

Spatial extents of tropical droughts during El Niño in current and future climate in observations, reanalysis, and CMIP5 models

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Abstract

Drought conditions significantly impact human and natural systems in the Tropics. Here, multiple observational and reanalysis products and ensembles of simulations from the Coupled Model Intercomparison Project Phase 5 (CMIP5) are analyzed with respect to drought areal extent over tropical land regions and its past and future relationships to the El Niño/Southern Oscillation (ENSO). CMIP5 models forced with prescribed sea surface temperatures compare well to observations in capturing the present day time evolution of the fraction of tropical land area experiencing drought conditions and the scaling of drought area and ENSO, i.e., increasing tropical drought area with increasing ENSO warm phase (El Niño) strength. The ensemble of RCP8.5 simulations suggests lower end-of-the-century El Niño strength-tropical drought area sensitivity. At least some of this lower sensitivity is attributable to atmosphere-ocean coupling, as historic coupled model simulations also exhibit lower sensitivity compared to the observations.

1 **Spatial extents of tropical droughts during El Niño in current and future climate in**
2 **observations, reanalysis, and CMIP5 models**

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18 **Key Points**

- 19 • Comparison of observed and model-simulated tropical land region drought areal extents
20 show favorable agreement.
- 21 • Tropical land region drought area increases with increasing strength of El Niño.
- 22 • The apparent decrease in future ENSO-tropical drought area sensitivity appears to arise
23 in part from atmosphere-ocean coupling.

24 **Abstract.** Drought conditions significantly impact human and natural systems in the Tropics.
25 Here, multiple observational and reanalysis products and ensembles of simulations from the
26 Coupled Model Intercomparison Project Phase 5 (CMIP5) are analyzed with respect to
27 drought areal extent over tropical land regions and its past and future relationships to the El
28 Niño/Southern Oscillation (ENSO). CMIP5 models forced with prescribed sea surface
29 temperatures compare well to observations in capturing the present day time evolution of the
30 fraction of tropical land area experiencing drought conditions and the scaling of drought area
31 and ENSO, i.e., increasing tropical drought area with increasing ENSO warm phase (El
32 Niño) strength. The ensemble of RCP8.5 simulations suggests lower end-of-the-century El
33 Niño strength-tropical drought area sensitivity. At least some of this lower sensitivity is
34 attributable to atmosphere-ocean coupling, as historic coupled model simulations also exhibit
35 lower sensitivity compared to the observations.

36 **Plain Language Summary**

37 Many regions of the planet are extremely vulnerable to drought. In the tropics, the El
38 Niño/Southern Oscillation (ENSO) phenomenon is recognized as a key driver of drought
39 occurrence. In this study, we analyze the spatial extent of droughts over tropical land regions
40 and evaluate its connection to ENSO in the recent past in observations and current generation
41 models as well as simulated future projections. We demonstrate overall model fidelity in
42 capturing a positive relationship between the tropical land area under drought and El Niño in
43 the recent past and consider how this relationship may change in the future.

44 **Index Terms:** Drought (1812), ENSO (4922), Global Climate Models (1626), Tropical
45 Dynamics (3373), Climate Variability (1616)

46 **1) Introduction**

47 Tropical rainfall extremes have significant repercussions for the human and natural systems
48 [*Kumar et al.*, 2013]. Interannually, the El Niño/Southern Oscillation (ENSO) strongly
49 modulates tropical rainfall. Furthermore, anthropogenic forcing of climate, from greenhouse
50 gases or other factors, is likely to impact rainfall across multiple timescales. For example,
51 climate model projections of future monthly-mean tropical rainfall indicate increases in both
52 dry and wet extreme monthly accumulations, leading to broadening of the precipitation
53 distribution [*Lintner et al.*, 2012].

54 Comprehensive assessment of droughts and their associated impacts requires the
55 quantification of multiple facets of their behavior. While drought intensity, duration, and
56 frequency are integral to assessing their impact on human and natural systems, the spatial
57 characteristics of drought, including their areal extent, are also critical. *Lyon* [2004] and *Lyon*
58 *& Barnston* [2005], hereafter L04 and LB05, respectively, explored the drought areal extents
59 over tropical land and their relationship to ENSO. Using a standardized precipitation index
60 and categorical definitions of drought, L04 and LB05 demonstrated that during ENSO warm
61 phase (El Niño) conditions, spatially coherent and nearly simultaneous droughts develop over
62 tropical land regions. That is, there is an overall increase in total tropical land area during El
63 Niño events.

64 Given use of climate models for projecting future hydroclimate impacts, evaluating
65 model fidelity in drought simulation is crucial. *Nasrollahi et al.* [2015] analyzed trends in
66 continental drought areas in an ensemble of Coupled Model Intercomparison Project Phase 5
67 [CMIP5; *Taylor et al.* 2012] models and reported broad similarity in the geographic areas
68 subject to droughts but with disagreement among trends. More recently, *Ukkola et al.* [2018]

69 evaluated multiple drought metrics both globally and regionally and demonstrated that,
70 despite the high intermodel agreement, CMIP5 models systematically underestimate drought
71 intensity compared to observations. *Langenbrunner & Neelin* [2013] demonstrated CMIP5
72 model skill in capturing the observed intensity of teleconnected ENSO rainfall anomalies,
73 albeit with generally poor performance in capturing the detailed spatial structure. While
74 *Ukkola et al.* [2018] analyzed several dimensions of drought in CMIP5, they did not
75 explicitly evaluate model fidelity with respect to spatial extent. On the other hand,
76 *Nasrollahi et al.* [2015] evaluated spatial aspects of drought trends but without particular
77 emphasis on ENSO. *Dai et al.* [1998] found the leading mode of variability in the
78 observationally-derived Palmer Drought Severity Index (PDSI) to be significantly positively
79 correlated with ENSO, with some indications of a strengthening relationship over the latter
80 part of the 20th century. *Coelho & Goddard* [2009] considered some aspects of simulated
81 drought extent and related these to teleconnected precipitation responses to ENSO under both
82 current climate and future projections.

83 Here, we apply the categorical index-based approach of L04 to quantify spatial drought
84 extent aggregated over all tropical land regions in observations, reanalyses, and CMIP5
85 models. Our first objective is to validate CMIP5 model performance for the observed ENSO-
86 drought area relationship in current climate, while our second objective is to consider the
87 future ENSO-drought area relationship. In light of the possible changes to ENSO with
88 anthropogenic warming, we seek to determine whether the current ENSO-drought area
89 relationship will hold in the future, i.e., do future projections reflect similar drought area
90 increases with the strength of El Niño as in present climate?

91 Needless to say, multiple definitions of drought are used by the scientific community,
92 with the choice of drought indices often motivated by the particular type or aspect of drought
93 (meteorological, ecological, agricultural, or hydrological) examined [*Wilhite & Glantz*,
94 1985]. Since we only consider water supply (rainfall) assessed via a standardized rainfall
95 index (SRI), we expect our results to be most directly applicable to meteorological drought.
96 Other drought indices may very well reflect different behavior than what we report below.

97

98 **2) Data sets and methods**

99 We employ several publically-available gridded observational and reanalysis datasets,
100 including: CPC Merged Analysis of Precipitation [CMAP; *Xie & Arkin*, 1997];
101 Tropical Rainfall Measuring Mission [TRMM; *Huffman et al.* 2014]; Global Precipitation
102 Climatology Project [GPCP; *Adler et al.* 2003]; Global Precipitation Climatology Centre
103 [GPCC; *Schneider et al.* 2011]; University of Delaware Precipitation [UDel; *Willmott, &*
104 *Matsuura*, 2001] University of East Anglia [UEA; *Hulme* 1992; *Hulme et al.* 1998]; ERA-
105 Interim [*Dee et al.* 2011]; and Climate Forecast System Reanalysis [CFSR; *Saha et al.* 2010;
106 *Saha et al.* 2012]. The GPCC, UEA, and UDel data sets are based on station observations;
107 CMAP, GPCP, and TRMM are based on merged land observations and satellite data; and
108 CFSR and ERA-Interim are reanalyses.

109 We also use three model ensembles from CMIP5: N = 29 prescribed SST simulations
110 between January 1979 and December 2005, also known as Atmospheric Model
111 Intercomparison Project (AMIP) simulations [*Taylor et al.* 2012]; N = 22 fully coupled
112 simulations covering January 1979-December 2005; and N = 22 fully coupled simulations
113 under the RCP8.5 projection scenario between January 2005 and December 2100. (A

114 summary of model names and acronyms is provided in the supplemental information.) By
 115 applying observed SST boundary conditions, the AMIP simulations generally exhibit smaller
 116 biases and model spread relative to historic coupled atmosphere-ocean model simulations.
 117 The AMIP simulations further allow direct comparison to observed ENSO events, unlike
 118 coupled simulations that do not reproduce the observed time evolution of SSTs. AMIP
 119 model selection was based on the availability of monthly fields over the observational
 120 analysis period; for the other two ensembles, model selection was guided by an interest in
 121 analyzing models appearing in both ensembles. For all models, only a single integration is
 122 analyzed, even though multiple realizations exist for some models.

123 In the interest of standardizing our analyses, all observational products and models were
 124 regridded to a common $2.5^\circ \times 2.5^\circ$ grid via bilinear interpolation. The interpolation procedure
 125 likely results in the muting of more extreme behavior, especially given the occasionally sharp
 126 spatial gradients present in tropical rainfall. Qualitatively, however, the behavior of the
 127 diagnostics described below computed on the regridded versus native resolution is similar.

128 As in L04, we compute timeseries of monthly SRI at every tropical land pixel. The SRI
 129 analyzed, denoted as S_{12} , represents a 12-month sum of weighted, standardized monthly
 130 precipitation anomalies in the log of rainfall:

$$131 \quad S_{12}(i) = \sum_{j=i-12}^i \left(\frac{\log P_j - \overline{\log P_j}}{\sigma_j} \right) \cdot \frac{\overline{P_j}}{\overline{P_A}} \quad (1)$$

132 In (1), i represents each month during January 1980-December 2008 (348 months total), P_j
 133 and P_A , represent the monthly precipitation of the j^{th} month in the sum and total annual
 134 accumulation, respectively, and overbars represent climatological mean values. σ_j is the
 135 monthly standard deviation of $\log(P)$. Use of $\log(P)$ yields a distribution closer to
 136 Gaussian than P , the distribution of which is often positively skewed [Lyon & Barnston,

137 2005]. The weighting factor, $\frac{\bar{P}_j}{\bar{P}_A}$, representing the climatological monthly fraction of annual
138 precipitation, damps the influence of large standardized anomalies during months with
139 climatologically low rainfall. For the historical simulations and RCP8.5 projections, the
140 climatology used for \bar{P}_j and \bar{P}_A , as well as the monthly standard deviations σ_j correspond to
141 January 1979-December 2005 and January 2074-December 2099, respectively.

142 S_{12} values are further normalized by standard deviation to obtain a dimensionless index
143 of aggregated precipitation deficits (or surpluses), with values typically ranging from -2 to
144 $+2$. L04 applied equation (1) to gridded monthly-mean precipitation to calculate timeseries
145 of tropical land area fraction subject to selected threshold levels of drought. In what follows,
146 we adopt the same categorical definitions used in L04, namely moderate, intermediate, and
147 severe, defined respectively for index values < -1 , < -1.5 , and < -2 . By construction, the
148 moderate category includes the intermediate and severe categories, and the intermediate
149 category includes the severe category.

150 We assess model performance by comparing timeseries for categorical drought land area
151 (as percentages of total tropical land area over 30°S - 30°N) obtained from individual models
152 and the multimodel ensemble mean (MEM) with the observationally-based (or reanalysis)
153 datasets. The principal metrics considered for model evaluation are temporal correlation,
154 root-mean-square error (RMSE), and linear unidimensional scaling (LUS, [Hubert *et al.*,
155 2002]). The latter represents an approach for arranging input objects along a single axis; it
156 does so via a linear least-squares minimization procedure applied to a matrix of distances
157 between every pair of objects (the "proximity matrix").

158 We further quantify the sensitivity of the observed and simulated categorical drought area
159 timeseries to the strength of El Niño via linear regression analysis. As a simple diagnostic of

160 observed (and AMIP) ENSO strength, we consider SST anomalies over the NINO3.4 region
161 (170°W-120°W, 5°S-5°N): specifically, we use the NOAA's Climate Prediction Center's
162 Oceanic Nino Index (ONI). For the historical and RCP8.5 model ensembles, ONI indices are
163 constructed from each model's unique SST field. Given the use of multiple indices to define
164 ENSO, it is possible that the results and conclusions below would differ based on the index
165 selected.

166 Finally, we consider histograms of drought area percentage binned according to ONI
167 values, which gives a sense of how drought area scaling varies with the strength of ENSO.
168 Summing the product of the histogram slope with the frequency of occurrence of binned ONI
169 values provides an additional measure of sensitivity.

170

171 **3) Results and discussion**

172 The top row of Figure 1 depicts timeseries of the three categorical drought areal fractions for
173 all land gridpoints between 30°S-30°N for the analyzed observations and reanalysis products.
174 The most notable characteristic of the time evolution is the pronounced increases in tropical
175 land region drought area fraction across the three drought categories during El Niño
176 conditions (vertical lines), consistent with the findings of L04. Because of the 12-month sum
177 in the definition of S_{12} , the peak drought fractions lag the peak in El Niño by ~6 months.
178 During some El Niño events, between 20-30% of global tropical land area falls under the
179 moderate category, 15-20% under intermediate, and 5-10% under severe. To put the El Niño
180 drought increases into perspective, the time-mean percentages for the three categories are
181 ~15%, ~7.5%, and ~2%; hence, El Niño conditions are frequently associated with expanding

182 the aggregated tropical drought area by a factor of 2 or more relative to climatological
183 expectation.

184 Mechanistically, L04 explained the increase in drought area with El Niño in terms of the
185 increased stability of the tropical troposphere to precipitating deep convection with the
186 warming, building on the theoretical framework of *Chiang & Sobel* [2002]. In particular,
187 tropospheric warming associated with anomalous diabatic (convective) heating over the
188 ENSO source region in the Pacific spreads rapidly via planetary wave dynamics throughout
189 the entire tropical belt. That precipitation over land decreases in response to El Niño forcing
190 [*Lintner & Chiang* 2007] is qualitatively consistent with the expansion of tropical land
191 fraction experiencing drought. Of course, how reduced rainfall quantitatively translates to
192 greater drought area is not obvious and should be investigated further.

193 It is clear, however, that not all El Niño events are associated with large increases in
194 drought area. Focusing on, e.g., CMAP (orange lines in Figure 1), the most pronounced
195 increases in drought fraction coincide with the 1982/83, 1991/92, and 1997/98 El Niño
196 events; while not shown here, the 2015/16 El Niño exhibits a similar increase. As L04 and
197 LB05 noted, this likely reflects event-to-event intensity differences—1982/83 and 1997/98
198 ranked as the strongest 20th century events in terms of peak (December-January-February-
199 mean) SST anomalies, while 2015/16 has been the strongest El Niño to date in the 21st
200 century. However, it may also reflect more subtle differences inherent in the underlying
201 spatial details, or flavor, of ENSO events. In particular, the 1982/83, 1991/92, and 1997/98
202 events are recognized as so-called Eastern Pacific events, which are characterized by
203 maximum SST anomalies located further to the east than those for Central Pacific events

204 [Capotondi *et al.* 2015]. The degree to which different ENSO flavors may systematically
205 impact the tropical ENSO teleconnection represents an area of ongoing research interest.

206 The other observations depicted in Figure 1 reflect time evolution broadly similar to
207 CMAP, although there are clearly differences among the observations across the three
208 categories and across El Niño events. In GPCC (dark blue line), for example, moderate
209 drought extent is of greater magnitude for 1982/83 and 1991/92 but of lesser magnitude for
210 1997/98 compared to CMAP. Both the UEA (gray) and UofD (red) datasets yield lower
211 amplitude peaks compared to CMAP (or GPCC and GPCP). The two reanalysis products,
212 CFSR and ERA-Interim (dark green and light green, respectively), agree poorly with the
213 observations. Some of the differences among the observations and reanalyses can be tied to
214 specific regional signatures, e.g., GPCC manifests much larger El Niño phase drought area
215 increases over tropical Africa relative to CMAP (not shown). While cursory, our comparison
216 of observations (and reanalyses) illustrates the need for caution in establishing observational
217 benchmarks (or "truth") for model evaluation.

218 In the AMIP models (Figure 1, bottom row), the MEM timeseries across all three
219 categories (black curves) largely mirror the observed time evolution. To enable more
220 quantitative comparisons of AMIP models to the observations, in Figure 2 we present Taylor
221 plots [Taylor, 2001] computed relative to the mean of the five observational products. With
222 the exception of a few models, most of the AMIP models across all three categories are
223 correlated with the observed timeseries at or above the 95th percent confidence interval
224 according to a two-tailed student t-test, and many are correlated at or above the 99th
225 percentile. The observational products themselves are highly mutually correlated, generally
226 at levels exceeding the model correlations shown in Figure 2.

227 On the other hand, the two reanalysis products are poorly correlated with the observed
228 timeseries (not shown on Figure 2), with many of the AMIP models exceeding the reanalysis
229 correlations. Since these reanalysis products do not assimilate rainfall, comparable
230 performance to the models is not unexpected; for other drought measures that incorporate
231 additional information such as temperature, which reanalysis products do assimilate, better
232 performance relative to the models is likely. Perhaps not surprisingly, the AMIP MEM
233 outperforms nearly all of models individually in terms of correlation and RMSE, i.e., the
234 model errors are likely not systematic, so they cancel in the ensemble averaging.

235 Figure 2 also presents the results of LUS application to the 30 x 30 proximity matrix of
236 all model to model and model to mean observation pairs for moderate drought conditions.
237 The results displayed here correspond to the arrangement of models and observational mean
238 according to the LUS unidimensional scaling coordinate. Comparing the distribution of
239 models in the Taylor plot for moderate drought to the LUS shows that many of the highest
240 RMSE models, which are also more strongly correlated with the mean observations, occur on
241 the righthand side of the scaling axis. (Note that while the relative positioning in LUS is
242 meaningful, the overall ordering may be reversed.) Although a full exploration of the
243 implications of LUS ordering of the models and observations is beyond the scope of this
244 study, we highlight some aspects in support of its utility as a tool for model intercomparison.
245 For example, models from the same family are typically situated close to one another along
246 the LUS axis, although not necessarily as immediate neighbors. Models 7 and 13 present an
247 interesting contrast, as they appear in the Taylor plot with comparable RMSE and correlation
248 to the observational mean but are well separated along the LUS scaling axis, that is, these

249 models may be viewed as having comparable fidelity to the observational mean even though
250 they may be considered relatively dissimilar according to LUS.

251 Given the prominent phase relationship evident between ENSO and tropical land region
252 drought area, we next quantify the scaling of drought area to ENSO strength via simple linear
253 regression of the categorical drought extent timeseries against ONI, focusing here on the
254 moderate drought category for simplicity. For the sensitivity values discussed here, uniform
255 sample sizes are considered by selecting different 20-year periods for the observations and
256 each model ensemble, although qualitatively similar results are obtained with nonuniform
257 sample sizes.

258 For the five observational products, the mean ENSO sensitivity is $3.6 \pm 0.5\% \text{ } ^\circ\text{C}^{-1}$;
259 inclusion of the CFSR and ERA reanalyses slightly lowers the estimated sensitivity
260 ($3.3 \pm 0.5\% \text{ } ^\circ\text{C}^{-1}$). The mean sensitivity of the AMIP models compares well to the observations
261 ($3.3\% \text{ } ^\circ\text{C}^{-1}$), albeit with a larger standard deviation ($1.7\% \text{ } ^\circ\text{C}^{-1}$). In fact, roughly 1/3 of the
262 AMIP models exceed the highest observed sensitivity (GPCC, $4.1\% \text{ } ^\circ\text{C}^{-1}$), while another 1/3
263 fall below the lowest observed sensitivity (UofD, $2.8\% \text{ } ^\circ\text{C}^{-1}$). We will further investigate the
264 drought area-El Niño strength relationship below, but for now, we briefly address future
265 RCP8.5 projection of the categorical droughts over tropical land.

266 Figure 3 depicts timeseries of the three drought categories from the RCP8.5 ensemble
267 over the course of the 21st century. From these timeseries, it is clear that there is little overall
268 consensus on the projected 21st century trends in tropical land region drought fraction. The
269 inconsistent trends in changing tropical land drought area in the RCP8.5 ensemble may be
270 indicative of model-to-model spread in capturing the physical pathways mediating global
271 warming-related precipitation change. While observed global warming is moistening the

272 atmosphere (Chung et al. 2014), and will likely continue to do so, it is not necessarily clear
273 that this should increase rainfall on regional scales. Moreover, the aggregation of S_{12} across
274 different mean climate regimes and wet and dry seasons may contribute to the trend
275 inconsistency, since distinct precipitation change mechanisms may act over different regions
276 or seasons. For example, the so-called wet-wetter/dry-drier paradigm suggests that wet
277 regions (or seasons) will become wetter and dry regions (or seasons) will become drier with
278 warming [Liu & Allen, 2013], potentially leading to changes of either sign in tropical drought
279 fraction.

280 What about the future drought-ENSO relationship in the RCP8.5 models? The estimated
281 sensitivity of (moderate) drought area to ENSO over the last two decades of the 21st century,
282 $1.0 \pm 2.1\% \text{ } ^\circ\text{C}^{-1}$, is significantly smaller than is observed or simulated by the AMIP models for
283 the recent past. By itself, this lower sensitivity suggests that future El Niño events of a given
284 magnitude may produce *smaller* increases in tropical land drought area than in current
285 climate. However, it is necessary to provide some further context about this apparent lower
286 sensitivity.

287 First, while the uncertainty in the RCP8.5 mean sensitivity is slightly higher than in the
288 AMIP models (which, as indicated above, is larger than in the observations), approximately
289 one quarter of the RCP8.5 models exhibit *negative* drought area-ENSO sensitivities, in
290 contrast to the AMIP models for which all sensitivities are positive. Although the latitude
291 band over which we compute drought fraction encompasses some regions (e.g., southeastern
292 South America) for which observed El Niño conditions are associated with increasing, rather
293 than decreasing, rainfall—and as such, may contribute to decreasing El Niño phase drought
294 fraction—these areas are unlikely to dominate the aggregated response. Moreover, in the

295 RCP8.5 simulations, the ONI itself warms in response to anthropogenic forcing: between the
296 2010s and 2090s, the mean ONI region SST in the RCP8.5 ensemble increases by $2.8\pm 0.9^{\circ}\text{C}$.
297 Thus, the MEM RCP8.5 model projection exhibits ONI SSTs by the end of the 21st century
298 corresponding to a *strong* present day El Niño. Of course, the ONI region warming needs to
299 be considered in terms of widespread warming of the rest of the planet, and thus the shifting
300 threshold for defining El Niño.

301 We also point out that the mean sensitivity from the historic coupled model ensemble is
302 $1.8\pm 2.1\% \text{ }^{\circ}\text{C}^{-1}$, i.e., higher than the RCP 8.5 projections, but still smaller than the observed
303 (or AMIP) sensitivities. In other words, even under historic forcing conditions, coupled
304 models manifest smaller drought area sensitivities to El Niño strength than the prescribed
305 SST-forcing AMIP models. Thus, the smaller future ENSO sensitivity appears to be
306 explained, at least in part, by the behavior of coupled atmosphere-ocean models. Coupled
307 models are well-known to exhibit biases and errors in tropical Pacific mean state climate
308 (e.g., an excessive cold tongue) that impact the fidelity of ENSO simulation (Guilyardi et al.
309 2009), although it is not immediately clear what aspects of incorrect simulation of ENSO
310 account for the differences between the historic and AMIP ensembles.

311 As a final diagnostic, Figure 4a depicts histograms of moderate tropical land drought
312 fraction bin-averaged according to ONI index after subtraction of 10 year (120 month)
313 running means. Removal of the running mean provides a way to account for the shifting
314 baseline of ENSO events in the presence of a warming background. Figure 4b illustrates the
315 normalized occurrence frequencies of binned ONI values for the observations and model
316 ensembles. In general, for $\text{ONI} > 0$, the histograms in Figure 4a indicate increasing land
317 drought with increasing ONI, consistent with expectations. Considered over the whole range

318 of ONI, the histograms exhibit some nonlinearity; for ONI>0, there is a hint of nonlinear
319 scaling, with more rapid increase of drought area with progressively warmer ONI region
320 SSTs.

321 Despite the qualitative agreement of scaling behavior among the observed, AMIP,
322 historic, and RCP8.5 projection histograms, scatter at given values of ONI is evident; in
323 particular, for the RCP8.5 and, to a lesser extent, historic histograms, the bin averages for
324 ONI>1 are systematically lower than for either the observations or AMIP. In fact, the
325 ordering is consistent with the sensitivity estimates derived from the linear regressions.
326 Some small differences are also evident in the ONI occurrence frequencies shown in Figure
327 4b: the observed (or AMIP) ONI distributions are slightly more negatively skewed than for
328 either the historic or RCP8.5 ensembles, and the small bump in the distribution at moderate
329 to strong El Niño intensities appearing in the observations is not present in the historic or
330 RCP8.5 distributions.

331 Since the histogram slopes can be viewed as sensitivities over intervals of (detrended)
332 ONI values, we can more directly compare the regression-based sensitivities to sensitivities
333 derived by summing over the product of the histogram slope in each bin (m_i) with the
334 occurrence frequency of ONI per bin (f_i), i.e.,

$$335 \quad \Lambda = \sum_{i=1}^N f_i m_i \quad (2)$$

336 Applying this to the data shown in Figure 4, we obtain histogram-based sensitivities of 2.4,
337 2.3, 1.8, and 1.2% °C⁻¹ for the observations, AMIP, coupled historic, and coupled RCP8.5
338 ensembles, respectively. That the Λ are lower than the regression-based estimates for the
339 observations and AMIP reflects the fact that this approach emphasizes more frequent values

340 closer to the center of the distribution, which have smaller slope. That said, the coupled
341 historic and RCP8.5 ensembles again exhibit smaller sensitivities than the observations.

342

343 **4) Summary and conclusions**

344 Motivated by the prior work linking observed tropical drought land fraction to ENSO
345 strength, we apply a categorical drought index approach to analyze ensembles of global
346 climate models from the Coupled Model Intercomparison Project Phase 5 (CMIP5). Our
347 analysis of prescribed sea surface temperature CMIP models (the AMIP ensemble)
348 demonstrates global climate model fidelity in capturing the observed time evolution of bulk
349 tropical drought area and its scaling relationship with ENSO. In particular, both the
350 observations and AMIP models manifest comparable increases in the aggregated percentage
351 of tropical land region experiencing drought conditions during El Niño events.

352 By considering the RCP8.5 ensemble, we document an apparent decrease in future
353 tropical land drought area sensitivity to ENSO. As we have shown, roughly half of this
354 decrease may be attributed to differences introduced by the coupled model framework, since
355 the ensemble of historic coupled CMIP5 models shows lower sensitivity in comparison to
356 observations (or AMIP models). After accounting for the influence of simulated coupling
357 with an interactive ocean, the residual smaller end of the 21st century drought area sensitivity
358 to ENSO in RCP8.5 may indicate less pronounced impact of the ENSO teleconnection over
359 tropical land regions. Indeed, the effects of widespread anthropogenic warming could
360 potentially counteract some of the El Niño-related drying (through, e.g., moistening of the
361 atmosphere).

362 On the other hand, the aggregate view of tropical land drought area and its scaling, while
363 facilitating model comparison, obviously neglects the regional nature of droughts. A more
364 variable future hydroclimate will likely enhance drought severity when and where droughts
365 occur; hence, even if a smaller fraction of tropical land experiences drought in response to
366 ENSO forcing, the local impacts may be exacerbated. Furthermore, we have only considered
367 drought area behavior through rainfall “supply”: water demand over tropical continents will
368 almost certainly be compounded with future El Niño warming occurring in a warming world.

369

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373

374 ***Data Availability Statement***

375 The observational, reanalysis, and simulated rainfall data analyzed in this study may be
376 obtained through the following links:

377

378 CMAP

379 National Center for Atmospheric Research

380 <https://rda.ucar.edu/datasets/ds728.1/>

381

382 TRMM

383 Goddard Earth Data Sciences and Information Center

384 https://disc.gsfc.nasa.gov/datasets/TRMM_3B43_7/summary

385

386 GPCP

387 National Centers for Environmental Information

388 <https://www.ncei.noaa.gov/access/metadata/landing-page/bin/iso?id=gov.noaa.ncdc:C00979>

389

390 UDel

391 National Oceanic and Atmospheric Administration Physical Sciences Laboratory

392 https://psl.noaa.gov/data/gridded/data.UDel_AirT_Precip.html

393

394 UEA

395 University of East Anglia Climate Research Unit

396 <https://sites.uea.ac.uk/cru/data>

397

398 ERA-Interim

399 European Centre for Medium-Range Weather Forecasts
400 <https://www.ecmwf.int/en/forecasts/datasets/reanalysis-datasets/era-interim>
401
402 CFSR
403 National Center for Atmospheric Research
404 <https://rda.ucar.edu/datasets/ds093.2/>
405
406 CMIP5-AMIP, Historical, and RCP8.5 model ensembles
407 Centre for Environmental Data Analysis
408 <https://archive.ceda.ac.uk/>
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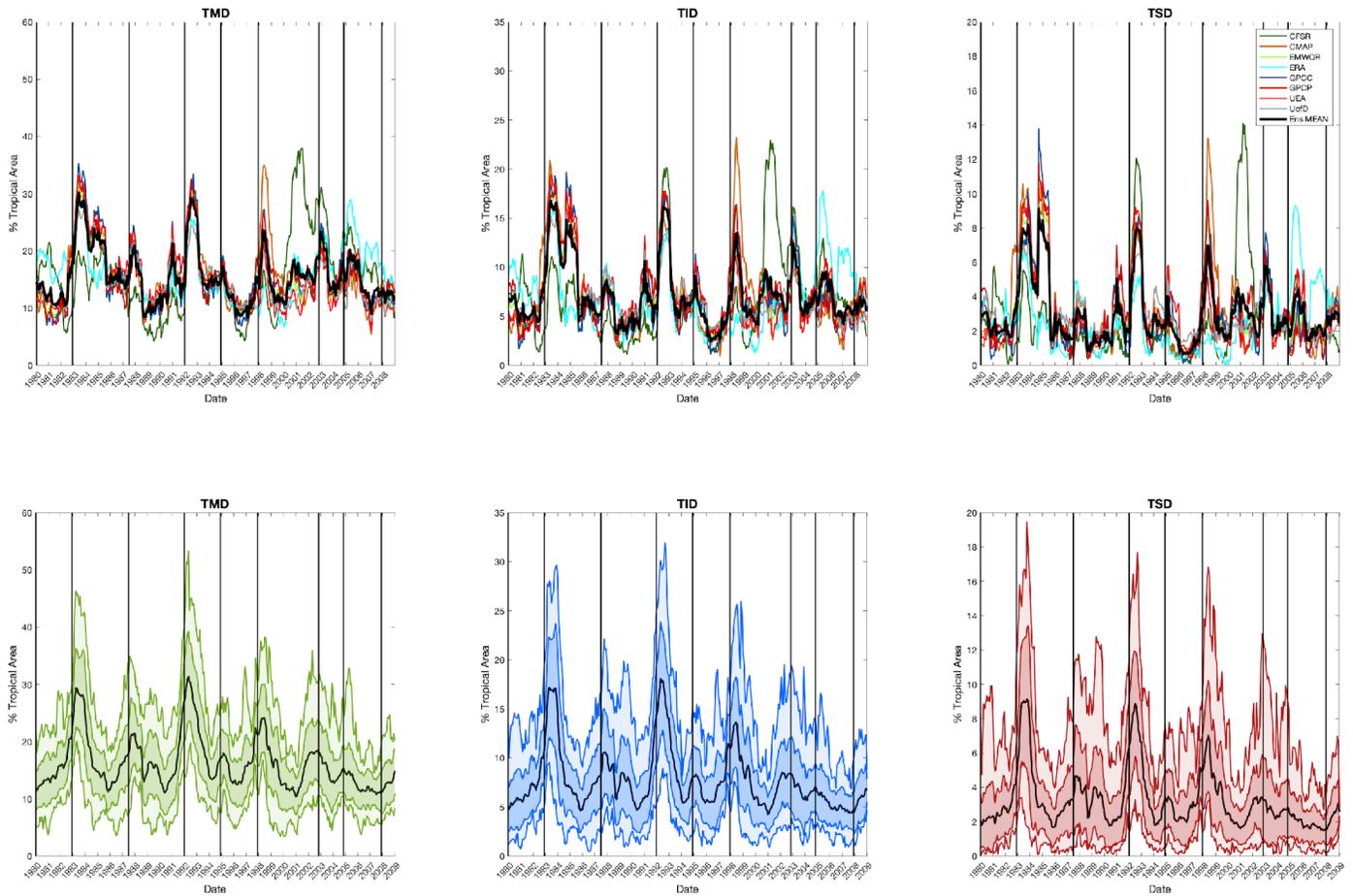


Figure 1: Timeseries of the fraction of tropical land region experiencing drought by category (moderate [TMD], left; intermediate [TID], middle; and severe [TSD], right; see text for definitions) from observations/reanalyses (top row) and prescribed SST (AMIP) simulations from CMIP5 (bottom row). For the observations/reanalyses, individual products are labeled with colored lines according to the legend, with the mean over the five observational products analyzed given by the thick black line. For the AMIP models, the model ensemble mean (MEM) corresponds to the thick black line, with the $\pm 1\sigma$ envelope of the MEM denoted by dark shading, and the maximum-minimum range of the models by light shading. Vertical lines in each plot correspond to the peaks of El Niño conditions.

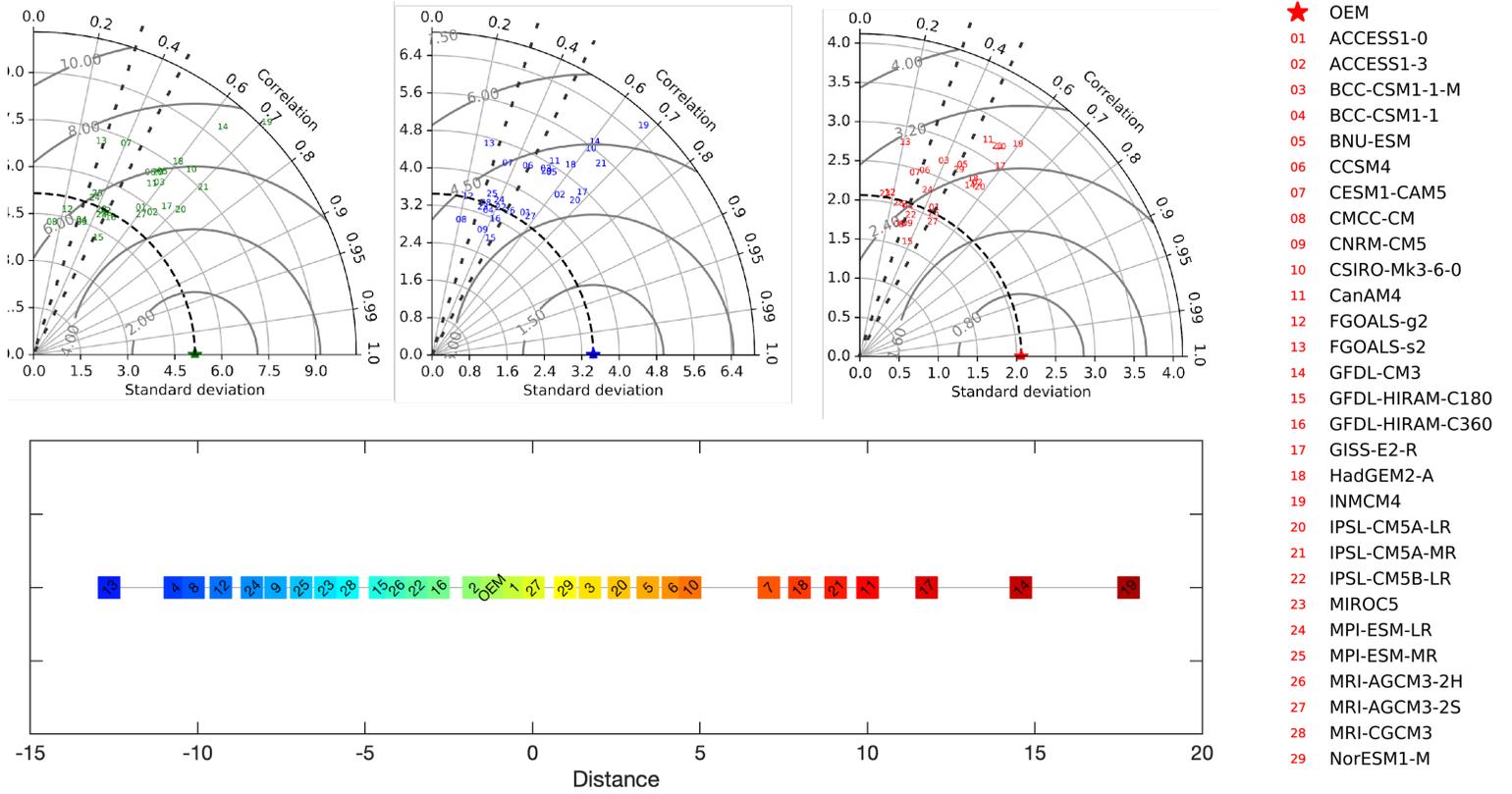


Figure 2. Quantitative comparison of the observational mean and AMIP models. The top row depicts Taylor plots for the categorical drought fraction timeseries of the AMIP models relative to mean of the observations shown in Figure 1 for the TMD (left), TID (middle), and TSD (right) categories. For each category, the observational mean (OEM) is shown by the star along the x-axis, while each model is labeled by its numerical value indicated in the legend. The bottom row depicts the LUS ordering of the models and the observed mean for the TMD category. The models are again labeled by numerical values according to the legend.

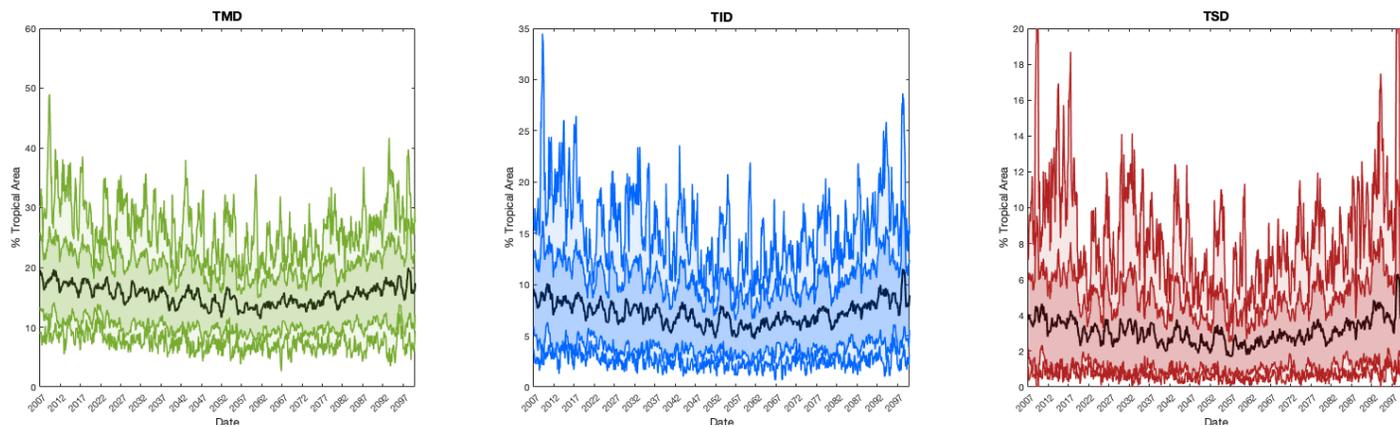


Figure 3. Categorical drought fraction timeseries (TMD, left; TID, middle; and TSD, right) for RCP8.5 projections. The model ensemble mean (MEM) corresponds to the thick black line, with the $\pm 1\sigma$ envelope of the MEM denoted by dark shading, and the maximum-minimum range of the models by light shading.

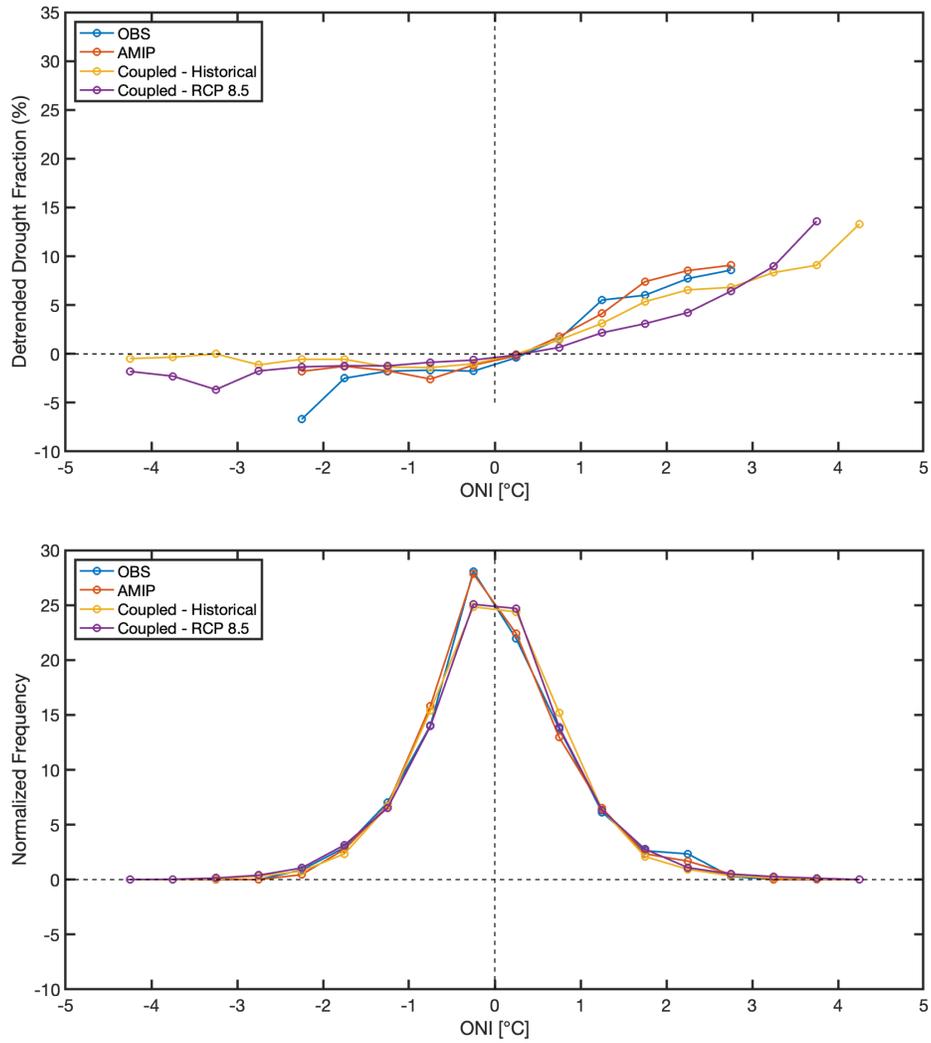


Figure 4. Histograms of detrended TMD fraction for observations and models vs. detrended ONI (top) and counts of detrended ONI values (bottom) in observations (and reanalyses; blue) and the AMIP (orange), coupled historic (orange), and RCP8.5 (purple) model ensembles. Prior to construction of these histograms, a moving 10-year (120-month) running mean is removed.