Evaluating sources of surface bias in HRRR using New York State Mesonet

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17

18 Abstract

In recent years, there has been increasing demand for applications of shortterm forecasting of 19 renewable energy potential and assessments of the likelihood of extreme weather events using 20 the High-Resolution Rapid Refresh (HRRR) model. Examining the biases in the newest version 21 of HRRR is necessary to promote further model development. Using data from the most 22 comprehensive and dense monitoring network, New York State Mesonet (NYSM), we evaluated 23 HRRR version 3 meteorological fields for an entire year. In this work, the land-atmosphere-24 cloud coupling system is evaluated as an integrated whole. We investigated the physical 25 processes influencing the soil hydrological balance and the thermodynamic interactions, from 26 surface fluxes up to the level of boundary layer convection from both temporal (seasonal and 27 diurnal) and spatial perspectives. Results show that the model 2m temperature and humidity 28 biases are seasonally dependent, with warm and dry bias present during the warm season, and an 29 30 extreme nocturnal cold bias in winter. The summer warm bias includes both a land-surfaceinduced bias and a cloud-induced bias. Inacurate representation of energy partition and soil 31 hydrological process across different land use types and hydrological bias of spring snow melt in 32 the land surface model is identified as the main source of the land-surface induced bias. A 33 feedback loop linking cloud presence, radiative flux changes and temperature contributes to the 34 cloud-induced bias. The positive solar radiation bias increases from clear sky to overcast sky 35 36 conditions. The most significant bias occurs during overcast and thick cloud conditions

associated with frontal passage and thunderstorms.

38 **1 Introduction**

Understanding land-atmosphere coupling is essential for improving weather forecasts at 39 multiple scales (Betts et al., 2013). Soil and vegetation influence the partition of surface energy 40 fluxes, which in turn affecting planetary boundary layer (PBL) development, convective 41 initiation, cloud development, and precipitation (Pleim et al., 2011; Smirnova et al., 2016; Sun et 42 al., 2017; Lee et al., 2018). Subsequent cloud formation and precipitation modulate the exchange 43 of radiation, heat, and moisture in the boundary layer, a feedback that alters surface 44 thermodynamic conditions (Stull, 1988; Fitzjarrald et al., 2001; Freedman et al., 2001; Betts & 45 Silva Dias, 2010). This complex, nonlinear feedback process has been the topic of numerous 46 simulation and observational studies dealing with the land atmosphere interaction (e.g., Eltahir et 47 al., 1998; Schar et al., 1999; Koster et al., 2004; Koster et al., 2006; Taylor et al., 2012; Williams 48 et al., 2016; Peters et al., 2017). Williams et al. (2016) evaluated the single-column version of 49 Community Land Model (CLM4.5) using observations in the U.S. Southern Great Plains. They 50 found the model underpredicted evaporative fraction and overpredicted the impact of soil 51 moisture, leading to biases in the 2-m air temperature and precipitation. Peters et al. (2017) 52 analyzed the impact of surface moisture on the forecasting of a mesoscale convective system 53 (MCS), finding that the initial onset and subsequent MCS displacement was strongly correlated 54 with the model bias in near-surface humidity. 55

The HRRR (High-Resolution Rapid Refresh) model was developed to serve the US severe weather and aviation forecasting community by providing frequently updated highresolution short-range weather forecasts (Benjamin et al., 2016). HRRR is highly flexible, and has been used to develop many valuable forecast applications, including more accurate predictions of thunderstorms and flooding potential (Bytheway et al., 2017; Katona et al., 2016; 61 Griffin et al., 2017), air quality (James et al., 2018), and renewable energy forecasting (Pichugina

- et al., 2017; James et al., 2017). The performance of HRRR forecasts in terms of land-
- atmosphere interaction has been evaluated in previous works (Wagner et al., 2019; Lee et al.,
- 64 2019). Wagner et al. (2019) evaluated HRRR diurnal variation of convective available potential
- energy (CAPE) against CAPE obtained from surface-based Atmospheric Emitted Radiance
- 66 Interferometer (AERI) measurements, finding that HRRR-forecasted CAPE lagged 2 to 4 hours
- 67 compared to the observations. This is believed to result from the lack of subgrid-scale clouds in
- version 1 of the HRRR. Lee et al. (2019) also evaluated the HRRR forecasted near-surface
- 69 meteorological fields and surface energy balance using measurements from two
- 70 micrometeorological sites. They found that although HRRR-forecasted near-surface temperature 71 and moisture are in good agreement with observations, there are notable positive biases in
- sensible heat flux, biases that might lead to modeled precipitation underestimates.

The complex landscape of New York State and its immediate surroundings offer a unique 73 opportunity to study the effects of aerosol-cloud-precipitation interactions on weather systems in 74 complex terrain that rely on strong land-atmosphere coupling. In response to increasingly 75 frequent extreme weather events, the University at Albany, SUNY (UAlbany) developed an 76 Early Warning Severe Weather Detection network. This unique network measurement suite, 77 known as the New York State Mesonet (NYSM), fills a critical need of providing data for in-78 depth model evaluation. It is well-suited for NWP model evaluations of mesoscale processes 79 and comparison with the NYSM observations forms the basis of this study to evaluate HRRR 80 model performance. Our goal in this study is to identify the spatial and temporal error structure 81 and sources of surface thermodynamic variables, and investitgate its link to land surface, 82 atmosphere and cloud coupling processes. Furthermore, we aim to indentify processes that are 83

84 candidates for inclusion during future model improvement.

85 2 Data and Methods

86 2.1 HRRR

HRRR v3 model is based on WRF-ARW v3.8.1. It was developed by NOAA, with 3-km 87 resolution, hourly updated, cloud-resolving, convection-allowing atmospheric model. The model 88 region covers the whole Continental United States (CONUS). The scalar grid dimensions are 89 1799×1059 . Since the operational version of the HRRR (currently HRRRv3) was implemented 90 on 12 July 2018, we will perform one-year evaluation between September 1, 2018 and August 91 31, 2019. In the HRRRv3, 15-sec land use information and 30-sec Leaf Area Index from 92 Moderate Resolution Imaging Spectroradiometer (MODIS) was used as initial condition. The 93 Rapid Update Cycle (RUC) land surface model is used to compute surface heat flux and 94 moisture exchanges (Smirnova et al., 2016). The surface skin temperature is calculated using 95 surface energy balance, itself controlled by the shortwave and longwave radiation budget, and 96 the energy partition of sensible and latent heat fluxes. A two-layer snow model was used in 97 RUC. Snow can be melted from the top and bottom of snowpack. Bottom layer melted water 98 infiltrates into soil, and tops layer goes into surface runoff (Smirnova et al. 2016). A frozen soil 99 physics algorithm is used in RUC to take into account freezing and thawing processes in soil. In 100 this algorithm, direct effect of energy releases in soil water changing phases and the change of 101 thermal conductivity of the soil column is considered (Smirnova et al. 2000). HRRR v3 used the 102 Thompson microphysics scheme with five hydrometeor types (cloud water, cloud ice, rain, snow 103 and graupel) which improved the upper level cloud biases in comparison to the previous version 104

105 (Thompson & Eidhammer, 2014). To better represent sub-grid scale shallow-cumulus clouds, the

106 MYNN Planetary Boundary Layer Scheme is used, with assumed sub-grid cloud probability

distribution functions to determine the subgrid scale cloud mixing ratio, cloud fraction, and the

buoyancy flux (Olson et al., 2019). The Rapid Radiative Transfer Model for GCMs (RRTM-G)
is used to estimate radiative forcing (Iacono et al., 2008). This study focuses on the short-term (1)

107 Is used to estimate radiative foreing (racono et al., 2008). This study foe
 110 - 24 hours) forecast from 0 UTC analyses.

111 2.2 New York State Mesonet (NYSM)

The diverse topography and mosaic of land cover types characteristic of New York State 112 elicit a strong challenge to models aiming to describe the impact of land surface/atmosphere 113 interactions on forecast quality. Large coverage of deciduous forest introduces strong seasonality 114 on land surface conditions, which in turn strongly modulate the surface energy partition, itself 115 partially controlling boundary layer development. Interleaved with forest are other important 116 117 surface types, such as forest, farmland and urban landscapes. This mosaic challenges the model's ability to represent the transition zones that separate land surface types. Complex terrain effects 118 such as valley-induced LLJs and channeling of the winds is important for the break of stability at 119 the early morning, which further complicates the cloud formation processes (Freedman et al., 120 2001; Freedman and Fitzjarrald, 2017). All of these characteristics provide a good testbed for 121

122 understanding the processes of land atmosphere-cloud coupling.



Figure 1. Topography of THE research area identifying the 17 profiler sites, 17 flux sites and 126
standard stations of the New York State Mesonet. Redfield (REDF) is a typical forest site.
Voorheesville (VOOR) is an orchard (farmland and forest mosaic) site. Staten Island (STAT) is
an urban site. The Adirondack mountains are primarily covered by deciduous forest.

In 2017, UAlbany deployed and began operating a dense environmental monitoring 128 network, New York State Mesonet (NYSM, http://nysmesonet.org/). The 180-site NYSM has 129 operated with state-of-the-art instrumentations, including 126 standard surface meteorology 130 stations, 17 flux towers and 17 atmosphere profilers, currently the most sophisticated, high 131 density (average distance between stations ~ 26 km) statewide observing network (see Figure 1). 132 The 126 standard surface stations measure not only standard meteorological variables 133 (temperature, humidity, wind speed and direction, pressure, and precipitation) but also soil 134 temperature and moisture at three levels, snow depth, and total surface short-wave (SW) 135 irradiance at 5-minute intervals (Brotzge et al., 2020). The sub-network of 17 enhanced surface 136 energy budget stations directly measure both incoming and outgoing shortwave and longwave 137 radiation, ground heat flux, and turbulent fluxes of momentum, sensible and latent heat, and 138 carbon dioxide with 30 minutes intervals. The locations of 17 flux sites were selected to 139 represent New York State land surface types, including farmland, forest, urban and etc. 140

Topograghy is also considered to avoid obstruction proximity (Covert, 2019). To be comparableto HRRR, only data sampled at an hourly interval are used in this paper.

As NWP models transit to a high-resolution convection-allowing framework, infrequent 143 atmospheric sounding profiles (currently only 2 soundings per day at three sites within New 144 York State) are inadequate. Recognizing this critical measurement gap, the NYSM operates 17 145 enhanced atmospheric profiler systems that are sited along population, transportation and utility 146 corridors, strategically positioned to capture upwind features approaching the station (Freedman 147 et al., 2016). Each profiler site is equipped with a wind Doppler LiDAR (WDL), a profiling 148 (multi-frequency) microwave radiometer (MWRP) and the environmental Sky Imager-149 Radiometer (eSIR). The profiler network continuously samples the vertical profiles of 150 temperature, humidity with MWRP from surface to 10 km (Yang & Min, 2018). MWRP 151 152 significantly increased temporal resolution of temperature and humidity vertical measurements. Compensated with higher vertical resolution from soundings, MWRP measurements can lead to 153 better understanding of boundary layer conditions. eSIR is a dual-measurement system 154 comprised of continuous (daytime) observations of aerosol and cloud optical depths, narrowband 155 spectral direct and diffuse radiation, and whole sky images (cloud distribution and motion for 156 solar energy forecasting). The WDL provides not only 3D wind fields (up to 7 km) but also 157 planetary boundary layer (PBL) height and vertical profile of aerosol optical properties 158 (synergistic with eSIR inferred aerosol optical depth). The multi-frequency MWRP independent 159 information on temperature and moisture, and cloud liquid water--crucial data for determining 160 upper atmospheric conditions (Yang & Min, 2018) and cloud optical properties (Min & 161 Harrison, 1996). This advanced instrument suite provides an unprecedented data stream of 162 aerosols, clouds, radiation, precipitation, multi-level soil moisture/temperature, snow depth/snow 163 water content, surface fluxes, and meteorological profile data at high spatial and temporal 164 resolution, providing the ability to track abrupt changes in thermodynamic profiles throughout 165 the state. 166

To quantify the cloud conditions at the standard sites where eSIR is not available, the observed global horizontal irradiance (GHI) or total solar radiation was used to calculate the clear-sky index (CSI). The clear-sky index is the ratio of observed GHI to the baseline GHI under clear-sky conditions in that month. A simple clear sky model was used to calculate clearsky solar radiation (Robledo & Soler, 2000):

172
$$GHI = A \times (\cos z)^{B} \times \exp(C \times (90^{\circ} - z))$$
(1)

Where GHI is the Global Horizontal Irradiance; z is solar zenith angle; and A, B, C are the fitting
parameters at specific site, derived from GHI measurements on selected clear-sky days in one
month. Consequently, the CSI describes the atmospheric clear-sky or cloudy-sky conditions,
defined as

177
$$CSI = \frac{GHI}{GH I_{clear-sky}}$$
(2)

178 Specifically, we classified the weather conditions as:

• Clear-sky conditions with CSI > 0.8.

- Transition conditions with CSI between (0.6, 0.8), in which either optically thin clouds or
 broken clouds are present in the sky.
- Overcast conditions with $CSI \le 0.6$.

183 **3 Results**

184 3.1 Temporal and spatial analysis of the HRRR 2-m forecast

The 2-m temperature and relative humidity are key meteorological parameters for 185 diagnosing and evaluating model performance. They are strongly influenced by the coupling 186 processes between land and atmosphere. During the warm season there are consistently warm 187 and dry biases during both daytime and nighttime (Figure 2). The daytime maximum temperature 188 bias, however, is anti-correlated with the bias of the nocturnal minimum temperature (r \approx -0.49). 189 This suggests that low-level cloud dynamics might play an important direct role in elucidating 190 the diurnal cycle of the warm season surface temperature bias. During the daytime, low-level 191 clouds tend to block the shortwave radiation and cool the surface. However, at night, the same 192 low-level clouds reduce the outgoing longwave radiation and keep the surface warm. An 193 194 inaccurate representation of low-level clouds during both daytime and nighttime is a possible reason for the negative correlation. In contrast, both biases of maximum and minimum 195 temperatures during the cold season show no significant average systematic biases, with extreme 196 197 negative biases on the coldest days. The daytime maximum temperature bias and nocturnal minimum temperature bias are positive correlated ($r \approx 0.36$), indicating potentially distinct 198 physical processes other than low cloud bias controlled the cold season surface biases. In winter, 199 the snow freezing and melting processes largely modulate the surface temperature and humidity. 200 The freezing and thawing processes in the snow cover and soil are possibly responsible for the 201 cold extreme biases (Viterbo et al., 1999; Garc'1a-D'1ez et al., 2013) 202 203



Figure 2. Average time series of data from 126 NYMN sites and simulation results from HRRR: (a) daily maximum temperature and (b) is daily minimum temperature; (c) corresponding relative humidity to daily maximum temperature. and (d) corresponding relative humidity to daily minimum temperature. The blue shaded area is the cold season and the red shaded area is the warm season.

The HRRR vegetation module incorporates monthly updated MODIS (Moderate 210 Resolution Imaging Spectroradiometer) satellite retrieved vegetation. In New York, the transition 211 seasons (spring, fall) rapidly progress northward in spring or retreat to the south in fall 212 (Fitzjarrald et al., 2001). Significant changes in vegetation "greenness", soil temperature, and 213 soil moisture in a few weeks during leaf emergence coincide with corresponding changes in the 214 surface energy partition. Figure 2 also shows these distinct seasonal transitions in the 2-m 215 temperature and RH bias characteristics that occurred around mid-May (during spring onset and 216 leaf emergence) as well as mid-October (during leaf senescence in New York State), indicating 217 that the HRRR model physics associated with the spring and fall season transition may lack 218 219 precision. Detailed statistics of daily maximum/minimum temperature and relative humidity for each month are listed in Table 1. These strong seasonality of biases in temperature and RH 220 suggests that there are potential issues with the land-atmosphere coupling in the HRRR. 221

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Figure 3. Spatial distribution of the 2-m temperature and RH biases classified by clear sky index 224

in July 2019 over NYSM 126 standard sites: (a) and (e) clear-sky conditions with CSI > 0.8; (b) 225 and (f) transition conditions with CSI between (0.6, 0.8); and (c) and (g) overcast conditions with

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clear sky index <= 0.6. (hour 0900-1700). The red circle indicate the Adirondack region. 227

228 Table 1. Mean Bias Error (MBE), Root Mean Square Error (RMSE) of daily

maximum/minimum temperature, relative humidity between 126 standard NYSM sites and the 229

HRRR over period 1 Sep. 2018 to 31 Aug. 2019. Statistics are based on all hourly observations 230

and averaged over monthly and separated by different land use types (farmland and forest). 231

232 Tmax is the daily maximum temperature; Tmin is the daily minmum temperature; Hmax is the

relative humidity when temperature is maximum; Hmin is the relative humidiy when temperature 233

is minimum. 234

	Farmland				Forest				
	Tmax	Tmax	Hmax	Hmax	Tmax	Tmax	Hmax	Hmax	
	(MBE)	(RMSE)	(MBE)	(RMSE)	(MBE)	(RMSE)	(MBE)	(RMSE)	
Jan	0.17	1.25	2.96	7.62	0.25	1.26	3.06	6.65	
Feb	-0.13	1.7	10.56	10.24	-0.07	1.67	11.45	11.41	
Mar	-0.23	1.21	13.91	7.95	-0.69	1.27	17.82	10.63	
Apr	0.82	1.4	4.1	9.16	0.05	1.6	12.32	9.08	
May	0.92	0.87	-2.15	4.68	0.1	1.12	3.45	6.09	
Jun	0.94	0.87	-2.15	4.68	0.1	1.12	3.45	6.09	
Jul	1.94	0.72	-11.23	3.4	1.07	0.93	-5.69	5.54	
Aug	1.64	0.69	-10.55	5.34	1.17	0.8	-7.46	5.8	
Sep	1.24	1.03	-7.72	5.88	1.02	0.98	-5.11	6	
Oct	0.57	0.97	0.68	5.54	0.67	1.1	-0.12	7.82	
Nov	0.32	1.02	3.17	8.41	0.67	1.1	0.7	11.18	
Dec	0.24	0.79	3.12	6.03	0.28	0.7	1.42	7.86	

The dense NYSM network enables us to study the HRRR performance, as a function of 236 237 heterogeneous land covers, and soil conditions under a variety of weather conditions. In the warmest month, (July, 2019), the spatial patterns of 2-m temperature and humidity biases are 238 correlated closely to the land surface types under all weather conditions (Figures 3a-3d). The 239 240 forest sites, particularly at Adirondack northern plateau forest regions, have lower or even opposite 2-m temperature biases compared to the nearby farmland sites under all weather 241 conditions. Table 1 also shows that in warm season (June, July, August), the mean 2-m 242 temperature biases are much larger over farmland. The dependence of land use type further 243 illustrates a potential issue in the Rapid Update Cycle (RUC) Land Surface Model (LSM) used 244 by the HRRR. Figure 3 also shows the cloud control on surface warm biases. We found that the 245 most significant bias comes during conditions with cloud overcast. It is hypothesized that land 246 surface energy partition and cloud forcing are two main factors controlling the warm season 247 surface temperature bias. We will discuss this hypothesis further in section 3.3 and section 3.4 248 below. 249

250 3.2 Soil hydrological process in land surface model





Figure 4. Time series of 126 standard sites averaged (a) daily accumulated precipitation, (b) snow depth, (c, e, g) soil moisture at 0.05 m, 0.25 m and 0.5 m; and (d, f, h) soil temperature at 0.05 m, 0.25 m, 0.5 m.

256 Soil moisture is an important variable among the processes that describe the land surface-atmosphere coupling. Its value reflects how rainfall is partitioned into runoff, surface 257 storage, and infiltration components. One consequence is that soil moisture modulates the energy 258 budget by determining the soil heat capacity, the degree of evapotransipiration, and the albedo 259 (Seneviratne et al., 2010; Smirnova et al., 1997; Gascoin et al., 2008). Through balancing of land 260 surface water and energy, soil moisture directly affects 2-m temperature and humidity by 261 262 controlling the total energy used by latent heat flux, and further modulating surface energy partition. (Kala et al., 2016; Seneviratne et al., 2013; Mueller & Seneviratne, 2014). Soil 263 moisture at all three levels of 0.05 m, 0.25 m, 0.5 m are closely associated with the precipitation. 264

The spikes of precipitation-induced soil moisture increase matches alright between model and observation (Figures 4a, 4c, 4e and 4g).

At each mesonet station, a Stevens Hydra Probe Soil Sensor measures soil moisture based 267 on dielectric permittivity measurements. Proprietary algorithms were used to convect the signal 268 response of the standing radio wave into the dielectric permittivity and thus the soil moisture. 269 270 The soil moisture measurements are in units of water fraction by volume (m^3/m^3) , which is consistent with model. The forecasted soil moisture values at 0.25 m and 0.5 m have systematic 271 biases around 0.05 m³/m³ respectively. The forecasted soil moisture at 0.05 m showed few 272 apparent biases when compared to NYSM measurements at the beginning of the evaluation 273 period (1 Sep, 2018), but a dry bias emerged as the fall transition proceeded (Figure 4c). During 274 the warm days of late winter to early spring, snowmelt, which is the major reason for snow depth 275 276 reduction, adds water into the soil. NYSM observation shows around 20cm snow melted during early spring and in the meantime, observed soil moisture increased sharply (blue arrow in Figure 277 4). However, the forecasted soil moisture at 0.05 m did not respond to the snow water as 278 indicated by the NYSM observations. This suggests that the snow melting process is poorly 279 represented by the HRRR, either due to RUC thawing process or due to the snow water runoff. 280

HRRR-forecasted soil temperatures at three levels (Figures 4d, 4f and 4h) basically agree 281 with NYSM observations. However, HRRR predicted a much larger diurnal cycle of soil 282 temperature at the 0.05 m and 0.25 m levels than observation (Figures 4d and 4f). One possible 283 reason is that the overall dry biases of soil moisture decreased the soil heat capacity, and led to 284 increased amplitude of soil temperature variation. Also, the observed average soil temperature 285 was above freezing during the cold winter months, while HRRR-forecasted soil temperature 286 exhibited much lower temperatures, below freezing (Figure 4d). These examples illustrate 287 potential issues in the RUC as used by the HRRR, including difficulties simulating the soil 288 freeze-thaw processes (Viterbo et al., 1999; Ek et al., 2003). In the real world, the water in the 289 soil will not completely freeze, but rather remain a mixture of ice and water at 0° C. However, 290 291 the model unrealistically freezes the soil at depth during the cold winter. This bias in soil temperature partly explains the extreme cold bias we see earlier in 2m air temperature. 292

The soil moisture budget examines one avenue of how changes in the land surface may alter the water and energy balances. Precipitation and snowmelt inputs increase soil moisture through infiltration are countered by runoff and evapotranspiration outputs, as evapotranspiration releases water through plant leaves and soil (Figure 5). The comparison between HRRR change rates and NYSM observation in four seasons exhibit unique seasonal characteristics:







304	Table 2. Comparison of modeled with observational soil moisture rates of change under different
305	precipitation conditions.

		loss rate		growth rate			
	r	slope	intercept	r	slope	intercept	
Spring	0.50	0.97	0.0006	0.78	1.11	-0.0040	
Summer	0.68	0.89	0.0006	0.77	0.73	-0.0001	
Autumn	0.59	1.18	0.0012	0.84	0.76	-0.0002	
Winter	0.19	0.18	-0.0006	0.66	0.68	-0.0016	

• Spring: Snowmelt is important to soil hydrological process for soil moisture growth rate during early spring season. The extreme high intercept -0.0040 m³m⁻³h⁻¹ shown in Table 2 could be attributed to the misrepresentation of snow melting process. In the mean time, the loss rates of soil moisture during this period are not well captured by HRRR forecasts. The loss rate correlation coefficient *r* is only 0.50. The result indicates that large uncertainty still lies in the soil hydrological process during spring associated with snow metling and the partition of water

into runoff, soil moisture and evapotranspiration.

• Summer: The precipitation has been underestimated in summer with the integrated bias as -

20.56 mm in whole summer, which lead to the underestimation of the growth rate of soil

moisture forecasted by HRRR in summer (Benjamin et al., 2016). The loss rate of soil moisture

forecasted by HRRR tended to be lower than NYSM observation, suggesting a weak
 evapotranspiration process in HRRR RUC (Figure 5b). A combined result of the underestimation

of both growth and loss rates is that the overall soil moisture dry bias decreases from its

maximum at the beginning of summer to much less in late summer (Figure 4c). The severe

underestimate of evapotranspiration processes changes surface energy patition and lead to the

322 consistent warm bias observed.

• Autumn: The HRRR underpredicted the growth rate of soil moisture, however, overestimated 323 the loss rate during the transition season. The overestimation of loss rate in fall indicates that the 324 evapotranpiration process during autumn has been overestimated. As leaf senescence occurred in 325 New York during this period, the transpiration vanished; the vegetation ceased to withdraw 326 327 water from the soil. The soil moisture continued to build up and reached its annual maximun in early winter. In Figure 4c, the model shows a gradual dry bias in forecasted soil moisture, with 328 almost the same level of soil moisture at the beginning (September 1st) and 0.1 m³/m³ bias at the 329 end of the fall (November 30th). It is likely that the vegetation phenology changes during the fall 330 and their impact on soil moisture dynamics are not well represented in HRRR RUC. 331

• Winter: The soil hydrological process is most poorly simulated in winter. The HRRR growth rate of soil moisture is underpredicted, and the loss rate is largely underpredicted. During the winter, precipitation can be either in liquid phase (i.e., rain) which immediately interacts with soil or in solid phase (i.e., snow) accumulated for later release. The soil freezing and thawing processes adds more complexity to the soil moisture dynamics. The observed HRRR biases suggest the needs to further investigate the snow precipitation process, snow-pack dynamic and the soil freeze cycle in the HRRR.

339 3.3 Surface energy partition

The land use types exert a major control on the surface energy partition. The way that the 340 model represents the partition of sensible and latent heat flux with different land use types is 341 crucial to the forecast of land surface meteorological condition and cloud formation through land 342 atmosphere feedbacks. To gain deeper insight into model performance, HRRR forecasted energy 343 partition at three major land use type sites of forest, farmland forest mosaic, and urban are 344 evaluated using the flux measurements from NYSM flux sites. Three typical flux sites (REDF, 345 VOOR, STAT, shown in Figure 1) were selected for the further analysis. We only selected the 346 sites that have the same land use type as does the 3km model land use classification. However, it 347

should also be noted that, for three selected flux sites, the immediate surrounding area is flat with

349 grassland or low vegetation to meet WMO standards. To get the best representation of heat

fluxes from different land use types, only the data from mid-afternoon (1800 UTC) are used. During this period, the impact of surrounding land cover on the flux measurements is most

significant due to that the mixing of boundary layer is strongest at this time of the day.

353



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Figure 6. Time series of (a, b, c) daytime latent heat flux, sensible heat flux, Bowen ratio measured from forest flux site; (d, e, f) from farmland flux site; (g, h, i) from urban flux site

At forest sites during the warm season, the latent heat flux is overestimated, and the 357 sensible heat flux is close to the measurements. However, considering that the observed fluxes 358 have an estimated 100W/m² to 200W/m² energy closure imbalances (Steeneveld et al., 2011), it 359 is possible that the sensible heat flux has been underestimated at the forest sites. HRRR 360 underpredicted the mid-day Bowen ratio compared to NYSM observation for most of the 361 growing season, from spring onset to leaf senescence (Figures 6a-6c). The bias in energy 362 partition over forest sites partly explains the slight cold and wet bias over Adirondack region 363 364 under clear sky shown in Figure 3a. Over the forest, the evapotranspiration process dominates

the latent heat flux during the growing season. The deep rooting system enables the forest to beless affected by the surface soil moisture drought.

On the contrary, the HRRR predictions of Bowen ratio over farmland sites are generally 367 larger than the observed during the warm season. Compared to forest, farmland has a much 368 shallower root depth, which is more vulnerable to the water deficit at surface soil layers. As 369 370 discussed in section 3.2, soil moisture has been underestimated during the warm season. The false drought in soil further lead to the underestimation of latent heat flux, while sensible heat 371 flux is overestimated around 300 W/m². This relatively larger biases of Bowen ratio over 372 farmland sites than those over forest sites consist with the larger warm bias of 2-m temperature 373 over farmland sites than those over forest sites (Figure 3). These biases will also contribute to 374 changing of the convection behavior of cloud, and further enlarge the surface thermodynamic 375 376 bias through surface cloud feedbacks.

During the snowmelt period, HRRR predicted more LH (Figure 6a) without significant increase in soil moisture at 0.05 m level (Figure 4c). In fact, Figure 4c shows that 0.05 m soil moisture in HRRR decreases steadily during this period, while the observation increases rapidly. The result suggests that most melt water was evaporated into the atmosphere and did not infiltrate into the soil.

Over the urban site, the HRRR overestimated the latent heat while underestimating the Bowen ratio. The urban site equipment are located on rooftop, and that the measurements are affected by artificial local heat sources.

385 The 2-m air temperature and humidity biases over New York State have guite unique spatial characteristics. The biases are small over forest and largest over farmland. (see Figure 3 386 and Table 1). The vertical flux divergence of surface sensible and latent heat fluxes simulated by 387 land surface model modifies the atmosphere heat and moisture state. Correct representation of 388 surface energy partition is essential to simulation of the 2-m (screen level) and mixed layer 389 temperature and humidity. The significant overestimation of Bowen ratio and underestimation of 390 latent heat over farmland (see Figures 6d-6f) is possibly one of the most important sources of the 391 2-m temperature and humidity spatial related biases. 392

During the warm season, the underestimation/overestimation of the Bowen ratio over 393 forest/farmland sites led to the cold/warm and wet/dry biases under clear sky. The dry bias in soil 394 moisture, and underestimated evapotranspiration in the warm season partially explains the bias in 395 surface energy budget estimates. The early-spring snowmelt issue could be one of the reasons 396 that leads to the warm and dry biases during the subsequent warm season. As a consequence of 397 insufficient water infiltrating into the soil in spring, there arises a soil water deficit at the 398 beginning of summer, and this suppresses evapotranspiration and latent heat release especially 399 over regions with shallower-rooted vegetation. 400

401

402 3.4 Cloud radiative effects on land-atmosphere coupling biases

The 2-m air temperature and humidity biases over New York State are close associated with observed cloud conditions (see Figure 3). Under clear sky, the biases are smallest, while under the thickest cloud, the biases are largest. Possible causes from cloud radiative forcing in the model should be investigated. Underestimation of low-level cloud coverage and optical thickness has been identified as an important potential explanation for the systematic daytime 408 incoming solar radiation bias, in turn yielding a surface warm and dry bias during the warm

season (Benjamin et al. 2016). Here the biases of downward shortwave radiation and maximum

410 2-m air temperature are investigated by classifying biases using clear sky index.

411



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Figure 7. (a,b) Box-and-whisker plots showing the shortwave radiation bias binned by clear sky
index (warm/cold season). The vertical bar represents standard error. (c,d) correlations between
downward shortwave radiation bias and daily maximum temperature bias; (e, f) Number of
measurements in certain hours when the temperature biases exceed 5 K. The warm season is
June, July, August (JJA), and the cold season is December, January and February (DJF). Only
daytime (from 9:00 to 16:00 local time) data are included in the statistics.

Figure 7a shows that during the warm season, both the mean biases and standard 419 deviation of the shortwave radiation increases as observed clouds thicken (The clear-sky index in 420 Figure 7 is based on station observation). In the warm season, a positive radiation bias around 421 100 W/m^2 when the clear sky index exceeds 0.9. The absence of aerosol direct effect in HRRR 422 could be the reason for this positive bias under clear sky. For clear sky index < 0.2, when the sky 423 is covered by optically thick clouds, the mean radiation bias can be as high as 400 W/m2. In 424 contrast to previous studies that emphasized the importance of unresolved fair-weather sub-grid 425 clouds on the surface temperature bias, our analysis indicates that largest radiation biases are 426 associated with optically thicker clouds. During the warm season, the clouds over North Eastern 427 United States (NEUS) can be separated into four categories: Fair weather cumulus, cumulus 428 congestus (towering cumulus), individual cumulonimbus, and stratocumulus associated with 429 thunderstorm and frontal passage (Houze, 1993). The GOES truecolor cloud images and synopic 430 weather maps during this period show that the days associated with the large biases and low 431 cloud index are generally associated with individual cumulonimbus and stratocumulus associated 432 with thunderstorm and frontal passage. The displacement and weaker strength in deep 433 434 convection might explain most of the biases in thick clouds.

During the warm season, daytime temperature bias correlates well with the shortwave 435 radiation bias (Figure 7c). Further analysis separates the radiation and temperature biases using 436 the clear sky index. In both warm and cold seasons, it is clearly shown (Table 3) that the slope of 437 438 the linear regression between solar radiation and temperature biases decreases as the clear sky index increases, as does the correlation coefficient r. The results suggest that when clouds are 439 thicker, the temperatue biases are explained more by the radiation biases. This further supports 440 the argument that the relationship between radiation bias and temperature bias is more significant 441 with thicker clouds. 442

The dependence of shortwave biases on cloud is more significant in warm season than 443 during the cold season (Figures 7a and 7b). Compared to the warm season, the cold season 444 daytime temperature bias is much less dependent on cloud bias. When the clear sky index 445 exceeds 0.4, the slope becomes negative, and the correlation coefficient essentially vanishes. 446 During cold season, other process rather than shortwave radiation forcing dominates the 447 boundary layer thermodynamic process, such as the high albedo of surface snow and longwave 448 cloud forcing. (Betts & Beljaars, 2017). Also, due to the much thinner convective boundary 449 layer, the surface thermodynamically-controlled boundary layer cloud fraction is lowest during 450 cold season over the eastern United States (Freedman et al., 2001). These results suggested that 451 other physical processes, such as the snow albedo effect and snow/soil frozen/melting process 452 may be more important to explain the surface temperature biases (Figure 7d). 453

454 Table 3. Relationships between solar radiation biases and air temperature biases during warm and

454 rubbe 5. Relationships between solar rubbins buses and an temperature blases during warm and
 455 cold season. The results are classified by clear sky index (CSI). The slope has been multiplied

by scale factor of 1000, and the unit of slope is $^{\circ}C/(W/m^2)$. W indicates warm season, C indicates cold season. *r* is the correlation coefficient.

CSI	[0, 0.2]	[0.2,0.3]	[0.3,0.4]	[0.4,0.5]	[0.5,0.6]	[0.6,0.7]	[0.7,0.8]	[0.8,0.9]	[0.9,1]
Slope(W)	5.79	4.43	4.00	3.65	3.18	3.50	3.03	2.92	3.22
<i>r</i> (W)	0.54	0.50	0.47	0.46	0.39	0.32	0.26	0.23	0.20
Slope (C)	1.74	1.53	0.45	-0.21	-0.77	-0.13	0.02	-0.22	-0.05
r (C)	0.09	0.09	0.03	-0.01	-0.04	-0.01	0.00	0.01	0.00

Figures 7e and 7f illustrates the interesting feature that extreme temperature biases larger than 5 K (assumed to be a temperature forecast error) is more frequent at 15:00 LT, typically considered to be the warmest time of day. However, another possible reason for the largest temperature biases may be associated with occasional afternoon thunderstorms, which occur around 15:00. The histogram of cold season extreme temperature biases are much flatter than during warm season, indicating that the frequency of extreme temperature bias does not correspond to the time of day as during the warm season.

Shortwave cloud radiative forcing is the dominant process that drives boundary layer development during the warm season. Since downward shortwave radiative flux is the major source of surface energy and temperature increases during daytime, it is not surprising that the 2m temperature biases have strong dependence on radiation biases. The relationships between cloud shortwave radiative forcing and temperature biases were analyzed. Using the clear sky index as a proxy for the observed cloud radiative forcing, the results (Figure 7a) indicated that HRRR-simulated shortwave radiation biases increase as clouds thickens. Downward shortwave radiation biases are directly linked to low cloud amount and properties. The results suggest that

during warm season, the thick and overcast clouds are the main contributor to the downward

shortwave radiation positive biases. The correlation coefficient of temperature vs. radiation

biases increases as cloud gets thicker, indicating that they are major source of the surface

temperature and radiation biases in HRRR model.

The results of this paper emphasize that understanding land surface energy partition over diverse land cover types and the important role cloud radiative forcing (especially during warmseason thick cloud conditions) is essential to reducing modelled land surface temperature and humidity biases.

481

482 4 Conclusions

In this work, we systematically evaluated the HRRR model using the New York Stae Mesonet over the complex terrain of New York State. One year of HRRR model and observation data were used in this study to investigate the biases from both diurnal and seasonal perspectives. The dense NYSM network (average distance between stations ~ 30 km) of 126 standard weather stations provides opportunities to investigate the impact of spatial heterogeneity on the landatmosphere-cloud interaction as a coupled system.

Surface meterological fields were examined by separating the daily maximum and minimum temperature. In the warm season, there are consistent warm and dry biases at 2 meter, with a relatively small standard deviation. Cold season biases show a much larger standard deviation but smaller mean biases. Furthermore, extreme cold biases exist in the nighttime in February, with large daytime wet biases in March.

Soil hydrological processes strongly control surface energy balance and fluxes, which are 494 the most sensitive processes in the land surface model to the atmospheric model (Santanello et 495 al., 2019). Through the whole year, soil moisture at all measured vertical levels (0.05, 0.25 and 496 0.5 m) are largely underestimated, contributing to the dry and warm biases during the warm 497 season. Also, this soil moisture underestimation reduces the soil heat capacity, causing the 498 overestimation of soil temperature diurnal amplitude. During the cold season, the abnormal soil 499 temperature below freezing when the observational soil temperature is close to 0°C is the 500 501 possible reason for the extreme cold temperature biases during winter. Lacking a comprehensive representation of soil freezing-thawing processes, the model failed to predict a soil temperature 502 barrier at the freezing point. 503

Contributions of evapotranspiration and precipitation to soil hydrological processes 504 from seasonal perspective were analyzed. Results show that during spring, the snow melting 505 process controls the bias in soil moisture growth rate. During summer, the soil moisture growth 506 rate is underestimated due to the forecast shortfall in summer thunderstorm development, this 507 bias is compensated by the underestimate of evapotranspiration. The result is that the soil 508 509 moisture dry bias decreases from its maximum at the beginning of summer to much less in late summer (Figure 4c). In fall, the model underpredicts the precipitation brought by tropical 510 cyclones. In the meantime, evapotranspiration rate has been overestimated due to incorrect 511 representation of seasonal transition when the leaves fall. The combined effect is a negative soil 512 moisture of about 0.1 m³/m³ at the end of fall season. Winter biases mostly come from snow 513 melting and soil freezing/thawing processes. 514

The dry bias in soil moisture content that appears during snow melt season is the main 515 source for the warm season soil moisture underestimation. The soil dries out in the model while 516 the soil is moistened by melting snow in the observations. The water stress in the model soil 517 hydrological processes plays an important role in the energy partition in the following summer 518 season where the water stressed soil will suppress the evapotranspiration and increase the Bowen 519 ratio especially for shallow rooted vegetation, leading to the surface thermodynamic bias in the 520 seasonal scales. These processes are amplified by the positive feedback loop between dry soil, 521 reduced clouds, and warm temperatures. The positive feedback loop is most significant in warm 522 season when the land surface atmosphere cloud coupling is strongest. This result presents a 523 specific avenue for future model improvement: studying and improving the representation of 524 snow melt and infiltration processes in the early spring. 525

Low-level clouds are recognized as one of the most important sources of the surface incident solar radiation and temperature biases. Complementing earlier analyses of the impact of sub-pixel cloud, we further explored the cloud effect by classifying cloud optical properties using clear sky index. We found that the most optically thick clouds (generally associated with frontal system and thunderstorms) yielded the largest biases in solar radiation, the main contributor to the surface warm bias during the warm season. This finding emphasized the importance of further investigation of these clouds in the model.

The present work identifies HRRR model biases from the land-atmosphere coupling 533 perspective. Surface energy partition introduced by different land surface properties and cloud 534 radiative forcing are two main sources of warm season 2-m temperature and humidity biases. As 535 an integrated system, biases of each feedback elements in land-atmosphere-cloud interactions 536 can easily spread into the whole system and further increase the overall bias and reduce the 537 forecast accuracy. For the future application of the HRRR model to forecast the alternate energy 538 potential as well as for severe weather forecasting, our work identifies possible mechanisms 539 responsible for the biases in the land surface processes, such as: soil hydrological, vegetation 540 phenology. However, the improvement of the land surface model is still challenging and requires 541 better understanding of the physical processes as well as more complicated observation network 542 and data assimilation techniques. 543

544

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