# The Seismicity of Indonesia

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#### Abstract

Indonesian seismicity provides important insights into the tectonics and hazards of a region that is characterized by a remarkable diversity in faulting, including subduction, extension, thrusting, and strike-slip faulting. We present a synthesis of Indonesian seismotectonics by documenting the distributions of hypocenters ([?] M 4.6) and focal mechanisms ([?] M 5.0) over ~20 years, quantifying seismicity rates, and comparing observed seismicity trends with proposed tectonic models. Of the 20,622 events [?] M 4.6 observed in the study region, ~77% of seismicity are shallow ([?] 70 km depth) and of magnitudes [?] M 5.0 (68%). 61 events [?] M 7.0 occurred, five of which exceeded M 8.0, including the 2004 Mw 9.1 Sumatra-Andaman earthquake. Regionally, about ~320 [?] M 5.0 earthquakes occur per year, and rates decrease exponentially between 50-300 km with significantly elevated seismicity in the Mantle Transition Zone (MTZ). Intermediate and deep events ([?] 70 km depth) trace the Wadati-Benioff zones of several subducting slabs exhibiting a geometry consistent with recent tomography models. Seismicity extends to a maximum depth of 678 km. Oblique convergence, lithospheric age, ambient mantle temperatures and viscous resistance at the 410, 520, and 660 km phase boundaries likely contribute to the non-uniform depth distribution of intermediate and deep earthquakes. Shallow seismicity provides insight into how complex oblique convergence is accommodated near the surface, with primary sources including megathrusting, crustal faulting, and shallow intraslab faulting. All sources of shallow seismicity constitute significant seismic hazards.

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5	Key Points:
6	• We summarize the current knowledge of Indonesian seismotectonics by documenting
7	distributions of hypocenters and focal mechanisms.
8	• Intermediate and deep seismicity traces Wadati-Benioff zones of subducting slabs with
9	geometry consistent with recent tomography models.
10	• Shallow seismicity generated by diverse faulting is consistent with regional tectonic and
11	faulting models, posing seismic hazards.
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### 32 Abstract

33 Indonesian seismicity provides important insights into the tectonics and hazards of a 34 region that is characterized by a remarkable diversity in faulting, including subduction, 35 extension, thrusting, and strike-slip faulting. We present a synthesis of Indonesian 36 seismotectonics by documenting the distributions of hypocenters ( $\geq$  M 4.6) and focal 37 mechanisms ( $\geq$  M 5.0) over ~20 years, quantifying seismicity rates, and comparing observed 38 seismicity trends with proposed tectonic models. Of the 20,622 events  $\geq$  M 4.6 observed in the study region, ~77% of seismicity are shallow ( $\leq$  70 km depth) and of magnitudes < M 5.0 (68%). 39 40 61 events  $\geq$  M 7.0 occurred, four of which exceeded M 8.0, including the 2004 M<sub>w</sub> 9.1 Sumatra-Andaman earthquake. Regionally, about  $\sim 320 \ge M 5.0$  earthquakes occur per year, and rates 41 42 decrease exponentially between 50-300 km with significantly elevated seismicity in the Mantle 43 Transition Zone (MTZ). Intermediate and deep events ( $\geq$  70 km depth) trace the Wadati-Benioff 44 zones of several subducting slabs exhibiting a geometry consistent with recent tomography 45 models. Seismicity extends to a maximum depth of 678 km. Oblique convergence, lithospheric 46 age, ambient mantle temperatures and viscous resistance at the 410, 520, and 660 km phase 47 boundaries likely contribute to the non-uniform depth distribution of intermediate and deep 48 earthquakes. Shallow seismicity provides insight into how complex oblique convergence is 49 accommodated near the surface, with primary sources including megathrusting, crustal faulting, 50 and shallow intraslab faulting. All sources of shallow seismicity constitute significant seismic 51 hazards.

### 52 Plain Language Summary

53 Indonesia and much of southeastern Asia experiences frequent earthquakes produced by a 54 variety of sources and is highly prone to earthquake hazards. We summarize the geographic 55 distribution, sources, and frequency of earthquakes throughout the Indonesian region over a 20-56 year period, document associated hazards, and highlight the relevance to regional plate tectonic 57 models. Indonesia and neighboring areas experience an annual average of about 320 earthquakes 58 with magnitudes  $\geq$  5.0, and three events of magnitudes  $\geq$  7.0. The majority of all earthquakes 59 occur at depths  $\leq$  70 km, although deeper earthquakes  $\geq$  70 km are common, extending to ~678 60 km at the deepest. Several of the largest instrumentally recorded earthquakes occurred in 61 Indonesia, including four events with magnitudes  $\geq 8.0$  since January 2000, the most destructive

being the 2004  $M_w$  9.1 Sumatran-Andaman earthquake. The frequency of strong earthquakes at

63 depths  $\leq$  70 km poses a serious risk from ground shaking, tsunamis and landslides for Indonesian 64 communities.

65 Keywords: Megathrust earthquakes, intermediate and deep earthquakes, Sumatra, Java, Banda

66 Sea, Celebes Sea

### 67 1 Introduction

68 The seismicity of Indonesia and the surrounding area provides crucial evidence for the 69 active tectonic processes of this region. Indonesia lies at the intersection of the obliquely 70 converging Indo-Australian, Philippine Sea, Caroline, and Sunda plates (Figure 1). In western 71 Indonesia the Indo-Australian plate subducts northward beneath the Sunda plate at the Sunda-72 Java trench. In eastern Indonesia, plate convergence is partitioned among several microplates 73 forming a zone of deformation characterized by subduction, strike-slip, thrust, and extensional 74 faulting (Figure 1; Rangin et al., 1999; Charlton, 2000; Bird, 2003; Bock et al., 2003; 75 Hinschberger et al., 2005; Hall, 2012; Watkinson and Hall, 2017; Hall, 2018). The region 76 exhibits a high rate of seismicity, experiencing on average  $\sim 18$  major magnitude events ( $\geq M$ 77 7.0) per decade, with 15 great magnitude events (> M 8.0) occurring since 1900 (U.S. Geological 78 Survey, 2020).

79 Elevated seismic activity, particularly in the past two decades, has produced four great 80 magnitude events, the largest being the December 26, 2004 M<sub>w</sub> 9.1 Great Sumatra-Andaman 81 earthquake and tsunami (Lay et al., 2005), and several other damaging events motivating a need 82 to further understand Indonesian seismicity and associated hazards. Numerous recent studies 83 have been conducted to better understand Indonesian seismicity and tectonics, identifying and imaging sources of seismicity such as subduction zones and crustal faults (Charlton, 2000; Sieh 84 85 and Natawidjaja, 2000; Bock et al., 2003; Hinschberger et al., 2005; Soquet et al., 2006; Spakman and Hall, 2010; Watkinson et al., 2011; Widiyantoro et al., 2011a,b; Hall, 2012; Hall 86 87 and Spakman, 2015; Omang et al., 2016; Koulali et al., 2016; Cipta et al., 2016; Bradley et al., 88 2017; Watkinson and Hall, 2017; Fan and Zhao, 2018; Supendi et al., 2018; Hall, 2018; Patria 89 and Putra, 2020; Irsyam et al., 2020). However, no recent study provides a comprehensive 90 summary of seismicity for all of Indonesia. To fill this gap, we investigate the distributions of 91 hypocenters and focal mechanisms spatially and with depth, quantify seismicity rates, and

- 92 compare observed trends to proposed tectonic models to synthesize a comprehensive summary of
  - 100°E 110°E 120°E 130°E 140°E Philippines 5 ndaman 03 mm/y Philippine Sea 10°N Spreading South China Sea Cente PS Pacific Oce Brunei Malaysia 101 mm/y Troug CA NST Malaysia 0° Borneo  $\bigcirc$ SU Sulawes lest Indian IA Rapua Ocean Flores Thn mm/v Jav Timor Troug Arafura Sea 10°S Australja 67 mm/y 75 mm/y Legend Features Plates CA Caroline Indo-Australian AT CT HTB Aru Trough Cotobato Trench Subduction Thrusts Highland Thrust Belt Philippine Sea Sunda SŬ PF PKF Philippine Fault Spreading aults Palu-Koro Fault Center MF Mentawai Fault MSCZ Molucca Sea Collision Zone Plate Manokwari Trough Northern Sulawesi Trench MT NST Volcanoes Motions SF Sorong Fault SNT ST Sulu-Negros Trough Seram Trough Tolo Thrust Wetar Thrust TT WT
- 93 Indonesian seismotectonics.

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- 95 *Figure 1.* Tectonic setting of Indonesia and the surrounding region. Indonesia is highlighted in
- 96 green. Locations of plates, boundaries and faults are shown. Indonesia lies at the point of
- 97 convergence between the Indo-Australian, Sunda, Philippine Sea, and Caroline plates.
- 98 Subduction is prevalent. Plate velocities are with respect to the Sunda plate obtained from the
- 99 MORVEL velocity model (DeMets et al., 2010). Faults and boundaries are obtained from Moore
- and Silver (1983), Silver et al. (1983a,b), Widiwijayanti et al. (2004), Besana and Ando (2005),
- 101 Roosmawati and Harris (2009), Watkinson et al. (2011), Hall (2012), Mukti et al. (2012),
- 102 Tsutsumi and Perez (2013), Saputra et al. (2014), Cipta et al. (2016), Koulali et al. (2016),
- 103 Omang et al. (2016), Adhitama et al. (2017), Patria and Hall (2017), Watkinson and Hall (2017),
- Hall (2018), Nugraha and Hall (2018), Supendi et al. (2018), and Valkaniotis et al. (2018).

### 105 2 Tectonic Setting

The study area is located between 92°-141°E and 12.5°S-12.5°N (Figure 1). The tectonics 106 107 of Indonesia is commonly divided into western and eastern settings. Plate motions, tectonic 108 boundaries and major faults are displayed in Figure 1. Relative to the Sunda plate, the Indo-109 Australian plate moves NNE at  $\sim$ 52 – 77 mm/y, and the Philippine Sea plate  $\sim$ 101 – 103 mm/y WNW (Figure 1; DeMets et al., 2010). The Caroline plate exhibits motion similar to the 110 Philippine Sea plate, with minor relative motion between them due to spreading at the Avu 111 112 trough (Figure 1; Bird, 2003; DeMets et al., 2010). West of ~120°E, the Indo-Australian plate subducts beneath the Sunda plate at the Sunda-Java trench with convergence nearly orthogonal 113 114 south of Java (~110°E) and oblique farther west (Bock et al., 2003; McCaffrey, 2009). East of 115 120°E, subduction transitions to collision between the Indo-Australian and Sunda plates at the 116 Timor and Seram troughs (ST; Figure 1; Audley-Charles, 2011). The Philippine Sea plate 117 subducts beneath the Sunda plate at the Philippine trench, and the Caroline plate subducts beneath West Papua at the New Guinea trench (Figure 1). Subduction is also active within the 118 Northern Sulawesi trench (NST) and Cotobato trench (CT; Hall, 2018). In addition to 119 120 subduction, crustal fault zones such as the Sorong (SF) and Palu-Koro faults (PKF) in eastern 121 Indonesia and the Sumatran fault in western Indonesia accommodate convergence within the 122 overriding plates (Bradley et al., 2017; Watkinson and Hall, 2017).

#### 123 **3 Data and Methods**

Hypocenter locations for all events  $\geq$  **M** 4.0 that occurred in this region between January 124 1, 2000 and July 28, 2020 (~20.6 years) are obtained from the U.S. Geological Survey (USGS) 125 Advanced National Seismic System (ANSS) Comprehensive Catalog (ComCat; U.S. Geological 126 127 Survey, 2020). Using the b-value stability method (Cao and Gao, 2002; Mignan and Woessner, 2012), we estimate a magnitude of completeness  $(M_c)$  for the ANSS catalog in this region of M 128 4.6, and thus only events > M 4.6 are used in our analysis. Focal mechanism solutions are 129 obtained for the same time period for events  $\geq$  M 5.0 (approximate magnitude of completeness) 130 131 from the Global Centroid Moment Tensor (GCMT) Project Catalog (Dziewonski et al., 1981; 132 Ekström et al., 2012). When referring to USGS hypocenter magnitudes, M constitutes a mix of 133 moment ( $\mathbf{M}_{w}$ ) and body wave ( $\mathbf{M}_{b}$ ) magnitudes, where  $\mathbf{M}_{w}$  comprises ~60% of events  $\geq \mathbf{M}$  5.0 and  $M_{\rm b}$  comprises ~96% of events < M 5.0. When referring to GCMT magnitudes, M is moment 134 135 magnitude M<sub>w</sub> (Ekström et al., 2012). Locations of major Holocene volcanic centers are obtained from the Smithsonian Global Volcanism Program Catalog (Global Volcanism Program, 2013).
All maps are generated using Generic Mapping Tools (GMT) open-source software version 6.0
(Wessel et al., 2019). Topography and bathymetry data are plotted as 30 arc second resolution
global relief grids (derived from Tozer et al., 2019) accessed as remote data sets through GMT

140 (Wessel et al., 2019).

141 Seismicity is analyzed by plotting hypocenters and focal mechanisms in reference to 142 published fault traces and tectonic boundaries, in cross-section, and by quantifying average seismicity rates in earthquakes/year (EQ/y). Seismicity rates are computed for all events  $\geq$  M 5.0 143 144 and plotted against depth (km) in histograms with 10-km depth bins normalized by the 20.6-year data window. Curve fits are of the form  $log_{10}(y) = a - bx$ . Seismicity rates for individual 145 146 subregions are determined from hypocenters within approximately equal-area sectors (~1x10<sup>6</sup> km<sup>2</sup>). Gutenberg-Richter distributions are obtained using the equation  $log_{10}(N) = a - b(M-M_c)$ , 147 where N is the sum of events of a given magnitude, a and b are constants, M is magnitude and  $M_c$ 148 is the magnitude of completeness. 149

150 Owing to the abundance of the seismicity, it is divided into (1) intermediate and deep seismicity ( $\geq$  70 km depth), and (2) shallow seismicity ( $\leq$  70 km depth). Intermediate and deep 151 152 hypocenters are plotted in cross-section and compared to Slab 2.0 geometries (Hayes, 2018) and 153 tomography models (Spakman and Hall, 2010; Widiyantoro et al., 2011a,b; Hall and Spakman, 154 2015; Fan and Zhao, 2018). Cross-sections are constructed from projected hypocenters located 50 km on either side of the section lines with 1:1 scaling. Shallow seismicity is analyzed using 155 156 focal mechanisms to compare observed faulting with tectonic and faulting models (Moore and Silver, 1983; Silver et al., 1983a,b; Rangin et al., 1999; Charlton, 2000; Sieh and Natawidjaja, 157 158 2000; Charlton, 2000; Bird, 2003; Bock et al., 2003; Hinschberger et al., 2005; Soquet et al., 159 2006; Watkinson et al., 2011; Hall, 2012; Saputra et al., 2014; Cipta et al., 2016; Watkinson and Hall, 2017; Patria and Hall, 2017; Bradley et al., 2017; Hall, 2018; Valkaniotis et al., 2018). 160

161 3.1 Sources of Uncertainty

162 The following sources of uncertainty in our analysis have been identified.

(1) USGS hypocenters. Our completeness estimate of the USGS catalog in Indonesia
provides confidence that hypocenters as low as M 4.6 are well located, though it is important to

165 note discrepancies between earthquake catalogs. As hypocenter locations are a key component of

166 this study, we estimate location uncertainty by comparing a subset of 450 USGS locations of varying magnitudes  $\geq$  M 4.6 and depths with equivalent locations in the International 167 168 Seismological Centre (ISC) Bulletin (International Seismological Centre, 2021). From this 169 comparison, latitude and longitude variances between the catalogs are generally small, varying on average between + 0.09° - 0.14° (~5-13 km) and + 0.05° - 0.07° (~5-8 km) respectively. 170 Hypocentral depths for shallow, intermediate and deep events vary on average by +- 12 km, +-171 172 16 km, and +- 9 km respectively. Deep events exhibit the lowest depth variances. Conversely, intermediate events exhibit the largest depth variances (~ 22% of tested events exhibit depth 173 174 differences > 20 km, with a maximum variance of 165 km). These variances reflect event 175 relocations conducted by ISC (Storchak et al., 2020). Therefore, some events in the USGS 176 catalog may have poorly resolved depths, such as those with default depths of 10 km and 33 km 177 assigned. This may introduce some bias to our analysis, primarily to seismicity rate calculations 178 and cross-sections.

(2) Cross-sections. In addition to hypocenter location uncertainty, the chosen 100 km –
wide swath of hypocenters projected on section lines may introduce some minor bias to the
cross-sections, especially if there is enough curvature or along-strike changes in slab orientation
within the 100 km area. Efforts were made to draw cross-sections orthogonal to the subduction
zone strike.

184 (3) GCMT focal mechanisms. GCMT source mechanism solutions have been shown 185 recently to be consistent and robust for events  $\geq M 5.0$ , with significant improvements added to 186 the CMT method since 2004 that include increased station coverage, consideration of intermediate-period surface waves and addressing heterogeneity in earth models (Ekström et al., 187 188 2012; Ekström, 2015). Though the majority of events used in our analysis occurred during or 189 after implementation of these improvements (post 2004), we do use prior events (2000-2004) 190 that may therefore be less accurate (Ekström et al., 2012). In addition, fewer events are available 191 between 2000 and 2004, as the completeness of the GCMT catalog prior to 2004 is estimated at 192 ~M 5.3-5.4 (Ekström et al., 2012). Despite this, we are confident in the accuracy of GCMT 193 source mechanisms for use in our analysis. Additionally, there will be location discrepancies 194 noticed between USGS hypocenters and GCMT solutions. GCMT solutions plot the location of 195 the centroid, or location of max stress release during an earthquake (Dziewonski et al., 1981; 196 Ekström et al., 2012), and often do not correspond exactly with the location of the hypocenter

197 (point of earthquake initiation). In comparing the locations of 300 equivalent events  $\ge$  M 5.0 198 between the USGS and GCMT catalogs, we find that latitude and longitude vary on average by 199 +- 0.08-0.16 ° (9-18 km) and +- 0.1-0.13° (11-14 km) respectively, and depth locations for 200 shallow, intermediate and deep events vary on average by +- 9 km, +- 12 km, and +- 9 km 201 respectively. These variances may make it difficult to associate some events with particular 202 faults. 203 (4) Foult and plate hour dark locations. The locations of some faults and plate hour dark

(4) Fault and plate boundary locations. The locations of some faults and plate boundaries
in Indonesia are greatly debated, and so differing interpretations are common. The faults and
plate boundaries plotted on the maps used in this study are obtained from several published
studies, and these provide the tectonic context of the seismicity. Here we assume the most up-todate published placement of the faults are correct and we do not attempt to re-assign fault
locations.

#### 209 4 Seismicity

#### 210 4.1 General Trends

211 Indonesia's dense seismic activity is primarily concentrated at or near the plate 212 boundaries, with events increasing in depth inboard of major subduction zones (Figures 1 and 2a). Figure 2a shows the locations of the 20,622 events  $\geq$  M 4.6 that occurred in the region over 213 214 the 20.6-year time period. Hypocenter depths range from  $\leq 10$  km to 678 km. The majority of events occur at depths  $\leq$  70 km (Table 1), and are of magnitudes < M 5.0 (Table 2), comprising 215 216 77% and 68% of the total events respectively. Events  $\geq$  M 7.0 are not uncommon (Figure 2b), 217 with 61 events occurring since January 2000, the largest being the 2004  $M_{\rm w}$  9.1 Great Sumatra-Andaman Earthquake (Tables 2 and 3; Lay et al., 2005; Hayes et al., 2017; U. S. Geological 218 219 Survey, 2020). Table 3 lists notable events  $\geq$  M 7.0 shown in Figure 2b. The Gutenburg-Richter distribution of the data (Figure 3a) exhibits a b-value of 1.2, implying a greater frequency of low-220 221 magnitude events than expected (perfect distribution exhibits a b-value of 1).



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*Figure 2*. Seismicity of Indonesia and neighboring regions for all events (a)  $\ge$  M 4.6, and (b)  $\ge$ M 7.0 between January 1, 2000 and July 28, 2020 (U.S. Geological Survey, 2020). The majority of events are shallow, of relatively low magnitude (< M 6.0), and concentrated near the plate

- boundaries. Events  $\geq$  M 7.0 are not uncommon. Hypocenters are colored by depth and are scaled
- by magnitude. More information for notable events labeled in panel (b) is presented in Table 3.

### 228 Table 1

## 229 Number of Earthquakes by Depth (km)

Depth Range	Number of Events	Percentage of Total
All	20622	100%
Shallow (0-70 km)	15970	77.4%
Intermediate (70-300 km)	4073	19.8%
Deep (> 300 km)	579	2.8%

### 230 Table 2

### 231 Number of Earthquakes by Magnitude

Magnitude Range	Number of Events	Percentage of Total
4.6 - 4.9	14068	68.218%
5.0 - 5.4	4857	23.553%
5.5 - 5.9	1187	5.756%
6.0 - 6.4	350	1.697%
6.5 - 6.9	99	0.480%
7.0-7.4	35	0.170%
7.5 - 7.9	21	0.102%
8.0 - 8.4	2	0.001%
8.5 - 8.9	2	0.001%
9.0-9.4	1	0.005%

### 232 Table 3

233 List of Earthquakes  $\geq M_w$  7.0 between January 1, 2000 and July 28, 2020

				Depth		
Date	Location	Latitude	Longitude	(km)	Magnitude (M <sub>w</sub> )	Mechanism
December					9.1 (Duputel et al.,	
26, 2004	Aceh, Sumatra	3.295	95.982	30	2012)	Thrust †*^
March 28,						
2005	Nias, Sumatra	2.085	97.108	30	8.6	Thrust †*
April 11,	Indian Ocean (NW				8.6 (Duputel et al.,	Strike-slip †*
2012	Sumatra)	2.327	93.063	20	2012)	(Satriano et al., 2012)
September					8.4 (Duputel et al.,	
12, 2007	Bengkulu, Sumatra	-4.438	101.367	34	2012)	Thrust †*
April 11,	Indian Ocean (NW					
2012	Sumatra)	0.802	92.463	25.1	8.2	Strike-slip*
September						
12, 2007	Mentawai, Sumatra	-2.625	100.841	35	7.9	Thrust †
						Strike-slip-reverse †^
June 4,						(Abercrombie et al.,
2000	Enganno, Sumatra	-4.721	102.087	33	7.9	2003)
March 2,	Indian Ocean (SW					
2016	of Sumatra)	-4.952	94.33	24	7.8	Strike-slip
October 25,	Kepulauan					
2010	Mentawai	-3.487	100.082	20.1	7.8	Thrust †*
April 6,	Aceh, Sumatra	2.383	97.048	31	7.8	Thrust*

2010						
January 3,	Manokwari, West					
2009	Papua	-0.414	132.885	17	7.7	Thrust †*
July 17,	1					
2006	Java	-9.284	107.419	20	7.7	Thrust †*
July 23,	Mindanao,					
2010	Philippines	6.497	123.480	578	7.6	Oblique normal
January 27.	11					1
2006	Banda Sea	-5.473	128.131	397	7.6	Oblique normal
September						Oblique reverse $\uparrow^{\wedge}$
30, 2009	Padang, Sumatra	-0.72	99.867	81	7.6	(Wiseman et al., $2012$ )
October 10.	Manokwari, West					
2002	Papua	-1.757	134.297	10	7.6	Strike-slip <b>†</b> *^
August 31.	Mindanao			-		Thrust †*^
2012	(Philippines)	10.811	126.638	28	7.6	(Ye et al., 2012)
May 4	Banggai Islands					(
2000	(Sulawesi)	-1 105	123 573	26	76	Strike-slip †*
September	(3 414 (1 0 0 1)	1.1.00	120.070		,	
28 2018	Palu Sulawesi	-0.256	119 846	20	7.5	Strike-slip <b>*</b> *^
August 8		0.200	119.010	20	1.0	
2007	Jakarta Java	-5 859	107 419	280	75	Oblique reverse
January 21	Jukurtu, Juvu	5.055	107.119	200	1.5	
2007	Molucca Sea	1.065	126 282	22	7.5	Reverse †
Luly 23	Moro Gulf	1.005	120.202	22	1.5	
2010	Mindanao	6 7 7 6	123 259	640.6	7.5	Oblique normal
October 10	windando	0.770	125.257	040.0	1.5	Solique normai
2001	Banda Sea	-4 102	123 907	33	7.5	Strike-slin
November	Danua Sea	-4.102	125.907	33	1.5	Surke-sup
11 2004	Watar Area	-8 152	124 868	10	75	Thrust *^
11, 2004 Marah 5	West Mindenso	-0.132	124.000	10	1.5	Thrust
	Dhilippines	6.033	124 240	21	75	Oblique reverse **
2002 January 1	Finippines Fact Mindanaa	0.033	124.249	51	1.5	Oblique levelse (
	Dhilippings	6 000	126 570	22	75	Obligue reverse
November	Philippines Minchese	0.898	120.379		1.5	Oblique levelse
November	Minanasa,	1 271	122 001	20	7.4	Throat +
10, 2008	Sulawesi Manalawari Waat	1.2/1	122.091	50	/.4	Thrust
January 3,	Manokwari, west	0.601	122 205	22	7.4	There at the
2009	Papua	-0.091	155.505	23	/.4	Inrust †
February	Cimenality Compating	2769	05.064	26	7.4	Thursd th
20, 2008	Simeulue, Sumatra	2.708	95.964	20	/.4	Inrust †
November		2 924	06.005	20	7.4	There at t
2,2002	Simeulue, Sumatra	2.824	96.085	30	/.4	I nrust †
February	Damala la Constan	4 ( 9 0	102 562	20	7.4	Thursday
13, 2001	Bengkulu, Sumatra	-4.680	102.562	30	/.4	Inrust
June 24,	D 1 0	C 100	120.160	010	7.2	Ge 11 11
2019	Banda Sea	-6.408	129.169	212	1.3	Strike-slip
January 10,		4 470	100 (17	(07.0		011
2017	Celebes Sea	4.478	122.617	627.2	7.3	Oblique reverse
July 23,	West Mindanao,	( =10	100 400	(A		
2010	Philippines	6./18	123.409	607.1	7.3	Oblique normal
July 25,	Palembang,	2 425	102 001	50 <b>0</b> 1		
2004	Sumatra	-2.427	103.981	582.1	7.3	Oblique normal
February 7,	Nabire, West	4.000	105 000	10	-	
2004	Papua	-4.003	135.023	10	7.3	Strike-slip †
July 14,	South Halmahera	-0.586	128.034	19	7.2	Strike-slip †*^

2019	Island					
January 10,	Indian Ocean near					
2012	Aceh, Sumatra	2.433	93.210	19	7.2	Strike-slip
May 9	,					<b>I</b>
2010	Aceh Sumatra	3 748	96 018	38	72	Thrust *
Eebruary	ricen, Sumara	5.710	90.010	50	1.2	linust
11 2000	Talaud Island	2 996	126 297	20	7.2	Dovorso *
Γι, 2009	Talauu Islallu	5.000	120.387	20	1.2	Kevelse
February		<b>2</b> 40 6	00.070			
25, 2008	Mentawai, Sumatra	-2.486	99.972	25	7.2	Thrust
December						
26, 2004	Nicobar Islands	6.910	92.958	39.2	7.2	Oblique reverse
November						
14, 2019	Molucca Sea	1.621	126.416	33	7.1	Reverse †*
February 5.						
2005	Celebes Sea	5 293	123 337	525	71	Normal
December		5.275	120.007	020	,	
10 2012	Banda Sea	6 5 3 3	120 825	155	71	Strike slip
10, 2012	Dallua Sea	-0.335	129.623	155	/.1	Suike-slip
March 2,	D 1 0	6 507	100 000	201 7		
2005	Banda Sea	-6.527	129.933	201.7	7.1	Oblique
November	Nabire, West					
26, 2004	Papua	-3.609	135.404	10	7.1	Oblique †
February						
24, 2001	Molucca Sea	1.271	126.249	35	7.1	Reverse
October 15	Sagabayan					
2013	Philippines	9 880	124 117	19	71	Oblique reverse †
November	Mayu Molucca	9.000	121.117	17	/.1	
15 2014	Soo	1 802	126 522	15	7 1	Deverse
13, 2014	Sea	1.095	120.322	43	/.1	Kevelse
December	East Mindanao,	- 000	10(001	60 0		
29, 2018	Philippines	5.898	126.921	60.2	7.0	Oblique reverse
July 27,	Abepura, West					
2015	Papua	-2.629	138.528	48	7.0	Reverse †
February						
27, 2015	Flores	7.297	122.535	552.1	7.0	Strike-slip
June 16						1
2010	Vapen West Papua	-2 174	136 543	18	7.0	Strike-slip *^
Sentember	rupen, westruptu	2.171	150.515	10	7.0	Suike sup
20, 2010	Am Trough	1 0 6 2	122 760	26	7.0	Normal
29, 2010	Alu Hough	-4.903	155.700	20	7.0	INOTITIAL
September		<b>a</b> 100	00 (05			
13, 2007	Mentawai, Sumatra	-2.130	99.627	22	7.0	Thrust †
September						
2,2009	Java	-7.782	107.297	46	7.0	Oblique reverse <sup>**</sup>
February 5,	Nabire, West					
2004	Papua	-3.615	135.538	16.6	7.0	Oblique normal †
May 26	Morotai.					
2003	Halmahera	2 354	128 855	31	7.0	Reverse †
April 6	Enarotali West	2.331	120.000	51	7.0	
2012	Dopuo	2 5 1 7	120 176	66	7.0	Oblique normal
<u>2013</u>	1 apua	-3.31/	138.470	00	/.0	
August 5,	T 1 1	0.070	116 400			
2018	Lombok	-8.258	116.438	34	6.9	I hrust †*
August 19,						
2018	Lombok	-8.319	116.627	21	6.9	Thrust †
December					6.6	Strike-slip † (Salman et
6, 2016	Aceh, Sumatra	5.283	96.168	13	(Salman et al., 2020)	al., 2020)
Oatchar 1	Danglaulu Sumatra	2 402	101 524	0.0		Strike glin + (Salman et
October I,	Dengkulu, Sumatra	-2.482	101.524	9.0	6.6	Surke-slip (Salman et

2009						al., 2020)
September						
25, 2019	Ambon, Seram	-3.453	128.370	12.3	6.5	Strike-slip †^

234 Note. Events are presented in order of magnitude (M<sub>w</sub>). "†" indicates damage was caused. "\*"

235 indicates tsunami generation. "^" indicates landslide/liquefaction generation. A few notable

- 236 events  $\leq M_w$  7.0 are included. Event location, depth, magnitude, and mechanism are obtained
- 237 from the USGS ANSS ComCat Catalog, unless otherwise indicated (Hayes et al., 2017; U.S.
- 238 Geological Survey, 2020).

239



*Figure 3.* (a) Gutenberg-Richter distribution for events  $\geq$  M 4.0. The estimated magnitude of 240 241 completeness  $(M_c)$  is 4.6, obtained by the b-value stability method (Cao and Gao, 2002; Mignan 242 and Woessner, 2012). The frequency-magnitude distribution (FMD) for events > M 4.6 (Figure 243 2a) is modeled by the Gutenberg-Richter equation  $log_{10}(N) = 1.6 - 1.2(M - 4.6)$ , where N is the sum of events of magnitude M. The b-value is 1.2, implying a greater frequency of lower 244 magnitude events than expected. (b) Histogram of regional seismicity rates distributed with 245 depth for all events  $\geq$  M 5.0 between January 2000 and July 2020 (Table 4). Events are most 246 247 frequent between 30-40 km depth, with frequency decreasing exponentially between 50-300 km. Seismicity increases again within the Mantle Transition Zone (MTZ). Depth bins (x-axis) are 10 248 249 km wide, and the y-axis is log-scaled. Locations of the 410, 520, and 660 km phase boundaries in the MTZ are indicated. 250

### 251 4.2 Seismicity Rates

Seismic activity varies greatly with location and depth. For example, earthquakes 252 approaching the lower mantle (~660 km) are abundant in eastern Indonesia, but are not present in 253 254 western Indonesia (Figure 2a). These trends in seismic activity can be more closely observed by 255 quantifying seismicity rates with depth. Seismicity rates provide insight into the most seismically 256 active regions and how activity changes with depth, and are compared between the entire study 257 region (Figure 3b) and seven individual subregions (Figure 4). Subregions are divided into west 258 and east Indonesian subregions (Figures 4b-c). All calculated seismicity rates are presented in 259 Table 4.





Figure 4. Indonesian subregion seismicity rates for all events  $\ge$  M 5.0 between January 1, 2000 and July 28, 2020. (a) Subregion boundaries. Each subregion is approximately 1x10<sup>6</sup> km<sup>2</sup> in area and colored to match respective histograms. (b) Seismicity rates with depth for western

264 Indonesian subregions. NW Sumatra exhibits the highest seismicity rate between 20-30 km.

- 265 Intermediate and deep seismicity (≥ 70 km) increases eastward toward Java. (c) Seismicity rates
- 266 for eastern Indonesian subregions. The majority of MTZ seismicity is located in the Banda Sea
- 267 and Celebes Sea-Philippines. For both (b) and (c), the regional average distribution (Figure 3b) is
- shown and the 410, 520, and 660 km phase boundaries (PB) are labeled. Depth bins are 10 km
- wide and the y-axis is log-scaled. Rate values are presented in Table 4.
- 270 Table 4

### 271 Calculated Seismicity Rates

Region	Regional	NW	SE	Java	Banda Sea	Molucca	Celebes	West
	Average	Sumatra	Sumatra			Sea	Sea	Papua
Depth								
Range								
(km)								
0-10	53.786	3.350	3.738	5.874	5.485	10.728	5.437	6.893
10-20	26.214	3.641	2.718	2.573	1.845	3.689	2.961	2.524
20-30	53.592	17.185	7.767	1.699	2.427	5.291	2.621	2.524
30-40	71.699	8.058	14.078	3.835	4.660	13.786	11.942	6.311
40-50	22.330	4.126	3.981	1.214	0.825	3.592	4.029	1.602
50-60	16.893	2.330	2.816	1.068	0.437	3.010	4.126	0.922
60-70	10.583	0.680	1.845	0.728	0.631	2.087	2.913	0.485
70-80	8.981	0.728	1.165	0.971	0.583	1.602	2.670	0.437
80-90	6.068	0.388	0.534	0.777	0.534	1.019	1.990	0.340
90-100	6.068	0.291	0.291	0.388	0.874	1.650	1.602	0.388
100-110	4.417	0.097	0.146	0.194	0.971	0.777	1.311	0.291
110-120	4.369	0.097	0.146	0.388	1.408	1.311	0.680	0.340
120-130	3.932	0.243	0.000	0.097	1.359	0.631	1.311	0.243
130-140	3.738	0.146	0.049	0.097	1.893	0.583	0.728	0.146
140-150	3.641	0.243	0.049	0.194	1.602	0.874	0.631	0.049
150-160	2.767	0.097	0.194	0.049	1.553	0.583	0.243	0.000
160-170	2.184	0.049	0.243	0.000	1.359	0.291	0.243	0.000
170-180	1.796	0.146	0.000	0.000	0.874	0.291	0.534	0.000
180-190	0.825	0.049	0.000	0.097	0.291	0.097	0.243	0.000
190-200	1.019	0.049	0.000	0.049	0.437	0.243	0.243	0.000
200-210	0.728	0.049	0.000	0.000	0.388	0.049	0.243	0.000
210-220	0.777	0.049	0.000	0.049	0.291	0.243	0.194	0.000
220-230	0.680	0.000	0.000	0.049	0.388	0.000	0.194	0.000
230-240	0.583	0.000	0.049	0.000	0.194	0.049	0.291	0.000
240-250	0.194	0.000	0.000	0.000	0.097	0.049	0.049	0.000
250-260	0.340	0.000	0.000	0.000	0.243	0.097	0.000	0.000
260-270	0.243	0.000	0.000	0.097	0.097	0.000	0.000	0.000
270-280	0.340	0.000	0.000	0.097	0.097	0.146	0.000	0.000
280-290	0.146	0.000	0.000	0.000	0.000	0.097	0.049	0.000
290-300	0.340	0.000	0.000	0.194	0.049	0.000	0.097	0.000
300-310	0.146	0.000	0.000	0.000	0.146	0.000	0.000	0.000
310-320	0.243	0.000	0.000	0.049	0.194	0.000	0.000	0.000
320-330	0.194	0.000	0.000	0.049	0.000	0.049	0.097	0.000
330-340	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
340-350	0.146	0.000	0.000	0.000	0.146	0.000	0.000	0.000
350-360	0.146	0.000	0.000	0.000	0.146	0.000	0.000	0.000

360-370	0.194	0.000	0.000	0.049	0.146	0.049	0.000	0.000
370-380	0.097	0.000	0.000	0.000	0.049	0.000	0.049	0.000
380-390	0.146	0.000	0.000	0.000	0.097	0.000	0.049	0.000
390-400	0.146	0.000	0.000	0.000	0.146	0.000	0.000	0.000
400-410	0.243	0.000	0.000	0.000	0.243	0.000	0.000	0.000
410-420	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
420-430	0.194	0.000	0.000	0.000	0.146	0.097	0.049	0.000
430-440	0.097	0.000	0.000	0.000	0.049	0.000	0.049	0.000
440-450	0.097	0.000	0.000	0.049	0.000	0.000	0.049	0.000
450-460	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
460-470	0.049	0.000	0.000	0.000	0.049	0.000	0.000	0.000
470-480	0.146	0.000	0.000	0.000	0.097	0.049	0.049	0.000
480-490	0.049	0.000	0.000	0.000	0.000	0.049	0.000	0.000
490-500	0.243	0.000	0.000	0.000	0.194	0.000	0.049	0.000
500-510	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
510-520	0.291	0.000	0.000	0.146	0.097	0.000	0.049	0.000
520-530	0.534	0.000	0.000	0.049	0.291	0.000	0.194	0.000
530-540	0.631	0.000	0.000	0.146	0.291	0.000	0.194	0.000
540-550	0.388	0.000	0.000	0.097	0.194	0.000	0.097	0.000
550-560	0.291	0.000	0.000	0.000	0.243	0.000	0.049	0.000
560-570	0.146	0.000	0.000	0.049	0.000	0.049	0.049	0.000
570-580	0.922	0.000	0.000	0.146	0.291	0.000	0.485	0.000
580-590	0.437	0.000	0.049	0.049	0.243	0.000	0.097	0.000
590-600	0.583	0.000	0.000	0.097	0.146	0.000	0.340	0.000
600-610	0.583	0.000	0.000	0.049	0.049	0.000	0.485	0.000
610-620	0.583	0.000	0.000	0.097	0.000	0.000	0.437	0.000
620-630	0.146	0.000	0.000	0.000	0.000	0.000	0.146	0.000
630-640	0.291	0.000	0.000	0.097	0.097	0.000	0.097	0.000
640-650	0.291	0.000	0.000	0.000	0.194	0.000	0.049	0.000
650-660	0.097	0.000	0.000	0.000	0.097	0.000	0.000	0.000
660-670	0.049	0.000	0.000	0.000	0.049	0.000	0.000	0.000
670-680	0.049	0.000	0.000	0.000	0.000	0.000	0.049	0.000

272 *Note.* Seismicity rate values are in Earthquakes per year (EQ/y). Values in this table are plotted
273 in Figures 3b, 4b, and 4c. Values are rounded to three decimal places.

### 274 4.2.1 Regional

The average yearly rate of events  $\geq$  M 5.0 in the study region is approximately 318 EQ/y.

276 Seismicity is most frequent at depths  $\leq$  40 km (Figure 3b), with a rate of ~54 EQ/y between 0-10

km, ~26 EQ/y between 10-20 km, ~54 EQ/y between 20-30 km, and ~72 EQ/y between 30-40 km,  $\sim$ 

278 km. Seismicity rates between 50-300 km decay approximately exponentially from  $\sim$ 22 EQ/y to <

279 1 EQ/y following the equation  $log_{10}(y) = log_{10}(42) - h/125$ , (R<sup>2</sup>=0.96), where y is the rate in EQ/y

and *h* is the depth in km (Figure 3b). This result is consistent with global seismicity rate-depth

281 distributions presented by Frohlich (2006) and Houston (2015), who show that seismicity

worldwide decays exponentially between 50-300 km proportional to  $log_{10}(y) \sim -h/120$ .

Seismic gaps are present between 330-340 km, 410-420 km, 450-460 km and 500-510
km (Figure 3b). A small peak in seismicity is present near 410 km (~5 events per 20.6 years).
Seismicity increases within the Mantle Transition Zone (MTZ), with the most prominent peaks
~1 EQ/y located between 510-560 km, 570-580 km, and 590-620 km. Seismic activity decreases
near 660 km, and ceases between 670-680 km (Figure 3b). These trends remain consistent with
global seismicity rates distributed with depth (Frohlich, 1989, 2006; Houston, 2015; Billen,
2020; Zhan, 2020).

290 4.2.2 Subregions

291 We divide the study area into seven approximately equal-area subregions (Figure 4a) 292 based on the regional distribution of hypocenters (Figure 2a), subduction, and faulting (Figure 1) 293 in order to investigate the diversity of seismicity-depth patterns. Figure 4b presents seismicity 294 rates for western Indonesian subregions including NW Sumatra, SE Sumatra, and Java. Figure 4c 295 presents seismicity rates for eastern Indonesian subregions including the Banda Sea, Sulawesi-296 Seram, Celebes Sea-Philippines, and West Papua. Seismicity rates for all subregions are similar 297 to the regional average (Figure 3b) at depths  $\leq$  40 km, with peaks between 0-10 km, abrupt decreases between 10-20 km, and peaks between 20-40 km (Figures 4b-c). However, there is 298 299 strong deviation between subregions at depths exceeding 40 km (Figures 4b-c).

300 NW Sumatra exhibits the highest seismicity rate of  $\sim 17 \text{ EQ/y}$  between 20-30 km depth. Many of these earthquakes may be aftershocks of the 2004 Sumatra-Andaman event. SE Sumatra 301 302 exhibits the most frequent seismicity between 30-40 km, while Java exhibits the most frequent 303 seismicity between 0 and 10 km (Figure 4b). All three western subregions in Figure 4b exhibit a 304 similar decrease in seismicity between ~40-120 km, deviating below 120 km. Seismic activity in NW Sumatra is sparse deeper than 120 km, with no activity beyond 230 km depth (Figure 4b). In 305 306 contrast, seismicity in SE Sumatra extends to greater depths but is sparse, with large seismic gaps between 180-230 km and 250-580 km (Figure 4b). Deeper events become increasingly 307 308 more frequent in Java, with seismicity extending to 640 km. Intermittent peaks within the MTZ 309 in Java do not appear to coincide with the 410, 520, and 660 km phase boundaries (Figure 4b). 310 All eastern Indonesian subregions (Figure 4c) show the most frequent seismicity between 311 30-40 km, the greatest rate of 14 EO/v being exhibited by the Celebes Sea-Philippines. As in

312 western Indonesia, eastern subregions deviate with increasing depth. West Papua deviates

313 strongest from the other subregions, rapidly decreasing in seismicity deeper than 40 km with no seismicity beyond 150 km, similar to NW Sumatra (Figures 4b-c). The Celebes Sea-Philippines 314 315 and Sulawesi-Seram regions exhibit a similar decrease between 40 km and 190 km, deviating 316 deeper than 200 km (Figure 4c). In contrast, the Banda Sea decreases in seismicity rapidly 317 between 40-60 km, and exhibits a broad seismicity peak of ~1-2 EQ/y between 100-190 km (Figure 4c). This broad peak is not present in any other subregion. Deep seismicity, particularly 318 319 within the MTZ is most frequent in the Celebes Sea-Philippines, Banda Sea, and Sulawesi-Seram regions. The Banda Sea exhibits a peak at 410 km identical to the regional average (Figure 4c). 320 321 Seismicity in the Banda Sea and Celebes Sea-Philippines comprises the majority of seismicity 322 between 500 km to 680 km, extending to between 660-670 km and 670-680 km respectively. 323 The most significant observations regarding seismicity rates versus depth can be summarized as follows: (1) Seismicity is most frequent at depths  $\leq 40$  km. However, some of 324 325 these events likely have default depths of 10 and 33 km automatically assigned and may 326 therefore be poorly resolved; (2) The regional seismicity rate decreases exponentially between 327 50-300 km at a rate consistent with the global distribution (Houston, 2015); (3) Seismicity gaps are observed for all subregions at intermediate-deep depths; (4) The deepest events in Indonesia, 328 329  $\geq$  670 km depth, only occur in the Banda Sea and Celebes Sea-Philippines; (5) Seismicity rates 330 deeper than 300 km decrease westward until no seismicity is evident beneath NW Sumatra, with the exception of West Papua; (6) Elevated seismicity between 100 and 200 km is only present in 331 332 the Banda Sea, implying a distinct process or boundary not evident in other subregions; And (7) 333 the most frequent MTZ seismicity is located in the Banda Sea and Celebes Sea-Philippines, where seismicity peaks at 410 km and variably between 520-660 km imply that the 410, 520 and 334 335 660 km phase boundaries may play a significant role in increasing upper mantle seismicity. 336 While there are several factors that contribute to intraslab seismicity, phase transitions at these 337 boundaries increase viscous resistance of the mantle to the motion of subducting slabs (Zhan, 338 2020). Increased mantle resistance contributes to increased strain rates and therefore elevated intraslab seismicity as slabs pass through the MTZ (Billen, 2020; Zhan, 2020). 339

340 4.3 Cross-Sections of Intermediate and Deep Seismicity

341 Intermediate seismicity is defined as events occurring between 70 - 300 km depth, with 342 deep events  $\ge 300$  km depth, together forming inclined Wadati-Benioff zones that reflect

- deformation within subducting slabs (Frohlich, 2006; Houston, 2015; Zhan, 2020). Wadati-343 Benioff zones can extend to the upper boundary of the lower mantle, typically terminating near 344 345 660 km at the deepest, with some special cases extending tens of km deeper into the lower 346 mantle (e.g. Tonga-Kermadec subduction; Frohlich, 1989; Billen, 2020). Intermediate and deep 347 earthquakes account for approximately 20% and 3% of recent Indonesian seismicity respectively (Table 1). Figure 5a displays the distribution of intermediate and deep earthquakes throughout 348 349 Indonesia at depths  $\geq$  70 km. As observed with seismicity rates previously, the majority of intermediate and deep seismicity occurs in eastern Indonesia (Figures 4b-c), decreasing in 350 351 abundance west of 120°E, with deep events ceasing north of ~1°S in Sumatra (~100°E; Figure 352 5a). To greater analyze these trends and their associations with Indonesian tectonics, we plot 2D 353 cross-sections and 3D profiles for (1) the Molucca Sea, Celebes Sea, and southern Philippines 354 (Cross-sections AA' and BB'); (2) Sulawesi island (Cross-section CC'); (3) West Papua (Cross-355 sections DD' and EE'); (4) the Banda Sea (Cross-section FF'); (5) Java (Cross-sections GG', 356 HH', and II'); and (6) Sumatra (Cross-sections JJ', KK', and LL'). Cross-section locations are
- 357 presented in Figure 5b.



358

Figure 5. (a) Seismicity of Indonesia and neighboring regions  $\geq$  70 km depth for events  $\geq$  M 4.6 between January 1, 2000 and July 28, 2020. Intermediate and deep earthquakes are most

- 361 common in east Indonesia (east of 120°E), decreasing westward toward Sumatra. Deep events
- are not evident north of 1°S in Sumatra. Subduction zone boundaries are obtained from Hall
- 363 (2012), Patria and Hall (2017), and Hall (2018). (b) Cross-section lines. Each section line is 1000

km long, except for CC' which is 500 km long. Hypocenters are projected from within 50 km oneither side of each section line and all are scaled 1:1.

### 366 4.3.1 Molucca Sea-Celebes Sea-Philippines

Figure 6a presents the seismicity of the Molucca Sea, Celebes Sea, and the southern 367 368 Philippines in map view, with Figures 6b-d showing 3D seismicity profiles and cross-sections. Hypocenters gradually increase in depth westward beneath the Celebes Sea and Mindanao to a 369 370 depth of 678 km (Figures 6a-d), and abruptly eastward to ~250 km beneath Halmahera island 371 (Figure 6a-c). Viewed in 3D (Figure 6b), intermediate and deep seismicity is consistent with the 372 inverted U-shaped Wadati-Benioff zones of the subducted Molucca Sea plate beneath the 373 continuing arc-arc collision in the Molucca Sea Collision Zone (MSCZ) active since ~2 Ma 374 (Figure 6a; Silver and Moore, 1978; Cardwell et al., 1980; Moore and Silver, 1983; Hall, 1987; 375 Widiwijayanti et al., 2004; Hall and Spakman, 2015; Fan and Zhao, 2018).

376 The Molucca Sea plate is comprised of two opposite-dipping slabs, the Sangihe slab dipping beneath the Sunda plate in the west, and the Halmahera slab dipping beneath the 377 Philippine Sea plate in the east (Figures 6a-b; Bird, 2003; Hall and Spakman, 2015). The Sangihe 378 379 slab exhibits a greater along-strike length than the Halmahera slab, trending S-N from Sulawesi 380 to Mindanao while the Halmahera slab is constrained primarily beneath northern Halmahera island (Figures 6a-b). Figure 6c shows the Wadati-Benioff zones of the Sangihe, Philippine Sea 381 and Cotobato slabs beneath Mindanao, where arc-arc collision is essentially complete ( $\sim$ 7°N; 382 Widiwijayanti et al., 2004; Hayes, 2018). The Sangihe slab at this location is largely aseismic, 383 384 exhibiting a seismic gap between ~200-540 km, with dense seismicity between ~540-680 km 385 (Figures 6b-c; Hall and Spakman, 2015; Fan and Zhao, 2018). The cause of this seismic gap is not clear from available literature. The Philippine Sea slab exhibits a similar orientation as the 386 387 Sangihe slab and is seismically active to  $\sim 250$  km, estimated to represent young subduction beginning ~5 Ma (Lallemand et al., 1998; Hall and Spakman, 2015; Hall, 2018). However, 388 389 recent tomography modeling by Fan and Zhao (2018) imaged the Philippine Sea slab extending 390 aseismically to  $\sim$ 450-600 km depth near Mindanao, implying subduction in the southern 391 Philippine trench is older than originally believed and likely initiated around the same time as the 392 Sangihe slab (~20-25 Ma; Hall and Spakman, 2015; Fan and Zhao, 2018; Hall, 2018). 393 Conversely, the Cotobato slab, dipping moderately eastward (Hayes, 2018) and seismically

active to < 100 km depth has been considered a younger feature, with the Wadati-Benioff zone</li>
likely representative of the extent of subduction (Figure 6c; Cardwell et al., 1980; Fan and Zhao,
2018; Hall, 2018).

397 Farther south ( $\sim$ 3°N), where arc-arc collision remains active (Widiwijayanti et al., 2004), 398 Figure 6d shows the Wadati-Beniof zone of the Halmahera slab dipping steeply eastward, with the Sangihe slab exhibiting a moderate dip compared to Figure 6c. These orientations are 399 400 consistent with recent tomography modeling and the orientation of the Molucca Sea plate (Hall and Spakman, 2015; Fan and Zhao, 2018). The Halmahera slab is estimated to have initiated 401 402 subduction ~10 Ma (Hall and Spakman, 2015). The southern extent of the Philippine Sea slab is 403 present east of the Halmahera slab at this location to ~100 km depth (Figure 6d; Hayes, 2018). 404 The tomography models of Fan and Zhao (2018) indicate the Philippine Sea slab may extend to 405  $\sim$ 300 km depth at this location, exhibiting an overturned-to-the-east dip and possibly interacting 406 with the Halmahera slab, though this remains unclear from seismicity.



Figure 6. (a) Seismicity of the Molucca Sea, Celebes Sea and southern Philippines region for all 408 409 events  $\geq$  M 4.6 between January 1, 2000 and July 28, 2020. Indonesia is highlighted in green. 410 Intermediate and deep hypocenters are observed primarily beneath Halmahera island and the 411 Celebes Sea. SU = Sunda plate, and PS = Philippine Sea plate. Plate velocities are with respect to 412 the Sunda plate obtained from the MORVEL velocity model (DeMets et al., 2010). Faults and boundaries are obtained from Moore and Silver (1983), Widiwijavanti et al. (2004), Besana and 413 414 Ando (2005), Watkinson et al. (2011), Hall (2012), Tsutsumi and Perez (2013), Watkinson and Hall (2017), and Hall (2018). (b) 3D seismicity profile for events  $\geq$  70 km, looking NE at the 415 416 subducted U-shaped Molucca Sea plate (Sangihe and Halmahera slabs). Map area is the same as 417 panel (a). (c) Cross-section AA', looking north beneath Mindanao. The Sangihe slab dips 418 westward to ~660 km with a prominent seismic gap. (d) Cross-section BB', looking north beneath the Molucca Sea Collision Zone (MSCZ). The inverted U-shaped Molucca Sea plate is 419 clearly visible. PSS = Philippine Sea slab. Slab 2.0 predictions (Hayes, 2018) are plotted as thick 420

421 black lines for all cross-sections and scaling is 1:1.

### 422 4.3.2 Sulawesi

Figure 7a displays the seismicity of Sulawesi, and Figure 7b displays a N-S cross-section 423 through the Northern Sulawesi trench. At the trench, the subduction of Celebes Sea oceanic 424 425 lithosphere beneath the island is clearly defined (Figures 7a-b; Silver et al., 1983a; Hall and Spakman, 2015). Hypocenters gradually increase in depth south of the trench beneath the Gulf of 426 Tomini, defining the Wadati-Benioff zone of the Celebes slab, as referred to by Hall and 427 Spakman (2015) (Figures 7a-b). Hypocenters deviate from Slab 2.0 (Hayes, 2018) near ~150 km 428 429 depth and extend to ~300 km depth (Figure 7b). Deeper hypocenters are likely representative of the nearby Sangihe slab (Figures 6a, 6d, and 7a). Seismicity indicates subduction of the Celebes 430 431 slab is deepest in the east and shallows westward, forming a wedge-shape that has been imaged with tomography (Figure 7a; Hall and Spakman, 2015; Hall, 2018). 432

South of the Celebes slab is a steeply north-dipping, poorly defined Wadati-Benioff zone
seismically active to near 200 km depth, tentatively termed the Sula slab by Hall and Spakman
(2015) (Figure 7b). Hall and Spakman (2015) suggest that the Sula slab is a remnant of older
subduction at the East Arm of the island that ceased ~20 Ma, and that the majority of the slab has
since detached near 200 km depth and descended aseismically into the mantle. The current steep

438 dip is a likely result of slab interactions with the Celebes and Sangihe slabs, with lithospheric439 delamination a likely contributor to current seismicity (Hall and Spakman, 2015).

440 In addition to active subduction at the Northern Sulawesi trench, Bird (2003) suggested 441 that the east-dipping Makassar thrust (sometimes labeled as a trench; Valkaniotis et al., 2018) on 442 Sulawesi's western coast may represent early subduction development due to the presence of hypocenters  $\sim 70$  km depth beneath the interior of the island (Figure 7c). Figure 7c exhibits a 443 444 cross-section through the Makassar thrust, where hypocenters are located beneath the estimated depth of the continental crust the in this region (~35 km; Hall et al., 2009). However, there is no 445 446 Wadati-Benioff zone present, and no recent tomography or gravity models confirm or deny the presence of a developing slab (Hall et al., 2009). 447



448

449Figure 7. (a) Seismicity of Sulawesi for all events  $\geq$  M 4.6 between January 1, 2000 and July 28,4502020. Intermediate and deep seismicity is concentrated south of the Northern Sulawesi trench

451 beneath the Gulf of Tomini. Deeper seismicity to the north below the Celebes Sea is due to the

- 452 presence of the Sangihe slab (Figure 6). Faults and boundaries are obtained from Silver et al.
- 453 (1983a), Cipta et al. (2016), Watkinson and Hall (2017), Hall (2018), Nugraha and Hall (2018),

and Valkaniotis et al. (2018). Relative motion of the Celebes Sea at the Northern Sulawesi trench 454 455 is obtained from GPS estimates (Gómez et al., 2000; Bock et al., 2003). (b) Cross-section CC' 456 looking west beneath northern Sulawesi. The Celebes slab dips to the south and is clearly defined 457 to  $\sim 300$  km. The Sula slab is a likely remnant of older subduction prior to subduction of the 458 Celebes slab. Slab 2.0 predictions (Hayes, 2018) are plotted as thick black lines. Slab 2.0 geometry for the Sula slab is not available (Haves, 2018), and is therefore estimated using the 459 460 dashed black line based on Hall and Spakman (2015). Scaling is 1:1. (c) Cross-section XX' through the Makassar thrust, looking north. Some hypocenters clearly lie below the estimated 461 462 thickness of the continental crust but no Wadati-Benioff zone is visible. Note that this cross-463 section is supplementary and is not presented in Figure 5b so as to avoid crowding. The 464 approximate thickness of the continental crust is indicated by the dashed red line (Hall et al., 2009). Scaling is 1:1. 465

#### 466 4.3.3 West Papua

Figure 8a displays the seismicity of West Papua in map view, and Figures 8b-c illustrate 467 two cross sections through the island. The Caroline plate subducts at the New Guinea trench 468 469 (Tregoning and Gorbatov, 2004) and a smaller developing subduction zone at the Manokwari 470 trough north of the Bird's Head (Figure 8a; Milsom et al., 1992; Baldwin et al., 2012; Hall, 2014; 471 Hall, 2018). Hypocenters gradually increase in depth southward beneath the interior of the island, with activity deeper than 100 km not evident west of  $\sim$ 136°E toward the Bird's Head 472 (Figure 8a). Hypocenters in Figure 8b extend to ~100 km depth at the Manokwari trough, with a 473 474 separate Wadati-Benioff zone extending to ~300 km depth beneath Seram related to subduction 475 beneath the Banda Sea (Hall and Spakman, 2015). Figure 8c shows a poorly defined, southwarddipping Wadati-Benioff zone to 200 km depth beneath the interior of West Papua. A flat slab 476 477 orientation is observed in Figure 8c (Haves, 2018), consistent with Tregoning and Gorbatov (2004) who imaged the subducting Caroline plate dipping southward between  $10^{\circ}$ -  $30^{\circ}$  to ~300 478 479 km depth. Shallow seismicity observed above the slab in Figure 8c may represent activity on 480 several thrust faults that cross the interior of the island (Baldwin et al., 2012; Watkinson and 481 Hall, 2017).

482 Slab 2.0 predicts the slab to extend the entire length of the New Guinea trench (Hayes,
483 2018), but the nature of current subduction remains debated (Baldwin et al., 2012). The

westward decrease in seismic activity observed in Figure 8a may be related to buoyant 484 lithosphere from active seafloor spreading at the Ayu trough, and oblique convergence between 485 486 the Pacific (Caroline and Philippine Sea) and Indo-Australian plates (Figure 8a; Fujiwara et al., 487 1995; Bock et al., 2003; Baldwin et al., 2012). The age of the Caroline plate decreases westward 488 from 25 Ma near 136°E to 0 Ma at the Ayu trough (Figure 8a; Seton et al., 2020). Additionally, the Pacific and Indo-Australian plates (Figure 8a) exhibit an approximate convergence angle of 489 490  $\sim$ 70°NE (Hinschberger et al., 2005), producing a significant sinistral lateral component 491 accommodated by E-W trending fault zones such as the Sorong fault (Figure 8a; Watkinson and 492 Hall, 2017). These factors likely impede subduction at the western New Guinea trench and 493 similarly at the Manokwari trough, which in addition to being a young feature resides nearest to 494 active spreading at the Ayu trough (Figure 8a; Milsom et al., 1992; Hall, 2014). The nature of the flat slab subduction observed in Figure 8c however is not clear and poorly documented in 495 496 available literature, requiring further investigation beyond the scope of this paper.



497

498 *Figure 8.* (a) Seismicity of West Papua for all events > M 4.6 between January 1, 2000 and July 499 28, 2020. Indonesia is highlighted in green. Intermediate seismicity decreases westward toward 500 the Bird's Head as the age of the lithosphere of the Caroline plate decreases toward the Ayu 501 trough. Convergence between the Indo-Australian and Caroline plates is oblique with a ~70°NE 502 convergence angle (Hinschberger et al., 2005). Seafloor age contours (Seton et al., 2020) are shown as white lines with C.I. = 5 Ma. SU = Sunda plate, PS = Philippine Sea plate, CA = 503 504 Caroline plate, and IA = Indo-Australian plate. Plate velocities are obtained from the MORVEL velocity model (DeMets et al., 2010) with respect to the Sunda plate. Faults and boundaries are 505 506 obtained from Saputra et al. (2014), Adhitama et al. (2017), Patria and Hall (2017), and 507 Watkinson and Hall (2017). (b) Cross-section DD' looking NW beneath the Bird's Head and 508 Seram. Subduction at the Manokwari trough is poorly developed, and no Slab 2.0 (Hayes, 2018) 509 geometry is available for the Manokwari trough subduction. Seismicity at the Seram trough is related to the subduction of the Banda slab (Hall and Spakman, 2015). (c) Cross-section EE' 510 looking NW through West Papua. A flat slab orientation is visible with little seismicity at the 511 512 trench. Slab 2.0 predictions (Hayes, 2018) for all cross-sections are plotted as thick dark lines 513 and scaling is 1:1.

#### 514 4.3.4 Banda Sea

515 The seismicity of the Banda Sea is presented in map view in Figure 9a, with cross sections and 3D plots presented in Figures 9b-d. The Banda Sea exhibits a unique distribution of 516 hypocenters inboard of the Timor and Seram troughs that form the 180° curved collisional 517 boundary between the Indo-Australian and Sunda plates (Figure 9a; Charlton, 2000; 518 519 Hinschberger et al., 2005; Spakman and Hall, 2010; Hall and Spakman, 2015). Hypocenters in Figures 9b-d define a highly deformed, curved Wadati-Benioff zone dipping northward at the 520 521 Timor trough and southward at the Seram trough. Hypocenters highlight a slab with a gently west-plunging synformal fold that is flat between 600-660 km depth (Hayes, 2018), consistent 522 523 with tomography models (Spakman and Hall, 2010; Widiyantoro et al., 2011b; Hall and 524 Spakman, 2015). A prevailing theory suggests this lithospheric folding is a result of rollback of a 525 single slab termed the Banda slab into a curved Jurassic embayment on the Australian 526 continental margin beginning ~10-15 Ma (Charlton, 2000; Spakman and Hall, 2010; Audley-527 Charles, 2011; Hall and Spakman, 2015).

The current nature of subduction within the Banda Sea remains controversial. Many 528 529 studies indicate that subduction ceased following the arrival of Australian continental crust at the 530 Timor and Seram troughs ~2 Ma, switching tectonics from active subduction to collision and 531 accretion (Bird, 2003; Hinschberger et al., 2005; Audley-Charles, 2011; Patria and Hall, 2017; 532 Hall, 2018). The Timor and Seram troughs are commonly interpreted as foreland basins rather than active marine trenches, and GPS velocities suggest the majority of convergence near Timor 533 534 is transferred to back-arc thrusts such as the Wetar thrust (Figures 9a and 9d; Bock et al., 2003; Spakman and Hall, 2010; Audley-Charles, 2011; Patria and Hall, 2017). Despite this, it is likely 535 536 that a significant amount of continental subduction has occurred. Tate et al. (2015) quantified 537 215-229 km of continental subduction beneath Timor using balanced cross-sections, where 538 others (Hinschberger et al., 2005; Harris, 2011) indicate that as much as 400 km of continental 539 subduction has occurred based on tomography and geochemical evidence. Spakman and Hall (2010) proposed that lithospheric delamination may be an important 540

mechanism that accommodates the continuing rollback and folding of the Banda slab, which may 541 542 make it possible for subduction to continue beneath the active collision zone where continental subduction is becoming increasingly difficult (Harris, 2011). In addition, slab tearing beneath 543 544 Seram and Timor has been proposed from tomography and seismic gaps beneath the islands (Figures 9b-d; Widivantoro et al., 2011b), consistent with the final stages of subduction and 545 suggesting that subduction of the Banda slab is in the later stage prior to break-off and descent 546 547 into the mantle (Wortel and Spakman, 2000; Harris, 2011; Widiyantoro et al., 2011b; Hall and Spakman, 2015). Regardless, the Banda slab remains a prevalent source of deep seismicity 548 beneath the Banda Sea. 549



### 550

*Figure 9.* (a) Seismicity of the Banda Sea region for all events  $\geq$  M 4.6 between January 1, 2000 551 and July 28, 2020. Indonesia is highlighted in green. Intermediate and deep seismicity is 552 553 concentrated inboard of the Timor and Seram troughs that form the collisional boundary between the Indo-Australian and Sunda plates. SU = Sunda plate, and IA = Indo-Australian plate. Plate 554 velocities are obtained from the MORVEL velocity model (DeMets et al., 2010) with respect to 555 the Sunda plate. Faults and boundaries are obtained from Silver et al. (1983b), Roosmawati and 556 557 Harris (2009), Watkinson et al. (2011), Saputra et al. (2014), Cipta et al. (2016), Koulali et al. 558 (2016), Adhitama et al. (2017), Patria and Hall (2017), Watkinson and Hall (2017), Hall (2018), Nugraha and Hall (2018), and Valkaniotis et al. (2018). (b) 3D seismicity profile for events  $\geq$  70 559 560 km depth, looking south at the folded Banda slab. Several seismic gaps are present and may be related to slab tearing (Widiyantoro et al., 2011b). Map area is the same as panel (a). (c) 3D 561 562 seismicity profile for events  $\geq$  70 km depth, looking SE at the folded Banda slab. Several seismic 563 gaps and flat slab orientation near 600-600 km are visible. Map area is the same as panel (a). (d)

564 Cross-section FF' looking SW beneath Timor. The slab is traceable with seismicity to below 600
565 km, where a flat slab orientation is observed between 600-660 km. Upper plate seismicity is
566 likely due to back-arc thrusting on the Wetar thrust. Slab 2.0 predictions (Hayes, 2018) for all

- 567 cross-sections are plotted as thick dark lines and scaling is 1:1.
- 568 4.3.5 Java

569 The seismicity of Java and the surrounding islands is displayed in map view in Figure 570 10a, with cross-sections presented in Figures 10b-d. Subduction of the Indo-Australian plate 571 beneath the Sunda plate at the Sunda-Java trench is clearly visible (Figure 10a). Hypocenters 572 extend to ~660 km beneath Java, highlighting a steeply north-dipping slab consistent with 573 tomography models (Figures 10a-d; Widiyantoro et al., 2011a; Hall and Spakman, 2015). At this 574 location, relatively old lithosphere between 100 - 155 Ma (Jacob et al., 2014; Seton et al., 2020) 575 subducts with a ~7-15°E convergence angle (Figures 10b-c; Hall and Spakman, 2015). Nearly 576 direct convergence and lithospheric age may contribute to the observed steep dip (Hall and 577 Spakman, 2015).

578 Notable changes in seismicity are observed in cross-sections across Java (Figures 10b-d). 579 East of Java (Figure 10b) hypocenters form a relatively continuous Wadati-Benioff zone to ~660 580 km depth, with shallower seismicity in the upper plate likely related to back-arc thrusting along 581 the Flores thrust (Figure 10a). In contrast, hypocenters beneath eastern Java (Figure 10c) exhibit a prominent seismic gap from approximately 150 - 600 km depth, with seismicity resuming 582 below 600 km. This gap has been proposed to correspond with a hole in the slab that extends for 583 584 ~400 km laterally beneath east Java as imaged by tomography (Widiyantoro et al., 2011a; Hall 585 and Spakman, 2015; Dokht et al., 2018). Beneath west Java (Figure 10d) this gap is no longer visible, with the Wadati-Benioff zone relatively continuous to ~200 km, and intermittent 586 587 between 200-660 km. In all cross-sections, the majority of seismicity appears to lie below the Slab 2.0 estimate for the slab top (Hayes, 2018), suggesting seismicity is primarily within the 588 589 slab rather than the overlying plate or at the plate interface (Figures 10b-d).



*Figure 10.* (a) Seismicity of Java and surrounding islands for all events  $\geq$  **M** 4.6 between January 591 1, 2000 and July 28, 2020. Indonesia is highlighted in green. The Indo-Australian slab subducts 592 593 northward beneath the Sunda plate, and deep seismicity decreases westward toward Sumatra. White lines are seafloor age contours (Seton et al., 2020) with C.I. = 5 Ma. SU = Sunda plate, 594 and IA = Indo-Australian plate. Plate velocities are with respect to the Sunda plate obtained from 595 596 the MORVEL velocity model (DeMets et al., 2010). Faults and boundaries are obtained from 597 Silver et al. (1983b), Hall (2012), Koulali et al. (2016), and Supendi et al. (2018). (b) Crosssection GG' looking west beneath the islands east of Java. The Wadati-Benioff zone is nearly 598 599 continuous, with upper plate seismicity likely related to back-arc thrusting on the Flores thrust. (c) Cross-section HH' looking NW beneath eastern Java. A large seismic gap is present and has 600 been linked to a hole in the slab imaged by tomography (Widiyantoro et al., 2011a; Hall and 601 602 Spakman, 2015). (d) Cross-section II' looking NW through western Java. The gap observed in section HH' is no longer visible. All cross-sections show minimal activity in the upper plate and 603

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near the predicted plate interface. Slab 2.0 predictions (Hayes, 2018) for all cross-sections areplotted as thick dark lines and scaling is 1:1.

#### 606 4.3.6 Sumatra

The seismicity of Sumatra is displayed in Figure 11a, with cross-sections presented in 607 608 Figures 11b-d. North of ~1°S, hypocenters occur no deeper than ~250 km (Figures 4b, 11a and 11c; Hayes, 2018). Slab material however has been imaged with seismic tomography to depths 609 610 of at least 660 km throughout the majority of Sumatra (Widiyantoro et al., 2011; Hall and 611 Spakman, 2015). Figure 11b shows that in southeastern Sumatra, seismicity traces a relatively 612 continuous and steeply dipping Wadati-Benioff zone active to ~250 km, with Slab 2.0 predicting the slab extends aseismically to near 600 km (Hayes, 2018). In contrast, Figure 11c in central 613 Sumatra near 1°N exhibits a more shallow-dipping Wadati-Benioff zone to ~200 km, with Slab 614 2.0 predictions extending no further than 250 km depth (Haves, 2018). A similar dip is observed 615 616 in NW Sumatra near 5°N (Figure 11d), but the Wadati-Benioff zone is poorly defined to ~200 km. The majority of seismicity in Figure 11d appears clustered around the predicted shallow 617 plate interface, suggestive of increased megathrust activity following the 2004 M<sub>w</sub> 9.1 Sumatran-618 619 Andaman earthquake (Lay et al., 2005). Additionally, Figure 11e illustrates slight dip changes 620 between Figures 11c and 11d predicted by Slab 2.0 (Hayes, 2018), which are noted and proposed to coincide with a N-S trending tear in the slab located between ~1-5° N by Hall and Spakman 621 (2015). 622

623 The observed changes in subduction geometry and lack of deep seismicity moving NW 624 from Java to Sumatra may be due to increasing oblique convergence, decreasing lithospheric age 625 and increasing mantle temperatures (Widiyantoro and van der Hilst, 1996; Saita et al., 2002; McCaffrey, 2009; Hall and Spakman, 2015). The western Sunda-Java trench is a partitioned 626 627 subduction zone, where oblique convergence is divided between the trench and trench-parallel strike-slip faults in the upper plate such as the Sumatran fault (Figure 11a; McCaffrey, 2009). 628 629 Convergence angles between the Indo-Australian and Sunda plates are estimated to change from 630 below 20° in southern Sumatra to near 30° in northern Sumatra, producing a significant dextral 631 lateral component (Baroux et al., 2002; Bock et al., 2003; McCaffrey, 2009). Clusters of shallow 632 seismicity inboard of the trench in Figures 11b-d may reflect motion on the Sumatran fault.

The age of the subducting lithosphere varies considerably along-strike, related to extinct 633 634 seafloor spreading in the Wharton Basin (Indian Ocean; Jacob et al., 2014; Hall and Spakman, 635 2015; Seton et al., 2020). Ages range from ~100 Ma at the oldest in southern Sumatra to ~35 Ma at the youngest near the equator, and increase to ~70 Ma near 5°N (Figure 11a; Jacob et al., 636 637 2014; Seton et al., 2020). The changes in slab orientations in Figures 11b-d are a likely due to increasingly buoyant lithosphere and a decreasing direct convergent component impeding 638 639 subduction. In addition, NW Sumatra has been determined to exhibit an abnormally thin MTZ and depressed 410 km phase boundary, indicating the mantle is warmer than average, a likely 640 641 result of mantle upwelling through slab tears (Saita et al., 2002; Kong et al., 2020). Deep 642 earthquake generation is highly dependent on temperature, and where temperatures are too great 643 slabs will resort to ductile deformation (Houston, 2015). Mantle temperatures increase between Java and Sumatra as deep seismicity decreases, suggesting temperature is a primary control on 644

deep seismicity beneath Sumatra (Figure 11a; Saita et al., 2002; Kong et al., 2020).



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*Figure 11.* (a) Seismicity of Sumatra for all events  $\geq$  M 4.6 between January 1, 2000 and July 28, 647 2020. Indonesia is highlighted in green. Deep seismicity disappears north of ~1°S. Convergence 648 649 becomes more oblique to the NW, and lithospheric ages decrease to ~35 Ma near the equator and increase again farther north. White lines are seafloor age contours (Seton et al., 2020) with C.I. = 650 5 Ma . SU = Sunda plate, and IA = Indo-Australian plate. Plate velocities are with respect to the 651 Sunda plate obtained from the MORVEL velocity model (DeMets et al., 2010). Faults and 652 boundaries are obtained from Hall (2012), Mukti et al. (2012), and Omang et al. (2016). (b) 653 Cross-section JJ' looking NW through southern Sumatra. The Wadati-Benioff zone terminates 654

near 250 km depth though Slab 2.0 (Haves, 2018) extends to ~600 km. (c) Cross-section KK' 655 656 looking NW through central Sumatra. The slab exhibits a slightly shallower dip than section JJ', 657 with majority of seismicity appearing present within the slab. Upper plate seismicity clusters are 658 likely related to movement on the Sumatran fault. (d) Cross-section LL' looking NW through 659 northern Sumatra. Seismicity appears concentrated at the plate interface and within the slab. Upper plate seismicity clusters are likely related to movement on the Sumatran fault. Slab 2.0 660 661 predictions (Hayes, 2018) for all cross-sections are plotted as thick dark lines and scaling is 1:1. (e) Direct comparison of Slab 2.0 orientations (Hayes, 2018) in Figures 11b-d to illustrate slab 662 663 dip changes. Hall and Spakman (2015) suggest a N-S trending tear in the slab is located between 1-5° N, (between cross-sections KK' and LL'), which corresponds to slight dip changes between 664 665 these cross-sections. Subducting lithosphere at section LL' is older than at section KK' (Seton et 666 al., 2020). Scaling is 1:1.

#### 667 4.3.7 Intraslab Mechanisms

668 Intraslab earthquakes are similar in many respects to shallow crustal events, but occur at much higher temperatures and pressures and by different mechanisms including slab flexure, 669 metamorphic dehydration, thermal shear instability, and mineral phase transitions (Houston, 670 671 2015; Romeo and Álvarez-Gómez, 2018; Billen, 2020; Zhan, 2020). Figure 12 shows that 672 intraslab focal mechanisms in Indonesia are quite heterogeneous. Thermomechanical models 673 expect events  $\geq$  400 km to be down-dip compressional (reverse faulting) and intermediate events to be down-dip tensional (normal faulting; Houston, 2015). Indonesian slabs appear to deviate 674 from this prediction however, with normal faulting mechanisms commonly observed for events  $\geq$ 675 676 300 km, and reverse and oblique mechanisms common at intermediate depths (Figure 12). Though unclear, this deviation may be related to a number of factors, including slab age, 677 678 subduction rates, strain heterogeneity, and inherited faults within the slab (Wiseman et al., 2012; Houston, 2015; Billen, 2020). Intraslab events can reach magnitudes  $\geq$  M 7.0, and particularly 679 680 those that occur at shallower depths can pose serious seismic hazards. One such example is the 2009  $M_{\rm w}$  7.6 Padang event, which occurred ~ 80 km depth (Wiseman et al., 2012). 681


*Figure 12.* Intermediate and deep GCMT focal mechanisms for events  $\ge$  **M** 6.0 and  $\ge$  70 km depth between January 1, 2000 and July 28, 2020. Mechanisms are scaled by magnitude and colored by depth. Notable events are labeled (refer to Table 3). Focal mechanisms are diverse, with normal faulting common for deep earthquakes and oblique mechanisms common for intermediate earthquakes.

# 688 4.4 Shallow Seismicity and Focal Mechanisms

In contrast to intermediate and deep seismicity, shallow seismicity  $\leq$  70 km depth is more representative of plate motions and associated deformation nearest to the surface, accounting for the majority of seismicity in Indonesia (~77%; Table 1; Figure 2a). Shallow events pose a greater seismic hazard than deeper events due to hypocenter locations close to the surface (Table 3). Sources of shallow seismicity in Indonesia are diverse, but for ease of organization, the primary sources are defined as (1) megathrust, (2) crustal, and (3) shallow intraslab.

695 4.4.1 Megathrusts

Megathrusts define the shallow plate interface (< 50 km depth) between subducting and 696 697 overriding plates, behaving as large-scale thrust faults that accommodate plate convergence at 698 subduction zones (Kanamori, 1986; Bilek and Lay, 2018). Able to generate the largest 699 earthquakes recorded by modern instrumentation (~M 9.0), megathrust events are often 700 associated with destructive ground shaking and tsunamis, creating serious seismic hazards (Polet and Kanamori, 2000; Bilek and Lay, 2018). Several subduction zones in Indonesia host active 701 702 megathrusts, the most prominent being the Sunda megathrust within the Sunda-Java trench 703 (Figures 13 and 14).

704 The Sunda megathrust is most active offshore of Sumatra (Figure 13), where abundant 705 shallow thrust faulting mechanisms  $\geq$  M 5.0 within the forearc support a megathrust interface 706 with an average NW strike and shallow NE dip (Figure 13a). Much of this elevated seismicity is 707 likely related to stress changes following the December 2004  $M_w$  9.1 Sumatra-Andaman event 708 (Figure 13b; Lay et al., 2005). The Sunda megathrust is a prevalent source of seismic hazard for 709 Sumatra, and since the destructive 2004 earthquake has produced several  $\geq$  M 7.0 events 710 including the 2005  $M_w$  8.6 Nias, and the 2007  $M_w$  8.4 Bengkulu-Mentawai events (Figure 13b; Table 3; Hughes et al., 2010; Hayes et al., 2017). In Java however, megathrust activity appears 711 712 more subdued, with fewer events than Sumatra  $\geq$  M 5.0 supporting a north-dipping thrust 713 interface (Figure 14a), very few events  $\geq$  M 6.0 (Figure 14b), and no great earthquakes known to 714 have occurred in at least a century (Newcomb and McCann, 1987; Okal, 2012). The largest recent event was a M<sub>w</sub> 7.7 SW of Java on July 17, 2006 (Figure 14b; Table 3; Hayes et al., 715 716 2017). Irsyam et al. (2020) indicate that this lack of notable megathrust events implies interplate 717 coupling on the Sunda megathrust is much lower in Java than in Sumatra. However, Hanifa et al. 718 (2014) interpret a locked megathrust from GPS measurements of coastal uplift and contraction in 719 western Java, and Widiyantoro et al. (2020) identify seismic gaps between 20-30 km depth south 720 of Java as likely locations of future ruptures. Though no great magnitude megathrust events have 721 been recorded near Java, such a rupture may be possible (Okal, 2012). Hanifa et al. (2014) 722 estimate a moment accumulation of  $M_w$  8.7 over the past three centuries in west Java, assuming 723 tectonic stress is not periodically released by slow slip. Regardless, the damage caused by the 724 2006  $M_{\rm w}$  7.7 Java earthquake and tsunami and tsunamigenic deposits identified on Java's 725 southern coast indicate the Sunda megathrust remains a notable source of seismic hazard for Java 726 (Hayes et al., 2017; Irsyam et al., 2020; Widiyantoro et al., 2020).



728Figure 13. Shallow GCMT focal mechanisms  $\leq$  70 km for Sumatra between January 1, 2000 and729July 28, 2020 for (a) events  $\geq$  M 5.0 and (b) events  $\geq$  M 6.0. Events are colored by mechanism

and scaled by magnitude. Thrusting is abundant, supporting a NE-dipping megathrust at the
Sunda-Java trench. Strike-slip faulting is primarily concentrated along the Sumatran fault and
along N-S trending faults within the Indian Ocean. Notable events in panel (b) are presented in
Table 3. Faults and boundaries are obtained from Hall (2012), Mukti et al. (2012), Omang et al.
(2016), and Supendi et al. (2018). White arrows are plate velocity vectors obtained with respect
to the Sunda plate from the MORVEL velocity model (DeMets et al., 2010). SU = Sunda plate,
and IA = Indo-Australian plate.



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*Figure 14.* Shallow GCMT focal mechanisms < 70 km for Java between January 1, 2000 and 738 739 July 28, 2020 for (a) events  $\geq$  M 5.0 and (b) events  $\geq$  M 6.0. Events are colored by mechanism 740 and scaled by magnitude. Thrusting mechanisms are less abundant along the Sunda-Java trench 741 in Java than in Sumatra, likely indicating low coupling on the megathrust (Irsyam et al., 2020). 742 Other thrust mechanisms are located along the Flores back-arc thrust. Normal faulting in the outer-rise of the trench indicates down-dip extension and low megathrust coupling (Christensen 743 744 and Ruff, 1988). Notable events in panel (b) are presented in Table 3. Faults and boundaries are obtained from Silver et al. (1983b), Hall (2012), Koulali et al. (2016), Supendi et al. (2018). 745 746 White arrows are plate velocity vectors obtained with respect to the Sunda plate from the 747 MORVEL velocity model (DeMets et al., 2010). SU = Sunda plate, and IA = Indo-Australian 748 plate.

749 Megathrusts in eastern Indonesia are not as large or active as the Sunda megathrust, but are nonetheless notable sources of seismicity. The Northern Sulawesi trench for example (Figure 750 15) produced a destructive  $M_w$  7.4 event in November 2008 (Figure 15; Table 3), the latest of 751 several  $\geq$  M 7.0 events since 1990 including a M<sub>w</sub> 7.8 in 1990, a M<sub>w</sub> 7.5 and M<sub>w</sub> 7.0 in 1991, a 752 753 M<sub>w</sub> 7.9 and M<sub>w</sub> 7.0 in 1996, and a M<sub>w</sub> 7.0 in 1997 (Gómez et al., 2000; Hayes et al., 2017; U.S. Geological Survey, 2020). Though the 2008 M 7.4 earthquake is the only event  $\geq$  M 6.0 that has 754 755 occurred in the trench since January 2000, based on past events a future  $\geq$  M 7.0 rupture is likely, with the trench designated by others as a serious seismic hazard (Cipta et al., 2016; 756

757 Irsyam et al., 2020).



*Figure 15.* Shallow GCMT focal mechanisms  $\leq$  70 km depth for Sulawesi between January 1,

2000 and July 28, 2020 for events  $\geq$  M 5.0. Events are colored by mechanism and scaled by

- magnitude. Subduction is active in the North Sulawesi trench, with strike-slip mechanisms
- supporting E-W sinistral slip along the Palu-Koro and Montano faults. Strike-slip motion is
- 763 present in the Banggai islands. Other faults do not exhibit notable seismic activity but are likely

tectonically active (Soquet et al., 2006; Watkinson and Hall, 2017). Normal faulting is present
throughout the Gulf of Tomini, associated with rollback of the Celebes slab (Hall and Spakman,
2015; Watkinson and Hall, 2017). Faults and boundaries are obtained from Silver et al. (1983a),
Hall (2012), Cipta et al. (2016), Watkinson and Hall (2017), Hall (2018), Nugraha and Hall
(2018), and Valkaniotis et al. (2018). White arrows are relative motion velocity vectors of the
Celebes Sea obtained from GPS (Gómez et al., 2000; Bock et al., 2003). Notable events are
labeled and presented in Table 3.

771 The western New Guinea trench exhibits less-frequent seismicity than other megathrusts 772 in Indonesia (Figure 16), and the nature of subduction remains debated (Baldwin et al., 2012). 773 No thrust events > M 6.0 since January 2000 are evident within the western New Guinea trench (Figure 16b), though some events < M 6.0 do support a shallow southward-dipping thrust 774 775 interface primarily near 138°E (Figure 16a). Despite exhibiting low seismicity, the New Guinea trench is capable of generating great magnitude events, the most recent being the February 1996 776 777 M<sub>w</sub> 8.2 Biak earthquake (Okal, 1999; Henry and Das, 2002). Another similar event in 1914 is speculated to have occurred near the 1996 rupture (Okal, 1999), suggesting the trench is often 778 779 seismically locked and prone to infrequent great magnitude ruptures. A possible explanation is 780 that though the trench is active, it may not absorb all of the estimated  $\sim 70$  mm/y of convergence 781 (Tregoning and Gorbatov, 2004) between the Indo-Australian and Caroline plates, with fold and 782 thrust belts on the interior of the island providing additional accommodation (Bock et al., 2003; 783 Tregoning and Gorbatov, 2004; Hinschberger et al., 2005). The majority of recent active thrusting activity appears clustered to the west in the Manokwari trough, which exhibits 784 785 abundant thrust mechanisms  $\geq$  M 5.0 supportive of a SSW-dipping thrust interface and 786 southward subduction (Figure 16a; Hall, 2014). Two > M 7.0 thrust events occurred near the 787 trough in 2009, a  $M_w$  7.7, and a  $M_w$  7.4, and seven events M 6.0-7.0 occurred between 2000 – 2009, suggesting the Manokwari trough is an active megathrust and a notable seismic hazard, 788 789 despite being a developing subduction zone (Figure 16b; Hall, 2014).



*Figure 16.* Shallow GCMT focal mechanisms  $\leq$  70 km depth for West Papua and Seram between

- January 1, 2000 and July 28, 2020 for (a) events  $\geq$  M 5.0 and (b) events  $\geq$  M 6.0. Events are
- 793 colored by mechanism and scaled by magnitude. The western New Guinea trench exhibits little

794 to no seismic activity. Lithospheric age and oblique convergence may contribute to this. Strike-795 slip mechanisms supporting E-W sinistral shear are evident along the Yapen, Lowland, Terera-796 Aiduna, and western Sorong faults. Broad sinistral shear is transferred from the Terera-Aiduna 797 fault to the western Sorong fault across Seram (Patria and Hall, 2017; Watkinson and Hall, 798 2017). Normal faulting is active in the Aru trough, associated with rollback of the Banda slab 799 (Spakman and Hall, 2010; Adhitama et al., 2017). Notable events labeled in panel (b) are 800 presented in Table 3. Faults and boundaries are obtained from Saputra et al. (2014), Adhitama et al. (2017), Patria and Hall (2017), Watkinson and Hall (2017), and Hall (2018). White and dark 801 802 blue arrows represent plate velocities with respect to the Sunda plate obtained from the 803 MORVEL plate velocity model (DeMets et al., 2010). SU = Sunda plate, CA = Caroline plate,

804 and IA= Indo-Australian plate.

805 Megathrusting within the southern Philippine trench (Figure 17) appears most active near the Philippine island of Mindanao with abundant focal mechanisms < M 6.0 supportive of a 806 west-dipping thrust interface (Figure 17a). Activity is not as abundant farther south near the 807 808 Indonesian island of Morotai where the trench terminates (Figure 17a; Hall, 2018). Very few 809 events  $\geq$  M 6.0 are evident within the trench (Figure 17b), and a great magnitude event has not 810 occurred within or near the trench east of Mindanao since ~1972 (U.S. Geological Survey, 811 2020). Few events  $\geq$  M 7.0 have been characterized along the trench since ~1600, with such activity occurring primarily south of ~9°N (Ye et al., 2012). In 2012, a  $M_w$  7.6 thrust event 812 813 occurred in the outer-rise east of the Philippine trench in a region of low megathrust activity (Figure 17b; Table 3), suggesting strain accumulation in the oceanic crust due to strong coupling 814 815 on the megathrust (Christensen and Ruff, 1988; Ye et al., 2012). It is not currently understood 816 however if a large megathrust event can be expected in the near future as a result. 817 Conversely, the Cotobato trench SW of Mindanao has produced several notable megathrust events in the past century. Several shallow focal mechanisms inboard of the trench  $\geq$ 818 819 M 5.0 support an ENE-dipping thrust interface with three events exceeding M 6.0, the largest being a  $M_w$  7.5 in 2002 (Figure 17; Hayes et al., 2017). The trench also produced the destructive 820 821  $\sim$  M<sub>s</sub> 7.8 Moro Gulf earthquake and tsunami of 1976, with another likely similar event  $\sim$  M<sub>s</sub> 8.0

822 occurring in 1918, making the Cotobato trench a notable source of seismic hazard in the Celebes

823 Sea region (Stewart and Cohn, 1979).



824

*Figure 17.* Shallow GCMT focal mechanisms  $\leq$  70 km depth for the southern Philippines and 825 Celebes Sea region between January 1, 2000 and July 28, 2020 for events (a)  $\geq$  M 5.0 and (b)  $\geq$ 826 827 M 6.0. Events are colored by mechanism and scaled by magnitude. Thrusting is active within the Philippine trench but events are < M 6.0. Active megathrusting is prevalent at the Cotobato 828 829 trench. Strike-slip motion is located primarily along the Philippine fault. Outer-rise normal 830 faulting is similar to Java, with a single reverse event in 2012 suggesting a locked megathrust (Christensen and Ruff, 1988; Ye et al., 2012). Notable events labeled in panel (b) are presented 831 832 in Table 3. Faults are obtained from Moore and Silver (1983), Widiwijayanti et al. (2004), 833 Besana and Ando (2005), Tsutsumi and Perez (2013), and Hall (2018). White arrows represent 834 plate velocities with respect to the Sunda plate from the MORVEL velocity model (DeMets et al., 2010). SU = Sunda plate, and PS = Philippine Sea plate. 835



Halmahera, consistent with the orientations of the Molucca Sea plate (Figures 6 and 18a). 841 However it is not clear whether these events may represent interplate slip. Similarly, 842 843 megathrusting may be possible within the Timor and Seram troughs (Figure 19. Liu and Harris, 844 2014). Despite often being interpreted as foreland basins that formed during collision rather than 845 as active subduction zones (Audley-Charles, 2011; Patria and Hall, 2017), some authors have argued that the Seram trough in particular is still an active subduction zone (Bird, 2003; 846 847 Hinschberger et al., 2005). Focal mechanisms  $\geq$  M 5.0 are supportive of north-dipping thrusts inboard of the Timor trough and south-dipping thrusts inboard of the Seram trough, consistent 848 849 with the orientation of subduction at these locations (Figure 19a; Spakman and Hall, 2010; 850 Widiyantoro et al., 2011b; Hall and Spakman, 2015). However, it is not clear whether these 851 events are representative of ruptures at a plate interface, deformation within the overlying 852 continental crust, or within underlying continental crust due to continental subduction 853 (Hinschberger et al., 2005; Tate et al., 2015). Using historical records, Liu and Harris (2014) modeled a megathrust event that likely occurred within the Seram trough in 1629 that resulted in 854 855 a destructive tsunami. This result indicates that megathrust events are possible beneath Seram, 856 but are rare.



857

*Figure 18.* Shallow GCMT focal mechanisms  $\leq$  70 km depth for the Molucca Sea Collision Zone 858 859 between January 1, 2000 and July 28, 2020 for events (a)  $\geq$  M 5.0 and (b)  $\geq$  M 6.0. Events are colored by mechanism and scaled by magnitude. Thrust and reverse faulting is concentrated 860 between the East Sangihe and West Halmahera thrusts, reflecting deformation from ongoing 861 862 collision between the Sangihe and Halmahera arcs. Notable events labeled in panel (b) are presented in Table 3. Faults are obtained from Moore and Silver (1983), Widiwijayanti et al. 863 864 (2004), Tsutsumi and Perez (2013), Saputra et al. (2014), Watkinson et al. (2011), Watkinson 865 and Hall (2017), and Hall (2018).





867 *Figure 19.* Shallow GCMT focal mechanisms  $\leq$  70 km depth for the Banda Sea between January

- 868 1, 2000 and July 28, 2020 for events (a)  $\ge$  M 5.0 and (b)  $\ge$  M 6.0. Events are colored by
- 869 mechanism and scaled by magnitude. Thrusting is active inboard of the Timor and Seram

- 871 subduction polarity reversal (Silver et al., 1983b). Strike-slip and reverse events throughout the
- 872 Banda Sea indicate complex transpressive motion. Notable events labeled in panel (b) are
- presented in Table 3. Faults are obtained from Silver et al. (1983b), Roosmawati and Harris
- 874 (2009), Watkinson et al. (2011), Saputra et al. (2014), Cipta et al. (2016), Koulali et al. (2016),
- Adhitama et al. (2017), Patria and Hall (2017), Watkinson and Hall (2017), Hall (2018), Nugraha
- and Hall (2018), and Valkaniotis et al. (2018). Blue arrows represent plate velocities with respect
- to the Sunda plate obtained from the MORVEL velocity model (DeMets et al., 2010). SU =
- 878 Sunda plate, and IA = Indo-Australian plate.

# 879 4.4.2 Crustal Seismicity

880 Deformation of the crust is an important source of shallow seismicity in Indonesia, though the locations of crustal faults remain a subject of debate. Efforts to accurately locate 881 882 faults throughout Indonesia have been reported in recent years (Sieh and Natawidjaja, 2000; 883 Saputra et al., 2014; Cipta et al., 2016; Omang et al., 2016; Patria and Hall, 2017; Watkinson and Hall, 2017; Supendi et al., 2018; Irsyam et al., 2020). The thickness of the continental crust of 884 885 the Sunda plate is highly variable, ranging between 27-42 km (Wölbern and Rümpker, 2016; Ali and Suardi, 2018; Suhardja et al., 2020). We divide crustal seismicity into three primary faulting 886 types, being (1) thrust, (2) strike-slip, and (3) normal. 887

888 4.4.2.1 Thrust Faulting

889 Thrust faulting is commonly observed inboard of subduction zones and within regions of 890 active collision. In the Molucca Sea Collision Zone (Figure 18), prominent thrust faults on the margins of the colliding Sangihe and Halmahera volcanic arcs accommodate the majority of E-891 892 W convergence (~80 mm/y) between the Sunda and Philippine Sea plates (Figures 17 and 18; 893 Rangin et al., 1999; Bock et al., 2003). The primary faults are the West Halmahera thrust on the western margin of the Halmahera arc, and the East Sangihe thrust on the eastern margin of the 894 895 Sangihe arc, which dip toward each other toward the center of the collision zone (Figure 18; 896 Moore and Silver, 1983). Abundant reverse and thrust faulting mechanisms  $\geq$  M 5.0 with NE-897 SW strikes located between the thrusts reflect deformation between the colliding arcs (Figure 898 18a). Five events  $\geq$  M 7.0 have occurred in the region since January 2000, the largest being a M<sub>w</sub> 899 7.5 rupture in 2007 (Figure 18b; Table 3). Some events have caused damage to Sulawesi and 900 Talaud islands, and particular events such as the 2019  $M_w$  7.1 Molucca Sea earthquake generated 901 small tsunamis, indicating that frequent thrust activity in the Molucca Sea region creates notable 902 seismic hazard for nearby islands including Sulawesi, Talaud, Halmahera, and Sangihe (Table 3; 903 U.S. Geological Survey, 2020).

904 In the Banda and Flores seas, the Flores and Wetar back-arc thrusts form an 905 approximately 800 km-long south-dipping thrust system that traces offshore north of the islands of Lombok, Sumbawa, Flores, and Wetar (Figures 14 and 19). Bock et al. (2003) indicate from 906 907 GPS measurements that the majority of convergence between the Indo-Australian and Sunda 908 plates at this location is accommodated by the thrusts (up to  $\sim 67 \text{ mm/y}$ ), representing the transfer 909 of convergence into the back-arc region and early subduction polarity reversal (Silver et al., 910 1983b; Koulali et al., 2016). Focal mechanisms  $\geq$  M 5.0 support south-dipping thrusts (Figure 911 14a and 19a). Back-arc thrusting activity decreases west of the Flores thrust toward the Kendeng 912 thrust in Java, where two events  $\geq$  M 5.0 support a south-dipping thrust (Figure 14a). The 913 majority of the Kendeng thrust appears to be seismically inactive due to a lack of events near the fault (Figures 14a-b), however, Koulali et al. (2016) indicate from GPS data that the fault is 914 915 active and a likely extension of the Flores thrust. Both the Flores and Wetar thrusts have 916 generated several notable and damaging events recently, such as the 2018 Lombok Sequence 917 which produced two  $M_w$  6.9 events north of Lombok (Figure 14b; Table 3; Yang et al., 2020). 918 and a  $\mathbf{M}_{w}$  7.5 event near Wetar in 2004 (Figure 19b; Table 3; Hayes et al., 2017; U.S. Geological 919 Survey, 2020). As much of the active thrusting occurs offshore, there is great risk for tsunamis. 920 For example, the 1992  $M_w$  7.9 Flores Earthquake generated a tsunami with wave heights up to 25 921 m (Yeh et al., 1993; Yang et al., 2020). 922 Abundant focal mechanisms  $\geq$  M 5.0 also indicate activity on SW-dipping thrust faults 923 on the north coast of Seram, with the largest event being a  $M_w$  6.3 in 2006 (Figure 16a; 924 Watkinson and Hall, 2017; U.S. Geological Survey, 2020). Other thrusts such as Sulawesi's 925 Batui, Tolo, and Makassar thrusts exhibit little to no seismicity  $\geq$  M 5.0 (Figure 15; Watkinson

and Hall, 2017). The Makassar thrust however has been designated a notable seismic hazard

927 based on earthquake hazard modeling (Cipta et al., 2016; Irsyam et al., 2020).

928 4.4.2.2 Strike-Slip Faulting

929 Oblique convergence in Indonesia produces a considerable amount of lateral motion 930 accommodated by strike-slip fault zones. In western Indonesia, the ~1900 km-long, segmented 931 dextral Sumatran fault traces the length of Sumatra and partitions oblique subduction at the 932 Sunda-Java trench (Figure 13a; Sieh and Natawidjaja, 2000; McCaffrey, 2009). Partitioning of 933 dextral slip along this major plate boundary fault has been proposed to form a "sliver plate" in the forearc between the fault and the megathrust that behaves separately from the rest of the 934 935 Sunda plate (McCaffrey, 2009; Bradley et al., 2017). The nature of the sliver plate is debated, 936 with some authors proposing that increasing slip rates along the Sumatran fault moving north 937 inferred by GPS imply the forearc is stretching (Bock et al., 2003; McCaffrey, 2009). More 938 recent modeling by Bradley et al. (2017) however suggests that the forearc is rigid, and the 939 Sumatran fault accommodates a constant slip rate ~15-16 mm/y. The Sumatran fault exhibits 940 notable seismic activity, with five events between M 6.0 - 7.0 confirmed to have occurred on the 941 fault in the past two decades, the largest being a  $M_w$  6.6 event in 2009 (Figure 13b; Salman et al., 2020; U.S. Geological Survey, 2020). Some of these events were damaging (Salman et al., 942 943 2020). The fault zone is estimated to be capable of ~M 7.5-7.7 ruptures (McCloskey et al., 2005), with a 1995 M 7.0 rupture in southern Sumatra being the most recent major earthquake 944 945 (Sieh and Natawidjaja, 2000; McCaffrey, 2009; Omang et al., 2016). Seismicity may be induced 946 on the Sumatran fault directly by great megathrust events generated at the Sunda megathrust 947 (McCloskey et al., 2005), and related faults that lie outside of the Sumatran fault zone pose additional hazards, such as the fault responsible for the damaging 2016  $M_w$  6.6 Aceh earthquake 948 949 (Figure 13b; Salman et al., 2020)

950 Offshore of Sumatra, a series of N-S trending strike-slip faults within the oceanic crust of 951 the Indo-Australian plate further add to seismicity (Figure 13a). Abundant strike-slip 952 mechanisms  $\geq$  M 5.0 west of Sumatra between 0-5°N support activity on these faults (Figure 953 13a), which likely represent reactivated fracture systems formed during now extinct seafloor 954 spreading in the Wharton Basin (Indian Ocean; Satriano et al., 2012). Activity on these faults 955 may be indicative of diffuse deformation between the Indian and Australian plates, referred to as 956 a single plate (Indo-Australian plate) throughout this paper (Bradley et al., 2017). While reasons 957 for this deformation are debated, Bradley et al. (2017) indicate that for their rigid Sumatran 958 forearc model to be plausible, the majority of deformation must be accommodated within the 959 oceanic crust of the Indo-Australian plate. These remarkable faults generated the largest strike-

- slip events ever recorded in 2012, a  $M_w$  8.6 and a  $M_w$  8.2 (Figure 13b; Table 3), which were
- 961 likely induced by Coulomb stress changes following the December 2004  $M_w$  9.1 Sumatra-
- 962 Andaman and March 2005  $M_w 8.6$  Nias events (Delescluse et al., 2012).

963 Eastern Indonesia is decidedly more complex, with several strike-slip fault zones 964 accommodating E-W sinistral shear between the obliquely converging Pacific (Philippine Sea 965 and Caroline plates) and Indo-Australian plates (Figure 16). The Sorong fault for example trends 966 E-W from the Bird's Head to near eastern Sulawesi (Figures 1 and 16), splitting into several "horsetail" splays west of the Bird's Head (Figures 1 and 16; Watkinson et al., 2011; Saputra et 967 968 al., 2014; Watkinson and Hall, 2017). A lack of strike-slip focal mechanisms  $\geq$  M 5.0 near the 969 Sorong fault at the Bird's Head suggests the fault is not very seismically active at this location 970 (Figure 16a; Watkinson and Hall, 2017). Increased strike-slip activity  $\geq$  M 5.0 is evident to the 971 west near Halmahera island (Figure 16a), where the fault on average is estimated to 972 accommodate ~19 mm/y of slip (Bock et al., 2003; Watkinson and Hall, 2017). The western 973 splays of the Sorong fault have generated notable events, such as 2019  $M_w$  7.2 earthquake south

974 of Halmahera (Figure 16b; Table 3; U.S. Geological Survey, 2020).

975 Strike-slip mechanisms  $\geq$  M 5.0 supportive of E-W sinistral shear are also evident east of 976 the Bird's Head, where the Sorong fault connects to the Yapen fault (Figure 16; Hinschberger et 977 al., 2005; Patria and Hall, 2017; Watkinson and Hall, 2017). The Yapen fault is estimated to 978 accommodate ~46 mm/y of slip, with the majority of seismicity  $\geq$  M 6.0 clustered near its 979 connection with the Sorong fault where a destructive  $M_w$  7.6 earthquake occurred in 2002 980 (Figure 16b; Table 3; Hayes et al., 2017). Strike-slip events  $\geq$  M 5.0 also support E-W sinistral motion south of the Yapen fault to the E-W trending Terera-Aiduna and NE-SW trending 981 982 Lowland faults (Figure 16; Watkinson and Hall, 2017). This supports the hypothesis that sinistral 983 shear on a broad scale is transferred south from the Yapen fault to the Terera-Aiduna fault, 984 effectively bypassing the Sorong fault at the Bird's Head (Bock et al., 2003; Hinschberger et al., 985 2005; Watkinson and Hall, 2017). The Terera-Aiduna and Lowland faults have produced several events  $\geq$  M 6.0 recently, the largest being the 2004 M<sub>w</sub> 7.3 Nabire earthquake (Figure 16b; Table 986 987 3; Hayes et al., 2017). Strike-slip seismicity extends westward beyond the Terera-Aiduna fault and into the Banda Sea, where sinsitral shear is likely transferred to the western Sorong fault via 988 989 transpressive faults across Seram (Patria and Hall, 2017; Watkinson and Hall, 2017). This 990 proposed transfer of sinistral shear from the Terera-Aiduna fault to faults on Seram is not

distinguishable from seismicity alone, and Watkinson and Hall (2017) suggest any connectionmay be aseismic or there is no structural connection at all.

993 Notable strike-slip faults on Seram include the sinistral Kawa and Bobol faults (Figure 994 16; Patria and Hall, 2017; Watkinson and Hall, 2017). Few strike-slip focal mechanisms  $\geq$  M 5.0 995 are evident along these faults, though geomorphic expressions interpreted by Watkinson and Hall 996 (2017) indicate the Kawa fault is capable of ground-rupturing events, as are other faults on the 997 island, such as the recently reactivated fault responsible for the destructive 2019  $M_w$  6.5 Ambon 998 earthquake (Figure 16b; Table 3; Sahara et al., 2021). Mixed strike-slip and reverse mechanisms 999  $\geq$  M 5.0 between Seram island and the western Sorong fault suggest the transfer of sinstral shear 1000 between them is very complex. Additionally, strike-slip mechanisms  $\geq$  M 5.0 in the Banda Sea 1001 between Seram and Wetar to the south suggest that lateral motion may be partitioned by faults 1002 throughout the interior of the Banda Sea, possibly along remnants of older seafloor spreading, 1003 though this is speculative (Figures 16 and 19; Bock et al., 2003; Hinschberger et al, 2005; Seton 1004 et al., 2020).

1005 Farther west on the island of Sulawesi, sinistral-shear is accommodated primarily by the Montano and Palu-Koro faults (Figure 15; Silver et al, 1983a; Socquet et al., 2006; Watkinson 1006 1007 and Hall, 2017). The Montano fault is a highly segmented SE-NW trending fault system that 1008 extends offshore to the east toward the Tolo thrust, and inland to connect with the southern 1009 terminus of the Palu-Koro fault though the nature of this connection is not well understood 1010 (Figure 15; Silver et al, 1983a; Watkinson and Hall, 2017). Strike-slip mechanisms  $\geq$  M 5.0 1011 supportive of E-W sinistral shear are clustered along the eastern Montano fault with the largest 1012 event being a  $M_w$  6.1 in 2011 (Figure 15; Watkinson and Hall, 2017). The western segments of 1013 the Montano fault do not exhibit any recent seismic activity > M 5.0 (Figure 15). The  $\sim 220$  km 1014 long Palu-Koro fault trends NW from near the Bone Bay and extends offshore to the western 1015 extent of the North Sulawesi trench (Figure 15; Silver et al., 1983a; Watkinson and Hall, 2017; 1016 Hall, 2018; Patria and Putra, 2020). Strike-slip mechanisms near the fault support NW-SE sinistral shear with slip rates estimated from GPS at ~ 38 mm/y (Figure 15; Bock et al., 2003; 1017 1018 Watkinson and Hall, 2017). The Palu-Koro and Montano faults have been recognized as serious 1019 seismic hazards (Cipta et al., 2016; Watkinson and Hall, 2017; Irsyam et al., 2020). The Palu-1020 Koro fault in particular has produced several events  $\geq M$  6.0 in the past two decades, the largest 1021 being the September 2018  $M_w$  7.5 Palu earthquake, which caused an uncharacteristically large

1022 tsunami and widespread liquefaction that devastated Palu (Figure 15; Table 3; Socquet et al.,1023 2019).

1024 Other strike-slip faults on Sulawesi have exhibited little to no seismic activity recently, 1025 though may be tectonically active and sources of future seismicity based on earthquake hazard 1026 modeling and GPS studies (Figure 15; Socquet et al., 2006; Cipta et al., 2016; Watkinson and 1027 Hall, 2017; Irsyam et al., 2020). The onshore segment of the Lawanopo fault (Figure 15) for 1028 example, which runs roughly parallel and south of the Montano fault has been previously 1029 classified as a serious earthquake hazard (Cipta et al., 2016; Watkinson and Hall, 2017; Irsyam et 1030 al., 2020). The offshore segment of the fault or a related fault likely produced the 2001  $M_{\rm w}$  7.5 1031 Banda Sea earthquake (Figure 15; Table 3; Hayes et al., 2017; Watkinson and Hall, 2017). The 1032 Walanae and Gorontalo faults (Figure 15) have also been identified as potential sources of seismic hazard (Cipta et al., 2016; Irsyam et al., 2020). Though they exhibit little to no 1033 seismicity, there is indication that the faults are currently locked, based on low slip rates derived 1034 primarily from GPS data (Socquet et al., 2006; Cipta et al., 2016; Watkinson and Hall, 2017). In 1035 1036 eastern Sulawesi, the E-W trending dextral Balantak fault exhibits little to no apparent seismicity 1037 supporting dextral shear from available focal mechanisms, but has been suggested to be 1038 tectonically active (Figure 15; Watkinson and Hall, 2017). The majority of strike-slip activity in 1039 eastern Sulawesi lies on a series of NE-SW trending faults that cross the Banggai islands (Figure 1040 15; Watkinson et al., 2011; Watkinson and Hall, 2017). These complex dextral-transpressive faults pose serious seismic hazards, and produced the destructive  $M_w$  7.6 Banggai islands 1041 1042 earthquake and tsunami in May 2000 (Hayes et al., 2017; Watkinson and Hall, 2017).

#### 1043 4.4.2.3 Normal Faulting

1044 Crustal normal faulting is abundant in eastern Indonesia, commonly intermixed with 1045 reverse and strike-slip faulting in transpressive regions such as the Banda Sea, Sulawesi, and West Papua (Figures 15, 16, and 19). Prominent zones of normal faulting include the Aru trough 1046 1047 (Figure 16) and the Gulf of Tomini (Figure 15), with extension attributed to slab-rollback of the 1048 Banda and Celebes slabs respectfully (Spakman and Hall, 2010; Hall and Spakman, 2015; 1049 Adhitama et al., 2017). Normal faulting mechanisms  $\geq$  M 5.0 in the Aru trough support N-S 1050 trending faults and E-W extension (Figure 16a; Bird, 2003; Adhitama et al., 2017), where the 1051 Banda Sea moves approximately ~15-18 mm/y westward away from the Indo-Australian plate

1052 (Bock et al., 2003; Haves et al., 2017). The largest recent event in the Aru trough was a  $M_w$  7.0 1053 in 2010 (Table 3; Haves et al., 2017). In addition to the Aru trough, slab rollback in this region 1054 likely formed the Weber Deep, where a low angle normal fault termed the Banda detachment has 1055 never generated any recorded seismicity but may have caused the 1852 Banda Tsunami 1056 (Cummins et al., 2020). Similarly to the Aru trough, normal faulting events  $\geq$  M 5.0 in 1057 Sulawesi's Gulf of Tomini (Figure 15) are supportive of NW-SE-striking normal faults and NE-1058 SW extension that extends into the Togean islands consistent with rollback of the Celebes slab 1059 (Hall and Spakman, 2015; Watkinson and Hall, 2017; Hall, 2018).

1060 Normal faulting is also common within the outer-rise regions of subducting plates, 1061 forming narrow trench-parallel seismic zones within the oceanic crust. Several extensional 1062 events  $\geq$  M 5.0 south of the Sunda-Java trench near Java (Figure 14a), and a similar zone east of 1063 the Philippine trench (Figure 17a) support down-dip extension (slab-pull) associated with outer-1064 rise normal faulting (Christensen and Ruff, 1988). Outer-rise faulting can provide insight into the 1065 state of stress on the megathrust. Abundant outer-rise normal faulting combined with few 1066 megathrust events suggests weak coupling on the megathrust, whereas reverse faulting in the outer-rise suggests strong coupling (Christensen and Ruff, 1988). The lack of large megathrust 1067 1068 events and abundant outer-rise normal faulting from Java to Sumba suggests weak coupling on 1069 the eastern Sunda megathrust, supporting prior interpretations (Irsyam et al., 2020). The 1070 Philippine trench in contrast may exhibit strong coupling east of Mindanao due to infrequent 1071 thrust faulting in the outer-rise (Figure 17; Ye et al., 2012). Though rare, outer-rise normal faults 1072 can generate events  $\geq$  M 7.0. The largest normal faulting event ever recorded occurred within the 1073 outer-rise south of Sumba in 1977, producing a massive  $M_w$  8.3 earthquake and an eight-meter 1074 tsunami that devastated Sumba and Sumbawa islands (Lynnes and Lay, 1988; Gusman et al., 1075 2009). The possibilities of damaging singular events in the outer rise, or events preceding or 1076 following large megathrust events further compounds elevated seismic hazards at these 1077 subduction zones.

1078 4.4.3 Shallow Intraslab Seismicity

1079 Shallow intraslab events can occur by the same diverse mechanisms discussed in Section 1080 4.3.7, but are constrained to between ~20-60 km depth and often occur directly below the active 1081 megathrust interface (Seno and Yoshida, 2003; Wiseman et al., 2012). Individual events are 1082 capable of reaching magnitudes  $\geq$  M 7.0, and pose notable seismic hazard. The June 2000 M<sub>w</sub>

1083 7.9 Enganno event for example occurred as an oblique mechanism ~33-50 km depth beneath the

1084 southern Sumatran forearc and caused significant damage and fatalities to local communities

1085 (Figure 13b; Table 3; Abercrombie et al., 2003; Wiseman et al., 2012; U.S. Geological Survey,

1086 2020). Events can also compound seismic hazards already inherent with active megathrusting,

1087 capable of both inducing and being induced by megathrust ruptures (Wiseman et al., 2012).

## 1088 **5** Conclusions

1089 This synthesis of the seismicity of Indonesia permits the following observations and 1090 conclusions.

1091 (1) The Indonesian region has a very high level of seismic activity, exhibiting an average 1092 of about  $320 \ge M$  5.0 earthquakes each year with events  $\ge M$  7.0 not uncommon, including the 1093 occurrence of four great ( $\geq$  M 8.0) earthquakes since January 2000. The sources of seismicity are 1094 diverse, and include active megathrusts, crustal faults, and normal and reverse intraslab 1095 mechanisms. The majority of seismicity (77%) is shallow ( $\leq$  70 km) and concentrated at or near 1096 plate boundaries and major faults. Abundant intermediate and deep seismicity ( $\geq$  70 km depth) 1097 accounts for  $\sim 23\%$  of all seismicity and traces the Wadati-Benioff zones of subducting slabs with 1098 orientations generally consistent with recent tomography models and Indonesian tectonics.

1099 (2) Intermediate and deep seismicity distributions and mechanisms vary greatly by 1100 location and depth. Regionally, seismicity rates decrease exponentially with depth and increase 1101 within the MTZ, consistent with global seismicity-depth distributions. Viscous resistance from 1102 phase changes at the 410, 520, and 660 km phase boundaries likely contributes to elevated 1103 seismicity rates within the MTZ, with the highest rates in the Celebes and Banda Sea regions of eastern Indonesia. The frequency of deep events decreases westward across Java toward 1104 1105 Sumatra, likely due to increasing oblique convergence, decreasing lithospheric age, and 1106 increasing mantle temperatures. Similarly, lithospheric age and oblique convergence likely 1107 contribute to poorly developed Wadati-Benioff zones in the Makokwari trough and western New 1108 Guinea trench. Seismic gaps in Wadati-Benioff zones are common, with some inferred to be 1109 related to slab tearing (e.g. east Java and Timor), while others are not clearly understood (e.g. Sangihe and Philippine Sea slabs). Intraslab source mechanisms are diverse, with normal faulting 1110 1111 commonly observed for deep events, and oblique-reverse mechanisms common at intermediate

1112 depths. Individual events are capable of reaching magnitudes  $\ge$  M 7.0 and can pose notable 1113 seismic hazards such as the 2009 M<sub>w</sub> 7.6 Padang earthquake.

1114(3) Shallow seismicity is diverse but is consistent with recent tectonic models for the 1115 location of crustal faults. The abundance and high magnitudes of shallow earthquakes also 1116 highlights the locations and sources of significant seismic hazards throughout the region. Shallow events pose greater seismic hazard due to hypocenters residing closer to the surface than 1117 1118 intermediate and deep events. Megathrusting is a primary source of shallow seismicity, and a 1119 prevalent source of seismic and tsunami hazard. Crustal faulting occurs primarily on major 1120 thrust, strike-slip, and normal fault zones, with individual events more than capable of reaching  $\geq$ 1121 M 7.0 and even great magnitudes. Regions with elevated seismic activity such as Sumatra, 1122 Sulawesi, the Banda Sea, and the Molucca Sea Collision Zone are clearly prone to prevalent 1123 seismic hazards, which beyond ground shaking may include liquefaction, landslides, and 1124 tsunamis. However, it is important to note that even less obvious regions with relatively subdued 1125 seismic activity, such as Java or the western New Guinea trench still pose notable seismic 1126 hazards (e.g. M<sub>w</sub> 7.7 Java 2006). Therefore, nearly all regions of Indonesia should be quantitatively assessed for the seismic and tsunami hazard. 1127

#### 1128 5.1 Further Study

While this study provides a comprehensive overview of the current knowledge of Indonesian seismotectonics, there are several regions where further study is needed to provide a more complete analysis. For example, in West Papua, the nature of subduction at the Manokwari trough and western New Guinea trench is not well documented and would benefit from further study. In addition, the origin of seismic gaps, such as the gap in the Sangihe slab beneath Mindanao or the aseismicity of the Philippine Sea slab require further study. Addressing these regions would improve the assessment of Indonesian seismotectonics.

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- 1143 project, available at https://www.usgs.gov/natural-hazards/earthquake-hazards/earthquakes, and
- https://www.globalcmt.org/ respectively. Data used to compare USGS locations for location 1144
- 1145 uncertainty are from the International Seismological Center (ISC) Bulletin, available at
- http://www.isc.ac.uk/iscbulletin/search/catalogue/. The MORVEL plate velocity online 1146
- 1147 calculator (DeMets et al., 2010) is available at
- http://geoscience.wisc.edu/~chuck/MORVEL/citation.html. Grid files for plotting seafloor ages 1148
- 1149 created by Seton et al. (2020) are available at https://www.earthbyte.org/category/resources/data-
- models/seafloor-age/. USGS Slab 2.0 (Hayes, 2018) is available at https://www.sciencebase.gov/ 1150
- 1151 catalog/item/5aa1b00ee4b0b1c392e86467. The latest versions of Generic Mapping Tools
- 1152 software (Wessel et al., 2019) and associated remote datasets are available for download at
- 1153 https://www.generic-mapping-tools.org/download/.

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