Simulations of Energetic Neutral Atom sputtering from Ganymede in preparation for the JUICE mission

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Abstract

Jovian magnetospheric plasma irradiates the surface of Ganymede and is postulated to be the primary agent that changes the surface brightness of Ganymede, leading to asymmetries between polar and equatorial regions as well as between the trailing and leading hemispheres. As impinging ions sputter surface constituents as neutrals, ion precipitation patterns can be remotely imaged using the Energetic Neutral Atoms (ENA) measurement technique. Here we calculate the expected sputtered ENA flux from the surface of Ganymede to help interpret future observations by ENA instruments, particularly the Jovian Neutral Analyzer (JNA) onboard the JUpiter ICy moon Explorer (JUICE) spacecraft. We use sputtering models developed based on laboratory experiments to calculate sputtered fluxes of H2, O2, and H2O. The input ion population used in this study is the result of test particle simulations using electric and magnetic fields from a hybrid simulation of Ganymede's environment. This population includes a thermal component (H+ and O+ from 10 eV to 10 keV) and an energetic component (H+, O++, and S+++ from 10 keV to 10 MeV). We find a global ENA sputtering rate from Ganymede of $1.42 \times 10^{\circ} 27 \text{ s}^{\circ} -1$, with contributions from H2, O2 and H2O of 34%, 17%, and 49% respectively. We also calculate the energy distribution of sputtered ENAs, give an estimate of a typical JNA count rate at Ganymede, and investigate latitudinal variations of sputtered fluxes along a simulated orbit track of the JUICE spacecraft. Our results demonstrate the capability of the JNA sensor to remotely map ion precipitation at Ganymede.

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Key Points:

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- A new method for calculating sputtered fluxes at Ganymede is introduced
- The energy spectra of sputtered H_2O , O_2 , and H_2 ENAs are calculated for the first time
 - The Jovian Neutrals Analyzer on JUICE can remotely map ion precipitation at Ganymede

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14 Abstract

Jovian magnetospheric plasma irradiates the surface of Ganymede and is postulated to 15 be the primary agent that changes the surface brightness of Ganymede, leading to asym-16 metries between polar and equatorial regions as well as between the trailing and lead-17 ing hemispheres. As impinging ions sputter surface constituents as neutrals, ion precip-18 itation patterns can be remotely imaged using the Energetic Neutral Atoms (ENA) mea-19 surement technique. Here we calculate the expected sputtered ENA flux from the sur-20 face of Ganymede to help interpret future observations by ENA instruments, particu-21 larly the Jovian Neutral Analyzer (JNA) onboard the JUpiter ICy moon Explorer (JUICE) 22 spacecraft. We use sputtering models developed based on laboratory experiments to cal-23 culate sputtered fluxes of H₂O, O₂, and H₂. The input ion population used in this study 24 is the result of test particle simulations using electric and magnetic fields from a hybrid 25 simulation of Ganymede's environment. This population includes a thermal component 26 $(H^+ \text{ and } O^+ \text{ from } 10 \text{ eV to } 10 \text{ keV})$ and an energetic component $(H^+, O^{++} \text{ and } S^{+++})$ 27 from 10 keV to 10 MeV). We find a global ENA sputtering rate from Ganymede of 1.42×10^{27} s⁻¹, 28 with contributions from H_2 , O_2 , and H_2O of 34%, 17%, and 49% respectively. We also 29 calculate the energy distribution of sputtered ENAs, give an estimate of a typical JNA 30 count rate at Ganymede, and investigate latitudinal variations of sputtered fluxes along 31 a simulated orbit track of the JUICE spacecraft. Our results demonstrate the capabil-32 33 ity of the JNA sensor to remotely map ion precipitation at Ganymede.

³⁴ Plain Language Summary

Particles trapped by Jupiter's magnetic field interact with Jupiter's moons. Ganymede, 35 the largest of those moons, lacks a dense atmosphere to protect its surface from these 36 energetic Jovian particles, but Ganymede's magnetic field is strong enough to influence 37 their trajectory: charged particles are deflected away from equatorial regions to polar 38 regions, resulting in uneven particle precipitation patterns at the surface of Ganymede. 39 When ions hit the surface of Ganymede, they eject particles from the surface, in a pro-40 cess referred to as sputtering. Those particles are mostly neutral and therefore unaffected 41 by Ganymede's magnetic fields, so we can image where ions hit the surface of Ganymede 42 by measuring ejected neutral particles. The Jovian Neutrals Analyzer (JNA) will fly on-43 board the JUpiter ICy moon Explorer (JUICE) spacecraft and will measure sputtered 44 neutrals in the vicinity of Ganymede. To help interpret the data to be collected by JNA, 45 we used models derived from laboratory experiments to simulate what JNA will observe 46 at Ganymede. Our results show that JNA will be able to show us where ions hit the sur-47 face of Ganymede, which is important as uneven ion precipitation is thought to explain 48 why Ganymede's poles are brighter than its equatorial regions. 49

50 1 Introduction

Imaging plasma precipitation patterns at the surface of Ganymede is a key mea-51 surement for understanding the effect of Jovian plasma precipitation on the brightness 52 and composition of the surface. Ganymede stands out as Jupiter's largest moon and also 53 the only moon in the Solar System to feature an intrinsic magnetic field, causing the for-54 mation of a small magnetosphere inside Jupiter's much larger magnetosphere. Ganymede's 55 magnetic field locally impedes or enhances Jovian plasma access to its surface, result-56 ing in variable precipitation patterns (Khurana et al., 2007; Fatemi et al., 2016; Poppe 57 58 et al., 2018; Plainaki et al., 2020a). Precipitating ions can be backscattered by the surface or cause surface constituents and radiolytic products to sputter. Backscattered and 59 sputtered particles leave the surface mainly as neutral and with energies ranging from 60 eV to MeV (Johnson, 1990). 61

Such neutral particles are usually referred to as Energetic Neutral Atoms (ENAs) 62 (Gruntman, 1997). ENAs are well-known populations in the terrestrial magnetosphere 63 (Roelof, 1987). They originate from ions neutralized by charge-exchange with neutrals, 64 and are used to probe distant plasma in space. The trajectories of ENAs are not influ-65 enced by electric or magnetic fields and therefore preserve information about their orig-66 inal velocity, assuming that the gravitational force is negligible. Thus ENA measurements 67 can and have been used to remotely map ion precipitation on airless bodies, where in-68 situ precipitating ion observation is not easily possible. For example, backscattered so-69 lar wind protons and sputtered oxygen atoms were observed at the Moon by the Inter-70 stellar Boundary EXplorer (IBEX) (McComas et al., 2009; Allegrini et al., 2013) and by 71 CENA on Chandrayaan-1 (Wieser et al., 2016; Vorburger et al., 2014; Futaana et al., 2013). 72 Ganymede will also be visited by an ENA instrument: the Jovian Neutrals Analyzer (JNA) 73 will fly on-board the JUpiter ICy moon Explorer (JUICE) spacecraft. 74

To help interpret the data collected by JNA, estimates of ENA fluxes are needed 75 . However, estimating ENA fluxes requires modelling the sputtering process. The sput-76 tering process has been widely studied because of its relevance for icy bodies such as Ganymede, 77 Europa, and Enceladus. Their lack of a dense atmosphere leaves their surface exposed 78 to ion precipitation, leading to the sputtering of surface constituents and radiolytic prod-79 ucts. Along with other processes such as sublimation and photo-stimulated desorption, 80 sputtering contributes to the creation of a neutral exosphere on several bodies (Cooper, 81 2001; Johnson et al., 2004; Marconi, 2007; Cassidy et al., 2010; Wurz et al., 2010). 82

While a comprehensive analytical description of the sputtering process is not cur-83 rently available, sputtering has been extensively studied through laboratory experiments 84 (Baragiola et al., 2003; Famá et al., 2008; Teolis et al., 2017; Galli et al., 2017, 2018). 85 Several methods have been developed to calculate the sputtering yield of ions on icy sur-86 faces as a function of projectile energy and species, incidence angle, and surface temper-87 ature (Johnson et al., 2004; Famá et al., 2008; Teolis et al., 2017). Such methods, or com-88 binations of them, have been used extensively to simulate surface-plasma interactions 89 at Europa (Vorburger & Wurz, 2018; Plainaki et al., 2010, 2012) and Ganymede (Marconi, 90 2007; Turc et al., 2014; Plainaki et al., 2015; Shematovich, 2016; Leblanc et al., 2017; 91 Poppe et al., 2018; Plainaki et al., 2020a). 92

Here, we estimate sputtered ENA fluxes at the surface of Ganymede by applying 93 models formulated by Famá et al. (2008), Johnson et al. (2004) and Teolis et al. (2017) 94 to a population of incident Jovian plasma obtained through hybrid simulations by Poppe 95 et al. (2018). This allows us to calculate the expected sputtered ENA fluxes of H_2O , H_2 , 96 and O₂ and to further apply the Thompson-Sigmund law expressed in Vorburger and 97 Wurz (2018) to calculate their energy distribution. By convolving JNA's estimated ge-98 ometric factor with the energy distribution, we give an expected JNA count rate in the 99 vicinity of Ganymede. Finally we investigate latitudinal variations of the sputtered ENA 100 fluxes by simulating a simplified orbit of the JUICE spacecraft around Ganymede. 101

¹⁰² 2 Materials and Methods

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2.1 Input population

The incident ion population is taken from a combination of three-dimensional hy-104 brid simulations of Ganymede's magnetosphere and subsequent backwards-Liouville par-105 ticle tracing through the hybrid electromagnetic fields (Fatemi et al., 2016; Poppe et al., 106 2018). They simulated the ion velocity distribution for the Galileo G8 flyby, when Ganymede 107 was in the Jovian plasma sheet. The incident population used as an input for calculat-108 ing the sputtered ENA flux in this study is comprised of three-dimensional velocity dis-109 tribution functions for thermal O^+ and H^+ from 10 eV to 10 keV and energetic H^+ , O^{++} 110 and S^{+++} from 10 keV to 10 MeV. The spatial resolution of the hybrid model is $1^{\circ} \times$ 111 1° in latitude and longitude at the surface of Ganymede. 112

Figure 1 shows the resulting plasma precipitation pattern, i.e. a map of the ion flux 113 integrated over all incident species, energies and angles. On the trailing hemisphere (180°) 114 - 360°W) of the equatorial regions, Ganymede's surface is shielded from Jovian plasma 115 by Ganymede's intrinsic magnetic field. In contrast, intense precipitation is observed on 116 the leading hemisphere of the equatorial regions $(0^{\circ} - 180^{\circ}W)$, where plasma is accel-117 erated back towards Ganymede by reconnection in the magnetotail (Fatemi et al., 2016; 118 119 Poppe et al., 2018). The most intense flux is observed in the high-latitude cusp regions on the leading hemisphere, where open-closed magnetic field lines boundaries are located 120 $(\pm 50^\circ - 60^\circ \text{ in latitude})$ (Poppe et al., 2018). 121



Figure 1. Incident ion flux at the surface of Ganymede, taken from Poppe et al. (2018) and integrated over all species, angles and energies. The leading hemisphere extends from 0° W to 180° W while the trailing hemisphere extends from 180° W to 360° W. For our study here, we choose a single period along Ganymede's orbit such that the sub-solar point is located at 270° W, i.e. the co-rotating plasma flow is aligned with the sunlight direction.

Figure 2 shows the energy distribution of the incident ion flux at Ganymede's surface resulting from Poppe's backwards-Liouville tracing model. The flux was integrated over all incident angles and averaged over the surface of Ganymede. Two components can be identified: i) the thermal component comprised of plasma from Io's torus diffusing outwards (Siscoe & Summers, 1981); ii) the energetic component originating from accelerated Io torus plasma and solar wind plasma diffusing inwards (Siscoe et al., 1981).



Figure 2. Energy spectra of the precipitating ions used as our input ion populations, integrated over all incident angles and averaged over the surface of Ganymede.

¹²⁸ 2.2 Sputtering yield

Ion sputtering on water ice has been extensively studied via laboratory experiments 129 and the sputtered products are known to be comprised of H_2O , H_2 , and O_2 (Johnson 130 et al., 2004; Galli et al., 2017). While H_2O is a surface constituent directly sputtered by 131 the impact of ions on water ice, H_2 and O_2 are radiolytic products generated in the ma-132 terial by the irradiation of water ice and subsequently sputtered by projectiles (Johnson 133 et al., 2003; Paranicas et al., 2009; Teolis et al., 2017). Here we use three different func-134 tions to calculate the sputtering yield, depending on the energy of the incident ions and 135 the sputtered species. Throughout the paper, the sputtering yield of a sputtered species 136 by an incident species refers to the number of particles of the sputtered species released 137 from the surface by one incident ion. 138

At incident ion energies higher than 100 keV, we use the model described in Johnson et al. (2004) to calculate $Y_{H_2O,high}$, the yield of H₂O as a function of the energy and species of the incident ion:

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$$\frac{1}{Y_{H_2O,high}(v,Z)} = \frac{1}{11.2 Z^{2.8} (v/Z^{1/3})^{-2.24}} + \frac{1}{4.2 Z^{2.8} (v/Z^{1/3})^{2.16}},$$
(1)

where Z is the atomic number of the incident particle and v the velocity of the incident particle in atomic units $(1 \text{ au} = 2.18 \cdot 10^8 \text{ cm} \cdot \text{s}^{-1}).$

At incident ion energies lower than 100 keV, we use the model described in Famá et al. (2008) to calculate $Y_{H_2O,low}$, the yield of H₂O as a function of the energy, species, and incident angle of the incident particle:

$$Y_{H_2O,low}(E,m,Z,\beta) = \frac{1}{U_0} \left(\frac{3}{4\pi^2 C_0 \alpha S_n} + \eta S_e^2 \right) \cos^{-f}(\beta),$$
(2)

where E, m, and Z are respectively the energy, mass, and atomic number of the projectile. β is the incidence angle, defined from the surface normal. At low-energies, where elastic sputtering processes dominate, the yield is inversely proportional to the nuclearstopping cross section S_n . At high energies, where electronic processes dominate, the yield is proportional to the squared electronic-stopping cross section S_e . Details about the other constants $(U_0, C_0, \alpha, \eta, \text{ and } f)$ can be found in Famá et al. (2008). In eq. 2 we do not include the temperature-dependent component of Famá's model, as it is attributed to H₂ and O₂ produced by radiolysis, a temperature-dependent process. Instead, we calculate the yield of H₂ $(Y_{H_2}(E,T,\beta))$ and that of O₂ $(Y_{O_2}(E,T,\beta))$ using the model derived by Teolis et al. (2017):

$$Y_{O_2}(E,T,\beta) = \frac{Y_{H_2}(E,T,\beta)}{2} = \epsilon g_{O_2}^0 x_o \left[1 - \exp\left(-\frac{r_o \cos(\beta)}{x_o}\right)\right] \left[1 + q_o \exp\left(-\frac{Q}{k_b T}\right)\right] / r_o \cos(\beta).$$
(3)

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where T is the temperature of the surface of Ganymede, β the incidence angle of the projectile measured form the surface normal, and k_b is the Boltzmann constant. Details about ϵ , $g_{O_2}^0$, x_0 , r_0 , q_0 , and Q can be found in Teolis et al. (2017). The temperature model used in this study was derived by Marconi (2007) based on data from the Galileo space-craft (Orton et al., 1996). The dayside temperature is given by $T(\lambda) = 70 \cos(\lambda)^{0.75} + 80$ K (where λ is the sub-solar latitude) and the nightside temperature is a constant 80 K.



Figure 3. Sputtering yield of H_2O , H_2 and O_2 by incident a) H, b) O, and c) S ions. The discontinuity at 100 keV for the H_2O yields is explained by the transition of the model from Famá's to Johnson's. The yields of H_2 and O_2 are calculated using only Teolis' model.

Figure 3 shows the sputtering yield of H₂O, O₂ and H₂ by O, H and S ions imping-167 ing on water ice. The discontinuity at 100 keV for the H_2O yields is due to the transi-168 tion of the model from Famá's to Johnson's. We chose 100 keV as the threshold for the 169 transition based on work by Cassidy et al. (2013a), but our results are not sensitive to 170 the threshold energy. Generally, the H_2O yield by O and S is higher than 1 and increases 171 with energy for most of the energy range shown here. Because of its low atomic mass, 172 the yield by H is much lower. A surface temperature of 124 K was used to generate these 173 figures, which corresponds to an average daytime disk temperature (Grundy et al., 1999). 174 As mentioned above, the actual surface temperature used in our model varies between 175 80 K on the nightside and 150 K at the sub-solar point. 176

2.3 Sputtered energy distribution

We assume a Thompson-Sigmund law to calculate the probability distribution S(K)of the energy of the sputtered particles, expressed in (Vorburger & Wurz, 2018) as:

$$S(K) = \frac{6E_b}{3 - 8\sqrt{K/E_i}} \frac{K}{(K + E_b)^3} \cdot \left(1 - \sqrt{\frac{K + E_b}{4E_i(M_1M_2)/(M_1 + M_2)^2}}\right),\tag{4}$$

where K is the energy of the sputtered neutral particle, E_b the binding energy of the surface (0.054 eV as also used in Plainaki et al. (2015)), E_i the energy of the projectile, and M_1 and M_2 are the masses of the projectile and sputtered neutral particle.

Figure 4a shows the energy distribution of H_2O molecules sputtered by H, O and S with an incident energy of 100 keV. The main consequence of the difference in atomic



Figure 4. a) Probability distribution of the energy of H_2O sputtered by different incident species. b) Probability distribution of the energy of H_2O , H_2 and O_2 molecules sputtered by H, for incident energies of 100 keV.

mass is the cutoff energy of sputtered particles: sputtered H has a cutoff energy of about 20 keV, much lower than the cutoff energy of heavier oxygen and sulfur at about 90 keV. Figure 4b shows the energy distribution of H_2O , H_2 and O_2 molecules sputtered by 100 keV H. Higher masses result in lower cutoff energies, with respective cutoff energies for O_2 , H_2O , and H_2 of about 10 keV, 20 keV, and 80 keV.

¹⁹¹ 2.4 Flux calculation

The differential flux of the sputtered neutrals, j(K), is calculated from the combination of the above-mentioned parameters, where K is the energy of the sputtered particle. In our model, the incident plasma taken from hybrid simulations is $f(v, \theta, \phi)$, in units of $(m/s)^{-3}sr^{-1}cm^{-2}s^{-1}$, for each bin at the surface (Poppe et al., 2018), where vis the incident velocity, θ the incident elevation, and ϕ the incident azimuth.

The differential flux j(K) (in units cm⁻²s⁻¹sr⁻¹eV⁻¹) is calculated using the following expression:

$$j(K) = \frac{1}{2\pi} \int_{v} \int_{\theta} \int_{\phi} f(v,\theta,\phi) Y(E_i) S(K;E_i) v^2 \cos(\alpha) \sin(\theta) dv d\theta d\phi,$$
(5)

where E_i the energy of the incident species, $Y(E_i)$ the sputtering yield function, $S(K; E_i)$ the Thompson-Sigmund probability distribution function, and α is the angle between the velocity vector and the local normal vector pointing inward to the center of Ganymede at the corresponding latitude and longitude. We assume that sputtered neutrals are ejected isotropically and therefore divide the flux by 2π to get the flux per solid angle.

205 **3 Results**

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3.1 ENA sputtering maps

Figure 5 shows the calculated flux maps of the sputtered ENAs (H₂, O₂, and H₂O) integrated over all incident species, incident angles, and energies. The colorbar ranges from 10^6 to 10^{10} cm⁻² · s⁻¹ for all three maps. H₂ and O₂ fluxes are about 5 times higher on the dayside than on the nightside due to the higher surface temperature on the dayside, which results in a higher yield of H₂ and O₂ (eq. 3). As the yield of H₂O is independent of temperature, no significant difference between the dayside and the nightside is observed other than that resulting from the input ion precipitation patterns.



Figure 5. Maps of the sputtered fluxes of H_2 , O_2 and H_2O , integrated over all incident species, energies, and angles.

Generally, similar patterns to the ion precipitation map (Figure 1) are observed for 214 ENA sputtering. Indeed, the sputtered ENA flux is higher in the polar regions than in 215 the equatorial regions, and the difference in ENA fluxes between the pole and the equa-216 tor is more distinct in the trailing hemisphere. The similarity between ion precipitation 217 patterns and sputtering rate patterns illustrates the relevance of the ENA imaging method 218 to remotely map ion precipitation at Ganymede, as previously shown for terrestrial bod-219 ies (Futaana et al., 2006; Vorburger et al., 2014; Wieser et al., 2009; Allegrini et al., 2013; 220 Futaana et al., 2013). 221

However, we note an extra feature of the sputtered H_2O flux, observed in neither 222 the incoming ion flux pattern nor for sputtered H_2 and O_2 . At equatorial regions on the 223 leading side, the H₂O flux shows significant enhancement, with fluxes of the same order 224 as on the trailing side at the open-close field line boundaries. This enhancement contrasts 225 with the ion flux pattern: at equatorial regions on the leading side, the ion flux is atten-226 uated compared to high-intensity precipitation regions around the open-close field line 227 boundaries. The discrepancy is likely due to the fact that while the ion flux is attenu-228 ated, it is also shifted to higher energies. High energy ions sputter more particles per in-229 cident ion and are more efficient at sputtering H_2O than H_2 and O_2 . This results in H_2O 230 fluxes at equatorial regions on the leading side comparable with H_2O fluxes at the open-231 close field line boundaries on the trailing side. 232

3.2 Sputtered energy distribution



Figure 6. Globally averaged energy distributions of sputtered a) H_2 , b) O_2 , and c) H_2O , integrated over incident angles and energies. Different colors indicate the incident species which sputtered these ENAs. d) Energy distribution of all sputtered species, summed over incident species.

Figure 6 shows the energy spectra of sputtered H₂O, H₂, and O₂. Because of the 234 Thompson-Sigmund law (eq. 4) for the energy of sputtered ENAs, fluxes fall as energy 235 increases. Although the incident ion population is dominated by the thermal O^+ com-236 ponent at low energies, the contribution of energetic S^{+++} ions to the sputtered H₂O, 237 H_2 , and O_2 ENA fluxes dominates over that of all other species across the entire energy 238 range. This is likely a combined effect of the heavier mass of S^{+++} ions, resulting in high 239 sputtering yields, and the fact that the energy distribution of S^{+++} is skewed towards 240 higher energies. 241

The lowest contribution to sputtered ENAs comes from the thermal H^+ ion component because of their low incident flux (Figure 2) and low sputtering yield, lower than 10 across most of the energy range. For the same reason, energetic H^+ ions contribute the least out of the three energetic species to sputtering O₂ and H₂O. Energetic H^+ ions sputter less H₂O molecules than thermal O⁺ ions up to 20 keV. At 20 keV, H₂O ENAs sputtered by O⁺ cut off, due to O⁺ ions being heavier than H⁺ and also contributing more to the incident ion flux.

Total sputtered fluxes of H_2O , H_2 , and O_2 are compared with one another in Figure 6d) H_2O fluxes dominate over H_2 and O_2 over the entire spectrum, as reflected in the global sputtering rates calculated in section 3.3.

3.3 Total sputtering rate

²⁵³ By integrating the sputtered ENA flux over energy and the entire surface we ob-²⁵⁴ tain global sputtering rates of 4.8×10^{26} , 2.4×10^{26} and 7.0×10^{26} molecules per second ²⁵⁵ for H₂, O₂, and H₂O respectively. This results in a total sputtering rate of 1.42×10^{27} ²⁵⁶ molecules per second. Table 1 shows a comparison of our estimated sputtering rate with ²⁵⁷ previous works. Given from left to right are the model reference, the input plasma model ²⁵⁸ type (MHD or hybrid), the sputtering model(s), the energy range of the sputtered molecules, ²⁵⁹ the species of the sputtered molecules, and the total sputtering rate.

Table 1. Total sputtering rate from the surface of Ganymede estimated by previously published works as well as this one.

Reference	Input	Sputtering models	Energy range	Sputtered species	Total sputtering rate $[s^{-1}]$
Plainaki et al., 2015	MHD^1	$\operatorname{Famá}^3$	1-100 keV	H_2O, O_2	6.94×10^{25}
Poppe et al., 2018	$Hybrid^2$	$ m Johnson^4$	10 eV - 10 MeV	H_2O	$7.5 imes 10^{26}$
Carnielli et al., 2020	MHD^1	Famá ³ , Johnson ⁴	1 eV - 30 MeV	H_2O	2.25×10^{27}
This work	$Hybrid^2$	$\begin{array}{c} {\rm Famá^3,\ Johnson^4,}\\ {\rm Teolis^5} \end{array}$	10 eV - 10 MeV	$\begin{array}{c} \mathrm{H}_{2}\mathrm{O},\mathrm{O}_{2}\\ \mathrm{H}_{2} \end{array}$	1.42×10^{27}

¹ Jia et al. (2008)

 2 Fatemi et al. (2016)

 3 Famá et al. (2008)

⁴ Johnson et al. (2004)

⁵ Teolis et al. (2017)

All works give similar results within two orders of magnitude. The difference between our result and those of previous works can be qualitatively explained as follows. Plainaki et al. (2015) derived their ion population using electric and magnetic fields obtained with MHD simulations by Jia et al. (2008). Their energy range covered only 1-100 keV, which is narrower than in this study, leading to a total sputtering rate one order of magnitude lower than ours. They used Famá's model to calculate the yield of H_2O and O_2 , but did not account for the sputtering of H_2 .

Poppe et al. (2018), when they published the results of the hybrid simulation of Jovian plasma which were used in this study, took the opportunity to estimate the H₂O ENA sputtering rate using Johnson's model. However, at incident energies lower than 100 keV, Johnson's model underestimates the yield, which is better reproduced by Famá's (Cassidy et al., 2013b). Moreover, Poppe et al. (2018) considered only the sputtering of H₂O, whereas we considered O₂ and H₂ in addition to H₂O.

Carnielli et al. (2020) used the model in Jia et al. (2008) also used to derive their input ion population, but considered energies ranging from 1 eV to 30 MeV, a wider energy range than used here. Moreover, they considered the contribution of Ganymede's ionospheric ions, which they showed can contribute to up to 10% of the ENA sputtering rate. Their ionospheric ion population was comprised of O_2^+ , O^+ , H_2O , H_2^+ , H^+ , and OH⁺ with energies ranging from 10 eV to 10 keV. As our input population did not include ionospheric ions and covered a narrower energy range, our total sputtering rate is
 expected to be lower than theirs.

Our results suggest that H_2 and O_2 account for half of the total neutral sputtering rate from the surface of Ganymede, showing that their contribution should be considered in addition to that of H_2O .

²⁸⁴ **3.4 JNA count rate estimation**

285 The JUICE spacecraft, planned to launch in 2022 and expected to reach Jupiter in the 2030s, carries the Particle Environment Package (PEP). PEP is comprised of six 286 sensors tailored to study how Jovian plasma interacts with Ganymede's magnetosphere, 287 tenuous atmosphere, and icy surface. In particular, the Jovian Neutrals Analyzer (JNA) 288 will measure ENAs in the Jovian environment in the energy range between 10 eV to 3.3 289 keV, with a field-of-view of 15° in elevation and 150° in azimuth, divided into 11 pix-290 els (Shimoyama et al., 2018). JNA takes heritage from the CENA instrument family (Kazama 291 et al., 2007; Barabash et al., 2009), and measures ENAs using: (1) a deflection/collimation 292 system that repels ions up to 9kV(2) a conversion surface for neutral to ion conversion 293 (3) a wave system for energy analysis (10 eV - 3.3 keV range with 100% energy resolu-294 tion) (4) a Time-Of-Flight (TOF) cell that measures the velocity of the particle. 295



Figure 7. Simulated JNA count rate as a function of energy for sputtered H_2O , O_2 and H_2 in the energy range JNA can measure.

Here we estimate the count rate that JNA is expected to observe at Ganymede by 296 multiplying the flux calculated in section 3.2 by JNA's estimated geometric factor, GF =297 $10^{-5} \text{ cm}^2 \cdot \text{sr} \cdot \text{eV/eV}$. Figure 7 shows simulated JNA count rates as a function of en-298 ergy. The geometric factor we used is constant across the energy range, so the count rate 299 distribution follows the Thompson-Sigmund law applied to the sputtered ENAs, result-300 ing in count rates as high as 10^1 counts s⁻¹ at 20 eV and as low as 10^{-2} counts s⁻¹ 301 at 3.3 keV. JNA is optimized to measure small fluxes, i.e. low count rates, even in the 302 harsh radiation environment expected at Jupiter. To achieve this, two Channel Electron 303 Multipliers (CEMs) form a coincidence system for each JNA sector. After hitting the 304 start surface, the particle of interest is detected by one CEM (referred to as STOP CEM). 305 and the associated secondary electron by a different CEM (referred to as START CEM). 306 With this technique, foreground counts can be distinguished from background counts that 307 only trigger signals on one CEM at a time, given that background counts on START and 308

STOP CEMs are not correlated. The expected accidental coincidence countrate, in Jupiter's harsh environment, is < 0.4 count per second.

Despite the optimization to low countrates described above, the spectra in Figure 311 7 suggests that longer integration times are needed at high energies than at low ener-312 gies, an important consideration for operations planning and future data analysis. As 313 we did not account for any dissociative processes of the sputtered ENAs, we assume here 314 that H_2 , O_2 , and H_2O are observed and detected by JNA as molecules. In reality, any 315 molecule entering JNA would most likely be dissociated upon encountering JNA's con-316 317 version surface (Wieser et al., 2016), as JNA uses a charge conversion surface to ionize ENAs in order to analyze their energy and guide them to JNA's detectors (Kazama et 318 al., 2007). Since dissociated products would each leave the conversion surface with less 319 energy than the original molecule, the assumption that JNA observes molecules likely 320 gives an underestimation of the count rate JNA would measure at low energies. 321

322

3.5 JNA simulated observation

To illustrate JNA's ability to measure the variability of Jovian plasma precipita-323 tion at Ganymede, we calculate the differential ion flux at different latitudes on Ganymede. 324 In Figure 8, the JUICE spacecraft is assumed to orbit Ganymede at an altitude of 490 325 km (the lowest circular orbit of the nominal JUICE mission) along the 90° W and 270° W 326 meridians. At four locations along the orbital track, the flux was averaged over areas cor-327 responding to the size of the footprint of JNA's center pixel. Those areas are referred 328 to as zones. For each zone, the fluxes of sputtered H_2 , O_2 , and H_2O are shown, as well 329 as the JNA one count level for the energy range where JNA can measure. The one count 330 level represents the flux needed for JNA to register 1 coincidence count. It was calcu-331 lated using JNA's estimated geometric factor, with the assumption that the integration 332 time is 40 seconds (around the time that it would take JNA to move from one JNA pro-333 jected pixel to the next). 334

Zone 1 is situated at Ganymede's north pole and covers areas on both the dayside and the nightside. Zone 2 is centered around the sub-solar point. Zone 3 is located along the sub-solar longitude at latitude 60°N, near the open-closed field-line boundary where the ion flux peaks. Zone 4 is centered around the anti-solar point on the nightside.

Figure 8c shows that the highest flux is observed in Zone 3, reflecting the peak in 339 ion flux at this location near the open-closed field-line boundary where both energetic 340 and thermal plasma have easy access to the surface. The ion population there is dom-341 inated by thermal O^+ (see Poppe et al. (2018) for incident ion flux distributions at dif-342 ferent regions on Ganymede). Zone 1, over the north pole, is exposed to an ion popu-343 lation similar to that in Zone 3, although precipitation at the poles is less intense. Con-344 sequently, the ENA flux is lower in Zone 1. Zone 2 is centered around the sub-solar point, 345 where the incident ion flux is three orders of magnitude lower than in Zone 3, as Ganymede's 346 magnetic field prevents low energy Jovian plasma from accessing the surface. The ion 347 flux in Zone 2 is therefore dominated by energetic species, which are more efficient at 348 sputtering H_2O than H_2 and O_2 (see Figure 3). This explains why the sputtered H_2O 349 flux is about one order higher than that of H_2 and O_2 . Figure 8d shows an even larger 350 gap between H_2O fluxes and H_2 and O_2 fluxes but there the cause is different. In Zone 351 4, the ion flux includes contributions of both thermal and energetic species. However, 352 Zone 4 is located on the nightside where the surface temperature is 80 K, which leads 353 to much lower sputtering yields for H_2 and O_2 than for H_2O . 354

In Zone 1 and 3, at the lower end of JNA's energy range (10 eV to 20 eV), sputtered ENA fluxes are high enough to trigger several counts per sector during one pass of JNA over an area as little as 2° in latitude. Above 10-20 eV for Zone 1 and 3, and for most of JNA's measuring range for Zone 2 and 4, sputtered fluxes fall below the one count level, implying that longer integration times (i.e., decrease in spatial res-



Figure 8. Flux of sputtered H_2 , O_2 and H_2O at four locations on the surface of Ganymede, integrated over incident angles and energies. White rectangles show the area over which the flux was averaged and correspond to the footprint of JNA at 490 km above the surface of Ganymede. The solid gray line indicates the one count level of the JNA instrument for the energy range that JNA can measure (10 eV to 3.3 keV).

olution) or repeated observations in similar conditions would be needed. The need for
 longer integration times in Zone 2 and 4 than Zone 1 and 3 will accentuate the effect of
 polar regions being better resolved than equatorial regions, since JUICE will orbit Ganymede
 on a polar orbit that will yield more opportunities to perform measurements over po lar regions than over equatorial regions.

As sputtered fluxes of H_2O are not temperature-dependent, their variation is a direct result of the differences between the incident sputtering populations. We plot them together in Figure 9a) for easier comparison.Despite their different ion populations, all zones show similar fluxes within one order of magnitude. As expected, the highest fluxes are observed over Zone 3 near the open/closed field line boundary while the lowest fluxes are seen over Zone 2 and 4. The picture is different for sputtered H_2 and O_2 (Figure 9b



Figure 9. Sputtered H_2O fluxes for all zones, integrated over incident species, energies, and angles.

and 9c). There, Zone 4 (on the nightside) shows by far the lowest sputtered fluxes, due to the temperature dependence of the sputtering yield of H_2 and O_2 .



Figure 10. Flux of sputtered ENAs integrated over sputtered species and incident species, angles, and energies, shown for each latitude zone corresponding to a JNA footprint along a) 270° W and b) 90° W.

In Figure 10, JNA is also assumed to orbit Ganymede at 490 km along the 90°W and 270°W meridians but here we divide the orbit track into 120 zones covering 3° each in latitude (corresponding to the width of JNA's center pixel in elevation). The flux for each latitude zone is shown, for the energy range that JNA can measure (between 10 eV and 3.3 keV) and integrated over sputtered species and incident species, angles, and energies.

Generally, Figure 10 shows that the flux of sputtered ENAs varies by about four 379 orders of magnitude along the simulated trajectory of JNA for all energy bins in the JNA 380 measuring range. Again we observe that the variability of the sputtered neutral flux re-381 flects that of the incident ion flux. Along the 270°W meridian (on the dayside/trailing 382 hemisphere), the flux gradually decreases from latitudes $\pm 60^{\circ}$ to the equator where the 383 flux is minimal and four orders of magnitude lower than at $+60^{\circ}$. We do note that while 384 the results from Poppe's backwards-Liouville tracing model show a significantly higher 385 flux at $+60^{\circ}$ than at -60° for the G8 flyby, a significant difference between the north-386 ern and southern hemispheres is not expected in reality. 387

On the 90°W meridian (on the nightside/leading hemisphere), the gradual decrease is interrupted at latitudes $\pm 30^{\circ}$ by narrow bands of intense sputtering, reflecting the narrow bands of intense ion precipitation in the incident flux (Figure 1). At these latitudes, the relative variation between adjacent zones is a factor of two to three. These large latitudinal variations show that ion precipitation patterns at the surface of Ganymede can be retrieved by remotely measuring ENAs.

394 4 Discussion

395

4.1 Variations along Ganymede's orbit

The ion precipitation distribution used here was simulated to reproduce the plasma 396 environment at Ganymede during Galileo's G8 flyby when Ganymede was at the cen-397 ter of Jupiter's plasma sheet. Those results are in agreement with recent work by Plainaki 398 et al. (2020b), who additionally used MHD simulations to investigate the G2 and G28 399 flyby conditions, during which Ganymede was, respectively, above and below the cen-400 ter of the Jovian plasma sheet. Though simulations of sputtered ENA fluxes as a func-401 tion of Ganymede's orbit are beyond the scope of this paper, Plainaki's recent work can 402 be used together with our results to make a qualitative comment on expected sputtered 403 ENA fluxes when Ganymede is above or below the center of Jupiter's plasma sheet. 404

Results from Plainaki et al. (2020b) show overall decrease of ion precipitation fluxes 405 in G2 and G28 conditions compared to G8. Assuming that, as observed in this work for the G8 flyby, ENA sputtering patterns are mostly correlated with ion precipitation pat-407 terns for the G2 and G28 cases, then we expect a significant decrease in sputtered ENA 408 fluxes when Ganymede is outside the center of Jupiter's plasma sheet. The leading/trailing 409 asymmetry of the precipitating ion flux observed at equatorial regions is a common fea-410 ture of all three scenarios investigated by Plainaki et al. (2020b), so we expect that in-411 tense ENA sputtering in polar regions and in the magnetotail (relative to equatorial re-412 gions) would remain a feature for G2 and G28 conditions as well as for G8 conditions 413 investigated in this work. For conditions outside the plasma sheet, Plainaki et al. (2020b) 414 point out the existence of 'shielded areas' defined as 'regions with low or zero precipi-415 tation flux'. These shielded areas are large enough for G28 conditions that they create 416 a North/South asymmetry, with intense flux to the South and shielding to the North on 417 the leading hemisphere, and the reverse situation for the trailing hemisphere. We expect 418 this North/South asymmetry to be a feature of sputtered ENA fluxes in G28 conditions 419 as it is present for all three species considered by Plainaki et al. (2020b). 420

421 4.2 Energy distribution models

In this study we used a Thompson-Sigmund law to calculate the energy spectra of sputtered particles. In future work, the backscattering process should also be considered

to more accurately simulate the energy spectra of ENAs to be observed at Ganymede. 424 Backscattering is another process caused by precipitating ions, in which the impinging 425 ion is neutralized (usually) and reflected by the surface. Measurements both in labora-426 tories and in space suggest that backscattered particles would have energies in the range 427 that JNA can measure, but distributed according to a Maxwell-Boltzmann-like law rather 428 than the Thompson-Sigmund law applicable to sputtering (Futaana et al., 2012; Wieser 429 et al., 2016). Backscattering yields are not well modeled, although studies by Wieser et 430 al. (2016) and Futaana et al. (2012) suggest that a yield of about 0.1-0.2 can be applied 431 for low ($\sim keV$) energies. The majority of ENAs in the 10 eV - 1 keV range are produced 432 by the sputtering process (by high energy particles), so the backscattered contribution 433 to the total ENA spectra is expected to be small. Nevertheless, the different shape of 131 their spectra may allow us to distinguish backscattered ENAs from sputtered ENAs. 435

Furthermore, non-linear effects in the sputtering process expected at high energies 436 $(\sim 10 \text{keVs})$ are not accounted for by the Thompson-Sigmund distribution. Indeed, Thompson-437 Sigmund is based on linear-cascade theory and accurately predicts the experimentally 438 measured energy distribution of particles sputtered from various surfaces by projectiles 439 with energies of up to 10s of keVs (e.g. Thompson (1968); Haring et al. (1983); Brizzo-440 lara et al. (1988); Goehlich (2001); Samartsev et al. (2005); Wieser et al. (2016)). Mea-441 surements of energy distributions of particles sputtered by \sim MeV-energy projectiles from 442 condensed gases (e.g. Johnson et al. (1983)) only report low-energy sputtered products, 443 typically less than 10 eV, perhaps due to limitations of measuring equipment. Conse-444 quently, there currently exists no adequate analytical model available that can accurately 445 predict the experimentally measured energy distribution of particles sputtered from con-446 densed gases by \sim MeV-energy projectiles. 447

For lack of a better model, the Thompson-Sigmund model has therefore been used 448 here. Wieser et al. (2016) provides a good argument for the use of Thompson-Sigmund 449 in our case as they successfully used it to fit the energy spectra, measured using a JNA 450 prototype, of molecules sputtered from water ice under Ganymede surface-like conditions. 451 Molecules with energies of up to 1.3 keV were observed under a 33 keV O^+ ion beam 452 and followed a Thompson-Sigmund distribution. Thompson-Sigmund was also used in 453 previous works to calculate the energy spectra of particles sputtered from airless body 454 surfaces such as Mercury (Wurz & Lammer, 2003; Wurz et al., 2010), Europa (Plainaki 455 et al., 2010, 2012; Vorburger & Wurz, 2018) and Ganymede (Plainaki et al., 2015), demon-456 strating the need for lab experiments that either validate its use or motivate the devel-457 opment of a better model. In any case, upon deployment in the Jovian environment, JNA 458 will provide in-situ experimental energy spectra of atoms sputtered from icy moon sur-459 faces. However, those data will be limited in resolution by the data and power budget 460 of the JUICE spacecraft, further demonstrating the need for lab experiments such as those 461 mentioned above, performed in controlled and repeatable conditions, to support the anal-462 vsis of JNA data. 463

464

4.3 Angular dependence

The angular distribution of sputtered particles has been predicted and measured 465 to be $\cos^{f}(\theta)$ where θ is the angle relative to the surface normal and f = 1-2 depend-466 ing on the target surface (Hofer, 2005). However, most of our results (global sputtering 467 rates, sputtering maps, globally averaged energy spectra) are insensitive to the assump-468 tion we used in this work that the particles are sputtered isotropically. Only results re-469 lating to sputtered particles as observed by JNA would be affected by the isotropic as-470 sumption, but because JNA's field-of-view is small, and the overcosine distribution im-471 plies preferential sputtering in the direction of JNA (close to zenith), the effect is small 472 (factor of 1.5-2). 473

474 5 Conclusion

We presented a new method to simulate the sputtering process at Ganymede, in 475 order to estimate sputtered ENA fluxes to be observed by the Jovian Neutrals Analyzer. 476 an ENA sensor to be deployed at Ganymede by ESA's upcoming JUICE mission. Our 477 method combines three sputtering yield models to calculate the yield of H_2 , O_2 , and H_2O 478 separately. Our global sputtering rates show that H_2 and O_2 account for half of the to-479 tal global sputtering rate from Ganymede. Our total global sputtering rate is in agree-480 ment with previous works, but by separating each species we were able to calculate their 481 energy spectra, which is necessary in order to simulate JNA measurements. Indeed, JNA's mass resolution only allows it to distinguish between H and heavier species, but infor-483 mation about the mass and origin of heavier species may be retrieved by looking at their 484 energy spectra. . 485

We also provided an estimate of expected JNA count rates and simulated the sputtered ENA flux at different locations along the track of a simplified orbit of the JUICE spacecraft. Our results show large latitudinal variations in sputtered ENA flux, demonstrating that JNA will be able to identify ion precipitation patterns by measuring ENAs. Future work will use realistic orbits of the JUICE spacecraft as well as JNA's calibrated instrument response, unavailable at the time of this study.

In conclusion, our results provide insight into the appearance of the data when JNA 492 measures ENAs at Ganymede, as well as how the instrument should be operated opti-493 mally under limited power and data budget. The produced sputtering rate maps, energy 494 spectra, and count rates in this study illustrate the capability of the ENA measuring tech-495 nique to remotely map ion precipitation at Ganymede and provide clues for further po-496 tential ENA mapping in other icy bodies. Future work can easily use our model to pro-497 duce more accurately simulated JNA spectra for different phases of the JUICE mission. Such simulations are crucial for optimizing operations planning and making the most of 499 the limited integration time and data budget. 500

501 Acronyms

- 502 ENA Energetic Neutral Atoms
- 503 **ESA** European Space Agency
- ⁵⁰⁴ **JNA** Jovian Neutrals Analyzer
- ⁵⁰⁵ **JUICE** JUpiter ICy Moon Explorer
- ⁵⁰⁶ **PEP** Particle Environment Package

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