The effect of fault architecture on slip behavior in shale revealed by distributed fiber optic strain sensing

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Abstract

We use Distributed Strain Sensing (DSS) through Brillouin scattering measurements to characterize the reactivation of a fault zone in shale (Opalinus clay), caused by the excavation of a gallery at 400 m depth in the Mont Terri Underground Laboratory (Switzerland). DSS fibers are cemented behind casing in six boreholes cross-cutting the fault zone. We compare the DSS data with co-located measurements of displacement from a chain potentiometer and a three-dimensional displacement sensor (SIMFIP). DSS proves to be able to detect in- and off-fault strain variations induced by the gallery excavated 30-50 m away. The total permanent displacement of the fault is 200 microns at rates up to 1.5 nm/sec. DSS is sensitive to longitudinal and shear strain with measurements showing that fault shear is concentrated at the top and bottom interfaces of the fault zone with little deformation within the fault zone itself. Such a localized pattern of strain relates to the architecture of the fault that is characterized by a thick, weak layer, slipping at the edges, with no surrounding damage zone. Overall, DSS shows that slow slip may activate everywhere there is a weak fault within a shale series. Thus, our work demonstrates the importance of shear strain on faults caused by remote loading, highlighting the utility of DSS systems to detect and quantify these effects at large reservoir scales.

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Key Points: 11 • Slow slip on a fault zone in a clay caprock concentrates on two interfaces with the 12 intact host rock, because the fault displays no damage zone. 13 • Distributed strain sensing (DSS) shows equal measurand performance to standard 14 borehole potentiometers, with better spatial resolution and sensitivity to shear. 15 • Activated and unactivated fractures share similar orientations; activated fractures 16 are weaker due to the presence of scaly clay. 17

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18 Abstract

We use Distributed Strain Sensing (DSS) through Brillouin scattering measurements to 19 characterize the reactivation of a fault zone in shale (Opalinus clay), caused by the ex-20 cavation of a gallery at ~ 400 m depth in the Mont Terri Underground Laboratory (Switzer-21 land). DSS fibers are cemented behind casing in six boreholes cross-cutting the fault zone. 22 We compare the DSS data with co-located measurements of displacement from a chain 23 potentiometer and a three-dimensional displacement sensor (SIMFIP). DSS proves to 24 be able to detect in- and off-fault strain variations induced by the gallery excavated 30– 25 50 m away. The total permanent displacement of the fault is \sim 200 microns at rates up 26 to 1.5 nm/sec. DSS is sensitive to longitudinal and shear strain with measurements show-27 ing that fault shear is concentrated at the top and bottom interfaces of the fault zone 28 with little deformation within the fault zone itself. Such a localized pattern of strain re-29 lates to the architecture of the fault that is characterized by a thick, weak layer, slipping 30 at the edges, with no surrounding damage zone. Overall, DSS shows that slow slip may 31 activate everywhere there is a weak fault within a shale series. Thus, our work demon-32 strates the importance of shear strain on faults caused by remote loading, highlighting 33 the utility of DSS systems to detect and quantify these effects at large reservoir scales. 34

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1 Plain language summary

Understanding how and why faults move in anisotropic shales is important for as-36 sessing the integrity of caprocks overlying geologic CO_2 sequestration sites or increas-37 ing efficiency of hydraulic fracturing operations in shale gas reservoirs. Here we show that 38 fiber optic cables can be used to accurately measure fault slip when cemented inside bore-39 holes that intersect such a structure. This allows detecting and monitoring of a larger 40 volume of rock than ever before. Our measurements show that a kilometers-long fault 41 in a clay rock, when disturbed by the excavation of a tunnel ~ 30 m away, displayed lo-42 calized slip mostly along its upper and lower interfaces, in contrast to a more distributed 43 slip as would be expected with a more "classical" fault core-damage zone architecture. 44 In addition, the excavation produced slip on other, smaller fractures, with slip on these 45 planes sometimes exceeding the slip on the larger fault. These observations show how 46 important slow slip in anisotropic shales can be in accommodating remote loading (e.g. 47 deep reservoir pressurization or hydraulic fracturing). DSS offers new insight into whether 48

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⁴⁹ slow slipping faults can trigger significant caprock leakage and induce earthquakes dur-

⁵⁰ ing deep injection operations.

51 2 Introduction

Understanding the mechanics of fault and fracture movement in anisotropic shales 52 is important for estimating the integrity of caprocks overlying geologic CO_2 sequestra-53 tion sites or for improving the efficiency of hydraulic fracturing stimulations of shale gas 54 reservoirs. Indeed, by combining reservoir-scale, geophysical data with laboratory results, 55 Zoback et al. (2012) suggest that hydrofracturing might cause significant slow slip on sur-56 rounding fractures and faults in shale rocks, particularly when clay content exceeding 57 30% favors stable sliding instead of unstable slip (i.e. microseismicity). Looking at the 58 decameter scale around underground galleries in the Opalinus clay shales in Mont Terri 59 Rock Laboratory (Switzerland), Amann et al. (2018) highlight that a high density of bed-60 ding planes and faults strongly influences macroscopic failure through shearing along pre-61 existing planes coupled to newly created extensional fractures. Compiling laboratory de-62 termined mechanical properties of various types of shales, Bourg (2015) shows a factor 63 of 20 decrease of the unconfined compressive strength of shales that contain $\sim 1/3$ phyl-64 losilicate (clay mineral) mass fraction. It is therefore important to better characterize 65 how rupture can develop macroscopically in a thick shale layer since it may substantially 66 change stress and favor leakage flowpath creation. For example, field experiments (Guglielmi 67 et al., 2020) and laboratory tests (Gutierrez et al., 2000) show that even small amounts 68 of shear can lead to significant modifications of the hydraulic properties of fractured and 69 mechanically anisotropic shales. 70

Given this context, optical fiber-based sensors may offer the possibility to track how 71 widely-distributed shear may be in thick anisotropic shale series. A broad array of sci-72 entific and engineering applications have sprung up in the past few decades around the 73 use of fiber optics as distributed measurement devices (so-called distributed fiber optic 74 sensing, DFOS). These techniques leverage light that is scattered in the opposite direc-75 tion of a passing optical pulse and, by measuring the frequency and gain of these backscat-76 tered components, can be used for sensing purposes. The result is a quasi-continuous sen-77 sor capable of being deployed in harsh environments and over distances of several kilo-78 meters (Hartog, 2017). 79

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In this study we focus on measurements of the longitudinal strain of the sensing 80 fiber through interrogation of the Brillouin component of backscattered light. Distributed 81 Brillouin sensing (referred to here as distributed strain sensing, DSS) has found myriad 82 applications since its inception in the 1990's, mostly monitoring the state-of-health of 83 various elements of critical infrastructure including the telecommunications fibers them-84 selves (Tateda et al., 1990), the underground tunnels that house them (Naruse et al., 2005), 85 nuclear waste repositories (Delepine-Lesoille et al., 2012), roads (Iten et al., 2008), lev-86 ees (Naruse, 1999), and the stability of critical slopes (jun Wang et al., 2008). 87

Distributed fiber optics have also been deployed in deep boreholes, initially for mon-88 itoring of borehole casing integrity in oil and gas reservoirs (Zhou et al., 2010) but, more 89 recently, downhole DSS has been used to monitor pumping-induced compaction (C.-C. Zhang 90 et al., 2018), track the progression of hydraulic fractures in unconventional oil and gas 91 reservoirs (Z. Zhang et al., 2020), and measure injection-induced strains in shallow aquifers 92 (Sun et al., 2020). While these studies convincingly demonstrated the ability of DSS to 93 measure strains on the order of tens of microstrains ($\mu\epsilon$), borehole-based measurements 94 are inherently difficult to verify due to inaccessibility and the difficulty of deploying sep-95 arate instruments within a single borehole. 96

Two previous studies have made an attempt to ground truth DSS strain measure-97 ments in grouted boreholes. Krietsch et al. (2018) monitored a series of hydraulic stim-98 ulation tests in the Grimsel underground lab with co-located DSS and Fiber Bragg Gratqq ings (FBGs). Using the FBG system as the 'true' measure, the authors determined that 100 the DSS system provided good qualitative agreement with the FBG system but poor tem-101 poral and measurand resolution. They also observed poor agreement in the magnitude 102 of the measured strains. Valley et al. (2012) grouted fibers into a sill pillar that was ac-103 tively undergoing mining and attempted to corroborate the measurements using co-located 104 extension extension of the part of the par 105 in agreement with the extension extension the measurements were not useful in quantifying 106 the strain in the borehole. Both of these studies highlight the ongoing need for field test-107 ing and independent corroboration of DSS measurements in grouted boreholes. 108

Here we present measurements from a suite of seven boreholes intersecting a fault,
hereafter referred to as the Main Fault, in the Mont Terri Rock Laboratory (MTRL, Switzerland). These boreholes are part of an experimental setup aimed at studying the effect

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of CO₂ injection and pressurization (CS-D and FS-B projects; Zappone et al., 2020; Guglielmi 112 et al., 2018) on the deformation and permeability of a fault zone affecting the Opalinus 113 clay, a low permeability rock considered an analog to a reservoir caprock (Bossart et al., 114 2017). Six of the seven boreholes are instrumented with a loop of single-mode fiber op-115 tic cable, grouted behind casing or anchored to inflatable packer assemblies. The bore-116 holes also contain displacement sensors, including a chain potentiometer and a three-dimensional 117 displacement sensor called the SIMFIP (Guglielmi et al., 2013), which are co-located/proximal 118 with the fiber optic loops and allow us to tune our DSS measurements. 119

Our study details the mechanical response of the thick, faulted, and anisotropic Opal-120 inus clay to stress transfered from the excavation of a new gallery in the MTRL. We first 121 use this opportunity to demonstrate the sensitivity of our multi-borehole fiber array to 122 the movement occurring within the Main Fault zone in response to a remote triggering 123 event. We then use these measurements to characterize the macroscopic activation of the 124 various Opalinus clay structures. Thanks to the independent displacement measurements 125 from co-located or proximal sensors with respect to the fibers, we demonstrate clear con-126 sistency between the strain magnitude and temporal occurrence captured between sen-127 sors. We discuss how stress and fault weakness related to its material content and ar-128 chitecture control the observed distributed slip. 129

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2.1 Fault activation experiments at the Mont Terri Rock Laboratory

The Mont Terri Rock Laboratory, operated by the Swiss Geological Survey, is lo-131 cated on one limb of a fault-bend anticline within a low-permeability claystone unit known 132 as the Opalinus clay (Bossart et al., 2017; Hostettler et al., 2017). The Opalinus clay is 133 both a potential target formation for Switzerland's nuclear waste repositories and a use-134 ful cap rock analog for CO₂ sequestration (Bossart et al., 2017). Additionally, the gal-135 leries of the MTRL are intersected by a kilometer-scale thrust fault zone, the so-called 136 Main Fault (Jaeggi et al., 2017), which offers researchers the opportunity to investigate 137 the effect of fault activation on the leakage potential of a self-sealing clay unit (Guglielmi 138 et al., 2017, 2020; Birkholzer, 2018; Zappone et al., 2020). The Mont Terri Main Fault 139 consists of a thrust zone, 1 to 3 m in width, bounded by two major fault planes char-140 acterized by a strike of N066° to N075° and a dip of 45° to 65° SE (Figure 1). 141

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The CS-D and FS-B projects, directed by ETH Zürich and Lawrence Berkeley Na-142 tional Lab (LBNL), respectively, are focused on understanding how a minor fault affect-143 ing a clay unit (i.e. caprock) might respond to the long term injection of CO_2 (Zappone 144 et al., 2020). The two projects are highly complementary. The CSD project is looking 145 at small ~ 0.05 ml/min injection of a CO₂ brine into the fault below the fault activation 146 pressure. It is mainly focusing on long term hydro-mechanical and chemical processes 147 of fluid diffusion at meter-scale in the fault zone (Zappone et al., 2020). The FS-B project 148 is looking at large-scale (>5 L/min) injection into the fault above activation pressure. 149 It is focused on hydromechanical processes at 10-meter scale during fault rupture, in-150 cluding the potential for induced seismicity, and during inter-rupture periods (Guglielmi 151 et al., 2018). 152

A 70-m x 70-m x 70-m volume, crosscut by the Main Fault, is instrumented with bir 23 boreholes hosting various systems recording pressure and flow rate into multiple injection intervals, active and passive-source seismicity, electrical resistivity, fluid and gas geochemistry, and geomechanical strain/displacement/tilt. Figure 1 shows all boreholes drilled by CS-D/FS-B. Here we focus on the CS-D boreholes (colored in the foreground of Figure 1). The FS-B boreholes are shown in gray in the background.

¹⁵⁹ **3** Monitoring network and remote gallery excavation

The depth of the Main Fault zone intersection with each borehole, as verified by 160 image logging and core, varies from 11 to 28 m below the gallery floor (Table 1). The 161 thickness of the fault zone varies between 1 and 3 meters within the MTRL and is char-162 acterized by a laterally heterogeneous mix of fault gouge, C'-type shear bands, scaly clay, 163 and meso- and micro-scale folds (Nussbaum et al., 2011). Here 'scaly clay' refers to a 164 mass of unaltered, Opalinus microlithons, separated by slickensides, and is pervasive through-165 out the Main Fault (Jaeggi et al., 2017). Fault planes within the Main Fault zone are 166 mostly oriented subparallel to the fault zone itself, but also include a set of fractures nor-167 mal to it (Zappone et al., 2020; Wenning et al., 2020). In addition, a series of ENE-striking, 168 bedding-parallel fractures, with similar strike but shallower dip than the Main Fault, are 169 intersected by the CS-D boreholes (Zappone et al., 2020). Bedding in the Opalinus is 170 oriented subparallel to the Main Fault, striking N055°, and dipping SE046°, roughly 15° 171 shallower than the Main Fault. 172

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Figure 1. A) 3D perspective of the FS-B/CS-D project showing all boreholes colored by use. Blue boreholes D1 & D2 are injection and pressure monitoring boreholes, green boreholes contain monitoring systems, and the black borehole, D7, contains the SIMFIP displacement sensor. Light gray boreholes in the background are FS-B boreholes. All boreholes are instrumented with distributed fiber optic sensors except D7 B) Cross-section along Niche CO_2 with the injection point in D1 indicated by a red cross. C) Map view of the borehole collar locations in Niche CO_2 and lower hemisphere stereonet projection of the principal stress axes estimated by Guglielmi et al. (2020). Dotted line shows the approximate orientation of the Main Fault D) Intersection points for each well with the top of the Main Fault

Borehole name	Main Fault top [m]	Main Fault bottom [m]
BCS-D1	14.34	19.63
BCS-D2	11.04	16.39
BCS-D3	17.98	20.58
BCS-D4	27.05	28.44
BCS-D5	19.74	22.66
BCS-D6	28.5	31.4
BCS-D7	22.46	25.54

Table 1. Depths of the top and bottom of the Main Fault zone in each of the CS-D boreholes

Boreholes BCS-D1 through D6 contain a single 3.2 mm-diameter loop of BRUsensTM 173 strain sensing cable that itself comprises a single optical fiber hermetically sealed and 174 strain-locked within a metal tube and an outer nylon sheath. These cables are designed 175 to measure strains of up to 1% (10000 $\mu\epsilon$). In BCS-D1 and D2 (blue boreholes, Figure 176 1), the fiber optic cable (BRUSens 3.2 mm V4 metallic) is anchored by a compression 177 ferrule at the top of each injection interval (with four and six intervals in D1 and D2, 178 respectively). However, the fiber optic cables in these boreholes were not monitored at 179 the time of the excavation detailed here and so are omitted from the data analysis. 180

In boreholes BCS-D3, D4, D5, and D6, the fiber (BRUSens 3.2 mm V9 grip) is ce-181 mented behind the PVC casing using a grout mix of 81.9 L water, 4.9 kg bentonite, and 182 50.1 kg cement (green boreholes, Figure 1). This provides a truly continuous measure-183 ment along the entire length of each borehole. In these cases, the nylon cable jacket is 184 textured to provide optimized strain coupling between the fiber and the grout so that, 185 in theory, only the grout strain is being measured, with the assumption made that the 186 grout is coupled to the host rock. Each of these boreholes also includes a single resin 'plug' 187 to mitigate against fluid traveling along the cemented annulus (Figure 2B). These plugs 188 are 0.5-2 m thick sections where the resin replaces the grout in the annulus between the 189 borehole wall and the PVC casing. Borehole diameter ranges from 101 to 146 mm, with 190 consistent PVC casing diameters of 80 mm. 191

The fiber loops in each borehole are connected into a multi-borehole circuit and interrogated by an Omnisens DITEST VISION Dual temperature and strain unit using the Brillouin Optical Time Domain Analysis technique (Horiguchi & Tateda, 1989).

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In borehole BCS-D5, a chain of 12 potentiometers is cemented behind casing alongside the fiber-optic loop in borehole BCS-D5 (Zappone et al., 2020; Rinaldi et al., 2020). Each potentiometer is connected to the adjacent units by a PVC tube and measures borehole axial displacement relative to the neighboring units with a maximum displacement of 100 mm. This chain of potentiometers provides a co-located measurement of displacement with respect to the optical fibers, allowing us to directly verify the measurements made with the DSS system.

In borehole BCS-D7, a combined three-dimensional-displacement, pressure, and fluid 202 electrical conductivity probe, the SIMFIP (Guglielmi et al., 2013), is clamped above and 203 below the Main Fault. The clamps are 6.3 meters apart allowing the SIMFIP to mea-204 sure the relative displacement across the entire Main Fault zone. The instrument uses 205 six fiber-bragg gratings attached to a bespoke aluminum cage to resolve the full 3D dis-206 placement field with micrometer precision. The SIMFIP is alone in BCS-D7, and there-207 fore is not co-located with any portion of the fiber optic loop. Understanding this lim-208 itation, here we use its three-dimensional fault displacement measurement in compar-209 ison to the DSS data. 210

4 Excavation of Gallery 18

Excavation of Gallery 18 began on 14 March 2018, and lasted for more than one 212 year. During much of this time, the excavation front was far from Niche CO₂, which, it-213 self, was completed in May 2018. The installation of the CS-D systems occurred between 214 August and December 2018. During the first half of 2019, the final stages of excavation 215 proceeded towards Niche CO_2 as indicated in Figure 1. Excavation passed along the strike 216 of the Main Fault at a constant ~ 23 m distance from the upper fault zone interface. Break-217 through occurred adjacent to the CS-D experiment on 27 May 2019 (red faces in Fig-218 ure 1). Prior to the breakthrough, movement was not detected by the SIMFIP and po-219 tentiometers at CS-D until 22 May 2019, when the excavation front was ~ 26 m from the 220 SIMFIP. Coincidentally, 22 May was also the date that the DSS system began record-221 ing. We therefore focus on the period between 22 May and 3 June 2019. 222

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²²³ 5 Methods and processing

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5.1 Distributed strain sensing

When a laser pulse is sent along an optical fiber, some amount of that light is scat-225 tered backwards by its interaction with changes in the refractive index of the fiber. There 226 are three components of this backscattered light relevant to DFOS: one is elastic (Rayleigh) 227 and two are inelastic (Raman and Brillouin). We are concerned here with the Brillouin 228 component, which arises from an incident photon's interaction with crystal lattice vi-229 brations that hold some of the optical fiber's heat. As the interaction is inelastic, the backscat-230 tered light is frequency shifted by some amount that linearly depends on the tempera-231 ture and strain in the fiber. This relationship is described by Horiguchi and Tateda (1989) 232 233 as:

$$\Delta \nu_B = \frac{\partial \nu_B}{\partial \epsilon} \Delta \epsilon + \frac{\partial \nu_B}{\partial T} \Delta T \tag{1}$$

where $\Delta \nu_B$ is the change in Brillouin frequency shift for given changes in strain, $\Delta \epsilon$, and temperature, ΔT . $\frac{\partial \nu_B}{\partial \epsilon}$ and $\frac{\partial \nu_B}{\partial T}$ are the strain and temperature change coefficients, respectively, which for this work are 500 MHz/% and 1.0 MHz/°C.

Using Equation 1, the DITEST interrogator determines the combined temperature 237 and strain contribution to the measured Brillouin frequency shift. Each measure is then 238 related to a given point along the fiber by recording the launch and arrival time of the 239 probe pulse with respect to the speed of light. Because the light pulse from the inter-240 rogator has a finite length, measurements are averaged over the corresponding length of 241 fiber. This is referred to as the spatial resolution (or often the 'gauge length'). The Bril-242 louin frequency shift for one gauge length is reported as a single measurement at a point 243 along the fiber that we call a 'channel'. The spatial sampling and spatial resolution were 244 0.26 and 0.5-to-1.0 meters, respectively, for each of the periods of our study. Notice that 245 the channel spacing is less than the spatial resolution. Therefore, the DSS measurement 246 is a sliding window with a width equal to the spatial resolution, slid along the fiber in 247 increments defined by the spatial sampling. 248

Because the Brillouin frequency shift is sensitive to both temperature and strain changes, a number of methods are employed to deconvolve their contributions. Often, an independent measurement of temperature (for example from a Raman scattering sys-

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tem) is used to remove the temperature contribution from the Brillouin measurements. Alternatively, a "strain free" cable is somehow decoupled from the system of interest and can be co-located with a coupled cable and connected in series. In our case we had access to neither, but we make the assumption that the temperature change within our testbed is negligible with respect to the changes in Brillouin shifts being measured (Madjdabadi et al., 2014, 2016; Hartog, 2017).

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5.2 Borehole mapping and measurement symmetry

As mentioned above, for any given distributed strain measurement, its distance along 259 the fiber is accurately known from the two way travel time in relation to the speed of 260 light. Translating this distance into a borehole coordinate requires a process of 'map-261 ping' whereby the distances along-fiber are matched to the known locations of the fea-262 tures we want to measure (in this case boreholes BCS-D1-D6). We decide to map dis-263 tance to location by observing a single Brillouin frequency shift measurement along the 264 entire fiber length (i.e. one time sample; Figure 2A). Because the fiber is installed as a 265 loop in each borehole, we expect there to be symmetry in the measurements about the 266 bottom of the boreholes. In other words, the downgoing and upgoing legs of the fiber 267 in a given borehole should measure roughly the same strain. 268

For the case of our experiment, the BRUsens cable is grouted into the boreholes 269 (or attached to the casing above the packers in D1 and D2) while the sections of fiber 270 between boreholes are standard patch cables lying in a cable tray along the gallery wall. 271 The difference in fiber coating and installation produce an obvious difference in the Bril-272 louin frequency measurement that allows us to map the along-fiber distances correspond-273 ing to the entry and exit points for each borehole. In Figure 2A, the entry and exit points 274 for each borehole are indicated by dotted lines, with the bottom shown as a single solid 275 line. The mapped along-fiber lengths agree with field measurements of the cable lengths 276 set in the gallery. By manually selecting the point of greatest symmetry for each bore-277 hole and accounting for their known drilled depths, we isolate the slice corresponding 278 to each borehole. 279

The process of borehole mapping should, in theory, result in two parallel sections (legs) of fiber in each borehole; one downgoing and one upgoing. Assuming that each is measuring approximately the same strain field, the measurements should be equal be-

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Figure 2. A) Absolute frequency along the length of the fiber during gallery excavation. The two panels correspond to two separate fiber optic loops, each with two boreholes. Boreholes D1 and D2 were not monitoring during the excavation. B) Distributed strains on the down and upgoing leg of each on 3 June 2019, following the breakthrough of the excavation front on 27 May 2019. The depth to the Main Fault is marked with dotted lines, resin plugs are shown in beige. C) Kernel density estimates for the difference between the up-going and down-going legs of fiber in each borehole D) Statistics describing the difference between down and up-going fibers for each borehole E) Average 3-standard-deviation noise for each borehole.

tween up and down-going fiber for a given depth. Figure 2B shows both the down and 283 up-going fiber leg in each borehole on 3 June 2019, following the breakthrough of the ex-284 cavation on 27 May. The symmetry in the measurements between fiber legs is visually 285 apparent. The depths at which the measurements are not symmetric typically coincide 286 with depths where the fiber is expected to be poorly coupled to the rock mass, for ex-287 ample at the borehole collar or at the depths where grout is replaced by the resin plugs 288 (beige bands, Figure 2B). But while the symmetry of the measurements between down 289 and upgoing legs is evident, the absolute value measured at a given depth on either leg 290 can vary significantly. This is likely a result of heterogeneous coupling of the fiber to grout 291 and the grout to the rock mass. This could be the result of changes in the distribution 292 of grout (e.g. air pockets) or to the effect of other equipment installed in the borehole. 293 For example, in BCS-D5 the chain potentiometer might affect the strain measured on 294 the fiber closest to it, but have less effect on the opposing leg. Also, for the inclined, grouted 295 boreholes, the stress state may vary along the borehole circumference. In this case, fiber 296 legs on opposing sides of the borehole could measure different responses to stress per-297 turbation, even for the same depth in the borehole. 298

Figure 2C shows the difference between down and upgoing fiber measurements for 200 all measurement times and channels, colored by borehole. The statistics for the distri-300 butions shown in Figure 2C are reported in Figure 2D. The fact that the distributions 301 are nearly zero-mean signifies that there is no systematic preference for higher or lower 302 values measured by one leg with respect to the other. The standard deviations of the 303 curves in Figure 2D, however, range from 4.83 to 28.39 $\mu\epsilon$. This means that the mea-304 sured strain at a given depth in a borehole might vary by tens of microstrains depend-305 ing on which leg is selected, significantly increasing the uncertainty in the measured strain. 306

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5.3 Measurement noise

We quantify the measurement noise following Madjdabadi et al. (2016). For each channel in a borehole, we calculate 3 standard deviations for a reference time period (with no expected strain signal). We then average this value over all channels in the sensor, resulting in a single noise value per borehole (Figure 2E). The noise levels range from 11.44 to 20.95 $\mu\epsilon$, meaning that each segment of the fiber cannot confidently resolve strains of less than these values. **5.4 Measurement artifacts**

Two final artifacts are then removed from the data. The first artifact is an ambiguity in the exact position of each channel. The ambiguity arises because the channel location is reported at the center of the light pulse (for our tests 1.0-m long). But the strain could be concentrated at any point (or points) inside the pulse. We follow Madjdabadi et al. (2016) and apply a realignment step detailed in the supplements.

The second artifact is a series of systematic shifts in the measured strain for all points in the fiber. These apparently correlate with shifts in the gain of the signal returned to the interrogator, although the two values should not be related. We undertook a process of removing these shifts for times where the gain also shifted. This process is also detailed in the supplements.

325 6 Results

On 22 May 2019, the DSS system was turned on and began to record signals within the Main Fault zone associated with the excavation of Gallery 18. From 23 May until the breakthrough on 27 May, there were three episodes of excavation, with the excavation front advancing between 1 and 3 m during each episode. Each episode induced movement on a number of discrete features, including the Main Fault. For each excavation pulse, the activated features moved at up to 1.5 nm/sec at the onset and decelerated towards a new steady state before accelerating again in response to the next pulse.

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6.1 Distribution of deformation revealed by DSS measurements

Fiber-optic strains localized on a number of discrete features within the entire shale 334 series (Figure 3A). The deformations in the shallow zone (from 0-7 m deep) are up to 335 one order of magnitude larger than those measured deeper than $\sim 7 \text{ m}$ (Figure 3B). Bore-336 holes D3 and D5 show contractions of >800 and ~600 $\mu\epsilon$, respectively. In contrast, D4 337 and D6 each show two smaller-magnitude peaks of extensional strain, each $\leq 200 \ \mu\epsilon$. The 338 differences between the shallow strains in each borehole indicate a complicated strain dis-339 tribution in and around the intersection of Gallery 18 and the nearby niches (Figure 1). 340 In addition, our data show discrete spikes in the strain, highlighting that the deforma-341 tion is not broadly distributed but is concentrated on preexisting fractures. 342

The shallow deformations lie within the "limits" of the 'excavation damage zone' 343 (EDZ; Amann et al., 2018). The EDZ around the CS-D niche (where our boreholes are 344 located) was stable by the time of the Gallery 18 breakthrough detailed in this work (Corkum 345 & Martin, 2007). We are therefore observing the response of the stable, preexisting EDZ 346 as it merges with the new, unstable EDZ surrounding the approaching gallery excava-347 tion. The strains shown in Figure 3B are the result of these complicated interactions be-348 tween the new gallery and the preexisting galleries and niches, each with different ori-349 entations with respect to the far field stress (Figure 1C) and with respect to the dom-350 inant orientation of the Opalinus bedding (striking NE). This leads to a complicated re-351 distribution of the local strains, resulting in extension in some locations (D4, D6) and 352 contraction in others (D3, D5). D3 and D5 are drilled with similar orientations from op-353 posing sides of Niche CO_2 and therefore show a similar shallow strain pattern. D4 and 354 D6 were drilled through portions of the EDZ directly below a gallery and a niche, respec-355 tively. Given a vertical σ_1 , where the roof and floor of the gallery should converge, it makes 356 sense that D4 and D6 would show extension along the fiber axis. But apart from qual-357 itative observations, a complicated modeling exercise will be required to shed more light 358 on the patterns shown in Figure 3B. 359

At depths >7 m, strain is localized on several distinct features visible in Figure 3A (indicated by colored arrows). In boreholes D3, D4, and D5, at least one feature is present in a zone that is not the Main Fault, whereas in D6 the Main Fault itself is the only notable feature. In D3, D4, and D5, off-Main Fault deformations are >100 $\mu\epsilon$, comparable to and exceeding the strain measured within the Main Fault zone. Approximately 18% of the off-Main Fault fractures identified in core logs correspond to the features indicated by arrows in Figure 3A.

In all boreholes, strain localizes on the uppermost interface of the fault zone. In D5, \sim 240 microstrain accumulates on this surface, with lesser magnitudes in the other boreholes. Strain also localizes on the lower interface of the fault zone, with relatively little strain measured within the fault zone itself.

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Compared to the other boreholes, strain in D6 (particularly on the upgoing fiber) appears more distributed over the entire fault zone. D6 is vertical and therefore oblique to the Main Fault. The fiber axis in D6 is therefore more closely aligned with the fault



Figure 3. On and off-fault strains - A) Measured strain and fracture density estimated from core. Solid red lines indicated depths of scaly clay identified during core characterization, blue indicates a fracture zone (when not also identified with scaly clay) D4 was drilled with destructive methods so we report fracture density from optical televiewer logs. Resin plugs are in beige, fault top and bottom are indicated by horizontal dotted lines. Arrows show above-fault features recorded on both the up and down-going fibers B) Strains for the upper 7 m of each borehole C) Strains within the fault zone for each borehole. Depths are normalized to the fault zone thickness in each borehole.

interface than in D3, D4, or D5, meaning that it may better capture small amounts of shear distributed within the entire fault zone.

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6.2 Comparison of DSS measurements to other instruments

In Figure 4A, we show both the chain potentiometer (red) and DSS measurements 377 (purple) in BCS-D5 on 3 June 2019 following excavation breakthrough. The fracture den-378 sity as estimated from analysis of drill core is shown as a grey histogram. The poten-379 tiometer string has a variable spatial resolution defined by the spacing of the anchor points 380 between individual elements. We plot these data as a series of steps to account for this. 381 The spacing between elements is smallest across the Main Fault interval (0.5 m). Two 382 other elements of roughly 8 m length are placed above the fault. At the depth of the Main 383 Fault interval, the chain potentiometer and fiber optic measurements both clearly show 384 that most of the movement within the fault interval is concentrated at the uppermost 385 interface, where displacements of 282 μ m and 210 μ m are measured, respectively. A smaller 386 magnitude peak is also observed at the bottom fault interface, respectively of 80 and 67 387 μ m on the potentiometer and DSS. Above the fault, the DSS retains its 1 m spatial res-388 olution, whereas the potentiometer averages displacements over two 8 meter intervals 389 (from 11–19 m and 2–11 m). Two other large deformations are measured by the DSS; 390 one just above the resin plug (16-17 m) and one at 8 m depth. The chain potentiome-391 ter, on the other hand, measures no displacement over its shallow intervals due to its lack 392 of spatial resolution. 393

Figure 4B shows a time series comparison between the DSS and the potentiome-394 ter in BCS-D5. We integrated over the three potentiometer elements at 19.75, 20.25, and 395 20.75 m depths and did the same for the DSS across this depth interval to produce the 396 displacement traces shown. The match between the two instruments is excellent, with 397 a normalized cross correlation coefficient of 0.996 that, when combined with the match 398 shown in Figure 4A, is an indication that the strain magnitudes measured by the DSS 399 system are accurate (if we accept the industry standard potentiometer as a ground-truth). 400 This shows that the DSS can accurately quantify the strain field, thereby complement-401 ing results from previous studies where DSS could be used only in a qualitative manner 402 (Krietsch et al., 2018; Valley et al., 2012). 403

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Figure 4. Fault response to gallery excavation - A) Comparison between fracture density, potentiometer extensional strain and DSS strain six days after the breakthrough of Gallery 18 at Niche CO₂. B) Time series comparison between DSS and potentiometer integrated between 19.25 and 20.75 m depth in D5. The dotted line shows the distance of the excavation front to the top of the fault at BCS-D5. The dashed line is the time of the breaktrough C) Potentiometer, DSS, and SIMFIP measurements over the fault zone. SIMFIP total shear is light green and boreholeparallel displacement is gray-blue. The dark blue curve shows the synthetic DSS measurement modeled from SIMFIP data. D) Comparison of DSS displacements integrated across the fault zone in all boreholes.

In figure 4D, we compare the temporal evolution of the DSS data integrated across 404 the Main Fault zone thickness. The Main Fault at D5, being closer to the source of stress 405 perturbation, shows a larger displacement than the other boreholes. From the perspec-406 tive of the DSS fibers, the change in the mode of fault movement is not noticeable. This 407 is because the fiber measurement is sensitive only to changes along the fiber axis. The 408 fiber system is obviously sensitive to shear in the Main Fault (see D5, in purple, during 409 the shear-mode period in the Figure 4D). But the sense of shear, or the transition to open-410 mode deformation, is impossible to discern from a single fiber optic sensor. 411

Figure 5 shows the observed deformations in 3-dimensions. Figure 5A shows re-412 sults for 25 May 2019, before the breakthrough, while Figure 5B shows observations from 413 27 May 2019, just after breakthrough. The polygons on the left show the distribution 414 of displacement on a plane parallel to the fault. For each hour, we performed a linear 415 interpolation between the integrated DSS measurements in each borehole and the vec-416 tor sum of the SIMFIP displacements (all of which are shown in Figure 4C and D). While 417 the spatial extent of the boreholes is fairly limited, there is a clear negative gradient in 418 fault zone displacement from left to right (SW to NE) and top to bottom (shallower to 419 deeper). This is consistent with the orientation of the excavation front, approximately 420 indicated by the red arrow, which is closest to the Main Fault intersection with D5 and 421 therefore induces the largest stress perturbation at that point. The black arrow is the 422 projection of the SIMFIP displacement onto the fault plane for the preceding hour. This 423 displacement represents an oblique reverse sense of shear across the fault zone, point-424 ing in the direction of greatest stress perturbation, in good agreement with the defor-425 mation gradient. Following breakthrough, little shear was observed on the Main Fault. 426

We also plot the DSS data in cross section, superimposed on the trajectories of the 427 boreholes (Figure 5; righthand column). The SIMFIP displacement vector (in the plane 428 of the cross section) is again shown as a black arrow. The Main Fault interfaces, defined 429 by their logged depths, are overlain in dotted gray. We also overlay the approximate ori-430 entation of the bedding of the Opalinus clay (measured orientation: N055°, dipping SE046°; 431 green dotted lines, Nussbaum et al., 2011). The depths of the bedding planes shown are 432 solely schematic, but we have overlain them in such a way that they might correspond 433 to peaks in strain above the depth of the Main Fault. We suggest that these features cor-434 respond to bedding-parallel fractures that were re-activated by the excavation (Amann 435 et al., 2018). The peaks in the strain curves are not present at all boreholes for some of 436

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Figure 5. A) Deformation before the excavation breakthrough (26 May) and B) after (1 June; bottom row). The left column shows displacement along the fault plane, linearly interpolated between boreholes. The right column shows a cross-section along Niche CS-D with the strain curves in Figure 3 projected onto the boreholes. Borehole D7 is shown in gray with the SIMFIP indicated by the black box. The direction of SIMFIP displacement (magnitude not to scale) is shown as a black arrow, projected onto the fault plane and the cross-section in the left and right columns, respectively. C) Overview map of Niche CO₂ with the location of the excavated gallery, Main Fault, and boreholes.

⁴³⁷ these proposed fractures, but we note that strain on the Main Fault (for which orien-

- tation and depth are well constrained) is equally varied between boreholes. These bed-
- ⁴³⁹ ding parallel fractures are pervasive in the Opalinus clay and represent a later stage of
- deformation than the Main Fault, possibly even cross cutting it (Nussbaum et al., 2011).

441 7 Discussion

442

7.1 DSS sensitivity to shear and slow slip

Although fiber optics are only able to measure changes along the axis of the fibers themselves (i.e. lengthening or shortening), they can potentially capture shear if the deformation field is not perfectly aligned with the fiber's axis. This is typically the case when shear is localized on fractures and faults that intersect the monitoring boreholes at oblique angles. The nature of DSS measurements in shear has been tested in the lab (e.g. Madjdabadi et al., 2016), but only for a fiber anchored between two points, not grouted over tens of meters.

Here, the SIMFIP instrument installed in BCS-D7 offers a unique opportunity to 450 estimate the amount of shear applied to the DSS fiber in BCS-D5. We first make the as-451 sumption that the displacement measured across the Main Fault at BCS-D7 can be used 452 as a proxy for displacement at BCS-D5, although the distance between the boreholes is 453 roughly seven meters along the fault interface and the fault interface dips more steeply 454 in D5 than in D7. We rotate the SIMFIP displacement tensor into the borehole coor-455 dinates of BCS-D5, such that one component is parallel to the borehole axis and the other 456 two are perpendicular. We then compute the total displacement perpendicular to the 457 borehole (i.e. total shear). We add the borehole-parallel and borehole-normal compo-458 nents of the rotated SIMFIP tensor (vector summation) to give the blue curve that is 459 shown in Figure 4C. To directly compare this synthetic with the actual DSS and poten-460 tiometer measurements, we integrate both across the fault interval. The DSS and po-461 tentiometer curves in Figure 4C, still in excellent agreement when integrated across the 462 fault, closely match the blue SIMFIP curve for the first two excavation pulses. This cor-463 responds to the period of shear-mode deformation of the Main Fault. This tells us that 464 the distributed DSS and potentiometer measurements are sensitive to more than sim-465 ply borehole-parallel displacements, instead measuring the correct magnitude of applied 466 shear as well. Interestingly, for the final period of excavation, when the SIMFIP mea-467

- sured mostly normal-mode opening, the potentiometer and DSS measure much larger
 displacements. We suggest two potential causes of this discrepancy:
- 1. The fault slips differently at D5 than at D7 and we cannot simply assume the SIM-470 FIP measurements accurately reflect movement even a few meters away. Indeed, 471 the surface of the Main Fault is actually quite complex. For example, the upper 472 fault interface at D5 strikes N244° and dips 81°NW (possibly overturned) while 473 in D7 it is more consistent with the overall Main Fault trend (strike $N037^{\circ}$, dip 474 $64^{\circ}SE$; Zappone et al., 2020). The opposing dips at the two boreholes may lead 475 to completely different slip mechanisms in response to the gallery excavation, per-476 haps with shear continuing at D5 where none occurs at D7. 477
- 2. The distributed nature of the potentiometer and DSS allow them to measure different phenomena than the SIMFIP, which only senses between two points. The
 onset of opening mode deformation indicates a significant change in the stress state
 acting on the fault and may be activating fractures within the fault zone that weren't
 active during the shear stage, or changing their mode of deformation.
- 483

7.2 Architecture and behavior of a clay-hosted fault zone

The DSS measurements indicate that the two interfaces of the Main Fault zone ac-484 commodate most of the fault slip related to the gallery excavation, with no single, cen-485 tral slip surface. This is particularly evident in the inclined boreholes D3, D4, and D5 486 (Figure 3c). In all cases, strain decays quickly away from the fault interfaces. Slip on the 487 upper Main Fault interface, being closer to the excavation, probably relieved some of the 488 stress that otherwise would have been transmitted to the lower interface, thereby pro-489 ducing an apparent gradient, with higher strain concentrated on the upper interface. In 490 addition, this stress shadow effect may explain the lack of strain measured below the fault, 491 even in the presence of identified fractures deeper in the boreholes. This pattern of slip 492 on the bounding interfaces, as opposed to on a central slip surface, may relate to the Main 493 Fault architecture. 494

Indeed, as schematized in Figure 6, fault zones are commonly conceptualized as a fault core, where the majority of the slip concentrates, and a surrounding damage zone that accommodates progressively less slip with distance from the core (Caine et al., 1996; Shipton & Cowie, 2003). The Main Fault of the MTRL, however, has an altogether dif-

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ferent architecture, with a thick, heterogeneous layer bounded by two weak interfaces 499 (Fig. 6). These interfaces comprise a layer of fault gouge and scaly clay (up to 1 cm thick; 500 Wenning et al., 2020) that abut undisturbed Opalinus clay host rock (Jaeggi et al., 2017; 501 Nussbaum et al., 2011; Amann et al., 2018). Between the two bounding planes, the fault 502 is a complex mixture of scaly clay fabric and secondary fractures (also filled with scaly 503 clay). Outside the bounding planes is intact rock with no damage zone transition. In ad-504 dition, previous experiments at the MTRL indicate that the fault zone has a Young's 505 modulus 2–5 times less than the host rock (Jeanne et al., 2017). Observed DSS strains 506 may result from the high compliance of the fault zone relative to the host rock. During 507 the gallery excavation, for example, stress unloading would lead to 'bulging' of the fault 508 zone and slip at the interfaces. These lines of evidence suggest that the Main Fault should 509 be treated as a thick, soft layer bounded by weak boundaries and no surrounding dam-510 age zone. 511

A number of secondary fracture sets exist within the Main Fault (Wenning et al., 512 2020) that might produce complex deviations from deviations from the observed 'two-513 peaked' pattern, for example explaining the strain measured in the fault zone at D6 (Fig-514 ure 3C). In addition, the interaction of the bedding-parallel fracture set (cross sections; 515 Figure 5) with the Main Fault is not well understood. Given that the bedding-parallel 516 fractures represent a later stage of deformation, they may cross cut the Main Fault it-517 self (Nussbaum et al., 2011) and accommodate some deformation affecting the Main Fault 518 interval. 519

520

7.3 Stress controls on fracture activation in the Opalinus clay

In many cases, the strain that accumulated on secondary (i.e. non-Main Fault) frac-521 tures exceeded that of the Main Fault interfaces. For example, the 16-m anomaly in D3 522 displays nearly twice the displacement of the top or bottom interfaces of the Main Fault. 523 In addition, the anomalies in D4 and D5 display similar deformation magnitudes to the 524 Main Fault zone. Although a number of these secondary structures were activated, the 525 vast majority of those identified in logs were not. For a given fracture, activation is con-526 trolled by its orientation in the local stress state and its intrinsic properties (e.g. cohe-527 sion, coefficient of friction; Handin, 1969; Freed, 2005), but identifying which will acti-528 vate for given stress perturbation is difficult. 529



No damage zone (shale) With damage zone

Figure 6. Schematic representations of the Main Fault (left; adapted from Jaeggi et al., 2017)) and the canonical fault zone model (right; adapted from Shipton & Cowie, 2003) showing the relationship between fault core/gouge, principal slip surfaces, and the 'fault damage' zone. Theoretical DSS measurements are shown in purple for slip on either type of fault.

Geological interpretation of the core classifies the deepest non-fault feature in BCS-530 D3 (16 m depth) as an interval of scaly clay layers. Optical televiewer (OTV) images 531 for D3 were too poor for accurate picking. The single shallow feature in D4, as identi-532 fied in OTV logs, corresponds to a single fracture striking N052°, dipping SE69°. The 533 15–16-m depth interval in BCS-D5 is classified as a distinct fault zone, four meters above 534 the Main Fault (strike N014–060°, dip 20–70°SE). The 8-meter anomaly in D5 corresponds 535 to a series of features classified as either 'bedding' or 'fracture planes' in the core (strikes 536 $N053-082^{\circ}$, dips 54-74°SE). 537

To investigate what distinguished the active fractures from the non-active ones, we separate all OTV-picked fractures into three groups: those inside the fault zone, active fractures outside the fault zone, and inactive fractures outside the fault zone. Figure 7 shows each plane identified in the BCS-D4, D5, and D6 optical televiewer logs colored by slip tendency (increasing from blue to red) when subjected to the stress field determined by Guglielmi et al. (2020) for the MTRL.

As detailed by Wenning et al. (2020), the Main Fault zone includes a variety of fracture sets of varying orientations, including fault-zone parallel fractures and WNW-dipping fractures, which are the most prone to slip of any of the identified features (red features, Figure 7A). As we mentioned above, however, slip localized on the upper and lower fault zone interfaces, which are further from failure in the in-situ stress conditions (dashed black lines and adjacent green lines, Figure 7).

The off-fault fractures predominantly strike NE, with dips ranging from $\sim 10-70^{\circ}$ 550 (Figure 7B-C). In a static stress state, the features that displayed a DSS signal are no 551 more likely to slip than those which showed no deformation, making it difficult to dis-552 cern in advance, solely from OTV logs, which features would be most likely to slip. These 553 sets of features also span the orientation of both bedding and the Main Fault zone, mean-554 ing we cannot confidently state whether one or the other is hosting the deformation that 555 is being measured. This is partially an effect of the DSS spatial resolution, which pre-556 vents us from assigning strain to single features located within the 1-m gauge length of 557 a DSS peak and may obscure subtle variations between slipping and non-slipping fea-558 tures. 559

Because the induced stress perturbation decreases with distance from the excavation, we color each feature in the lower row of Figure 7 by its distance from the break-

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through point. The features in column B, associated with strain signals outside the fault zone, are closer to the excavation front (on average, shown by their lighter color) than either the Main Fault itself, or the features displaying no strain. This suggests that, for features outside the weak fault zone, the distance to the stress perturbation has a weak control on whether they activate.

The failure criterion used in Figure 7 assumes cohesionless fractures with a coef-567 ficient of friction of 0.45, taken as a representative value from Opalinus core testing per-568 formed by Orellana et al. (2018). Nearly all of the activated structures fall well below 569 the failure criterion, suggesting that either the stress perturbation from the excavation 570 was on the order of multiple MPa, that the activated fractures are actually intrinsically 571 weaker than our simple analysis suggests, or (likely) both. Careful characterization of 572 the core indicates that most of the activated structures are associated with either 1) a 573 lens of scaly clay, consisting of shear-realigned grains (Jaeggi et al., 2017; Laurich et al., 574 2018) or 2) highly fractured zones where core was either lost or fragmented (red and blue 575 solid lines in Figure 3A, respectively). The production of scaly clay is a product of shear, 576 and produces a zone of weakness onto which further slip will tend to accumulate (Laurich 577 et al., 2018). It is therefore possible that the fractured zones also contained small amounts 578 of scaly clay that were not adequately recovered during coring and therefore were not 579 classified as such. In any case, the correlation between DSS anomoalies and the depth 580 of known lenses of scaly clay (Figure 3A) suggests the presence of scaly clay is the main 581 controlling factor on fracture weakness and therefore on which features most likely to 582 activate under remote loading. At the MTRL, scaly clay has developed on both bedding 583 parallel fractures and the Main Fault-parallel structures, despite their somewhat distinct 584 orientations. This makes both sets of features susceptible to reactivation, and candidates 585 for fluid flow within the Opalinus clay. 586

587 8 Conclusions

We presented measurements from seven boreholes intersecting a fault zone in clay rock at the Mont Terri Rock Laboratory in Switzerland. Our dataset encompasses a period of new gallery excavation that remotely triggered slip within the fault and fractures affecting the thick shale series. One chain potentiometer and one high-resolution 3D displacement sensor, installed alongside the fibers, allowed us to tune the magnitudes of the strain measurements made via DSS.



Figure 7. Fractures identified in optical televiewer logs in BCS-D4, D5, and D6 - A) Within the Main Fault zone B) outside of the Main Fault but displaying deformation on DSS C) All other fractures. The upper plots are lower hemisphere projections of poles and planes, colored by slip tendency in the local stress regime estimated by Guglielmi et al. (2020) (blue=low, red=high tendency). Dotted line shows the orientation of bedding at the MTRL, the dashed line shows the approximate orientation of the Main Fault. The lower row plots show the state of stress on each fracture relative to a Mohr Coulomb failure envelope for cohesionless fractures. Following Orellana et al. (2018), a peak coefficient of friction of μ =0.45 is used. The color of each dot corresponds to the distance from the feature to the excavation front (light=closer, dark=further).

⁵⁹⁴ During the excavation, located about 30 m away from our instrumented boreholes, ⁵⁹⁵ strains ranging from 50–240 $\mu\epsilon$ were measured mainly at the top and bottom of the fault ⁵⁹⁶ zone at each of our boreholes, well above the maximum 3σ noise level of ~20 $\mu\epsilon$. We showed ⁵⁹⁷ that the DSS measurement has a significant sensitivity to shear strain in a grouted bore-⁵⁹⁸ hole and thus can be used to estimate fault slip. The complex mechanical response of ⁵⁹⁹ the gallery excavation damage zone was also captured on the DSS. Indeed, our tuned mea-⁶⁰⁰ surements also provide insight into the reactivation behavior of a clay-hosted fault.

The DSS measurements show that slip localized on several discrete fractures iden-601 tified in core and logs. Within the Main Fault, slip concentrated on the upper and lower 602 fault zone interfaces, with relatively little deformation occurring inside the fault zone. 603 Core samples revealed zones of fault gouge on these interfaces, indicating past episodes 604 of slip and present-day mechanical weakness. The DSS measurements support a fault 605 model consisting of a single, thick fault zone with no surrounding damage zone. Slip oc-606 curs at both interfaces between the fault zone and the undisturbed host rock, possibly 607 due to bulk deformation of the relatively compliant fault zone geology. This is in con-608 trast to the canonical fault model for harder rocks where most slip occurs on a central 609 fault core surrounded by a damage zone. 610

Away from the fault, deformation concentrated at depths associated with lenses of scaly clay or highly-fractured intervals (as indicated in core samples), likely on beddingparallel fractures. Most fractures identified in OTV logs were not reactivated, despite nearly all having a similar orientation with respect to stress. Therefore, we conclude that fracture reactivation during the excavation was controlled by the intrinsic properties of the fractures, likely the presence or absence of scaly clay and fault gouge resulting in a low-cohesion, low-friction surface.

Previous grouted DSS measurements have only proven to be of qualitative use. In contrast to these previous studies, we show how a grouted network of fiber optic cables can complement other monitoring systems to quantify the subsurface strain field. While additional case studies like ours are necessary to expand the existing understanding of these fiber optic measurements, they should prove useful in monitoring the impacts of slow slip on fault-hosted leakage and induced seismicity in shales.

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642	(Allmendinger et al., 2011).

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Access to the datasets presented in this paper will be made available via a pub-

644 lic server at ETH Zurich prior to acceptance of this manuscript.

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¹ Supplements

2

13

Realignment

The next processing step arises in response to potential misalignment of the chan-3 nels along the fiber. For the majority of our dataset, the spatial sampling is 0.255 me-4 ters. This leads to an ambiguity in exactly where the strain is being sensed, which could 5 be ± 0.255 m from the measurement point. We adopt the approach of Madjdabadi et al. 6 (2016) who address this issue through a process of 'realignment'. The measurement $\Delta \nu_i =$ 7 $\nu_{i,j} - \nu_{i,1}$ at channel *i* and time *j* is compared to the two adjacent possible changes in 8 absolute Brillouin frequency shift: $\Delta \nu_{i-1} = \nu_{i-1,j} - \nu_{i,1}$ and $\Delta \nu_{i+1} = \nu_{i+1,j} - \nu_{i,1}$. 9 The lowest of these three values is accepted as the final, realigned measurement $\Delta \nu_i$. By 10 applying this algorithm to each time sample, the affect of their observed misalignments 11 was ameliorated. 12

Gain shifts

Finally, we cleaned a number of artifacts in the dataset resulting from bulk shifts 14 in the intensity of the backscattered light (i.e. gain; Figure S1). These bulk shifts in gain 15 often correlate with bulk shifts in the absolute frequency measured at the interrogator 16 box. In theory, these two values should not be related, but we suspect that there may 17 be a relation between the bulk shifts and the fitting of the Brillouin gain spectrum for 18 each measurement point. Estimation of the peak Brillouin gain, and therefore the Bril-19 louin frequency shift on which the strain measurement relies, is done via a parabolic fit-20 ting of the gain spectrum. If the gain is low, and the shape of the spectrum relatively 21 flat and broad, the fitting procedure may be less precise, resulting in the apparent fre-22 quency shifts seen in Figure S1, where no real change in strain has occurred. The cause 23 of these shifts is difficult to assess, but they likely happen when portions of the cables 24 that lie in cable trays along the gallery walls are jostled. In particular, we suspect that 25 connectors (not splices) between different cables, when disturbed, can influence the in-26 tensity of light returning to the interrogator box, thus producing changes in gain. 27

To address this, we apply a simple algorithm wherein we identify the bulk gain shifts as any measurement point where the gain changed by 0.014% or greater relative to the previous measurement. At each of these points, we then remove the corresponding strain change from all of the subsequent measurements at that channel.

-1-

Period	$\operatorname{Spatial}$	Spatial sampling	Temporal sampling	Averag-	Frequency start	Frequency stop	Frequency step
	resolution [m]	[m]	[min]	ing	[GHz]	[GHz]	[MHz]
Gallery	1.0	0.26	60	3000	10.5	11.1	0.5
excavation							

ailed below. Note that that spatial resolution was changed	
ITEST configuration parameters during the various phases of measurement det	st due to high noise levels.
Table 1.	pulse-step

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Figure 1. Example channel from BCS-D5 showing A) Gain in red and relative strain in blue prior to the correction for bulk shifts. Light blue shows the corrected data with no shifts at the time of bulk jumps in the gain. B) Shows the differentials of the relative strain in green and gain in black. When gain change exceeded the dotted line (0.014%), we subtracted the change in strain (green) from all subsequent strain measurements (blue).

32 Core evidence for DSS anomalies

Figure 2 shows core scanner images from the three off-fault intervals in BCS-D3 and D5 that displayed DSS anomalies. The exact intervals are indicated in red boxes.

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38	perimental evaluation of a distributed Brillouin sensing system for measuring	g
39	extensional and shear deformation in rock. Measurement, 77, 54–66.	Re-
40	trieved from https://doi.org/10.1016%2Fj.measurement.2015.08.040	doi:
41	10.1016/j.measurement.2015.08.040	

BCS-D3: 14-17 m







BCS-D5: 13-16 m



Figure 2. Core scans of the off-fault intervals that displayed measurable strains on the DSS. No core was taken from BCS-D4 and no off-fault anomalies were observed in BCS-D6.