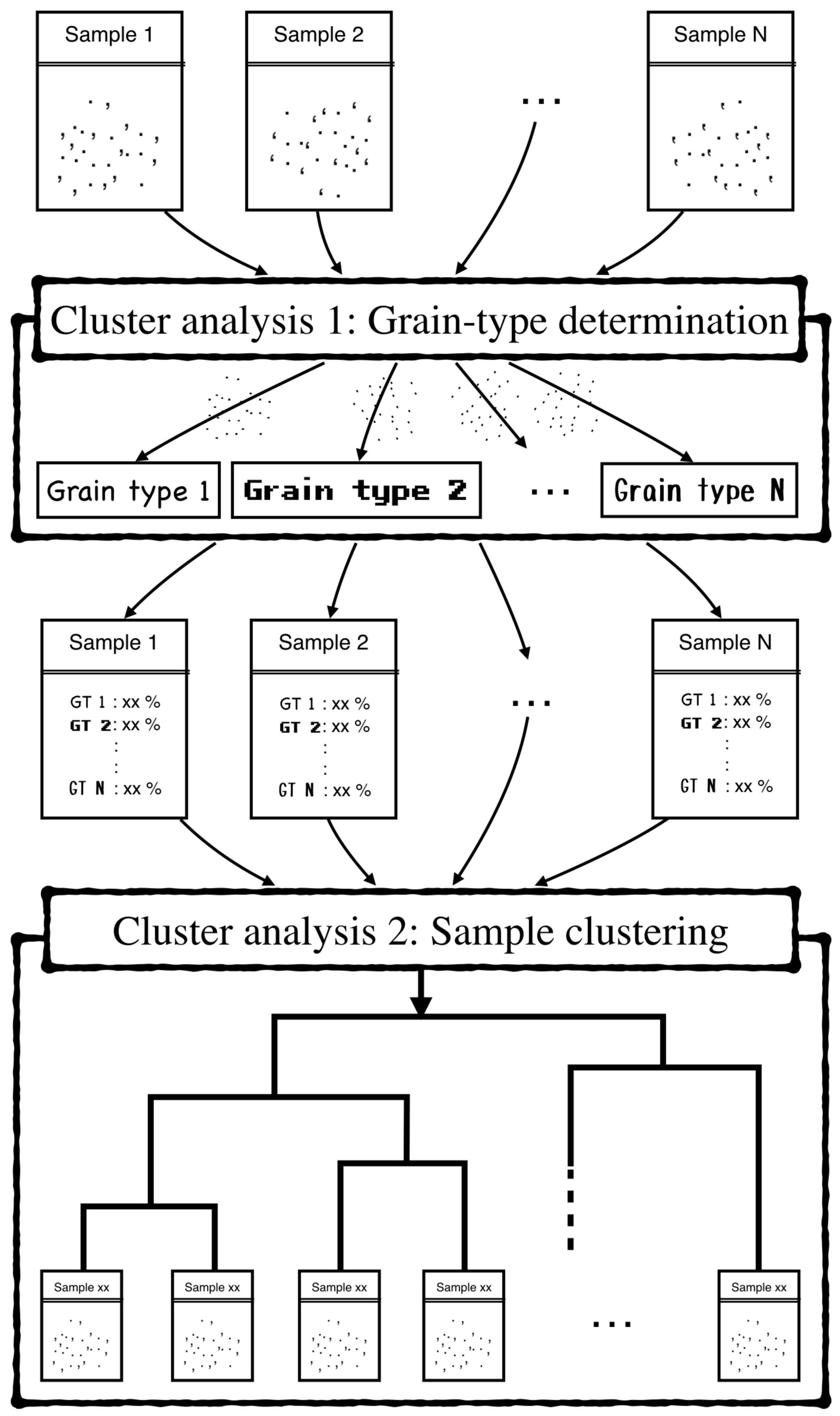


Figure 5.

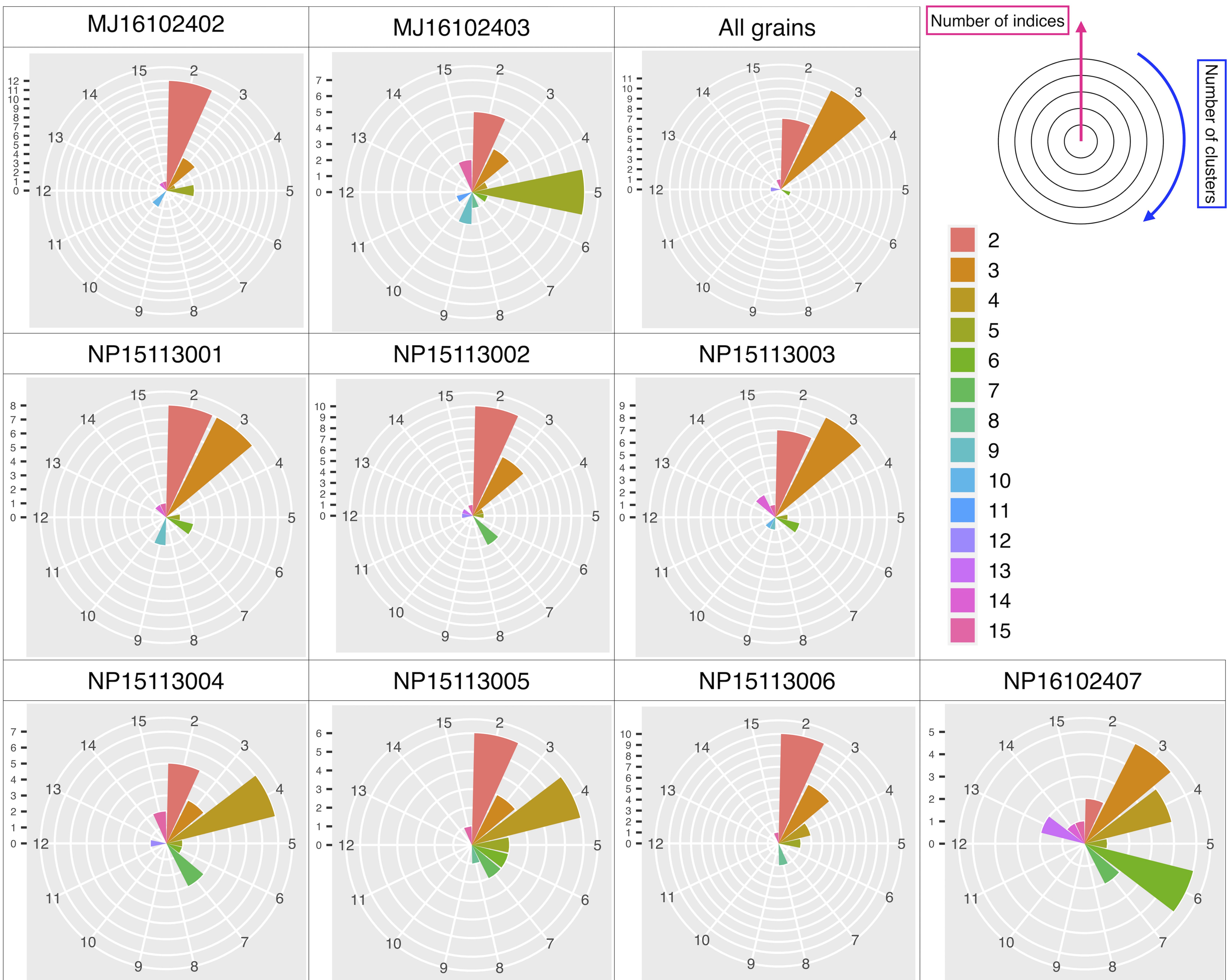


Figure 6.

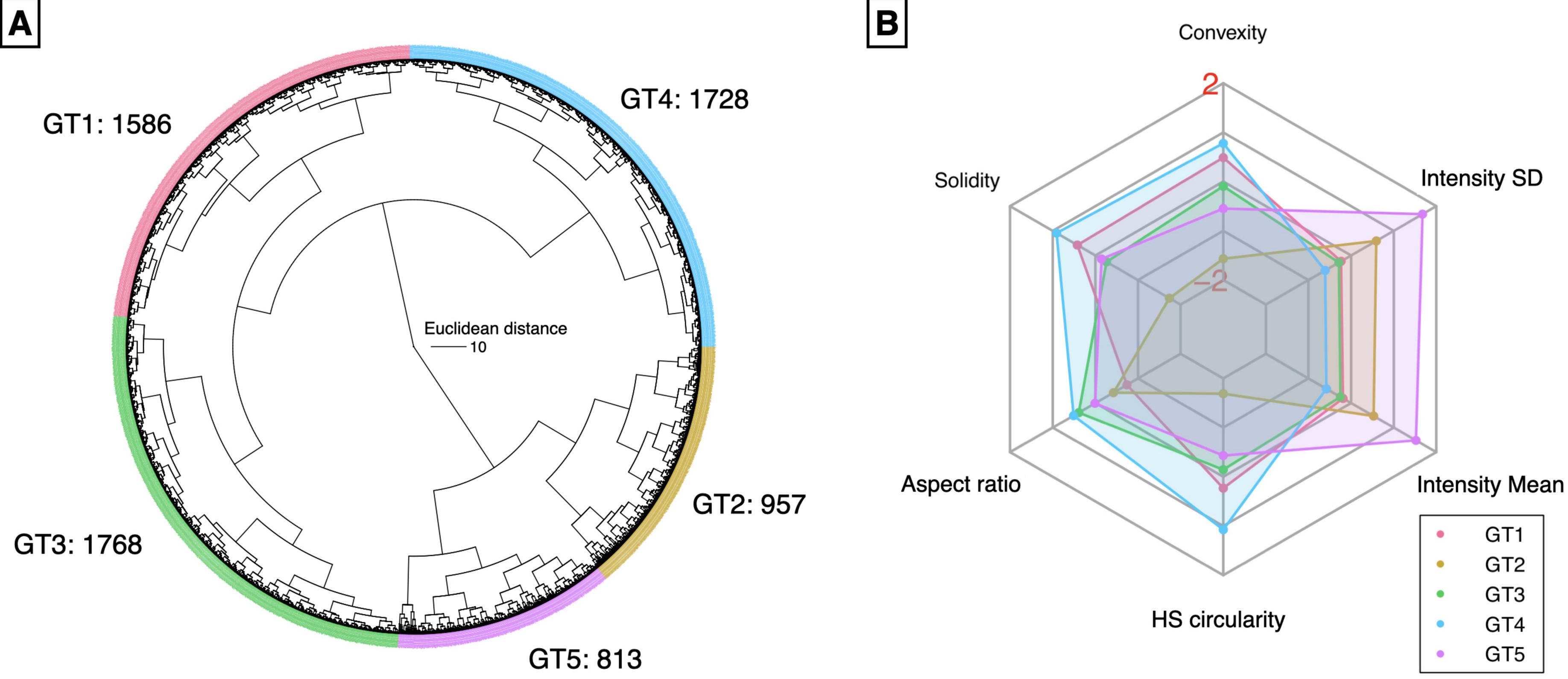




Figure 7.

Sample	GT1
MJ16102403	
MJ16102402	
NP16102407	
NP15113006	
NP15113005	
NP15113004	
NP15113003	
NP15113002	
NP15113001	

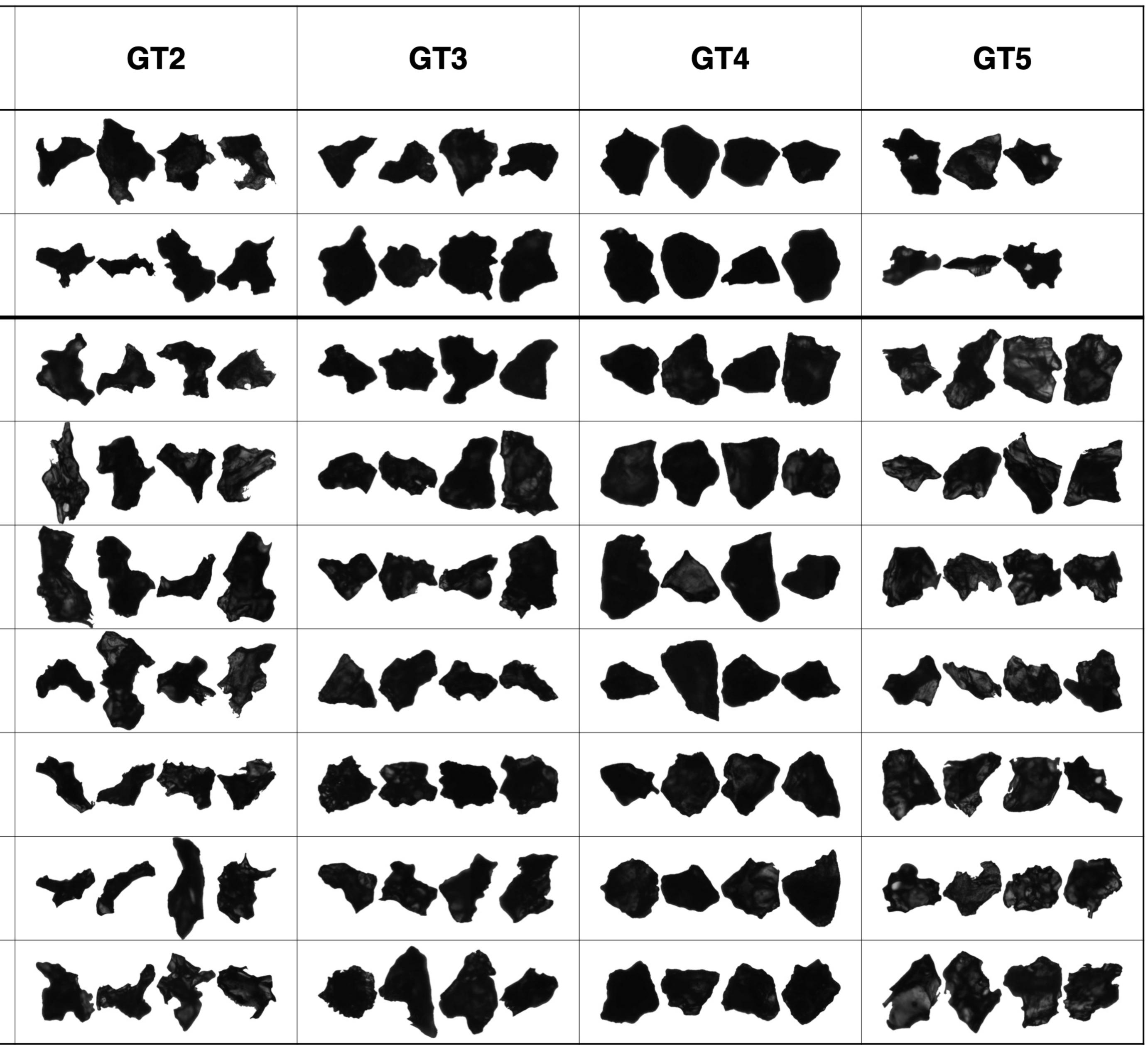
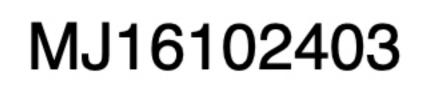


Figure 8.

Sample ID



MJ16102402

NP16102407

NP15113006

NP15113005

NP15113004

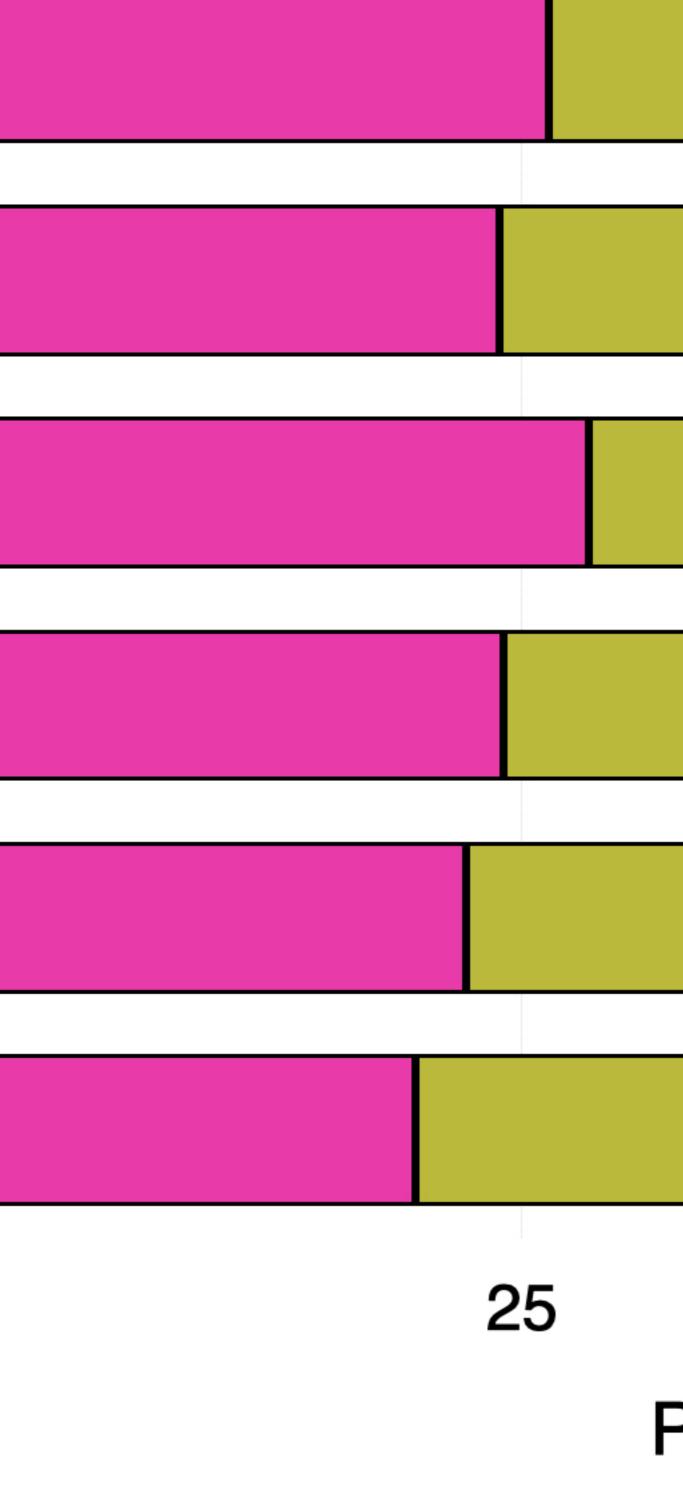
NP15113003

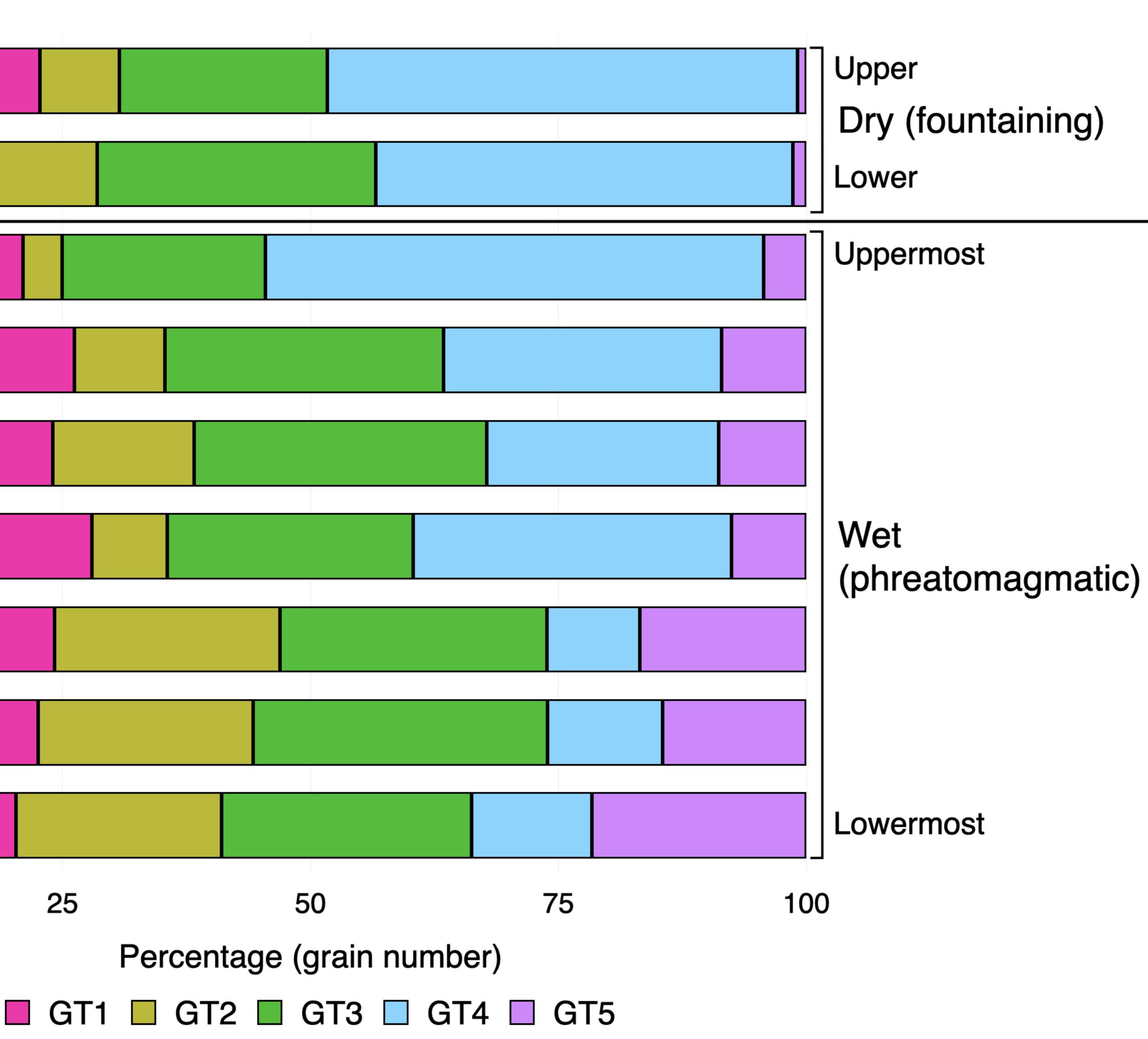
NP15113002

NP15113001

0







Supporting Information for "Cluster analysis for a standardized classification and description of volcanic ash: Case study of the 1983 eruption at Miyakejima, Japan"

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Contents of this file

Additional Supporting Information (Files uploaded separately)

1. Captions for Datasets S1

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^{1.} Figures S1 to S6

X - 2

Introduction

This supporting information is consists of figures and a dataset.

Supporting figures

Supporting figures are appeared in page X-4 to X-9 of this file.

Supporting data set

Data Set S1. This dataset contain volcanic ash grain data that we obtained and used. The dataset is available online (at https://doi.org/10.6084/m9.figshare.14676045 .v1). As shown in the main text, grain data was obtained using an automated grain analyzer (Morphologi G3S (Malvern Instrument Ltd.)). The structure of the dataset is

:

/morphologi_data:

This directory contains grain data for each sample measured by Morphologi G3S (Malvern Panalytical Ltd.).

/results:

This directory contains the results of the cluster analysis.

/results/centroids:

This directory contains calculated centroids by the cluster analysis in each cluster number. The first column in each file shows the grain type. The "result_CA_all" column shows the number of grains fall in each grain type.

/results/labeled_data:

This directory contains grain type-labeled ("hclust.label" column) Morphologi data for each sample and for each grain type number.

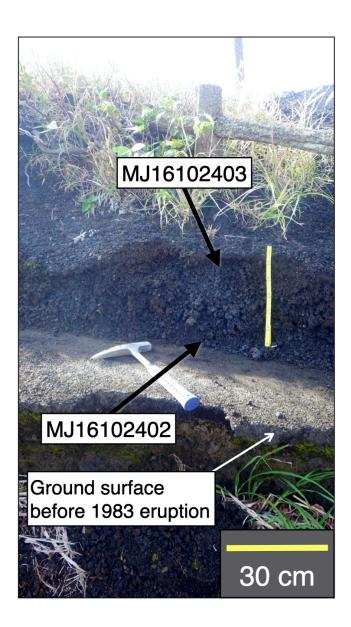
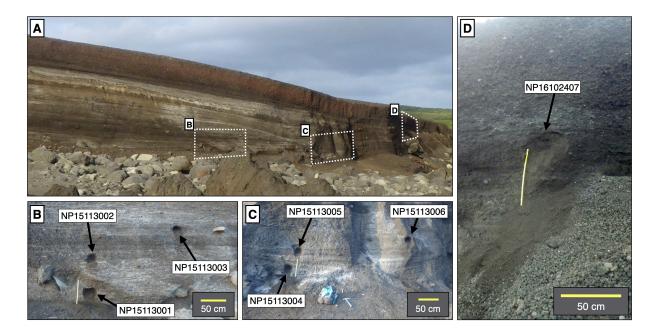


Figure S1. Sampling points on the eastern rim of the northern Jinan-yama scoria cone.

May 26, 2021, 9:27am



:

Figure S2. Sampling points at the crosscut outcrop of Nippana tuff ring. A: Overview of the outcrop. B: Lower layers. C: middle layers. D: Upper layers. Note that the uppermost reddish layer is a fallout deposit from a neighboring crater (R crater; e.g., Sumita, 1985).



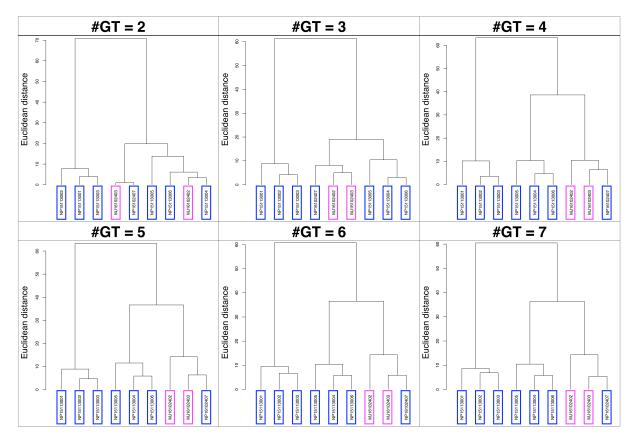


Figure S3. Sample classification dendrograms for grain type numbers 2 through 7.Magenta: dry tephra samples. Blue: wet tephra samples.

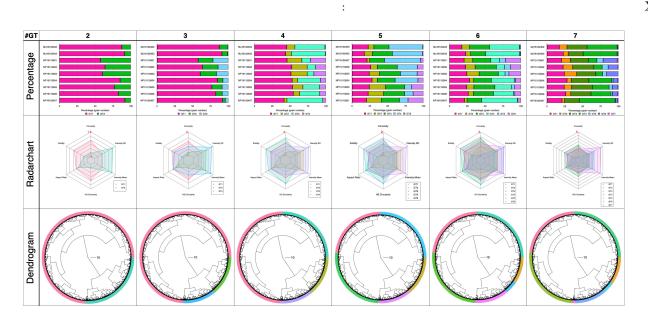


Figure S4. Grain type composition, radargram, and dendrogram for each number of grain types.

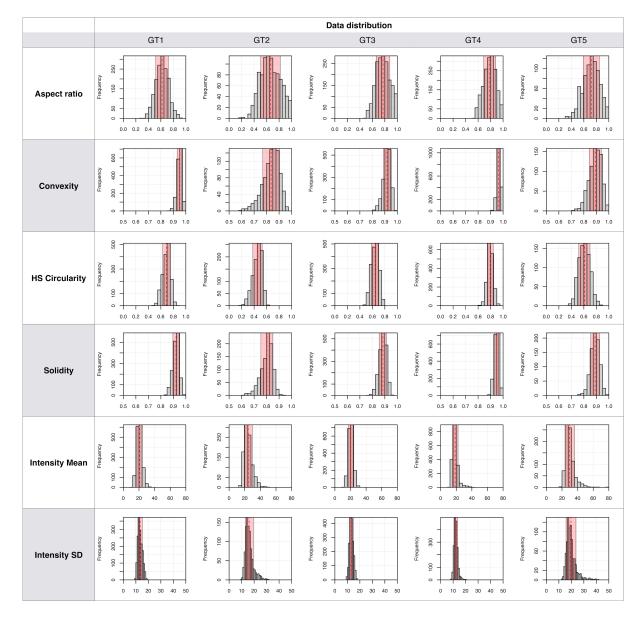


Figure S5. Frequency of parameters in each grain type. The red dashed lines and rectangles indicate the average and standard deviation, respectively.

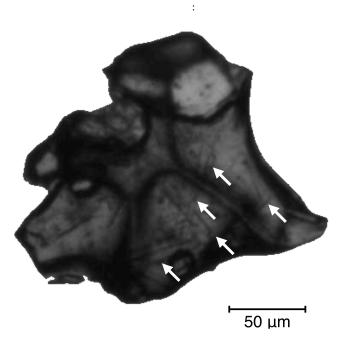


Figure S6. Example of a GT5 grain containing microlites (white arrows).