A model for oceanic melt rates under ice shelves using a balance-flux approach (CHICO)

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Abstract

A two-layer model of thermohaline ocean circulation under Antarctic ice shelves is described that predicts sub-oceanic ice-shelf melt rates given the basin geometries and ocean temperatures and salinities at the ice edges. The model builds on a series of similar models, using an upper plume layer and adding a balance-flux approach that enables it to be used for evolving land-ocean geometries without the need to pre-define individual basin outlines. Results are compared to Antarctic melt rates derived from satellite data. The model is shown to work for two simulated configurations of West Antarctica very different from modern. In Supporting Information several alternate model aspects are described, and results are tested against numerical solutions of the basic plume differential equations for 1-D flowlines.

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11	Key Points:
12 13	• A two-layer model of thermohaline ocean flow under ice shelves is described, and applied to Antarctica.
14 15	• It builds on previous models to simulate oceanic melt rates at the ice-shelf base, for use with ice-sheet models.
16 17 18	• A balance-flux approach is used, avoiding the need to pre-define geographical basin boundaries.

20 Abstract

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- 22 that predicts sub-oceanic ice-shelf melt rates given the basin geometries and ocean temperatures
- and salinities at the ice edges. The model builds on a series of similar models, using an upper
- 24 plume layer and adding a balance-flux approach that enables it to be used for evolving land-
- 25 ocean geometries without the need to pre-define individual basin outlines. Results are compared
- to Antarctic melt rates derived from satellite data. The model is shown to work for two simulated
- 27 configurations of West Antarctica very different from modern. In Supporting Information several
- alternate model aspects are described, and results are tested against numerical solutions of the
- 29 basic plume differential equations for 1-D flowlines.

30 **1 Introduction**

31 Oceanic melting at the base of Antarctic ice shelves strongly influences their extent,

- 32 thickness and buttressing of upstream ice, which is important for major glacier outlets currently
- undergoing thinning and retreat such as Pine Island and Thwaites Glaciers in the West Antarctic
- Amundsen Sea (ASE) region, and Totten and Denman Glaciers in East Antarctica (Jenkins et al., 2018). Debate et al. 2018: Miles et al. 2020)
- 35 2018; Roberts et al., 2018; Miles et al., 2020).

Rates of melt are determined by oceanic flow from the Southern Ocean across the continental shelves and under sub-ice-shelf cavities (Schmidtko et al., 2014; Tinto et al., 2019; Adusumilli et al., 2020; Stevens et al., 2020). Accurate simulation requires high-resolution regional ocean modeling extending under ice shelves (Hellmer et al., 2012; Dutrieux et al., 2014; Gwyther et al., 2014; Yokoyama et al., 2016; Richter et al., 2020; reviewed in Dinniman et al., 2016; Asay-Davis et al., 2017), which is computationally expensive and has not yet been used in

- 42 coupled ice-ocean studies on long-term continental scales to our knowledge.
- In the interim, a series of simpler models building on each other have been applied to the 43 oceanic flow in the cavity between the ice-shelf edge and the grounding line (Olbers and 44 Hellmer, 2010; Lazeroms et al., 2018 (henceforth LAZ); Reese et al., 2018 (PICO), Pelle et al, 45 2019, 2020 (PICOP)). These models are designed to efficiently provide ice-sheet models with 46 oceanic melt rates, given ocean temperatures and salinities outside the shelves. Ocean dynamics 47 are simplified as a thermohaline convective overturning cell, with prescribed incoming ocean 48 water at depth from the cavity edge to the grounding line, and outgoing flow in contact with the 49 50 ice base from the grounding line to the edge. Results have been compared with observationally deduced ocean melt rates for the ~20 largest ice shelves around Antarctica (Rignot et al., 2013; 51
- Adusumelli et al., 2020; cf. Moholdt et al., 2014; Gwyther et al., 2014; Shean et al., 2019).
- 53 In the LAZ, PICO, and PICOP models, the ice-shelf cavity dynamics have essentially one horizontal dimension running from the edge of the ice shelf to the inner grounding line. The 54 55 second horizontal dimension is either collapsed to a transverse average (PICO), or based on proximal grounding-line depths or basal ice slopes for the upper plume (LAZ, PICOP). This has 56 two drawbacks that we attempt to improve on here: (i) it does not account for horizontal 57 convergence or divergence of flow, and (ii) for PICO and PICOP, it requires individual ice-shelf 58 59 basins to be pre-defined based on the modern configuration, over which transverse averages are applied (Reese et al., 2018). 60
- 61 The second of these is problematic for modeling long-term Antarctic evolution involving 62 collapse and regrowth of the West Antarctic Ice Sheet (WAIS). A fully collapsed WAIS with an

63 interior ocean and separate land masses in the Peninsula and Marie Byrd Land, and regrowth

64 with individual ice shelves expanding and coalescing from these land masses, can produce ice-

65 shelf configurations topologically different from the present. An automatic algorithm that can

sensibly define basin outlines for general grounding-line topologies may be possible, but after
 some effort we were unable to find a fully general working algorithm.

68 An alternate approach for the second horizontal dimension is provided by the balanceflux method, previously applied to ice-sheet modeling (Warner and Budd, 2000). For an 69 equilibrated ice sheet, i.e., with negligible temporal changes in ice thickness, ice velocities 70 between grid cells can be deduced from a given 2-D map of surface mass balance (snowfall 71 minus melt), assuming only that flow directions must be down the surface slope, and given a 72 parameterization partitioning the outgoing flow from a cell into the adjacent downhill cells if 73 74 there are more than one. The cells are first sorted in order of decreasing surface elevation. Then if cell fluxes are calculated in that order, all incoming fluxes for a cell will have been determined 75 before that cell is reached in the calculations, and its total outgoing flux is set to the sum of the 76 incoming fluxes plus its surface mass balance. 77

This approach is used here in a new model (CHICO, CHild of pICO), with two layers: the 78 lower layer with "inbound" flow from the oceanic shelf edges to the grounding lines, and the 79 upper plume layer with "outbound" flow from grounding lines back to the oceanic edges. 80 Similarly to PICO, a non-dimensional distance metric is defined, running from zero at shelf 81 edges and increasing towards the innermost grounding lines, which replaces ice-sheet surface 82 elevation in the balance-flux method. Balance-flux calculations are applied to both layers, first to 83 the inbound lower layer from the edges to grounding lines, and then to outbound upper layer in 84 reverse order, from the grounding lines to the edges. The balance fluxes also advect temperature 85 and salinity conserving heat and mass (and momentum for the upper layer), and oceanic melt 86 rates at the ice base are calculated as part of the upper-layer calculations, as in the earlier models. 87 The balance-flux approach accounts for horizontal convergence or divergence in each layer, and 88 89 can be applied over the whole domain for an arbitrary configuration of grounding-line patterns and ice-shelf extents. 90

In common with the previous models, this approach cannot capture aspects of the real 2D and 3-D sub-ice ocean circulation due to Coriolis acceleration, tidal mixing and other
dynamics. Also there is no influx of sub-glacial discharge at grounding lines (e.g., Dow et al.,
2020). Except for the balance-flux approach that avoids the need to specify basin boundaries, no
fundamental additional physics are added beyond that in the LAZ/PICO/PICOP models.

96 The model formulation is described in section 2. In section 3 computed modern melt rates are shown for Antarctic regions and ice shelves at different resolutions, driven by climatological 97 ocean temperatures and salinities at the shelf edges, and compared to observationally deduced 98 melt rates. Parameter values are selected from a large ensemble of runs, with scoring based on 99 observed average melt rates for individual ice shelves. Also in section 3 two examples are shown 100 from previous long-term simulations with collapsed WAIS, to show that the approach works for 101 land-ocean-shelf configurations very different from modern. In Supporting Information (SI) 102 section 1, modern results are contrasted with a much simpler ocean-melt parameterization used 103 in previous long-term ice-sheet modeling. SI section 2 shows results using an alternate form of 104 105 the non-dimensional distance metric as used in PICO and PICOP, SI section 3 shows several other plume variables to illustrate model performance, and SI section 4 describes an option to 106

include additional seasonal melting near the ice edge. In SI section 5, results are tested against
 numerical solutions of the basic differential equations for plume flow in 1-D flowline settings.

109 2. Model formulation

As shown in Fig. 1, the model has two layers, similarly to LAZ, PICO and PICOP. The lower layer represents inflow from the open ocean adjacent to the ice shelf edge to the grounding

112 line. In the previous models it is assumed to be uniform with temperature and salinity equal to

the outer ocean water (which may be regarded as prescribed inputs and not a model layer). Here

the lower layer is modeled as spatially varying within the balance-flux framework, to

accommodate incoming oceanic temperatures and salinities that may vary along the ice-shelf

edge and merge under the ice shelf (thus avoiding having to average them along the edges of pre-

defined basins). The upper layer represents outflow from the grounding line back to the ice-shelf

edge, and uses 1-D plume dynamical equations (Lazeroms et al., 2018) as in LAZ and PICOP.



119

Figure 1. Schematic picture of the two-layer model. Subscripts *l* and *u* here are for the lower and 120 upper layer respectively; u is omitted for simplicity in the text. T_l , S_l , F_l , D_l are temperature, 121 salinity, horizontal mass flux and thickness of the lower layer, respectively, and T_u , S_u , F_u , D_u are 122 the same for the upper layer. U_u is upper-layer horizontal velocity. \dot{m} and \dot{b} are melt and freeze-123 on rates respectively at the base of the ice shelf, and h is ice-shelf thickness. e is the turbulent 124 entrainment rate of lower-layer water into the upper layer. T_o and S_o are prescribed temperature 125 and salinity of inflowing ocean water into the lower layer at the ice-shelf edge, with incoming 126 mass flux F_{lo} . The return mass flux from upper layer to the ocean is F_{uo} , = F_u at the ice-shelf 127 edge. 128

129

130 **2.1. Physical equations**

131 The conservation equations for the upper plume layer (Jenkins, 1991, 2011; Lazeroms et 132 al., 2018) are

133
$$\frac{\partial D}{\partial t} + \frac{\partial DU}{\partial x} = \dot{e} + \dot{m}$$
(1a)

134
$$\frac{\partial DU}{\partial t} + \frac{\partial DU^2}{\partial x} = D \frac{\Delta \rho}{\rho_o} g \sin \alpha - C_d U^2$$
(1b)

135
$$\frac{\partial DT}{\partial t} + \frac{\partial DUT}{\partial x} = \dot{e}T_l + \dot{m}T_f + C_d^{1/2}\Gamma_T U(T_f - T)f_e$$
(1c)

136
$$\frac{\partial DS}{\partial t} + \frac{\partial DUS}{\partial x} = \dot{e}S_l$$
 (1d)

137 where x is distance along flow, and D, U, T and S are layer thickness (m), horizontal velocity (m

138 s⁻¹), temperature (°C) and salinity (permil, $\%_0$) of the upper layer. D_l , T_l , and S_l are the same

139 quantities for the lower layer; for clarity a subscript is not generally used for the upper layer. $\Delta \rho$

140 is lower minus upper-layer density, and $\rho_0 = 1033$ kg m⁻³. g = 9.81 m s⁻² is gravitational

141 acceleration, and α is the slope of the ice-shelf base in the outward direction. C_d is a drag

142 coefficient = 3 x 10⁻³, and $C_d^{1/2} \Gamma_T$ is a turbulent heat exchange coefficient with $\Gamma_T = 3 \times 10^{-2}$. In 143 Eq. (1d) the salinity of melted ice is assumed to be zero. Ocean water densities used to compute

143 Eq. (10) the samily of mened ice is assumed to be zero. Ocean water densities used to compute 144 $\Delta \rho$ depend linearly on temperature and salinity as in Reese et al. (2018):

145
$$\rho = \rho_o (1 - \beta_T (T - T_r) + \beta_S (S - S_r))$$

146 where
$$\beta_T = 7.5 \ge 10^{-5} \text{ °C}^{-1}$$
, $T_r = 0 \text{ °C}$, $\beta_S = 7.7 \text{ e}^{-4} \%^{-1}$ and $S_r = 34 \%$.

 \dot{e} is the entrainment rate of lower-layer water in to the upper layer (m s⁻¹ of ocean water equivalent), given as in Lazeroms et al. (2018) by

(2)

- 149 $\dot{e} = E_o U \sin \alpha$
 - where $E_o = 1 \ge 10^{-2}$. The values of C_d , Γ_T and E_o are set from an ensemble of model runs described in section 3.2.

 \dot{m} is the melt rate at the base of the ice shelf (m s⁻¹), given by an approximate simplification of the full 3-equation boundary-layer system as in Lazeroms et al. (2018) and Reese et al. (2018):

155
$$\dot{m} = \frac{c_w}{L} C_d^{1/2} \Gamma_T U(T - T_f) f_e$$
 (3)

where c_w is the specific heat of ocean water (4218 J kg⁻¹ C⁻¹), *L* is the latent heat of freezing (3.35 x 10⁻⁵ J kg⁻¹), and T_f (°C) is the freezing point of the plume water, depending linearly on salinity and depth as in Reese et al. (2018):

159
$$T_f = -\lambda_1 S + \lambda_2 - \lambda_3 \rho_w gz$$

where $\lambda_1 = 0.0572 \text{ °C } \%^{-1}$, $\lambda_2 = 0.0788 \text{ °C}$, and $\lambda_3 = 7.77 \times 10^{-8} \text{ °C Pa}^{-1}$. *z* is depth (m) below the ocean surface, related to ice-shelf thickness *h* by $z = (\rho_i / \rho_w) h$, where $\rho_i = 910 \text{ kg m}^{-3}$ and $\rho_w = 0.0572 \text{ °C}$

162 1028 kg m⁻³ are ice and ocean water densities respectively. f_e is the fractional cover of ice shelf 163 in each grid cell (= 1 except potentially at the edge); h and f_e are supplied by observations or by 164 an ice-sheet model.

For the lower layer, Eqs. (1c) and (1d) are used for temperature and salinity T_l and S_l , with \dot{e} replaced by $-\dot{e}$, and with no ice-base or ice-melting terms (*K*=0, \dot{m} =0). Lower-layer velocity U_l is not solved for explicitly (see below).

168 The time scales of ice-sheet applications are much longer than the circulation timescales 169 in ice-shelf cavities, so the overturning flow described by Eqs. (1) is essentially equilibrated to

170 the current geometry and exterior ocean properties, and all $\partial/\partial t$ terms in (1) can be neglected.

171 They are used however to obtain flowline solutions of Eqs. (1) in SI section 5.

172 **2.2 Finite-difference balance-flux form**

In order to use the balance-flux approach, we discretize Eqs. (1) as follows, similarly to

Reese et al. (2018) and sketched in Fig. 2. The following applies to the upper layer, but is also

used for the lower layer with momentum and melting omitted.



176

Figure 2. Schematic discretization for one grid cell of the upper layer in solving Eqs. (4). Flow is left to right. *D*, *U*, *T*, and *S* are layer thickness, velocity, temperature and salinity. $\langle F_i \rangle$, $\langle F_i U_i \rangle$,

 $< F_i T_i >$ and $< F_i S_i >$ are the net incoming mass, momentum, heat and salt fluxes respectively from

upstream neighboring cells, and F is the outgoing mass flux to downstream neighbors. \dot{m} , \dot{b} and \dot{e}

are melt rate, freeze-on rate, and lower-layer entrainment rate, respectively. dx is the grid cell

182 size in the along-flow direction.

183
$$F = \langle F_i \rangle + (\dot{e} + \dot{m}) \, dx \tag{4a}$$

184
$$U[\langle F_i \rangle + (\dot{e} + \dot{m}) \, dx + C_d U dx] = \langle F_i U_i \rangle + D \frac{\Delta \rho}{\rho_o} g \sin \alpha \, dx \tag{4b}$$

185
$$T[\langle F_i \rangle + (\dot{e} + \dot{m}) dx + KUf_e dx] = \langle F_i T_i \rangle + (\dot{e}T_l + \dot{m}T_f + C_d^{1/2}\Gamma_T Uf_e T_f) dx$$
(4c)

186
$$S[\langle F_i \rangle + (\dot{e} + \dot{m}) dx] = \langle F_i S_i \rangle + \dot{e} S_l dx$$
(4d)

187 F = D U

(5)

where *F* and *F_i* are mass fluxes (m² s⁻¹) per unit length in the transverse direction, and *dx* is the grid-cell dimension (m) in the along-flow direction. *F* is the total mass flux out of each cell, to be partitioned into all adjacent downstream cells. $\langle F_i \rangle$ is the sum of incoming mass fluxes from adjacent upstream cells, $\langle F_i U_i \rangle$ is the sum of the products of incoming fluxes and velocities of adjacent cells, and similarly for $\langle F_i T_i \rangle$ and $\langle F_i S_i \rangle$; these are all already known due to the sorted order of the balance-flux method.

"Upstream" and "downstream" in the balance-flux method are determined by the sign of gradients of the non-dimensional distance metric *R* (see below). Once Eqs. (4) are solved for a grid cell, the outgoing fluxes *F*, *FT*, *FS* and *FU* are partitioned into incoming fluxes for adjacent downstream cells (potentially in all 8 directions in our Cartesian grid). We tried two ways of partitioning: proportional to the magnitude of $\nabla(R)$, and equal weighting for all downstream neighbors. The second method yields better results, allowing greater lateral dispersion, and is used throughout here.

201 As described below, these equations are applied in two passes, first an incoming pass for the entire lower layer, and then an outgoing pass for the upper plume layer. For the lower layer, 202 velocity U is not solved for (no Eq. 4b and does not appear in Eqs. 4a,c,d), *e* is replaced by -*e*, 203 and Γ_T and \dot{m} are zero. This reflects the fact that the lower layer is simply filled by inflowing 204 ocean water from the ice-shelf edge, with no vertical heat or salt exchange; (entrainment into the 205 upper layer is a mass loss but does not locally change the lower-layer temperature or salinity). 206 207 The only purpose of the lower-layer equations is to spatially merge ocean properties from around the edge as the water flows into the lower layer. 208

Note that with the balance-flux method, there is no need to complete calculations of individual ice shelves before moving on to the next one; the only requirement is to perform the calculations for each grid cell in the appropriate order of the distance metric R for each pass (ascending for lower layer, descending for upper layer). The grid-cell calculations of Eqs. (4) can jump from basin to basin, until all grid cells containing ice shelves in the domain have been processed for each pass.

215 **2.3 Solution for upper-layer velocity, and sub-iteration**

Eqs. (4) are four algebraic equations for one cell's *T*, *S*, *U* and *D* (with *F*, \dot{e} , \dot{m} , $\Delta\rho$ and T_f given by Eqs. 2, 3, 5 and other relations above). Eqs. (4a) and (4b) are solved first for *U* and *D*. Re-arranging Eqs. (4a,b), and using (2), (3) and (5),

219
$$D U = E_o U \sin \alpha \, dx + \frac{c_w}{L} C_d^{1/2} \Gamma_T U (T - T_f) f_e + \langle F_i \rangle$$
(6a)

220
$$D U^2 = D \frac{\Delta \rho}{\rho_o} g \sin \alpha \, dx - C_d U^2 dx + \langle F_i U_i \rangle$$
 (6b)

Eliminating *D*,

222
$$U^{3}(K dx + C_{d} dx) + U^{2}(\langle F_{i} \rangle) + U\left(-\langle F_{i} U_{i} \rangle - \frac{\Delta \rho}{\rho_{o}}g \sin \alpha K dx^{2}\right) - \left(\frac{\Delta \rho}{\rho_{o}}g \sin \alpha \langle F_{i} \rangle dx\right)$$
223
$$= 0$$
(7a)

where *K* is a combination of entrainment and melt terms

225
$$K = E_o \sin \alpha + \frac{c_w}{L} C_d^{1/2} \Gamma_T \left(T - T_f \right) f_e$$
(7b)

Eq. (7a) is a cubic for *U* that is solved by straightforward binary search (there is always just one positive real root, considering the signs of the coefficients). Then *D* is determined from (5), i.e., D = F/U with *F* given by Eq. (4a). Finally Eqs. (4c) and (4d) are used with (2) and (3) to solve for *T* and *S*.

In Eq. (7a,b), temperature *T*, salinity *S* and hence T_f and $\Delta \rho$ are initially unknown. A subiteration over Eqs. (4a-d) and (7a,b) is performed using *T*, *S*, T_f and $\Delta \rho$ from the previous iteration where needed, to converge on consistent solutions for *U*, *D*, *T* and *S*. This sub-iteration converges well for most locations, but care is needed for low slopes (sin α) and thin layers (*D*) which tend to occur close to interior grounding lines of large basins. A simple damping (80%) of the changes in *U*, *D*, *T* and *S* at each iteration is needed in these cases.

As part of the sub-iteration, if the plume temperature *T* falls below the freezing point T_f , *T* is reset to T_f , \dot{m} is set to zero, and some plume water is frozen on to the ice base, conserving the sum of sensible and latent heat. This occurs due to the "ice pump" mechanism as plume water is advected to shallower depths and so increasing T_f . In this case, the rate of freezing \dot{b} (m s⁻¹ of ocean water equivalent) is given by

241
$$\dot{b} = \frac{c_w}{L} \left(\lambda_3 \, \rho_w g \, \sin \alpha \, U D - E_o \, \sin \alpha \, U \left(T_l - T_f \right) \right) \tag{8}$$

where $\lambda_3 \rho_w g \sin \alpha$ is the rate of increase of T_f per unit distance in the flow direction due to the shallowing ice base, and the second term involving the lower-layer temperature T_l is partially compensating warming by entrainment. The effect of along-flow gradients of salinity *S* on T_f is neglected, as it is generally much smaller than the effect of basal slope. For ice-sheet model applications, $\dot{m} - \dot{b}$ would be returned as the net sub-ice oceanic forcing, and is shown as net melt in the figures below.

To improve numerical accuracy for coarser grid sizes, a slight modification to the finite differencing is made for upper-layer grid cells adjacent to a grounding line with no influx from adjacent cells. At the grounding-line interface of these cells, horizontal velocity is zero, and is assumed to increase linearly across the cell to the value U given by the solution above. Consequently the entrainment and melt coefficients E_o and $C_d^{1/2} \Gamma_T$ above are each multiplied by 1/2 to account for the average value of velocity across the cell, and the drag coefficient C_d is

multiplied by 1/3 to account similarly for velocity squared.

255 **2.4 Lower-layer and upper-layer passes, overturning strength**

Two passes are performed with the above equations: first, for the lower layer, sweeping from the ice-shelf edges to grounding lines in balance-flux order. Then, the flow in the upper plume layer is calculated, sweeping in reverse balance-flux order from the grounding lines to the edges.

At the start of the lower-layer pass, values of incoming fluxes F_i , F_iT_i and F_iS_i need to be specified for cells at ice-shelf edges adjacent to open ocean. These mass fluxes F_i are set initially to an arbitrary value ($F_{lo} = 0.5 \text{ m}^2 \text{ s}^{-1}$ per unit transverse length), and F_iT_i and F_iS_i are set to that value multiplied by the adjacent open-ocean temperature T_o and salinity S_o (see below). As the

lower-layer water flows inward, some is lost to entrainment into the upper layer, but if not all

lost, lower-layer cells adjacent to grounding lines may have incoming fluxes but no adjacent

upstream cells to receive outgoing flux. The model has an option to supply this flux upwards to

the co-located upper-layer cell, to initiate the next upper-layer pass. For the standard model we assume that this "reversal" of flow (lower-to-upper cell) at grounding lines is negligible, and

- assume that this "reversal" of flow (lower-to-upper cell) at grounding lines is negligible, and
 initialize all incoming upper-layer fluxes at grounding-line cells to zero for the start of the upper-
- 270 layer pass.

If "reversal" fluxes at grounding lines are included, outgoing fluxes are increased in the 271 upper layer. However, this does not lead to a physically meaningful strength of the overall 272 thermohaline circulation, because water mass is conserved in the model and the net outflow to 273 the ocean is the same as the arbitrarily prescribed inflow (an average of 0.5 m² s⁻¹ per transverse 274 length) plus ice melt. The magnitudes of real-world cavity-ocean exchange rates are poorly 275 known, and their parameterization would involve the energetics of the whole cavity overturning 276 including bottom drag (cf. coefficient C in Reese et al., 2018, and discussed further in Olbers and 277 Hellmer, 2010). 278

By setting "reversal" fluxes to zero, the standard model in effect assumes that the energetics controlling the cavity overturning strength are captured explicitly in the upper-layer dynamical equations Eqs. (1b) and (4b), with acceleration due to buoyancy balanced by frictional drag. The net outgoing upper-layer flux to the ocean at shelf edges (F_{uo} , = F in Eq. 4a for the edge cells) is then meaningful as the overall strength and is given in Table 1 below. The incoming lower-layer flux from the ocean (F_{lo}) should exactly balance total entrainment (\dot{e}) into the upper layer on a basin by basin basis.

To accomplish the latter it may seem logical to perform an iteration over pairs of passes, 286 in which the lower-layer inflow F_{lo} at shelf edges is set equal to the upper-layer outflow F_{uo} of 287 the previous iteration (actually at each co-located point, which accomplishes the same for each 288 basin, neglecting ice melt). However the value(s) for incoming F_{lo} at shelf edges makes very 289 little difference in the standard model, because the only physics involved in the lower layer is the 290 filling of its volume with ocean water as discussed in section 2.2. It has no effect at all if ocean 291 properties T_o and S_o are uniform around the ice-shelf edges, as the lower layer then fills 292 uniformly with $T_l = T_o$ and $S_l = S_o$. If not uniform, the only effect is to slightly influence their 293 advection across the lower layer due to iteratively changing detrainment -*e* into the upper layer. 294 Here we do perform two iterations in this way (with $F_{lo} = 0.5 \text{ m}^2 \text{ s}^{-1}$ and -e = 0 for the first lower-295 layer pass, and using -*e* from the first upper-layer pass for the second lower-layer pass), but the 296 297 effect on the results compared to a single pair of passes is very small.

298 **2.5 Plume termination**

In the above solutions, if the density difference $\Delta \rho \leq 0$, upper-layer velocity $U \leq 0$, or thickness $D \leq D_{min}$, the upper-layer plume is assumed to terminate or cannot originate (as mentioned in Jenkins, 1991, 2011). The minimum thickness $D_{min} = 0.5 (dx/10^4)$ meters is dependent on grid size dx (m) to permit slowly thickening plume layers to emerge from grounding lines with nearly flat basal ice slopes. The resulting behavior is beyond the scope of the model, but presumably there is considerable vertical mixing with the lower layer. Where

the model, but presumably there is considerable vertical mixing with the lower layer. Where

termination occurs we simply reset upper-layer temperature and salinity T, S to the local lowerlayer values T_l , S_l , reset thickness D to 2 D_{min} , and maintain upper-layer mass flux at its incoming value. Plume flow can resume downstream if $\Delta \rho$ becomes positive. Plume termination happens rarely in the model, mostly at single grid cells along limited portions of grounding lines (and the plume originates in the next grid cell away from the grounding line).

310 **2.6 Non-dimensional distance metric** *R*

A non-dimensional distance metric is defined to control the order of the balance-flux calculations, corresponding to ice surface elevation in ice-sheet applications. The direction of horizontal flux between adjacent grid cells is determined by the slope of R, and is the same but opposite for the upper lower and upper layer. It is meant to represent the directions of the real overturning circulation between ice-shelf edges and grounding lines.

First, quantities d_e and d_g are calculated for each grid cell, the distances to the closest ice-316 317 shelf edge with open ocean (d_e) and to the closest grounding line (d_g) . An incremental-neighbor calculation is used for each. For d_e , the calculation starts by setting $d_e = 0$ for all ice-shelf edge 318 points adjacent to open ocean. d_e is then set for all neighboring points containing floating ice 319 (including diagonal neighbors), incrementing d_e by the center-to-center distance between points. 320 321 This procedure is applied iteratively until all points with floating ice have been reached. This results in the shortest path to the ice-shelf edge, staying within the ice shelf and going around 322 interior grounded islands. Exactly the same procedure is used for d_g , starting with $d_g = 0$ at all 323 ice-shelf "grounding-line" points contiguous to the grounding ice, and incrementally expanding 324 to ice-shelf edges. For these calculations, any polynyas are considered to contain floating ice, 325 which avoids spurious R gradients that would occur if polynyas were considered open-ocean or 326 327 grounded regions.

328

For most simulations in the paper, the non-dimensional distance metric R is simply

$$329 \quad R = d_e/d_0 \tag{9}$$

 $d_0 = 1000 \ge 10^3$ m is an arbitrary normalizing scale, used for convenience to make $R \sim O(1)$ for large shelves, but has no influence on the results. *R* increases from 0 at all ice-shelf edges, to larger values deeper into the basin. Its value is not constant around grounding lines. An alternate form of *R* is described in SI section 2.

An important goal in the definition of *R* is to yield broad-scale smooth patterns of ocean 334 flow from the shelf edges through the shelf interior to the inner grounding lines (for the lower 335 layer, and vice versa for the upper layer), without introducing spurious smaller-scale non-336 337 physical flow features. This goal is partially met by Eq. (9), but for that, some spatial smoothing needs to be applied. If this is not done, smaller-scale irregularities in the grounding-line edges of 338 large shelves such as the Ross and Filchner-Ronne produce ridges and valleys in R extending 339 some way into the shelf interior, funneling balance fluxes into narrow channels through some of 340 the shelf. This smoothing is done simply by linear diffusion of R, maintaining R = 0 at ice-shelf 341 edges. The amount of diffusion is equivalent to integrating 342

343
$$\frac{\partial R}{\partial t} = D_d \left(\frac{\partial^2 R}{\partial x^2} + \frac{\partial^2 R}{\partial y^2} \right)$$
(10)

where $D_d = 10^8 \text{ m}^2 \text{ yr}^{-1}$, applied for a duration $\tau_R = 10$ years. This smooths most of the wiggles emanating from the major shelf edges, but preserves the overall gradient in *R* from outer edges to inner grounding lines, as shown in SI section 2. The length scale below (above) which fluctuations are effectively smoothed (preserved) is $(D_{\rm L}\tau_{\rm D})^{1/2}$, 30 km

fluctuations are effectively smoothed (preserved) is $(D_d \tau_R)^{1/2} \approx 30$ km.

After smoothing, isolated "depressions" where R has a local minimum are filled in. 348 Because of the overall gradient of R from outer edge to inner grounding lines, these are rare and 349 limited to just a few points. If not filled in, the balance fluxes on the inward lower-layer pass 350 (upgradient of *R*) would not reach these depressions and the whole calculation would fail. This 351 infilling is exactly equivalent to the well-known depression-filling problem in hydrology for 352 which relatively sophisticated algorithms have been developed (e.g., Huang and Lee, 2015). But 353 because these regions are rare and isolated, we use a much simpler method by simply increasing 354 R at single points with a local minimum to the smallest value of its neighbors + .0001. This is 355 iterated over the whole domain until all such points are eliminated. 356

357 **2.7 Smoothing of sin** α

A small amount of spatial smoothing is also applied to sin α used in Eqs. (2), (4b) and (7). sin α is the gradient $\partial z/\partial x$ of the ice-shelf base in the direction of ∇R , with $z = (\rho_i/\rho_w)h$ and ice thickness *h* supplied from observations or an ice-sheet model. α can be noisy on the scale of a few grid cells and can spuriously disrupt the results (see SI section 5). Simple linear diffusion is applied as for *R* above, with the same coefficient $D_d = 10^8 \text{ m}^2 \text{ yr}^{-1}$ but for a duration $\tau_{\alpha} = 0.1$ years, so the length scale of effective smoothing $(D_d \tau_{\alpha})^{1/2} \approx 7 \text{ km}$. The duration τ_{α} is varied in the model ensemble described below.

365
$$\frac{\partial(\sin a)}{\partial t} = D_d \left(\frac{\partial^2(\sin a)}{\partial x^2} + \frac{\partial^2(\sin a)}{\partial y^2} \right)$$
(11)

2.8 Prescription of oceanic temperature and salinity

Oceanic temperature and salinity (T_o and S_o) need to be prescribed for incoming fluxes at 367 ice shelf edges for the lower layer. Here these are derived from the World Ocean Atlas 2018 368 database (Boyer et al., 2018; henceforth WOA), then modified at ice-shelf edges as described 369 370 below. Several distinct water masses have been identified: High Salinity Shelf Water (HSSW) Circumpolar Deep Water (CDW); and Antarctic Surface Water (AASW) (Schmidtko et al., 371 2014; Tinto et al., 2019; Adusumilli et al., 2020; Stevens et al., 2020). Following Adusumilli et 372 al. (2020), at each WOA grid location we take the maximum annual mean temperature of all 373 layers between 200 and 800 m depths; this roughly represents a combination of HSSW and 374 CDW waters (Mode 1 and Mode 2 melting respectively) and avoids shallow seasonal AASW 375 376 water (Mode 3 melting; cf. SI section 4). The same layer is also used for annual mean WOA salinity. 377

The decadal average (1981-2010) WOA fields of T_o and S_o are then interpolated from the 378 one-degree longitude-latitude WOA grid to the ice-sheet grid, and then extrapolated (by iterative 379 nearest-neighbor assignment like that used in the calculation of d_e and d_g above) into oceanic 380 regions adjacent to ice shelves. In some regions, the distance of this extrapolation can be 381 considerable, and can produce spurious sharp quasi-discontinuities in T_o and S_o near the ice-shelf 382 edges. To reduce these spurious features, linear diffusion as in Eq (11) is applied to T_o and S_o , 383 only in the regions where they are extrapolated beyond the database coverage, with the same 384 coefficient $D_d = 10^8 \text{ m}^2 \text{ yr}^{-1}$ for a duration $\tau_o = 25$ years, so the length scale of effective 385 smoothing $(D_d \tau_o)^{1/2} \approx 50$ km. 386

After these operations, our distribution of oceanic temperatures T_o around ice-shelf edges 387 resemble those in Adusumilli et al. (2020, their Fig. 1, noting their values are relative to the 388 freezing point). However, the average values of T_o for individual ice shelves were significantly 389 different from many of those in Reese et al. (2018, their Table 2), who used circum-Antarctic 390 oceanic data in Schmidtko et al. (2014) to force the PICO model. The most serious differences 391 were in the Amundsen Sea, where our T_o values for Thwaites and Pine Island shelf edges were 392 ~2 °C colder than theirs, and for many East Antarctic shelves our T_o were ~1 °C warmer. In 393 initial attempts to find best-fit parameters in our model ensembles (see below), this led to an 394 inability to yield realistically high melt rates for Amundsen Sea shelves while keeping East 395 Antarctic melt rates reasonably low. For the purposes of robust model evaluation and more direct 396 comparisons with previous models (LAZ/PICO/PICOP), we therefore apply a uniform shift to T_o 397 and S_o around each individual ice-shelf edge, to make the average for each shelf equal to those in 398 Reese et al. (2018)'s Table 2 (while preserving the intra-shelf spatial variations from the WOA 399 data). The resulting average values are shown in Table 1. 400

401 **3. Results**

402 **3.1 Modern Antarctica**

403 The model is applied to modern Antarctica, with ice and bedrock states prescribed from the Bedmachine dataset (Morlighem, 2020; Morlighem et al., 2020) aggregated to the model 404 polar stereographic grid. The WOA 2018 climatological dataset (Boyer et al., 2018) is used to 405 prescribe open-ocean temperature and salinities, using appropriate depths, extrapolated to the 406 ice-shelf edges, and shifted to agree with Reese et al. (2018) as described above. Modern sub-ice 407 ocean melt rates derived using satellite data on ice surface heights and velocities are taken from 408 409 the dataset of Adusumilli et al. (2020). In addition to spatial maps of ocean melt, results are analyzed for individual ice shelves using the same set as in Reese et al. (2018) with locations 410 shown in Fig. 3. 411



412

Figure 3. Location map of the Antarctic ice shelves used for modern comparisons (same as in in Reese et al., 2018). Ice-shelf extents are regridded to our 10-km grid from the Bedmachine detect (Marlishern 2020). Marlishern et al. 2020) and shown in red

dataset (Morlighem, 2020; Morlighem et al., 2020) and shown in red.

416

Fig. 4 shows model ocean melt rates (upper row) compared to observed (bottom row), for 417 three different domains and grid resolutions: all Antarctica at 10 km, West Antarctica at 5 km, 418 and the eastern Amundsen Sea Embayment at 2 km. The 10-km scale is typical of long-term 419 420 continental ice-sheet modeling applications, and is tested here even though it does not properly resolve some small ice shelves. At the continental scale, the magnitudes of model melt rates by 421 and large correspond to those observed for the major shelves, with stronger melting around the 422 Amundsen Sea Embayment and the Peninsula. In the major Ross and Filchner-Ronne basins, 423 424 although the model simulates some regions with freeze-on (blue shades), they are generally smaller in area and magnitude than in the observed maps. 425



426

Figure 4. Maps of model and observed oceanic melt rates (m yr⁻¹ of ice) below modern Antarctic
ice shelves. Upper row (a-c): simulated using the two-layer balance-flux model. Lower row (df): observed, regridded from Adusumilli et al. (2020). (a) and (d): all Antarctica, 10 km grid. (b)
and (e): West Antarctica, 5 km grid, magenta outline shown in panel a. (c) and (f): eastern

432

The same overall correspondence with the observed magnitudes is seen at finer scales and regions (center and right columns). The level of detail in the model results increases for finer resolutions, but there is little change in the overall patterns, indicating there is no strong spurious dependence on grid size in the model.

Within individual ice shelves, agreement with observed patterns is mixed. Correlation
coefficients r in Table 1 are as high as ~0.6 (Pine Island, Stancomb-Brunt), but are lower for
most shelves and as low as -0.25 for Totten. Similar levels of overall agreement and
disagreement with observed melt-rate maps have been found in other modeling studies (Gwyther
et al., 2014; Yokoyama et al., 2016; Lazeroms et al., 2018; Reese et al., 2018; Pelle et al. 2019,
2020). While some discrepancies are undoubtedly due to model shortcomings, uncertainties in
the observations themselves may play a role, as discussed further below.

Following Reese et al. (2018), we compare melt rates averaged over individual ice shelves around Antarctica (Fig. 5 and Table 1). The bar chart in Fig. 5 also shows ice shelves using higher resolutions within the smaller domains of Fig. 4 (WAIS and ASE). As expected from the map results above, there is little difference in the shelf averages at different resolutions, although for most WAIS and ASE shelves there is a slight tendency towards higher melt rates at higher resolutions.

Amundsen Sea Embayment, 2 km grid, magenta outline shown in panel b.





452 Reese et al., 2018; labels are defined in Table 1). Ice-shelf boundaries are determined by roughly

estimated vertices of enclosing polygons. **Blue:** Observed, calculated from Adusumilli et al.

454 (2020), 10 km grid. **Red:** Model, all shelves, 10 km grid. **Yellow:** Model, West Antarctic

shelves, 5 km grid. **Green:** Model, Amundsen Sea Embayment shelves, 2 km grid.

456

450

Fig. 5 also includes observed averages calculated using the dataset of Adusumilli et al. 457 (2020), aggregated from their 500-m grid to our 10 km model grid (these averages are generally 458 very close to those in Adusumilli et al.'s Supplementary Table 1). There is reasonable agreement 459 between model and observed for most shelves, especially for high-melt shelves such as 460 Thwaites, Pine Island, Getz and Totten (THW, PIG, GET, TOT). There are larger discrepancies 461 in the ratios for the larger Filchner-Ronne and Ross shelves (FIL, ROS), but the model does 462 simulate the generally smaller magnitudes of these values correctly, and the absolute differences 463 from observed are quite small (noting the logarithmic scale in Fig. 5). 464

465

	Ice shelf	area (km²)	<i>Т</i> _о (°С)	S _o (PSU)	F _{uo} (Sv)	<i>m</i> ̄ (m yr⁻¹)	<i>m _{obs}</i> (m yr⁻¹)	$ar{m}_{obs2}$ (m yr-1)	<i>m̄</i> - <i>m̄</i> _{obs} (m yr⁻¹)	S	r
LAR	Larsen C	82077	-1.33	34.60	0.22	1.13	2.33±2.5	0.45±1.0	-1.20	2.16	-0.06
WSB	WiStBaGe.	63549	1.17	34.67	0.17	3.23	3.46±2.5	1.46±1.0	-0.23	1.37	0.22
PIG	Pine Island	6940	0.46	34.55	0.11	15.47	14.49±1.6	16.20±1.0	0.98	1.08	0.63
THW	Thwaites	6940	0.46	34.55	0.18	15.94	27.63±2.4	17.73±1.0	-11.69	1.73	0.15
GET	Getz	43778	-0.37	34.41	0.33	5.41	4.49±1.4	4.26±0.4	0.92	1.25	0.18
ROS	Ross	506970	-1.58	34.63	0.50	0.32	0.15±0.4	0.10±0.1	0.17	2.70	0.24
DRY	Drygalski	4911	-1.84	34.78	0.03	0.64	3.53±0.9	3.27±0.5	-2.90	5.55	0.22
COO	Cook	4923	-1.62	34.58	0.04	1.54	1.72±1.6	1.33±1.0	-0.18	1.47	0.44

NIN	Ninnis	2162	-1.62	34.58	0.04	3.36		1.17±2.0			
MER	Mertz	5083	-1.62	34.58	0.04	2.06	4.40±2.4	1.43±0.6	-2.34	2.15	0.38
тот	Totten	8764	-0.68	34.57	0.22	8.17	9.38±2.0	10.47±0.7	-1.21	1.16	-0.25
SHA	Shackleton	32592	-1.69	34.48	0.10	0.49	1.64±1.9	2.78±0.6	-1.15	3.58	0.27
WES	West	18703	-1.69	34.48	0.09	1.75	1.05±1.8	1.74±0.7	0.69	2.08	0.35
AME	Amery	64313	-1.72	34.53	0.25	0.48	0.92±1.7	0.58±0.4	-0.44	1.95	0.43
BAU	Baudouin	63651	-1.55	34.33	0.23	1.38	1.27±1.0	0.43±0.4	0.16	1.44	0.00
FIM	Fimbul	76866	-1.57	34.32	0.25	0.92	1.03±0.8	0.57±0.2	-0.11	1.40	0.45
RII	Riisen-Larsen	50514	-1.66	34.53	0.14	0.98	0.48±0.8	0.20±0.2	0.50	2.46	0.29
STA	Stancomb,Brunt	34716	-1.66	34.53	0.12	1.90	0.52±0.8	0.03±2	1.38	4.34	0.59
FIL	Filchner-Ronne	447756	-1.76	34.65	0.86	0.38	0.14±0.3	0.32±0.1	0.24	3.19	0.30
ALL	All above	1525209	-0.99	34.54	3.91	1.01	0.91	0.73	0.09	2.28	

466

467 **Table 1.** Model and observed ocean melt rates (m yr⁻¹ of ice) and other quantities averaged over 468 individual ice shelves (same set as in Reese et al. 2018; locations shown in Fig. 3). Ice-shelf

469 extents are from Bedmachine (Morlighem, 2020; Morlighem et al., 2020), regridded to our 10-

470 km polar stereographic grid. Individual ice-shelf boundaries are designated by roughly estimated

471 vertices of surrounding polygons. **area** is total area resulting from our regridding and polygonal

boundaries. T_o and S_o are ocean temperatures and salinities (PSU = %_o) obtained from WOA

473 climatology (Boyer et al., 2018; see text), averaged around the ice-shelf edge and shifted 474 uniformly for each shelf to match the averages in Reese et al. (2018, their Table 2; see text). F_{uo}

is the total model mass flux from the upper-layer edge cells to the open ocean (Sv = 10^6 m³ s⁻¹).

476 \overline{m} is model ocean melt, \overline{m}_{obs} is observed ocean melt calculated from regridded Adusumilli et al.

(2020) data for 2010-2018, and \overline{m}_{obs2} is observed ocean melt reported in Reese et al. (2018) and

478 Rignot et al. (2013) with data for several years to 2008, including uncertainty ranges reported in 479 those papers. S is the score for each ice shelf given by Eq. (12). \mathbf{r} is the correlation coefficient of

 \dot{m} vs. \dot{m}_{obs} over individual grid cells for each ice shelf. WSB stands for Wilkins, Stange, Bach

and George VI shelves. The smallest **Ninnis** shelf did not contain any Adusumilli et al. (2020)

482 data when aggregated to our 10 km grid.

483

Table 1 includes observationally derived average melt rates both from Adusumilli et al. (2020)
and Reese et al. (2013). Both sets are also shown in Fig. A2, along with whiskers showing the
reported uncertainty ranges. There are significant differences between the two for some shelves,
comparable to some of the differences from the model values. The reliability of observations

488 (which are indirect, derived from satellite data on ice elevations and velocities and modeled

489 surface mass balance) is not pursued further here, but may be a concern.

490 **3.2 Modern ensemble**

We performed an ensemble of model runs to explore parametric uncertainty in the model, varying four parameters, with five values for each given below.

- C_d = drag coefficient for upper-layer momentum (Eqs. 4b, 7). Ensemble values (nondimensional) = .1, .3, 1, 3, 10 x 10⁻³.
- 495 Γ_T = parameter in the turbulent heat exchange coefficient $C_d^{1/2} \Gamma_T$ for upper-layer ice 496 melting in Eqs. 4c and 5. Ensemble values (non-dimensional) = .1, .3, 1, 3, 10 x 10⁻².
- 497 E_o = coefficient for the entrainment rate of lower-layer water into the upper layer (Eq. 2) 498 Ensemble values (non-dimensional) = .1, .3, 1, 3, 6 x 10⁻².
- 499 τ_{α} = duration of diffusive smoothing applied to sub-ice basal slopes sin α (Eq. 11). 500 Ensemble values (years) = 0, .1, .3, 1 and 3, corresponding to length scales of effective 501 smoothing $(D_d \tau_{\alpha})^{1/2} \approx 0, 3, 5, 10$ and 17 km respectively.

The model was run for all combinations of values (625 runs), and a score was calculated for each run. Several different algorithms for scoring vs. observations were tried, aiming to provide meaningful validation across the wide range of shelf types (small to large area, low to high melt), and to allow for the reported uncertainty ranges in the observations. We used

506
$$S = \left[\left\{ \max\left(\frac{\dot{m}_o}{\bar{m}}, \frac{\bar{m}}{\dot{m}_o}\right) \right\} \right]$$
 (12)

where \overline{m} is the model mean melt rate for an ice shelf. { } denotes an integral of the max () quantity over a range of \overline{m}_o values from \overline{m}_{obs} - $3\sigma_{obs}$ to \overline{m}_{obs} + $3\sigma_{obs}$, weighted assuming a normal probability distribution with mean \overline{m}_{obs} and standard deviation $\sigma_{obs} = \varepsilon_{obs}/1.96$. Here \overline{m}_{obs} is the observed ice-shelf mean calculated from the Adusumilli et al. (2020) data, and $\pm \varepsilon_{obs}$ is their reported 95% confidence interval (Table 1). Finally [] represents a simple average over all ice shelves in Table 1.

The use of ratios in (12) means neither low-melt nor high-melt shelves dominate in the [] average. The max quantity for an individual ice shelf is ≥ 1 and increases the more the model \overline{m} departs from m_o in either direction. However, the max quantity would become arbitrarily large if m_o approaches zero (i.e., if the magnitude of ε_{obs} is comparable to \overline{m}_{obs}), so we restrict m_o values in (12) to $\geq 0.5 \ \overline{m}_{obs}$. The exact choice of this factor (~0.5) has no important effect on results.



518

Figure 6. Scores in an ensemble of simulations for combinations of four parameters. The score 519 520 in Eq. (12) measures departures from observed shelf-mean melt rates, ranging potentially from 1 (perfect fit) to ~16 and above (~no skill). The figure is organized to show the scores in the 4-D 521 space of parameter variations, for parameters Cd, Γ_T , E_o and τ_a with 5 values each. Each small 522 subpanel shows C_d (x axis) vs. Γ_T (y axis), and the subpanels are arranged bottom-to-top with 523 increasing E_{o} and left-to-right with increasing τ_{α} . Cd is the drag coefficient in Eqs. (1b) and (4b), 524 with axis values x 10⁻³. Γ_T enters in the heat exchange coefficient in Eqs. (1c) and (4c), with axis 525 values x 10⁻². E_o is the entrainment coefficient in Eq. (2), with axis values x 10⁻². τ_{α} is the 526 duration (years) that spatial diffusion is applied to smooth basal slopes sin α in Eq. (11). The 527 combinations with the 10 best scores omitting the extreme values of τ_{α} (see text) are marked by 528 blue numbered boxes, with scores ranging from 2.28 (#1) to 2.49 (#10). The poorest scores range 529 up to ~ 60 , but the color scale saturates for values >16 to better show the lower (more realistic) 530 scores. Grey squares indicate runs that failed numerically due to drastically unrealistic melt rates, 531 layer thicknesses and/or velocities; these occur only for extreme values of the parameters E_o and 532 Γ_T , and their scores would be very poor. 533

534

The score *S* is shown for all members of the ensemble in Fig. 6. The duration of basalice-depth smoothing (τ_{α} , left-to-right subpanels) makes little difference in the scores. However flowline tests in SI section 5 for Pine Island Glacier shelf show that without any smoothing (τ_{α} = 0), small-scale fluctuations in basal ice depths cause considerable noise in the melt-rate results. Reasonable results are obtained with some basal smoothing, but too much smoothing produces unrealistic close-to-linear basal profiles for durations of ~3 years or more (Fig. E2). Therefore we only consider scores for the three central columns of subpanels in Fig. 6 ($\tau_{\alpha} = 0.1, 0.3$ and 1 yr), and select the parameter combination with the best score (box # 1) for all model results

shown in the paper, with $C_d = 3 \times 10^{-3}$, $\Gamma_T = 1 \times 10^{-2}$, $E_o = 1 \times 10^{-2}$, and $\tau_a = 0.1$ years.

544 **3.3 Different geometries than modern**

As discussed above, the main motivation of this study is to enable melt rates to be calculated for general time-evolving land-sea geometries and ice shelves. In PICO and PICOP, individual ice-shelf basin outlines need to be designated over which transverse averaging is applied (Reese et al., their Fig. 3), and the model is applied separately for each basin.

549 During warm periods of the past, and potentially in the future, the bulk of West Antarctic 550 marine ice is thought to have collapsed (e.g. Vaughan et al., 2011). If the central WAIS 551 ungrounds and becomes ocean or ice shelf, the resulting configuration has no clear topological 552 correspondence with the ice shelves and basins of today. We tried to develop an automatic 553 algorithm that can sensibly define basin outlines for general grounding-line topologies, which 554 could be used with PICO or PICOP, but were unable to find a method that works in full 555 generality.

To show that the model here works for different geometries, it is applied here to two West Antarctic states from previous modeling. First, a snapshot from a future simulation (Pollard and DeConto, 2020) is used, 500 years into the future with atmospheric and oceanic forcing based on greenhouse-gas scenario RCP8.5 (without hydrofracturing or cliff failure), after which West Antarctica has partially collapsed. As seen in Fig. 7a, the model functions as intended,





562

Figure 7. Modeled oceanic melt rates (m yr⁻¹ of ice) for West Antarctic ice shelves with very different land-ocean-ice geometries than present. (a) Geometry from a future simulation with RCP8.5-like warming (Pollard and DeConto, 2020). (b) Geometry from a warm-Pliocene-like simulation after partial recovery due to subsequent climate cooling (Pollard et al., 2015). Light grey is open ocean, and darker grey is grounded ice or land.

568

Another snapshot is shown in Fig. 7b, during a period of regrowth of West Antarctica 569 after a complete marine collapse, taken from a long-term simulation in Pollard et al. (2015, their 570 Fig. S4D). In this simulation a nearly complete collapse of Antarctic marine-based ice has 571 occurred due to warm mid-Pliocene-based atmospheric and oceanic warming, followed by ice 572 regrowth towards modern conditions due to a return to a climate slightly cooler than today. The 573 ice-sheet state in Fig 8b is 2000 years after the transition to the cooler climate, with grounding 574 lines starting to re-advance into central West Antarctica. Again the model here functions as 575 intended, producing reasonable melt rates under shelves with drastically different geometries 576 than present. 577

578 For simplicity, the open-ocean temperatures and salinities used for the model in Fig. 8a,b 579 are taken from the modern WOA 2018 dataset and extrapolated to the ice edges. In actual future 580 or paleo applications, this forcing would be supplied by a dynamical ocean model running with 581 the current land-ocean-ice geometries.

582 **4. Summary and conclusions**

A model of oceanic melting under ice shelves is described, simulating the basic two-layer overturning circulation of ocean water in the sub-ice cavity, with incoming flow from shelf edges to grounding lines in the lower layer, and reverse outgoing flow in the upper plume layer in contact with the ice base. The model is based on a series of similar models (LAZ/PICO/PICOP, in Lazerus et al., 2018; Reese et al., 2018; Pelle et al., 2019), and extends PICO and PICOP by using a balance-flux approach so that the model can be applied to general land-ocean-ice geometries without the need to pre-define individual basin boundaries.

Results are shown for modern Antarctic ice shelves, driven by climatological ocean 590 temperature and salinity data (WOA, Boyer et al., 2018; Reese et al., 2018), and compared to 591 modern melt rates derived mainly from satellite data of surface heights and ice velocities 592 (Adusumilli et al, 2020; Reese et al., 2018). Results are presented for model resolutions ranging 593 from 10 to 2 km, with no undue dependence on resolution found. An ensemble of model runs is 594 performed, varying four of the more unconstrained model parameters, and using an overall score 595 vs. observations for each model simulation to find the best-fit parameters. Fair agreement is 596 achieved with the general magnitudes and average rates observed for individual ice shelves 597 598 around Antarctica (following Reese et al., 2018), but the quality of intra-shelf patterns is mixed, in common with previous similar model studies (Gwyther et al., 2014; Yokoyama et al., 2016; 599 Lazeroms et al., 2018; Reese et al., 2018; Pelle et al., 2019, 2020). Results from paleo and future 600 model studies demonstrate that the model works as intended for geometries very different from 601 the present. 602

The balance-flux model is computationally efficient enough to be used in long-term icesheet simulations. For continental Antarctica with a 10-km grid, one complete calculation takes 0.9 CPU seconds on a typical single processor, compared to 3.8 seconds per timestep (0.125 yr) for our ice-sheet model at the same resolution (e.g., DeConto and Pollard, 2016). However subice-shelf melt does not need to be updated every timestep; if called once per model year, the CHICO component would take ~3 % of the CPU time of the whole model.

Further work will be aimed at improving agreement with observed melt distributions
 within individual ice shelves. Possible model extensions include exploring different distance

- 611 metrics (SI section 2), adding subglacial water discharge as influx at grounding lines (Cai et al.,
- 612 2017; Dow et al., 2020; Washam et al., 2020), and additional melting near ice-shelf edges due to
- 613 warm-season Antarctic Surface Water (SI section 4). A more general question is whether two-
- layer thermohaline models can adequately capture cavity circulation seen in high-resolution
- dynamical ocean simulations (Dinniman et al., 2016; Asay-Davis et al., 2017; Richter et al.,
- 616 2020), or at least the aspects that are important for sub-ice melt. For instance, are the oceanic
- quantities shown in SI section 3 reasonable, with return flows confined to a relatively thin (≤ -25
- 618 m) upper layer?
- 619

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- Selected model output files, metadata and model code are available in the Penn State DataCommons archive at
- 624 https://www.datacommons.psu.edu/commonswizard/MetadataDisplay.aspx?Dataset=6260

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Supporting Information for

A model for oceanic melt rates under ice shelves using a balance-flux approach (CHICO)

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Introduction

- Section S1 contrasts modern results with a much simpler ocean-melt parameterization used in previous long-term ice-sheet modeling;
- Section S2 shows results using an alternate form of the non-dimensional distance metric as used in PICO and PICOP;
- Section S₃ shows several other plume variables to illustrate model performance a general description of processing steps used;
- Section S4 describes an option to include additional seasonal melting near the ice edge;
- Section S5 tests results against numerical solutions of the basic differential equations for plume flow in 1-D flowline settings.

Section S1. Comparison with simple parameterization

Results are compared here with a much simpler parameterization of sub-ice ocean melt used in previous ice-sheet modeling (e.g., DeConto and Pollard, 2016), that depends only on the squared difference between the basal freezing point and the proximal open-ocean temperature (Holland et al., 2008)

$$\dot{m}_{s} = O_{s} K_{s} (T_{o} - T_{f}) |T_{o} - T_{f}|$$
(S1.1)

where \dot{m}_s is sub-ice melt (m yr⁻¹), T_o is the proximal ocean water temperature (°C), T_f is the seawater freezing point at the base of the ice (°C), K_s is a constant coefficient = 0.224 m yr⁻¹ °C⁻², and O_s is a dimensionless O(1) multiplier.

A set of runs was performed using the simple parameterization for continental Antarctica at 10 km resolution. As in the main paper, proximal open-ocean temperatures T_o were obtained from the WOA 2018 dataset (Boyer et al., 2018) and shifted to agree with shelf-edge averages in Reese et al. (2018). The multiplier O_s in Eq. (S1.1) was varied over all integer values from 1 to 30, and scores were calculated for each run using Eq. (12) as in the main paper. The optimal score was obtained with $O_s = 14$, which is used for the results in this Appendix (although $O_s = 4$ yielded the most realistic melt value averaged over all Antarctic shelf areas).

In Fig. S1.1 map results for the simple parameterization are compared with the two-layer model and with observed (Adusumilli et al., 2020), for the modern WAIS with grid resolution of 5 km. There is still little agreement with observed patterns of melt within individual ice shelves, both for the two-layer model and the simple parameterization. But the intra-shelf patterns for the simple parameterization (Fig. S1.1b) are quite different and correspond even less to those observed.



Figure S1.1. Oceanic melt rates (m yr⁻¹ of ice) for West Antarctica (5 km grid). (a) Two-layer model. (b) Simple parameterization based on the squared difference between basal freezing point and proximal ocean temperature. (c) Observed, calculated from Adusumilli et al. (2020).

Fig. S1.2 shows shelf-average melt rates for the full set of shelves around Antarctica computed at 10 km resolution. For some shelves the model values are similar to each other and reasonably close to observed. However for the Ross and Filchner-Ronne (ROS, FIL) the simple parameterization values are too large, and for many smaller shelves they are considerably too small (DRY, NIN, MER, TOT, SHA, BAU, FIM). This is borne out by the score *S* (Eq. 12) which is 4.53 for the simple parameterization compared to 2.28 for the two-layer model, indicating that the simple parameterization is generally less realistic.



Figure S1.2. Average oceanic melt rates (m yr⁻¹ of ice) for individual ice shelves (same set as in Reese et al., 2018; labels defined in Table 1, 10 km grid). **Dark blue:** Observed, calculated from Adusumilli et al. (2020). **Light blue:** Observed, from Reese et al. (2018) and Rignot et al. (2013). Whiskers show the uncertainty ranges given in those references. **Red:** Two-layer model. **Pink:** Simple parameterization.

Fig. S1.2 also shows observational values and ranges both from Adusumilli et al. (2020) and Reese et al. (2013). As discussed in the main text, there are significant differences between the two datasets for some shelves, comparable to some of the differences from the model values, and the reliability of observations may be a concern in more refined model evaluations.

Section S2. Alternate form of the non-dimensional distance metric

The form of the non-dimensional distance metric R in Eq. 9, representing the overall transition from ice-shelf edges to grounding lines, is an important component of the model. It is especially important in the balance-flux approach, as it explicitly determines the directions of horizontal flow in each layer. The goal in defining R is to yield broad-scale smooth patterns of ocean flow from the shelf edges through the shelf interior to the inner grounding lines (for the lower layer, and in reverse for the upper layer), adapting sensibly to 2-D basin shapes and diversions around interior islands such as Berkner Island in the Filchner-Ronne and Roosevelt Island in the Ross, but without introducing non-physical smaller-scale flows. The metric R used in the main paper (Eq. 9) yields reasonable results and partially achieves this goal; as described in the main text, this is only after additional smoothing of R (Eq. 10) to reduce "funneling" in the flow stemming from spatial irregularities in large ice-shelf edges (Ross and Filchner-Ronne) that would otherwise propagate as ridges and valleys into the interior and unrealistically concentrate the flow into narrow channels.

Other forms of *R* are possible, and here we compare results with another form replacing Eq. (9):

$$R = d_e / \left(d_e + d_g \right) \tag{S2.1}$$

where d_e and d_g are distances to the closest ice-shelf edge and grounding line respectively, calculated by nearest-neighbor iteration staying within the shelf as described in section 2.6. This is the same metric as used in PICO and PICOP (actually 1-*R* in those studies). *R* is o at all

ice-shelf edges, and 1 at all grounding lines. Exactly the same smoothing and infilling operations are then performed on *R* as described in section 2.6.

Modern results are shown in Fig. S2.1 for WAIS at 5 km grid resolution, and compared to observed (Adusumilli et al., 2020). There is little to choose between the magnitudes and patterns of melt rates, which are quite similar for the two model versions, with neither being obviously more realistic.



Figure S2.1. Oceanic melt rates (m yr⁻¹ of ice) for West Antarctica ($_5$ km grid), using different non-dimensional distance metrics *R*. (a) Model with standard *R* in Eq. 9. (b) Model with alternate *R* in Eq. S2.1. (c) Observed (Adusumilli et al., 2020). (d) *R* in Eq. 9, used for panel a. (e) *R* in Eq. S2.1, used for panel b.

The two distance metrics themselves are also shown in Fig. S2.1d,e. The main difference between them is the degree to which the flow "bends" around interior grounded islands. As expected, Eq. S2.1 produces greater deviation of the flow in the lee of the major islands grounded within the Ross and Filchner-Ronne shelves (Roosevelt, Berkner and others), causing greater curvature of flow behind them, but differences in the melt patterns in those regions are minor.

Section S3. Other plume variables

Several other variables of the main two-layer model are shown here to illustrate the working of the model. Modern results for the WAIS domain at 5 km resolution are used. Upper-layer

thickness (*D*) is shown in Fig. S_{3.1a}. As expected, entrainment of lower-layer water into the upper layer causes a general thickening of the layer as it flows from grounding lines to edges under the large Filchner-Ronne and Ross shelves, from ~2 m to *O*(20) m. Melting from the ice base also contributes but is much smaller than entrainment.



Figure S3.1. Other upper-layer plume variables in the two-layer model. (a) Layer thickness D (m). (b) Plume velocity U (m s⁻¹). (c) Entrainment rate of lower-layer water into the upper layer \dot{e} (10⁻⁶ m s⁻¹). (d) Mass flux per unit transverse length F (m² s⁻¹).

The patterns of the other variables are organized more into bands parallel to the outgoing flow, due in part to the blocking effects of the major grounded islands within the Ross and Filchner-Ronne shelves. Outward velocities (U, Fig. S3.1b) range from nearly o to a few 10's of cm s⁻¹. Entrainment rates (\dot{e} , Fig. S3.1c) range from ~o to 10 x 10⁻⁶ m s⁻¹, with patterns generally following those of velocity as expected from Eq. 2. The outward mass flux per unit transverse length F = D U (m² s⁻¹) is shown in Fig. S3.1d, strongly organized into along-flow bands.

The same four variables are shown in Fig. S3.2 using the alternate form of the non-dimensional distance metric *R* (Eq. S2.1, discussed in section S2). The general magnitudes are the same as those in Fig. S3.1 using the standard metric (Eq. 9), but here the banded structure parallel to the flow is considerably more prominent for all four variables. To some extent this is expected

because the alternate metric "bends" the flow around impediments such as grounded islands (Fig. S2.1e). Importantly, this banding is less prominent in the resulting ocean melt rates, whose patterns are similar to those with the standard metric (Fig. S2.1b vs. a). In further work, the choice of distance metric may be guided by comparing the quantities and patterns in Figs. S3.1 and S3.2 with high-resolution dynamical ocean model simulations.



Figure S3.2. As Fig. S3.1 except with alternate form of non-dimensional metric *R* (Eq. S2.1).

Section S4. Antarctic Surface Water (AASW) melting

Incursion of seasonally warmed Antarctic surface water (AASW) under the ice shelf due to tides and other small-scale currents can cause melting near the edges (Mode 3 melting, Adusumilli et al., 2020). Bands of higher melting around the edges of the Ross, Filchner-Ronne and Amery shelves are arguably seen in observed maps (Adusumilli et al., 2020), and are more apparent in Pelle et al. (2019, their Fig. 2 for the Filcher-Ronne) and Moholdt et al. (2014, their Fig. 10 for the Ross and Filchner-Ronne).

This melting can be included simply in the model. First, AASW water temperatures (T_a) are obtained from the WOA 2018 database (Boyer et al., 2018) using their seasonal January-February-March average surface ocean temperatures. As for the T_o and S_o fields in section 2.8,

 T_a is interpolated to the ice-model grid, extrapolated to ice-shelf edges where necessary, and smoothed by linear diffusion as in section 2.7. Then the AASW basal melt rate \dot{m}_a (m yr⁻¹ of ice) is given by

$$\dot{m_a} = A \big(T_a - T_f \big) w_a \tag{S4.1}$$

where A = 0.5 m yr⁻¹ C⁻¹. T_f is the ocean freezing point at the ice base, and the factor w_a represents limited spatial penetration beyond the shelf edge:

$$w_a = \max\left[0, 1 - \frac{d_e}{10^5}\right] \tag{S4.2}$$

where d_e (m) is distance to the nearest ice-shelf edge calculated as described in section 2.6; w_a limits the AASW melting to the outermost 100 km of ice shelves. The ocean melt predicted by the model is set to the larger of \dot{m} and \dot{m}_a at each grid point (with the units of \dot{m} from Eq. 3 converted from m s⁻¹ of ocean water equivalent to m yr⁻¹ of ice).

As seen in Fig. S4.1, the AASW mechanism produces higher melt rates (a few m yr⁻¹) in distinct bands ~100 km wide around the edges of the Ross and Filchner-Ronne shelves, similar to the bands suggested by observations mentioned above. This may be more important in future modeling work as patterns of simulated melt within individual ice sheets become more realistic.



Figure S4.1. Oceanic melt rates (m yr⁻¹ of ice) for West Antarctica (5 km grid). **(a)** Standard model (without AASW melting). **(b)** With additional melting by warm-season Antarctic Surface Water (AASW, Mode 3).

Section S5. Comparison with numerical plume solutions for 1-D flowlines

Our finite-differencing and method of solution for the balance-flux form of the dynamical equations (section 2.2., Eqs. 4) can be tested by comparing with numerical solutions of the basic plume equations (section 2.1, Eqs. 1), in 1-D flowline settings. Jenkins (1991, 2011)

similarly solved the basic plume equations (Eqs. 1) for various flowlines. Here we numerically solve Eqs. (1) and compare solutions with the balance-flux model in Eqs. (4).

To obtain stable numerical solutions of the plume equations (Eqs. 1), we found that several steps were needed: a predictor-corrector method, a staggered grid for velocity U shifted half a grid box from the main grid, upstream values for advected quantities, inclusion of $\partial/\partial t$ terms and temporal integration to equilibrium, and a short time step (.oo1 days with 0.2 km grid size). The resulting finite-difference scheme is quite different from that described in section 2.2 for Eqs. (4).

Solutions are first compared for an idealized ice-shelf profile with thickness h given by

$$h = h_{gl} - \left(\frac{1 - e^{-\frac{3x}{L}}}{1 - e^{-3}}\right) (h_{gl} - h_{ed})$$
(S5.1)

where $h_{gl} = (\rho_w/\rho_i) \times 1000$ m is ice thickness at the grounding line, $h_{ed} = 100$ m is ice thickness at the ice edge, L = 140 km is the ice-shelf length, and x is distance downstream from the grounding line. The grid size is 1 km for the balance-flux model and 0.2 km for the basic equations.

Fig. S5.1 shows the main variables for the two solutions. The left-hand column is for a warmer ocean, with ocean temperature $T_o = 0$ °C and salinity $S_o = 34.5$ ‰ at the edge of the shelf (and everywhere below the plume layer). The right-hand column is for a cooler ocean with $T_o = -1.7$ °C and $S_o = 34.5$ ‰. In both cases there is stronger melting near the grounding line as expected due to lower freezing points at depth and so greater difference with plume temperatures (Fig. S5.10,p). The cooler ocean produces freeze-on under the outer half of the shelf (Fig. S5.1p). The largest discrepancies from the basic-equations solution occur for T and S (and hence $\Delta \rho$) in the first few km from the grounding line, as might be expected because of the steep gradients and proximity to the boundary. However, these differences in T and S are still quite small compared to the contrasts with the lower-layer values (o or -1.7 °C, 34.5 ‰) rapidly being entrained into the plume.

The balance-flux results agree closely with the basic-equations. Even the largest differences are relatively small at the scales and magnitudes of interest in the Antarctic model applications of this paper. This is still true for coarser resolutions in the balance-flux model, for which melt rates are shown by symbols in Fig. S5.10,p (which benefit from the slight modification to finite differencing for cells adjacent to the grounding line, described in section 2.3); the main effect of the coarser resolutions is to shift the high-melt region away from the grounding line by ~ 1 grid cell. We consider that the level of agreement between the two solutions in Fig. S5.1 is a good validation of our balance-flux equations and method of solution (Eqs. 4, sections 2.2 to 2.4).



Figure S5.1. Upper-layer plume variables in simulations for an idealized 1-D ice shelf profile. Thick colored lines are for the balance-flux model with grid resolution 1 km (Eqs. 4), and black lines are numerical solutions of the basic plume equations with grid resolution 0.2 km. (Eqs. 1). The *x* axis is horizontal distance (km) from the grounding line at x = 0 (+ one cell width) to the ice-shelf edge at x = 140 km. **Left column:** oceanic (and lower-layer) temperature and salinity are $T_0 = 0$ °C, $S_0 = 34.5$ %. **Right column:** $T_0 = -1.7$ °C, $S_0 = 34.5$ %. Note the different y-scales for some of the variables. (**a**,**b**) Depth of ice-shelf base (m, grey line). (**c**,**d**) Temperature T (°C). (**e**,**f**) Salinity S (%). (**g**,**h**) Density difference $\Delta \rho$, lower minus upper layer (kg m⁻³). (**i**,**j**) Layer thickness D (m). (**k**,**l**) Velocity U (m s⁻¹). (**m**,**n**) Mass flux F (m² s⁻¹). (**o**,**p**) Sub-ice oceanic melt rate (m yr⁻¹ of ice). Blue symbols in panels 0 and p show model melt rates for coarser grid resolutions of 5 km (solid dots) and 10 km (hollow squares).

Fig. S5.2 shows solutions for a profile representing the modern Pine Island Glacier ice shelf, running from grounding line to shelf edge. The basal ice depth (Fig. S5.2a) is derived from Bedmachine (Morlighem, 2020; Morlighem et al., 2020), aggregated to our 2 km grid as in the 2-D ASE simulations shown in the main paper. Both the balance-flux model and basic-equations solution use a grid size of 2 km for the flowline here. Proximal ocean (and lower-layer) temperature $T_o = 0.46$ °C and salinity $S_o = 34.55$ ‰ are prescribed as in Table 1 and Reese et al. (2018). The left-hand column is with the unsmoothed ice-shelf profile, and the right-hand



column is with diffusive spatial smoothing applied to basal slopes sin α for a duration of 0.1 years (Eq. 11).

Figure S5.2. As Fig. S5.1 except for a profile along the approximate center line of Pine Island Glacier ice shelf, from grounding line to shelf edge. Thick colored lines are for the balance-flux model (Eqs. 4), and black lines are numerical solutions of the basic plume equations (Eqs. 1), both with grid resolution 2 km. Prescribed oceanic (and lower-layer) temperature $T_o = 0.46$ °C and salinity $S_o = 34.55$ ‰, as in Table 1 and Reese et al. (2018). **Left column:** No spatial smoothing applied to basal slopes sin α . **Right column:** Diffusive spatial smoothing applied to sin α for duration $\tau_{pig} = 0.1$ years (Eq. 11). Quantities in panels a to p are as in Fig. S5.1. The basal ice depth in panel a is from Bedmachine (Morlighem, 2020; Morlighem et al., 2020) aggregated to our 2 km ASE grid, and smoothed with $\tau_{pig} = 0.1$ yr in panel b. Crosses in panel b show an overly smoothed profile with $\tau_{pig} = 3$ yr.

Again there is close agreement between the balance-flux model and the basic solutions, validating our numerical model procedures. The solutions for the profile without spatial smoothing (left-hand column) are noisy and respond to small-scale fluctuations in basal ice depth on scales of a few km (Fig. S5.2a). In this study we assume that the small-scale basal fluctuations are either not real, or are real but do not have important small-scale effects on cavity circulations and melt rates (but see Alley et al., 2019). The diffusive smoothing applied

for the right-hand column eliminates the small-scale noise but preserves the larger-scale shape of the profile (Fig. S_{5.2}b), because with $\tau_{pig} = 0.1$ years the effective length scale of diffusion ($D_d \tau_{pig}$)^{1/2} is ~3 km. Longer durations of ~3 years or more produce too much smoothing and a close-to-linear basal profile (crosses in panel b).

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