Stable isotopes in precipitation and meteoric water: Sourcing and tracing the North American monsoon in Arizona, New Mexico, and Utah

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Abstract

The North American monsoon (NAM) is an important source of precipitation across the southwestern United States (US). The approximate northern boundary of this feature crosses the Navajo Nation, in the Four Corners region, where NAM rains have long been important to the livelihoods of Native Americans. Relatively little is known about the characteristics and hydrological significance of the NAM in this region. Here we report a new 4-year record of stable H and O isotope ratios in monsoon-season rainfall and water resources across the Navajo Nation. Monthly precipitation samples collected at 39 sites document a characteristic pattern of ²H- and ¹⁸O-enrichment associated with monsoonal precipitation. These changes are weakly correlated with local precipitation intensity, however, and the correlation that does exist is dominated by sub-cloud evaporation effects. In contrast to precipitation amount, monsoon-season isotopic values exhibited limited spatial variability across the region, and after correction for sub-cloud evaporation Navajo Nation values were similar to those from a site in southern Arizona. Airmass back-trajectory analysis suggests that the uniformly high NAM isotope values across the region may reflect 1) a region-wide shift from mid-latitude to low-latitude moisture sources at the onset of the peak monsoon, and 2) substantial land-surface recycling of NAM moisture in upwind regions. Comparison of precipitation isotope data with surface and groundwater values implies that, despite its hydroclimatic significance, monsoon rainfall contributes little to rand subsurface water resources. This highlights the monsoon's importance for warm-season land-surface ecology and hydrology critical to residents of the Four Corners region.

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1 2 3	Stable isotopes in precipitation and meteoric water: Sourcing and tracing the North American monsoon in Arizona, New Mexico, and Utah								
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12	Key Points:								
13 14	1. A prominent seasonal maximum in Four Corners-region precipitation isotope ratios occurs during the peak of the North American Monsoon								
15 16	2. Peak monsoon precipitation isotope ratios are similar across broad geographic regions, reflecting intensive land-surface recycling								
17 18 19	3. Monsoon water contributes minimally to runoff and groundwater recharge, its primary fate is evapotranspiration								

20 Abstract

21 The North American monsoon (NAM) is an important source of precipitation across the southwestern 22 United States (US). The approximate northern boundary of this feature crosses the Navajo Nation, in the 23 Four Corners region, where NAM rains have long been important to the livelihoods of Native Americans. 24 Relatively little is known about the characteristics and hydrological significance of the NAM in this 25 region. Here we report a new 4-year record of stable H and O isotope ratios in monsoon-season rainfall 26 and water resources across the Navajo Nation. Monthly precipitation samples collected at 39 sites document a characteristic pattern of ²H- and ¹⁸O-enrichment associated with monsoonal precipitation. 27 28 These changes are weakly correlated with local precipitation intensity, however, and the correlation that 29 does exist is dominated by sub-cloud evaporation effects. In contrast to precipitation amount, monsoon-30 season isotopic values exhibited limited spatial variability across the region, and after correction for sub-31 cloud evaporation Navajo Nation values were similar to those from a site in southern Arizona. Airmass 32 back-trajectory analysis suggests that the uniformly high NAM isotope values across the region may 33 reflect 1) a region-wide shift from mid-latitude to low-latitude moisture sources at the onset of the peak 34 monsoon, and 2) substantial land-surface recycling of NAM moisture in upwind regions. Comparison of 35 precipitation isotope data with surface and groundwater values implies that, despite its hydroclimatic 36 significance, monsoon rainfall contributes little to rand subsurface water resources. This highlights the 37 monsoon's importance for warm-season land-surface ecology and hydrology critical to residents of the 38 Four Corners region.

39

40 Keywords

41 North American Monsoon, stable isotopes, Navajo Nation, water resources, climate change

42 1 Introduction

43 Past variability in precipitation across the arid southwestern United States has been documented 44 over a range of time scales (Carleton et al., 1990; Gutzler, 2000; Ciancarelli et al., 2014; Carrillo 45 et al., 2016), and both wet and dry extremes have been associated with environmental and societal impacts (Adams & Comrie, 1997). Seasonal precipitation within this region is bimodal, 46 47 with a pronounced summer peak associated with winter synoptic systems originating from the 48 North Pacific and a summer maximum reflecting the North American Monsoon (Carleton et al., 49 1990; Schmitz & Mullen, 1996; Adams & Comrie, 1997; Hu & Dominguez, 2015; Szejner et al., 50 2016; Tulley-Cordova et al., 2018). Although engineered water management systems across much of this region are designed to capture and store out-of-season water, and to ameliorate risks 51 52 of extreme monsoon events, vast areas of rural land remain dependent on natural water resources 53 and exposed to risk associated with their variability. Moreover, historic data and models suggest 54 that increases in temperature-driven evaporation and changes in precipitation amounts and 55 patterns have and will continue to reduce water availability in this region (Seager et al., 2007; 56 Redsteer et al., 2010), and together with the potential for severe drought events (Cook et al., 57 2004) threaten sustainability of existing water infrastructure (Overpeck & Udall, 2010; Milly & 58 Dunne, 2020).

59

The Diné Bikeyah, also known as the Navajo Nation ("NN"), spanning the "Four Corners"
region of the current states of Utah, Colorado, New Mexico and Arizona, is the largest landbased Native American tribe in the United States, with an area over 70,000 square kilometers
(Novak, 2007; Nania et al., 2014; Guiterman, 2015). Like many Indigenous peoples, the Navajo
are particularly vulnerable to the impacts of climate change due to the arid environmental

65 conditions across their homelands and their traditional ways of life (Redsteer et al., 2013; 66 Wildcat, 2013; Nania et al., 2014; Bennett et al., 2017). The NN sits nearly the northern 67 boundary of the modern NAM region. Annual average precipitation ranges from 67 mm in low-68 lying, northern areas to 738 mm in some high elevation areas (Tulley-Cordova et al., 2018). All 69 areas of the NN experience a pronounced peak in precipitation during the summer associated 70 with the NAM. This peak represents $\sim 60\%$, on average, of annual precipitation in low-elevation 71 areas and $\sim 40\%$ in in the mountains, but with substantial inter-annual variability (~ 20 to 80%) at 72 all elevations (Tulley-Cordova et al., 2018). In most years, summer monsoon rains in the Four 73 Corners region begin in July and extent through September, with peak rainfall occurring in July 74 in the far eastern part of the region and August elsewhere.

75

76 Previous research has investigated the dynamics and history of the NAM across the broader 77 southwestern USA. Modeling studies have highlighted two branches of the NAM, stemming 78 from Gulf of California (GoC) and Gulf of Mexico (GoM), and associated their contributions to 79 NAM rainfall with the depth and position of the low pressure system over the southwest 80 (Carleton et al., 1990; Schmitz & Mullen, 1996; Adams & Comrie, 1997). Recycling of water 81 from the land surface (through evaporation and transpiration) is thought to be a major source of 82 moisture to monsoon precipitation across parts of the NAM region (Bosilovich, 2003; Hu & 83 Dominguez, 2015). Hu & Dominguez (2015) also demonstrated a distinction between the 84 isotopic composition of monsoon moisture derived from GoM (higher values) and Pacific/GoC 85 sources (lower), suggesting that isotopes may be useful in reconstructing moisture transport 86 patterns across the NAM region. Although their analysis did not clearly distinguish isotope values characteristic of recycled moisture, these authors present evidence that recycled moisture, 87

which is accompanied by limited isotopic change (Ingraham & Taylor, 1991; Chamberlain et al.,
2014), may preserve isotopic differences associated with different marine sources. Isotopic
contrasts between NAM and winter precipitation in this region may also provide a basis for
quantifying seasonal sources of water used by plants (Williams & Ehleringer, 2000) and, through
isotopic measurements of proxies such as plant-derived biomarkers, reconstructing past variation
in monsoon strength (Bhattacharya et al., 2018).

94

95 Despite our growing understanding of the NAM and its variability, projecting and planning for 96 impacts of future NAM change requires additional work that further characterizes this feature of 97 the hydroclimate and its impacts at local to regional scales relevant to management and policy. In 98 this study we report and interpret a new 4-year monitoring record of precipitation and water 99 resource H and O isotope values at sites across the NN. We characterize spatial and temporal 100 isotopic patterns associated with NAM precipitation, evaluate the climatic processes that drive these patterns, and assess the contribution of NAM rainfall to water resources in this region. In 101 102 addition to their relevance for understanding the modern NAM and its hydrological significance, 103 these results should help inform future reconstructions of past NAM change based on isotopic proxy data. 104

105 2 Methods

106 2.1 Precipitation Monitoring

The precipitation gauge on the NN was installed in 1952. Since that time, the Navajo Nation
Water Management Branch's Water Monitoring and Inventory Group (NNWMB) has managed
over 190 precipitation gauges, as well as multiple snow survey and stream gaging sites, within
their hydrometeorological network (Garfin et al., 2007; Aggett et al., 2011; Hart & Fisk, 2014;

111 Tsinnajinnie et al., 2018; Tulley–Cordova et al., 2018). The precipitation data from the

112 NNWMB network documents regional hydroclimate across this large, remote region at a spatial

113 extent and coverage not available from other methods (e.g., satellite and radar; Crimmins et al.,

114 2013). Given the limited telecommunication and electrical infrastructure of the Four Corners

115 region, data from most stations reflect total accumulations within rain cans, recorded manually at

116 monthly intervals (Aggett et al., 2011; Tulley–Cordova et al., 2018).

117

118 2.2 Precipitation Sampling

119 We selected 39 sites from the NNWMB's hydrometeorological network for monthly

120 precipitation collection and isotopic analysis (Figure 1). Samples were collected from May to

121 October, 2014 to 2017. At two of the 39 sites (Fort Defiance, AZ and Rock Springs, NM),

additional event-scale sampling was performed from May to October, 2015 to 2017. For the

123 Rock Springs site, event-based sampling was extended through the winter months during 2016

and 2017 to produce a full-year record. A total of 1,084 precipitation samples were collected, and

125 data were grouped for analysis into samples representing pre-monsoon (April – June), monsoon

126 (July – September), and post-monsoon (including winter; October – March) seasons.

127

Precipitation was collected in custom-built devices consisting of a 10.2 cm diameter funnel connected to a 1 L, narrow mouth, Nalgene HDPE bottle using vinyl tubing and brass barb fittings. Silicone adhesive was used to secure and seal parts, and the collection tubing was extended to the bottom of the collection bottle to ensure that the bottom end was submerged after a small volume of precipitation had been collected. A small (2 mm) vent hole was drilled in the cap of the sample bottle to allow air from the bottle to escape as water entered. The collector was

placed in a 66.0 cm long holder made from polyvinyl chloride (PVC) pipe, the bottom of which
was buried and anchored in the ground. Following the 2014 collection season, several mL of
mineral oil was added to each collector to prevent evaporation (2014 collections did not use oil,
see discussion in the Results section).

138

Samples were collected at monthly intervals (monthly samples) or as soon as possible after precipitation events (event samples) and were weighed to determine the total precipitation amount (subtracting the combined weight of the bottle and mineral oil). We obtained an 8 mL aliquot of water (if available) from the bottle using a syringe, which was transferred to a glass rubber-seal sample bottle for storage. Samples from oil-containing collectors were filtered through a 0.45 um nylon syringe filter prior to storage. After sample collection, the collector bottle was replaced with a cleaned, dry bottle.

146

147 2.3 Water Resource Collection

148 We sampled a range of groundwater, lakes, and streams across the study area for stable isotopic 149 analysis. All samples were collected in 4 mL glass vials with rubber lined closures, immediately capped, and the closure secured with Parafilm[®]. Groundwater samples (672) were gathered from 150 151 185 groundwater wells (Figure 1) in collaboration with Navajo Tribal Utility Authority (NTUA) 152 drinking water compliance sampling conducted between March and September each year from 2014 to 2017. Lake samples were obtained from natural lakes and reservoirs by dip-sampling 153 from the lake shore. Springs were sampled as close as possible to the point of discharge, either 154 directly from a seep or from spring pools. Dip samples of ephemeral and perennial streams were 155 collected from actively flowing parts of the stream. Each of these types of surface-collected 156

samples were collected intermittently during the calendar year from 2014 to 2017. Overall, 58

158 lake, 116 stream, and 46 spring samples were obtained at 7, 25, and 45 sites, respectively (Figure

159 1).

160

161 2.4 Isotope Analysis

162 Samples were stored in a refrigerator at 4°C prior to analysis. Stable H and O isotope ratios were

analyzed at the University of Utah Stable Isotope Ratios for Environmental Research (SIRFER)

164 facility using a Cavity Ring-Down Spectroscopy instrument (Picarro L2130-i). All samples were

165 calibrated to the Vienna Standard Mean Ocean Water (VSMOW) Standard Light Antarctic

166 Precipitation (SLAP) reference scale based on data from co-analyzed reference waters (PZ:

167 16.9‰, 1.65‰; PT: -45.6‰, -7.23‰; UT: -123.1‰, -16.52‰; for δ^2 H and δ^{18} O, respectively).

168 Sample values are reported in δ notation, where $\delta = R_{\text{sample}}/R_{\text{standard}} - 1$ and $R = {^2H}/{^1H}$ or ${^{18}O}/{^{16}O}$.

169 Sample values represent an average of four replicate injections, with corrections applied for

through-run drift and memory effects (Good et al., 2014).

171

172 To complement the NN data, we use published monthly-average precipitation isotope data

173 collected in Tucson, Arizona (Eastoe & Dettman, 2016), which were accessed from the

174 University of Arizona Environmental Isotope Laboratory Data Repository

175 (<u>https://www.geo.arizona.edu/node/154</u>, accessed 12/18/2020). Values for individual months

176 were averaged (without weighting) to obtain long-term average monthly values characterizing

177 the annual cycle at Tucson.

178

179 2.5 Data Analysis

180	We use an updated version of the iSW_E (isotopic source water estimation with evaporation	on)
181	model of Bowen et al. (2018) to assess the effect of post-condensation evaporation on	
182	precipitation samples and infer contributions of NAM and winter-season precipitation to	surface
183	and subsurface water resources. Briefly, the model predicts the measured isotopic compo	osition
184	(δ_{obs}) of a water sample from the values of an un-evaporated source water (δ_s) as:	
185		
186	$\delta^{18}O_{obs} = \delta^{18}O_s + E$, and	(1)
187		
188	$\delta^2 H_{obs} = \delta^2 H_s + E \times m,$	(2)

189

190 where E is an evaporation index (in units of δ^{18} O ‰) and m is the slope of an evaporation line. Prior estimates are provided for each term on the right side of the equations, and the model is 191 inverted using Bayes Rule to obtain a posterior distribution for all model parameters conditioned 192 193 on the observed sample values. A uniform distribution bounded on 0 and 15% is used to 194 evaluate a wider range of possible evaporation effect sizes, and a normal prior centered on 5 for 195 all NN data and 4.8 for Tucson rainfall ($1\sigma = 0.3$) is used for the evaporation line slope based on 196 the regional surface-water evaporation line values estimated by Bowen et al. (2018). The value 197 of *m* is somewhat uncertain, and we tested the impact of using slightly different slope estimates (e.g., 5.5 ± 0.3); this produced only minor differences from the results presented here (not 198 199 shown).

200

201 Prior estimates of the source values are specified in one of two ways. For analysis of pre-

202 evaporation compositions of precipitation samples, the prior distribution is constrained by the 203 statistics of the global meteoric water line (GMWL), representing the global mean covariant relationship between precipitation δ^2 H and δ^{18} O values (Bowen et al., 2018). Although local 204 deviations from this relationship can be expected due to vapor source characteristics (Putman et 205 206 al., 2019), we prefer to characterize precipitation source values using the GMWL rather than a 207 local meteoric water line estimated from ground-based precipitation sampling because of the potentially strong influence of sub-cloud re-evaporation of hydrometeors on the latter (see 208 209 Results and Discussion). For analysis of surface and groundwater resources, source values are 210 modeled as a linear mixture of two seasonal endmembers, each of which is represented by a bivariate normal distribution. The summer NAM endmember was approximated to reflect the 211 variability among average NAM-season (July-September) precipitation isotope values at 212 213 different monitoring sites (based on sampling from the observed averages with replacement); the distribution values for this endmember are -42, -5.7, 8.6, 1.8, and 14.5 (δ^2 H, δ^{18} O, δ^2 H 1 σ , δ^{18} O 214 215 1σ , and covariance, respectively). Since only a small number of winter precipitation data were collected, the endmember distribution was approximated by sampling three events from the 216 217 individual data (November - March) with replacement and averaging; 10,000 such samples were drawn to characterize a distribution reflecting integration of event values but retaining a 218 conservative (high) level of variability (parameter values: -82.9, -11.9, 14.2, 1.7, and 24.5). The 219 220 prior estimate on the mixing model source values, then, was parameterized in terms of these endmember distributions and a NAM-source mixing fraction (f_{NAM} ; winter faction = 1 - f_{NAM}) 221 given by a Dirchlet distribution (shape parameters = [1,1]). We recognize that the isotopic 222 endmembers are imperfectly characterized, particularly for winter precipitation, which adds 223 potential uncertainty to the analysis. Subsequent work could address such factors as differences 224 225 in the elevation and age of source-water recharge that might affect mixture estimates for some of these sample types; these factors are discussed where potentially relevant, below.

227

All data analysis was conducted in R version 4.0.2 (R Core Team, 2020). The functions used 228 229 (*mwlSource* and *mixSource*) are available in version 0.2.1 of the *isoWater* package (Bowen, 230 2021), and conduct Markov Chain Monte Carlo sampling of the posterior distributions using riags (Plummer, 2019) and R2jags (Su & Yajima, 2020). For all analyses, at least 200,000 231 232 samples were generated from each of three chains, with thinning to retain 2,500 samples per chain. Convergence was monitored using trace plots and the Gelman and Rubin convergence 233 factor R-hat (Gelman & Rubin, 1992). We found that a burn-in period equal to 10% of the total 234 chain length was adequate to remove any model initialization effects, and all analyses show 235 236 moderate to strong convergence (R-hat < 1.03). Although the effective posterior sample size for 237 analyses of some individual water samples was relatively small (~100), all interpretations drawn 238 here are based on posterior samples from many (dozens if not hundreds) of water samples. 239

240 2.6 Back Trajectory Analysis

One documented control on precipitation stable isotope ratios is variation in vapor source region 241 (Ichiyanagi & Yamanaka, 2005; Treble et al., 2005; Strong et al., 2007; Sodemann et al., 2008; 242 243 Good et al., 2014; Putman, Feng, Sonder, et al., 2017). We evaluated the vapor source regions 244 contributing to each pre-monsoon and monsoon season precipitation event sampled at Fort Defiance and Rock Springs in 2015-2017 using Lagrangian back trajectory analysis. Our 245 analysis followed the procedure presented in Putman et al. (2017). In short, this method uses 246 reanalysis data (https://www.ncdc.noaa.gov/data-access/model-data/model-datasets/global-data-247 248 assimilation-system-gdas) to estimate likely elevations of condensation, based on humidity,

249 temperature and vertical velocity, and initiates air parcels at these heights. Because the exact 250 time of precipitation for each event was unknown, a series of initiation times was evaluated to represent the most likely periods of the day for convective events typical of spring/summer NN 251 252 rains. Parcels were first initialized at 12 Mountain Standard Time (MST), and if these failed to 253 produce condensation a new set were initialized at 15 MST, followed by 18 MST, and 9 MST. For each analysis in which condensation occurred, 1000 back trajectories were initialized and 254 255 tracked for 10 days (240 hours) back in time. Vapor sources were identified as locations where 256 air parcels first intersected the boundary layer (as calculated from the reanalysis data). 257 258 Back trajectory analysis was also performed for July and August 2016 at two sites, Forest Lake, 259 AZ and Smith Lake, NM, which are representative of the western and eastern seasonal 260 precipitation regimes of the NN, respectively. No records of the timing of precipitation events 261 were available for these sites, and back trajectories were initialized at both sites every day of July and August at 12, 3, and 6 MST. For each day and time, 500 air parcels were tracked 10 days 262

263 (240 hours) back in time.

264

265 3 Results

266 3.1 Data Quality and Comparability

Summer conditions across the NN are severe, with temperatures often exceeding 35°C and limited precipitation accumulation. Although our precipitation collectors were designed to minimize potential for sample loss to evaporation, which directly affects isotope ratios, we conducted two activities to evaluate our success in this respect. From May to October, 2016, we deployed two identical precipitation collectors, one with and the other without mineral oil, at

272 each of five monitoring sites distributed across the NN (Beaver Springs, AZ, Bluff, UT, Leupp, AZ, Nageezi, NM, and Rock Springs, NM). Aggregating the data by site or by month, there was 273 no significant difference between the δ^{18} O values measured for the two methods (Table S1; 274 275 paired T-test, all p > 0.08). What differences in the mean isotope ratios did exist were 276 inconsistent, with the oil-free collectors giving slightly higher values, as would be expected to result from evaporative loss of sample water, in most, but not all cases. Likewise, there was no 277 278 significant difference between the precipitation amounts measured for the two methods with the exception of the average across sites for May (p = 0.04), when the no-oil collectors recorded 279 280 $\sim 20\%$ more precipitation than the collectors containing oil. This suggests that the addition or omission of oil, which has commonly been used to limit evaporation from rainfall collectors 281 282 (Michelsen et al., 2018), had limited effect on our sample values.

283

284 As a second test, we compared data from event-based sampling, in which rainwater was 285 collected as soon as possible (usually within 24 hours) after a precipitation event, with monthly-286 integrated samples at the two sites where both methods were used in parallel. After filtering for 287 gaps in sampling and missing data, we identified 15 site-months between 2014 and 2017 for 288 which a direct comparison could be made. We calculated precipitation amount-weighted average 289 δ^{2} H and δ^{18} O values from the event-based samples. In cases where one or two event samples 290 were missing sample amount information, we substituted the mean amount from other samples from the same site and month and flagged the resulting value of lower quality (4 site-months). 291 Paired t-tests on the δ^{18} O data, conducted using all records or subsets that removed a single 292 outlier value (July, 2014 at Fort Defiance), both 2014 (no-oil) measurements, or all low-quality 293 294 data, showed no significant difference between event-based and monthly values (all p > 0.08).

295 Across all data, the monthly-integrated values were 1% higher, on average, than the event-based 296 values, but this was driven primarily by the single outlier value. The two 2014 samples had the largest differences between monthly- and event-based values (11.0 and 2.5‰), and removing 297 298 these from the sample-set the monthly-integrated sample values were 0.12‰ higher, on average, 299 than the event-based values. Thus, the results of this test suggest that the long period (1-month) of integration used for the majority of our sampling resulted only minor post-precipitation 300 301 isotope effects (if any), and that most of our measured values should be representative of unmodified precipitation to within a few tenths of a per mil. Although the sample numbers were 302 small, this test, in contrast to the paired collector test describe above, suggests that the oil-free 303 collectors used in 2014 may have allowed enough evaporative sample loss to substantially 304 305 modify the isotopic values. Based on both lines of evidence, we retain the 2014 values in our 306 analysis, but interpret them with caution in cases where post-collection evaporative effects might 307 influence our interpretations.

308

309 3.2 Precipitation Isotope Data

Precipitation samples exhibit a wide range of values among sites and sampling periods (Fig. 2A-310 C). The mean values for monsoon season samples (-41.6 and -5.7‰ for δ^2 H and δ^{18} O, 311 312 respectively) are highest, followed by those of pre-monsoon (-61.9 and -8.0%) and post-313 monsoon (-66.7 and -9.5%), respectively. Within each season, values are widely variable. Premonsoon precipitation values are most widely dispersed ($1\sigma = 35.5$ and 5.9%), whereas 314 monsoon season (21.6 and 3.6‰) and post-monsoon (21.7 and 3.2‰) variabilities are similar 315 and lower. For each season, a large proportion of samples have values that plot near the global 316 meteoric water line (GMWL: $\delta^2 H = 8 \times \delta^{18} O + 10$), which is characteristic of most precipitation 317

318 that has not experienced partial evaporation following condensation (Craig, 1961; Dansgaard, 319 1964). A substantial fraction of samples, however, particularly for the pre-monsoon and 320 monsoon periods, plot well below the GMWL, as expected for samples that have experienced 321 evaporation. Such samples are present in the data for all years, but are more common in some 322 vears and seasons (e.g., 2014 monsoon and post-monsoon, 2016 pre-monsoon, 2017 for all periods) than in others. As discussed in the previous section, we think it is unlikely that most 323 324 samples experienced substantial evaporation after collection. In hot and dry conditions, such as 325 those that characterize the NN throughout the spring-fall period, sub-cloud evaporation from falling raindrops is a common phenomenon, and is reflected in the isotopic composition of 326 ground-collected rainfall (Gat, 1996; Kong et al., 2013; Salamalikis et al., 2016). 327 328 329 We used the iSW_E framework to estimate the pre-evaporation composition of each sample (Fig. 330 3A-C). The mean values for evaporation-corrected rainfall samples are substantially lower than the uncorrected values for all seasons (monsoon: -54.9 and -8.4%; post-monsoon: -76.6 and -331 332 11.5%; pre-monsoon: -78.1 and -11.3%), and following correction the mean pre- and post-

monsoon values are similar but remain distinct from the monsoon distribution. The convergence of the pre- and post-monsoon values reflects the greater degree of evaporation characteristic of the pre-monsoon samples, as shown by the iSW_E evaporation index (mean = 3.3, 2.7, and 2.0%for pre-monsoon, monsoon, and post-monsoon samples, respectively).

337

Navajo Nation hydroclimate varied substantially across the four study years, with 2015 being
atypically wet throughout all seasons and 2014 featuring an atypically dry pre-monsoon period
(Fig. 4). Despite this, the average monsoon-season precipitation isotope ratios were similar for

341 all years. Individual year average pre- and post-monsoon values, both measured and evaporation-342 corrected, were much more variable than the monsoon-season values. Monsoon-season values 343 were higher than pre- or post-monsoon for all years except 2016, when the pre-monsoon value 344 was slightly higher than the monsoon-season average. Following evaporation correction, however, the 2016 pre-monsoon value was $\sim 2\%$ lower than the monsoon value, suggesting that 345 the inversion of the pattern during that year reflected high rates of sub-cloud evaporation for the 346 347 pre-monsoon samples. Relationships between individual-year seasonal-average precipitation 348 amounts and isotope values were relatively weak. Prior to evaporation correction, monsoon 349 period values were weakly, negatively correlated with precipitation amounts, with the lowest average value occurring during 2015. The post-monsoon values were weakly positively 350 351 correlated with seasonal precipitation amounts (with or without evaporation-correction). 352

353 Across all years and samples, precipitation isotope ratios were weakly but significantly 354 correlated with precipitation amount (as measured at the isotope sample collector) only for the 355 pre-monsoon period and for all periods combined (Table 1). In both cases, H and O isotope 356 values decreased with increasing precipitation amount, analogous to the "amount effect" that has long been observed at many tropical and subtropical monitoring sites (Dansgaard, 1964; 357 358 Rozanski et al., 1993). For all sampling periods, however, the iSW_E evaporation index was 359 significantly correlated with precipitation amount, indicating that the degree of postcondensation evaporative water loss increases during drier sampling periods (Table 1). The 360 361 magnitude of this effect fully accounts for the observed isotope vs amount correlation for the combined (all periods) dataset, and explains approximately half of the observed correlation for 362 363 the pre-monsoon data.

365 Limited spatial structure was observed in the stable isotope data, regardless of season. Monthly average values (across all 4 study years) for stations within each of the five seasonal 366 367 hydroclimate cluster groups defined by Tulley-Cordova et al. (2018) exhibited similar patterns 368 across the April-October period, and both patterns and values were very consistent across regions 369 following evaporation correction (Fig. 5). Substantial differences that were observed between 370 some regions for some months (e.g., April, June, and July) were largely attributable to 371 differences in post-condensation evaporative effects, as reflected by the iSW_E evaporation index (Fig. 5C). The data, in particular the evaporation-corrected values, did show some subtle patterns 372 that may reflect hydroclimatic influences. For example, the highest evaporation-corrected 373 374 precipitation isotope ratios were observed in August for all site groups except the 'East' group, where values peaked in July and dropped by 1.8% in August. This difference corresponds with a 375 376 difference in the timing of peak monsoon precipitation among regions, with precipitation peaking in August at sites in all groups except the East, and in July at the eastern sites (Tulley-377 378 Cordova et al., 2018).

379

Precipitation isotope ratios were weakly but significantly correlated with site elevation for all periods except the pre-monsoon (Table 2). The same was true of the iSW_E evaporation index. The strongest correlations were observed for the post-monsoon period, wherein site elevation explained up to 14% of the precipitation isotope variance (for δ^{18} O). The slope of the isotopeelevation relationship for this period (-4.1‰/km for δ^{18} O) was relatively high compared with other regions, globally (Poage & Chamberlain, 2001). A substantial fraction of the effect, however, can be attributed to post-condensation evaporation, which decreases with increasing 387 site elevation by 2.1‰/km.

388

389 3.3 Back trajectory analysis

390 Of the 68 events sampled at Rock Springs and 60 events sampled at Fort Defiance between May

and October, 2015-2017, back trajectories were successfully initiated for 60 and 54 events,

respectively (Fig. 6). Premonsoon and monsoon vapor source regions differed. Premonsoon

393 vapor sources tended to indicate land or Pacific origin vapor, typically traveling from the west

into the region, whereas monsoon season vapor tended to indicate dominantly land-sourced

vapor. Notably, monsoon season vapor included a large footprint over Mexico, as well as a

396 smaller GoM source contribution. In a few instances, back trajectories indicate precipitation from

397 large synoptic storm systems, carrying distal Pacific vapor into the region; such events are

398 somewhat more common for the pre-monsoon than the monsoon period.

399

July and August trajectories at the Forest and Smith Lake sites suggest similar patterns of
moisture origin, including major contributions from land surface recycling and additional input
from the Pacific/GoC and GoM (Fig. 7). At the eastern site moisture sourced by strong southerly
flow is somewhat reduced in August relative to July, whereas both southwesterly and (to a lesser
degree) southeasterly flows continue to be the dominant source in August at Forest Lake
(western NN).

406

407 3.4 Water resources

Isotope ratios of ground waters cluster near or just below the global meteoric water line and nearthe lower end of the range of precipitation sample values (Fig. 2D). Water resources sampled at

410 the surface, including streams, springs, lake and reservoir samples, are somewhat more variable 411 in their isotopic compositions, and include many samples that plot well below the GMWL (with relatively low d-excess values). This is particularly true for lake samples, most of which have 412 low d-excess values and cluster along a line in δ^2 H- δ^{18} O space that has a slope of ~5.5, similar to 413 414 but slightly higher than that estimated for open-water evaporation in this region (Bowen et al., 415 2018). A small number of spring samples also have low d-excess values, possibly reflecting 416 some amount of evaporative loss between the time of surface discharge and sample collection. 417 The evaporation-corrected source water values for all sample types cluster tightly, and are 418 relatively ²H- and ¹⁸O-depeleted relative to the distributions for precipitation samples (Fig. 3D). 419 420 The iSW_E mixing model analysis suggests that the contribution of NAM precipitation to surface 421 and groundwater resources is small (Fig. 8), consistent with the observation that most samples have relatively low isotopic values. The median contribution of NAM rain is estimated at 8%, 422 423 9%, 10%, and 16% for groundwater, springs, streams, and lakes, respectively. The somewhat 424 higher estimated contribution of NAM precipitation to lake water may, in part, reflect the greater 425 extent of evaporation for these samples (in that the larger evaporation correction is associated with greater uncertainty in source-water mixing estimates). This result, however, is relatively 426 427 insensitive to the evaporation model assumptions used in our analysis (e.g., the median value differs by only 2% if a hypothesized evaporation line slope of 5.5 is adopted). The iSW_E 428 evaporation index distributions suggest that evaporative effects on lake-water δ^{18} O values are 429 \sim 4x greater than those affecting any of the other water types (Fig. 8B). 430

432 4 Discussion

433 4.1 NAM precipitation isotopes

The new and extensive monitoring data collected here document the isotopic characteristics of 434 435 NN NAM precipitation over space and time. Across the spring to fall season, these data show 436 substantial, systematic temporal variability in precipitation isotopic compositions, but only 437 weakly express correlative relationships with variables such as elevation and precipitation 438 amount commonly observed at other sites. The relatively weak relationship with precipitation 439 amount is perhaps unsurprising, as the study area lies outside of the low-latitude zone throughout 440 which this mode of correlation is strongest (Bowen, 2008). Elevation effects, however, are 441 widely observed in other regional precipitation studies (Poage & Chamberlain, 2001). We suggest that their weak expression here may in part relate to the localized and convective nature 442 443 of NAM and shoulder-season precipitation, which may reduce the isotopic effect of rainout 444 typically associated with orographic lifting of synoptic systems. The suggestion that orographic 445 isotope effects are weaker for convective (vs. synoptic) systems is supported by the observation 446 that isotope-elevation correlations in the NN data are strongest for the post-monsoon and full-447 year datasets, which encompass periods during which synoptic scale systems are likely to 448 contribute substantially to precipitation.

449

Where precipitation amount and elevation correlations do exist in the NN data, our analysis
suggests they are often associated with variation in post-condensation evaporation. Sub-cloud
evaporation of falling raindrops is common in a wide range of meteorological settings
characterized by low near-surface humidity and/or rainfall rates (Rindsberger et al., 1990;
Worden et al., 2007; Mix et al., 2019) and its isotopic expression has previously been
documented with the NAM (Quezadas et al., 2021). Our data suggest that the level of

456 evaporation-drive enrichment of heavy isotopes in rainfall may vary systematically across 457 seasons and sites. The strongest evaporation index values estimated here occurred during the dry 458 and hot pre-monsoon season, producing measured rain sample values that approached or 459 exceeded those of the monsoon season despite estimated pre-evaporation condensate values that 460 were 4-8‰ lower than for NAM rains. The full extent of the observed isotope-precipitation 461 amount correlations observed here, and half or more of the elevation effects, can be attributed to 462 differences in evaporation among sites and sample periods (Tables 1 and 2). This highlights the 463 potential for systematic variation in post-condensation effects to produce structured spatial 464 and/or temporal variation in isotope ratios of precipitation arriving at the land surface. 465 466 The most distinctive feature of NN precipitation isotope data from the intensively sampled 467 spring-to-fall period is the pronounced maximum during the peak monsoon months of July and August (Fig. 5). Across the study region, monthly mean δ^{18} O values for this period are typically 468 469 between -3 and -6‰. Outside of the peak monsoon season, values in this range are rare, and are 470 generally associated with a high degree of post-condensation evaporation, implying that the isotope values for atmospheric moisture transported to the NN during the peak of the NAM are 471 substantially higher than those during the immediate pre-and post-monsoon periods (Fig. 5B). 472 473 This pattern differs from that observed for precipitation in Tucson, AZ, some 400 km to the south, where ground-collected precipitation δ^{18} O values, and even more so evaporation-corrected 474 values, remain relatively stable from April or May through October (Fig. 5). Moreover, 475 476 precipitation isotope values for the NN and Tucson converge and are nearly identical during the peak monsoon months, despite the large difference in proximity to marine moisture sources for 477 478 these two areas.

We suggest that the convergence between NN and Tucson precipitation isotope ratios during the 480 peak NAM reflects substantial sub-cloud and land-surface moisture recycling during the 481 482 propagation of NAM moisture to the Four Corners Region. Recycling returns moisture to the 483 atmosphere with similar, or higher, isotope ratios than those of the precipitation source, 484 diminishing or eliminating coast-to-continent gradients otherwise typically associated with the 485 progressive rainout of vapor from air masses (Gat & Matsui, 1991; Ingraham & Taylor, 1991; Winnick et al., 2014). Land-surface recycling has previously been identified as a prominent 486 487 mechanism for the propagation of NAM rains to the continental interior (Dominguez & Kumar, 2008), and will be discussed further below. Sub-cloud evaporation of falling droplets, discussed 488 489 above, is a second mechanism which can reduce isotope effects associated with rainout (Worden et al., 2007), and is clearly implicated within the dataset examined here based on the relatively 490 large evaporation effects estimated from both NN and Tucson precipitation samples (though 491 492 perhaps of reduced significance during the peak NAM months; Fig. 5C). We suggest that as the 493 monsoonal circulation intensifies, the penetration of moisture to the northern reaches of the 494 NAM is accompanied and facilitated by high rates of sub-cloud and land-surface recycling. which also drives a large increase in the δ^{18} O values of NN precipitation and a collapse of the 495 496 isotopic gradient between this region and upwind, source-proximal areas. Further to the north, at Cedar City, UT, isotope ratios of summer rainfall associated with NAM-like circulation (δ^{18} O \approx -497 8.5%) are higher than those associated with other circulation trajectories but somewhat lower 498 than NN NAM season values (Friedman et al., 2002). This area lies outside of the core NAM 499 500 region, and the net rainout from the relatively rare and weak monsoon-associated events arriving 501 at Cedar City is likely greater, with a larger isotopic impact, than at the NN.

503 An additional potential source of variation in NAM-season precipitation isotope ratios is differences or changes in the balance of water arriving from different moisture sources (Hu & 504 505 Dominguez, 2015). The increase in precipitation isotope values characterizing the onset of the 506 monsoon season is associated with a shift from mid-latitude oceanic and continental moisture sources to GoM and Pacific/GoC sources and subtropical continental sources associated with 507 508 circulation off of these oceanic regions (Fig. 6). Onset of high- δ^2 H and δ^{18} O moisture transport 509 from these warm oceanic sources, then, combined with strong recycling from upwind continental regions, likely drives the seasonal isotopic maximum associated with the NAM. Trajectory 510 analysis within the monsoon season suggests that moisture transport from the southeast, 511 512 including GoM sources, declines first (in August) in the eastern NN (Fig. 7), coincident with the 513 earlier weakening of NAM rains in that region than across the rest of the NN (Tulley-Cordova et 514 al., 2018). Weaker August precipitation in the eastern NN appears to be sourced primarily from the southwest/GoC, with secondary additions from the continental interior, and the lower isotope 515 516 ratios characteristic of these sources may explain the early decline in evaporation-corrected isotope values in this region during August, the month when maximum values are reached 517 518 elsewhere across the NN (Fig. 5).

519

Although proxy data recording the isotopic composition of ancient precipitation have been
widely used to reconstruct continental paleoclimate, growing recognition that controls on
variation in precipitation isotope ratios are diverse and variable has required re-thinking
traditional approaches to this work (Schmidt et al., 2007; Liu et al., 2010; Chamberlain et al.,
2014; Putman et al., 2021). Our characterization of NAM and shoulder-season precipitation from

525 the Four Corners suggests that controls on isotope ratios in this region are diverse and likely 526 include changes in moisture source, land surface recycling in upwind regions, and local sub-527 cloud evaporation. Although our monitoring spanned only four years, substantial differences in 528 hydroclimate particularly within the pre- and post-monsoon seasons, occurred within that 529 window of time. In general, the variability in isotope values between monitoring sites in a given year greatly exceeded the variability in mean NN values across years (Fig. 4), suggesting that 530 531 extraction of paleoclimate records from precipitation isotope proxy data in this region may be 532 challenging. A possible exception is the inverse correlation between precipitation amounts and isotope ratios, driven by differences in sub-cloud evaporation, which is strongly expressed in the 533 pre-monsoon season (Fig. 4, Table 1). Although it is unlikely that most proxy records would 534 535 directly reflect isotope ratios of water from this season, the pattern observed here may reflect a 536 more general mechanism by which variation in warm season precipitation intensity is expressed 537 in rainfall isotope ratios and could be reconstructed from proxy data.

538

539 4.2 Fate of NAM water

540 Another common application of precipitation isotope data is in quantifying the contribution of different precipitation sources to plants, animals, or water resources. Here, we investigated the 541 542 contribution of NAM and post-monsoon (winter) season precipitation to a range of water 543 resources that are essential to the people and ecosystems of the NN. We found that, although the NAM contributes approximately 50% of total annual precipitation across most of the study area, 544 545 its contribution to surface and groundwater resources was minimal, constituting only $\sim 10\%$ of total inputs, on average (Fig. 8). This result is consistent with isotope-based estimates from 546 547 springs in the Grand Canyon region of Northern Arizona (Solder & Beisner, 2020), also near the

548 northern margin of the NAM west of our study area. A small number of ephemeral stream

549 samples are exceptions to this pattern, likely reflecting direct runoff of NAM rainfall, and

550 estimated contributions from highly-evaporated lakes and reservoirs are relatively uncertain and

allow for somewhat higher NAM contributions.

552

Despite accounting for multiple sources of uncertainty, the isotope mass balance estimates 553 554 calculated here are admittedly approximate. The limitations of our approach include relatively 555 sparse sampling of cool-season precipitation and the potential that recharge includes a substantial 556 fraction of high-elevation or ancient precipitation that is not adequately represented in the isotopic endmembers used here. Nonetheless, our measured values for a range of water types are 557 558 uniformly much lower than those of NAM precipitation (Fig. 2). Even if some water resource 559 samples were partially derived from another (e.g., high-elevation winter or paleowater) source 560 with substantially lower isotope ratios than the winter source measured here, a substantial contribution from NAM rainfall seems unlikely. Accounting for a 50:50 NAM:winter mixture in 561 a typical surface water sample with $\delta^{18}O = -13\%$ (and assuming the NAM endmember described 562 563 in our Methods) would require a mean winter season precipitation value of -20.3‰. Using a typical North American isotopic lapse rate of 2.8%/km (Poage & Chamberlain, 2001; Dutton et 564 565 al., 2005), this would imply an implausible mean recharge elevation 3 km higher than our 566 monitoring site (itself at 2007 m).

567

Our evidence for a limited contribution of NAM rainfall to surface and subsurface water
resources on the NN is perhaps not surprising, and does not imply that these rains are
hydrologically unimportant. Previous isotopic work has demonstrated substantial uptake and

571 transpiration of monsoon rainfall by many plant species in this region (Williams & Ehleringer, 2000; Williams et al., 2005; English et al., 2007). Moreover, our rainfall data discussed above 572 provides evidence for rainfall re-evaporation and for a large fraction of regional summer rainfall 573 574 being returned to the atmosphere as evapotranspiration (inferred from weak gradients in precipitation isotope ratios across the NAM region). Together, these lines of evidence point to 575 576 land-atmosphere flux as the primary fate for NAM precipitation in this region, consistent with, 577 and supported by, the lack of evidence for significant monsoon recharge of surface or subsurface 578 water resources.

579

580 5 Conclusions

Our new data document spatial, temporal, and water resource-related patterns of water H and O 581 582 stable isotope values across the sparsely sampled Four Corners region of the southwestern United States. We identify variation in atmospheric water sources and post-condensation 583 584 evaporation as two primary drivers of warm season (spring through fall) precipitation isotope 585 ratio change, and suggest that a shift to low-latitude vapor sources combined with very strong land-surface recycling in upwind areas combine to produce the prominent isotopic maximum 586 587 associated with North American Monsoon rainfall. Our analysis suggests that NAM rainfall, 588 though volumetrically significant, contributes minimally to regional ground and surface water 589 resources. Instead, we argue that the primary fate for NAM precipitation is re-evaporation (and 590 transpiration). Groundwaters, lakes, streams, and springs located in this region are thus unlikely to be strongly sensitive to future changes in the North American monsoon, but impacts of 591 592 monsoon change on plant species that are adapted to use summer rains and on water recycling 593 feedbacks that can sustain and propagate NAM-derived moisture to the continental interior (e.g., 594 Dominguez et al., 2009) may be more significant.

595

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611 (<u>https://doi.org/10.5281/zenodo.4628328</u>).

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822 Table 1: Linear regression statistics describing the correlation between precipitation isotope

823	values and	precipitation	amount (in	mm; bold:	<i>p</i> < 0.05).
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Dariad	δ^2 H vs Amount		δ^{18} O vs Amount		Evaporation vs Amount	
renou	Slope	R ²	Slope	R ²	Slope	R ²
All	-0.135	0.012	-0.042	0.048	-0.046	0.121
Pre-monsoon	-1.018	0.265	-0.172	0.262	-0.078	0.124
Monsoon	-0.126	0.019	-0.035	0.057	-0.037	0.113
Post- monsoon	0.158	0.023	-0.017	0.005	-0.06	0.184

824

825

826 Table 2: Linear regression statistics describing the correlation between precipitation isotope

	Period	δ^2 H vs Elevation		δ^{18} O vs Elevation		Evaporation vs Elevation	
		Slope	\mathbb{R}^2	Slope	R ²	Slope	\mathbb{R}^2
	All	-9.7	0.01	-2.1	0.018	-1.4	0.018
	Pre-monsoon	-5.6	0	-1.3	0.001	-0.6	0
	Monsoon	-6.8	0.007	-1.7	0.019	-1.6	0.031
	Post-	-21.4	0.08	-4.1	0.139	-2.1	0.048
	monsoon						

827 values and site elevation (in km; bold: p < 0.05).



831 Figure 4. Sampling sites within the boundaries of the Navajo Nation (grey lines). Bold names832 denote evaporation experiment field sites.



Figure 2: Stable H and O isotope ratios of water samples from the Navajo Nation. Panels show
precipitation from (a) pre-monsoon, (b) monsoon, or (c) post-monsoon seasons, and from surface
and groundwater samples (d). Dotted lines are regressions through each dataset (a-c), and the
solid line in each panel shows the Global Meteoric Water Line.





Figure 3: 2-dimensional density plots showing the distribution of water isotope values for Navajo
Nation samples following correction for post-condensation evaporation effects. Panels show
distributions for (a) pre-monsoon, (b) monsoon, and (c) post-monsoon precipitation and (d)
surface and subsurface water resources. Colors are proportional to the density of source water
isotope values in the posterior distribution of the iSW_E analysis, and contours separate density
values at intervals of 0.2% in A-C and 0.6% in (d). The solid line in each panel shows the Global
Meteoric Water Line.







851

Figure 5: Monthly average precipitation isotope values for monitoring sites within each of five
groups having contrasting seasonal precipitation patters (defined by Tulley–Cordova et al., 2018)
and at Tucson, AZ (Eastoe & Dettman, 2016). A) Measured oxygen isotope values. B) Oxygen
isotope values after correction of evaporation effects using the iSW_E method (see Methods;

- 856 Bowen et al., 2018). C) The iSW_E oxygen isotope evaporation index (higher index value
- 857 indicates a larger amount of post-condensation evaporation).
- 858



860 Figure 6. Spatial distribution of the vapor source region by season for combined precipitation

861 events at Rock Springs, NM and Fort Defiance, AZ, with the representative location indicated by

862 star. This figure shows the number of trajectories traced to a vapor source in a particular pixel

863 during the premonsoon season (a) and monsoon season (b).



865 Figure 7. Spatial and temporal evolution of air mass trajectories into the Eastern (Smith Lake,

- 866 NM, panels a and b) and Western (Forest Lake, AZ, panels c and d) regions of the Navajo
- 867 Nation as monsoon season develops between the months of July (panels a and c) and August
- **868** (panels b and d), 2016.





871 Estimated fractional contribution of NAM rainfall to sampled water resources. B) Oxygen

872 isotope evaporation index distributions for each resource type. Outlier values are omitted.