A Standard for Accessing Heliophysics Time Series Data

Robert S Weigel^{1,1}, Jon Vandegriff^{2,2}, Jeremy B. Faden^{3,3}, Todd King^{4,4}, D Aaron Roberts^{5,5}, Bernard Harris^{6,6}, Robert M. Candey^{7,7}, Scott A. Boardsen^{8,8}, Doug Lindholm^{9,9}, Chris Lindholm^{9,9}, Thomas Baltzer^{9,9}, Lawrence Elwin Brown^{10,10}, E. W. Grimes^{4,4}, Baptiste Cecconi^{11,11}, Vincent Génot^{12,12}, Arnaud Masson^{13,13}, Beatriz Martinez^{14,14}, Benjamin Renard^{15,15}, and Nand Lal^{5,5}

¹George Mason University
²Johns Hopkins University
³University of Iowa
⁴University of California Los Angeles
⁵NASA GSFC
⁶NASA/GSFC
⁷NASA Goddard Space Flight Center
⁸NASA/GSFC-GPHI
⁹University of Colorado/LASP
¹⁰The Johns Hopkins University Applied Physics Laboratory
¹¹Observatoire de Paris
¹²IRAP
¹³European Space Agency
¹⁴RHEA Group for ESA
¹⁵AKKA Technologies

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Abstract

Heliophysics data analysis often involves combining diverse science measurements, many of them captured as time series. Although there are now only a few commonly used data file formats, the diversity in mechanisms for automated access to and aggregation of such data holdings can make analysis that requires inter-comparison of data from multiple data providers difficult. The Heliophysics Application Programmer's Interface (HAPI) is a recently developed standard for accessing distributed timeseries data to increase interoperability. The HAPI specification is based on the common elements of existing data services, and it standardizes the two main parts of a data service: the request interface and the response data structures. The interface is based on the REpresentational State Transfer (REST) or RESTful architecture style, and the HAPI specification defines five required REST endpoints. Data are returned via a streaming format that hides file boundaries; the metadata is detailed enough for the content to be scientifically useful, e.g., plotted with appropriate axes layout, units, and labels. Multiple mature HAPI-related open-source projects offer server-side implementation tools and client-side libraries for reading HAPI data in multiple languages (IDL, Java, MATLAB, and Python). Multiple data providers in the US and Europe have added HAPI access alongside their existing interfaces. Based on this experience, data can be served via HAPI with little or no information loss compared to similar existing web interfaces. Finally, HAPI has been recommended as a COSPAR standard for time series data delivery.

HAPI: An API Standard for Accessing Heliophysics Time Series Data

Robert Weigel^{1,2}, Jon Vandegriff³, Jeremy Faden^{4,5}, Todd King⁶, D Aaron Roberts⁷, Bernard Harris⁷, Robert Candey⁷, Nand Lal⁷, Scott Boardsen⁷, Chris Lindholm^{8,9}, Doug Lindholm^{8,9}, Thomas Baltzer^{8,9}, Larry Brown, Eric Grimes⁶, Baptiste Cecconi¹⁰, Vincent Génot¹¹, Benjamin Renard¹², Arnaud Masson¹³, Beatriz Martinez¹⁴

¹ George Mason University ² Space Weather Lab ³ Johns Hopkins Applied Physics Lab ⁴ Cottage Systems ⁵ University of Iowa ⁶ University of California Los Angeles, ⁷ NASA Goddard Space Flight Center ⁸ University of Colorado ⁹ Laboratory for Atmospheric and Space Physics ¹⁰ LESIA, Observatoire de Paris-PSL, CNRS, Meudon, France	
¹¹ Institut de Recherche en Astrophysique et Planétologie, CNRS, Univ. Toulouse, CNES, Toulous	se
France ¹² AKKA Technologies ¹³ Tpz UK for ESA, ESAC ¹⁴ RHEA Group for ESA, ESAC	

Key Points:

24	•	HAPI was developed because there are many non-standard approaches to serv-
25		ing Heliophysics time series data.
26	•	HAPI provides a standard specification that will simplify data access.
27	•	Software libraries for downloading data from HAPI servers in many commonly used
28		programming languages are available.

Corresponding author: R.S. Weigel, rweigel@gmu.edu

29 Abstract

Heliophysics data analysis often involves combining diverse science measurements, many 30 of them captured as time series. Although there are now only a few commonly used data 31 file formats, the diversity in mechanisms for automated access to and aggregation of such 32 data holdings can make analysis that requires inter-comparison of data from multiple 33 data providers difficult. The Heliophysics Application Programmer's Interface (HAPI) 34 is a recently developed standard for accessing distributed time-series data to increase in-35 teroperability. The HAPI specification is based on the common elements of existing data 36 services, and it standardizes the two main parts of a data service: the request interface 37 and the response data structures. The interface is based on the REpresentational State 38 Transfer (REST) or RESTful architecture style, and the HAPI specification defines five 39 required REST endpoints. Data are returned via a streaming format that hides file bound-40 aries; the metadata is detailed enough for the content to be scientifically useful, e.g., plot-41 ted with appropriate axes layout, units, and labels. Multiple mature HAPI-related open-42 source projects offer server-side implementation tools and client-side libraries for read-43 ing HAPI data in multiple languages (IDL, Java, MATLAB, and Python). Multiple data 44 providers in the US and Europe have added HAPI access alongside their existing inter-45 faces. Based on this experience, data can be served via HAPI with little or no informa-46 tion loss compared to similar existing web interfaces. Finally, HAPI has been recom-47 mended as a COSPAR standard for time series data delivery. 48

⁴⁹ Plain Language Summary

The Heliophysics Application Programmer's Interface (HAPI) specification allows 50 data providers to use a standard set of conventions for returning data in response to a 51 URL-based request. This specification was developed because no standard exists. Data 52 providers use incompatible conventions so that automated access to and aggregation of 53 data requires users to write custom code for each data provider. . The benefit to the user 54 is that software libraries for many common languages have been developed to read HAPI 55 data; if a data provider serves data using the HAPI specification, the user does not need 56 to write additional code to access the data. The starting point for using and learning 57 more about HAPI is http://hapi-server.org/. 58

⁵⁹ 1 Introduction

Heliophysics (HP) researchers study the nature and dynamical interactions of the 60 Sun, the heliosphere, and the plasma environments of the planets based on data from 61 a fleet of spacecraft and ground-based platforms termed the "Heliophysics System Ob-62 servatory" (HSO) (Heliophysics Data Environment, 2021). As we seek a more detailed 63 understanding of the global and local dynamics of this system, we increasingly need easy 64 access to data from many of the observatories. The best route to integrating these data 65 is the adoption of standards for data formats, metadata terminology and syntax, and ac-66 cess APIs (Application Programming Interfaces). HP has nearly universally adopted the 67 data file formats of CDF (NASA Common Data Format, 2021), FITS (Ponz et al., 1994), 68 HDF (Folk et al., 1999), and netCDF (Rew & Davis, 1990) and SPASE (King et al. (2010); 69 Roberts et al. (2018)) for metadata. As summarized in the following section, there are 70 many different APIs for obtaining time series-like data stored in these data files. 71

In this work, we use time series-like to mean data that are typically represented by a data structure where the primary index is time and each time value has an associated scalar, vector, tensor, spectrogram, or higher-dimensional construct. (In principle, this means that the HAPI API can be used to serve images; in this case, each time value is associated with a 3-dimensional matrix containing pixel amplitudes in one or more channels. However, a HAPI server has not been developed to serve images.)

The primary design objective for HAPI is to provide the simplest API that allows 78 access to time series data in a streaming form and allows a user not to need knowledge 79 of file system boundaries, directory layouts, and file formats. With this approach, a sci-80 entist programming in, for example, IDL, MATLAB, or Python can use a line of code 81 to bring data into a processing or analysis routine from a diverse set of data providers 82 and for a wide array of data. Examples of such data include uniform cadence ground 83 magnetometer measurements and multi-dimensional distribution functions of ions from 84 a spacecraft instrument. This paper provides an overview of the HAPI design and spec-85 ification (R. Weigel et al., 2021) along with a summary of its API and plans for future 86 development (Vandegriff et al., 2021). The API is mature; in 2018, the COSPAR panel 87 on Space Weather recognized HAPI's ability to simplify and standardize access to He-88 liophysics data, and passed a resolution that "HAPI be the common data access API for 89 space science and space weather data." (COSPAR Panel on Space Weather - Resolution 90 on Data Access, 2018). Current effort involves broadening the adoption of the standard 91 by data providers and increasing the use of the API and the HAPI software clients by 92 scientists (Faden et al., 2017; Brown et al., 2018; Angelopoulos et al., 2019; Vandegriff 93 et al., 2019, 2020). 94

1.1 Related Works

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Although there exist commonly used file format standards in HP, including, for example, CDF for spacecraft data and IAGA2002 for ground magnetometer data, there
is no standard for how these files, or data within the files, are presented to the user. Tables 1 lists common access mechanisms and an accounting of the methods used by the
data providers listed in Table 2.

 Table 1.
 Common Heliophysics data access methods

Method Description

- A. A FTP or HTTP directory of files (usually one day of data per file).
 B. A web page with a link that indicates when processing is complete, often with the ability to display the data and download it.
 C. A web page with a link to an archive of files (zip or tgz) that is available when
- the processing of a request is complete.D. A REST API that returns a data stream or file directly to a user's application.

Table 2. Heliophyiscs data providers, links to their top-level web site, and the data access methods listed in Table 1 that they natively support. An asterisk "*" indicates that data are already available through a HAPI API (*HAPI Server List*, 2021). A plus "+" indicates that HAPI support is under development. Not all of the above data providers that use methods A, B, and C use the same file formats (when files provided); none of the data providers using method D use the same API. However, they all provide data that could be served without loss of information through a HAPI server.

Provider	URL	Access Methods
$AMDA^*$ (Génot et al., 2021)	http://amda.irap.omp.eu/service/hapi	B, D
$CAIO^+$	https://csa.esac.esa.int/csa/aio/	B, C, D
CARISMA	http://www.carisma.ca/	С
$\rm CCMC/iSWA^*$	https://iswa.gsfc.nasa.gov/	D
$CDAWeb^*$	https://cdaweb.gsfc.nasa.gov/	A, B, C, D
$Das2^*$	http://das2.org/	D
FTEC*	http://hapi.ftecs.com/hapi	А
IMAGE	http://space.fmi.fi/image	\mathbf{C}
INTERMAGNET ⁺	http://intermagnet.org/	A, C
ISAS/JAXA	https://darts.isas.jaxa.jp.	А
LiSIRD*	http://lasp.colorado.edu/lisird/	A, D
OMNIWeb*	https://omniweb.gsfc.nasa.gov/	A, B, D
NGDC	https://ngdc.noaa.gov/stp/satellite/	A, C, D
PDS^+	https://pds-ppi.igpp.ucla.edu/hapi	A, B
$SSCWeb^*$	https://sscweb.gsfc.nasa.gov/	B, D
SuperMAG	http://supermag.jhuapl.edu/	D
SWPC	https://www.swpc.noaa.gov/	В
MADRIGAL	https://openmadrigal.org/	A, D

101 **1.2** Design Constraints and Associated Motivations

The HAPI specification (HAPI Project Overview Page, 2021; R. Weigel et al., 2021) 102 was developed by Heliophysics researchers, software developers, and data scientists as 103 a grass-roots effort to improve interoperability in Heliophysics data. Experience with re-104 lated efforts such as TSDS (R. S. Weigel et al., 2010), a service that mapped data avail-105 able by methods A to D in Table 1 through a single API, led to the choice of REST-style 106 (Representational state transfer; Richardson and Ruby (2007)) API. The REST conven-107 tions allow for simple, stateless servers and the streaming of data that naturally hides 108 any file boundaries between data files. 109

110

The primary design considerations for the HAPI specification were:

- 111 1. The minimum requirements for HAPI compliance should be easy to implement 112 for existing, well-structured data collections.
- Because ease of implementation increases the likelihood of adoption, the HAPI requirements closely resemble the API implementations of many existing time series data providers. They do not include any data operations – only delivering existing content; faced with a new specification, many data providers will likely only ever implement the minimum elements needed for compliance.
- 2. The required metadata is the minimum amount needed to make the data scientifically usable.
- A common way to evaluate the science usability of structured data is whether enough 120 information is present to automatically create a labeled and scientifically compre-121 hensible plot of the data. To reduce the effort required to serve data through a 122 HAPI API, the required HAPI metadata is limited to that needed to do this and 123 allow common content access and usage scenarios rather than search and discov-124 ery use-cases. However, HAPI metadata can point to other richer metadata, both 125 structured and unstructured, that contains additional explanatory information about 126 a dataset. In addition, metadata for search and discovery can point to HAPI end-127 points (URLs) and metadata. 128
- In Heliophysics, the Space Physics Archive, Search and Extract (SPASE) metadata model (Roberts et al., 2018) has become a standard method for describing data products. (In contrast to HAPI, SPASE does not include a specification for the access of data.) HAPI metadata can reference any existing SPASE record, which has structured information such as detailed documentation and caveats. Also, SPASE records are being updated to reference the HAPI-enabled servers indicated in Table 2 as a possible mechanism for access to data products.
- ¹³⁶ 3. Data are accessible at a minimum in a simple CSV-formatted stream
- Although HAPI servers may use high-performance streaming formats that are defined in the specification, all servers must support CSV for output. CSV data is easy to read in all scientific programming languages with only a few lines of code, making it easy for scientists to start working with the data immediately.
- The HAPI streaming format requires servers to create a response stream that aggregates data if it is stored in multiple files. While this introduces complexity, in potential conflict with constraint (1), a simplifying aspect is that servers do not need to have a staging process for file aggregation nor do they need to operate asynchronously and generate user notifications when the result of a request is complete.
- The requirement that HAPI requests and responses occur synchronously adds a practical limitation on the amount of data sent in a single response. The HAPI specification does not dictate any data transfer limits. However, servers may de-

fine data volume constraints and report via a HAPI error response that a request 149 was too large to process. 150 The HAPI specification and server capabilities are related to OPeNDAP (Cornillon 151 et al., 2003) and NcML (Nativi et al., 2005), but the HAPI specification was de-152 signed primarily for time-series data, whereas OPeNDAP is primarily used for spa-153 tial data, although it can be used for time series. Several of the HAPI develop-154 ers had extensive experience in attempting to adopt OPeNDAP for providing HAPI-155 like services, but this effort was abandoned in favor of the HAPI specification due 156 to the complications associated with mapping from OPeNDAP's data model, in 157 which space is a primary index, to HAPI, in which time is the primary index. 158

159 **1.3 Data and Metadata Formats**

All HAPI metadata uses JSON (Javascript Object Notation), which has near-universal support in modern scientific programming languages.

A HAPI server may stream data in CSV and optionally JSON or binary. CSV is
 highly accessible for scientists and is easily read by all modern programming languages.
 JSON is also widely supported by many languages and web-based frameworks.

The binary format is a straightforward translation of the CSV output: newlines are removed and integer parameters are written as 64-bit signed integers. Floating-point parameters are written as 64-bit IEEE 754 little-endian floats ("IEEE Standard for Floating-Point Arithmetic", 2019), and string parameters are written as a sequence of 8-bit ASCII characters. HAPI metadata contains the information required to interpret the binary file, including the number of parameters, the dimensionality of each parameter, and the formats of numerical parameters (or length for string parameters).

This custom, simple, and efficient binary format was selected because typical scientific data formats, such as CDF (*NASA Common Data Format*, 2021), HDF (Folk et al., 1999), and netCDF (Rew & Davis, 1990) do not support streaming of data. Experimental code for streaming HDF and netCDF can be found online, but not as integrated parts of the code base for those formats. Protocol buffers (*Protocol Buffers*, 2021) were considered, but library support for this format in the commonly used programming languages (IDL, MATLAB, Python) is poor.

As described in the following section, few scientists should ever need to write parsers
 and readers for HAPI metadata or data.

1.4 Facilitating Adoption

The HAPI specification is designed to provide a standardized way to provide streaming services that many time-series data providers already offer. The use of the common aspects of existing features of HP data providers means that data providers can, with minimal effort, modify existing streaming servers to make them HAPI compliant.

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In addition, several tools have been developed to facilitate adoption.

1. Although the HAPI streaming formats are simple enough that only 10-20 lines of 187 code are needed to read a basic HAPI response, there are error conditions and other 188 optimizations to consider (such as caching and parallelization of requests); a ro-189 bust and comprehensive client implementation is beyond what should be expected 190 of science users or even software developers who want to create tools that use HAPI 191 data. Mature HAPI server client libraries are available from open-source HAPI 192 projects (HAPI GitHub Project Page, 2021) for Java, IDL, MATLAB, and Python, 193 so most users can begin with these libraries. These clients read a HAPI response 194

into a data structure appropriate for the given language (e.g., in Python the re-195 sponse is read into a NumPy N-D array with timestamps converted to Python datetime 196 objects). Work is underway to incorporate HAPI readers into existing popular anal-197 ysis frameworks, such as SPEDAS in IDL and Python (SPEDAS Space Physics) 198 Environment Data Analysis Software, 2021), Autoplot in Java (Faden et al., 2010), 199 SunPy in Python (Mumford et al., 2015), and SpacePy in Python (Morley et al., 200 2010). 201 202 2. To assist server developers with adoption, a cross-platform reference server has been developed. With this server, a data provider only needs to provide HAPI JSON 203 metadata and a command-line program that emits HAPI CSV given inputs of a 204 dataset identifier and start/stop times (HAPI Project Overview Page, 2021). The 205 server handles HAPI error responses, request validation, and logging. 206 3. A validator/verifier has been developed that runs many tests on a server (HAPI 207 Server Verifier, 2021). The server executes a comprehensive suite of tests for com-208 pliance with the specification. By either downloading and running the test soft-209 ware locally, or by entering the URL of a HAPI server into a website, data providers 210 can test most aspects of their HAPI implementation, with detailed results indi-211 cating warnings or errors. This has proven to be a very effective way to assist de-212 velopers, and it helps ensure that new HAPI servers are fully functional. The tests 213 include checks of JSON responses against the HAPI metadata schema, verifying 214 that the server handles different allowed start/stop time representations (e.g., year, 215 day-of-year and year, month day with varying amounts of additional time preci-216 sion), error responses and message, timeouts, and testing that output is indepen-217 dent of the output format. Also, the validator/verifier makes recommendations. 218 For example, if a server does not include cross-origin request sharing headers or 219 respond with compressed data when gzip is specified in the Accept-Encoding re-220 quest header, it emits a message noting their advantages and a link to informa-221 tion on how to enable or implement these features.

2 API 223

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The five required endpoints that constitute the HAPI API (R. Weigel et al., 2021) 224 are summarized in this section. In addition to defining the responses to requests to these 225 URLs, the HAPI specification includes requirements for the format and structure of the 226 response. These additional requirements are summarized in the description of the rel-227 evant endpoint. 228

2.1 /about endpoint 229

The response to this endpoint is a JSON object containing the HAPI API version 230 implemented, the status of the response, the id of the server, the server title (a brief 231 description of the provided data), and contact information for the server administrator. 232 An example response is 233

```
{
234
        "HAPI": "3.0",
235
        "status": {"code": 1200, "message": "OK"},
236
        "id": "SSCWeb".
237
         "title": "SSCWeb Data and Metadata",
238
         "contact": "example@example.org"
239
      }
240
```

This endpoint has no request parameters.

242 **2.2** /capabilities endpoint

The response to this endpoint is a JSON object containing the HAPI API version
implemented, the status of the response, and output formats supported. A client can use
this endpoint to determine if the HAPI server provides additional data output formats
beyond the required CSV. An example response is

```
247 {
248 "HAPI": "3.0",
249 "status": {"code": 1200, "message": "OK"},
250 "outputFormats": ["csv", "binary", "json"]
251 }
```

Note that the minimum requirement is that outputFormats = ["csv"]. This endpoint has no request parameters.

254 **2.3** /catalog endpoint

The response to a request to this endpoint is a list of the available datasets from the HAPI server. An example is

```
{
257
           "HAPI": "3.0",
258
           "status": {"code": 1200, "message": "OK"},
259
           "catalog":
260
                Γ
261
                     {"id": "dataset1", title: "dataset 1 title"},
262
                     {"id": "dataset2"}
263
                ]
264
      }
265
```

The minimal requirement is that the objects in the catalog array contain an id; the title is optional. This endpoint has no request parameters.

268 2.4 /info endpoint

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This endpoint has one required request parameter, dataset, which corresponds to an identifier of the dataset that appears in the /catalog response. The /info response contains information about the parameters in a dataset. It contains the metadata needed to satisfy requirement (2) in section 1.2 that HAPI metadata should be the minimum required for a program reading the data to produce a plot with labels needed for scientific interpretation.

For example, a response to /info?dataset=ACE_MAG could be

```
{
276
      "HAPI": "3.0",
277
      "status": {"code": 1200, "message": "OK"},
278
      "startDate": "1998-001Z",
279
      "stopDate" : "2017-100Z",
280
      "parameters":
281
      [{
282
              "name": "Time",
283
              "type": "isotime",
284
              "units": "UTC".
285
              "fill": null,
286
```

```
"length": 24
287
      },
288
289
       {
                     "name": "mag_GSE",
291
                     "type": "double",
292
                     "units": "nT",
293
                     "fill": "-1e31",
294
                     "size" : [3],
295
                     "description": "hourly ave magnetic field in GSE",
296
                     "label": "B field in GSE"
297
                }]
298
      }
299
```

In this example, the size element of the mag_GSE parameter indicates the dimensionality of the parameter. size = [3] means that this parameter will occupy three columns in the CSV data response. Higher-dimensional parameters are allowed. For example, a dataset parameter consisting of the energy of protons in one of 6 energy ranges striking a sensor at 12 different pitch angles would have size = [12, 6] and would occupy 72 columns in the CSV response.

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2.5 /data endpoint

This endpoint has required request parameters of a dataset identifier and time range, and the response is a CSV stream of all parameters in the dataset. Optionally, the request may include a comma-separated subset of parameters to return. A sample response for the request

/data?dataset=ACE_MAG¶meters=mag_GSE&start=2016-01-01Z&stop=2016-01-02Z

312 is

. . .

314

³¹³ 2016-01-01T00:00:00.000Z,0.05,0.08,-50.98

³¹⁵ 2016-01-01T23:59:59.000Z,0.09,0.03,-30.0

The HAPI binary representation of this file is 86400 blocks, each containing 24 ASCIIencoded bytes (representing a timestamp) followed by 3 IEEE 754 little-endian 64-bit floats.

We note that the HAPI API standard has effectively introduced a new file format 319 standard and a natural question is why an existing standard was not used. First, we note 320 that the streams are intended to be intermediate and transient data structures that are 321 not intended for use by the end-user. We expect that end users who interact with a HAPI 322 server will do so using existing HAPI client software; in this case, the user only inter-323 acts with the data through a data structure in the client language. Second, the stream-324 ing format was chosen because it is simple, which has allowed for the rapid development 325 of HAPI servers and clients in many languages. 326

327 **3** Summary and Future Development

The HAPI specification captures a diverse range of time series representations used in Heliophysics, including scalars, vectors, spectra, and multi-dimensional time series commonly made by energetic particle instruments. The specification also captures many of the features of existing APIs, simplifying the transition from a non-standards-based API
 to a HAPI API.

In addition to developing an API, as discussed in Section 1.4, significant effort was made to facilitate adoption. For example, for server developers, a generic server is available – a data provider needs only to provide /catalog and /info metadata JSON files and an executable program that takes inputs of the dataset identifier and start/stop times and returns HAPI CSV. Usually, such programs are fewer than 50 lines. For users, software has been developed for commonly used programming languages in Heliophysics, including IDL, Java, MATLAB, and Python.

The type of data described by HAPI metadata and served according to its specification is easily extended to other science domains such as the Earth Sciences and is an area of active research.

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The HAPI API standard and links to software for clients and servers is available from http://hapi-server.org/.

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HAPI: An API Standard for Accessing Heliophysics Time Series Data

Robert Weigel^{1,2}, Jon Vandegriff³, Jeremy Faden^{4,5}, Todd King⁶, D Aaron Roberts⁷, Bernard Harris⁷, Robert Candey⁷, Nand Lal⁷, Scott Boardsen⁷, Chris Lindholm^{8,9}, Doug Lindholm^{8,9}, Thomas Baltzer^{8,9}, Larry Brown, Eric Grimes⁶, Baptiste Cecconi¹⁰, Vincent Génot¹¹, Benjamin Renard¹², Arnaud Masson¹³, Beatriz Martinez¹⁴

¹ George Mason University ² Space Weather Lab ³ Johns Hopkins Applied Physics Lab ⁴ Cottage Systems ⁵ University of Iowa ⁶ University of California Los Angeles, ⁷ NASA Goddard Space Flight Center ⁸ University of Colorado ⁹ Laboratory for Atmospheric and Space Physics ¹⁰ LESIA, Observatoire de Paris-PSL, CNRS, Meudon, France	
¹¹ Institut de Recherche en Astrophysique et Planétologie, CNRS, Univ. Toulouse, CNES, Toulous	se
France ¹² AKKA Technologies ¹³ Tpz UK for ESA, ESAC ¹⁴ RHEA Group for ESA, ESAC	

Key Points:

24	•	HAPI was developed because there are many non-standard approaches to serv-
25		ing Heliophysics time series data.
26	•	HAPI provides a standard specification that will simplify data access.
27	•	Software libraries for downloading data from HAPI servers in many commonly used
28		programming languages are available.

Corresponding author: R.S. Weigel, rweigel@gmu.edu

29 Abstract

Heliophysics data analysis often involves combining diverse science measurements, many 30 of them captured as time series. Although there are now only a few commonly used data 31 file formats, the diversity in mechanisms for automated access to and aggregation of such 32 data holdings can make analysis that requires inter-comparison of data from multiple 33 data providers difficult. The Heliophysics Application Programmer's Interface (HAPI) 34 is a recently developed standard for accessing distributed time-series data to increase in-35 teroperability. The HAPI specification is based on the common elements of existing data 36 services, and it standardizes the two main parts of a data service: the request interface 37 and the response data structures. The interface is based on the REpresentational State 38 Transfer (REST) or RESTful architecture style, and the HAPI specification defines five 39 required REST endpoints. Data are returned via a streaming format that hides file bound-40 aries; the metadata is detailed enough for the content to be scientifically useful, e.g., plot-41 ted with appropriate axes layout, units, and labels. Multiple mature HAPI-related open-42 source projects offer server-side implementation tools and client-side libraries for read-43 ing HAPI data in multiple languages (IDL, Java, MATLAB, and Python). Multiple data 44 providers in the US and Europe have added HAPI access alongside their existing inter-45 faces. Based on this experience, data can be served via HAPI with little or no informa-46 tion loss compared to similar existing web interfaces. Finally, HAPI has been recom-47 mended as a COSPAR standard for time series data delivery. 48

⁴⁹ Plain Language Summary

The Heliophysics Application Programmer's Interface (HAPI) specification allows 50 data providers to use a standard set of conventions for returning data in response to a 51 URL-based request. This specification was developed because no standard exists. Data 52 providers use incompatible conventions so that automated access to and aggregation of 53 data requires users to write custom code for each data provider. . The benefit to the user 54 is that software libraries for many common languages have been developed to read HAPI 55 data; if a data provider serves data using the HAPI specification, the user does not need 56 to write additional code to access the data. The starting point for using and learning 57 more about HAPI is http://hapi-server.org/. 58

⁵⁹ 1 Introduction

Heliophysics (HP) researchers study the nature and dynamical interactions of the 60 Sun, the heliosphere, and the plasma environments of the planets based on data from 61 a fleet of spacecraft and ground-based platforms termed the "Heliophysics System Ob-62 servatory" (HSO) (Heliophysics Data Environment, 2021). As we seek a more detailed 63 understanding of the global and local dynamics of this system, we increasingly need easy 64 access to data from many of the observatories. The best route to integrating these data 65 is the adoption of standards for data formats, metadata terminology and syntax, and ac-66 cess APIs (Application Programming Interfaces). HP has nearly universally adopted the 67 data file formats of CDF (NASA Common Data Format, 2021), FITS (Ponz et al., 1994), 68 HDF (Folk et al., 1999), and netCDF (Rew & Davis, 1990) and SPASE (King et al. (2010); 69 Roberts et al. (2018)) for metadata. As summarized in the following section, there are 70 many different APIs for obtaining time series-like data stored in these data files. 71

In this work, we use time series-like to mean data that are typically represented by a data structure where the primary index is time and each time value has an associated scalar, vector, tensor, spectrogram, or higher-dimensional construct. (In principle, this means that the HAPI API can be used to serve images; in this case, each time value is associated with a 3-dimensional matrix containing pixel amplitudes in one or more channels. However, a HAPI server has not been developed to serve images.)

The primary design objective for HAPI is to provide the simplest API that allows 78 access to time series data in a streaming form and allows a user not to need knowledge 79 of file system boundaries, directory layouts, and file formats. With this approach, a sci-80 entist programming in, for example, IDL, MATLAB, or Python can use a line of code 81 to bring data into a processing or analysis routine from a diverse set of data providers 82 and for a wide array of data. Examples of such data include uniform cadence ground 83 magnetometer measurements and multi-dimensional distribution functions of ions from 84 a spacecraft instrument. This paper provides an overview of the HAPI design and spec-85 ification (R. Weigel et al., 2021) along with a summary of its API and plans for future 86 development (Vandegriff et al., 2021). The API is mature; in 2018, the COSPAR panel 87 on Space Weather recognized HAPI's ability to simplify and standardize access to He-88 liophysics data, and passed a resolution that "HAPI be the common data access API for 89 space science and space weather data." (COSPAR Panel on Space Weather - Resolution 90 on Data Access, 2018). Current effort involves broadening the adoption of the standard 91 by data providers and increasing the use of the API and the HAPI software clients by 92 scientists (Faden et al., 2017; Brown et al., 2018; Angelopoulos et al., 2019; Vandegriff 93 et al., 2019, 2020). 94

1.1 Related Works

95

Although there exist commonly used file format standards in HP, including, for example, CDF for spacecraft data and IAGA2002 for ground magnetometer data, there
is no standard for how these files, or data within the files, are presented to the user. Tables 1 lists common access mechanisms and an accounting of the methods used by the
data providers listed in Table 2.

 Table 1.
 Common Heliophysics data access methods

Method Description

- A. A FTP or HTTP directory of files (usually one day of data per file).
 B. A web page with a link that indicates when processing is complete, often with the ability to display the data and download it.
 C. A web page with a link to an archive of files (zip or tgz) that is available when
- the processing of a request is complete.D. A REST API that returns a data stream or file directly to a user's application.

Table 2. Heliophyiscs data providers, links to their top-level web site, and the data access methods listed in Table 1 that they natively support. An asterisk "*" indicates that data are already available through a HAPI API (*HAPI Server List*, 2021). A plus "+" indicates that HAPI support is under development. Not all of the above data providers that use methods A, B, and C use the same file formats (when files provided); none of the data providers using method D use the same API. However, they all provide data that could be served without loss of information through a HAPI server.

Provider	URL	Access Methods
$AMDA^*$ (Génot et al., 2021)	http://amda.irap.omp.eu/service/hapi	B, D
$CAIO^+$	https://csa.esac.esa.int/csa/aio/	B, C, D
CARISMA	http://www.carisma.ca/	С
$\rm CCMC/iSWA^*$	https://iswa.gsfc.nasa.gov/	D
$CDAWeb^*$	https://cdaweb.gsfc.nasa.gov/	A, B, C, D
$Das2^*$	http://das2.org/	D
FTEC*	http://hapi.ftecs.com/hapi	А
IMAGE	http://space.fmi.fi/image	\mathbf{C}
INTERMAGNET ⁺	http://intermagnet.org/	A, C
ISAS/JAXA	https://darts.isas.jaxa.jp.	А
LiSIRD*	http://lasp.colorado.edu/lisird/	A, D
OMNIWeb*	https://omniweb.gsfc.nasa.gov/	A, B, D
NGDC	https://ngdc.noaa.gov/stp/satellite/	A, C, D
PDS^+	https://pds-ppi.igpp.ucla.edu/hapi	A, B
$SSCWeb^*$	https://sscweb.gsfc.nasa.gov/	B, D
SuperMAG	http://supermag.jhuapl.edu/	D
SWPC	https://www.swpc.noaa.gov/	В
MADRIGAL	https://openmadrigal.org/	A, D

101 **1.2** Design Constraints and Associated Motivations

The HAPI specification (HAPI Project Overview Page, 2021; R. Weigel et al., 2021) 102 was developed by Heliophysics researchers, software developers, and data scientists as 103 a grass-roots effort to improve interoperability in Heliophysics data. Experience with re-104 lated efforts such as TSDS (R. S. Weigel et al., 2010), a service that mapped data avail-105 able by methods A to D in Table 1 through a single API, led to the choice of REST-style 106 (Representational state transfer; Richardson and Ruby (2007)) API. The REST conven-107 tions allow for simple, stateless servers and the streaming of data that naturally hides 108 any file boundaries between data files. 109

110

The primary design considerations for the HAPI specification were:

- 111 1. The minimum requirements for HAPI compliance should be easy to implement 112 for existing, well-structured data collections.
- Because ease of implementation increases the likelihood of adoption, the HAPI requirements closely resemble the API implementations of many existing time series data providers. They do not include any data operations – only delivering existing content; faced with a new specification, many data providers will likely only ever implement the minimum elements needed for compliance.
- 2. The required metadata is the minimum amount needed to make the data scientifically usable.
- A common way to evaluate the science usability of structured data is whether enough 120 information is present to automatically create a labeled and scientifically compre-121 hensible plot of the data. To reduce the effort required to serve data through a 122 HAPI API, the required HAPI metadata is limited to that needed to do this and 123 allow common content access and usage scenarios rather than search and discov-124 ery use-cases. However, HAPI metadata can point to other richer metadata, both 125 structured and unstructured, that contains additional explanatory information about 126 a dataset. In addition, metadata for search and discovery can point to HAPI end-127 points (URLs) and metadata. 128
- In Heliophysics, the Space Physics Archive, Search and Extract (SPASE) metadata model (Roberts et al., 2018) has become a standard method for describing data products. (In contrast to HAPI, SPASE does not include a specification for the access of data.) HAPI metadata can reference any existing SPASE record, which has structured information such as detailed documentation and caveats. Also, SPASE records are being updated to reference the HAPI-enabled servers indicated in Table 2 as a possible mechanism for access to data products.
- ¹³⁶ 3. Data are accessible at a minimum in a simple CSV-formatted stream
- Although HAPI servers may use high-performance streaming formats that are defined in the specification, all servers must support CSV for output. CSV data is easy to read in all scientific programming languages with only a few lines of code, making it easy for scientists to start working with the data immediately.
- The HAPI streaming format requires servers to create a response stream that aggregates data if it is stored in multiple files. While this introduces complexity, in potential conflict with constraint (1), a simplifying aspect is that servers do not need to have a staging process for file aggregation nor do they need to operate asynchronously and generate user notifications when the result of a request is complete.
- The requirement that HAPI requests and responses occur synchronously adds a practical limitation on the amount of data sent in a single response. The HAPI specification does not dictate any data transfer limits. However, servers may de-

fine data volume constraints and report via a HAPI error response that a request 149 was too large to process. 150 The HAPI specification and server capabilities are related to OPeNDAP (Cornillon 151 et al., 2003) and NcML (Nativi et al., 2005), but the HAPI specification was de-152 signed primarily for time-series data, whereas OPeNDAP is primarily used for spa-153 tial data, although it can be used for time series. Several of the HAPI develop-154 ers had extensive experience in attempting to adopt OPeNDAP for providing HAPI-155 like services, but this effort was abandoned in favor of the HAPI specification due 156 to the complications associated with mapping from OPeNDAP's data model, in 157 which space is a primary index, to HAPI, in which time is the primary index. 158

159 **1.3 Data and Metadata Formats**

All HAPI metadata uses JSON (Javascript Object Notation), which has near-universal support in modern scientific programming languages.

A HAPI server may stream data in CSV and optionally JSON or binary. CSV is
 highly accessible for scientists and is easily read by all modern programming languages.
 JSON is also widely supported by many languages and web-based frameworks.

The binary format is a straightforward translation of the CSV output: newlines are removed and integer parameters are written as 64-bit signed integers. Floating-point parameters are written as 64-bit IEEE 754 little-endian floats ("IEEE Standard for Floating-Point Arithmetic", 2019), and string parameters are written as a sequence of 8-bit ASCII characters. HAPI metadata contains the information required to interpret the binary file, including the number of parameters, the dimensionality of each parameter, and the formats of numerical parameters (or length for string parameters).

This custom, simple, and efficient binary format was selected because typical scientific data formats, such as CDF (*NASA Common Data Format*, 2021), HDF (Folk et al., 1999), and netCDF (Rew & Davis, 1990) do not support streaming of data. Experimental code for streaming HDF and netCDF can be found online, but not as integrated parts of the code base for those formats. Protocol buffers (*Protocol Buffers*, 2021) were considered, but library support for this format in the commonly used programming languages (IDL, MATLAB, Python) is poor.

As described in the following section, few scientists should ever need to write parsers
 and readers for HAPI metadata or data.

1.4 Facilitating Adoption

The HAPI specification is designed to provide a standardized way to provide streaming services that many time-series data providers already offer. The use of the common aspects of existing features of HP data providers means that data providers can, with minimal effort, modify existing streaming servers to make them HAPI compliant.

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In addition, several tools have been developed to facilitate adoption.

1. Although the HAPI streaming formats are simple enough that only 10-20 lines of 187 code are needed to read a basic HAPI response, there are error conditions and other 188 optimizations to consider (such as caching and parallelization of requests); a ro-189 bust and comprehensive client implementation is beyond what should be expected 190 of science users or even software developers who want to create tools that use HAPI 191 data. Mature HAPI server client libraries are available from open-source HAPI 192 projects (HAPI GitHub Project Page, 2021) for Java, IDL, MATLAB, and Python, 193 so most users can begin with these libraries. These clients read a HAPI response 194

into a data structure appropriate for the given language (e.g., in Python the re-195 sponse is read into a NumPy N-D array with timestamps converted to Python datetime 196 objects). Work is underway to incorporate HAPI readers into existing popular anal-197 ysis frameworks, such as SPEDAS in IDL and Python (SPEDAS Space Physics) 198 Environment Data Analysis Software, 2021), Autoplot in Java (Faden et al., 2010), 199 SunPy in Python (Mumford et al., 2015), and SpacePy in Python (Morley et al., 200 2010). 201 202 2. To assist server developers with adoption, a cross-platform reference server has been developed. With this server, a data provider only needs to provide HAPI JSON 203 metadata and a command-line program that emits HAPI CSV given inputs of a 204 dataset identifier and start/stop times (HAPI Project Overview Page, 2021). The 205 server handles HAPI error responses, request validation, and logging. 206 3. A validator/verifier has been developed that runs many tests on a server (HAPI 207 Server Verifier, 2021). The server executes a comprehensive suite of tests for com-208 pliance with the specification. By either downloading and running the test soft-209 ware locally, or by entering the URL of a HAPI server into a website, data providers 210 can test most aspects of their HAPI implementation, with detailed results indi-211 cating warnings or errors. This has proven to be a very effective way to assist de-212 velopers, and it helps ensure that new HAPI servers are fully functional. The tests 213 include checks of JSON responses against the HAPI metadata schema, verifying 214 that the server handles different allowed start/stop time representations (e.g., year, 215 day-of-year and year, month day with varying amounts of additional time preci-216 sion), error responses and message, timeouts, and testing that output is indepen-217 dent of the output format. Also, the validator/verifier makes recommendations. 218 For example, if a server does not include cross-origin request sharing headers or 219 respond with compressed data when gzip is specified in the Accept-Encoding re-220 quest header, it emits a message noting their advantages and a link to informa-221 tion on how to enable or implement these features.

2 API 223

222

241

The five required endpoints that constitute the HAPI API (R. Weigel et al., 2021) 224 are summarized in this section. In addition to defining the responses to requests to these 225 URLs, the HAPI specification includes requirements for the format and structure of the 226 response. These additional requirements are summarized in the description of the rel-227 evant endpoint. 228

2.1 /about endpoint 229

The response to this endpoint is a JSON object containing the HAPI API version 230 implemented, the status of the response, the id of the server, the server title (a brief 231 description of the provided data), and contact information for the server administrator. 232 An example response is 233

```
{
234
        "HAPI": "3.0",
235
        "status": {"code": 1200, "message": "OK"},
236
        "id": "SSCWeb".
237
         "title": "SSCWeb Data and Metadata",
238
         "contact": "example@example.org"
239
      }
240
```

This endpoint has no request parameters.

242 **2.2** /capabilities endpoint

The response to this endpoint is a JSON object containing the HAPI API version
implemented, the status of the response, and output formats supported. A client can use
this endpoint to determine if the HAPI server provides additional data output formats
beyond the required CSV. An example response is

```
247 {
248 "HAPI": "3.0",
249 "status": {"code": 1200, "message": "OK"},
250 "outputFormats": ["csv", "binary", "json"]
251 }
```

Note that the minimum requirement is that outputFormats = ["csv"]. This endpoint has no request parameters.

254 **2.3** /catalog endpoint

The response to a request to this endpoint is a list of the available datasets from the HAPI server. An example is

```
{
257
           "HAPI": "3.0",
258
           "status": {"code": 1200, "message": "OK"},
259
           "catalog":
260
                Γ
261
                     {"id": "dataset1", title: "dataset 1 title"},
262
                     {"id": "dataset2"}
263
                ]
264
      }
265
```

The minimal requirement is that the objects in the catalog array contain an id; the title is optional. This endpoint has no request parameters.

268 2.4 /info endpoint

275

This endpoint has one required request parameter, dataset, which corresponds to an identifier of the dataset that appears in the /catalog response. The /info response contains information about the parameters in a dataset. It contains the metadata needed to satisfy requirement (2) in section 1.2 that HAPI metadata should be the minimum required for a program reading the data to produce a plot with labels needed for scientific interpretation.

For example, a response to /info?dataset=ACE_MAG could be

```
{
276
      "HAPI": "3.0",
277
      "status": {"code": 1200, "message": "OK"},
278
      "startDate": "1998-001Z",
279
      "stopDate" : "2017-100Z",
280
      "parameters":
281
      [{
282
              "name": "Time",
283
              "type": "isotime",
284
              "units": "UTC".
285
              "fill": null,
286
```

```
"length": 24
287
      },
288
289
       {
                     "name": "mag_GSE",
291
                     "type": "double",
292
                     "units": "nT",
293
                     "fill": "-1e31",
294
                     "size" : [3],
295
                     "description": "hourly ave magnetic field in GSE",
296
                     "label": "B field in GSE"
297
                }]
298
      }
299
```

In this example, the size element of the mag_GSE parameter indicates the dimensionality of the parameter. size = [3] means that this parameter will occupy three columns in the CSV data response. Higher-dimensional parameters are allowed. For example, a dataset parameter consisting of the energy of protons in one of 6 energy ranges striking a sensor at 12 different pitch angles would have size = [12, 6] and would occupy 72 columns in the CSV response.

306

2.5 /data endpoint

This endpoint has required request parameters of a dataset identifier and time range, and the response is a CSV stream of all parameters in the dataset. Optionally, the request may include a comma-separated subset of parameters to return. A sample response for the request

/data?dataset=ACE_MAG¶meters=mag_GSE&start=2016-01-01Z&stop=2016-01-02Z

312 is

. . .

314

³¹³ 2016-01-01T00:00:00.000Z,0.05,0.08,-50.98

³¹⁵ 2016-01-01T23:59:59.000Z,0.09,0.03,-30.0

The HAPI binary representation of this file is 86400 blocks, each containing 24 ASCIIencoded bytes (representing a timestamp) followed by 3 IEEE 754 little-endian 64-bit floats.

We note that the HAPI API standard has effectively introduced a new file format 319 standard and a natural question is why an existing standard was not used. First, we note 320 that the streams are intended to be intermediate and transient data structures that are 321 not intended for use by the end-user. We expect that end users who interact with a HAPI 322 server will do so using existing HAPI client software; in this case, the user only inter-323 acts with the data through a data structure in the client language. Second, the stream-324 ing format was chosen because it is simple, which has allowed for the rapid development 325 of HAPI servers and clients in many languages. 326

327 **3** Summary and Future Development

The HAPI specification captures a diverse range of time series representations used in Heliophysics, including scalars, vectors, spectra, and multi-dimensional time series commonly made by energetic particle instruments. The specification also captures many of the features of existing APIs, simplifying the transition from a non-standards-based API
 to a HAPI API.

In addition to developing an API, as discussed in Section 1.4, significant effort was made to facilitate adoption. For example, for server developers, a generic server is available – a data provider needs only to provide /catalog and /info metadata JSON files and an executable program that takes inputs of the dataset identifier and start/stop times and returns HAPI CSV. Usually, such programs are fewer than 50 lines. For users, software has been developed for commonly used programming languages in Heliophysics, including IDL, Java, MATLAB, and Python.

The type of data described by HAPI metadata and served according to its specification is easily extended to other science domains such as the Earth Sciences and is an area of active research.

343 Acknowledgments

The HAPI API standard and links to software for clients and servers is available from http://hapi-server.org/.

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HAPI: An API Standard for Accessing Heliophysics Time Series Data

Robert Weigel^{1,2}, Jon Vandegriff³, Jeremy Faden^{4,5}, Todd King⁶, D Aaron Roberts⁷, Bernard Harris⁷, Robert Candey⁷, Nand Lal⁷, Scott Boardsen⁷, Chris Lindholm^{8,9}, Doug Lindholm^{8,9}, Thomas Baltzer^{8,9}, Larry Brown, Eric Grimes⁶, Baptiste Cecconi¹⁰, Vincent Génot¹¹, Benjamin Renard¹², Arnaud Masson¹³, Beatriz Martinez¹⁴

¹ George Mason University ² Space Weather Lab ³ Johns Hopkins Applied Physics Lab ⁴ Cottage Systems ⁵ University of Iowa ⁶ University of California Los Angeles, ⁷ NASA Goddard Space Flight Center ⁸ University of Colorado ⁹ Laboratory for Atmospheric and Space Physics ¹⁰ LESIA, Observatoire de Paris-PSL, CNRS, Meudon, France	
¹¹ Institut de Recherche en Astrophysique et Planétologie, CNRS, Univ. Toulouse, CNES, Toulous	se
France ¹² AKKA Technologies ¹³ Tpz UK for ESA, ESAC ¹⁴ RHEA Group for ESA, ESAC	

Key Points:

24	•	HAPI was developed because there are many non-standard approaches to serv-
25		ing Heliophysics time series data.
26	•	HAPI provides a standard specification that will simplify data access.
27	•	Software libraries for downloading data from HAPI servers in many commonly used
28		programming languages are available.

Corresponding author: R.S. Weigel, rweigel@gmu.edu

29 Abstract

Heliophysics data analysis often involves combining diverse science measurements, many 30 of them captured as time series. Although there are now only a few commonly used data 31 file formats, the diversity in mechanisms for automated access to and aggregation of such 32 data holdings can make analysis that requires inter-comparison of data from multiple 33 data providers difficult. The Heliophysics Application Programmer's Interface (HAPI) 34 is a recently developed standard for accessing distributed time-series data to increase in-35 teroperability. The HAPI specification is based on the common elements of existing data 36 services, and it standardizes the two main parts of a data service: the request interface 37 and the response data structures. The interface is based on the REpresentational State 38 Transfer (REST) or RESTful architecture style, and the HAPI specification defines five 39 required REST endpoints. Data are returned via a streaming format that hides file bound-40 aries; the metadata is detailed enough for the content to be scientifically useful, e.g., plot-41 ted with appropriate axes layout, units, and labels. Multiple mature HAPI-related open-42 source projects offer server-side implementation tools and client-side libraries for read-43 ing HAPI data in multiple languages (IDL, Java, MATLAB, and Python). Multiple data 44 providers in the US and Europe have added HAPI access alongside their existing inter-45 faces. Based on this experience, data can be served via HAPI with little or no informa-46 tion loss compared to similar existing web interfaces. Finally, HAPI has been recom-47 mended as a COSPAR standard for time series data delivery. 48

⁴⁹ Plain Language Summary

The Heliophysics Application Programmer's Interface (HAPI) specification allows 50 data providers to use a standard set of conventions for returning data in response to a 51 URL-based request. This specification was developed because no standard exists. Data 52 providers use incompatible conventions so that automated access to and aggregation of 53 data requires users to write custom code for each data provider. . The benefit to the user 54 is that software libraries for many common languages have been developed to read HAPI 55 data; if a data provider serves data using the HAPI specification, the user does not need 56 to write additional code to access the data. The starting point for using and learning 57 more about HAPI is http://hapi-server.org/. 58

⁵⁹ 1 Introduction

Heliophysics (HP) researchers study the nature and dynamical interactions of the 60 Sun, the heliosphere, and the plasma environments of the planets based on data from 61 a fleet of spacecraft and ground-based platforms termed the "Heliophysics System Ob-62 servatory" (HSO) (Heliophysics Data Environment, 2021). As we seek a more detailed 63 understanding of the global and local dynamics of this system, we increasingly need easy 64 access to data from many of the observatories. The best route to integrating these data 65 is the adoption of standards for data formats, metadata terminology and syntax, and ac-66 cess APIs (Application Programming Interfaces). HP has nearly universally adopted the 67 data file formats of CDF (NASA Common Data Format, 2021), FITS (Ponz et al., 1994), 68 HDF (Folk et al., 1999), and netCDF (Rew & Davis, 1990) and SPASE (King et al. (2010); 69 Roberts et al. (2018)) for metadata. As summarized in the following section, there are 70 many different APIs for obtaining time series-like data stored in these data files. 71

In this work, we use time series-like to mean data that are typically represented by a data structure where the primary index is time and each time value has an associated scalar, vector, tensor, spectrogram, or higher-dimensional construct. (In principle, this means that the HAPI API can be used to serve images; in this case, each time value is associated with a 3-dimensional matrix containing pixel amplitudes in one or more channels. However, a HAPI server has not been developed to serve images.)

The primary design objective for HAPI is to provide the simplest API that allows 78 access to time series data in a streaming form and allows a user not to need knowledge 79 of file system boundaries, directory layouts, and file formats. With this approach, a sci-80 entist programming in, for example, IDL, MATLAB, or Python can use a line of code 81 to bring data into a processing or analysis routine from a diverse set of data providers 82 and for a wide array of data. Examples of such data include uniform cadence ground 83 magnetometer measurements and multi-dimensional distribution functions of ions from 84 a spacecraft instrument. This paper provides an overview of the HAPI design and spec-85 ification (R. Weigel et al., 2021) along with a summary of its API and plans for future 86 development (Vandegriff et al., 2021). The API is mature; in 2018, the COSPAR panel 87 on Space Weather recognized HAPI's ability to simplify and standardize access to He-88 liophysics data, and passed a resolution that "HAPI be the common data access API for 89 space science and space weather data." (COSPAR Panel on Space Weather - Resolution 90 on Data Access, 2018). Current effort involves broadening the adoption of the standard 91 by data providers and increasing the use of the API and the HAPI software clients by 92 scientists (Faden et al., 2017; Brown et al., 2018; Angelopoulos et al., 2019; Vandegriff 93 et al., 2019, 2020). 94

1.1 Related Works

95

Although there exist commonly used file format standards in HP, including, for example, CDF for spacecraft data and IAGA2002 for ground magnetometer data, there
is no standard for how these files, or data within the files, are presented to the user. Tables 1 lists common access mechanisms and an accounting of the methods used by the
data providers listed in Table 2.

 Table 1.
 Common Heliophysics data access methods

Method Description

- A. A FTP or HTTP directory of files (usually one day of data per file).
 B. A web page with a link that indicates when processing is complete, often with the ability to display the data and download it.
 C. A web page with a link to an archive of files (zip or tgz) that is available when
- the processing of a request is complete.D. A REST API that returns a data stream or file directly to a user's application.

Table 2. Heliophyiscs data providers, links to their top-level web site, and the data access methods listed in Table 1 that they natively support. An asterisk "*" indicates that data are already available through a HAPI API (*HAPI Server List*, 2021). A plus "+" indicates that HAPI support is under development. Not all of the above data providers that use methods A, B, and C use the same file formats (when files provided); none of the data providers using method D use the same API. However, they all provide data that could be served without loss of information through a HAPI server.

Provider	URL	Access Methods
$AMDA^*$ (Génot et al., 2021)	http://amda.irap.omp.eu/service/hapi	B, D
$CAIO^+$	https://csa.esac.esa.int/csa/aio/	B, C, D
CARISMA	http://www.carisma.ca/	С
$\rm CCMC/iSWA^*$	https://iswa.gsfc.nasa.gov/	D
$CDAWeb^*$	https://cdaweb.gsfc.nasa.gov/	A, B, C, D
$Das2^*$	http://das2.org/	D
FTEC*	http://hapi.ftecs.com/hapi	А
IMAGE	http://space.fmi.fi/image	\mathbf{C}
INTERMAGNET ⁺	http://intermagnet.org/	A, C
ISAS/JAXA	https://darts.isas.jaxa.jp.	А
LiSIRD*	http://lasp.colorado.edu/lisird/	A, D
OMNIWeb*	https://omniweb.gsfc.nasa.gov/	A, B, D
NGDC	https://ngdc.noaa.gov/stp/satellite/	A, C, D
PDS^+	https://pds-ppi.igpp.ucla.edu/hapi	A, B
$SSCWeb^*$	https://sscweb.gsfc.nasa.gov/	B, D
SuperMAG	http://supermag.jhuapl.edu/	D
SWPC	https://www.swpc.noaa.gov/	В
MADRIGAL	https://openmadrigal.org/	A, D

101 **1.2** Design Constraints and Associated Motivations

The HAPI specification (HAPI Project Overview Page, 2021; R. Weigel et al., 2021) 102 was developed by Heliophysics researchers, software developers, and data scientists as 103 a grass-roots effort to improve interoperability in Heliophysics data. Experience with re-104 lated efforts such as TSDS (R. S. Weigel et al., 2010), a service that mapped data avail-105 able by methods A to D in Table 1 through a single API, led to the choice of REST-style 106 (Representational state transfer; Richardson and Ruby (2007)) API. The REST conven-107 tions allow for simple, stateless servers and the streaming of data that naturally hides 108 any file boundaries between data files. 109

110

The primary design considerations for the HAPI specification were:

- 111 1. The minimum requirements for HAPI compliance should be easy to implement 112 for existing, well-structured data collections.
- Because ease of implementation increases the likelihood of adoption, the HAPI requirements closely resemble the API implementations of many existing time series data providers. They do not include any data operations – only delivering existing content; faced with a new specification, many data providers will likely only ever implement the minimum elements needed for compliance.
- 2. The required metadata is the minimum amount needed to make the data scientifically usable.
- A common way to evaluate the science usability of structured data is whether enough 120 information is present to automatically create a labeled and scientifically compre-121 hensible plot of the data. To reduce the effort required to serve data through a 122 HAPI API, the required HAPI metadata is limited to that needed to do this and 123 allow common content access and usage scenarios rather than search and discov-124 ery use-cases. However, HAPI metadata can point to other richer metadata, both 125 structured and unstructured, that contains additional explanatory information about 126 a dataset. In addition, metadata for search and discovery can point to HAPI end-127 points (URLs) and metadata. 128
- In Heliophysics, the Space Physics Archive, Search and Extract (SPASE) metadata model (Roberts et al., 2018) has become a standard method for describing data products. (In contrast to HAPI, SPASE does not include a specification for the access of data.) HAPI metadata can reference any existing SPASE record, which has structured information such as detailed documentation and caveats. Also, SPASE records are being updated to reference the HAPI-enabled servers indicated in Table 2 as a possible mechanism for access to data products.
- ¹³⁶ 3. Data are accessible at a minimum in a simple CSV-formatted stream
- Although HAPI servers may use high-performance streaming formats that are defined in the specification, all servers must support CSV for output. CSV data is easy to read in all scientific programming languages with only a few lines of code, making it easy for scientists to start working with the data immediately.
- The HAPI streaming format requires servers to create a response stream that aggregates data if it is stored in multiple files. While this introduces complexity, in potential conflict with constraint (1), a simplifying aspect is that servers do not need to have a staging process for file aggregation nor do they need to operate asynchronously and generate user notifications when the result of a request is complete.
- The requirement that HAPI requests and responses occur synchronously adds a practical limitation on the amount of data sent in a single response. The HAPI specification does not dictate any data transfer limits. However, servers may de-

fine data volume constraints and report via a HAPI error response that a request 149 was too large to process. 150 The HAPI specification and server capabilities are related to OPeNDAP (Cornillon 151 et al., 2003) and NcML (Nativi et al., 2005), but the HAPI specification was de-152 signed primarily for time-series data, whereas OPeNDAP is primarily used for spa-153 tial data, although it can be used for time series. Several of the HAPI develop-154 ers had extensive experience in attempting to adopt OPeNDAP for providing HAPI-155 like services, but this effort was abandoned in favor of the HAPI specification due 156 to the complications associated with mapping from OPeNDAP's data model, in 157 which space is a primary index, to HAPI, in which time is the primary index. 158

159 **1.3 Data and Metadata Formats**

All HAPI metadata uses JSON (Javascript Object Notation), which has near-universal support in modern scientific programming languages.

A HAPI server may stream data in CSV and optionally JSON or binary. CSV is
 highly accessible for scientists and is easily read by all modern programming languages.
 JSON is also widely supported by many languages and web-based frameworks.

The binary format is a straightforward translation of the CSV output: newlines are removed and integer parameters are written as 64-bit signed integers. Floating-point parameters are written as 64-bit IEEE 754 little-endian floats ("IEEE Standard for Floating-Point Arithmetic", 2019), and string parameters are written as a sequence of 8-bit ASCII characters. HAPI metadata contains the information required to interpret the binary file, including the number of parameters, the dimensionality of each parameter, and the formats of numerical parameters (or length for string parameters).

This custom, simple, and efficient binary format was selected because typical scientific data formats, such as CDF (*NASA Common Data Format*, 2021), HDF (Folk et al., 1999), and netCDF (Rew & Davis, 1990) do not support streaming of data. Experimental code for streaming HDF and netCDF can be found online, but not as integrated parts of the code base for those formats. Protocol buffers (*Protocol Buffers*, 2021) were considered, but library support for this format in the commonly used programming languages (IDL, MATLAB, Python) is poor.

As described in the following section, few scientists should ever need to write parsers
 and readers for HAPI metadata or data.

1.4 Facilitating Adoption

The HAPI specification is designed to provide a standardized way to provide streaming services that many time-series data providers already offer. The use of the common aspects of existing features of HP data providers means that data providers can, with minimal effort, modify existing streaming servers to make them HAPI compliant.

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In addition, several tools have been developed to facilitate adoption.

1. Although the HAPI streaming formats are simple enough that only 10-20 lines of 187 code are needed to read a basic HAPI response, there are error conditions and other 188 optimizations to consider (such as caching and parallelization of requests); a ro-189 bust and comprehensive client implementation is beyond what should be expected 190 of science users or even software developers who want to create tools that use HAPI 191 data. Mature HAPI server client libraries are available from open-source HAPI 192 projects (HAPI GitHub Project Page, 2021) for Java, IDL, MATLAB, and Python, 193 so most users can begin with these libraries. These clients read a HAPI response 194

into a data structure appropriate for the given language (e.g., in Python the re-195 sponse is read into a NumPy N-D array with timestamps converted to Python datetime 196 objects). Work is underway to incorporate HAPI readers into existing popular anal-197 ysis frameworks, such as SPEDAS in IDL and Python (SPEDAS Space Physics) 198 Environment Data Analysis Software, 2021), Autoplot in Java (Faden et al., 2010), 199 SunPy in Python (Mumford et al., 2015), and SpacePy in Python (Morley et al., 200 2010). 201 202 2. To assist server developers with adoption, a cross-platform reference server has been developed. With this server, a data provider only needs to provide HAPI JSON 203 metadata and a command-line program that emits HAPI CSV given inputs of a 204 dataset identifier and start/stop times (HAPI Project Overview Page, 2021). The 205 server handles HAPI error responses, request validation, and logging. 206 3. A validator/verifier has been developed that runs many tests on a server (HAPI 207 Server Verifier, 2021). The server executes a comprehensive suite of tests for com-208 pliance with the specification. By either downloading and running the test soft-209 ware locally, or by entering the URL of a HAPI server into a website, data providers 210 can test most aspects of their HAPI implementation, with detailed results indi-211 cating warnings or errors. This has proven to be a very effective way to assist de-212 velopers, and it helps ensure that new HAPI servers are fully functional. The tests 213 include checks of JSON responses against the HAPI metadata schema, verifying 214 that the server handles different allowed start/stop time representations (e.g., year, 215 day-of-year and year, month day with varying amounts of additional time preci-216 sion), error responses and message, timeouts, and testing that output is indepen-217 dent of the output format. Also, the validator/verifier makes recommendations. 218 For example, if a server does not include cross-origin request sharing headers or 219 respond with compressed data when gzip is specified in the Accept-Encoding re-220 quest header, it emits a message noting their advantages and a link to informa-221 tion on how to enable or implement these features.

2 API 223

222

241

The five required endpoints that constitute the HAPI API (R. Weigel et al., 2021) 224 are summarized in this section. In addition to defining the responses to requests to these 225 URLs, the HAPI specification includes requirements for the format and structure of the 226 response. These additional requirements are summarized in the description of the rel-227 evant endpoint. 228

2.1 /about endpoint 229

The response to this endpoint is a JSON object containing the HAPI API version 230 implemented, the status of the response, the id of the server, the server title (a brief 231 description of the provided data), and contact information for the server administrator. 232 An example response is 233

```
{
234
        "HAPI": "3.0",
235
        "status": {"code": 1200, "message": "OK"},
236
        "id": "SSCWeb".
237
         "title": "SSCWeb Data and Metadata",
238
         "contact": "example@example.org"
239
      }
240
```

This endpoint has no request parameters.

242 **2.2** /capabilities endpoint

The response to this endpoint is a JSON object containing the HAPI API version
implemented, the status of the response, and output formats supported. A client can use
this endpoint to determine if the HAPI server provides additional data output formats
beyond the required CSV. An example response is

```
247 {
248 "HAPI": "3.0",
249 "status": {"code": 1200, "message": "OK"},
250 "outputFormats": ["csv", "binary", "json"]
251 }
```

Note that the minimum requirement is that outputFormats = ["csv"]. This endpoint has no request parameters.

254 **2.3** /catalog endpoint

The response to a request to this endpoint is a list of the available datasets from the HAPI server. An example is

```
{
257
           "HAPI": "3.0",
258
           "status": {"code": 1200, "message": "OK"},
259
           "catalog":
260
                Γ
261
                     {"id": "dataset1", title: "dataset 1 title"},
262
                     {"id": "dataset2"}
263
                ]
264
      }
265
```

The minimal requirement is that the objects in the catalog array contain an id; the title is optional. This endpoint has no request parameters.

268 2.4 /info endpoint

275

This endpoint has one required request parameter, dataset, which corresponds to an identifier of the dataset that appears in the /catalog response. The /info response contains information about the parameters in a dataset. It contains the metadata needed to satisfy requirement (2) in section 1.2 that HAPI metadata should be the minimum required for a program reading the data to produce a plot with labels needed for scientific interpretation.

For example, a response to /info?dataset=ACE_MAG could be

```
{
276
      "HAPI": "3.0",
277
      "status": {"code": 1200, "message": "OK"},
278
      "startDate": "1998-001Z",
279
      "stopDate" : "2017-100Z",
280
      "parameters":
281
      [{
282
              "name": "Time",
283
              "type": "isotime",
284
              "units": "UTC".
285
              "fill": null,
286
```

```
"length": 24
287
      },
288
289
       {
                     "name": "mag_GSE",
291
                     "type": "double",
292
                     "units": "nT",
293
                     "fill": "-1e31",
294
                     "size" : [3],
295
                     "description": "hourly ave magnetic field in GSE",
296
                     "label": "B field in GSE"
297
                }]
298
      }
299
```

In this example, the size element of the mag_GSE parameter indicates the dimensionality of the parameter. size = [3] means that this parameter will occupy three columns in the CSV data response. Higher-dimensional parameters are allowed. For example, a dataset parameter consisting of the energy of protons in one of 6 energy ranges striking a sensor at 12 different pitch angles would have size = [12, 6] and would occupy 72 columns in the CSV response.

306

2.5 /data endpoint

This endpoint has required request parameters of a dataset identifier and time range, and the response is a CSV stream of all parameters in the dataset. Optionally, the request may include a comma-separated subset of parameters to return. A sample response for the request

/data?dataset=ACE_MAG¶meters=mag_GSE&start=2016-01-01Z&stop=2016-01-02Z

312 is

. . .

314

³¹³ 2016-01-01T00:00:00.000Z,0.05,0.08,-50.98

³¹⁵ 2016-01-01T23:59:59.000Z,0.09,0.03,-30.0

The HAPI binary representation of this file is 86400 blocks, each containing 24 ASCIIencoded bytes (representing a timestamp) followed by 3 IEEE 754 little-endian 64-bit floats.

We note that the HAPI API standard has effectively introduced a new file format 319 standard and a natural question is why an existing standard was not used. First, we note 320 that the streams are intended to be intermediate and transient data structures that are 321 not intended for use by the end-user. We expect that end users who interact with a HAPI 322 server will do so using existing HAPI client software; in this case, the user only inter-323 acts with the data through a data structure in the client language. Second, the stream-324 ing format was chosen because it is simple, which has allowed for the rapid development 325 of HAPI servers and clients in many languages. 326

327 **3** Summary and Future Development

The HAPI specification captures a diverse range of time series representations used in Heliophysics, including scalars, vectors, spectra, and multi-dimensional time series commonly made by energetic particle instruments. The specification also captures many of the features of existing APIs, simplifying the transition from a non-standards-based API
 to a HAPI API.

In addition to developing an API, as discussed in Section 1.4, significant effort was made to facilitate adoption. For example, for server developers, a generic server is available – a data provider needs only to provide /catalog and /info metadata JSON files and an executable program that takes inputs of the dataset identifier and start/stop times and returns HAPI CSV. Usually, such programs are fewer than 50 lines. For users, software has been developed for commonly used programming languages in Heliophysics, including IDL, Java, MATLAB, and Python.

The type of data described by HAPI metadata and served according to its specification is easily extended to other science domains such as the Earth Sciences and is an area of active research.

343 Acknowledgments

The HAPI API standard and links to software for clients and servers is available from http://hapi-server.org/.

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