

The origin of early-mid Miocene pelagic brown and green claystone from IODP Site U1503A in the South China Sea: implications to paleoclimate and paleoceanography

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Abstract

The marine pelagic brown claystone was widely recovered in the deep South China Sea (SCS) by International Ocean Discovery Program (IODP) Expedition 349, 367, 368 and 368X. The continuous deposition of brown claystone in Miocene in the SCS may represent a special sedimentary environment. IODP Site U1503A provides the ideal brown and green claystone of the early-mid Miocene to study the origin of the red coloring for sediments and their implications to the paleoclimate and paleoceanography. In this paper, the reflectance a^* , grain size, clay minerals, major and trace elements, and Sr-Nd isotope analyses in brown and green claystone from U1503A have been conducted to understand the sedimentary condition and controlling factors of SCS marine brown claystone. The results show that the sediments color transition was caused by the variations of Fe (III) content. The provenance was relatively stable and sediment was mainly supplied from South China and Luzon. The source areas underwent strong chemical and physical weathering since 17 Ma, which is related to Miocene Climatic Optimum events. The data of redox proxies suggest that both brown and green claystone were deposited in the oxic condition, while the sedimentary environment of brown claystone was more oxidizing. We suggest this difference in the redox condition was mainly controlled by the variation of the oxygen-rich bottom current in the northern SCS. We attribute this variation to the larger-scale deep-water circulation change in the Antarctic and western Pacific due to glacial-deglacial cycle.

1 The origin of early-mid Miocene pelagic brown and green claystone from IODP Site U1503A
2 in the South China Sea: implications to paleoclimate and paleoceanography

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12Key points

131. High content of Fe (III) caused the brown color of early-mid Miocene sediment at Site U1503A.

142. The sediment color variation reflected redox condition change which was controlled by the
15strength of oxygen-rich bottom current in the SCS.

163. The ventilation and deep water current in the SCS were strongly affected by Pacific deep water
17circulation during the early-mid Miocene.

18Abstract

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21continuous deposition of brown claystone in Miocene in the SCS may represent a special
22sedimentary environment. IODP Site U1503A provides the ideal brown and green claystone of the
23early-mid Miocene to study the origin of the red coloring for sediments and their implications to
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27marine brown claystone. The results show that the sediments color transition was caused by the
28variations of Fe (III) content. The provenance was relatively stable and sediment was mainly
29supplied from South China and Luzon. The source areas underwent strong chemical and physical
30weathering since 17 Ma, which is related to Miocene Climatic Optimum events. The data of redox
31proxies suggest that both brown and green claystone were deposited in the oxic condition, while
32the sedimentary environment of brown claystone was more oxidizing. We suggest this difference
33in the redox condition was mainly controlled by the variation of the oxygen-rich bottom current in
34the northern SCS. We attribute this variation to the larger-scale deep-water circulation change in
35the Antarctic and western Pacific due to glacial-deglacial cycle.

36Plain language summary

37 Marine pelagic brown or red sediment is of great significance to indicate the paleoclimate and
38deep-sea sedimentary condition because of its special color. International Ocean Discovery

39Program (IODP) Expeditions 349, 367, 368 and 368X recovered pelagic brown claystone in sea
40basin of South China Sea (SCS). In order to understand the origin of the sediment color and its
41indications of the paleoclimate and paleo-ocean environment, we analyzed the composition of clay
42mineral, geochemical elements and grain size of the SCS brown and green claystone. The results
43show that the brown color is due to the high content of Fe (III) oxides (hematite or goethite). After
44excluding the effects of iron-bacterial mediation, sediment source, and erosion intensity, we
45inferred that the high content of Fe (III) may reflect oxic sedimentary conditions which was
46controlled by the strength of oxygen-rich bottom current in the SCS basin. The conclusions are
47important to understand the regional ventilation and deep water current changes under the glacial-
48deglacial cycle during the Miocene.

49**Keywords**

50**IODP Site U1503A; the early-mid Miocene; marine brown and green claystone; South China**
51**Sea; redox conditions; deep water current**

52**1. Introduction**

53 Pelagic brown (dark reddish) claystone is widely discovered in the deep sea areas, especially on
54oceanic crust (Lyes, 1983; Hu et al., 2012a; Song et al., 2017). There have been long term
55discussions upon its origin (Löwemark et al., 2008; Hu et al., 2012a; Jin et al., 2020). Considering
56the spectacular reddish color, scientists try to decipher its origin through mineral, geochemistry
57and coloring process analysis.

58 The color of the sediment is generally believed to be caused by the Fe- or Mn-bearing minerals

59(Lyles, 1983; Löwemark et al., 2008; Cai et al., 2009; Hu et al., 2012a). For example, Lyles (1983)
60suggested that the brown-tan-green color in eastern tropical Pacific seafloor surface sediments is
61caused by the Fe (III) being reduced to Fe (II) in smectite clays. Löwemark et al. (2008) believed
62that the cyclical color variation in the central Arctic Ocean sediments was controlled by the Mn
63content in sediments. Cai et al. (2009) and Hu et al. (2012a) considered that hematite, goethite and
64Mn²⁺-bearing calcite are the minerals imparting the red color based on the mineralogical studies on
65Cretaceous marine red shale and limestone, and hematite is one of the major coloring minerals.

66 The content of Fe- and Mn-bearing minerals in marine sediments are affected by many factors,
67including the biological effect (iron-bacterial mediation), sediment provenance, erosion pattern,
68geothermal condition and redox environment (Mamet & Pr at, 2006; Ziegler & McKerrow, 1975;
69Schwertmann, 1971; Wang et al., 2009; Hu et al., 2012a; Jiang et al., 2019). Mamet & Pr at
70(2006) considered that the red pigmentation of a number of Phanerozoic limestones is due to the
71dispersion of submicrometric (hydro) oxides (now hematite) formed by bacterial mediation during
72early diagenesis. Ziegler & McKerrow (1975) suggested that the main origin for the red color of
73Silurian marine sediment in the Western Europe and in the Appalachian Basin is caused by
74plentiful supply of oxidized Fe transported from land to sea. Schwertmann (1971; 1988) proposed
75that the hematite is preferentially formed in dry and warm conditions, because such a climate
76provides a favorable weak chemical weathering condition for the formation of hematite. Chen &
77Grapes (2007b) and Jiang et al. (2015; 2019) believed that the coloring of brown claystone in
78South China continent and SCS is related to elevated diagenetic temperatures. Wang et al. (2009)
79and Hu et al. (2012a) presented that the origin of Cretaceous marine red claystone is due to the
80iron oxidation in oligotrophic, highly oxic environment.

81 International Ocean Discovery Program (IODP) Expeditions 349, 367, 368 and 368X recovered
82 pelagic brown claystone both in the northern continental margin and the oceanic basin in South
83 China Sea (SCS), at Sites U1431, U1433, U1434, U1499, U1500, U1502 and U1503A (Li et al.,
84 2014; Sun et al., 2018; Childress et al., 2020). Biostratigraphic studies show that the depositional
85 age of these brown claystone is mostly in the Miocene, starting from about 23 Ma and ending at
86 about 12 Ma (Li et al., 2014; Sun et al., 2018; Larsen et al., 2018; Childress et al., 2020), which
87 provide an unprecedented opportunity to study the origin of pelagic brown claystone. Among
88 these sites, U1503A was drilled at the oldest oceanic crust, and it contains a complete sequence of
89 post-rifting marine sediment since early Oligocene. The color of the sediment changes from dark
90 gray to brown and to gray again, which can constrain the complete duration of brown claystone in
91 the SCS very well. Furthermore, there are several gray green interbeds in the brown claystone
92 sequences, which make a perfect contrast with the brown claystone. In addition, the brown and
93 green gray claystone from Site U1503A are weakly affected by diagenesis and turbidity currents.
94 Therefore, U1503A is an ideal site to study the origin of the SCS pelagic brown claystone.

95 Considering the complexity of the genesis of marine red and brown claystone and its indications
96 of the paleoclimate and paleo-ocean environment, we conducted grain size, clay minerals, major
97 and trace elements, and Sr-Nd isotopic analyses on the brown and green claystone from Site
98 U1503A to answer the following questions: (1) what may cause the U1503A sediments brown
99 color during the early-mid Miocene, (2) what is the origin of the sediment color change from red
100 to green, (3) does the brown claystone have any indications to the regional or global paleoclimate
101 and paleo-ocean environment?

1022. **Geological background**

103 SCS is the largest marginal sea in the western Pacific (Fig. 1). SE to nearly NS Cenozoic
104 rifting resulted in the opening of the SCS. Seafloor spreading started at Oligocene (about 32 Ma)
105 and ceased at Middle Miocene (about 15 Ma) (Li et al., 2014). Thereafter, the eastern part of the
106 SCS began to subduct beneath the Philippine Sea Plate along the Manila Trench and caused the
107 gradual uplift of Luzon arc (Liu et al., 2020; Huang et al., 2000). Meanwhile, northwestward
108 movement of the Philippine Sea Plate towards the Asian continental marginal caused the
109 formation of the Taiwan orogen (Huang et al., 2000; 2006).

110 With the development of Luzon arc and Taiwan orogen, a topographic barrier for deep water
111 exchange between the SCS and the western Pacific was formed. The SCS deep basin became a
112 semi-enclosed environment since late Miocene because of either closure of the connection with or
113 temporary reduction of the western Pacific deep water flowing into the deep SCS (Chen et al.,
114 2015). While, the benthic foraminiferal $\delta^{13}\text{C}$ results suggest that the SCS deep water and the
115 western Pacific Deep Water (PDW) can be exchanged freely in the early-mid Miocene (Li et al.,
116 2007; Chen et al., 2015). Furthermore, according to the benthic $\delta^{13}\text{C}$ and Nd isotope data from
117 Pacific Ocean, it has been suggested that the deep water of Pacific was dominantly from Antarctic
118 Bottom Water (AABW) during the early-mid Miocene (Wright et al., 1991; Ma et al., 2018).

119 The sediment inputs of SCS are controlled by surrounding continents and islands (Fig. 1a). In
120 the northern SCS, South China, Taiwan and Luzon islands are proved to be major sediment
121 sources; in the east, sediment input is mainly from Luzon islands; in the west, Red River and
122 Indochina Peninsula control the sediment supply; in the south, sediment is mainly provided by
123 Mekong River, Sumatra and Borneo (Liu et al., 2016). Considered that IODP Site U1503A is
124 located in the northern SCS, the terrigenous detrital of U1503A may come from the South China,

125 Taiwan, and Luzon islands. However, the Taiwan would not be a significant provenance until its
126 rapid uplift and exhumation after about 4 - 5 Ma (Hu et al., 2012; Clift et al., 2014). Hence, during
127 the early-middle Miocene, the brown and green claystone from U1503A would be mainly
128 provided by South China through Pearl River and Luzon islands.

1293. **Materials and methods**

1303.1. **Materials**

131 IODP Site U1503A is located in the northern SCS near the top of the structural high named
132 Ridge C at 3867.7 m water depth (Fig. 1a, c). Basalt was recovered in the basement and Ridge C
133 was believed to be the oldest steady-state oceanic crust (Larsen et al., 2018; Sun et al., 2019).
134 Lithostratigraphic Unit II (1484.74-1542.77 meters below seafloor) recovered about 18.53 meter
135 due to the low recovery rate. This unit consists of 13.04 meter thick in total lithified dark brown
136 massive claystone with a total of 5.49 m thick green gray intervals containing heavier bioturbation
137 (Fig. 1b). There are more than 10 green gray intervals, each with a thickness ranging from 2-89
138 cm. The green gray intervals are rich in foraminifer and other fossil fragments. A total of 46
139 samples were collected continuously at 40 cm intervals and at where the sediments color changes.
140 The age framework of U1503A was established by the calcareous nannofossils and plankton-
141 foraminifera in sediments (Childress et al., 2020). The sedimentary age of this unit is 22.14 -
142 14.24 Ma, and the sedimentary rate is 0.7 cm/kyr (Childress et al., 2020).

1433.2. **Methods**

144 The redness and greenness degree of sediments are indicated by the reflectance a^* of the Lab

145color model. The value of reflectance a^* below 5 means a green color, while above 5 means a red
146color. The reflectance a^* of sediment was collected from IODP Expedition 368X Report
147([Childress et al., 2020](#)).

148 After treated by excess 10% H_2O_2 and 0.5 mol/L HCl to remove organic materials and
149carbonates, terrigenous materials can be extracted. The grain size distribution of terrigenous
150fraction was measured with a Malvern Mastersizer 2000 at Key Laboratory of Ocean and
151Marginal Sea Geology, South China Sea Institute of Oceanology, Chinese Academy of Sciences.
152This instrument can measure grains in the 0.02-2000 μm range. The measurement repeatability is
1530.5% for a single sample, and the reproducibility is better than 2% for duplicate samples. In this
154study, the end member (EM) algorithms improved by [Paterson & Heslop \(2015\)](#) was used to sort
155the grain size distributions into geologically meaningful end members.

156 The clay-sized fraction ($< 2\mu m$) was separating from the rest of the samples by application of
157Stokes' Law through settling. After 24 hours of saturated ethylene glycol vapor at 60 °C, the
158oriented slide with clay minerals was identified by X-Ray Diffraction (XRD) using a D8
159ADVANCE diffractometer (made in Germany by Bruker AXS GmbH) at the Key Laboratory of
160Ocean and Marginal Sea Geology, South China Sea Institute of Oceanology, Chinese Academy of
161Sciences. Semi-quantitative estimates of peak areas of the basal reflection for the main clay
162mineral groups of smectite, illite, kaolinite and chlorite were carried out on glycolated samples
163using Jade software with the empirical factor of [Biscaye \(1965\)](#). The illite chemical index was
164estimated using the ratio of the 5 A° and 10 A° illite peak areas of ethylene-glycolated samples
165([Esquevin, 1969](#)), while the illite crystallinity was estimated with the full width at half maximum
166of the illite 10 A° peak ([Ehrmann, 1998](#)).

167 The major and trace elements concentration were measured at the State Key Lab of Ore Deposit
168 Geochemistry, Institute of Geochemistry, Chinese Academy of Sciences, Guiyang, using a Varian
169 Vista Pro ICP-AES and a PerkinElmer Sciex ELAN 6000 ICP-MS respectively. Organic matter,
170 carbonate and Fe-Mn oxides were removed using 10% H₂O₂ and 0.5 mol/L HCl respectively. The
171 pretreated sample powder was dissolved by a mixture of 1 ml HF and 1 ml HNO₃ in Teflon
172 beakers. Details are given in [Qi et al. \(2000\)](#). Fe (II) content was calculated by the titration of
173 potassium dichromate. Certified reference materials (GSR-3, GSR-5, GSR-6, OU-6, AGV-2,
174 GBPG-1) were used for quality control. The analytical precision is better than 5% for major
175 elements and 10% for trace elements. Enrichment factors (EF) were calculated to identify the
176 enrichment or depletion of major and trace elements compared to the Average Shale ([Wedepohl,](#)
177 [1971](#)): $EF_{\text{element}} = (\text{element}/\text{Al})_{\text{sample}} / (\text{element}/\text{Al})_{\text{Average Shale}}$. The rare earth elements (REE) were
178 normalized to chondrite composition ([Sun & McDonough, 1989](#)).

179 Twenty samples (ten brown and ten green samples) were measured for Sr-Nd isotopes
180 compositions on a Neptune Plus MC-ICP-MS (Thermo Fisher Scientific, Dreieich, Germany) at
181 the Wuhan Sample Solution Analytical Technology Co., Ltd., Hubei, China. After removing
182 carbonate, Fe-Mn oxyhydroxide and organic fractions, all samples were digested in HNO₃-HF-
183 HClO₄ mixtures. Sr and Nd were extracted by standard column procedures. Sr standard NBS 987
184 ([Thirlwall, 1991](#)) and Nd standard GSB04-3258-2015 ([Li et al., 2017](#)) were measured periodically
185 to check the reproducibility and accuracy. The experimental error of the analyzed ⁸⁷Sr/⁸⁶Sr and
186 ¹⁴³Nd/¹⁴⁴Nd is within ± 0.00001 and ± 0.000006, respectively. Nd results are expressed as εNd =
187 $[(^{143}\text{Nd}/^{144}\text{Nd}_{\text{sample}}) / (^{143}\text{Nd}/^{144}\text{Nd}_{\text{CHUR}}) - 1] * 10000$, where the CHUR (Chondritic Uniform Reservoir)
188 value is 0.512638 ([Jacobsen & Wasserburg, 1980](#)).

1894. Results

190 The main grain size, clay minerals and geochemical results are presented in [Figs. 2 to 5](#) and the
191 data are given in [Supplementary Table](#).

1924.1. Terrigenous grain size

193 The grain size of terrigenous fraction is very fine, which is mainly composed of silt (40-65%,
194 average 51%) and clay (35-60%, average 49%) ([Fig.2](#)). Green claystone is coarser than brown
195 claystone. The end-member (EM) analysis shows that three EM models are the best optimization
196 because of the high linear correlation index R^2 (above 0.9) and the low angular deviation (below
197 5). All EM have a clearly defined dominant mode. EM1, EM2 and EM3 have a modal grain size
198 of 3 μ m, 4 μ m and 6 μ m respectively. The relative contributions of the EM1 varies between 15%
199 and 73%, with an average of 41%, EM2 between 0 and 50%, with an average of 29%, and EM3
200 between 2% and 72%, with an average of 30%. The variation of EM2 shows a similar trend
201 compared to the reflectance a^* . After about 17 Ma, the percentages of EM3 increased and
202 maintained at a high level.

2034.2. Clay minerals

204 The clay mineral assemblages from measured section mainly consist of smectite (19-69%) with
205 an average content of 41% and illite (21-58%) with an average content of 42%. Kaolinite (2-17%)
206 and chlorite (2-19%) are present in lesser amount with average contents of 10% and 7%,
207 respectively ([Fig.3](#)). From bottom to top, the content of smectite increases gradually, while the
208 content of illite and kaolinite decreases. Although the clay mineral assemblages show a certain
209 range of fluctuations, these fluctuations have no corresponding relationship with the change of

210 sediment color.

2114.3. Geochemistry

212 The major element of samples consists mainly of SiO₂ (not measured) and Al₂O₃, with lower
213 concentration of K₂O, Fe₂O₃, FeO, MgO, Na₂O, CaO, TiO₂, P₂O₅ and MnO (Fig.4). The content of
214 total Fe ranges from 5.33-12.10% and it does not show much differences between brown and
215 green claystone (Supplementary Table). While content of FeO (0.85-2.09%) and Fe₂O₃ (3.83-
216 10.87%) shows high correlation with the sediment color change. The MnO (0.02-8.87%) and other
217 major elements do not show corresponding relationship with sediment color change.

218 In terms of rare earth elements, as shown in Fig.5, the chondrite-normalized distribution
219 patterns of REE are similar to those of Post Archean Australian Shales (PAAS). Samples and
220 PAAS have similar LREE enrichment and Eu negative anomaly. There is no difference in
221 chondrite-normalized distribution patterns of REE between brown and green claystone samples.

222 Sr-Nd concentrations and isotopic ratios are shown in Fig.7, Fig.8 and Supplementary Table.
223 The Sr and Nd concentrations vary in 60.8-132 ppm and 24.1-38.8 ppm, respectively. The ⁸⁷Sr/⁸⁶Sr
224 and εNd show a narrow range between 0.717628-0.730810 and -9.07--10.75, respectively. The Sr-
225 Nd isotopic values show weak variations when the sediment color changes.

2265. Discussion

2275.1. The origin of brown color of the U1503A sediment

228 Previous studies on pelagic brown claystone in many regions show that the brown color of
229 sediments is mainly controlled by Fe- or Mn- bearing minerals (Lyes, 1983; Löwemark et al.,
230 2008; Cai et al., 2009; Hu et al., 2012a). Our results show that the sediment color in U1503

231(reflectance a*) also show close relationship with the content of Fe₂O₃ and FeO (Fig.4). Compared
232with the green claystone, the brown claystone has higher content of Fe₂O₃ and lower content of
233FeO. The MnO concentration does not show clear correlation with the sediment color (Fig.4).
234Therefore, we suggest that the brown color of U1503A sediment is mainly caused by the higher
235content of Fe (III)- bearing minerals.

236 Among many Fe- bearing minerals, there are two main types that can make sediments red or
237brown, which are iron-rich smectite and Fe oxides (hematite or goethite) (Lyle, 1983; Hu et al.,
2382012a). For the iron-rich smectite, Fe is immobile and can be reduced or reoxidation easily (Lyle,
2391983). The green pelagic sediments can turn into brown in a few minutes in peroxide condition
240(Lyle, 1983). However, in this study, the variations of Fe and smectite content in U1503A
241sediments are totally different (Fig.3, Fig.4), and the green claystone did not turn into brown after
242treated by 10% H₂O₂. This indicates that the iron-rich smectites are not the coloring media of the
243brown claystone in U1503A. Therefore, we suggest that the brown color of U1503A sediment in
244the early-mid Miocene should be attributed to the Fe oxides (hematite or goethite). More Fe (III)
245oxides result in brown coloring of sediments, while less Fe (III) oxides will cause sediment green
246or gray. This characteristic of Fe (III)-rich and Fe (II)-poor is also widely observed in marine red
247sediment from other regions, such as Cretaceous red shales in Tibet and Cretaceous red mudstone
248at ODP Site 641A (Hu et al., 2006; Wang, 2011). According to the measurement (Table 1), Fe₂O₃
249in red/brown clayey sediment or claystone is usually higher than 7.5 %, and lower than 4.5 % in
250other color clayey sediments. While in limestone, much less content of Fe₂O₃ will generate
251reddish color.

2525.2. The mechanism controlling the valence state of Fe in U1503A sediments

253 Since the content of total Fe does not show much differences between brown and green
254claystone, the valence state of Fe in U1503A sediments might be a key factor leading to the brown
255color. There are several factors that could affect the content or valence state of Fe in sediment,
256such as biological effect (iron-bacterial mediation) (Mamet & Pr  at, 2006), sediment provenance
257(Ziegler & McKerrow, 1975), erosion intensity (Schwertmann, 1971; 1988) and redox condition
258(Wang et al., 2009; Hu et al., 2012a). The biological effect can be excluded first in this study,
259because iron in sediments affected by the iron-bacterial mediation is not dispersed at random but is
260concentrated in a number of specific sedimentary features, like stromatolites and oncolites (Mamet
261& Pr  at, 2006). While brown claystone of U1503A is uniformly brown and there is no such
262sedimentary feature in U1503A (Childress et al., 2020). Therefore, we suggest that iron-bacterial
263has little effect on the variation of the valence state of Fe in U1503A. Other factors as sediment
264provenance, erosion intensity and redox condition are discussed in detail below.

2655.2.1. Sediment provenance effect

266 The Pearl River and rivers from Taiwan and Luzon islands are the main sources of detrital
267sediments in the northern SCS (Hu et al., 2013; Liu et al., 2016). The sediments from other
268sources like the Mekong River and Red river are negligible because of the long transport distance
269and the topographic barriers during transportation (Liu et al., 2010; Liu et al., 2016). However,
270Taiwan may not be a sediment source until the rapid uplift of Taiwan at Late Miocene (Huang et
271al., 2006; Chen et al., 2015). Therefore, the main premise of provenance analysis is that the main
272sediment sources around the northern SCS during the early-mid Miocene can be limited between
273the South China and Luzon islands.

274 Three provenance analysis indexes were used to discriminate the provenance effect. First, the
275 clay mineral assemblages were used, because the distribution of clay mineral assemblages in the
276 SCS is primarily controlled by the clay mineral compositions of surrounding rivers (Liu et al.,
277 2016; Wan et al., 2017). Sediment from Luzon rivers has a dominance of smectite, reflecting the
278 eroding of the mafic volcanic bedrock (Liu et al., 2016). The modern Pearl River and the
279 Holocene Pearl River from South China have totally different clay mineral assemblages. The
280 modern Pearl River is more kaolinite-rich and smectite-poor compared with the Holocene Pearl
281 River, suggesting an increased weathering and eroding caused by human activities (Hu et al.,
282 2013; Clift et al., 2014). Clay mineral assemblages at U1503A samples are dominated by illite
283 (42%) and smectite (41%) (Fig.3). Kaolinite (10%) and chlorite (7%) only account for a small
284 percentage (Fig.3). As shown in the Fig.6, the clay mineral assemblages of U1503A samples are
285 subparallel to the illite+chlorite-smectite line, suggesting a mixture of multiple sediment
286 provenances from paleo-Pearl River and Luzon. Interestingly, samples are closer to the Luzon end
287 member at 17-15 Ma. This can be explained with two different mechanisms, either due to
288 provenance migration or due to different chemical weathering conditions (Chamley, 1989). More
289 evidences are needed to judge which explanation is more appropriate. In general, what we can
290 know from the clay mineral is that the South China and Luzon are the main sources of the early-
291 mid Miocene sediments from U1503A, of which illite are mainly supplied by Pearl River and
292 smectite are mainly provided by Pearl River and Luzon rivers..

293 Then, the REE results were analyzed, and which show that brown and green claystone samples
294 at U1503A have highly similar Chondrite normalized REE distributions with PAAS (Fig.5), such
295 as the common Eu depletion, LREE enrichment and relatively flat curves of HREE. The PAAS is

296generally considered as the representative of the upper crust composition. The negative Eu
297anomaly means that volcanic or hydrothermal origin have little contribution to U1503A sediments.
298Therefore, we conclude that the highly coherency of REE variations indicate the similar sources of
299the brown and green claystone, and the chemical weathering condition may lead to the variation of
300clay mineral assemblages.

301 Finally, Sr-Nd isotope was utilized, and which is a more robust indicator of sediment
302provenance in marine sediments than clay minerals and REE.(Clift et al., 2014; Wan et al., 2017).
303The Nd isotope composition is not affected by the chemical weathering and transport process, but
304only controlled by the lithology and geologic age of source rocks (Goldstein et al., 1984; Wan et
305al., 2017). Although the previous studies show that the chemical weathering and grain size have
306influence on the silicate $^{87}\text{Sr}/^{86}\text{Sr}$ value (Chen et al., 2007a; Hu et al., 2013), we suggest that these
307effects can be negligible here. The main reason is that the sediment at U1503A has very fine grain
308size (mainly composed of silty clay) and the grain size shows low correlation with $^{87}\text{Sr}/^{86}\text{Sr}$ values
309(Fig.7a), suggesting the insignificant influence of grain size on Sr isotope compositions. The
310 $^{87}\text{Sr}/^{86}\text{Sr}$ values of U1503A samples vary in a narrow range (Fig.8) and do not show the same trend
311as the typical chemical weathering proxies (e.g., CIA, Al/K). Therefore, $^{87}\text{Sr}/^{86}\text{Sr}$ and ϵNd can be
312used to further constrain the provenance of U1503A sediments. Fig.7b shows the field into which
313all U1503A samples fall compared with data from the modern Pearl River (Liu et al., 2007),
314Holocene Pearl River (Hu et al., 2013) Luzon rivers (Goldstein & Jacobsen, 1988), and modern
315SCS seafloor surface (Liu et al., 2016). The $^{87}\text{Sr}/^{86}\text{Sr}$ and ϵNd of U1503A samples distribute in a
316relatively concentrated area and lie close to the mixing line of Luzon and modern Pearl River
317(Fig.7b), suggesting that sediment provenance is stable. Therefore, the 17-15 Ma samples closer to

318the Luzon in clay mineral source discrimination diagram (Fig.6) is not due to the provenance
319change, but may be due to different chemical weathering conditions.

320 Based on the above discussion on clay mineral, REE and Sr-Nd isotope, we can conclude that
321the early-mid Miocene U1503A sediments have stable provenances, and the detrital sediments
322mainly come from South China (Pearl River) and Luzon. The relatively stable provenance means
323that sediment provenance has little influence on the variation of Fe (II) and Fe (III) concentration.
324Hence, the valence state of Fe from U1503A sediments may be controlled by other factors.

3255.2.2. Erosion intensity effect

326 Geochemistry, grain size and clay minerals have been widely used for measuring the strength of
327erosion in marine sediments (Clift et al., 2014; Wan et al., 2009; 2017). In this paper, the chemical
328index of alteration (CIA), EM3, Ti/Na, Al/K, smectite/(illite+chlorite) and $^{87}\text{Sr}/^{86}\text{Sr}$ are used to
329reconstruct the intensity of weathering. CIA is based on the hypothesis that relative mobile
330elements (Ca, K, Na) will be leached during alteration, whereas the immobile element Al remains
331concentrated in residues of weathered rocks (Nesbitt & Young, 1982). CIA is defined as
332 $100 \cdot \text{Al}_2\text{O}_3 / (\text{Al}_2\text{O}_3 + \text{CaO}^* + \text{Na}_2\text{O} + \text{K}_2\text{O})$, which is calculated with molecular weights of oxides
333(Nesbitt & Young, 1982). CaO* means the CaO content in the silicate fraction of the sample.
334Ti/Na and Al/K are also the sensitive chemical weathering indicators, because alkali elements, like
335Na and K, are relatively mobile in water, while the Ti and Al are less mobile during the breakdown
336of the minerals (Nesbitt et al., 1997; Clift et al., 2014). Smectite and kaolinite are formed by
337chemical alteration, while illite and chlorite are formed by physical erosion or weathering under
338moderate hydrolysis conditions (Chamley, 1989). Stronger weathering is indicated by higher ratios
339of smectite and kaolinite over illite and chlorite (Chamley, 1989; Hu et al., 2013). When the

340source is stable, $^{87}\text{Sr}/^{86}\text{Sr}$ is used to reconstruct the chemical weathering, with higher $^{87}\text{Sr}/^{86}\text{Sr}$
341meaning stronger alteration (Hu et al., 2013; Clift et al., 2014). Although previous studies show
342that hydraulic sorting may also influence the sedimentary elemental ratios, Wan et al. (2007, 2009)
343indicated that there is no significant influence of sea level change on the sedimentation at ODP
344Site 1146 based on the lack of correlation between terrigenous MAR, grain-size, and global sea-
345level since 20 Ma. Compared with ODP Site 1146, IODP Site U1503A is deeper and located
346further oceanward, which means that the hydraulic sorting effect on sedimentary elemental ratios
347can also be negligible at Site U1503A samples.

348 In terms of grain size, the end member analysis can be used to sort the grain size distributions
349into geologically meaningful end members (Paterson & Heslop, 2015). Sediment grain size end
350members are also good proxy of erosion intensity (Wan et al., 2007; He et al., 2020). At Site
351U1503A, EM3 (average: 30%) and EM1 (average: 41%) are the coarsest and finest sediments,
352respectively. In previous studies, such as offshore Namibia (Stuut et al., 2002), continental slope of
353the northern SCS (Wan et al., 2007), and northern Arabian Sea (He et al., 2019), the coarsest
354(except the turbidity sediment) and the finest end member of bathyal-abysmal sediments was
355usually interpreted as eolian dust and fluvial mud. Wan et al. (2007) suggest that the coarsest end
356member of ODP Site 1146 in the northern SCS have the similar grain-size distributions compared
357with typical loess samples. And the Pearl River supplies about 84.3 Mt/year sediment to the SCS
358(Zhang et al., 2012), meaning that the major terrigenous sediment input of northern SCS should be
359of fluvial origin (Li et al., 2003; Wan et al., 2007). Hence, in this paper, the coarsest EM3 and the
360finest EM1 were also interpreted as eolian dust and fluvial origin, respectively. EM2 (average:
36129%) is the intermediate end-member. Shao et al. (2007) suggested that there is a strong bottom

362current along the northern SCS margin according to the seismic profile analyses (drift sediment
363accretion). The existence of bottom current is also supported by the temporal and spatial
364variability of clay mineral assemblages in sediments from northern SCS (Liu et al., 2010).
365Similarly, sedimentary magnetic properties suggest a strong deep water current at Last Glacial
366Maximum in the northern SCS (Zheng et al., 2016). Furthermore, the bottom current in the
367northern SCS, a branch of West Pacific Ocean Current, would be more controlled by the West
368Pacific deep water during the early-mid Miocene because the subduction-collision event in Taiwan
369region and uplift of Luzon Strait did not occur and the SCS basin was open to the Pacific (Li et al.,
3702007; Chen et al., 2015). Hence, the sediment transport process by bottom current in the northern
371SCS should also be considered. The intermediate EM2 can be reasonably considered as deep water
372current origin.

373 According to above discussion, the weathering proxies (CIA, EM3 (eolian dust), Ti/Na, Al/K,
374smectite/(illite+chlorite) and $^{87}\text{Sr}/^{86}\text{Sr}$) can be used to indicate the variation of erosion intensity. As
375shown in the Fig.8, the very similar trends of CIA, Ti/Na, Al/K, smectite/(illite+chlorite) at Site
376U1503A indicate an overall rising trend in chemical weathering intensity in South China during
377the early-mid Miocene. Most samples have a high value of CIA (>80), which suggest an extremely
378strong weathering condition on the whole (Fedo et al., 1995). Since about 17 Ma, all values of
379these chemical weathering proxies (CIA, Ti/Na, Al/K, smectite/(illite+chlorite)) increase abruptly
380and maintain at a higher level, which can be interpreted as a stronger chemical weathering
381environment, such as stronger summer monsoon with warmer temperature and higher
382precipitation. Furthermore, EM3 (eolian dust), which usually can be associated with physical
383erosion (Wan et al., 2007), also show the similar variations with above chemical weathering

384proxies. The high values of EM3 (eolian dust) since 17 Ma indicate that physical erosion was also
385enhanced. However, the $^{87}\text{Sr}/^{86}\text{Sr}$ values are relatively stable and do not increase like other proxies,
386possibly suggesting the non-uniqueness about the interpretation of seawater strontium isotope
387ratio (Wan et al., 2009).

388 Because the sediment source did not change at the early-mid Miocene, we can conclude that the
389source had gone through stronger chemical weathering and physical erosion since 17 Ma based on
390the multiple erosion indicators. This conclusion has also been supported by analyses of clay
391mineral and geochemistry of sediments at ODP Site 1146 and 1148 (Wan et al., 2009; Clift et al.,
3922014), and regional seismic reflection profile analysis through the Asian marginal seas (Clift,
3932006). According to the $\delta^{18}\text{O}$ record of Miller et al. (2020), 17-13.8 Ma was the Miocene Climatic
394Optimum (MCO) with the warmest climate since the early Oligocene. As shown in the Fig.8, the
395enhanced erosion and the MCO occurred at the same time. Considering that there is no strong
396tectonic movement in the SCS region during the early-mid Miocene (Sun et al., 2009; 2014), the
397global MCO (high temperature and strong precipitation) maybe the major mechanism to cause
398increased erosion.

399 Schwertmann (1971; 1988) suggested that dry and warm climate is conducive to the formation
400of hematite. Hu et al. (2012b) and Clift et al. (2014) use hematite/goethite as an indicator of dry
401winter monsoon. Hematite is normally considered as the key determinant for the red and brown
402colors in many marine brown claystone (Cai et al., 2009; Hu et al., 2012a). It means that dry and
403warm climate will lead to more hematite formation, which in turn will cause red and brown
404coloring of sediments. However, compared with sediment color and multiple erosion intensity
405indicators in this study, we don't find such correlation (Fig.8). For example, since the 17 Ma, both

406 physical and chemical weathering were intensified. It indicates a seasonal climate with dry periods
407 between summer rains which is in favour of hematite formation. However, the sediment does not
408 show redder than they were before the 17 Ma (Fig.8). Hence, we suggest that the valence state of
409 Fe from U1503A sediments are not controlled by the erosion intensity.

4105.2.3. Redox condition effect

411 The above-mentioned discussions indicate that Fe oxides are unlikely affected by the factors as
412 iron-bacterial mediation, sediment source and erosion intensity. However, we are still not sure
413 whether the Fe oxides (hematite or goethite) are derived from continental weathering or formed
414 during syn-sedimentary or early diagenetic processes. To address this question, a correlation of
415 Fe_2O_3 and FeO with Al_2O_3 and TiO_2 (Fig.9) was analyzed. Al_2O_3 and TiO_2 are commonly used as a
416 representative of terrestrial fraction because both elements are relative immobile and not so
417 vulnerable to authigenesis or analytical errors (Clift et al., 2014). In the case that source and
418 erosion don't have much influence on Fe oxides, Fe_2O_3 and FeO would show positive linear
419 correlation with Al_2O_3 and TiO_2 if authigenesis and diagenetic processes are limited. However, as
420 shown in Fig.9, cross-plot of Fe_2O_3 and FeO versus Al_2O_3 and TiO_2 reveal a low covariation trend.
421 This indicates that authigenesis and diagenetic processes had affected the Fe (III) and Fe (II)
422 content strongly. Furthermore, Fe_2O_3 and FeO display no obvious correlation with grain size,
423 excluding the effect of grain size on the iron valence state. Hence, iron content from U1503A
424 brown and green claystone are foremost controlled by authigenic phases, meaning that redox
425 condition is the main cause of Fe changes in valence state. We suggest that iron imported from
426 terrestrial sources underwent transformation during syn-sedimentation and diagenesis process
427 under the influence of the redox environment.

428 In order to distinguish the redox environment difference between the brown and green
429 claystone, some of the redox sensitive elements (Ni, Co, V, Cr, U) are used as indicators of redox
430 condition because the solubility of these elements is strongly dependent on the redox gradients
431 established within the water column and/or below the sediment-water interface (Jones & Manning,
432 1994; Ferreira et al., 2020). In this paper, Ni/Co, U/Th, V/Cr, and U_{au} (authigenic $U = \text{total } U - Th/3$)
433 are used to estimate benthic redox condition. Ni/Co has been suggested as an index of oxygenation
434 in several studies, because both Ni and Co are relatively enriched in reducing condition and they
435 show a certain content correlation due to the differences of their geochemical behaviors (Jones &
436 Manning, 1994; Tribovillard et al., 2006). Jones & Manning (1994) suggested high value of Ni/Co
437 reflects reducing condition, while oxic sediment has $Ni/Co < 5$, dysoxic sediments between 5 and
438 7, and anoxic sediments > 7 . V/Cr has also been used as a redox index. Although Cr could be
439 exported to sediments under anoxic condition because it can readily complex with humic/ fulvic
440 acids or adsorb Fe- and Mn-oxyhydroxides, Cr is usually incorporated within the detrital clastic
441 fraction due to the large amount of Cr in the land source input (Jones & Manning, 1994;
442 Tribovillard et al., 2006). Whereas, V is usually bound to organic matter and concentrated in
443 reducing conditions (Jones & Manning, 1994; Tribovillard et al., 2006). V/Cr value above 4.25
444 represents anoxic environment, and the oxic to dysoxic transition occur at a V/Cr value of 2 (Jones
445 & Manning, 1994). U/Th and U_{au} are also sensitive redox condition indicators, with high value of
446 U/Th and U_{au} representing reducing condition. Th is independent of redox environments since Th
447 is relatively immobile and usually found in detrital fraction, whereas reducing conditions could
448 cause more authigenic U insoluble and fixed, leading to increasing overall U/Th and U_{au} (Jones &
449 Manning, 1994, Tribovillard et al., 2006). According to Jones & Manning (1994), U/Th of 1.25

450 and U_{au} of 12 are used as the thresholds of dysoxic and anoxic conditions, and U/Th of 0.75 and
451 U_{au} of 5 are the thresholds of oxic and dysoxic conditions.

452 However, before the above redox sensitive elements be used to identify the redox condition of
453 sediments, terrestrial detrital effect and hydrothermal sources effect (non-authigenic element) must
454 be excluded. As discussed above, U1503A has obvious negative Eu anomaly and show similar
455 trends of chondrite normalized REE patterns with PAAS (McLennan, 1989) (Fig.5), suggesting
456 that the sediments are mainly from the upper continental crust. Therefore, the contribution from
457 hydrothermal sources can be neglected. The detrital sources effect can also be excluded in this
458 study according to the correlation analysis of these redox sensitive elements with Al. As shown in
459 Fig.10, there is no significant correlation between trace elements (Ni, Co, V, Cr, U) and Al,
460 suggesting that these trace elements are strongly affected by authigenic diagenesis process rather
461 than by the detrital flux. Hence, elements Ni, Co, V, Cr, U can be used for redox condition
462 analysis.

463 As shown in the Fig.10, the results of redox environment discrimination demonstrate that
464 although there are few samples fall into the field of dysoxic condition, most samples plot within
465 the field of oxic condition. This means that both brown and green claystone from U1503A were
466 deposited under overall oxic environment. Furthermore, the above redox sensitive elements
467 (except Cr) are more depleted in brown than green claystone from U1503A above the background
468 established by the Average Shale (Fig.11), indicating the more oxygen-rich condition of brown
469 claystone than that of green claystone. The EF_{Cr} shows different characteristics from other redox
470 elements, which probably because Cr could be strongly influenced by detrital sources (Jones &
471 Manning, 1994; Tribouillard et al., 2006). Hence, we suggest that although both brown and green

472claystone are deposited under oxic environment at the sediment-water interface, the sedimentary
473condition of brown claystone are more oxygen-rich. This difference in redox conditions caused the
474variation of iron valence state, and finally leads to the variation of sediment color.

4755.3. The reason of redox condition change in SCS during the early-mid Miocene

476 Based on the above discussion, we can conclude that the color of U1503A sediment is mainly
477controlled by the redox condition at the sediment-water interface. This raises a new question: what
478caused the redox condition change during the early-mid Miocene? Previous studies suggested that
479sedimentary rate or paleo-productivity, geo-temperature, and deep water current have significant effects
480on the redox condition of sediments (Lyes, 1983; Glasby, 1991; Li et al., 2007; Jiang et al., 2019; Hu
481et al., 2012a). Low sedimentary rate or low primary productivity can stimulate the oxidation of iron,
482and resulted in the deposition of brown clays in modern Pacific (Lyes, 1983; Glasby, 1991). However,
483in this study, sedimentary rate of U1503A during the early-mid Miocene is around 0.67cm/kyr
484(Childress et al., 2020), which is 10^2 times higher than that of the modern Pacific brown clays (around
4850.01 cm/kyr). Meanwhile, the Ba/Al value, which is considered to be a paleoproductivity proxy due to
486the positive correlation with primary productivity (Dymond et al., 1992; Tribovillard et al., 2006), is
487similar in brown and green claystone of U1503A (Supplementary Table). Therefore, neither
488sedimentary rate nor primary productivity is responsible for the redox condition of the brown and green
489claystone at U1503A. In terms of geothermal effect, Jiang et al. (2019) suggested that the high oxygen
490fugacity environment in IODP Site U1434 brown beds originated from high geo-temperature
491during sedimentary-diagenesis process because of directly depositing on recently erupted basalts.
492However, we consider the oxic condition of U1503A sediment was not caused by the high geo-
493temperature according to the following three points of evidence: (1) the U1503A brown claystone

494are not directly deposited on the basalt basement. There are about 55 meters of gray claystone and
495dark gray chalk between the basalt basement and the brown claystone, which is classified as Unit
496III (Childless et al, 2020). If the high geo-temperature caused the oxic condition for U1503A
497brown claystone, such brown claystone should also occur in Unit III because they are closer to the
498basement and have the similar mineral composition; however, this is not observed. (2) more than
499ten layers of green interbeds occur in the research period, and which do not support the one-
500dimensional geo-temperature scenario. (3) the high geo-temperature during diagenesis process
501would not only promote the formation of hematite (Gialanella et al., 2010; Jiang et al., 2019), but
502also cause conversion of smectite to illite due to dehydration (Hower et al., 1976; Mevinier et al.,
5032004). However, compared with the green claystone, the illite content of brown samples does not
504increase, suggesting that high geo-temperature might not work (Fig.3).

505 After excluding the influence of sedimentary rate, primary productivity and geo-temperature,
506the change of deep water current became a most plausible factor. As indicated by the results of
507grain size end-member analysis (Fig.2), the EM2, representing the bottom current transport origin,
508shows a highly consistent content variation with sediment color (reflectance a*). The brown
509claystone has higher percentage of EM2, meaning a stronger bottom current, while the green
510claystone has the lower percentage of EM2, meaning a weaker bottom current. The highly
511consistent variation between EM2 and sediment color suggest that the variation of oxygen-rich
512deep water current may control the redox conditions of U1503A sediments during the early-mid
513Miocene.

514 Similar results are supported by studies on the data of physical property, benthic foraminifera,
515and stable isotopes from ODP Sites 1148, 1146, and 1143 in SCS (Li et al., 2007). The red brown

516 sediments in the SCS since the early Miocene have positive red parameter (a^*), low content of
517 CaCO_3 , more benthic species which prefer oxygen-rich bottom conditions, representing well
518 ventilation in deep water (Li et al., 2007). Jin et al. (2020) came to the analogous conclusions
519 according to analysis on IODP Site U1502 sediments in the SCS since the Late Miocene. The
520 sediment color reflectance, nannofossil, key element content, and clay minerals suggested that the
521 occurrence of the cyclicity-like brown to green transition in U1502 could result from the extent of
522 deep water ventilation, which is possibly related with deep oceanic circulation (Jin et al., 2020).
523 Hence, we conclude that the redox condition change in U1503A sediments during the early-mid
524 Miocene was controlled by the strength of oxygen-rich bottom current in the SCS basin. Strong
525 bottom current could bring high concentration of oxygen, which cause oxic condition in the deep
526 water and is favorable for the deposition of brown claystone. While weak bottom current cause
527 poor ventilation in deep water, which form less Fe (III) oxides and cause the green claystone
528 deposit (Fig. 13).

529 5.4. Implication to deep water circulation

530 Based on the above discussions and previous studies (Li et al., 2007; Hu et al., 2012a; Jin et al.,
531 2020), we suggest that the brown and green claystone of U1503A could reflect the variations of
532 circulation and deep water ventilation in the northern SCS. Furthermore, considering that the deep
533 water current of SCS has strong connection with the West Pacific deep water circulation, we
534 speculate that the transition of U1503A sediment color may have wide implication to large-scale
535 ocean current changes.

536 During the early-mid Miocene, the SCS was open to the western Pacific because the northwest
537 migration of Luzon arc and collision between the Eurasian Plate and the Philippine Plate had not

538 occurred yet (Huang et al., 2000; Chen et al., 2015). The well fit between SCS and Pacific benthic
539 $\delta^{13}\text{C}$ curve during the Miocene indicates that the deep water of the SCS and the western Pacific
540 could be exchanged freely during this period (Li et al., 2006; Chen et al., 2015). The Miocene
541 circulation patterns were greatly different from the modern ones (Holbourn et al., 2013). The
542 benthic $\delta^{13}\text{C}$ and Nd isotope data suggest that the deep water of Pacific was dominantly supplied
543 by the Southern Ocean during the early-mid Miocene (Wright et al., 1991; Ma et al., 2018), which
544 differed greatly from the modern Pacific deep water because the northern hemisphere was
545 relatively warm and the North Atlantic Deep Water formation remained weak until the late
546 Miocene (Butzin et al., 2011; Holbourn et al., 2013). Hence, during early-mid Miocene, the
547 ventilation of the western Pacific would be strongly affected by the strength of AABW. And cold
548 climate would be in favour of the AABW formation (Talley et al., 2013; Holbourn et al., 2013;
549 Ferrari et al., 2014). Holbourn et al. (2013) suggest that the increased benthic foraminifers and
550 $\text{Log}(\text{Mn}/\text{Ca})$ during the middle Miocene colder episodes in the Pacific Ocean indicate
551 improvement of deep water ventilation and intensification of AABW. Similar results were also
552 presented by Ferrari et al. (2014) according to the expansion of Antarctic origin water volume at
553 the Last Glacial Maximum, and by Butzin et al. (2011) according to the modeling outcomes of
554 increased Pacific deep water production linked to the cooling of Antarctica at the middle Miocene.
555 Therefore, it is reasonable to infer that the cold episodes in early-mid Miocene would lead to
556 Antarctic ice sheet expansion and stronger north-ward AABW than other stages, which may in
557 turn cause better ventilation in SCS and western Pacific deep water.

558 Because of the low recovery rate and poor CaCO_3 reservation at U1503A brown claystone, the
559 benthic $\delta^{18}\text{O}$ records of adjacent ODP Site 1148 (Cheng et al., 2004) is utilized as a reference to

560that of U1503A. As shown in the [Fig.12](#), the ventilation proxies (reflectance a^* , EM2 and
561 Fe^{3+}/TFe) of SCS and $\delta^{18}O$ of Site 1148 and Pacific varied in the same pace. The green intervals
562were mainly deposited during the warmer periods ($\delta^{18}O$ decreases), while the brown claystone
563were mainly developed during the colder periods ($\delta^{18}O$ increases). This indicates that ventilation
564of the SCS deep water would be strongly affected by the strength of the AABW. Therefore, the
565sediment color of brown or green at U1503A was conjectured to be related with the expansion or
566reduction of AABW volume due to the glacial-deglacial cycles in early-mid Miocene. During the
567glacial stages ([Fig.13a](#)), more oxygen-rich south-sourced deep water flow into the SCS.
568Consequently, the deep water in the SCS could be well-ventilated, causing the brown coloring of
569sediments. While during the deglacial stages ([Fig.13b](#)), the southern-sourced deep water volume
570decreased, resulting in lower content of dissolved oxygen in the SCS deep water which in favour
571of deposition of green claystone. We suggest that the brown to green variations in U1503A
572sediment have significant implications to the ventilation in SCS deep water and to the Pacific
573Ocean circulation changes. It is an important evidence for the changes in AABW circulation
574caused by the glacial-deglacial cycle during the early- mid Miocene.

575 The deposition of brown sediment in the SCS started at about 23 Ma, which corresponds with
576the southwestward shift of SCS spreading ridge. The tectonic movement caused the acceleration
577of seafloor spreading. The depth of central sea basin exceeded 3000 m ([Li et al., 2007](#); [Jian et al.,](#)
5782019). Since then, the oxygen-rich deep water of the western Pacific could enter the SCS deep-sea
579basin, resulting in the oxic sedimentary environment in deep-sea basin and the deposition of
580brown sediment. After middle Miocene, with the growth of Luzon arc and Taiwan, the poor
581connection of deep water between the SCS and western Pacific caused the isolation and which in

582 turn the poor ventilation of the SCS deep basin (Chen et al., 2015). The low concentration of
583 oxygen in deep SCS may lead to the gradual reduction and finally extinct of brown claystone
584 thereafter.

5856. Conclusion

586 Based on the analyses of reflectance a^* , grain size, clay minerals, major and trace elements, and
587 Sr-Nd isotope properties of brown and green claystone during the early-mid Miocene from IODP
588 Site U1503A in the northern SCS, we discussed the origin of the sediment color transition and
589 their implications to the deep water circulation. The main conclusions include:

590 (1) The early-mid Miocene (22.14-14.24 Ma) sediment from IODP Site U1503A is composed
591 of 13.04 m thick dark brown claystone and 5.49 m thick green gray claystone interbeds.

592 The brown and green claystone have similar contents of total iron, while the Fe (III) content
593 in brown claystone is significantly higher than that of adjacent green claystone. We suggest
594 that the relatively high content of Fe (III) oxides caused the brown color of sediment.

595 (2) The U1503A sediment had relatively stable provenances during the early-mid Miocene,
596 which are mainly supplied by South China (Pearl River) and Luzon islands. The
597 provenance had undergone strong chemical and physical erosion since 17Ma, which may be
598 associated with climate changes during the Miocene Climatic Optimum. After excluding
599 effects of iron-bacterial mediation, sediment source, and erosion intensity, we inferred that
600 the brown claystone may reflect more oxic sedimentary conditions than interbedded green
601 claystone.

602 (3) Considering the synchronous variation of ventilation proxies (reflectance a^* , EM2 and $\text{Fe}^{3+}/$
603 TFe) of SCS and $\delta^{18}\text{O}$ of Site 1148 and Pacific, we supposed that this kind of oxic

604 environment should be associated with the relative strong oxygen-rich deep water current.
605 During the glacial period, the more oxygen-rich and stronger bottom current from Antarctic
606 would bring high concentration of oxygen into the SCS through deep water current, which
607 caused oxic sedimentary condition and brown coloring of U1503A sediments. While during
608 the deglacial period, the Antarctic ice sheet reduced and the formation of AABW was
609 decreased. The SCS deep water would contain relatively low concentration of oxygen,
610 which in turn would favour the formation of green claystone.

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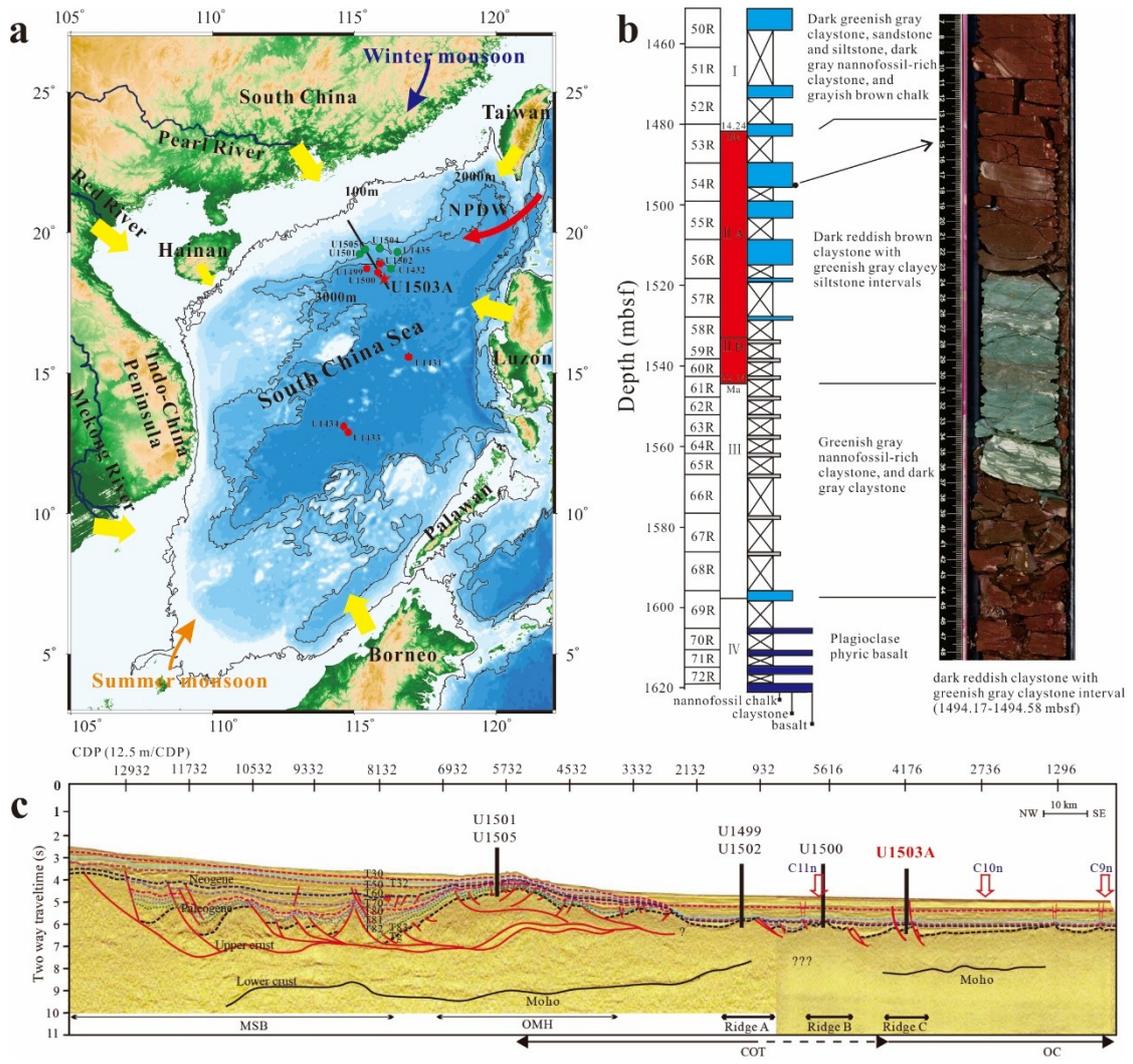
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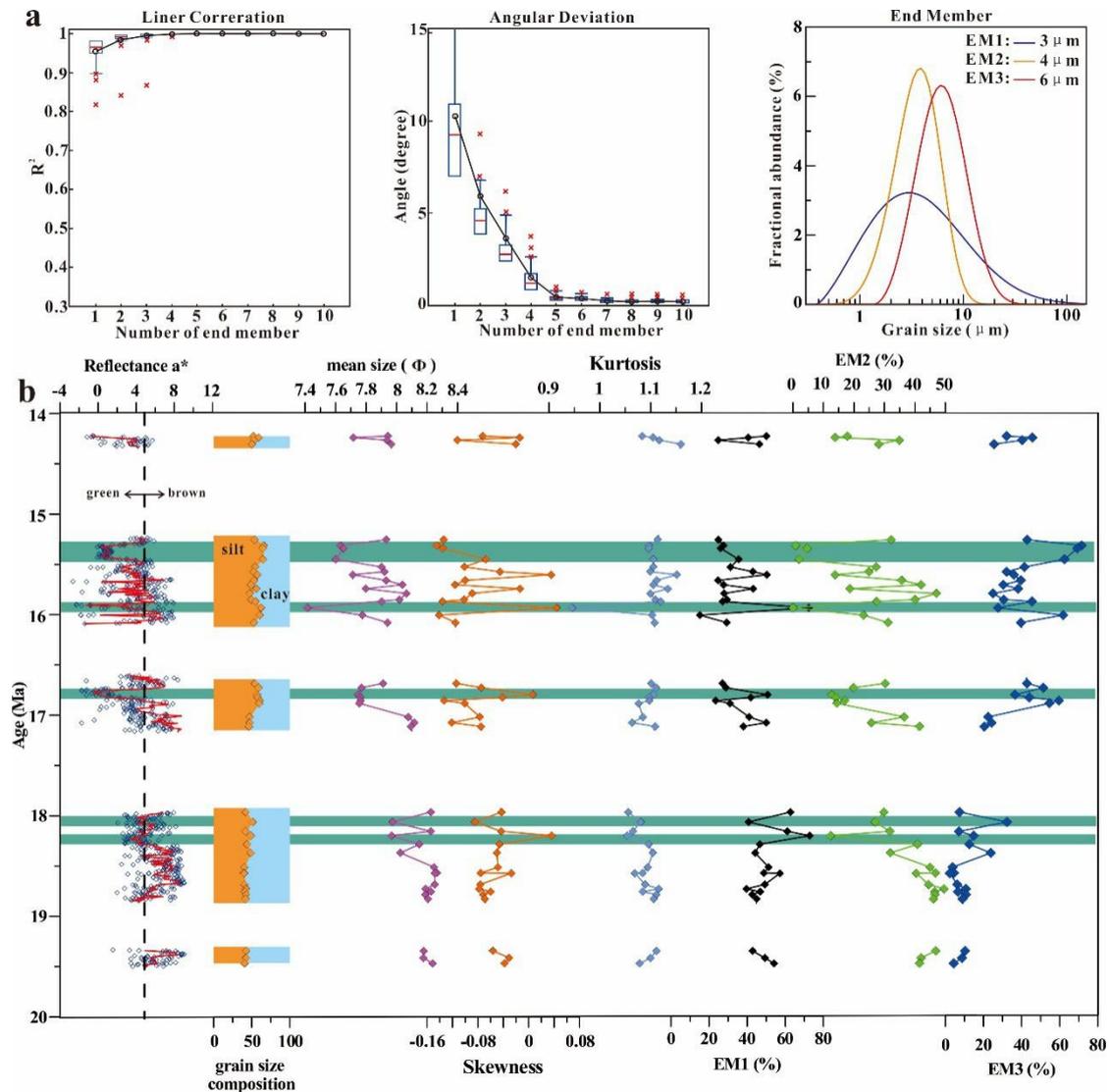
842Table 1. Iron geochemical data of marine red and green gray samples from U1503A and other

843regions

Area	Types of samples	Number of samples	Fe ₂ O ₃ (%)	FeO (%)	Fe ³⁺ /Fe ²⁺	Data source
IODP Site U1503A, SCS	Brown claystone	34	7.53	1.10	6.28	This study
	Green claystone	12	4.90	1.25	3.61	
Chuangde Section, Tibet	Red shale	14	8.39	1.77	4.27	Hu et al. (2006)
	Gray shale	2	1.77	6.68	0.24	
Chuangde Section, Tibet	Red limestone	4	4.27	1.80	2.14	Hu et al. (2006)
	Gray limestone	4	0.57	0.85	0.60	
Vispi Quarry Section, Italy	Red limestone	8	0.18	0.13	1.25	Li (2011)
	White limestone	5	0.08	0.11	0.65	
ODP Site 641A, Atlantic	Red mudstone	15	7.96	0.24	29.31	Wang (2016)
	Black shale	2	3.40	2.20	1.39	
Modern Pacific	Red clay		7.70	-	-	Glasby et al. (1991)



845 Fig. 1. (a) Bathymetry map of South China Sea indicating the location of IODP sites in the South
846 China Sea and the major river systems in East Asia. -100m, -2000m and -3000m isobaths are
847 shown with gray lines. Thick black line is the position of the seismic line showing in Fig. 1c. Red
848 star indicates the location of Site U1503A. Red circles represent the other drill sites recovered
849 brown claystone. Green circles are the drill sites without brown claystone. Modern summer and
850 winter monsoons are shown by orange and dark blue arrows, respectively. NPDW = North Pacific
851 Deep Water. Red arrow is the inflow of the NPDW. (b) Lithostratigraphy of Unit II of Site
852 U1503A with selected core photo showing brown claystone with green gray claystone interbed. (c)
853 Deep crustal time-migrated reflection seismic profile with interpretation. Site U1503A is located
854 on the top of the basement high named Ridge C. MSB-Mid slope basin, OMH-Outer Margin High,
855 COT-Continent Ocean Transition, OC-Oceanic crust, mbsf-meter below sea floor.



857 Fig. 2. (a) End member modeling results of the terrigenous fraction in the sediments of Unit II.

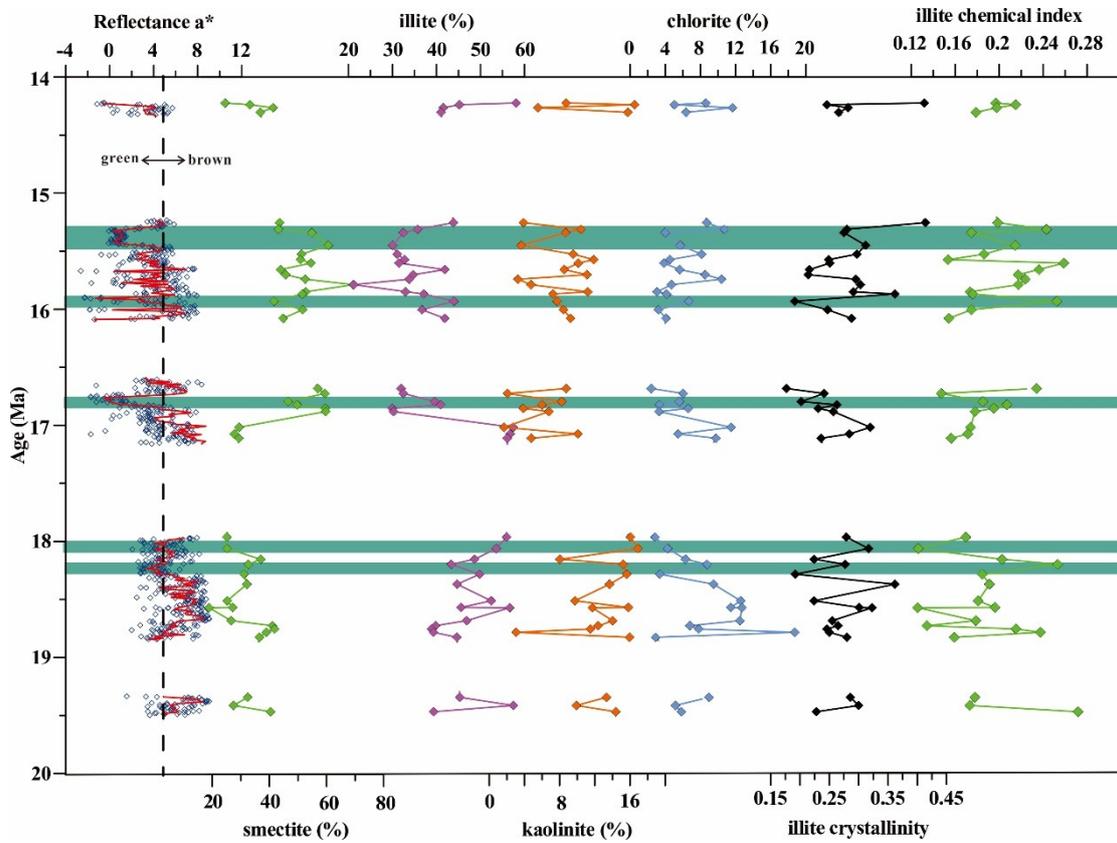
858 Three end members model is the best optimization ($R^2 > 0.9$, angular deviation < 5). Grain size

859 modes for EM1, EM2, and EM3 are 3 μm , 4 μm and 6 μm , respectively. (b) Variations of grain size

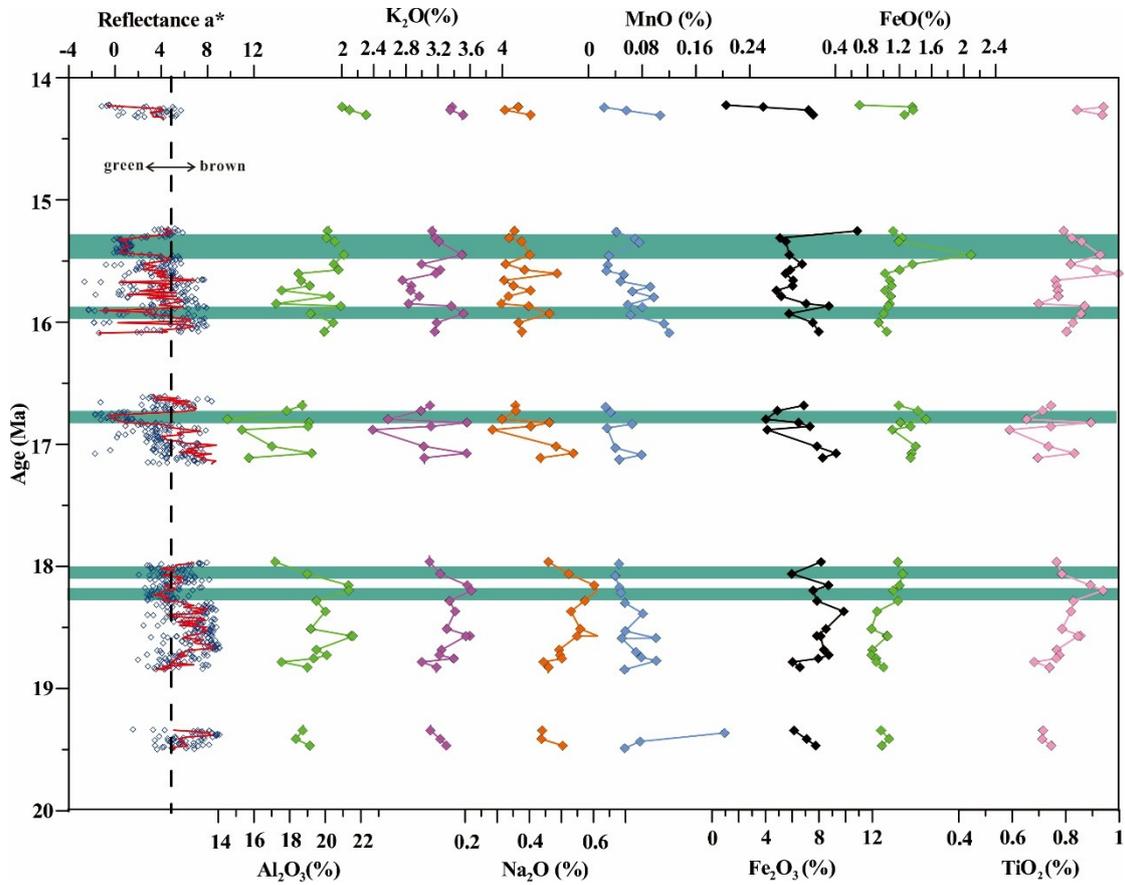
860 parameters (mean grain size, skewness, kurtosis, and sorting) and three end members. The shadow

861 areas represent five thicker green claystone intervals. Red curve in reflectance a^* is plotted with

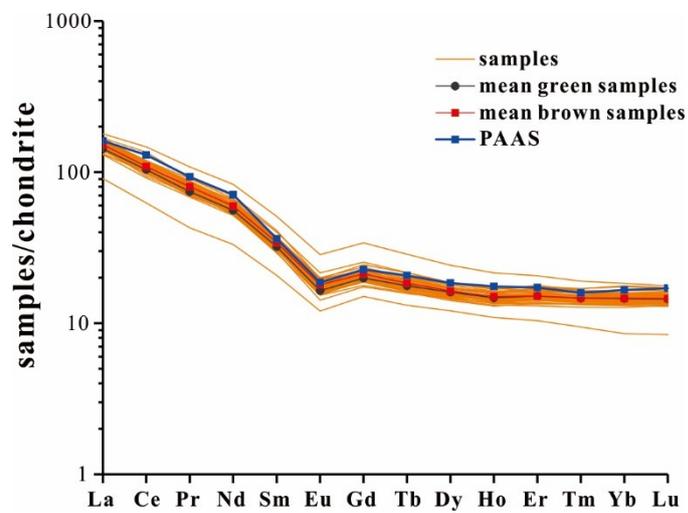
862 five-point running average, which is shown in the same way in the following figures.



864 Fig.3. Variations in clay mineral assemblages (smectite, illite, kaolinite and chlorite percentages),
 865 illite crystallinity index and illite chemical index for Unit II. The shadow areas represent five
 866 thicker green claystone interbeds.

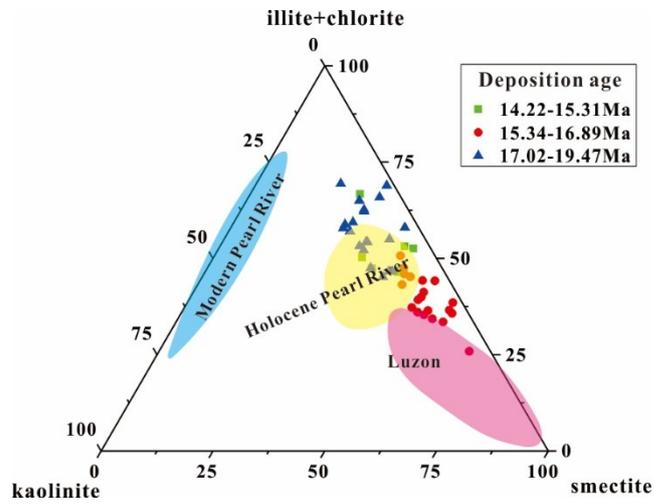


868 Fig.4. Variations of major element concentrations of Unit II samples from Site U1503A. The
 869 shadow areas represent the thicker green claystone interbeds.

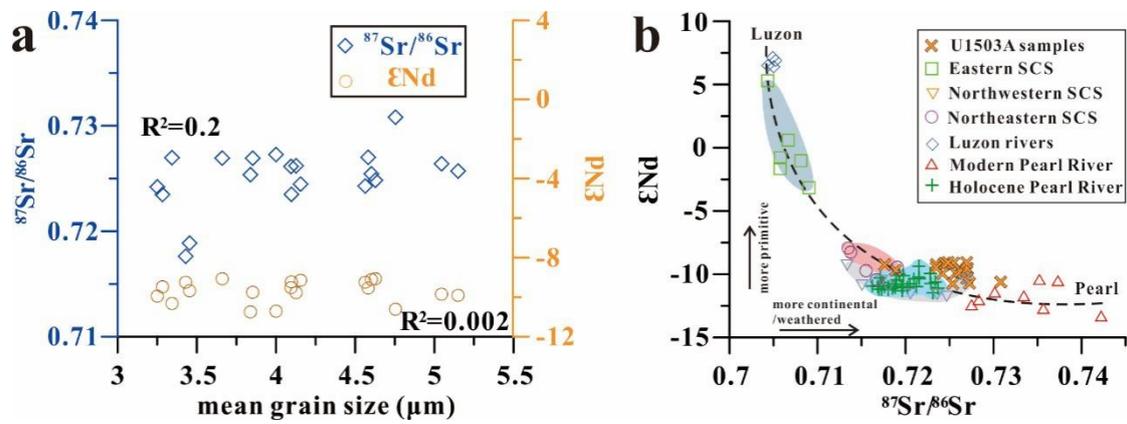


871Fig.5. Chondrite normalized REE patterns of brown and green samples from Unit II of U1503A,

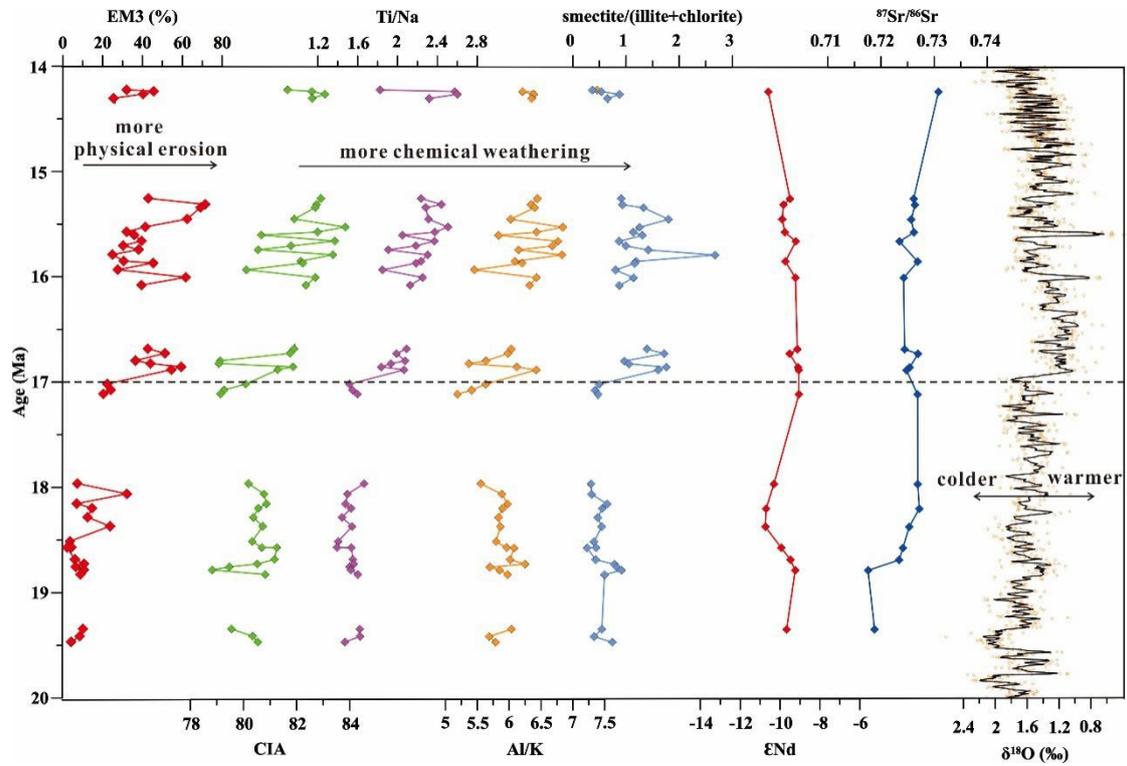
872as compared to the values of Post Archean Australian Shales (PAAS) (McLennan, 1989).



874Fig.6. Ternary source discrimination diagram. It shows that the clay mineral composition of Unit
 875II sediments from U1503A and their potential sources, including modern Pearl River, Holocene
 876Pearl River (Hu et al., 2013), and Luzon (Liu et al., 2010).



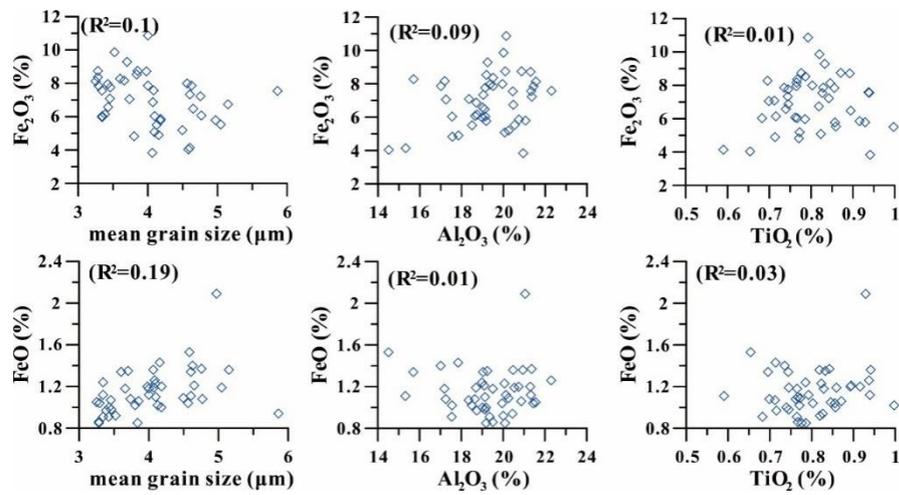
878 Fig. 7. (a) Correlation analysis of grain size and Sr-Nd isotopes. There is no obvious correlation
 879 between grain size and Sr-Nd isotopes, suggesting insignificant grain size influence on Sr-Nd
 880 isotopes. (b) Sediment provenance discrimination diagram of Sr-Nd isotopes. For comparison, the
 881 Sr-Nd isotope data of sediments from Luzon rivers (Goldstein and Jacobsen, 1988), modern Pearl
 882 River (Liu et al., 2007), Holocene Pearl River (Hu et al., 2013) and SCS seafloor surface (Liu et
 883 al., 2016) are plotted. The dashed lines indicated two end-members mixing between Luzon and
 884 Pearl River.



886 Fig. 8. Variations of multiple weathering proxies (including EM3, CIA, Ti/Na, Al/K, and

887 smectite/(illite + chlorite)), Sr-Nd isotopic composition and Pacific distributed benthic $\delta^{18}\text{O}$

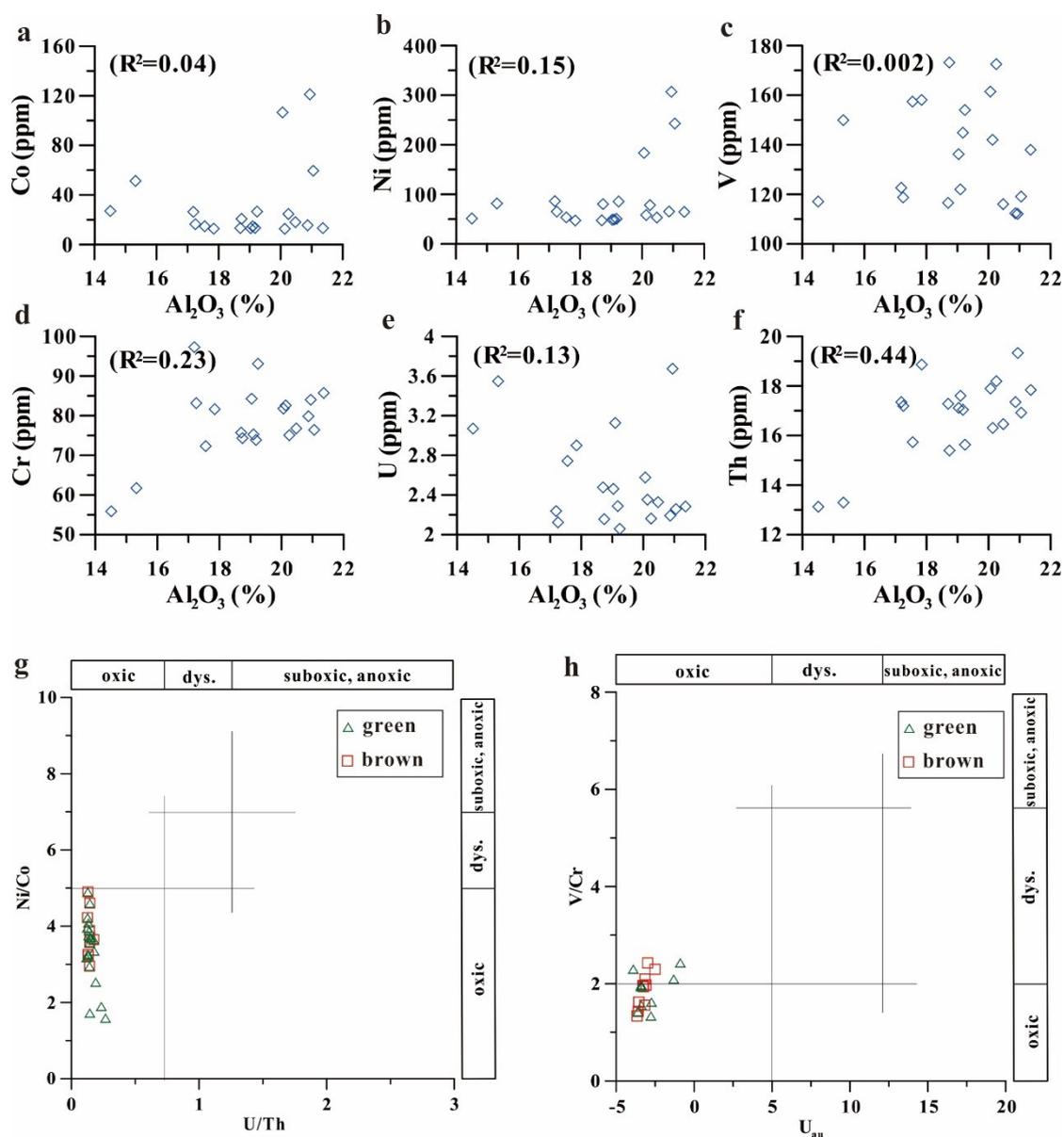
888 records (Miller et al., 2020). Black curve in $\delta^{18}\text{O}$ plot is a five-point running average.



890 Fig.9. Correlation analysis of Fe₂O₃ and FeO with Al₂O₃, TiO₂ and grain size. Fe(II) and Fe(III)

891 display poor correlation with terrigenous source elements (Al₂O₃ and TiO₂) and grain size,

892 indicating great authigenic fraction influence on the Fe content.



894 Fig. 10. (a-f) Crossplots between Al_2O_3 and redox sensitive trace elements (Co, Ni, V, Cr, U, Th).

895 Selected elements (except Th) show weak correlation with Al_2O_3 , suggesting the significant

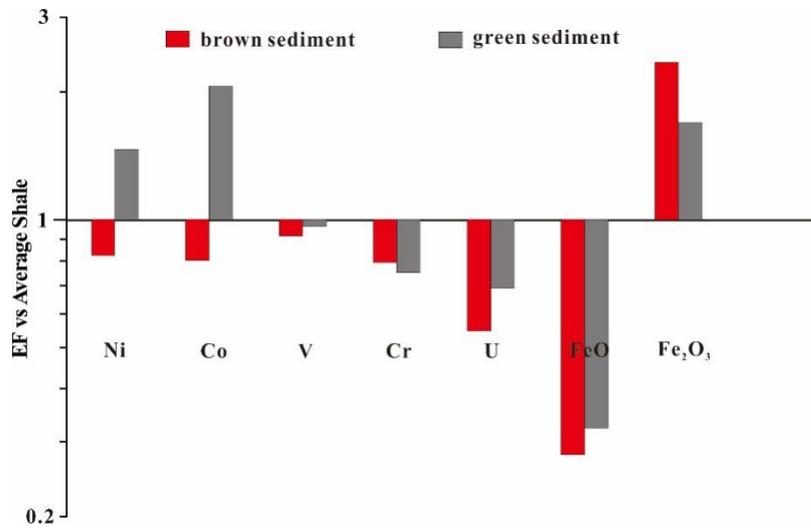
896 authigenic fraction effect. (g-h) Redox environment discrimination diagram of redox sensitive

897 trace elements ratios. Dys. = dysoxic. U_{au} (authigenic U) = total U-Th/3. The boundaries of oxic,

898 dysoxic and anoxic environments are adopted from Jones and Manning (1994) and Wignall and

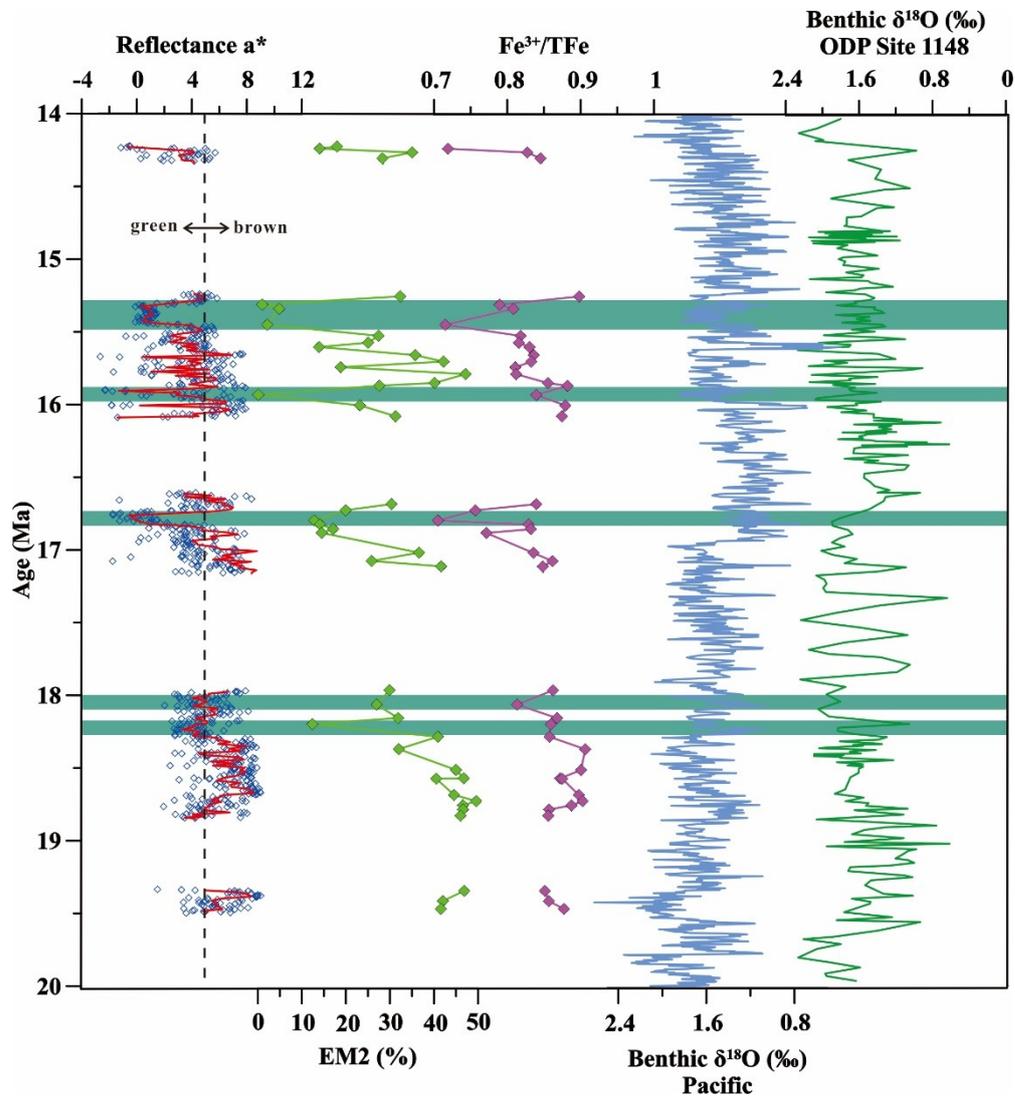
899 Twitchett (1996).

900



901 Fig. 11 Enrichment factors (EF) relative to Average Shale (Wedepohl, 1971) for FeO, Fe₂O₃ and

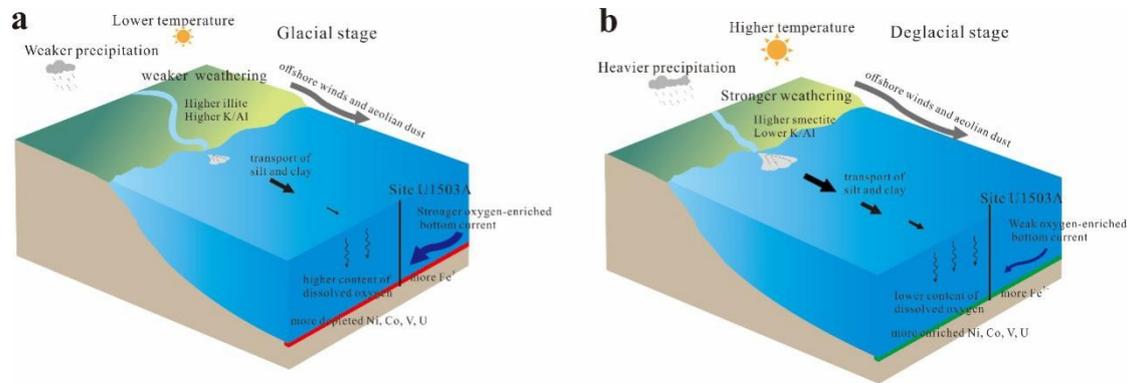
902 redox sensitive trace elements (Ni, Co, V, Cr, U).



904 Fig. 12 Variations of EM2, $\text{Fe}^{3+}/\text{TFe}$, ODP Site 1148 benthic $\delta^{18}\text{O}$ records (Cheng et al., 2004), and

905 Pacific distributed benthic $\delta^{18}\text{O}$ records (Miller et al., 2020). The shadow areas represent the

906 thicker green claystone interbeds.



908 Fig.13 Conceptual models showing the paleoclimate and bottom current in relation to the Early-
 909 mid Miocene sediments in South China Sea. (a) Glacial stage with lower temperature, less
 910 humidity, stronger oxygen-enriched bottom current, contrasting with (b) deglacial stage with
 911 higher temperature, heavier rainfall and weaker oxygen-enriched bottom current.