Diurnal differences in tropical anvil cloud evolution

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Abstract

Satellite observations of tropical maritime convection indicate an afternoon maximum in anvil cloud fraction that cannot be explained by the diurnal cycle of deep convection peaking at night. We use idealized cloud-resolving model simulations of single anvil cloud evolution pathways, initialized at different times of the day, to show that tropical anvil clouds formed during the day are more widespread and longer lasting than those formed at night. This diurnal difference is caused by shortwave radiative heating, which lofts and spreads anvil clouds via a mesoscale circulation that is largely absent at night, when a different, longwave-driven circulation dominates. The nighttime circulation entrains dry environmental air that erodes cloud top and shortens anvil lifetime. Increased ice nucleation in more turbulent nighttime conditions supported by the longwave cloud top cooling and cloud base heating dipole cannot overcompensate for the effect of diurnal shortwave radiative heating. Radiative-convective equilibrium simulations with a realistic diurnal cycle of insolation confirm the crucial role of shortwave heating in lofting and sustaining anvil clouds. The shortwave-driven mesoscale ascent leads to daytime anvils with larger ice crystal size, number concentration, and water content at cloud top than their nighttime counterparts.

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ABSTRACT

Satellite observations of tropical maritime convection indicate an afternoon maximum in anvil 11 cloud fraction that cannot be explained by the diurnal cycle of deep convection peaking at night. 12 We use idealized cloud-resolving model simulations of single anvil cloud evolution pathways, 13 initialized at different times of the day, to show that tropical anvil clouds formed during the 14 day are more widespread and longer lasting than those formed at night. This diurnal difference 15 is caused by shortwave radiative heating, which lofts and spreads anvil clouds via a mesoscale 16 circulation that is largely absent at night, when a different, longwave-driven circulation dominates. 17 The nighttime circulation entrains dry environmental air that erodes cloud top and shortens anvil 18 lifetime. Increased ice nucleation in more turbulent nighttime conditions supported by the longwave 19 cloud top cooling and cloud base heating dipole cannot overcompensate for the effect of diurnal 20 shortwave radiative heating. Radiative-convective equilibrium simulations with a realistic diurnal 21 cycle of insolation confirm the crucial role of shortwave heating in lofting and sustaining anvil 22 clouds. The shortwave-driven mesoscale ascent leads to daytime anvils with larger ice crystal size, 23 number concentration, and water content at cloud top than their nighttime counterparts. 24

Significance statement. Deep convective activity and rainfall peak at night over the tropical 25 oceans. However, anvil clouds that originate from the tops of deep convective clouds reach their 26 largest extent in the afternoon hours. We study the underlying physical mechanisms that lead to 27 this discrepancy by simulating the evolution of anvil clouds with a high-resolution model. We 28 find that the absorption of sunlight by ice crystals lofts and spreads the daytime anvil clouds 29 over a larger area, increasing their lifetime, changing their properties and thus influencing their 30 impact on climate. Our findings show that it is not only important to simulate the correct onset of 31 deep convection but also to correctly represent anvil cloud evolution for skillful simulations of the 32 tropical energy balance. 33

1. Introduction

Anvil clouds are both the most frequent and the most radiatively important cloud type in tropical 35 deep convective regions (Hartmann and Berry 2017; Berry and Mace 2014). On average they exert 36 strong shortwave (SW) and longwave (LW) cloud radiative effects (CRE) and therefore significantly 37 modulate both the incoming and outgoing radiative fluxes in the tropical atmosphere. However, 38 their instantaneous effects on both the top-of-the-atmosphere (TOA) radiative fluxes as well as the 39 radiative heating within the atmosphere are strongly influenced by the diurnal cycle of insolation. 40 During the day, an optically thick, fresh anvil cloud will have a strong net negative TOA CRE of 41 up to -500 W m⁻², dominated by the strong SW shading effect due to its large albedo. On the other 42 hand, at night the net CRE will be composed only of the LW component and can exceed 150 W 43 m⁻². Given the large diurnal cycle in tropical anvil clouds CRE, it is important for climate models 44 to capture both (1) the correct timing of deep convection and (2) the subsequent evolution and thin-45 ning of anvil clouds in order to balance radiative fluxes and correctly simulate of changes in climate. 46

Over the tropical oceans, the majority of rainfall and upper tropospheric anvil clouds originates 48 in large clusters of deep convective activity called mesoscale convection systems (MCS, see e.g. 49 Houze (2004) for a review). Observational data from tropical maritime regions robustly show 50 a diurnal cycle of MCS activity with a peak in the early morning hours (Gray and Jacobson 51 1977; Chen and Houze 1997; Randall et al. 1989; Nesbitt and Zipser 2003). Similar diurnal 52 pulses of convection and the associated precipitation have been also observed in the Intertropical 53 convergence zone (Bain et al. 2010; Ciesielski et al. 2018) and in tropical cyclones (Dunion 54 et al. 2014; Bowman and Fowler 2015). The precise mechanisms behind this diurnal cycle are 55 still under debate. Possibilities include the stabilization of the environment during daytime by 56 SW heating (lapse-rate mechanism, Kraus 1963; Randall et al. 1989), a daytime decrease in 57 relative humidity due to SW heating of clear sky areas (Tao et al. 1996; Dai 2001), changes in the 58 large-scale overturning circulation between convective and nonconvective regions (cloudy-clear 59 sky differential radiation mechanism, Gray and Jacobson 1977), insolation-driven changes in sea 60 surface temperatures that can excite convectively coupled equatorial waves (Chen and Houze 61 1997), and convectively forced diurnal gravity waves originating from nearby land (Mapes et al. 62 2003; Ruppert et al. 2020). Recent idealized simulations of organized deep convection (Ruppert 63 and Hohenegger 2018) point at the primary importance of the lapse-rate mechanism to strengthen 64 convection at night by the radiative destabilization of the atmosphere by LW cooling. This in 65 turn increases convective heating particularly in the lower troposphere and leads to an increased 66 low level circulation due to a sharp heating gradient between the clear sky region, cooled by LW 67 radiation, and the moist region, warmed by convective heating initiating the cloudy-clear sky 68 differential radiation mechanism. In contrast, during the afternoon hours the SW heating near 69 cloud tops was found to intensify the mesoscale circulation in the upper troposphere. 70

While numerous studies have so far been dedicated to understanding deep convection, we focus 72 on the evolution of detrained anvil clouds to better understand the processes controlling their decay 73 and explain the discrepancy between the early morning peak in deep convection and the number 74 of MCS and the afternoon peak in anvil cloud cover (Fu et al. 1990; Feofilov and Stubenrauch 75 2019; Chepfer et al. 2019; Sokol and Hartmann 2020). As a difference from the nighttime MCS 76 that define the diurnal cycle of precipitation (Nesbitt and Zipser 2003), the relatively less frequent 77 daytime MCS lead to substantial climatic effects by producing more extensive anvil cloud shields 78 (Wall et al. 2020). 79

Recent modeling work shows differences between the diurnal cycles of convective activity and 80 ice water path (IWP, the vertically integrated amount of ice in the atmosphere) over tropical 81 oceans (Ruppert and Klocke 2019). While rainfall peaks in the early morning hours, IWP was 82 shown to have two diurnal maxima: one in the early morning hours, coincident with the peak in 83 rainfall and deep convective activity, and one in the afternoon hours, coincident with the diurnal 84 peak in anvil cloud cover. Ruppert and Klocke (2019) explained the secondary peak in IWP as 85 an anvil cloud response to increased SW heating within clouds that enhances the local mesoscale 86 updraft motion, promoting the formation and maintenance of high ice clouds, which we term the 87 anvil lifting hypothesis. Durran et al. (2009) and Dinh et al. (2010) described a similar circulation 88 response for thin tropical tropopause layer cirrus. A greater knowledge of anvil cloud evolution is 89 needed to bridge the gap in our understanding between the early morning peak in deep convection 90 and the afternoon peak in anvil coverage. 91

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Hartmann and Berry (2017) proposed that radiative heating first promotes the rapid decay of thick
 anvil clouds until they are thin enough for a LW heating dipole (cloud top cooling combined with
 the cloud base heating) to support its maintenance. This was subsequently modelled in idealized

simulations by Hartmann et al. (2018) who found that radiatively driven turbulence extended the 96 cloud lifetime by supporting within-anvil convection that triggered new ice crystal nucleation. The 97 small, newly nucleated ice crystals are only weakly affected by sedimentation compared with larger, 98 aged ice crystals, therefore prolonging the anvil cloud lifetime. We refer to this mechanism as the 99 microphysical cycling hypothesis. Sokol and Hartmann (2020) used CloudSat-CALIPSO satellite 100 data to show that the radiative structure of heating within anvil clouds drives the distribution of anvil 101 optical thicknesses to peak preferentially at cloud optical depths (COD) between 1 and 2. Anvils 102 of such COD were found to be particularly susceptible to radiative destabilization by both LW and 103 SW radiation and to contain larger ice crystal number concentrations than anvils at slightly higher 104 or lower COD, indicating a possible role of new ice crystal nucleation in anvil cloud maintenance. 105 An observational study by Wall et al. (2020) used geostationary satellite data to evaluate the anvil 106 lifting and microphysical cycling hypotheses. They evaluated the two hypotheses by comparing 107 observations of daytime and nightime anvil clouds and their persistence. Nighttime anvils are 108 influenced only by LW radiation, and therefore should evolve according to the LW heating-cooling 109 dipole that is central to the microphysical cycling hypothesis. During the day, SW heating 110 dominates, suggesting that anvil lifting is favored. Wall et al. (2020) found strong evidence for the 111 dominant role of SW-initiated daytime anvil lifting that increases anvil cloud lifetime and no indi-112 cation for excessive new ice crystal formation near anvil cloud top in more persistent daytime anvils. 113

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¹¹⁵ Deng and Mace (2008) studied the diurnal cycle in anvil cloud properties and air motion with ¹¹⁶ the help of the cloud radar observations from two Tropical Western Pacific (Nauru and Manus) ¹¹⁷ and one midlatitude (Southern Great Plains) Atmospheric Radiation Measurement Program sites. ¹¹⁸ The tropical measurements showed a pronounced diurnal cycle in cloud properties and residual air ¹¹⁹ motion. Cloud top height and cloud geometrical thickness both peak in the early afternoon hours, shortly after the midday peak in in-cloud air motion, and reach a minimum in the early morning
hours. Similar variations were also measured in cloud microphysical and optical properties, all
peaking in the early afternoon hours. Their results are consistent with Wall et al. (2020) and point
at the important role of radiative heating in the maintenance and microphysical structure of anvil
clouds.

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This study extends recent work to study anvil cloud maintenance from an idealized modeling 126 perspective. We first examine the lifecycles of anvil clouds from a sink perspective, by monitoring 127 the decay of identical thick anvil clouds initialized in the middle of a model domain at different 128 times of day. Similarly to Wall et al. (2020), we take advantage of the diurnal cycle of insolation, 129 further simplified by examining cloud evolution during perpetual night and midday conditions. We 130 support these idealized experiments with an analysis of a statistically representative ensemble of 131 anvil clouds from a simulation in radiative-convective equilibrium (RCE) with a realistic diurnal 132 cycle of insolation. RCE is a simplified description of the climate system, in which radiative 133 cooling of the atmosphere must be balanced by latent heating from convective cloud processes. It 134 is a useful representation of the tropical atmosphere particularly at large spatial and long temporal 135 scales (Jakob et al. 2019). While Ruppert and Hohenegger (2018) and Ruppert and Klocke (2019) 136 investigated diurnal cycle impacts on organized convection, this study focuses on anvil cloud 137 dynamics, circulations, microphysics, and their radiative impacts in non-organized convection. 138

2. Methods

140 *a. Model*

We use the version 6.10 of the System for Atmospheric Modeling (SAM) cloud resolving model 141 (Khairoutdinov and Randall 2003). The model is coupled with the RRTMG radiative transfer 142 model (Mlawer et al. 1997; Iacono et al. 2008) and uses a Smagorinsky-type 1.5-order closure 143 scheme to represent the subgrid-scale motions. The radiation called every sixth, 30-s long, model 144 timestep. The model allows for substepping to ensure the Courant-Friedrich-Levy criterion and 145 is set to use periodic lateral boundary conditions. Microphysical processes are represented with 146 the Predicted Particle Properties (P3) bulk microphysical scheme (Morrison and Milbrandt 2015), 147 version 3.1.4, with the following deviations from the default P3 microphysics: 148

• The maximum ice crystal number concentration limit is increased from 0.5×10^6 to 10×10^6 kg⁻¹ in order to allow for realistic simulations of fresh deep convective outflow with high ice crystal number concentrations (Heymsfield et al. 2017; Jensen et al. 2018; Krämer et al. 2020).

Freezing in mixed-phase clouds is parameterized following Meyers et al. (1992) with an additional constraint that allows ice nucleation only in the presence of cloud droplets, since deposition freezing is thought to be negligible in mixed-phase conditions (e.g., Ansmann et al. 2008; DeMott et al. 2010; Hoose and Möhler 2012; Lohmann et al. 2016).

Freezing below the homogeneous freezing temperature of water (-38°C) follows the description of Shi et al. (2015), as implemented in CAM5, CAM6, and E3SM general circulation models. The formulation is largely based on the parameterization by Liu and Penner (2005) that simulates the competition between homogeneous and heterogeneous freezing in cirrus

clouds. The competition for vapor between freezing and pre-existing ice crystals follows the description published in Kärcher et al. (2006). The number of ice nuclei considered by the Liu and Penner (2005) parameterization is due to the absence of an interactive aerosol module set to 2 L⁻¹, typical for low aerosol concentration in the upper troposphere of the Tropical Western Pacific (e.g., Gasparini and Lohmann 2016). The number of sulfate aerosols available for homogeneous freezing is set to 20 cm⁻³.

• The saturation vapor pressure for liquid water and ice is parameterized by the Murphy and Koop (2005) formulation.

The simulated ice cloud properties were found to generally agree with the observed tropical maritime cloud data, despite a small underestimation in cloud water content at temperatures between 0°C and -80°C and the underestimation of ice crystal number at temperatures colder than -60°C. A short model evaluation comparing model output with cloud properties observed in three tropical aircraft field campaigns, consolidated in a uniform dataset by Krämer et al. (2020), can be found in Appendix A.

175 b. Simulations

We use two different simulation strategies of differing model complexities. In the simplest setup, we initiate a thick ice cloud with uniform ice mixing ratio of about 0.6 g kg⁻¹ and a diameter of 60 km in the middle of a 256×256 km model domain, as described in Gasparini et al. (2019). All of the described simulations use 128 vertical levels with the upper tropospheric vertical resolution of 250 m and the horizontal resolution of 1 x 1 km that is able to resolve part of the within-anvil convection that drives a significant proportion of the within-anvil microphysical process rates (Gasparini et al. 2019). The model typically uses two substeps within one model timestep in the first few hours ¹⁸³ of the simulation, decreasing the effective model timestep from 30 to 15 s. The simulations are ¹⁸⁴ initialized without the presence of any mean winds from a typical tropical temperature and moisture ¹⁸⁵ profile. The model mean horizontal wind fields are nudged to zero.

The cloud is representative of observed freshly detrained thick anvils in the tropics, with a cloud 186 top altitude at 13 km and cloud base at 8 km. The cloud's initial diameter is set to 60 km in all but 187 small-real and large-real simulations. We simulate the evolution of the cloud by either assuming 188 a realistic diurnal cycle of insolation and varying the simulation starting time or by fixing the 189 insolation to a constant value representing the typical midday (1300 W m⁻²) or night (0 W m⁻²) 190 conditions. In addition, we conduct several sensitivity tests with changes to physical processes 191 that influence the ice cloud evolution, namely SW and LW atmospheric cloud radiative effects 192 (ACRE), ice crystal sublimation, ice sedimentation, and ice nucleation (Table 2). 193

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Secondly, we perform a 50-day simulation of a cloud field in RCE with a realistic diurnal cycle 195 of insolation typical for the equator. The simulation is initialized from a temperature and moisture 196 profile generated from the average over the last 20 days of an earlier 100 day-long RCE simulation 197 with a smaller model domain. Only the last 30 days of the hourly model output, after the simulated 198 climate reaches a statistical equilibrium, are considered in this analysis. The sensible and latent 199 heat fluxes are computed interactively. The model typically uses 5-9 substeps within one model 200 timestep, decreasing the effective model timestep from 30 to 3-6 s. The RCE simulations are 201 performed in a 128×128 km domain, which is too small to allow the development of convective 202 organization. 203

204 c. Himawari satellite data

²⁰⁵ We use 3 months (June 1 - August 31 2016) of Himawari-8 geostationary satellite observations ²⁰⁶ (Bessho et al. 2016) of brightness temperature (BT) at the infrared channel (11.2 μ m). The ²⁰⁷ downloaded product was subsequently regridded to 0.25° by averaging the native grid pixels ²⁰⁸ within the new grid boundaries. The dataset's temporal resolution is 1 hour.

209 3. Results

a. Diurnal cycle of brightness temperature from geostationary satellite observations

Figure 1a shows the geostationary satellite measurements of BT in the ocean-covered areas of the Tropical Western Pacific (20°S to 20°N, 130°E to 180°E). The BT roughly corresponds to the cloud top temperature for optically thick clouds with emissivity values near 1 (Protopapadaki et al. 2017). The BT signal from thinner clouds includes a mixture of the clouds' emission and the emission from lower, warmer atmospheric levels. Most of such clouds can be classified as anvil clouds in different stages of their lifecycle. Appendix B contains a detailed discussion explaining why most pixels with BT<290 K correspond to high clouds.

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The BT observations are clustered into 10 K bins to better represent the transition from deep convective cores (BT < 210 K) to anvil clouds of various optical thickness (210 < BT < 290 K). The relationship between BT and high cloud COD is explained in more detail in Appendix B. The BT values typical of deep convection occur most often in the early morning hours, while the BT bins associated with anvil clouds peak 7-18 hours later (Fig. 1). Interestingly, the frequency of pixels with BT of 210 - 220 K peaks at 14 local time (LT). This BT bin corresponds to a mixture of weaker deep convective systems that are frequent in the afternoon hours (Nesbitt and Zipser

2003) and thick anvil clouds. This peak is followed by successive peaks in BT bins between 220 226 and 290 K in the afternoon and evening hours, when deep convective activity remains low (Fig. 227 1b). The transition from BT maxima of 210-220 K at 14 LT to 250-260 K at 20 LT reflects a 228 BT warming rate of 15 K hour⁻¹. This corresponds to a thinning of the median anvil COD from 229 about 30 to about 2 within 6 hours, as confirmed by a combination of DARDAR cloud profile 230 and MODIS BT data (Appendix B). The thinning slows down after the anvils reach a COD of ~ 2 231 that was found to be preferred based on radiative flux considerations (Hartmann and Berry 2017; 232 Sokol and Hartmann 2020). These results agree with a study using the spaceborne lidar data 233 from the CATS instrument that showed an increase in high opaque clouds in the afternoon hours 234 (Chepfer et al. 2019) and another that relied on infrared sounder data (Feofilov and Stubenrauch 235 2019). Moreover, Sokol and Hartmann (2020) found a larger coverage of anvil clouds in the 236 Tropical Western Pacific and Tropical Indian Ocean during the afternoon A-Train overpass (13.30 237 LT) compared with the night one (1.30 LT), which is consistent with the observed afternoon peak 238 in the BT bins of 210-260 K. 239

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The clouds from the afternoon/evening anvil cloud peak cannot be generated by the diurnal peak in convective activity that occurs 6-8 hours earlier. While the transition from convective cores to thin anvils can take up to 10 hours, the optically thick phase of anvil evolution that corresponds to BT of up to 220-240 K and COD of 5-15 (Fig. B1) is unlikely to persist in the atmosphere for more than about 5 hours (e.g., Mace et al. 2006; Wall et al. 2020; Jensen et al. 2018; Gasparini et al. 2019, 2021, appendix B of this manuscript). Additional physical mechanisms must therefore play a role in the formation and maintenance of the afternoon and evening anvil clouds. This result is consistent with the work by Wall et al. (2020), which concluded that the daytime anvil ²⁴⁹ clouds must be more persistent and/or more widespread compared with their nighttime counterparts.

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²⁵¹ b. Idealized simulations

Figure 2 shows the time evolution of the IWP for two identical high clouds initialized at two 252 different times during the diurnal cycle. The first cloud is initialized at 21 LT and undergoes a 253 rapid thinning and spreading until disappearing about 8 hours after the initialization, at 5 LT, 254 just before sunrise. The cloud initialized at 9 LT persists for more than 15 hours, spreading over 255 a larger portion of the domain (Fig. 2b). The clouds initialized at 9 and 21 LT represent the 256 two extremes among clouds initialized throughout the diurnal cycle: on one side the persistent 257 and widespread daytime anvil cloud, and on the other side the shorter lived nighttime anvil. 258 Additional simulations of anvil cloud lifecycles initialized at each of the 24 hours of the day fall 259 in between the selected two cases in terms of IWP, cloud fraction, and cloud persistence (not shown). 260

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The TOA radiative effects also vary significantly depending on the simulation start time. Fig. 262 3 represents values of SW, LW, and NET CRE averaged over the whole domain and 16 hour 263 duration of the simulations for each of the simulations initialized at different times of the day. 264 Simulations that start in the morning hours (particularly 7-11 LT) lead to a large LW CRE and 265 an even larger SW CRE, with a negative net CRE of -5 to -10 W m⁻² \times day, when averaged over 266 the entire anvil lifecycle. In contrast, simulations starting in the late evening or night (between 267 approximately 15 and 3 LT) exert no or a very small SW CRE caused by the lack of insolation 268 and a smaller LW CRE due to their smaller extent and shorter lifetime, leading to a net positive 269 integrated CRE of 1 W m^{-2} × day over the course of the anvil lifecycle. Only a small change 270

in the starting time of the anvil cloud can therefore cause a substantially different net climatic effect.

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The radiative effects of anvil clouds with different initialization times vary not only because of 273 insolation differences, but also because of differences in cloud optical properties. Figure 4 shows 274 the COD evolution of a daytime and nighttime simulation composite. Daytime simulations are 275 influenced by strong insolation of 900 W m⁻² or more in the first 8 hours. The two composites 276 do not differ substantially in the first two hours of the evolution, when the COD distribution 277 of both composites peaks near 100 (Fig. 4a). For a cloud age of 3-5 hours, however, the 278 daytime composite shows a bimodal distribution with COD peaks near 100 and 3, as opposed 279 to thinner nighttime clouds peaking between COD of 3 to 30 (Fig. 4b). A large majority of 280 nighttime clouds of age 6-8 hours are optically thin (Fig. 4c), with COD smaller than 0.5, 281 and disappear almost completely by hour 9-11 of the simulation (Fig. 4d). In contrast, 6- to 282 11-hour-old daytime anvils cover a large portion of the domain with a COD distribution peak 283 that slowly shifts from ~ 1 to ~ 0.1 before fully disappearing at hour 14-16 of the simulation (Fig. 2b). 284

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At this point we further simplify the modeling setup to isolate the differences between the 286 day and night simulations by simulating cloud evolution in perpetual midday conditions with 287 insolation values of 1300 W m⁻² (referred to as "day-only") and perpetual night conditions (no 288 insolation, referred to as "night-only") as shown by Fig. 5a,b. The IWP evolution of the night 289 cloud strongly resembles the 21 LT case from Fig. 2a, while the day cloud resembles the 9 LT case 290 from Fig. 2b. The main difference between the evolution of the day-only and night-only cases is 291 best represented by the Fig. 6. The daytime anvil is quickly lofted by about 1.5 km due to a strong 292 SW heating that overcompensates the cloud-top LW cooling effect (Fig. 6b). The heating-induced 293 updraft (Fig. 6d) supports higher relative humidities with respect to ice (RH_{ice}), limiting the cloud 294

decay by sublimation (not shown). Nevertheless, sublimation remains the largest microphysical tendency due to cloud spreading and mixing with environmental air that is subsaturated with respect to ice (Fig. 7a-c). Despite the SW-driven updraft, the net sedimentation flux remains substantial throughout the first 16 hours of cloud evolution (Fig. 7d). The sinking motion near cloud base that appears in both day-only and night-only simulations (Fig. 6c,d) is caused by latent cooling due to ice crystal sublimation, which is by far the largest ice crystal number sink (Fig. 7e).

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On the other hand, the top of the nighttime anvil remains at an approximately constant altitude 302 in the first 2-4 hours of the simulation despite a strong LW cloud top cooling and the associated 303 downdrafts (Fig. 6a,c). At the same time, the center of the cloud undergoes depositional heating, 304 which helps counteract the sinking motion near the cloud top. The latent heating tendency 305 decreases through time, and the cloud gradually dissipates by sublimation and sedimentation (Fig. 306 7a-c) before completely disappearing within 8 hours of the initialization (Fig. 6a). Sublimation 307 is stronger at night because the cloud sinks down to higher temperatures and lower RH_{ice} that 308 support faster sublimation. Interestingly, there is substantially more ice crystal nucleation at night 309 than there is during the day (Fig. 7f), indicative of a stronger turbulence at night caused by the LW 310 radiative heating dipole and depositional heating within the cloud. The new ice crystal nucleation 311 is expected to prolong the cloud lifetime; however, the sublimation tendency is substantially 312 stronger, leading to a rapid cloud decay. This is confirmed by a simulation in which freezing was 313 not allowed, which show a similar evolution compared to the reference case (Figs. 5a-d and 8a-d). 314

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The diurnal differences in cloud evolution are also modulated by differences in cloud top circulation. The night-only simulation develops a two cell circulation (Fig. 9a,b), with a main, lower branch driving the spreading of the cloud and a secondary branch near cloud top, similar to what was shown by Gasparini et al. (2019) for daily average conditions. The upper circulation cell, driven by LW cooling, largely disappears due to SW heating in the day-only case. The day-only simulation develops only one circulation cell that leads to strong spreading and lofting of the cloud (Fig. 9c,d), keeping the cloud top at near saturated conditions. The nighttime circulation erodes the cloud from the top by mixing in subsaturated environmental air which decreases the cloud top altitude and accelerates the cloud decay.

325 1) SENSITIVITY SIMULATIONS

A sensitivity test in which the clouds are trasparent to radiation (no-ACRE) shows little difference 326 in cloud evolution between the two insolation setups (Figs. 5e,f in 8e,f). The no-ACRE clouds do 327 not spread and thin, but just slowly sediment out of the atmosphere and sublimate as shown by 328 the decreasing cloud top altitude in Fig. 8e,f. The absence of the radiatively-driven circulation 329 in the no-ACRE nighttime cloud prevents cloud spreading and mixing with the subsaturated 330 environmental air and prolongs the cloud lifetime when compared with the night-only simulation. 331 The domain average radiative impact of such slowly sedimenting and sublimating clouds is quite 332 limited due to their small surface area and dominated by SW CRE, leading to a net cooling effect 333 on climate (not shown). 334

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The no-sublimation sensitivity tests lead to long-lived clouds in both day and night simulations (Fig. 5g,h). The night no-sublimation experiment contains several times larger IWP than the day case (confront Fig. 5g and h). This is caused by the lower cloud temperature in the daytime one, when the cloud top is lofted from about 13 to about 16 km (Fig. 8g,h), experiencing about 20 K colder temperatures. The colder temperatures inhibit a large portion of the depositional growth of ice in the higher and colder day cloud compared with the night cloud.

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Given the importance of the sedimentation flux, we analyze an additional sensitivity simulation 343 in which there is no ice crystal sedimentation (no-sedimentation). Fig. 5i, j show very similar IWP 344 time evolution in the two simulations, despite a higher cloud top in the day simulation (Fig. 8i,j). 345 Interestingly, the strong LW heating near cloud base and latent heating by deposition within the 346 cloud gradually overcompensate the LW cooling related downdraft near cloud top in the nighttime 347 simulation. Between hour 5 and 15 of the simulation, when the cloud is thinner due to its spreading 348 in the surrounding clear sky air, the heating-induced updraft velocity lofts it about 2 km (Fig. 8i). 349 The sensitivity tests reveal that cloud radiative heating, sublimation, and sedimentation all shape 350 anvil cloud evolution. 351

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Additional sensitivity tests are performed to investigate how the diurnal cycle effect on cloud 353 evolution depends on cloud size. We hypothesize that a larger initial cloud initialized at 21 LT 354 will experience proportionally less entertainment of drier environmental air, which is maximized 355 in absence of SW heating at night. In order to test this, we performed experiments in which 356 the initial cloud diameter was halved (small-real) and doubled (large-real) compared with the 357 control simulation (ctrl-real) with a diameter of 60 km. The clouds with which the simulations are 358 initialized represent freshly detrained anvils, tightly related to the actively convective part of the 359 MCS. An actively convective surface area larger than 5000 km² (the equivalent of an initial cloud 360 diameter of 40 km) is therefore unlikely to exist within a single MCS (Houze 2004). 361

Figure 10 shows the ratio of the domain averaged IWP and cloud fraction between the nighttime cloud initialized at 21 LT and the daytime cloud initialized at 9 LT. The smaller the fraction, the quicker the nighttime cloud decays in comparison to its daytime equivalent, and the larger is the ³⁶⁵ impact of the diurnal cycle on the cloud evolution. As expected, both IWP and cloud fraction are ³⁶⁶ smaller in the nighttime clouds; the fraction is thus below 1 for all cases for most of the cloud ³⁶⁷ lifetimes. The impact of the diurnal cycle is thus the largest for the small-real cloud and smallest ³⁶⁸ for the large-real cloud. The diurnal cycle effect on cloud evolution therefore decreases with the ³⁶⁹ increase of the initial cloud size.

370 c. RCE simulations

To understand whether the day-night differences seen in simulations of individual clouds above 371 are present in extended simulations of clouds and convection, we perform additional simulations 372 of a cloud field in RCE. In Fig. 11, selected variables are plotted as a function of IWP, with 373 IWP decreasing from left to right. This gives an intuitive view of the anvil cloud evolution, from 374 freshly detrained anvils at the highest IWP, to aged thin anvil clouds at low IWP (please refer to 375 the Appendix C for a detailed description of the IWP binned perspective on anvil cloud evolution). 376 This view is confirmed by Fig. 11a,b that show how much time has elapsed since a parcel was last 377 in a buoyant cloudy updraft with vertical velocity larger than 1 m s^{-1} , which is representative of 378 deep convective cores. This is therefore a meaningful proxy for anvil cloud age, which increases 379 from about 1.5 hours near the main deep convective detrainment level at around 12 km altitude to 380 about 10 hours at low IWP values, typical for aged anvil clouds or in-situ formed cirrus. 381

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The variables are shown separately as an average between 0-4 LT (typical for nighttime conditions, left column), 12-16 LT (typical for daytime conditions, middle column) and the anomaly between the two times (right column). The general pattern of cloud age does not change significantly between day and night: however, the transition from a high IWP deep convective core to thin anvil is faster at night. The 6 hour isochrone reaches the 50th IWP percentile at night (Fig. 11a) but only

the 70th percentile during the day (Fig. 11b), implying faster nighttime cloud decay. Moreover, the 388 clouds at levels above 12 km in all IWP bins except the highest few are fresher during daytime (Fig. 389 11c). Therefore, while the level of convective detrainment remains nearly the same throughout the 390 day, the subsequent anvil cloud evolution takes a different pathway, which is, as in the idealized 391 simulations, modulated by differences in ACRE. Strong LW cooling dominates the cloud top at 392 high IWP percentiles (thick anvil clouds) during the night, with LW heating below (Fig. 11d). In 393 the day, the SW heating is strong enough to neutralize the LW cooling, leading to no significant 394 ACRE near the tops of thick anvil clouds (Fig. 11e). However, the SW heating effect dominates in 395 the intermediate and thin anvils and induces a slow mesoscale updraft motion of about 1-7 cm s^{-1} 396 (Fig. 11h) that supports the maintenance of anvils. In contrast, the nighttime cloud top cooling 397 leads to a downdraft motion that reaches values of about 5 cm s⁻¹ on average (Fig. 11g), enhancing 398 the removal of ice crystals by sedimentation (Fig. 7d). 399

The streamfunction, computed as in Gasparini et al. (2019), shows a strong main upper 400 tropospheric branch with a maximum near the main level of deep convective outflow at 12 km, 401 extending throughout most of the domain at all times (Fig. 11j). At night, a secondary circulation 402 driven by the LW cloud-top cooling flows in the opposite direction, similarly to what shown in 403 Fig. 9a for the night-only simulation. This upper level circulation pattern nearly disappears during 404 the day (Fig. 11k). In addition, the peak of the main circulation that drives the spreading of anvil 405 clouds shifts towards higher altitudes and lower IWP percentiles (thinner anvil clouds) during the 406 day, driven by the SW ACRE. 407

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⁴⁰⁹ ACRE-driven dynamical changes lead also to changes in RH_{ice} . Figure 12 provides a more ⁴¹⁰ detailed perspective on diurnal changes in RH_{ice} , temperature, and updraft velocities in thick anvil ⁴¹¹ clouds (88-98 IWP percentile, COD range of 10 to 50), intermediately thick anvils (70-88 IWP

percentile, COD range of 2.5 to 10) and thin anvils (30-70 IWP percentile, COD range of 1-2.5). 412 The strong radiatively driven ascent in thick anvils increases RH_{ice} during daytime hours (Fig. 12a). 413 However, the increase is only modest, rarely exceeding 1 % and is not observed in thinner anvil 414 clouds. In contrast, the RHice decreases during the day in the rest of the model domain, particularly 415 in the clear sky areas (Fig. 12b). This is caused by a combination of weak diurnal heating of the 416 clear sky portion of the domain by the SW absorption by water vapor (Fig. 12c) and conservation 417 of mass, which implies a stronger compensating subsidence in clear sky regions at times of elevated 418 upward mass flux in the anvil-covered part of the domain. The simulated diurnal changes in clear 419 sky RH_{ice} are comparable to those in Megha-Tropique satellite observations (Chepfer et al. 2019). 420 The dynamical cooling effect caused by within-anvil updraft motions during daytime is not strong 421 enough to compensate for the heating due to the SW absorption, leading to a slightly increased 422 anvil temperature in the afternoon hours (Fig. 12c). 423

424 1) DIURNAL VARIATIONS IN TURBULENCE AND MESOSCALE ASCENT

Figure 12d confirms that the frequency of updraft motions within anvil clouds (defined as updrafts 425 > 1 cm s⁻¹) is higher during daytime hours, with a clear peak around 12 LT for thick anvils, and 426 a similar, but less pronounced peak for intermediate anvils peaking 1-2 hours later in the early 427 afternoon. The peak in updraft frequency within thin anvils is delayed until approximately 16 LT 428 due to a slow dynamical response to their weak heating rate. Interestingly, the occurrence frequency 429 of strong updraft motions, representative of turbulence, shows the opposite behavior, peaking in the 430 night, and reaching minimum values during the afternoon hours (Fig. 12e). Turbulence is favored 431 when there is a heating dipole comprised of cloud-top radiative cooling and internal heating due 432 to radiation and latent heat release, which initiates in-cloud convection (Fig. 11d). The standard 433 deviation of in-cloud updraft velocity (Fig. 12f) shows a similar diurnal cycle, with a nighttime 434

⁴³⁵ peak and a minimum at about 14 LT for both thick and intermediate anvil clouds, and a delayed
 ⁴³⁶ afternoon minimum for thin anvils at about 17 LT.

437 2) DIURNAL VARIATIONS IN ICE MICROPHYSICAL PROPERTIES

Anvil cloud ice mixing ratio can vary from values close to 1 g kg⁻¹ in fresh anvils to 10^{-3} g 438 kg^{-1} in thin anvil clouds (Fig. 13a,b). Similarly, the simulated ice crystal number concentrations 439 often exceed 1000 L^{-1} in fresh anvils, with concentrations between 5 and 100 L^{-1} typical for 440 thinner anvil clouds (Fig. 13d,e). Ice crystal effective radius is inversely proportional to altitude; 441 the model simulates particle sizes of about 70 μ m at 8 km altitude, which decreases to about 442 10 μ m at 15 km as a result of gravitational settling of larger ice crystals and the slowdown of 443 depositional growth at cold temperatures (van Diedenhoven et al. 2020). Ice crystals are larger in 444 deep convective cores and fresh anvils, as the strong updrafts can overcompensate sedimentation 445 of both smaller and larger ice crystals (Fig. 13g,h). 446

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Changes in ACRE lead to differences in anvil cloud microphysical properties. Both ice mixing 448 ratio and ice crystal number concentration are more top heavy in the day compared with night (Fig. 449 13a-f). Most of the simulated anvil ice crystals originate from freezing within deep convective 450 updrafts. The variations in anvil ice crystals size and number are therefore indicative of changes 451 in detrained air parcel trajectories and not of new nucleation events outside of deep convective 452 cores as demonstrated by the small influence of ice nucleation on the evolution of idealized cloud 453 simulations (Figs. 5c,d and 8c,d). Upward motions during the day counteract sedimentation and 454 therefore support anvil clouds with larger ice crystal radii, particularly for intermediately thick and 455 thin anvil clouds (Fig. 13h).

457 3) DIURNAL VARIATIONS IN CONVECTIVE UPDRAFTS AND FRESHLY DETRAINED ICE MICROPHYSICAL 458 PROPERTIES

Diurnal variations in anvil cloud properties may partially depend also on the convective processes, 459 particularly the updraft velocity and its microphysical implications. Figure 14a, b shows the mean 460 values of updraft velocity in cloudy updrafts with vertical velocities larger than 1 m s⁻¹ that are 461 positively buoyant and its anomalies with respect to diurnal mean values. The convective updraft 462 velocities do not vary much throughout the day, with substantial anomalies occurring only above 463 the peak anvil detrainment level, where deep convective updrafts occur infrequently, leading due 464 to small sample sizes only to random fluctuations in updraft strength. Moreover, the fluctuations 465 largely disappear when computing median instead of mean velocities (not shown). Panels 14c-h 466 similarly show mean values (left column) and their anomalies with respect to diurnal mean (right 467 column) for ice cloud properties of freshly detrained anvil clouds, with a cloud age of less than 1 468 hour. The varibility of freshly detrained ice properties at the main detrainment level between 9 and 469 13 km is very limited and possibly related to variations in cumulus congestus and not anvil clouds. 470

471 **4. Discussion**

This work agrees with recent modeling (Ruppert and Hohenegger 2018; Ruppert and Klocke 472 2019) and observational studies (Deng and Mace 2008; Wall et al. 2020; Sokol and Hartmann 2020) 473 that point at the important role of daytime cloud heating by SW absorption in modulating the anvil 474 lifecycle. Our results confirm both hypotheses posed by Ruppert and Klocke (2019): SW heating 475 of anvils causes a daytime upper tropospheric increase in upward motion and consequently leads 476 to longer lived and more widespread anvil clouds. While Ruppert and Klocke (2019) and Ruppert 477 and O'Neill (2019) considered the role of SW heating in organized convection, our work points 478 out at an important role of the SW-driven ascent for non-organized convective systems, that were a 479

focus of our idealized and RCE simulations. SW radiative heating was based on ground radar mea surements hypothesized to drive diurnal variations in both cloud macrophysical and microphysical
 properties (Deng and Mace 2008), which was largely confirmed by our idealized model simulations.

Our work shows that despite being less frequent, daytime MCS play an important role in the 484 climate system by spawning anvil clouds supported by solar heating that ultimately cover a larger 485 fraction of the tropical maritime areas compared to anvils initalized in more frequent nocturnal 486 and early morning MCS. MCS were previously shown to contribute most to the total precipitation 487 in most of the tropics, thus controlling also the diurnal cycle of precipitation with a peak in the 488 early morning hours (Zipser and LeMone 1980; Fu et al. 1990), when the number of MCS is 489 maximal. This coincides with the diurnal BT frequency peak for BT<210 K shown in Fig. 1. In 490 contrast, the relatively less frequent daytime convection and MCS drives the afternoon-evening 491 peak in anvil cloud fraction of decreasing cloud optical depths and thus exhibits a strong control 492 on both SW and LW radiative fluxes. 493

494

Tropical anvil clouds are affected not only by slow, laminar, mesoscale circulations associated 495 with the diurnally enhanced in-cloud ascent but also by in-cloud convection. Ground radar mea-496 surements from the Tropical Western Pacific presented in Wall et al. (2020) show a larger variance 497 in updraft velocities during the night for thick and intermediate anvil clouds, which is consistent 498 with our findings and indicative of higher turbulence. The cloud top ice crystal number was found 499 to be smaller during night in CloudSat-CALIPSO observations (Wall et al. 2020), despite more 500 turbulent environmental conditions, favorable for new ice nucleation, which is agreement with our 501 modeling results. Our simulations indicate that most of ice crystals detrain from deep convection, 502 and thus subsequent ice nucleation within or at the edge of anvil clouds is not frequent enough to 503

significantly affect the ice crystal number budget. This is in contrast to Hartmann et al. (2018) who found that new ice nucleation is an important mechanism prolonging anvil cloud lifetime. However, their simulations used a fully cloud covered domain, in which the cloud could not dissipate by spreading into neighboring air. This spreading also disperses the cloud's turbulent kinetic energy over a larger area, decreasing the potential for in-cloud convection (Schmidt and Garrett 2013).

509

Our work offers support for hysteresis in anvil clouds. Anvil evolution takes a different pathway 510 depending on the amount of insolation during the fresh anvil stage. Anvils subjected to insolation 511 of about 800 W m⁻² or more maintain a constant cloud top height or even undergo lofting and 512 enhanced spreading that cannot be achieved at night, in the early morning, or in the late afternoon 513 (Fig. 15). This is consistent with the observational finding of Sokol and Hartmann (2020) that 514 fresh anvil clouds sink after detrainment at night but are maintained at higher altitudes during the 515 day. They speculated that the altitude, geometric thickness, and radiative heating rates of aged 516 anvil clouds are influenced by the time of day at which the cloud was detrained. Our findings are 517 consistent with this notion. 518

We also find that the time at which an anvil cloud is detrained influences the cloud's climatic 519 effects. In RCE simulations, deep convective activity peaks at 5 LT. A mere one-hour shift in 520 the timing of this peak could lead to substantially different anvil net CRE. A hypothetical shift of 521 convective detrainment from 5 to 6 LT would lead to a 3 W $m^{-2} \times day^{-1}$ more negative integrated 522 net CRE (or a 2 W m⁻² × day⁻¹ more positive integrated net CRE in the case of an opposite 523 shift from 5 to 4 LT) based on the simulated single cloud evolution simulations (Fig. 3). A 524 modeling study using a general circulation model in present and 4K warmer climate found a 4-hour 525 delayed convective activity peak in the warmer climate compared with the reference climate, that 526 contributed to a significant negative diurnal component of the cloud feedback (Gasparini et al. 527

⁵²⁸ 2021). However, more work is needed to understand whether a change in the diurnal cycle of deep ⁵²⁹ convection and anvil clouds in a warmer climate is a robust response to increased greenhouse effect ⁵³⁰ or only an artifact of a single climate modeling study.

531 5. Conclusions

In this study we first analyzed the diurnal variations in BT from Himawari geostationary satellite observations in the Tropical Western Pacific, which indicate an afternoon diurnal peak in anvil cloud fraction, in contrast to the early morning peak in deep convective activity and rainfall. The large time gap between the peak in convection and in anvil cloud fraction implies that the evolution of anvil clouds must differ between daytime and nighttime. In particular, the daytime anvils must be more widespread and/or long-lived compared with the nighttime anvils.

In order to explain this observed behavior we used idealized simulations with the SAM cloud-538 resolving model. We initialized each of the simulations with a cylindrical-shaped cloud, comparable 539 to freshly detrained, thick anvil clouds and let the cloud evolve freely. The only difference between 540 the simulations is their starting time; we started identical clouds at each hour, from 0 to 23 LT. The 541 clouds' evolution pathways differ substantially in terms of cloud lifetime, coverage, and climatic 542 effects. The absorption of SW radiation by ice crystals was found to be the key driver of diurnal 543 differences between simulated anvil clouds (Fig. 15). The anvil clouds exposed to insolation of 544 about 800 W m⁻¹ or more are able to support a mesoscale ascent that partially counteracts the 545 sedimentation of ice crystals and supports favorable conditions for cloud maintenance by keeping 546 the cloudy parcels saturated. The heating that the cloud experiences in tropical regions around 547 noon can be strong enough to loft the cloud. Moreover, the SW heating intensifies the radiatively 548 driven circulation, leading to a faster spreading of the cloud that in turn covers a larger surface area 549 (Fig. 15). On the other hand, nighttime anvil cloud top is dominated by the LW cooling, which

drives a circulation near cloud top that entrains drier environmental air into the cloud, eroding the 551 cloud top and shortening its lifetime. This effect weakens for more extensive anvil cloud systems. 552 The RCE simulation with a realistic diurnal cycle provides additional support for the results 553 The SW-driven mesoscale ascent both increases the cloud top of the idealized simulations. 554 altitude during the day and allows more and larger ice crystals near the anvil cloud top. Despite 555 experiencing elevated levels of turbulence that trigger more ice nucleation, nighttime anvils 556 contain fewer ice crystals near cloud top where nucleation is most likely to occur. The source of 557 ice crystal number by in-situ ice nucleation was found to be only of secondary importance for 558 anvil evolution, behind the dominant source of ice crystals by cloud droplet freezing within deep 559 convective updrafts. Cloud properties were not found to vary substantially at or immediately after 560 the deep convective detrainment. 561

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The evolution and climatic effect of anvil clouds largely differ based on the time of cloud initialization. It is crucial that models successfully reproduce the timing of deep convection and correctly represent the radiative-microphysical-dynamical interactions driving anvil decay. Only in this way can climate and cloud-resolving models successfully reproduce the tropical energy balance and lend credibility to their projections of future climate.

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Data availability statement. The Himawari-8 data were obtained from the Atmospheric Science Data Center of the NASA Langley Research Center and are available at https://earthdata.nasa.gov/. The satellite data from the A-Train Integrated CALIPSO, CloudSat, CERES were obtained from https://search.earthdata.nasa.gov. The Cirrus Guide II in-situ cloud data set is accessible under https://doi.org/10.34730/266ca2a41f4946ff97d874bfa458254c (Krämer et al. 2020). Data and analysis scripts necessary to generate the figures in the manuscript are available at 10.5281/zenodo.5534641.

584

APPENDIX A

585

Comparison of modelled tropical ice cloud properties with in-situ data

Cloud properties from a 30-day long free running RCE simulation are compared with 69 586 hours of aircraft observational data used in Krämer et al. (2020) from 3 field campaigns 587 in the Tropical Western Pacific ocean, namely: The NASA Airborne Tropical TRopopause 588 EXperiment (ATTREX) (Jensen et al. 2017), The NASA Pacific Oxidants, Sulfur, Ice, De-589 hydration, and cONvection (POSIDON) Experiment (https://espo.nasa.gov/posidon), and The 590 Convective Transport of Active Species in the Tropics (CONTRAST) Experiment (Pan et al. 591 2017). ATTREX and POSIDON measurements were focused on the tropical tropopause region, 592 collecting data mainly from thin cirrus at temperatures between -90°C and -60°C. In CONTRAST 593 Experiment, as a difference, clouds were sampled between the marine boundary layer and the 594 bottom of the tropical tropopause region at about 14 km altitude, including the altitude of main 595

deep convective detrainment. The plotted quantities refer to ice only properties at temperatures colder than -35°C and a mixture of cloud ice and liquid in the mixed-phase temperature range.

598

Despite its simple simulation setup, the model is able to reproduce many features of the tropical 599 climate, in particular the balance between the radiative cooling of the cloud-free atmosphere 600 and the convective heating, which initiates deep convection along with detrained ice clouds of 601 decreasing optical thickness. Sufficiently moist and cool upper tropospheric air parcels subjected 602 to updraft motion initiated by gravity waves can, moreover, nucleate in-situ cirrus clouds through 603 both homogeneous and/or heterogeneous ice nucleation (Shi et al. 2015). The median total water 604 content (TWC) decreases with decreasing temperature from values of 10^{-3} to 10^{-2} g m⁻³ at 605 temperatures warmer than -40°C to 10^{-5} g m⁻³ at T of -80°C (Fig. A1a), as expected by the 606 decreasing availability of vapor for deposition growth (van Diedenhoven et al. 2020). In contrast, 607 cloud number concentrations increase with decreasing temperatures, although the variation is not as 608 pronounced as for TWC (Fig. A1d). The mean mass radius increases with increasing temperatures 609 until a maximum size is reached at about -35°C. The subsequent decrease is a result of a mixture of 610 large ice crystals with smaller and numerous cloud droplets and ice crystals formed by secondary 611 ice production (Fig. A1g). 612

The model is able to reproduce most of the observed relationships as shown by the middle column. Nevertheless, the comparison reveals a general underestimation of the TWC (Fig. A1c), an underestimation of ice crystal number at temperatures colder than -60°C (Fig. A1f) and a slight underestimation of ice radius at temperatures between -60°C and -40°C (Fig. A1i). There are two possible origins of the mentioned biases:

the models' tendency to produce too numerous very thin ice clouds due to numerical diffusion.
 Such clouds, however, do not exert any significant climate forcing and therefore do not significantly bias the modeled radiative balance.

2. The biases may be partly attributed to the limitations in in-situ retrievals, given that the clouds
 containing low concentrations of small ice crystals cannot be detected with current particle
 measurement techniques (Krämer et al. 2016; Baumgardner et al. 2017).

⁶²⁴ Finally, the model lacks the Brewer-Dobson circulation, which leads to a warm bias in the ⁶²⁵ tropopause region and the underestimation of the in-situ formed thin tropopause cirrus. The ⁶²⁶ increase in all ice properties at the coldest modeled temperatures is a result of penetrating deep ⁶²⁷ convective outflow, which is infrequent and not sampled by the observational dataset.

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APPENDIX B

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Relationship between brightness temperature and high cloud optical depth

In this appendix, we justify our claim from Section 3a that variability in the BT distribution 630 reflects the evolution of anvil clouds. We examine the relationship between BT and COD using 631 BT measurements from MODIS and cloud property, cloud top height, and COD retrievals from 632 DARDAR-CLOUD v2.1.1. We use a full calendar year (2009) of measurements from the Tropical 633 Western Pacific ($12^{\circ}S-12^{\circ}N$, $150^{\circ}E-180^{\circ}E$). The MODIS $11-\mu m$ BT measurements are obtained 634 from the Level 2 Cloud Product (Platnick et al. 2017) and have a 5 × 5-km resolution. The DARDAR 635 (raDAR-liDAR) retrievals combine measurements from CloudSat's radar and CALIPSO's lidar to 636 estimate the optical and microphysical properties of ice clouds (Delanoë and Hogan 2008). The 637 vertical resolution is 60 m and the retrieval profiles have a horizontal spacing of about 1.1 km. We 638 correct for the diurnal cycle of lidar sensitivity by removing cloudy pixels that were detected by 639

the lidar only if they have a visible extinction coefficient below 0.12 km⁻¹, as described in Sokol and Hartmann (2020). For each DARDAR retrieval profile, we calculate COD for each individual cloud layer by vertically integrating the visible extinction coefficient. We then use nearest-neighbor interpolation to find the associated BT, which is only considered valid if the distance between the retrieval profile and the center of the nearest MODIS pixel is less than 3.5 km. Because the BT pixel dimensions are larger than DARDAR's horizontal resolution, each BT measurement can be associated with several COD retrievals.

There are several factors that cause the COD distribution associated with any particular BT to be 647 wide. Some of these factors are physical. For example, the emission temperature of a cloud with 648 fixed COD will vary depending on cloud altitude and microphysical structure, and BT can further 649 be affected by the presence of additional cloud layers below a high, thin cirrus. Then there are the 650 factors associated with the retrievals themselves, such as the DARDAR-CLOUD retrieval error (see 651 Cazenave et al. (2019) for an in-depth discussion) and the fact that retrievals are only performed 652 for ice-phase clouds. The latter's influence is likely small, since the liquid-phase clouds of the 653 boundary layer have emission temperatures similar to that of the surface. Finally, there are factors 654 related to the colocation methods we have used to match MODIS BT and DARDAR-CLOUD 655 COD observations. The main source of error here is the previously noted discrepancy between 656 the MODIS and DARDAR horizontal resolutions. Consider a hypothetical but illustrative case 657 in which a 25-km² area is covered in part by a deep convective core and in part by cloud-free 658 conditions. The core and ocean surface are associated with BTs in the realm of 200 and 300 K, 659 respectively. The MODIS observation for this area will record a BT somewhere in between these 660 two extremes, while some of the associated DARDAR retrievals will high COD and others will 661 have zero COD. Despite these sources of error, we believe the analysis presented here allows for a 662 solid understanding of the relationship between BT and COD. 663

The COD distributions for 10-K BT bins are shown in Fig. B1. The left column shows COD 664 distributions for the 67% of cloudy profiles that contain one ice cloud layer. Figure B2 shows 665 a joint histogram of BT and cloud top height (CTH) for these one-layer profiles. BTs between 666 190-200 K correspond to optically thick clouds with CTH above 14 km; these are deep convective 667 cores and fresh, optically thick anvils. As BT increases from 220 to 290 K, the COD distribution 668 shifts progressively to smaller values. At the same time, the CTH distribution varies very little, 669 remaining centered in the 14.5-16 km range. There are a small number of observations with CTH 670 below 10 km in the 250-290 K BT range, which we suspect are mid-level clouds with glaciated 671 tops. But these instances are rare, suggesting that BT is controlled by high cloud optical thickness 672 rather than cloud altitude. 673

The right column of Fig. B1 shows COD distributions for the 25% of cloudy profiles that contain 674 two ice cloud layers. The uppermost cloud layers in these profiles are nearly always cirrus clouds 675 with CTH above 10 km. As expected, their COD distributions (blue shading) follow a pattern 676 similar to that seen in one-layer profiles. The lower layers, on the other hand, are more diverse. 677 About half of the lower layers between 200-290 K are also cirrus clouds, with CTH above 10 km 678 and relatively small COD. The remainder have CTH below 10 km and a wide range of COD. We 679 speculate that these are mid-level, partially glaciated cumulus clouds that produce a COD signal 680 corresponding only to their glaciated portions. In profiles near deep convection, it is also possible 681 that the lower layers are mid-level outflow plumes from convective cores. Profiles with three or 682 more layers (not shown) account for only 7% of cloudy profiles. 683

The warmest BT bin (290-300 K) accounts for 42% of the BT measurements in our data set. A majority of the profiles in this BT range do not contain any ice cloud layers (58%). Nearly all of the cloud-containing profiles contain one or two cirrus layers with CTH above 10 km and an average COD of 0.28. The relationships between BT and COD examined here suggest that BT is most often a reflection of cirrus COD, with the exception of the lowest BTs associated with deep convective cores. Figure B2 supports this finding, showing that the CTH distribution in one-layer profiles is relatively constant across the observed BT range. This conclusion is to be expected, first because cirrus are the dominant cloud type in tropical convective regions, and second because cirrus altitude varies little compared to cirrus COD. Based on these findings, it is reasonable to attribute variations in the BT distribution to cirrus cloud evolution.

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APPENDIX C

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Anvil cloud representation binned by their respective ice water path

Free tropospheric clouds in tropical deep convective regions are dominated by anvil clouds of various COD and IWP. The evolution of tropical high clouds of significant COD typically begins with deep convective detrainment: such clouds contain the highest IWP (on the order of kg m⁻²) and the largest COD. They quickly lose ice by precipitation and sublimation and continue their lifecycle as anvil clouds of decreasing COD until reaching the thin cirrus stage, when they become difficult to distinguish from the very thin in-situ nucleated clouds typical of the tropical tropopause layer.

We therefore group tropical high clouds by their IWP into 50 bins. Each of the bins contains the same amount of data points (2%) and thus covers exactly the same portion of the total surface area of the domain. We implemented a new model tracer that is set to 1 in all positively buoyant grid boxes with updrafts larger than 1 m s⁻¹ that contain at least 10^{-3} g kg⁻¹ of condensed water (either liquid or ice) and decays with a half-life of 30 minutes elsewhere. The tracer helped us estimate the time that has passed since the deep convective detrainment. The cloudy air parcels in the highest IWP bin have been detrained from deep convective updrafts about 1.7 hours earlier, on

average. The cloud age increases quickly, reaching 5 hours at the 84th IWP percentile with COD 711 of about 7 and an IWP of 100 g m⁻² (Fig. C1a-c). Shortly thereafter, at COD of about 4 and age of 712 6 hours, the LW CRE becomes dominant over the SW CRE, and the cloud on average shifts from 713 a state with net negative towards net positive CRE (Fig. C1b). The cloud continues to lose IWP 714 until reaching values of about 10 g m⁻² near 60th percentile bin at an average cloud age of about 7 715 hours. The cloud evolution slows down at this stage as indicated by the flattening of the cloud age 716 trajectory, despite continuing to lose IWP. As a difference, the lowest 20 percentile bins result in a 717 steep increase in cloud age, indicating a change of regime, which may be associated with optically 718 very thin in-situ formed cirrus that may not be directly connected with the initial deep convective 719 detrainment. Typical COD for such clouds range between 0.01 and 1, significantly lower than 720 what shown by the COD plot in Fig. C1b, likely because of the effect of the underlying clouds. 721 Interestingly, the SW CRE increases with increasing IWP percentile values until reaching the 95th 722 percentile. The thickest anvils and deep convective outflow preferentially occur during the early 723 morning hours in absence of insolation, therefore decreasing the SW CRE while still contributing 724 to an increasing LW CRE. 725

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Abbreviation	Meaning
ACRE	atmospheric cloud radiative effect
BT	brightness temperature
CRE	cloud radiative effect
CNC	cloud number concentration
COD	cloud optical depth
СТН	cloud top height
LT	local time
IWC	ice water content
IWP	ice water path
LW	longwave radiation
RCE	radiative-convective equilibrium
R _{cloud}	cloud mean mass radius
RH _{ice}	relative humidity with respect to ice
SAM	System for Atmospheric Modeling
SW	shortwave radiation
TWC	total water content

TABLE 1. List of abbreviations.

TABLE 2.	А	list	of	performed	simulations.
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Simulation	insolation	Description
1. Cloud in the middle of the domain		
ctrl-real	realistic diurnal cycle	full physics, 24 simulations initialized between 0 and 23 LT
small-real	realistic diurnal cycle	full physics, as ctrl-real but with inital cloud diameter of 30 km
large-real	realistic diurnal cycle	full physics, as ctrl-real but with inital cloud diameter of 120 km
day/night-only	day (1300 W $m^{-2})$ and night (0 W $m^{-2})$	full physics, as ctrl-real but with constant insolation
no-freezing	day (1300 W m ⁻²) and night (0 W m ⁻²)	as day/night-only but with no ice nucleation
no-ACRE	day (1300 W $m^{-2})$ and night (0 W $m^{-2})$	as day/night-only but with no ACRE
no-sublimation	day (1300 W m $^{-2})$ and night (0 W m $^{-2})$	as day/night-only but with no sublimation
no-sedimentation	day (1300 W $m^{-2})$ and night (0 W $m^{-2})$	as day/night-only but with no sedimentation
2. RCE	realistic diurnal cycle	50-day simulation in radiative-convective equilibrium

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Evolution of ice water path

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FIG. 6. Time evolution of radiative heating (a,b) and vertical velocity (c,d) for clouds in perpetual night (a,c) and perpetual midday conditions (insolation of 1300 W m⁻²) averaged over the cloudy portion of the domain (where condensed water >10 mg kg⁻¹). Gray contour lines represent ice mixing ratio isolines of 1 and 10 mg kg^{-1} .



FIG. 7. Selected column vertically integrated mass (a-c) and number (e-g) microphysical tendencies, including the sedimentation flux (d) for perpetual day and night simulations.



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FIG. 10. The ratio of IWP (a) and cloud fraction (b) between simulations started at 21 LT and 9 LT. A fraction smaller than 1 means that the selected quantity is at the given time smaller in the simulation started at 21 LT compared to the simulation started at 9 LT. A fraction larger than 1 means that the selected quantity is at the given time larger in the simulation started at 21 LT compared to the simulation started at 9 LT. The small-real initial cloud diameter is 30 km, ctrl-real is 60 km, and large-real is 120 km.

FIG. 11. Cloud age (a-c), radiative heating (d-f), vertical velocity (h-i) and streamfunction (j-l) binned by ice water path (IWP) for night (0-4 local time, left column) and day (12-16 local time, middle column). The right column represents the absolute anomaly between day (left column) and night (middle column) quantities. The black contour lines represent cloud fraction of 0.9, 0.5, and 0.1. Hatching is applied in the right column to areas that not significant at 1 percent level.

FIG. 12. Diurnal cycle of RH_{ice} for thick, intermediate and thin anvil clouds (a) and clear sky regions (b) averaged for altitudes between 10 and 15 km. The deviation of the temperature from the mean over the diurnal cycle between 10-15 km altitude is plotted in panel (c). Panels d-f show an in-cloud vertical velocity analysis for the upper portions of the anvil clouds (12-15 km altitude), namely: the frequency of vertical velocity > 1 cm s⁻¹ (d), frequency of vertical velocity > 50 cm s⁻¹ (e), standard deviation of vertical velocity (f).

FIG. 13. In-cloud ice mixing ratio (a-c), ice crystal number (d-f), and ice crystal radius (g-i) binned by ice water path (IWP) for night (0-4 local time, left column) and day (12-16 local time, middle column). The values are averaged over the cloudy portion of the domain (condensed water >1 mg kg⁻¹). The right column represents the absolute anomaly between day (left column) and night (middle column) quantities. The black contour lines represent cloud fraction of 0.9, 0.5, and 0.1. Hatching is applied in the right column to areas that not significant at 1 percent level.

FIG. 14. Diurnal variations of the mean deep convective updraft velocity, diagnosed only for updrafts stronger than 1 m s⁻¹ and of the microphysical properties for clouds within the first hour after detrainment. The right column represents the anomalies from the diurnal average values computed separately for each vertical layer.

FIG. 15. Main mechanisms that lead to diurnal changes in anvil clouds.

Fig. A1. Total water content (TWC, a-c), cloud number concentration (CNC, d-f), and cloud mean mass radius (R_{cloud} , g-i) from in-situ measurements sampled in 3 tropical Pacific field campaigns (left column) and from the RCE model simulation (middle column). The mass mean radius is defined as $R_{cloud} = (3TWC/4\pi\rho N_{cloud})^{1/3}$. The data are sorted in 4°C temperature bins. The colors represent the occurrence frequency of one of the 3 cloud properties, normalized to reach 100% in each of the temperature bins. The green and blue lines represent the median values of the in-situ and model data in all subplots, including the right column. The colors in the right column represent the occurrence frequency anomaly between the first two columns.

One-Layer Profiles

Two-Layer Profiles

¹⁰⁵³ Fig. B1. Distributions of cloud optical depth for different brightness temperature classes. Left column: ¹⁰⁵⁴ retrieval profiles with one ice cloud layer. Right column: profiles with two ice cloud layers.

Fig. B2. Joint histogram of brightness temperature and cloud top for profiles with a single ice cloud layer. The histogram is normalized by brightness temperature bin such that the values in each column sum to unity. The navy bar chart shows the relative frequency of each BT bin in the study region. Data are for both day and night.

¹⁰⁵⁸ Fig. C1. Anvil cloud age (a), cloud optical depth (b), ice water path (IWP) (c) and top of the atmosphere cloud ¹⁰⁵⁹ radiative effects (CRE) (d) binned by ice water path percentiles.