First results from the retrieved column O/N2 ratio from the Ionospheric Connection Explorer (ICON): Longitudinal structures induced by nonmigrating tides

Scott L England[1], Robert R. Meier[2], Harald U. Frey[3], Stephen B Mende[3], Andrew W. Stephan[4], Christopher Scott Krier[1], Chihoko Y. Cullens[3], Yen-Jung Joanne Wu[3], Colin C Triplett[5], Martin M Sirk[5], Eric Korpela[3], Brian J Harding[6], Christoph R Englert[7], and Thomas J. Immel[3]

[1]Virginia Polytechnic Institute and State University
[2]George Mason University
[3]University of California, Berkeley
[4]U.S. Naval Research Laboratory
[5]University of California Berkeley
[6]UC Berkeley
[7]Naval Research Laboratory

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Abstract

In near-Earth space, variations in thermospheric composition have important implications for thermosphere-ionosphere coupling. The ratio of O to N_2 is often measured using far-UV airglow observations. Taking such airglow observations from space, looking below the Earth's limb allows for the total column of O and N_2 in the ionosphere to be determined. While these observations have enabled many previous studies, determining the impact of non-migrating tides on thermospheric composition has proved difficult, owing to a small contamination of the signal by recombination of ionospheric O^+. New ICON observations of far UV are presented here, and their general characteristics are shown. Using these, along with other observations and a global circulation model we show that under certain circumstances the impact of non-migrating tides on thermospheric composition can be observed. By comparing the amplitude of the variation seen with that in the model, both the utility of these observations and a pathway to enable future studies is shown.

First results from the retrieved column O/N2 ratio from the Ionospheric Connection Explorer (ICON): Longitudinal structures induced by nonmigrating tides

By Scott L England

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8

9 [1] Aerospace and Ocean Engineering, Virginia Polytechnic Institute and State University,

10 Blacksburg, United States

11 [2] Department of Physics and Astronomy, George Mason University, Fairfax, United States

12 [3] Space Sciences Laboratory, University of California Berkeley, Berkeley, United States

13 [4] U.S. Naval Research Laboratory, Washington DC, United States

14

15 Corresponding author: Scott L. England (englands@vt.edu), Orcid: 0000-0001-5336-0040

16

17 **Key points**

18   1. An initial overview of the ICON FUV sub-limb observations and derived column O/N_2

19   ratios is presented

20   2. In the morning, away from the equatorial ionosphere, the impact of non-migrating tides

21   on O/N_2 is shown clearly for the first time

22   3. Comparison of the tidal signature with the TIEGCM model highlights basic agreement,

23   with possible discrepancy in tidal vertical wavelength

24

25 **Abstract**

26 In near-Earth space, variations in thermospheric composition have important implications for

27 thermosphere-ionosphere coupling. The ratio of O to N_2 is often measured using far-UV airglow

28 observations. Taking such airglow observations from space, looking below the Earth's limb

29 allows for the total column of O and N_2 in the ionosphere to be determined. While these

30 observations have enabled many previous studies, determining the impact of non-migrating tides

31 on thermospheric composition has proved difficult, owing to a small contamination of the signal

32 by recombination of ionospheric O^+. New ICON observations of far UV are presented here, and

33 their general characteristics are shown. Using these, along with other observations and a global

34 circulation model we show that under certain circumstances the impact of non-migrating tides on

35 thermospheric composition can be observed. By comparing the amplitude of the variation seen

36 with that in the model, both the utility of these observations and a pathway to enable future

37 studies is shown.

38

39 **Plain Language Summary**

40 At high altitude in the atmosphere, mixing of the gas via turbulence becomes less important, and

41 mix of atmospheric species begins to vary with altitude, depending on the mass of the atom or

42 molecule. At these altitudes, the composition of the atmosphere can vary greatly with location

43 and time in a manner not seen in the lower levels of the atmosphere. This same high-altitude

44 region overlaps with the charged particle environment known as the Earth's ionosphere. How the

atmosphere and ionosphere interact is in-part determined by the composition of the atmosphere.

Measuring this composition is therefore important and is done regularly using observations in the

far ultraviolet. These reveal much of the compositional variation, but a small contaminating

signal from the ionosphere has made detecting some small changes produced by waves in the

atmosphere a challenge. Here, new observations in the far UV are introduced and their general

properties shown. By selecting a specific location and time and utilizing supporting data and a

global model we are able to show the change in the composition produced by a certain class of

wave in the atmosphere. This demonstrates the utility of these new observations and provides a

pathway to futures studies.

1. Introduction

The ratio of atomic oxygen to molecular nitrogen concentrations in the thermosphere has

important consequences for chemical processes in both the atmosphere and the ionosphere. O

and N_2 are the dominant species in the middle and upper thermosphere (e.g., Hedin *et al*., 1977).

The density of O affects the production rate of O^+ in the F-region ionosphere (primarily via

charge exchange with N_2^+, with a contribution from direct ionization of O; e.g. Torr and Torr,

1985). The lifetime of O^+ at F-region altitudes is governed by dissociative recombination with N_2

(e.g., Schunk, 1983). Thus, measuring the ratio of O to N_2 provides essential knowledge of the

ionospheric state. An effective technique for determination of the thermospheric O/N_2 ratio

exists in simultaneous observation of photoelectron generated far ultraviolet (FUV) airglow from

O (the 135.6 nm doublet) and N_2 (the Lyman-Birge-Hopfield, LBH band). Strickland *et al*.,

[1995] demonstrated that earth nadir and sub-limb observations of these airglow emissions can

be used to determine the thermospheric column O/N_2 ratio ($\Sigma O/N_2$). Using such sub-limb

observations of the emission rate ratio yields a ratio of O/N_2 column density of these two species from below the observer down to an altitude corresponding to an N_2 column density of 10^{17} cm^{-2}, which is typically an altitude corresponding to $130 - 140$ km altitude range in thermospheric models. Such sub-limb FUV observations have been used to provide observations of $\Sigma O/N_2$ from a wide variety of satellite datasets including Polar Bear (Evans *et al.*, 1995), Dynamics Explorer 1 (Nicholas *et al.*, 1997; Strickland *et al.*, 1999), the Thermosphere, Ionosphere, Mesosphere Energetics and Dynamics Global Ultraviolet Imager (TIMED-GUVI; e.g., Zhang *et al.*, 2004) and Global-scale Observations of the Limb and Disk (GOLD; e.g., Oberheide *et al.*, 2020).

One of the many applications of the $\Sigma O/N_2$ measurement is to investigate composition changes associated with atmospheric tides. Non-migrating tides produce clear zonal variations in the thermospheric winds and temperatures at fixed local times, and are a source of significant longitudinal variation in the low-latitude ionosphere (e.g., Sagawa *et al.*, 2005, Immel *et al.*, 2006, Goncharenko *et al.*, 2010). While it is generally considered that modulation of the E-region dynamo by tidal winds is the primary mechanism through which non-migrating tides impact the longitudinal structure of the ionosphere, perturbations to photochemical equilibrium arising from tidal modulation of the $\Sigma O/N_2$ have also been proposed (e.g., England *et al.*, 2010, Zhang *et al.*, 2010).

Atmospheric tides can perturb the ratio of O and N_2 at altitudes above the homopause as a result of the unequal impact of the vertical tidal winds on species with different scale heights. This can be illustrated using the continuity equation for linear, plane-wave perturbations in the absence of rapid diffusion:

91
$$\frac{\tilde{\rho_i}}{\bar{\rho_i}} \propto \frac{1}{\omega}\left(\frac{\tilde{w}}{H_i} - \nabla \cdot \tilde{V}\right), \tag{1}$$

92   where $\tilde{\rho_i}/\bar{\rho_i}$ is the density perturbation relative to the mean value for a given species, ω is the

93   wave frequency, $\tilde{w}$ is the vertical velocity perturbation, H_i is the species-dependent scale height

94   and $\tilde{V}$ is the velocity perturbation (e.g., Dudis and Reber, 1976; Cui $et\ al.$, 2014). From Equation

95   1, it is apparent that the horizontal winds associated with an atmospheric tide modify the density

96   of all species equally, whereas the impact of the vertical wind is inversely proportional to the

97   species scale height. At Mars, where atmospheric tides are particularly large in amplitude, mass

98   spectrometer data have demonstrated a clear inverse scale-height relationship to the tidal

99   perturbations seen in numerous atmospheric species (England $et\ al.$, 2016). At Earth, tidal-

100   induced variations in the density of minor species such as NO have been observed (e.g.,

101   Oberheide and Forbes, 2008). The identification of non-migrating tides in FUV sub-limb

102   observations of $\Sigma O/N_2$ has been attempted, where Zhang $et\ al.$, [2010] reported a moderate

103   variation in the $\Sigma O/N_2$ ratio with longitude that appeared to match the patterns expected from the

104   diurnal eastward wavenumber 2 (DE2) and 3 tides (DE3). However, further analysis by Kil and

105   Paxton, [2011] suggested that the origin of this signature was not variation in $\Sigma O/N_2$, but rather a

106   tidal variation in O^+ at high altitude (well above the photoelectron produced O airglow) that was

107   misinterpreted as a variation in O/N_2. This result was further confirmed by Stephan $et\ al.$, [2019]

108   using data in both the FUV and Extreme UV from the LITES instrument on the International

109   Space Station. Such a misinterpretation is possible as O^+ radiative recombination can yield the

110   same 135.6 nm doublet as photoelectron excitation of O, so while the latter is dominant during

111   the daytime, presence of the former can lead to a small degree of error in the determination of

112   daytime $\Sigma O/N_2$. Kil $et\ al.$, [2013] further quantified the impact of O^+ radiative recombination on

113   $\Sigma O/N_2$ determined from TIMED-GUVI observations and showed this was of $5 - 10$ % for data

around 15:00 local time (LT) during August – September 2002, at low geomagnetic activity. This is clearly important in the case of identifying the impact of non-migrating tides on $\Sigma O/N_2$, as these produce only a small variation in the composition (~5 % of the zonal mean).

This study has three purposes. The first is to present new $\Sigma O/N_2$ observations from ICON FUV. The general characteristics of these, their variation with solar zenith angle, latitude and season is presented in Section 3. The second is to use these data, along with other observation from ICON and the TIEGCM model to demonstrate that in limited circumstances the impact of non-migrating tides on $\Sigma O/N_2$ can be found using sub-limb FUV observations (Section 4). The third is to determine both the magnitude of the O/N_2 variations produced by non-migrating tides and to identify times when the retrieved $\Sigma O/N_2$ may be impacted by O^+ radiative recombination to a degree that renders this technique unreliable to identify any tidal signatures in $\Sigma O/N_2$ (Section 4).

2. Data

This section describes the ICON observational data used in this study, including $\Sigma O/N_2$, O^+ profiles and NmF2, horizontal winds, neutral temperatures, and 557.7 nm airglow volume emission rate. A description of the TIEGCM model, which uses tides derived from the ICON observations as part of its lower boundary condition is also given.

2.1 Far UV Brightness and $\Sigma O/N_2$

The ICON Far Ultra-Violet Imager (ICON-FUV; Mende *et al.*, 2017) is a spectrographic imager that observes airglow emissions from the Earth's limb and sub-limb simultaneously. Observations are made in two spectral passbands: Short wave (SW) centered on the 135.6 nm OI

137 doublet, and long wave (LW) capturing a portion of the Lyman-Birge-Hopfield (LBH) N_2 bands

138 centered on 157 nm. The thermospheric $\Sigma O/N_2$ is found by using the brightness observed in

139 these two passbands for the data taken during the daytime below the limb of the Earth, such that

140 the entire vertical column of O and N_2 is captured (Meier, 2021; Stephan *et al.*, 2018). For

141 ICON-FUV, the observed sub-limb airglow comes from approximately 7.5° great circle away

142 from the spacecraft, and represents a slant integral, rather than purely vertical. Thus, these data

143 represent an average over some small horizontal range. Here we use version 04 of the derived

144 $\Sigma O/N_2$ product (open access described in the acknowledgements section). Section 3 presents an

145 analysis of 6 months of these observations, describing their general properties. Section 4 uses

146 these data to investigate atmospheric tides and highlight their utility and current limitations.

147

148 **2.2 Extreme UV observations and O^+ altitude profiles**

149 The ICON Extreme Ultraviolet Spectrograph (ICON-EUV; Sirk *et al.*, 2017) is an imaging

150 spectrometer that observes airglow emissions from the Earth's limb in a spectral range from 54 –

151 88 nm. The altitude profile of O^+ is estimated using daytime observations of the 61.7 nm O^+

152 triplet and the 83.4 nm O^+ triplet (Stephan *et al.*, 2017). Here we use version 03 of the derived O^+

153 density profiles and corresponding ionospheric NmF2 values. These data are used in Section 4 to

154 identify the signature of atmospheric tides in the ionospheric O^+.

155

156 **2.3 Horizontal vector winds and 557.7 nm airglow**

157 The ICON Michelson Interferometer for Global High-Resolution Thermospheric Imaging

158 (ICON-MIGHTI; Englert *et al.*, 2017) is a Doppler Asymmetric Spatial Heterodyne (DASH)

159 spectrometer that observe airglow emissions from the Earth's limb in the visible and near

160 infrared range. Observations of the visible emission from atomic oxygen at 630.0 nm and 557.7

161 nm are used to determine line-of-sight Doppler shifts. By employing two orthogonal line of sight

162 measurements of the limb, the horizontal wind velocity is retrieved at altitudes between 90 and

163 300 km (Harding *et al*., 2017). Here we use version 04 of the derived horizontal vector winds

164 and relative volume emission rate of the 557.7 nm emission. These data are used in Section 4 to

165 both identify the atmospheric tides present in the lower and middle thermosphere and provide a

166 lower boundary condition to the TIEGCM model.

167

168 **2.4 O_2 Atmospheric band temperatures**

169 ICON-MIGHTI observations of the near infrared O_2 Atmospheric band around 762 nm are used

170 to determine the lower thermospheric temperature (Stevens *et al*., 2018). Here we use version 04

171 of the derived atmospheric temperatures. These data are used in Section 4 to both identify the

172 atmospheric tides present in the lower thermosphere and provide a lower boundary condition to

173 the TIEGCM model.

174

175 **2.5 TIEGCM simulated ΣO/N2**

176 For comparison with and interpretation of ICON measurements in Section 4, ΣO/N2 are also

177 computed from the Thermosphere-Ionosphere-Electrodynamics General Circulation Model for

178 the Ionospheric Connection Explorer (TIEGCM-ICON; Maute, 2017). This version of the

179 TIEGCM V2.0 model (see http://www.hao.ucar.edu/modeling/tgcm/tiegcm2.0) uses atmospheric

180 tides derived from the ICON-MIGHTI wind and temperature data to perturb its lower boundary

181 (at ~97 km altitude) for simulation of the thermospheric and ionospheric conditions that best

182 correspond to those present during the ICON mission. The tidal lower boundary uses the Hough

Mode Extension (HME) technique and the ICON data to provide a global specification of the tidal spectrum (Forbes *et al*., 2017, Cullens *et al*., 2020). Here we use version 01 of the HME lower boundary forcing from ICON. The neutral wind and $\Sigma O/N_2$ computed with TIEGCM are available at doi:10.7294/14495640.

3. An examination of the retrieved $\Sigma O/N2$

This section will present the observed properties of the FUV brightness and retrieved $\Sigma O/N_2$ ratios. Using the first 6 months of 2020 as an example, the key properties will be highlighted, along with some seasonal trends.

3.1 Observed FUV brightness

Insight into the ICON FUV dataset can be gained by examining the brightness observed in the SW and LW channels over a range of conditions. Figure 1 shows the observed brightness in both channels for one day of observations (March 15th, 2020 is shown here as an example from approximately the middle of the 6-month dataset that will be examined here). The location of the observations for this day are shown in terms of latitude, LT and solar zenith angle (SZA) of the intersection of the instrument's line of sight with a surface at 150 km altitude above the geoid (corresponding to the location of the $\Sigma O/N_2$ measurement). For this day, the daytime data are all located within the northern hemisphere, but this varies with orbital precession. Each of the observations shown represents a measurement from a 12 second exposure. As expected, both the SW and LW channels show a strong variation in brightness with SZA as this is the primary factor in determining the total rate of photoelectron production, which is the dominant excitation process for the emission seen at these wavelengths. This correlation is clear in Figure 1, as is the

206   related local time influence on the brightness observed. Very little scatter beyond these

207   geometric trends is seen. The relative brightness between the two channels is reflective of the

208   passbands and the relative brightness of the oxygen and nitrogen-related dayglow (see Mende *et*

209   *al.*, 2017).
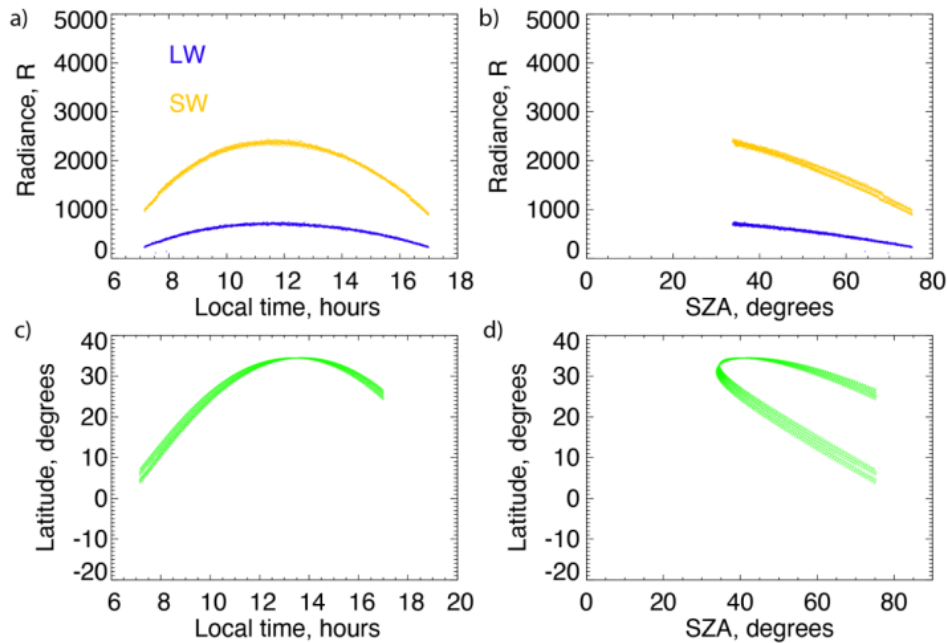
210

211   *Figure 1 Observed sub-limb FUV SW and LW airglow brightness for March 15, 2020. Panel a*

212   *shows the brightness of the two airglow features as a function of local time. Panel b shows the*

213   *brightness of the two airglow features as a function of solar zenith angle. In Panels a and b, the*

214   *yellow points correspond to the SW airglow passband and the blue points correspond to the LW*

215   *airglow passband. Panel c shows the location of the observed points, as a function of latitude*

216   *and local time. Panel d shows the location of the observed points, as a function of latitude and*

217   *solar zenith angle.*

218

219 Figure 2 shows the observed brightness over a 45-day period, which allows ICON to sample a

220 full range of latitudes and local times due to orbital precession. Here the first 45 days of 2020 are

221 shown, corresponding to nearby solstice conditions with an associated strong latitudinal

222 asymmetry in SZA (not shown). SZA dependence of the brightness of both the SW and LW

223 channel data is evident, with the brightest emissions seen near the subsolar point (close to noon

224 in the southern hemisphere) and the dimmest emissions seen near the terminators.

225

226 Taking the ratio of the SW to LW brightness (Figure 2c) eliminates some of the strong SZA

227 dependence and allows for a first-order view of the impacts of varying $\Sigma O/N_2$ on the emissions.

228 Plotting this ratio for all the points in the 45-day period reveals two overlapping patterns. First is

229 the strong gradient in latitude, with the highest SW/LW ratios tending to be seen at higher

230 northern latitudes. The second is a change with season as the later days within this range show

231 generally higher SW/LW ratios at all latitudes (seen in the orange colors appearing diagonally

232 from the northern higher latitudes at early LT to southern latitudes at later LT). Both of these

233 patterns can be understood in terms of the expected seasonal variation in $\Sigma O/N_2$, with lower

234 $\Sigma O/N_2$ expected in the summer hemisphere near solstice and generally higher $\Sigma O/N_2$ expected

235 across this region nearer equinox (see Jones Jr *et al.*, 2018 and references therein). The variation

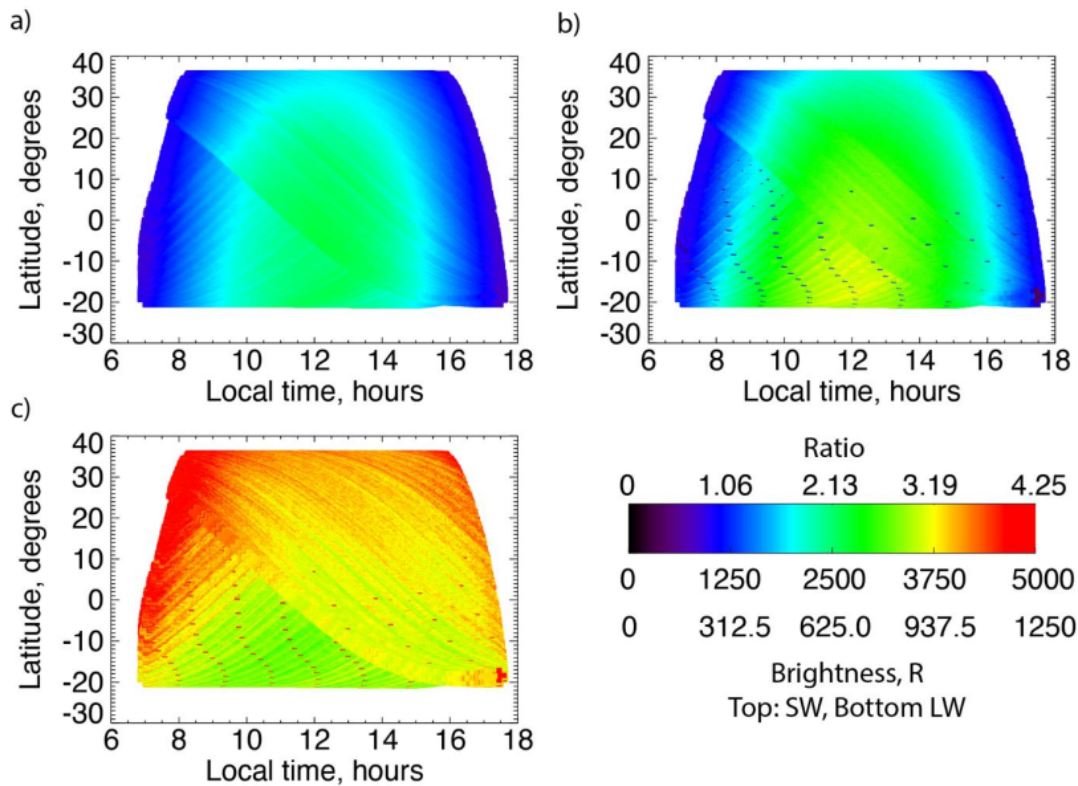236 in the $\Sigma O/N_2$, derived using these data, is examined in the following section.

Figure 2 Brightness of the SW and LW airglow and their ratio for days 1 – 45 of 2020. Panel a shows the brightness of the SW airglow as a function of latitude and local time. Panel b shows the brightness of the LW airglow as a function of latitude and local time. Panel c shows the ratio of the brightness of the SW to the brightness of the LW airglow as a function of latitude and local time.
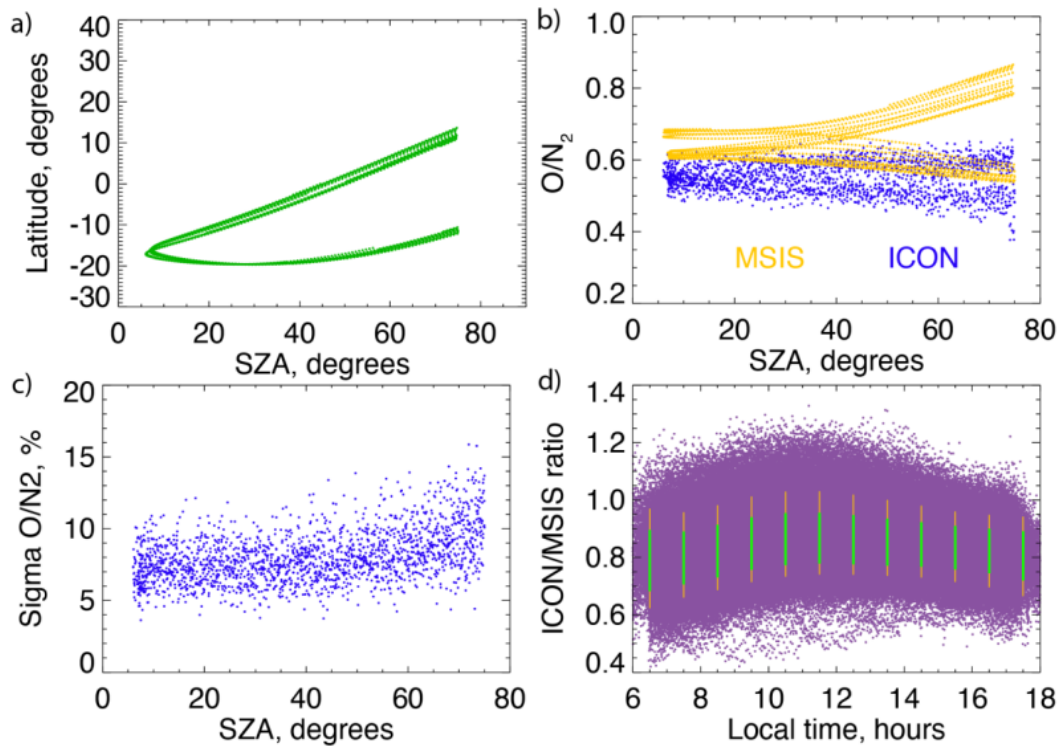
3.2 Observed $\Sigma O/N_2$

Figure 3 shows the retrieved $\Sigma O/N_2$ for January 2nd, 2020. For this example, the observations cover two portions of the latitude – SZA parameter space such that one covers all dayside SZA at a near constant latitude in the southern hemisphere and the other spans latitude with increasing SZA. This yields two examples of the variations expected in $\Sigma O/N_2$, if these were the dominant

249   controlling factors. This is revealed by a comparison to NRLMSISE-00 (Picone *et al.*, 2002;

250   MSIS hereafter). While a newer version of the MSIS model exists (Emmert *et al.*, 2020), the

251   earlier version is used here to allow for a comparison to published results from TIMED-GUVI,

252   described below. The predicted $\Sigma O/N_2$ from MSIS show two clear patterns with SZA,

253   corresponding to the two regions of observation. The ICON values reveal some evidence of this

254   bifurcated pattern but with a much smaller difference between the two regions and also clear

255   geophysical variability about this trend. Further, the $\Sigma O/N_2$ values retrieved from ICON

256   observations are lower than MSIS for almost all cases. These two observations are worth

257   considering in more detail. First, the variation in the retrieved values is compared to the

258   uncertainty determined for each value, shown in panel c of Figure 3. The uncertainties clearly

259   increase slightly with increasing SZA. This may be expected as the photoelectron production

260   peak rises in altitude with increasing SZA and thus the $\Sigma O/N_2$ is less sensitive to N_2 at lower

261   altitudes, thereby increasing the uncertainty in the $\Sigma O/N_2$. At lower SZA, the uncertainties are

262   well within ~5 – 10% of the retrieved value, and smaller than the spread seen in Figure 3b,

263   suggesting that significant geophysical variability is present on this day. Second, the systematic

264   difference with MSIS is examined using a larger data sample, to avoid any issues with conditions

265   on a single day. Using 180 days, the ratio of the retrieved $\Sigma O/N_2$ from ICON is compared to that

266   predicted with MSIS, and shown vs LT in Figure 3d. While significant spread in these values is

267   seen, the mean at each LT is relatively constant and below 1 for each one-hour LT bin. Further, it

268   is worth noting that a large portion of the spread that is visible comes from the points below the

269   5th and above the 95th percentile (see orange lines in Figure 3d). Taking an average over all LT,

270   the mean value of the ICON/MSIS ratio is 0.84, with a standard deviation of 0.089

271   (approximately 10%). Meier *et al.* [2015] reported a similar offset when comparing TIMED-

272 GUVI ΣO/N$_2$ values to MSIS. Considering only the values from 2007 (near solar minimum),

273 Meier *et al*. [2015] reported a ratio of 0.89 (see their Figure 20). Given that the ICON and GUVI

274 datasets do not span the same time or latitude range, the overall agreement in these values is

275 within the uncertainties of the two datasets and suggests that the ICON ΣO/N$_2$ values (which

276 come from sub-limb observations) are in family with those reported by Meier *et al*., [2015] using

277 the GUVI limb observations.

278

279

280 *Figure 3 Retrieved ΣO/N$_2$ compared to the MSIS model. Panel a shows the location of the*

281 *observations on January 2, 2020 as a function of latitude and solar zenith angle. Panel b shows*

282 *the retrieved ΣO/N$_2$ from ICON and the MSIS model for each point observed during January 2,*

283 *2020, as a function of solar zenith angle. Panel c shows the one standard deviation uncertainty*

284 *in each of the retrieved ΣO/N$_2$ from ICON for each point observed during January 2, 2020, as a*

function of solar zenith angle. Panel d shows the ratio between the retrieved $\Sigma O/N_2$ from ICON and the MSIS model for each observation made during the first 6 months of 2020, as a function of local time. The purple dots represent the value for each observation. The green lines show the mean and standard deviation of these points at each 1-hour interval, and the orange line show the range from the 5th to the 95th percentile points at each 1-hour interval. The average ratio between ICON and MSIS is 0.84 and the average of the standard deviations is 0.089.

Figure 4 shows the effect of seasonal changes on the $\Sigma O/N_2$ retrieved from ICON and predicted from MSIS. Here only values near to local noon (11 – 13 hours LT) are shown as this both eliminates any LT variation that may confuse the seasonal changes and limits the data to the region with the lowest measurement uncertainty. Each panel represents the data from a 45-day period, in which panels a and b correspond to days 1 – 45 (post northern winter solstice; matching Figure 2), panels c and d correspond to days 46 – 90 (approaching equinox) and panels e and f correspond to days 136 – 180 (approaching northern summer solstice). For days 1 – 45, a strong gradient with latitude is seen, as expected from Figure 2 and consistent with the seasonal variations reported in O/N_2 (e.g., Jones Jr *et al.*, 2018). Following this semi-annual and annual pattern, the values close to solstice are generally higher at all latitudes and the latitudinal gradient is essentially reversed by days 136 – 180. All seasonal changes are seen in the MSIS values, indicating that the seasonal trends in ICON retrieved $\Sigma O/N_2$ values vary are in agreement with previous observations.
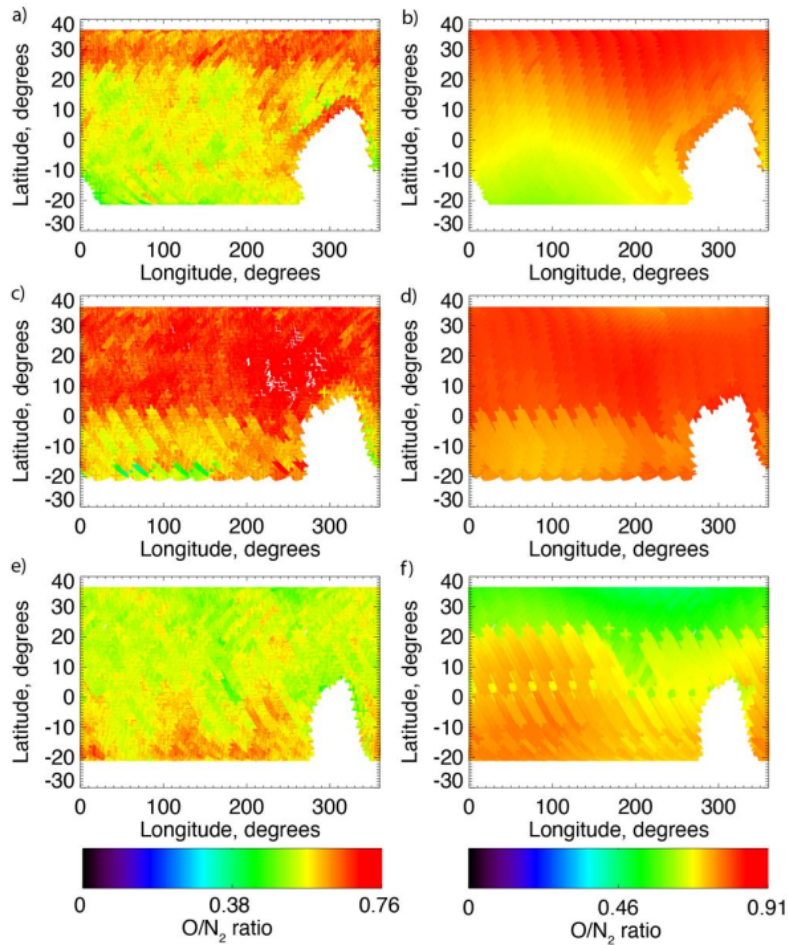
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Figure 4 geographic variation in the $\Sigma O/N_2$ from ICON and MSIS. Panels a, c and e show the

ICON values and panels b, d, and f show the MSIS values. Panels a and b are for days 1 – 45,

2020. Panels c and d are for days 46 – 90, 2020. Panels e and f are for days 136 – 180, 2020. All

values are from 11 – 13 hours local time. Note the different color scales used for the ICON and

MSIS values, such that the variations with latitude and season are visible. Note that data are

available in the southern hemisphere near 300 degrees longitude as these remote observation

locations correspond to when the ICON spacecraft is within the South Atlantic Anomaly.

313

4. The signature of nonmigrating atmospheric tides in the $\Sigma O/N_2$

Using the $\Sigma O/N_2$ values retrieved from ICON, we can examine the signature of non-migrating tides on the composition of the middle thermosphere. The first step is to select a time period when non-migrating tides are seen clearly, such that their signature can be identified. This is accomplished with a tidal analysis of the MIGHTI horizontal wind and temperature data (e.g., Cullens *et al.*, 2020). Figure 5 shows the amplitude of the diurnal eastward wavenumber 3 (DE3) and semi-diurnal eastward wavenumber 2 (SE2) tides as functions of latitude and day of year for the first 100 days of 2020 at 97 km altitude (where temperature and horizontal wind data are available through at all local times). The DE3 is known to have a peak in amplitude around February – March during most years (e.g., Oberheide and Forbes, 2008). Indeed, a peak is seen in Figure 5e around days 30 – 90 in the meridional winds near the equator and in Figure 5c, around days 60 – 100 in the zonal winds north of the equator. SE2 is the second strongest non-migrating tide during this time period that also produces a wavenumber-4 signature in a fixed LT frame (the diurnal westward 5, semi-diurnal westward 6, terdiurnal eastward 1 tides can also produce wavenumber-4 signatures but were found to be of negligible amplitude during this time period). This is seen to be weaker than DE3 throughout this time period, but is still present with some measurable amplitude, especially in the meridional winds around days 60 – 100.

331
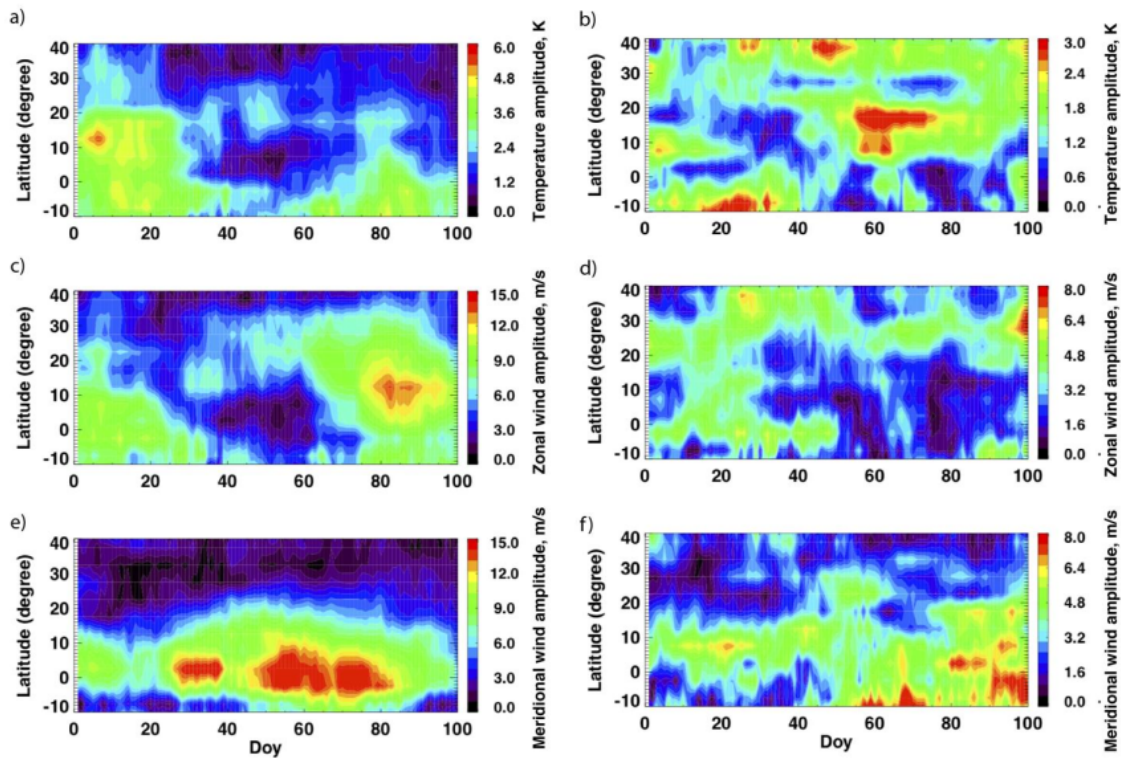
Figure 5 Amplitude of the DE3 and SE2 non-migrating tides observed by MIGHTI at 97 km

altitude during the first 100 days of 2020. Panels a, c and e correspond to the DE3 tide and

panels b, d and f correspond to the SE2 tide. Panels a and b show the temperature amplitude,

panels c and d show the zonal wind amplitude and panels e and f correspond to the meridional

wind amplitude. All values are shown at 97 km altitude, corresponding to the lower boundary of

the TIEGCM model. Note the different scales used in each panel.

Figure 5 shows the tides determined at the base of the thermosphere, where the temperature and

wind fields are available at all LT (which are required to provide the lower boundary forcing for

the TIEGCM model). With the knowledge that the dominant non-migrating tides are associated

with eastward moving wavenumber-4 signatures in a fixed LT frame, we can examine

corresponding wavenumber-4 signatures in the winds near the photoelectron production peak. In the case of ICON-MIGHTI observations, daytime observations are consistently available near 150 km using the 557.7 nm observations. Using daytime only observations, it is not possible to identify the full tidal spectrum, but the variation in phase of any tidal signature with LT can help to identify which tides may be present at this altitude. Figure 6 shows the wavenumber-4 component of the averaged meridional and zonal winds between 150 km and 160 km altitude, averaged over days 75 – 100 (when DE3 is seen to be strongest). This figure uses data from 25 – 35° north, to correspond to the $\Sigma O/N_2$ values examined below. A clear eastward moving signature is seen in both the zonal and meridional winds and is clearest in the zonal winds. This may be expected as the symmetric component of DE3 becomes dominant over the antisymmetric component with increasing altitude in the thermosphere, and is primarily characterized by zonal winds, rather than meridional winds (e.g. Oberheide and Forbes, 2008). The best fit phase shift in the zonal wind and meridional wind (shown with the dotted black line) is 5.12° / hour and 7.00° / hour, respectively. The phase shifts of the zonal wind and meridional wind fall between that expected for DE3 (3.75° / hour) and SE2 (7.5° / hour) and is consistent with a mixture of these two waves being present, as indicated from the lower-altitude data (Figure 5).
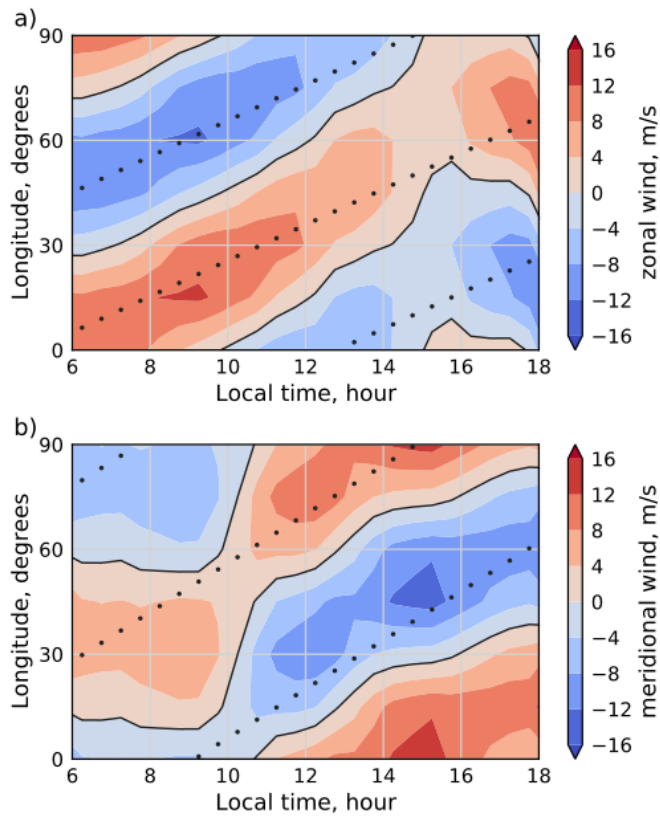
Figure 6 Wavenumber-4 component of the horizontal winds observed by MIGHTI as functions of longitude and local time. Panel a shows the zonal wind perturbation and panel b shows the meridional wind perturbation. All data represent the wavenumber-4 fit to the data that are averaged over days 75 − 100, 2020, altitudes from 150 − 160 km altitude and 25 − 35° north. The best-fit linear trend in the zonal wind (meridional wind) of 5.12° (7.00°)/ hour is shown with the black dotted lines.

The eastward moving non-migrating tides seen in Figure 5 and Figure 6 are expected to produce a wavenumber-4 signature in the $\Sigma O/N_2$ when viewed in a fixed LT frame. Figure 7 shows the

370 wavenumber-4 component of the $\Sigma O/N_2$ retrieved from ICON as functions of LT and day of year

371 (DOY). Note that the LT sampled at any given latitude decreases with increasing day of year due

372 to orbit precession. Data are shown at $25 - 35°$ north, where the DE3 is still seen clearly in

373 MIGHTI data and the impact of any O^+ radiative recombination is lower than near to the equator.

374 The wavenumber-4 fit is performed for each day, and these are shown with both the

375 corresponding LT and day of year. As the observations at this latitude range on a single day are

376 sampled at two LT, the data are split into ascending and descending portions of the orbit. The

377 ascending portion samples this latitude range during daytime from DOY $64 - 81$, whereas the

378 descending portion covers DOY $75 - 93$. Figure 5 suggests that the DE3 tide is strongest

379 between DOY $75 - 93$ of this range but is clearly present throughout this entire range. The

380 ascending portion sees a clear wavenumber-4 structure in the $\Sigma O/N_2$ between DOY $75 - 81$,

381 corresponding only to LT before 11 hours. With just ~3 hours of LT covered, no clear phase

382 progression is evident. After ~11 LT (before DOY 75), the signal is less clear, which could be

383 evidence of O^+ radiative recombination, a weaker tidal signature at this DOY range, or a change

384 in the tidal signature with LT. Examining the data from the descending portion of the orbit, a

385 clear wavenumber-4 signature is seen moving eastwards with LT between DOY $82 - 93$

386 (corresponding to LT $7.4 - 12.9$ hours). With ~5.5 hours of LT, the phase speed can be

387 estimated, and appears to match that of DE3 (shown by the black line). The amplitude seen

388 during this DOY range is ~$3 - 4$ % of the zonal mean value. As with the data from the ascending

389 portion of the orbit , the data at later LT show a less clear signature. However, for the descending

390 portions these cover DOY $75 - 82$, when the ascending portion still sees a strong signature,

391 ruling out a weaker tidal signature at these DOY as the origin of this. This leaves the change in

392 tidal signature with LT and a contribution of O^+ radiative recombination as possibilities for the

393 change in characteristic of the wavenumber-4 pattern seen at later LT.

394
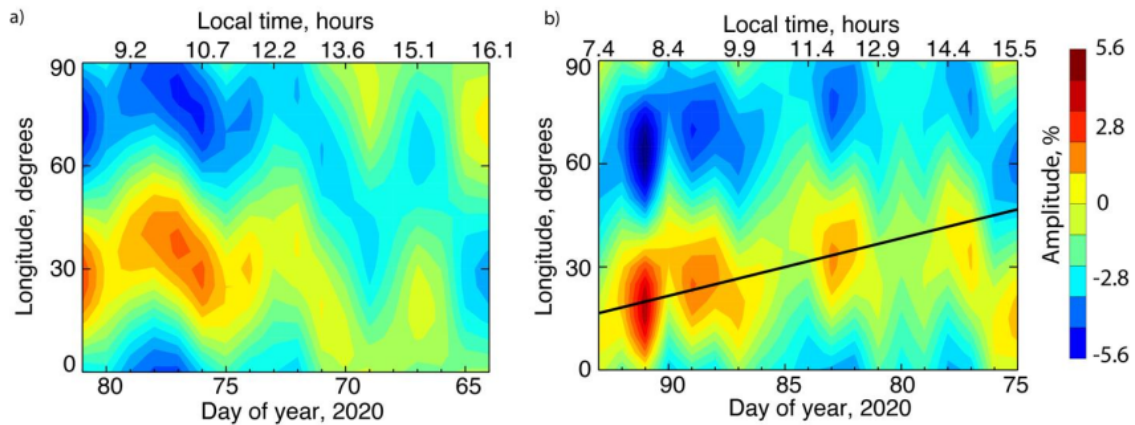
395

396 *Figure 7 Wavenumber-4 component of the perturbation in the $\Sigma O/N_2$ retrieved from the ICON*

397 *observations as functions of day of year, local time and longitude. Panel a shows the data from*

398 *the ascending portion of the orbit and panel b shows the data from the descending portion of the*

399 *orbit. All data are averaged over $25 - 35°$ north. The amplitude of the $\Sigma O/N_2$ perturbation is*

400 *determined relative to the mean value at each day of year (corresponding to a single local time).*

401 *The solid line shown in panel b indicates the phase shift with local time expected for the DE3*

402 *tide and is included as a visual guide.*

403

404 Using the tides identified in the upper mesosphere and lower thermosphere region (Figure 5 and

405 similar) and the HME fits to these (Forbes *et al.*, 2017) it is possible to perturb the lower

406 boundary of the TIEGCM model (near 97 km altitude) such that it reproduces the tides observed

407 by ICON (Maute *et al.*, 2017). This is instructive as, assuming the TIEGCM model can replicate

408 the propagation of these tides to ~150 km altitude, the model can be used to compute the $\Sigma O/N_2$

409   perturbation that would be expected given the tides present on these days and to explore

410   differences between the modeled and observed O/N_2. Figure 8 shows the wavenumber-4 pattern

411   in the neutral winds at 150 km altitude and the $\Sigma O/N_2$ from TIEGCM as a function of local time.

412   The model results come from an average over DOY 75 – 95 when ICON sees the strongest

413   $\Sigma O/N_2$ signature. The results are shown at 25 – 35° latitude to match the ICON wind

414   observations in Figure 6 and ICON $\Sigma O/N_2$ in Figure 7. In the TIEGCM results, an eastward

415   motion of the perturbation in all parameters with a phase speed matching that of DE3 is clearly

416   evident across all daytime LT. The amplitude of the horizontal wind perturbations match those

417   observed by ICON well, confirming that the model is reproducing the propagation of a dominant

418   DE3 tide up to 150 km altitude, in overall agreement with these observations. A slight phase shift

419   is seen, which may imply that the vertical wavelength of the tide is different in the model

420   compared to that observed. The vertical winds, which are not observed, also show a similar

421   eastward motion that very closely matches that expected for DE3. The $\Sigma O/N_2$ likewise shows an

422   eastward propagation that is similar to that in the winds. The amplitude of the signature shows

423   some variation with LT, as may be expected given the presence of the SE2 perturbation, such

424   that the amplitude seen before ~9 LT is the largest, although the amplitude is also large between

425   ~12 – 15 LT. The maximum amplitude seen in $\Sigma O/N_2$ at any time is ~2% which is a factor of 1.5

426   – 2 times smaller than the pre-noon values observed by ICON. As this is primarily produced by

427   the vertical wind perturbation, it can be assumed that the vertical wind perturbation in the

428   TIEGCM model is smaller than that in the atmosphere, although no direct observations exist to

429   confirm this. Such a mismatch in the vertical wind would also be consistent with a mismatch in

430   the vertical wavelength of the tide in the model compared to the atmosphere. The strength of the

431   $\Sigma O/N_2$ signature in the 12 – 15 LT range suggests that ICON may be expected to see a clear

432   signature at this time. This would indicate that the presence of an O^+ radiative recombination

433   signal is the most likely cause of the changes observed in Figure 7 after ~13 LT.

434
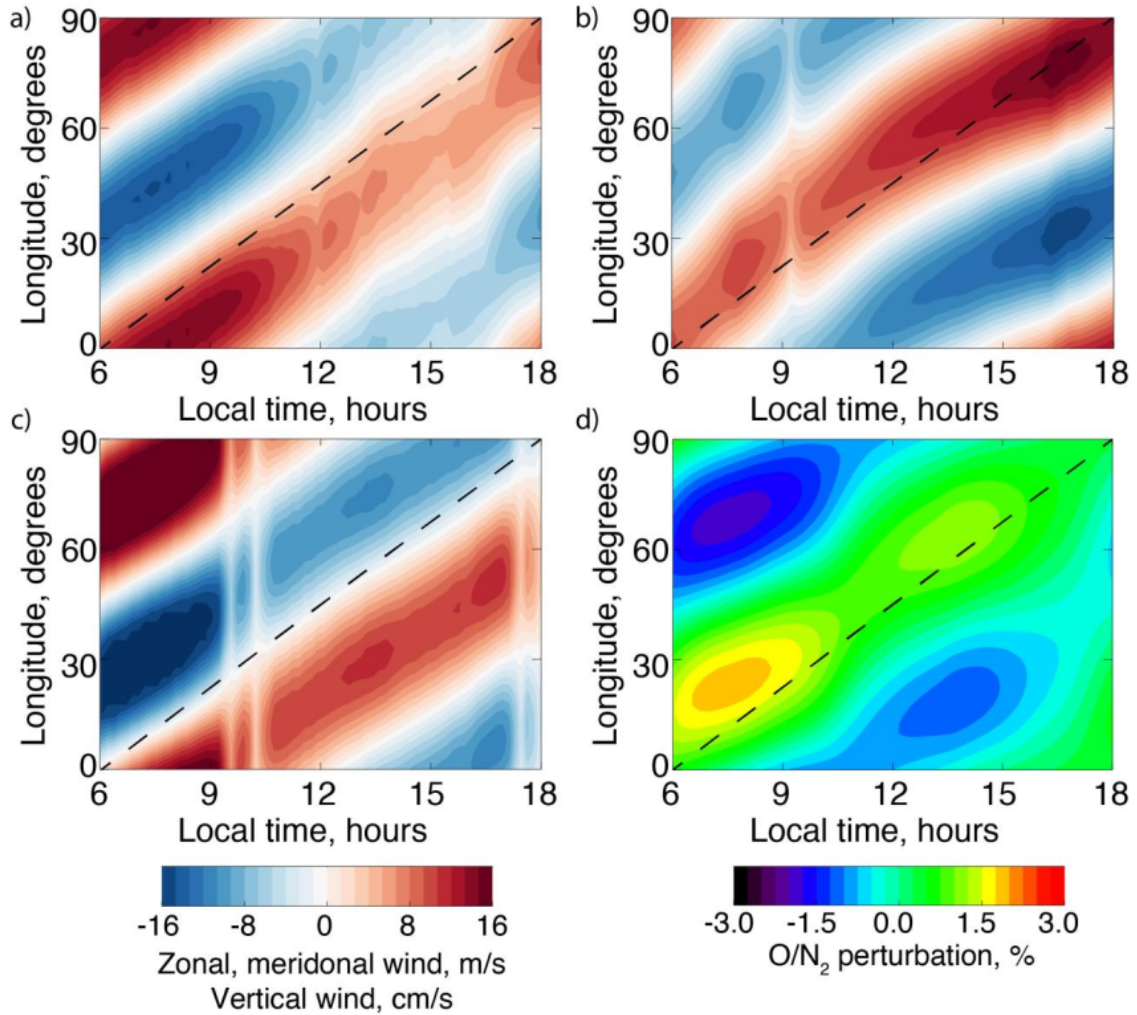
435

436   *Figure 8 Wavenumber-4 perturbation in the neutral winds and $\Sigma O/N_2$ ratios from the TIEGCM*

437   *model as functions of local time and longitude. Values are averaged over 25 to 35° north and*

438   *over days $75 - 95$ of 2020. Panels $a - c$ show the perturbation in the zonal, meridional and*

439   *vertical wind respectively. The horizontal winds are shown in m/s and the vertical wind is shown*

440   *in cm/s. Panel d shows the perturbation to the $\Sigma O/N_2$ and is expressed as a percentage of the*

441   *zonal mean value at each local time. The dashed black line shows a phase shift of 3.75° / hour as*

442   *would be expected from a DE3 tide.*

443

444   To investigate the possibility of O^+ radiative recombination contaminating the wavenumber-4

445   $\Sigma O/N_2$ perturbations seen with ICON, we make use of the ICON EUV O^+ observations. Any

446   potential O^+ radiative recombination can be estimated from examining both the column O^+ and

447   NmF2 values determined from these data. Figure 9 shows the wavenumber-4 perturbation in

448   NmF2 and O^+ as functions of longitude and local time. Here only the descending portion data are

449   shown, which provides observations at an overlapping DOY range as the clearest signal in the

450   $\Sigma O/N_2$ observations. In both parameters from the EUV instrument, a significant wavenumber-4

451   signature is seen after ~13 LT, and only a much weaker signature is seen prior to this time. From

452   ~13 – 16.9 LT there is some evidence of an eastward motion in these perturbations, but over

453   such a short range of LT the rate of these is difficult to determine. Nonetheless, these data

454   suggest that the wavenumber-4 O^+ radiative recombination signal would move eastwards with

455   increasing local time and have become increasingly significant after ~13 LT. If the O^+ radiative

456   recombination signal is not in phase with the $\Sigma O/N_2$ signature, this could therefore account for

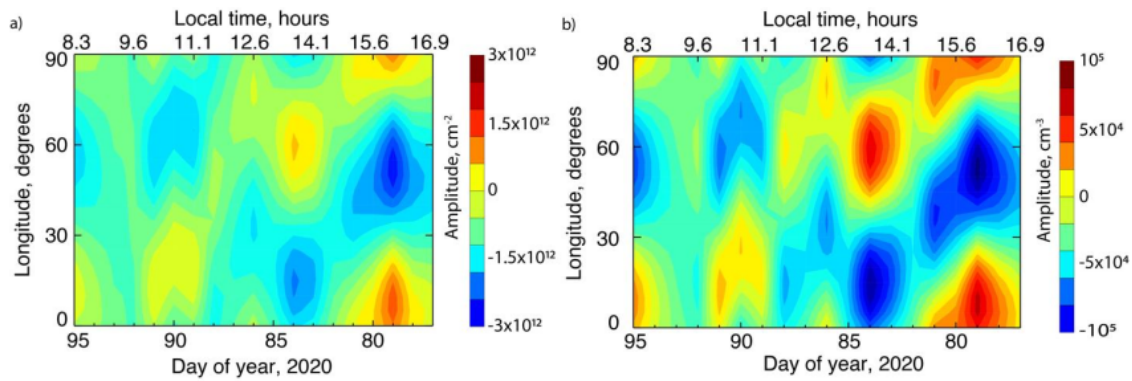457   the inconsistent wavenumber-4 signature seen in Figure 7 after ~12 LT.

458

459

Figure 9 Wavenumber-4 component of the pertrubation in ionospheric nmF2 and column O⁺

abundance retrieved from ICON EUV observations as functions of day of year and longitude.

Panel a shows the perturbation in the total column O⁺ and panel b shows the perturbation in the

NmF2 value. All data are from the descending portion of the orbit and are averaged over 25 –

35° north.

Shepherd *et al.*, (2011) reported a wavenumber-4 variation in the brightness of the O(^{1}S) 557.7

nm airglow near 250 km altitude. The ICON MIGHTI instrument is able to measure the

brightness of this emission at 150 km altitude, close to the photoelectron peak and the neutral

winds as shown in Figure 6. At this altitude, the brightness of this emission is believed to be

produced mainly by $N_2(A^3\Sigma_u^+) + O$, where the excited N_2 is the minor species and is produced by

photoelectron impact on N_2 (see Zhang and Shepherd, 2005 and references therein). This means

that its variation in brightness may be expected to be in antiphase with the O/N_2 variations seen

in Figure 7 and Figure 8. Figure 10 shows the observed wavenumber-4 perturbation in the 557.7

nm airglow observed with the 'forward' looking MIGHTI field of view (MIGHTI-A). Data are

taken over DOY 75 – 100 to provide both overlap when the clear signature is seen in Figure 7

and provide sufficient LT coverage at the latitude range of these $\Sigma O/N_2$ observations. Once

477 again, a clear eastward moving signature is seen throughout all daytime hours. As in Figure 6

478 and Figure 8, a variation in the amplitude of the signature is seen with LT and the eastward

479 motion does not perfectly match that of DE3, suggesting that SE2 is present as well with some

480 magnitude. The perturbation is also seen to be in near antiphase with the $\Sigma O/N_2$ observations in

481 Figure 7 and model results in Figure 8. This provides confidence that the N_2 densities in the

482 model are varying in a manner consistent with the atmosphere at this time, and implies that the O

483 densities should do likewise, as both respond to the same vertical wind perturbations.

484

485
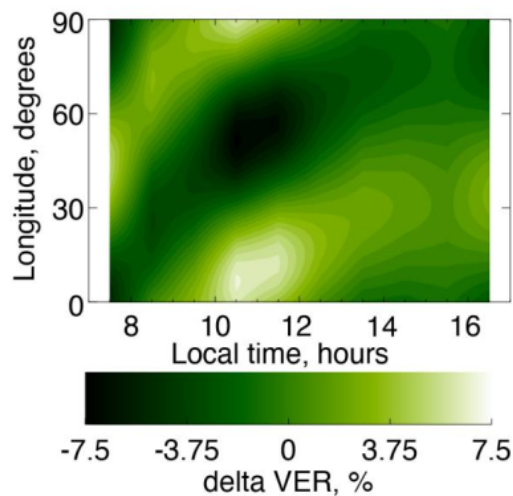
486 *Figure 10 Wavenumber-4 perturbation of the 557.7 nm airglow observed by MIGHTI Channel A*

487 *as a function of local time and longitude. All data are averaged over days 75 – 100, 2020,*

488 *tangent altitudes from 150 – 160 km altitude and 25 – 35° north. All values are expressed as a*

489 *percent of the zonal mean value.*

490
491   **5. Conclusions**

492 A survey of six months of ICON FUV sub-limb observations and retrieved $\Sigma O/N_2$ from 2020 is

493 presented. From these we see variations in both the brightness of the airglow and $\Sigma O/N_2$ that

494   exhibit the expected SZA, latitudinal and seasonal variations. The uncertainties in the retrieved

495    $\Sigma O/N_2$ increase slightly with SZA as may be expected given the variation in the photoelectron

496   production altitudes with SZA. Uncertainties on single 12 second data points generally fall in the

497    $5 - 10$ % range except at large SZA. A comparison of the retrieved $\Sigma O/N_2$ and those predicted

498   using NRL-MSISE00 show a consistent bias such that the ICON values are around 0.84 times

499   the MSIS values, which is in good agreement with an analysis of TIMED-GUVI observations at

500   solar minimum reported by Meier *et al.*, [2015].

501

502   An examination of the impact of non-migrating tides on the $\Sigma O/N_2$ is presented. This is done by

503   focusing on a time period in early 2020 when ICON clearly sees the DE3 non-migrating tide in

504   the winds and temperatures near the base of the thermosphere, and the winds at 150 km altitude.

505   At this time, some SE2 is also observed, contributing to the wavenumber-4 signature in fixed

506   local time in addition to DE3. Following prior studies, we examine the observations away from

507   the equator in an attempt to minimize the effect of O^+ radiative recombination and focus on a

508   region from $25 - 35°$ north where we have data from all instruments on nearly the same DOY.

509   Using the tides observed in the MLT region as a lower boundary condition, we also perform a

510   simulation with the TIEGCM model to provide context for the ICON observations. From these

511   we see a wavenumber-4 perturbation in the retrieved $\Sigma O/N_2$ that is small (below ~5% of the

512   zonal mean value). During the morning, the signal is comparatively clear and can be seen to

513   move eastwards with increasing local time, but this pattern breaks down in the afternoon. Three

514   reasons for this morning to afternoon change are considered: a change in the strength of the

515   signature with local time; a change in the strength of the signature with DOY; and the effect of

516   an overlapping wavenumber-4 signature in the O^+ radiative recombination that becomes stronger

517   in the afternoon. From an examination of the ICON observations of $\Sigma O/N_2$ and other parameters,

518   the first two of these are considered to be less likely than the impact of contamination of the SW[59]

519   dayglow signal by radiative recombination, in agreement with Kil and Paxton, [2011] and Kil et[20]

520   al., [2013]. Other ICON observations clearly shown the presence of a wavenumber-4 pattern

521   generated from DE3 and SE2 is present at the altitude of the photoelectron emissions, the source

522   of the $\Sigma O/N_2$ observations. While these vary with LT, they are clear throughout the daytime.

523   This behavior is also supported by the TIEGCM model, which also shows a clear $\Sigma O/N_2$

524   perturbation should be clear throughout the day. The O^+ data also exhibits wavenumber-4

525   structure in this is present during the afternoon hours that moves east with LT, but is not in phase

526   with the $\Sigma O/N_2$ signature. The O^+ radiative recombination contamination of the SW dayglow

527   signature thus results in the unclear pattern in the retrieved $\Sigma O/N_2$. Using data near 15:00 LT, Kil

528   et al., [2013] estimated that the $\Sigma O/N_2$ retrieved was perturbed by ~5 – 10 % by O^+ radiative

529   recombination. This value is larger than tidal impact on $\Sigma O/N_2$ seen here, and is consistent with

530   our finding of the contamination of the dayglow signature during the afternoon. These

531   conclusions underline the limitation of using the retrieved $\Sigma O/N_2$ values retrieved from sub-limb

532   FUV airglow to determine small wave-induced perturbations. But the analysis does demonstrate

533   that the observations can provide a good estimate of the amplitude of the $\Sigma O/N_2$ signature in the

534   morning thermosphere, at least at latitudes away from the peak of the equatorial ionospheric arcs.

535

536   The observed variation in $\Sigma O/N_2$ is generally larger than that in the TIEGCM model at the same

537   time, which suggests the model is underestimating the wavenumber-4 component of the vertical

538   wind that creates the $\Sigma O/N_2$ perturbation. While the TIEGCM model replicates the observed

539   horizontal wind amplitudes near 150 km well, the phase of the wavenumber-4 signature does not

540   agree with that observed. If the model produces a DE3 tide with the incorrect vertical

541   wavelength, that would also be consistent with both the incorrect horizontal wind phase and

542   vertical wind. This analysis demonstrates the utility of the combined ICON datasets, especially

543   when used in conjunction with first-principles models.

544

558

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Figure 11 Observed sub-limb FUV SW and LW airglow brightness for March 15, 2020. Panel a shows the brightness of the two airglow features as a function of local time. Panel b shows the brightness of the two airglow features as a function of solar zenith angle. In Panels a and b, the yellow points correspond to the SW airglow passband and the blue points correspond to the LW airglow passband. Panel c shows the location of the observed points, as a function of latitude and local time. Panel d shows the location of the observed points, as a function of latitude and solar zenith angle.

724

Figure 12 Brightness of the SW and LW airglow and their ratio for days 1 – 45 of 2020. Panel a shows the brightness of the SW airglow as a function of latitude and local time. Panel b shows the brightness of the LW airglow as a function of latitude and local time. Panel c shows the ratio of the brightness of the SW to the brightness of the LW airglow as a function of latitude and local time.

730

731

Figure 13 Retrieved $\Sigma O/N_2$ compared to the MSIS model. Panel a shows the location of the observations on January 2, 2020 as a function of latitude and solar zenith angle. Panel b shows the retrieved $\Sigma O/N_2$ from ICON and the MSIS model for each point observed during January 2, 2020, as a function of solar zenith angle. Panel c shows the one standard deviation uncertainty in each of the retrieved $\Sigma O/N_2$ from ICON for each point observed during January 2, 2020, as a function of solar zenith angle. Panel d shows the ratio between the retrieved $\Sigma O/N_2$ from ICON and the MSIS model for each observation made during the first 6 months of 2020, as a function

739 *of local time. The purple dots represent the value for each observation. The green lines show the*

740 *mean and standard deviation of these points at each 1-hour interval, and the orange line show*

741 *the range from the 5th to the 95th percentile points at each 1-hour interval. The average ratio*

742 *between ICON and MSIS is 0.84 and the average of the standard deviations is 0.089.*

743

744 *Figure 14 geographic variation in the $\Sigma O/N_2$ from ICON and MSIS. Panels a, c and e show the*

745 *ICON values and panels b, d, and f show the MSIS values. Panels a and b are for days 1 – 45,*

746 *2020. Panels c and d are for days 46 – 90, 2020. Panels e and f are for days 136 – 180, 2020. All*

747 *values are from 11 – 13 hours local time. Note the different color scales used for the ICON and*

748 *MSIS values, such that the variations with latitude and season are visible. Note that data are*

749 *available in the southern hemisphere near 300 degrees longitude as these remote observation*

750 *locations correspond to when the ICON spacecraft is within the South Atlantic Anomaly.*

751

752

753 *Figure 15 Amplitude of the DE3 and SE2 non-migrating tides observed by MIGHTI at 97 km*

754 *altitude during the first 100 days of 2020. Panels a, c and e correspond to the DE3 tide and*

755 *panels b, d and f correspond to the SE2 tide. Panels a and b show the temperature amplitude,*

756 *panels c and d show the zonal wind amplitude and panels e and f correspond to the meridional*

757 *wind amplitude. All values are shown at 97 km altitude, corresponding to the lower boundary of*

758 *the TIEGCM model. Note the different scales used in each panel.*

759

760

761 *Figure 16 Wavenumber-4 component of the horizontal winds observed by MIGHTI as functions*

762 *of longitude and local time. Panel a shows the zonal wind perturbation and panel b shows the*

763 *meridional wind perturbation. All data represent the wavenumber-4 fit to the data that are*

764 *averaged over days 75 – 100, 2020, altitudes from 150 – 160 km altitude and 25 – 35° north. The*

765 *best-fit linear trend in the zonal wind (meridional wind) of 5.12° (7.00°)/ hour is shown with the*

766 *black dotted lines.*

767

768

769 *Figure 17 Wavenumber-4 component of the perturbation in the $\Sigma O/N_2$ retrieved from the ICON*

770 *observations as functions of day of year, local time and longitude. Panel a shows the data from*

771 *the ascending portion of the orbit and panel b shows the data from the descending portion of the*

772 *orbit. All data are averaged over 25 – 35° north. The amplitude of the $\Sigma O/N_2$ perturbation is*

773 *determined relative to the mean value at each day of year (corresponding to a single local time).*

774 *The solid line shown in panel b indicates the phase shift with local time expected for the DE3*

775 *tide and is included as a visual guide.*

776

777

778

779 *Figure 18 Wavenumber-4 perturbation in the neutral winds and $\Sigma O/N_2$ ratios from the TIEGCM*

780 *model as functions of local time and longitude. Values are averaged over 25 to 35° north and*

781 *over days 75 – 95 of 2020. Panels a – c show the perturbation in the zonal, meridional and*

782 *vertical wind respectively. The horizontal winds are shown in m/s and the vertical wind is shown*

783 *in cm/s. Panel d shows the perturbation to the $\Sigma O/N_2$ and is expressed as a percentage of the*

zonal mean value at each local time. The dashed black line shows a phase shift of 3.75° / hour as would be expected from a DE3 tide.

Figure 19 Wavenumber-4 component of the pertrubation in ionospheric nmF2 and column O^+ abundance retrieved from ICON EUV observations as functions of day of year and longitude. Panel a shows the perturbation in the total column O^+ and panel b shows the perturbation in the NmF2 value. All data are from the descending portion of the orbit and are averaged over $25 - 35°$ north.

Figure 20 Wavenumber-4 perturbation of the 557.7 nm airglow observed by MIGHTI Channel A as a function of local time and longitude. All data are averaged over days $75 - 100$, 2020, tangent altitudes from $150 - 160$ km altitude and $25 - 35°$ north. All values are expressed as a percent of the zonal mean value.
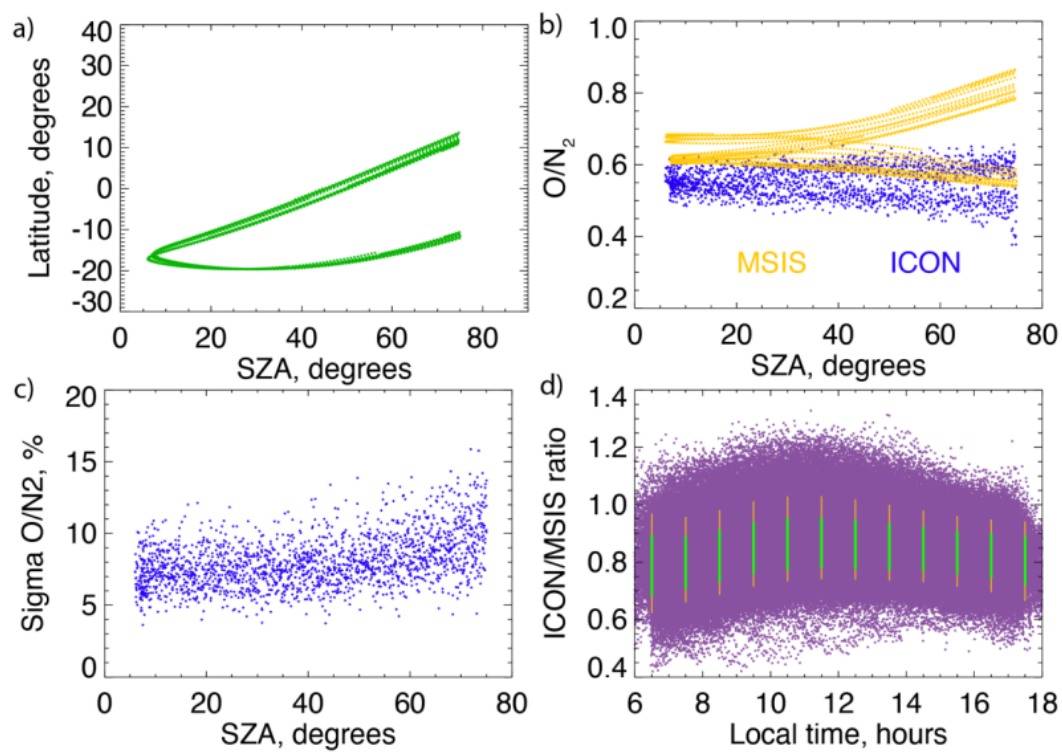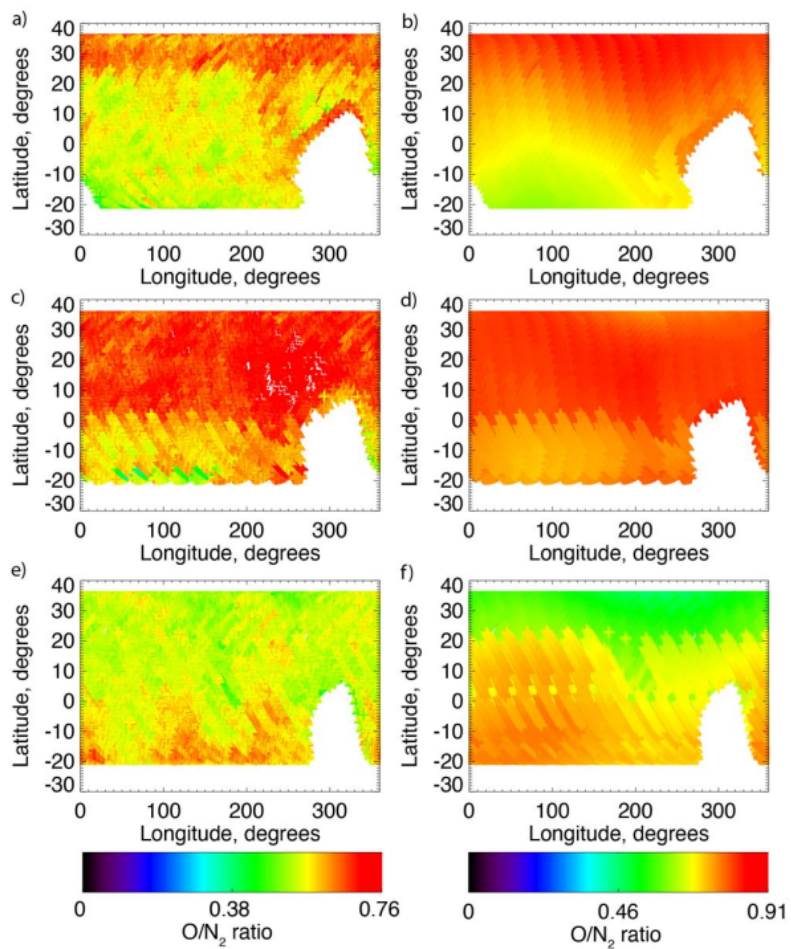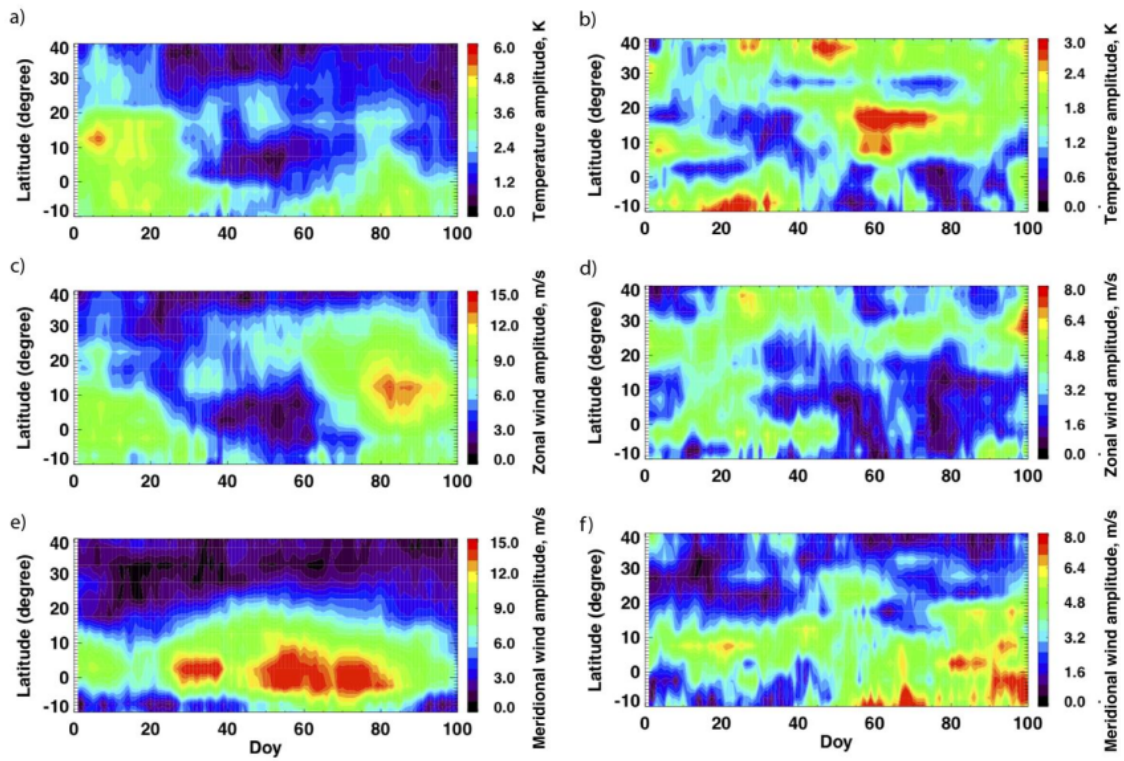
a)

b)

c)

d)

800

801

a)

b)

c)

Ratio

0   1.06   2.13   3.19   4.25

0   1250   2500   3750   5000

0   312.5   625.0   937.5   1250

Brightness, R
Top: SW, Bottom LW

802

803

804

805

806

807

808

809

810

811

a)

b)

c)

d)

−16   −8   0   8   16
Zonal, meridonal wind, m/s
Vertical wind, cm/s

−3.0   −1.5   0.0   1.5   3.0
O/N$_2$ perturbation, %

812

813

a)

Local time, hours
8.3   9.6   11.1   12.6   14.1   15.6   16.9

3×10^{12}
1.5×10^{12}
0
−1.5×10^{12}
−3×10^{12}

Amplitude, cm^{-2}

95   90   85   80
Day of year, 2020

b)

Local time, hours
8.3   9.6   11.1   12.6   14.1   15.6   16.9

10^5
5×10^4
0
−5×10^4
−10^5

Amplitude, cm^{-3}

95   90   85   80
Day of year, 2020
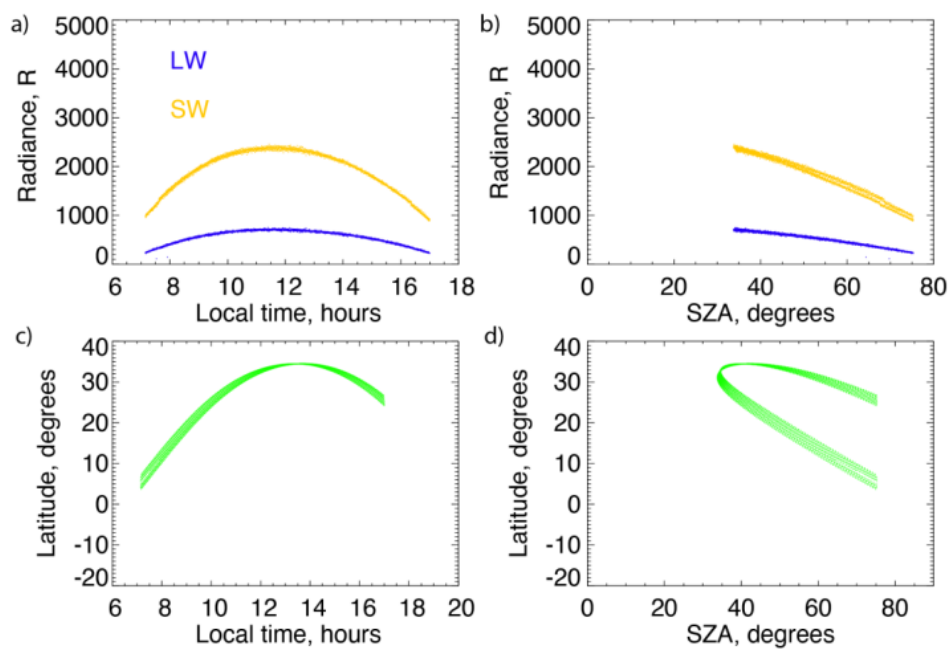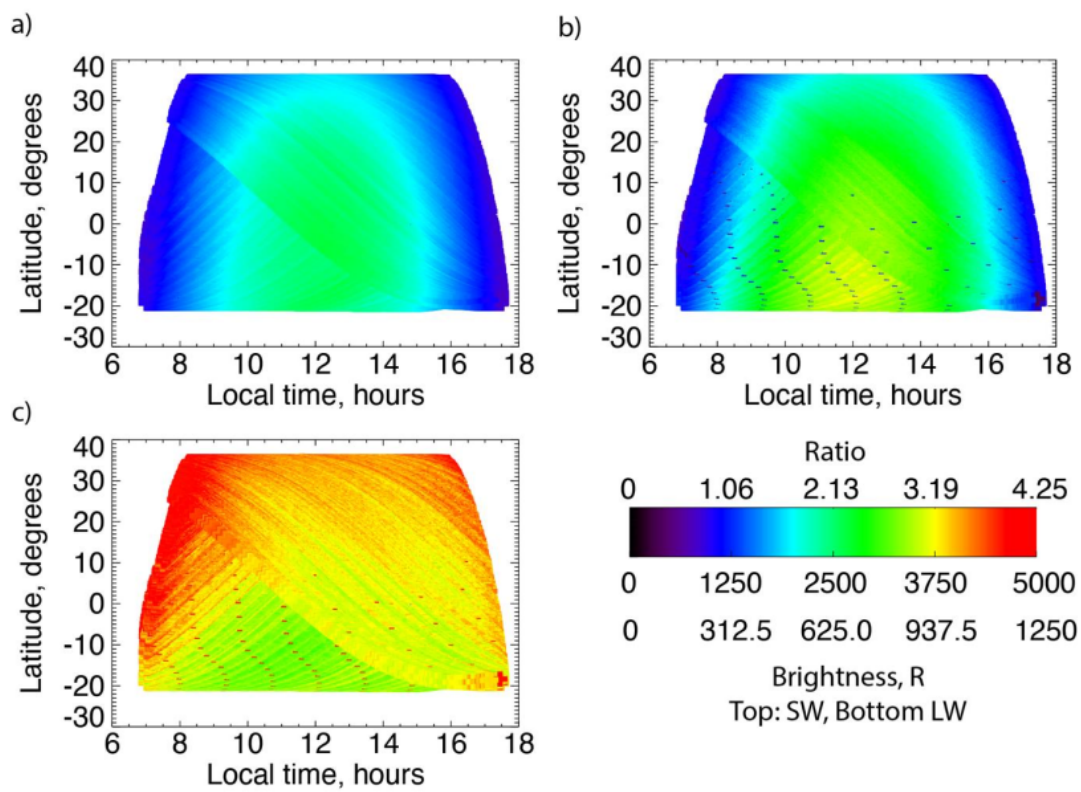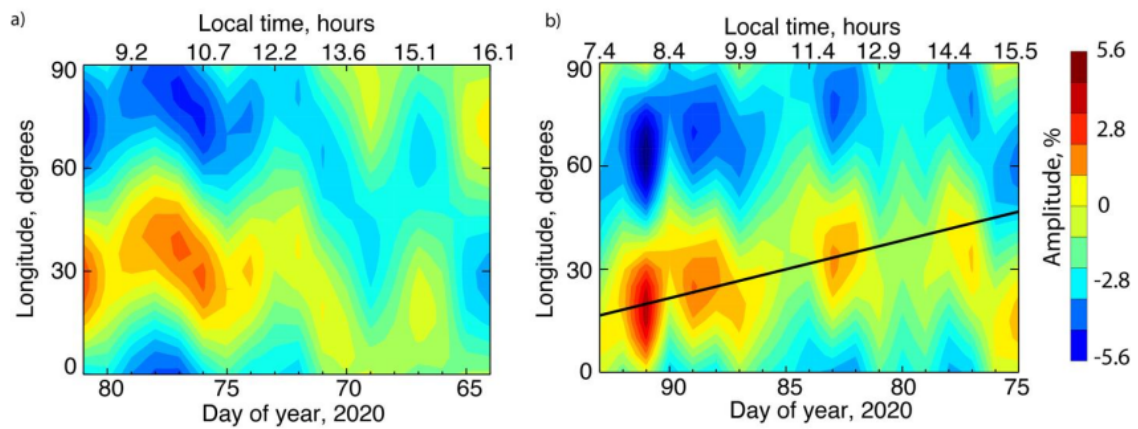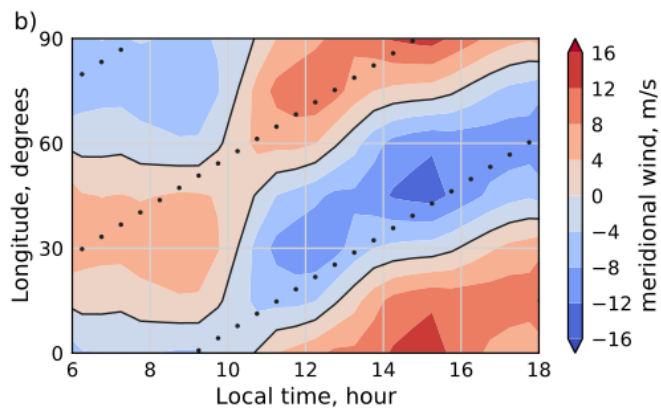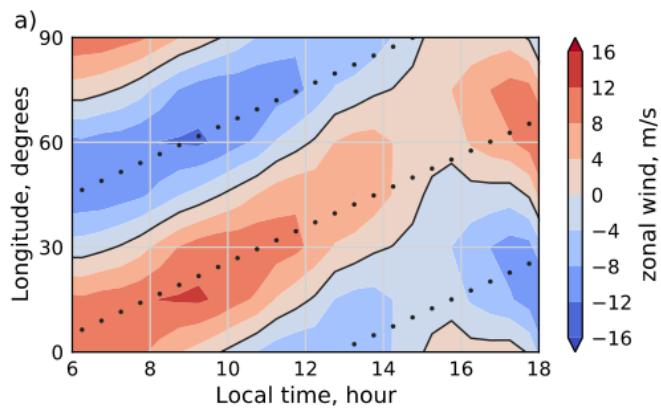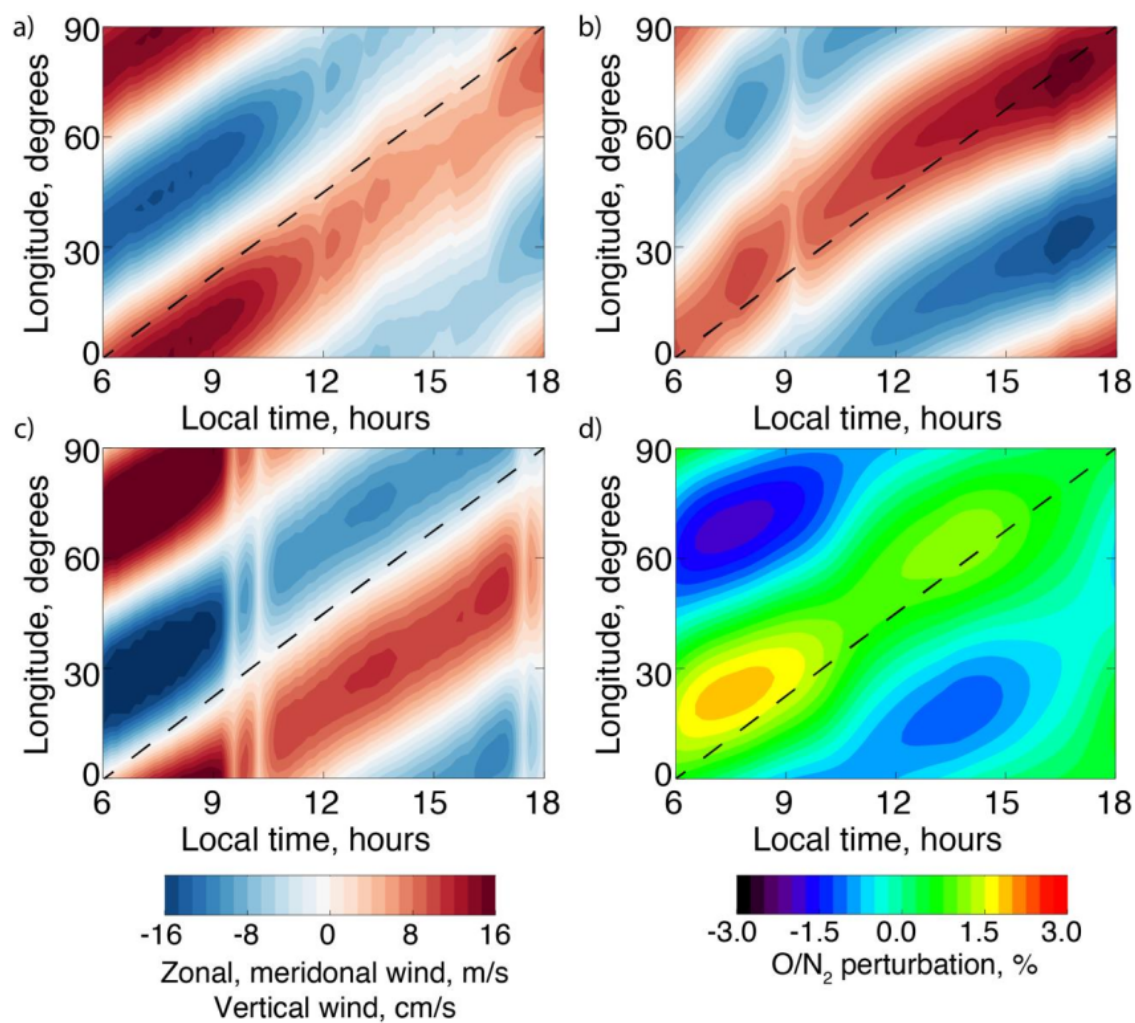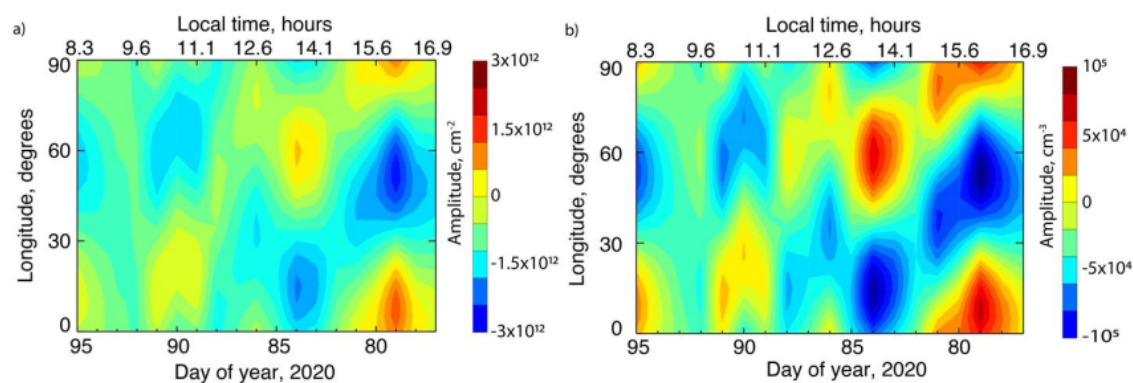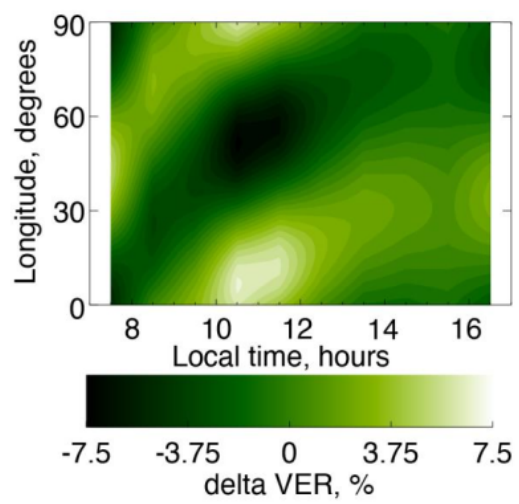
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