# Contrasting responses of soil inorganic carbon to afforestation in acidic versus alkaline soils

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#### Abstract

Afforestation has been suggested as an effective ecological engineering approach for carbon sequestration and environmental benefits. However, the impact of afforestation on soil inorganic carbon (SIC) is less clear and sometimes controversial. Here, we conducted a field campaign, with 2346 soil profiles from 619 afforested plots and 163 control plots, to investigate the relative and absolute changes of SIC between afforested and corresponding control plots in northern China. We found positive responses of SIC to afforestation in acidic soils, where afforestation increased soil pH. In contrast, in alkaline soil, afforestation-induced soil pH change ( $\Delta$ pH) was the most significant factor regulated SIC responses to afforestation. In particular, we observed stronger SIC sensitivity to pH change in arid areas, where both soil pH and SIC stocks were high. Other factors indirectly affected SIC responses to afforestation through modulating soil pH and soil organic carbon (SOC) dynamics. Afforestation, induced SIC changes also varied considerably among different planted tree species and across different soil depths. Specifically, in *Pinus sylvestris* var. mongholica, *Pinus tabuliformis* and *Populus* spp. plantations, changes of SIC were large enough to be comparable to that of SOC. Our finding provides a data-based comprehensive understanding on the impact of afforestation on SIC and its underlying mechanisms. With increased uses of afforestation and reforestation as potential nature-based climate solutions, decisions need to consider potential associated SIC changes, especially in SIC-rich areas.

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18	Key Points:
19	• We conducted an extensive field survey investigating the impact of
20	afforestation on soil inorganic carbon (SIC)
21	• Soil pH is a key variable mediating SIC responses to afforestation, which
22	enhanced SIC in acidic soils but decreased SIC in alkaline soils
23	• SIC responses to afforestation also showed high variabilities among
24	different planted tree species and across soil depths
25	
26	

#### 27 Abstract

28 Afforestation has been suggested as an effective ecological engineering approach 29 for carbon sequestration and environmental benefits. However, the impact of 30 afforestation on soil inorganic carbon (SIC) is less clear and sometimes controversial. Here, we conducted a field campaign, with 2346 soil profiles from 619 afforested 31 32 plots and 163 control plots, to investigate the relative and absolute changes of SIC between afforested and corresponding control plots in northern China. We found 33 34 positive responses of SIC to afforestation in acidic soils, where afforestation increased soil pH. In contrast, in alkaline soil, afforestation caused soil acidification and thus 35 negative SIC responses. Fitting a structure equation model (SEM) confirmed that 36 37 afforestation-induced soil pH change ( $\Delta pH$ ) was the most significant factor regulated 38 SIC responses to afforestation. In particular, we observed stronger SIC sensitivity to 39 pH change in arid areas, where both soil pH and SIC stocks were high. Other factors 40 indirectly affected SIC responses to afforestation through modulating soil pH and soil organic carbon (SOC) dynamics. Afforestation-induced SIC changes also varied 41 42 considerably among different planted tree species and across different soil depths. Specifically, in Pinus sylvestris var. mongholica, Pinus tabuliformis and Populus spp. 43 plantations, changes of SIC were large enough to be comparable to that of SOC. Our 44 45 finding provides a data-based comprehensive understanding on the impact of 46 afforestation on SIC and its underlying mechanisms. With increased uses of 47 afforestation and reforestation as potential nature-based climate solutions, decisions need to consider potential associated SIC changes, especially in SIC-rich areas. 48

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50 Key words: Plant-soil interactions; afforestation; soil inorganic carbon (SIC); soil
51 pH; structural equation model; nature-based climate solution

52 1. Introduction

53 About 950 petagrams (Pg) carbon is stored in global soils in inorganic formula (Schlesinger & Andrews, 2000; Lal, 2004), even more than that of vegetation carbon 54 55 (Schlesinger, 1990; Ahirwal & Maiti, 2018). This large soil inorganic carbon (SIC) pool is usually considered stable and thus plays a very limited role in global carbon 56 57 cycle (Zamanian et al., 2018). However, several recent local-scale studies showed 58 considerable SIC responses to agricultural management and land use changes, thus challenging this conventional notion (Bughio et al., 2016; Han et al., 2018; An et al., 59 2019; Kim et al., 2020). For example, SIC is found sensitive to soil acidification, 60 61 which is often caused by nitrogen deposition and fertilization, and to land use changes 62 and shrub encroachment (Yang et al., 2012b; Liu et al., 2020). Because SIC provides important soil buffering especially in alkaline soils (Bowman et al., 2008), its changes 63 64 could modify soil buffering capacity and soil pH (Bowman et al., 2008; Yang et al., 65 2012b; Hong et al., 2019), and thus impact soil health and consequently vegetation 66 productivities (Skyllberg, 1996; Yang et al., 2012a; Chang et al., 2012; Bughio et al., 67 2016; Bughio et al., 2017; Liu et al., 2020). Yet, to date, research on SIC dynamics is still very limited, especially for large-scale investigations of SIC responses to decadal 68 69 land use changes that have been a key feature characterizing global environmental 70 changes (IPCC AR5, 2014; Piao et al., 2018).

Afforestation has been extensively adopted in many countries and regions during
the past decades for economic, ecological and climate change mitigation purposes
(Bonan, 2008). Afforestation can reduce soil erosion (Fang & Sun, 2017), regulate

local and regional climate (Peng et al., 2014; Li et al., 2018; Li et al., 2020) and 74 75 enhance carbon sequestration (Fang et al., 2001; Chang et al., 2011). The increase of 76 vegetation biomass by afforestation has been reported by many studies (e.g., Fang & Chen, 2001; Piao et al., 2005; Pan et al., 2011). Afforestation is also suggested to 77 increase soil organic carbon (SOC; Cheng et al., 2016; but see Hong et al., 2020). 78 79 However, it remains poorly understood how afforestation may influence SIC (Jia et al., 2019). In principle, afforestation may affect SIC through several different 80 mechanisms and processes (Figure 1). First, afforestation could modify soil pH 81 82 (Rhoades & Binkley, 1996; Berthrong et al., 2009; Hong et al., 2018), a key variable that is significantly correlated with SIC stocks (Bowman et al., 2008; Hong et al., 83 84 2019). For instance, afforestation-induced soil acidification is generally observed on 85 soils with relatively higher pH, where SIC stock is usually high (Yang et al., 2012a; Hong et al., 2018; Hong et al., 2019). In return, this soil acidification can lead to the 86 loss of SIC. Second, for locations where afforestation enhances SOC, the increase of 87 88 SOC could stimulate soil microbial respiration and release more porous  $CO_2$  gases and  $Ca^{2+}$  and  $Mg^{2+}$  ions, all of which may modulate the dissolution and re-precipitation of 89 90 carbonates (An et al., 2019). Third, afforestation changes land surface roughness and hence affects ecosystem intercept of nitrogen deposition (HÖGberg et al., 2006). With 91 92 more intercepted nitrogen deposition, the leaching of base cations may increase as well, which could also impact the dynamics of SIC (Gundersen et al., 2011). Fourth, 93 94 afforestation increases evapotranspiration and decreases the infiltration of soil water (Yao et al., 2016), which may reduce SIC losses through leaching. While all these 95

processes have the potential to regulate the responses of SIC to afforestation toward
different directions, however, we know little about the overall afforestation impact on
SIC, and how to quantitatively attribute SIC changes to different processes.



100 Figure 1. A concept diagram on how afforestation affects soil inorganic carbon.

101

102 In this study, we used a control-afforestation paired sampling in northern China 103 to investigate how afforestation impacts SIC. In 2012-2013, we collected soils from 104 163 control plots and 619 afforested plots across northern China (see Figure 2 for 105 field sampling locations), with each control plot corresponding to 1-26 afforested plots. These plots thus were used to construct 619 afforestation-control pairs for 106 107 comparative investigations of SIC changes with versus without afforestation. Using a 108 structure equation model (SEM) that links the SIC responses to afforestation with various environmental factors, we also researched potential mechanisms how 109 110 afforestation affects SIC dynamics. We further explored the contribution of SIC 111 changes to soil carbon dynamics under afforestation. Our results provide a 112 comprehensive understanding of SIC dynamics with afforestation, and highlights the113 significant role of SIC in ecosystem carbon cycle.

114

#### 115 2. Materials and methods

#### 116 **2.1 Study region**

Field work was conducted in northern China (34.20 to 51.80°N and 106.81 to 117 118 133.31°E; Figure 2), where afforestation and ecological restoration projects have been widely implemented. The region covers the provinces of Heilongjiang, Jilin, 119 120 Liaoning, Hebei, Shanxi, and Shaanxi Provinces and the Inner Mongolia Autonomous 121 Region. It contains more than 120,000 km<sup>2</sup> of forest plantations, including the well-122 known Three-North Shelterbelt Development Program (Piao et al., 2009; FAO, 2016). 123 Climate in this large area is highly various, with mean annual temperature (MAT) 124 ranging from -3 to 15 °C and mean annual precipitation (MAP) from 355 to 1068 mm. Dominant soil types in this region include phaeozems, gleysols, humic 125 126 cambisols, haplic/albic luvisols or eutric/dystric cambisols, haplic calcisols, 127 kastanozems, chernozems, cambisols, haplic alisols, and ferric/haplic luvisols, following the United Nations Food and Agriculture Organization (FAO) soil 128 129 classification system (Xiong & Li, 1987; Xie et al., 2007). Intense nitrogen deposition 130 over the last few decades has been reported in this region, with significant impacts on 131 soil properties and plant productivities (Zhao et al., 2009). Overall, these broad-scale 132 afforestation projects, together with northern China's diverse climate and soil properties and intense nitrogen deposition, make this region an ideal place to 133

investigate afforestation impacts on SIC dynamics across broad geographical andenvironmental ranges.

136

# 137 2.2 Sampling design

138 We established a control-afforested pairwise sampling system from 163 sites across the study area. In each site, one control plot and 1-26 afforested plots, each 139 140 measured at 20 m  $\times$  20 m, with different planted tree species or stand ages, were 141 selected. Therefore, one control plot can correspond to more than one afforested plot. 142 To minimize the variation in soil and climatic properties within each control-143 afforested pair, the distance between any afforested plot and its corresponding control 144 plot was usually 50-100 m (up to 2.5 km in very rare cases due to field or logistic 145 constraints). Following the records provided by local forestry administrations, we also 146 made sure that the selected control plots had the same vegetation and soil types with 147 their corresponding afforested plots. In other words, each paired control and afforested plots shared the same topography, climate, soil type and pre-afforestation 148 149 vegetation type. The only difference between them was afforestation versus no-150 afforestation. In total, we obtained data from 163 control plots and 619 afforested 151 plots, which constituted 619 control-afforested pairs. The original vegetation types included cropland, barren land, grassland, natural forest and riparian sand land in this 152 153 study. All the afforested plots were monocultured, with common tree species 154 including Pinus koraiensis, Larix gmelinii, Pinus sylvestris var. mongholica, Pinus tabuliformis, and Populus species (including Pop. simonii, Pop. beijingensis, and 155

156 *Populus*  $\times$  *xiaohei*), and some other species such as *Robinia pseudoacacia* L. and 157 *Diospyros kaki* Thunb. (collectively grouped as "others"). The five major tree species 158 collectively account for >70% of the planted area in the study region. Information of 159 each plot's stand age was obtained from records of local forestry administrations.

For each plot, we sampled from three replicate soil profiles on the diagonal direction, each at six different depths (0-5, 5-10, 10-20, 20-30, 30-60, and 60-100 cm; with a few exceptions when soil depths were less than 1 m). Hence, for any plot we could reach 1-m depth, we had 18 samples (3 replicates  $\times$  6 layers per replicate); and in total, we collected 11,775 soil samples from 2,346 soil profiles.

165

# 166 2.3 Laboratory method

167 All soil samples were brought back to the laboratory and air-dried to constant 168 weight, and roots and stones were removed. After that, we measured bulk density and 169 had the samples passed through 2-mm sieves. The pH of each sample was measured 170 in 1:2.5 mixtures of soil and deionized water with a pH meter (PHS-3C, Lei-ci). Soil 171 inorganic C content (SICC) was measured with a 08.53 calcimeter (M1.08.53.E, 172 Eijkelkamp). Here SICC was equivalent to the carbonate values measured by the 173 emission of carbon dioxide (CO<sub>2</sub>) when the samples were digested with acid (HCl, 0.2 mol L<sup>-1</sup>). Note for comparison, we also calculated soil organic carbon content 174 175 (SOCC), which was the difference between total soil C content (STCC; measured 176 with an Elementar model (Viro el cube)) and SICC.

177

#### 178 2.4 Environmental data sets

179 The following environmental data sets were used to explore the environmental 180 control of SIC responses to afforestation. MAP and MAT were obtained from the 181 China Meteorological Forcing Dataset, which has a spatial resolution of  $0.1 \times 0.1^{\circ}$ 182 and a temporal resolution of three hours (Yang et al., 2010; Chen et al., 2011). 183 Potential evapotranspiration (PET) data were acquired from the Climate Research 184 Unit (CRU) TS3.21 database with a 0.5°x0.5° spatial resolution (Harris et al., 2014). 185 We further estimated water balance (WB) as the difference between mean annual 186 precipitation and potential evapotranspiration (WB=MAP-PET). It is noteworthy that 187 we used PET rather than actual evapotranspiration (AET) because PET is independent 188 of precipitation and therefore could carry more information of climatic aridity 189 (Slessarev et al., 2016; Lian et al., 2021). We also obtained data of nitrogen deposition 190 from the Multi-Scale Synthesis and Terrestrial Model Intercomparison Project 191 (MsTMIP: https://doi.org/10.3334/ORNLDAAC/1220). This dataset includes 192 ammonium nitrogen (NHx) deposition and nitrate nitrogen (NOx) deposition at a 193 resolution of  $0.5^{\circ} \times 0.5^{\circ}$  from 1860-2050 and we used data of 2003-2012 (Wei et al., 194 2014).

195

#### 196 **2.5 Data analysis**

We calculated SIC in layer j (SIC<sub>j</sub>, kgC m<sup>-2</sup>) using SICC<sub>j</sub> (%), bulk density (BD<sub>j</sub>,
g cm<sup>-3</sup>), and the thickness of the layer (w<sub>j</sub>, cm):

199  
$$SIC_{i} = SICC_{i} * BD_{i} * w_{i} * 10^{-1}$$
 (1)

Since each plot included three replicate profiles, we used mean  $SIC_j$  of the three profiles in data analysis. The sum of mean  $SIC_j$  for all the layers is thus SIC of each plot:

203 
$$SIC = \sum_{j=1}^{6} SIC_{j}$$
 (2)

We defined  $\Delta$ SIC induced by afforestation as the difference between SIC in the afforested plots (SIC\_f) and that in the control plots (SIC\_c) at both the plot and layer levels (Eq. 3). Note that SIC values were corrected to equivalent soil mass since afforestation could also change soil bulk density.

$$\Delta SIC = SIC_f - SIC_c \quad (3)$$

209 We defined the response ratio (RR) of SIC as:

$$RR_{SIC} = \log_{10}\left(\frac{SIC_f}{SIC_c}\right) (4)$$

211 Here,  $\Delta$ SIC is the absolute change of SIC while RR\_SIC is the relative change.

212 Data of SOC was also calculated in same way and we also defined  $\Delta$ SOC and 213 RR\_SOC:

$$\Delta SOC = SOC_f - SOC_c (5)$$

$$RR_{SOC} = \log_{10}\left(\frac{SOC_f}{SOC_c}\right)$$
<sup>(6)</sup>

Because pH value represents the concentration of hydrogen irons, so the following calculations about soil pH were based on concentration of hydrogen irons. The mean concentration of hydrogen ions (H<sub>p</sub>) for the entire soil profile was calculated from hydrogen ion concentration, [H<sup>+</sup>], of each layer weighted by its mass 220  $(w_j * BD_j)$ :

221 
$$H_{p} = \frac{\sum_{j=1}^{6} H_{j} * w_{j} * BD_{j}}{\sum_{j=1}^{6} w_{j} * BD_{j}}$$
(7)

where  $H_j$  is the concentration of hydrogen ions of the jth layer. Similarly, the mean concentration of hydrogen ions in a plot was calculated by averaging  $H_p$  of its three replicate profiles, and then we got the average pH for each plot from a log transformation of the average  $H_p$ .

226 Changes of soil pH ( $\Delta$ pH) was calculated by Eq. 8 (pH\_f and pH\_c indicated 227 the averaged soil pH in afforested and control plots, respectively):

$$\Delta pH = pH_f - pH_c (8)$$

229 We first conducted the following statistical analyses to explore the effects of 230 afforestation on SIC. A paired *t*-test was used to compare SIC in the afforested plots 231 with their corresponding control plots. Independent *t*-tests were used to determine if 232  $\Delta$ SIC and RR SIC differed significantly from 0. A false discovery rate (FDR) 233 correction was used to control for potential error rates in multiple comparisons 234 (Benjamini & Yekutieli, 2001). Ordinary least squared (OLS) regressions and partial 235 regressions were performed to identify the relationships between variables. 236 Furthermore, we used a two-way analysis of variance (ANOVA) to test the effect of 237 different factors on the dynamics of SIC.

Finally, we fitted piecewise structure equation model (SEM) to explore potential pathways (and mechanisms) through which afforestation may affect SIC. Predictor

240	variables in the SEM included $\Delta pH$ , $\Delta SOC$ , pH in control group (pH_c), SOC in
241	control group (SOC_c), SIC in control group (SIC_c), MAT, water balance, NOx,
242	NHx, stand age, and clay content. The overall fit of the SEM was evaluated using
243	Shipley's test of d-separation: Fisher'C statistic and the Akaike Information Criterion
244	(AIC). We used the R package 'piecewiseSEM' in R studio to conduct piecewise
245	SEM. All the other statistical analysis was conducted using MATLAB R2012b
246	(MathWorks, Natick, MA, USA).

248 **3. Results** 

# 249 3.1 Changes of SIC with afforestation

Over the entire study area, the average SIC density (0-1 m depth) was 1.70 kgC 250 251  $m^{-2}$ , with a very large variation (Figure 2a, SD = 4.20). For most of the data collection (77%), SIC density was found in the range of 0.01-1 kgC m<sup>-2</sup> (Figure 2a inset). Across 252 the entire region, SIC is about 17% of SOC. However, the SIC: SOC ratio is highly 253 254 variable and skewed across the region, with most (75%) of the ratio falling in the range of 0-0.1(Figure 2b inset) but an unusually high mean value of 1.23 (Figure 2b, 255 256 SD = 12.26) due to some locations with extremely higher SIC than SOC. SIC density was higher in relatively arid areas, such as the Inner Mongolia Autonomous Region, 257 258 Hebei, Shanxi and Shaanxi Provinces.



**Figure 2.** The spatial distributions of (a) soil inorganic carbon (SIC) and (b) the ratios between SIC and soil organic carbon (SOC) in planted forests. The insets show the frequency distributions of the data. Panel (a), (b) and the two insets share the same color bar. Ratio of the mean value indicates the ratio between averaged SIC and averaged SOC across all the plots. NM indicates the Inner Mongolia Autonomous Region, while HL, JL, LN, HB, SX, and SHX indicate the province of Heilongjiang,

266 Jilin, Liaoning, Hebei, Shanxi, and Shaanxi, Respectively.

267

Across the 619 afforestation-control pairs, the average of RR SIC was -0.03 268 (Figure3, SD = 0.74, p = 0.34), indicating an overall nonsignificant change on SIC 269 270 with afforestation. Specifically, afforestation decreased SIC in 329 pairs, with the 271 mean SIC of these pairs decreasing from 2.07 to 0.83 kgC m<sup>-2</sup>. By contrast, SIC was 272 higher in afforested than control plots in the remaining 290 pairs, where the average SIC increased from 1.19 to 2.69 kgC m<sup>-2</sup>. The overall change of SIC in 0-1 m depth 273 274 was 0.05 kgC m<sup>-2</sup> (SD = 3.23, 1.655 in control groups vs. 1.703 in afforested groups, p275 = 0.71).

276 The response of SIC to afforestation varied across tree species and depths 277 (Figure 3). In general, afforestation significantly decreased SIC at depths of 0-5 cm, 278 10-20 cm and 60-100 cm. For other depths, we observed negative but non-significant 279 SIC changes with afforestation. Afforestation with Pinus koraiensis resulted in divergent changes of SIC at different depths, although all of them were non-280 281 significant. Significantly negative responses of SIC were observed at top soils (0-5 282 cm) for Larix gmelinii and Pinus tabuliformis stands. Similarly, afforestation with 283 Pinus sylvestris var. mongholica caused significant decrease at 0-5 cm and 10-20 cm. In contrast, significant negative responses were observed in deep soils (30-100 cm) 284 285 for Populus spp planted forests. The afforestation group of other tree species did not show significant SIC changes for all the depths. 286



Figure 3. The response ratio (RR) of soil inorganic carbon (SIC) to afforestation with different tree species at different depth. Error bars indicate the standard errors. Red points indicate the RRs are significantly different from 0 (p < 0.05) in independent sample *t*-tests, with FDR corrections.

# 293 **3.2** Factors controlling the dynamics of SIC after afforestation

294 Using SEM to quantify the direct and indirect effects of different environmental 295 factor on afforestation-caused SIC dynamics, we found that  $\Delta pH$  (Change of soil pH 296 resulted from afforestation) was the most significant variable ( $\beta = 0.42$ , standardized coefficient, p < 0.001) determining RR SIC. Changes of SOC ( $\Delta$ SOC) also showed a 297 significant effect ( $\beta = 0.12, p < 0.01$ ) on the RR SIC. Hence, afforestation impacts the 298 299 dynamics of SIC mainly through changing soil pH and SOC. The background soil pH 300 (pH c) and SIC also had significant impacts on SIC changes, with pH c showing a positive effect ( $\beta = 0.19$ , p < 0.01) while SIC c a negative one ( $\beta = -0.31$ , p < 0.001). 301 302 Direct effects of other variables, including MAT, water balance, nitrogen deposition,

- 303 background SOC, clay content, and stand age, on RR\_SIC were weak and304 nonsignificant. However, these variables could affect SIC dynamics indirectly through
- affecting the dynamics of soil pH and SOC.



Fisher's C = 13.7, AIC = 123.7, AICc = 134.7, P = 0.62

**Figure 4.** Structure equation model for the response ratio (RR) of soil inorganic carbon (SIC). Solid red and black arrows represent significantly (p < 0.05) positive and negative paths, respectively; and gray dashed lines indicate nonsignificant pathways. The numbers near the lines indicate the standard path coefficients. Arrow widths is proportional to the strength of the relationship.

Next, we also analyzed the correlations between RR\_SIC and its most important determining factor revealed by the SEM,  $\Delta pH$ . Individually,  $\Delta pH$  showed a strong positive effect on RR\_SIC (Figure 5a, p < 0.001), which was also mediated by pH in the control group (pH\_c). Negative RR\_SIC values were usually observed at alkaline (high pH) soils, where afforestation generally decreased soil pH. In contrast, positive values of RR\_SIC were generally observed at acidic (low pH) soils, where soil pH usually increased after afforestation. The absolute change of SIC ( $\Delta$ SIC) was also positively correlated with  $\Delta$ pH (Figure 5b), and the sensitivity (regression slope between  $\Delta$ SIC and  $\Delta$ pH) increased with background soil pH. In other words, SIC in more alkaline soils was more sensitive to the change of soil pH than that in acidic soils.

Interestingly, larger changes in SIC density was mainly found in arid areas where SIC stocks were usually high as well (Figure S1). In areas with SIC density higher than 1 kgC m<sup>-2</sup>,  $\Delta$ SIC was negatively correlated with water balance (MAP – PET). The largest loss of SIC was observed in areas with water deficiency of about 200 mm yr<sup>-1</sup>.



**Figure 5.** The relationships between  $\Delta pH$  (pH in afforested groups – pH in control groups) and (a) response ratio (RR) of soil inorganic carbon (SIC) and (b)  $\Delta$ SIC (SIC in afforested groups – SIC in control groups). Two panels share the same color bar, which indicates soil pH in control groups. The red lines indicate the results of ordinary least squares (OLS) regressions.

336	The determinant of soil pH on the dynamics of SIC was observed for all tree
337	species (Figure 6). Negative RR_SIC was generally observed when soil pH was
338	higher than 7, where Larix gmelinii showed the most significant negative response.
339	When soil pH was lower than 7, positive RR_SIC was commonly observed, and the
340	"others" afforestation group showed the most significant positive response (Figure
341	6a). Taking $\Delta$ SIC into consideration, afforestation with Larix gmelinii, Pinus
342	tabuliformis and the "others" group resulted in the largest carbon loss at soils with
343	pH> 8; while <i>Pinus koraiensis</i> and the group of "others" had the largest carbon sink at
344	soils with pH< 7 (Figure 6b). Soil pH, tree species and their interactions all had
345	significant effects on the changes of SIC (two-way ANOVA, $p < 0.05$ ).



**Figure 6.** (a) Response ratio (RR) of soil inorganic carbon (SIC) and (b)  $\Delta$ SIC among different tree species and different soil pH in control groups.

### 350 **3.3** Contribution of SIC to soil carbon dynamics after afforestation

Across the whole region, the averaged change of SIC was 0.05 kgC m<sup>-2</sup> (SD = 3.23) and insignificant from 0 (p = 0.71), smaller than the mean value of  $\Delta$ SOC (0.30 kgC m<sup>-2</sup>). Both positive and negative responses of SOC and SIC were observed, but

354	we did not find any significant relationships between them (Figure S2). Given the
355	divergent responses of SIC and SOC, we divided the soil carbon dynamics into four
356	scenarios: positive $\triangle$ SOC and positive $\triangle$ SIC, positive $\triangle$ SOC and negative $\triangle$ SIC,
357	negative $\Delta$ SOC and positive $\Delta$ SIC, negative $\Delta$ SOC and negative $\Delta$ SIC. Positive
358	$\Delta$ SOC and positive $\Delta$ SIC were observed in 159 out of 619 pairs. In this group, mean
359	values of $\Delta$ SOC and $\Delta$ SIC were 6.57 and 1.46 kgC m <sup>-2</sup> , respectively. Negative $\Delta$ SOC
360	and positive $\Delta$ SIC were observed at 131 pairs, where their mean values were -5.02
361	and 1.56 kgC m <sup>-2</sup> , respectively. Similarly, positive $\Delta$ SOC and negative $\Delta$ SIC were
362	observed at 162 pairs, with mean values of 5.17 and -1.51 kgC m <sup>-2</sup> , respectively. And
363	both negative $\Delta$ SOC and $\Delta$ SIC were observed in the remaining 167 pairs, with
364	averaged values of -6.22 and -0.97 kgC m <sup>-2</sup> , respectively.

The change of SIC contributed differentially to soil carbon dynamics across different tree species (Figure 7). In *Pinus koraiensis* and *Larix gmelinii* afforestations, SIC contributed very little to soil carbon dynamics. In forests of *Pinus sylvestris* var. *mongholica*, changes in SIC made a substantial contribution to the total soil carbon dynamics at sites with positive  $\Delta$ SOC. Finally, the changes of SIC and SOC showed similar contributions to the total soil carbon dynamics for the afforestation groups of *Pinus tabuliformis*, and *Populus* spp. and others.

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**Figure 7.**  $\Delta$ SIC and  $\Delta$ SOC resulted from afforestation with different tree species. For each tree species, four groups (positive  $\Delta$ SIC and  $\Delta$ SOC, positive  $\Delta$ SIC and negative  $\Delta$ SOC, negative  $\Delta$ SIC and positive  $\Delta$ SOC, negative  $\Delta$ SIC and  $\Delta$ SOC) are contained. The numbers near the bar indicate the sample size of each group.

# 379 4. Discussion

With field data collected from more than 700 plots over a broad geographical range in northern China, we provided a comprehensive evaluation on the effects of afforestation on SIC, an often-overlooked quantity in the research of carbon cycle dynamics. In general, we found that afforestation had divergent effects on SIC, dependent on afforestation tree species and soil depths. However, for those species and soil depths that showed a significant impact, it was always negative (Figure 3). 386 This negative impact was mostly observed at surface soil layers. Therefore, our 387 finding suggested that SIC, especially surface SIC, is still sensitive to possible soil 388 biophysical and biogeochemical environmental changes caused by afforestation.

389 In explaining the relative change of SIC with afforestation, we found that 390 RR SIC is well correlated with  $\Delta pH$ , indicating the sensitivity of SIC to changes in soil pH (Liu et al., 2020). Given that pH value is the negative logarithm of hydrogen 391 392 ion, this correlation between RR SIC and  $\Delta pH$  indicates that the dynamics of SIC and 393 hydrogen ion are synergetic, also consistent with our earlier finding from a spatial 394 analysis (Hong et al., 2019). Soil pH and SIC are closely linked at local and regional 395 scales, and SIC provides major buffering capacity to soil pH especially at arid area 396 (Hong et al., 2019). At the local scale, changes in soil pH could also impact the decomposition and formation of SIC. Interestingly, our analysis based on SEM 397 suggested contrast direct versus indirect effects of the background soil pH (pH c) on 398 399 RR SIC. The positive direct effect of pH c indicates that higher pH would directly 400 enhance post-afforestation SIC. However, because afforestation has been found to 401 increase soil pH at acid soil but decrease soil pH at alkaline soil (Hong et al., 2018), 402 for SIC stored in alkaline soils in the form of carbonate, this afforestation-induced soil 403 acidification could partly dissolve SIC (Raza et al., 2020), leading to a negative 404 indirect effect. Importantly, this indirect negative effect is much stronger than the 405 direct positive effect of pH c, resulting in significant SIC losses by afforestation in area with high pH values. This mechanism also explains the finding of the large 406 407 carbon loss in SIC-rich soils during 1980s to 2000s by an early study (Yang et al., 408 2012b).

Afforestation-induced changes in SOC provides another pathway modifying the response of SIC. The accumulation of SOC will increase  $CO_2$ , base cations like  $Ca^{2+}$ ,  $Mg^{2+}$ , which restrain the dissolution of SIC and enhance the formation of SIC (An et al., 2019). The organic acid produced by the decomposition of SOC may also affect soil pH and thus SIC (Hong et al., 2018), although in the current study this effect was weak and nonsignificant.

415 Other environmental variables, such as nitrogen deposition and water balance, seem to have weak or insignificant effects on RR SIC. For example, although 416 nitrogen deposition has been found to help regulate the spatial patterns of SIC and pH 417 (Hong et al., 2019), the difference of nitrogen deposition between adjacent control and 418 419 afforested plots may not be captured by the data with a resolution of 0.5°x0.5° (Wei et 420 al., 2014). The hydrological effects of afforestation could also affect the carbonate 421 leaching in soil profiles and lead to SIC vertical redistribution (Li et al., 2019). 422 However, SIC loss is generally observed in arid areas, where the leaching effect is 423 very weak (Chang et al., 2012). Previous studies also indicated that the vertical 424 reallocation was limited (Li et al., 2019).

Although a few previous studies have investigated SIC dynamics, they were generally confined to arid areas with high soil pH and large SIC stocks (Yang et al., 2012a; An et al., 2019). Our study, in contrast, provided for the first time a field databased analysis of SIC changes with afforestation across a broad range of climates from arid to humid areas. Our results indicated that the relative change of SIC was 430 close related to soil pH dynamics across the water deficit gradient, with the absolute 431 change of SIC more sensitive to afforestation-induced pH change in soils with higher 432 pH and SIC stocks. This higher sensitivity of alkaline SIC to afforestation may be due 433 to the greater availability of substrates for soil pH neutralization reactions. 434 Considering the significant acidification resulted from afforestation in alkaline soils 435 (Hong et al., 2018), these results indicate the high vulnerability of SIC in arid areas. 436 Therefore, areas with high SIC stocks should be carefully evaluated for afforestation 437 to minimize potential soil carbon loss.

The loss of SIC to afforestation could be harmful to soil health and ecosystem 438 439 productivity (Skyllberg, 1996; Bowman et al., 2008). In arid ecosystems, SIC plays an 440 even more important role in carbon storage (Han et al., 2018). SIC, in the form of carbonate, also provides the major buffering capacity to soil pH change in these 441 442 regions (Bowman et al., 2008). The decrease of SIC by afforestation may indicate the 443 reduction of soil buffering capacity. Considering the high risk of soil acidification 444 caused by increasing nitrogen and sulfur deposition in these regions, this reduction of 445 soil buffering capacity may lead to a positive feedback between soil acidification and 446 carbonate loss (Yang et al., 2012a; Ito et al., 2018). Carbonate dissolution drives the losses of base cations such as Ca<sup>2+</sup>, Mg<sup>2+</sup> and K<sup>+</sup>, and further decreases soil fertility 447 448 (Liu et al., 2020). A global meta-analysis suggested that afforestation resulted in a 449 significant loss of base cations, especially in pine plantations (Berthrong et al., 2009). Given the essential role of exchangeable base cations for plant physiological 450 451 processes, their loss would limit the long-term productivity of ecosystems (Binkley et al., 1989). Moreover, SIC loss would decrease the binding of organic matters on Ca<sup>2+</sup>,
and thus decrease its stability (Rowley et al., 2017). Therefore, the dynamic of SIC
plays an important role in global carbon cycle and ecosystem health through direct
and indirect ways, and afforestation-caused SIC losses should be avoided as much as
possible.

Large-scale afforestation is regarded as an effective ecological engineering 457 458 approach for increasing ecosystem carbon storage and mitigating climate change. The 459 augmentation of planted forests worldwide is known to regulate local climate, reduce 460 soil erosion, increase carbon storage in plant biomass and potentially also SOC (Li et al., 2017; Li et al., 2018). Our findings, however, suggest that afforestation 461 462 significantly impacts SIC storage, primarily through affecting soil pH. This finding 463 again questions the earlier belief that SIC stocks are very stable and play a minor role 464 in global carbon cycle. For some afforestation tree species, such as *Pinus sylvestris* 465 var. mongholica, Pinus tabuliformis and Populus spp., the changes of SIC are even 466 comparable to SOC, suggesting that the estimation of carbon dynamics in this rapidly 467 changing world should not neglect that of SIC. The total carbon sequestration of afforestation (including biomass, SOC and SIC) are thus highly variable, determined 468 469 by climate, soil properties, tree species choices and also human-based management. 470 Therefore, the estimation that afforestation has the potential to offset 68% of global 471  $CO_2$  emissions is highly uncertain and may be overly optimistic (Bastin et al., 2019). 472 Furthermore, the climate and soil conditions need to be carefully evaluated and the 473 planted tree species need to wisely chosen for maximizing the benefits while

474 minimizing potential detriments of afforestation.

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- 483 Data Availability Statement: All field data related to this research will be archived in
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- 485

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