# On the size dependence of cumulus cloud spacing

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#### Abstract

In this study the spatial structure of Trade Wind shallow cumulus populations is investigated as diagnosed from large-domain high resolution cloud-resolving simulations. The main objective is to establish how inter-cloud spacing depends on cloud size, information that is crucial for understanding cloud-radiation interaction and spatial organization, and for informing grey zone parametrizations. A high-resolution cloud-resolving ICON simulation of Caribbean shallow convective cloud fields is used, based on the NARVAL South field campaign. The size statistics of the simulated cloud population are found to compare well to those derived from available satellite images. Four expressions for the nearest neighbor spacing are analyzed, including classic definitions but also novel ones. We find that the dependence of cloud spacing on cloud size strongly depends on this definition. The relation is exponential for the spacing between clouds of similar size, while it is logarithmic for the spacing between clouds of any size. Further analysis suggests that the logarithmic dependence is caused by the abundance of closely-spaced small clouds. The exponential size-dependence is argued to reflect the mesoscale dynamics driving the horizontal size of large convective cells. The implications of the obtained results are briefly discussed.

# On the size dependence of cumulus cloud spacing

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# 4 Key Points:

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5	• Cloud spacing in Trade wind cumulus populations is investigated using super-large-
6	domain high-resolution simulations
7	• Various definitions of cloud neighbor spacing are analyzed, including both clas-
8	sic and novel formulations
9	• Both logarithmic and exponential dependencies on cloud size are reported, reflect-

ing differences in the spatial distribution of small and large clouds

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#### 11 Abstract

In this study the spatial structure of Trade Wind shallow cumulus populations is inves-12 tigated as diagnosed from large-domain high resolution cloud-resolving simulations. The 13 main objective is to establish how inter-cloud spacing depends on cloud size, informa-14 tion that is crucial for understanding cloud-radiation interaction and spatial organiza-15 tion, and for informing grey zone parametrizations. A high-resolution cloud-resolving ICON 16 simulation of Caribbean shallow convective cloud fields is used, based on the NARVAL 17 South field campaign. The size statistics of the simulated cloud population are found to 18 compare well to those derived from available satellite images. Four expressions for the 19 nearest neighbor spacing are analyzed, including classic definitions but also novel ones. 20 We find that the dependence of cloud spacing on cloud size strongly depends on this def-21 inition. The relation is exponential for the spacing between clouds of similar size, while 22 it is logarithmic for the spacing between clouds of any size. Further analysis suggests that 23 the logarithmic dependence is caused by the abundance of closely-spaced small clouds. 24 The exponential size-dependence is argued to reflect the mesoscale dynamics driving the 25 horizontal size of large convective cells. The implications of the obtained results are briefly 26 discussed. 27

<sup>28</sup> Plain Language Summary

Shallow cumulus cloud fields persistently cover large areas in the marine subtrop-29 ics. These low level clouds play an important role in Earth's energy balance, because of 30 the associated vertical transport of heat and moisture and their impact on radiation. Weather 31 and climate models still struggle to correctly represent these cloud populations, which 32 is partially due to our prevailing lack of understanding of their spatial structure. In this 33 study unprecedented large-domain high resolution simulations and satellite images are 34 used to investigate cloud spacing in more detail, revisiting classic studies that were purely 35 based on observational data. The results show that in general cloud spacing increases 36 with cloud size. However, the relation between size and spacing strongly depends on the 37 way the spacing is defined: spacing between clouds of any size behaves logarithmic, while 38 spacing between clouds of equal size shows an exponential size dependence. The results 39 provide more insight into spatial organization of cumulus clouds, and can guide ongo-40 ing efforts to improve the representation of these clouds in circulation models. 41

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## 42 **1** Introduction

Cumuliform low-level clouds persistently cover large areas of the marine subtrop-43 ics (Norris, 1998). The way these cloud fields interact with the atmospheric circulation 44 and respond to a warming global climate, are complex scientific problems that are not 45 completely understood yet (M. Zhang et al., 2013; Narenpitak et al., 2017). This lack 46 of understanding is reflected in long-standing shortcomings in their representation in weather 47 and climate models (Nam et al., 2012), and in their significant contribution to uncer-48 tainty in future climate predictions (Bony & Dufresne, 2005; Sherwood et al., 2014; Vial 49 et al., 2016). 50

The spatial variability of Trade wind cumulus cloud fields, in particular the spac-51 ing between individual cumulus clouds, has been identified as a key element for under-52 standing their role in Earth's climate system. For example, spatial aggregation is involved 53 in the interaction between cloud fields and a changing climate (Wing & Cronin, 2015; 54 Bretherton & Blossey, 2017; Wing, 2019). The spacing between clouds also strongly af-55 fects how they interact with solar and terrestrial radiation, in particular when the three-56 dimensionality of radiative fluxes is taken into account (Jakub & Mayer, 2017). Cloud 57 spacing also plays a role in the "grey zone problem", which stands for the situation that 58 previously unresolved convective processes are becoming (partially) resolved at the high 59 resolutions now feasible in general circulation modeling (Wyngaard, 2004; Honnert et 60 al., 2020). While spatial information is needed to make convection schemes scale-aware 61 and scale-adaptive (Neggers, 2015; Brast et al., 2018), cloud spacing also affects the stochas-62 ticity in convective properties in the grey zone (Neggers et al., 2019). 63

Observational research of cloud spacing goes back decades. Early studies mostly 64 relied on high-altitude photography (Plank, 1969), satellite images (Sengupta et al., 1990) 65 and scanning radar (Ali, 1998). Joseph and Cahalan (1990) first investigated the depen-66 dence of cloud spacing on cloud size, analysing satellite snapshots of cumulus clouds at 67 various locations on the globe. They reported a positive linear relation between cloud 68 size and the Nearest Neighbor Spacing (NNS), suggesting that larger clouds have a big-69 ger spacing. However, the spread in this relation was large, argued to be due to differ-70 ences in meteorological and surface conditions between the snapshots. Later studies used 71 the cumulative distribution function of NNS to quantify the spatial organization in a cloud 72 field (Weger et al., 1992; Nair et al., 1998), yielding an organizational metric that has 73

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74 75 become frequently used (Weger et al., 1993; Tompkins & Semie, 2017). However, the size dependence in cloud spacing has not been revisited since those early days.

Large-Eddy Simulations (LES) can well be used to study cloud spacing. A key ad-76 vantage of LES over satellite images is the access it provides to full four-dimensional fields 77 at high spatial-temporal resolutions. First-generation LES studies of cumulus cloud size 78 distributions were still severely limited by domain size, which made these numerical ex-79 periments less useful for studying cloud spacing (Neggers et al., 2003). However, ongo-80 ing advances in supercomputing are currently allowing a dramatic increase in the domain 81 sizes that can be applied (Heinze et al., 2017; Senf et al., 2018; Vial et al., 2019). As a 82 consequence, the simulated cloud populations also become much more complete, which 83 is particularly important for the largest cloud sizes. These large clouds occur more fre-84 quently and abundantly in a larger domain, and are no longer dynamically constrained 85 in an artificial way by a too small domain size. As a result, the dependence of cloud spac-86 ing on cloud size can now reliably be investigated with LES across a much broader spec-87 trum of cloud sizes than was previously possible. While the NNS has appeared in some 88 recent LES studies (Neggers et al., 2019), the unique new opportunities created by the 89 use of a large domain size for studying cloud spacing have not yet been fully exploited. 90

In this study we revisit the classic problem of cloud spacing in Trade Wind cumu-91 lus cloud fields, now using both super-large domain LES and satellite imagery, in com-92 bination. Our prime objective is to gain more insight into the dependence of cloud spac-93 ing on cloud size. Use is made of a high-resolution cloud-resolving simulation performed 94 with the ICON model (Zängl et al., 2014) of Caribbean shallow convective cloud fields 95 as observed during the recent NARVAL-South campaign near Barbados (Klepp et al., 2014). These simulations were generated in the context of the  $HD(CP)^2$  project (High 97 Definition Clouds and Precipitation for Advancing Climate Prediction). The combina-98 tion of a large domain  $(150 \times 400 \text{ km}^2)$  with a cumulus cloud-resolving horizontal res-99 olution (150 m) allows a statistically significant investigation of cloud spacing across a 100 broad spectrum of cloud sizes, including very large ones. The location over the ocean 101 ensures fairly homogeneous conditions concerning the state of the atmosphere and sur-102 face characteristics. The simulated cloud populations are compared to statistics derived 103 from MODIS satellite images at 250 m resolution. A set of four definitions of the NNS 104 is examined, including spacing between clouds of any size, spacing between clouds of sim-105 ilar size, and using both center-to-center and edge-to-edge distancing. The analysis fo-106

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cuses on the dependence of NNS on cloud size. For reference, the obtained results are
 compared to i) reference NNS values reflecting purely random distributions, and ii) re sults from the classic observational studies on cloud spacing as mentioned above.

The data and methods used in this study are described in Section 2. Section 3 then presents the results, including an assessment of the state of the cloud field and its evolution, population statistics and their comparison to observations, and a detailed analysis of the cloud spacing. In Section 4 the main results are further interpreted, focusing on the size dependence in the cloud spacing. Section 5 then provides a brief summary of the main results and conclusions, and gives an outlook on future research inspired by this study.

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#### 2 Data and methods

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# 2.1 20 December 2013

The NARVAL-South Campaign took place throughout December 2013 and Jan-119 uary 2014 in the Caribbean Trade wind region upwind of Barbados, with the HALO air-120 craft functioning as the main instrument platform (Klepp et al., 2014). The target area 121 of NARVAL-South is not routinely sampled by state-of-the-art meteorological instrumen-122 tation, with only a few permanent sites on islands far apart (Stevens et al. 2016, Lamer 123 et al. 2015). Accordingly, NARVAL-South had the aim of filling the existing data gap 124 on Atlantic Trade wind cumulus to support observational data analyses (Schnitt et al., 125 2017; Jacob et al., 2019) as well as high-resolution simulation efforts (Reilly et al., 2019; 126 Naumann & Kiemle, 2020). 127

The day of interest for this study is 20 December 2013, on which HALO performed 128 Research Flight 08 (RF08). The MODIS Terra satellite image shown in Figure 1a gives 129 a good impression of the cloud field on this day, showing a cumulus cloud population fea-130 turing a broad range of cloud sizes. Such cloud patterns are typical for the Caribbean 131 Trade wind region (Bony et al., 2020). Figure 1b zooms in on the domain of interest up-132 wind of Barbados, indicating that in this region the cloud field was dominated by small-133 scale low level boundary layer cumulus with only a few larger 'flowers' present. The lat-134 ter represent stratiform cumulus outflow near the Trade inversion. The MODIS reflectance 135 is available at 250m gridspacing, which is comparable to the discretization of the LES 136 experiment used in this study. 137

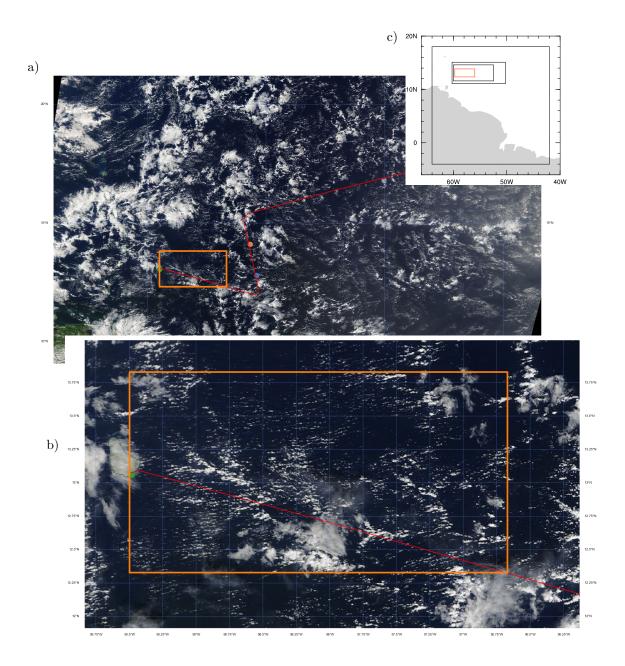


Figure 1. MODIS Terra corrected reflectance (true color) images for 20 December 2013. a) Caribbean at 1km resolution, and b) the area upwind of Barbados at 250m resolution. The Island of Barbados is visible in panel b), on the left. Panel c) gives an overview of all four ICON domains simulated with ICON LES. The orange box always indicates the inner ICON domain resolved at 150m resolution of which the results are used in this study, and within which the MODIS data is also analyzed. The HALO flight path is shown as a red line, while the locations of the first two dropsondes of HALO RF08 are indicated by the blue and orange dots. The Barbados radiosonde sounding is indicated by the green dot. Geotiff data obtained through NASA Worldview (https://worldview.earthdata.nasa.gov/).

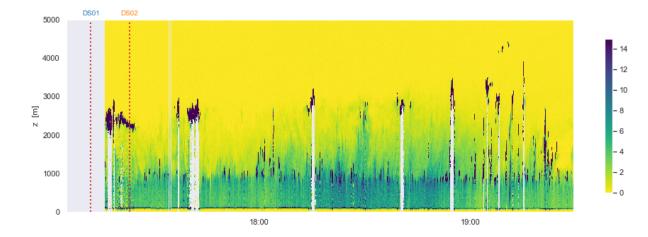


Figure 2. Time-height plot of backscatter at 532 nm as sampled by the HSRL instrument as part of the WALES system onboard HALO during RF08. Only the first part of RF08 is shown during which the observed boundary layer structure was approximately similar. Clouds show up as black areas. Dropsondes DS01 and DS02 are indicated by the dotted red lines.

More detailed information about the boundary layer cloud field in the target area 138 is provided by the WALES instrument onboard HALO, as shown in Fig. 2. Only the first 139 two-hour time segment of RF08 flight is shown during which defining features of the Trade 140 wind boundary layer remained relatively unchanged, such as the cloud base and cloud 141 top heights. After this period HALO entered a region in which the cloud structure deep-142 ened profoundly, losing these typical features. This motivated using 19:30 UTC as the 143 upper time limit for the analysis of WALES cloud data. The clouds, showing up as black 144 areas, are profoundly broken, and include many small cumulus clouds rooting in the sub-145 cloud layer as well as remnants of outflow situated below the inversion. These cloud pop-146 ulation statistics are similar to those discussed by (Naumann & Kiemle, 2020). 147

Figure 3 shows observed vertical profiles sampled in or in the direct vicinity of the 148 simulated domain. These locations are also indicated in Fig. 1a. Included are a radiosonde 149 sounding at Barbados (at 12:00 UTC), the first two dropsondes DS01 and DS02 during 150 HALO RF08 (launched at 17:12 and 17:23 UTC) just outside the simulated domain in 151 upwind direction, and the cloud fraction profile as derived from the WALES data dis-152 cussed above. The typical features of a shallow cumulus topped Trade wind boundary 153 layer are evident, such as a well-mixed subcloud layer and a conditionally unstable cloud 154 layer which is capped by an inversion layer situated between 2200-2600 m height. The 155

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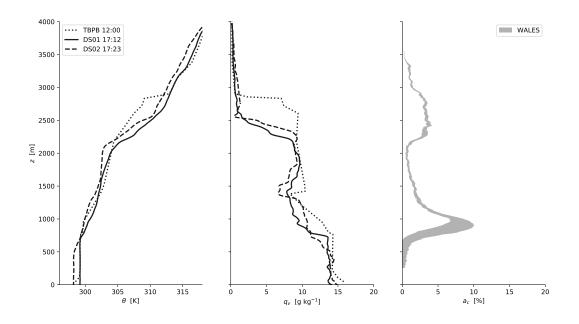


Figure 3. Observed vertical profiles of a) potential temperature  $\theta$ , b) water vapor specific humidity  $q_v$  and c) cloud fraction  $a_c$ . Shown are the 12:00 UTC radiosonde sounding from the TBPB station at Barbados (dotted), the first two DropSondes (DS) from HALO RF08 launched at 17:12 (solid) and 17:23 UTC (dashed), and the WALES HSRL measurements above 250m height and time-averaged over the first two hours of RF08 (shaded grey). The horizontal range for WALES indicates the difference between the backscatter thresholds of 10 and 20 Mm<sup>-1</sup> sr<sup>-1</sup>.

lower free troposphere above the inversion is statically stable and very dry in all soundings, containing almost no water vapor. The cloud fraction profile shows the double peak
structure typical of Trade wind cumulus as found in numerous previous studies (Stevens
et al., 2001; vanZanten et al., 2011; Nuijens et al., 2014). This structure reflects the presence of cumuli above the top of the mixed-layer and cumulus outflow near the inversion
as seen in Fig. 2.

All thermodynamic soundings are strikingly similar concerning the vertical structure of the boundary layer, apart from a slightly higher inversion over Barbados which might be an island effect. This good agreement between soundings that are separated quite far in both space and time suggests that the boundary layer structure was approximately in steady state as well as reasonably homogeneous across the target domain selected for simulation.

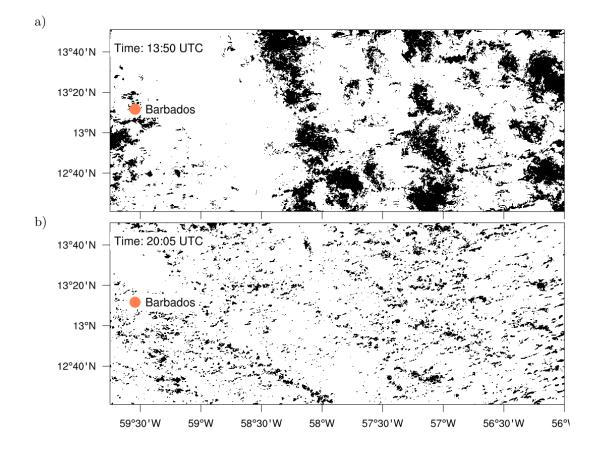


Figure 4. Snapshots of the simulated clouds on 20 December 2013 during the NARVAL-South field campaign. Shown is the cloud mask based on projected liquid water in ICON resolved at 150 m for a) 13:50 UTC and b) 20:05 UTC. Cloudy grid-points are black, while cloud-free points are white. The orange dot shows the location of the island of Barbados.

## 2.2 ICON simulations

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The simulation data used in this study to investigate the NNS in Trade Wind cu-169 mulus were generated in the context of the  $HD(CP)^2$  project with the Icosahedral Non-170 hydrostatic (ICON) model (Zängl et al., 2014; Heinze et al., 2017). At the top of the model 171 hierarchy are the regional ICON simulations described by Klocke et al. (2017), which con-172 sist of a set of four one-way nested domains. At its boundaries the outer domain is forced 173 by three hourly ECMWF forecast data. Their inner domain, simulated at a 1.2 km hor-174 izontal resolution, functioned as the outer domain for the higher resolution LES exper-175 iments considered in this study. The configuration of these ICON LES simulations is de-176 scribed in detail by the recent studies of Stevens et al. (2019), Vial et al. (2019) and Naumann 177 and Kiemle (2020), and accordingly only a brief summary will be provided here. Three 178

further nested domain are included, with resolutions of about 600, 300 and 150 m. This 179 yields a total of four domains simulated with LES, as shown in Figure 1c. The inner high-180 resolution domain (indicated as an orange box) is used in this study for the analysis of 181 cloud spacing. It spans approximately  $150 \times 400 \text{ km}^2$  in the horizontal and 21 km in 182 the vertical, discretized at 150 levels (with 30 levels in the lowest 2 km). With a hori-183 zontal resolution of 150 m the resolution of the inner domain is high enough to switch 184 off all subgrid parametrizations except the ones for the surface layer, turbulence, cloud 185 microphysics (Baldauf et al., 2011), and radiation (Mlawer et al., 1997). 186

The simulation starts at 12 UTC and ends 12 hours later. Every 15 minutes, a 3D 187 field of liquid water is available as output, which serves as input for the clustering al-188 gorithm (as described in the next subsection). Figure 4 shows snapshots of the cloud mask 189 based on the vertically integrated liquid water at two points in time in the simulation. 190 The domain contains numerous resolved clouds, up to approximately 4500 per snapshot. 191 This sample size, in combination with the broad range of resolved cloud sizes, make these 192 simulations useful for studying spacing between clouds. The surface conditions are rel-193 atively homogeneous, so that any spatial organization in the cumulus cloud field will be 194 mainly due to large-scale effects or domain-internal dynamics. A simple comparison by 195 eye to the satellite image in Figure 1b suggests that at the later time point the simulated 196 cloud field agrees better with the observed cloud field, lacking the large cloud decks present 197 in the earlier snapshot that likely reflect model spin up effects. 198

A thorough evaluation of LES results against measurements is of crucial importance 199 for gaining confidence in the model and to justify its use for scientific research. This study 200 will make simple comparisons of the simulated boundary layer clouds to the observational 201 data discussed above. In addition, the ICON LES experiments performed for NARVAL-202 I have already been thoroughly confronted with available observational data in previ-203 ous studies (Stevens et al., 2019; Vial et al., 2019; Naumann & Kiemle, 2020). This study 204 builds on the encouraging results coming out of these model evaluations concerning the 205 basic state of the trade wind boundary layer. The main focus is then to gain insight into 206 the two-dimensional spatial statistics of the simulated cloud population, thus using the 207 simulation as a virtual laboratory. Comparisons of these characteristics will be made be-208 tween the LES and available satellite imagery. To this purpose a clustering algorithm 209 is used, which is described next. 210

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## 211 2.3 Clustering algorithm

A clustering algorithm is used to compute the cloud sizes and locations from out-212 put on the model grid. To this purpose the GRIDCLUS algorithm is applied (Schikuta, 213 1996), which has been used in many LES studies of cumulus cloud fields (Neggers et al., 214 2003, 2019; van Laar et al., 2019). The liquid water field is projected on the surface and 215 a grid cell is considered cloudy if the integrated liquid water path is bigger than the model 216 threshold of  $1 * 10^{-8}$  kg/kg. If two cloudy cells share a cell edge, they are considered 217 part of the same cloud. Cloud size is defined as the radius of a circle that has the same 218 area of the cloudy grid cells belonging to the cloud (Rieck et al., 2014). The center of 219 mass of the cloud is taken as the center of the circle, the coordinates of this point are 220 used for determining the spacing between the clouds. 221

## 2.4 Nearest Neighbor Spacing

The first spacing considered is the distance between a cloud and its closest neigh-223 bor, regardless the size of the latter. This distance, hereafter referred to as NNS, is de-224 picted in the schematic of Figure 5 together with the other spacings we study. NNS is 225 calculated following the method adopted from Joseph and Cahalan (1990) and Tompkins 226 and Semie (2017). In practice this means that for every cloud, the minimum distance 227 is selected from the distances to all other clouds. Let  $\mathcal{K}$  represent the total set of clouds, 228 with n the total number of clouds:  $\mathcal{K} = \{1, 2, ..., n\}$ . NNS between cloud k and its neigh-229 bors n is defined as: 230

$$NNS(k) = min\{d(n,k) \mid n \in \mathcal{K} \setminus \{k\}\},\tag{1}$$

with d(n, k) the great circle distance (Euclidian distance corrected for the curvature of the Earth) between the centers of cloud n and k. The second measure of cloud spacing that is considered is the distance between a cloud and the closest neighbor that has a similar size. This measure, referred to as the *equal-size* NNS (NNS<sub> $\sigma$ </sub>), only considers clouds that have a similar size (l) and belong to the same bin ( $\sigma$ ), as determined by the clustering algorithm. This makes our set of clouds dependent on l:  $\mathcal{K}_{\sigma} = \{k \in \mathcal{K} \mid l(k) = \sigma\}$ . NNS<sub> $\sigma$ </sub> is then defined as:

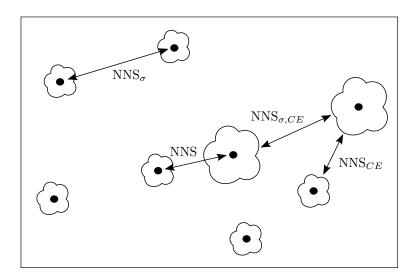


Figure 5. Example field with two cloud sizes showing the difference between NNS (distance between one cloud and its' nearest neighbor) and NNS<sub> $\sigma$ </sub> (distance between one cloud and its' nearest neighbor of a similar size).

$$NNS_{\sigma}(k) = \min\{d(n,k) \mid n \in \mathcal{K}_{\sigma} \setminus \{k\}\}.$$
(2)

In calculating NNS and NNS<sub> $\sigma$ </sub> two different approaches were followed, yielding in total four measures of cloud spacing. First, the *cloud center spacing* is used, the distance from cloud center to cloud center. Second, the *cloud edge spacing* is the distance from cloud edge to cloud edge, computed by assuming that all clouds are perfect circles (Rieck et al., 2014; Dawe & Austin, 2013). In essence, it is the cloud center spacing minus the size (radius) of the two neighboring clouds:  $d_{CE} = d(n,k) - r_n - r_k$ . Then the NNS<sub>CE</sub> for using cloud edge spacing is defined as:

$$NNS_{CE}(k) = min\{d_{CE}(n,k) \mid n \in \mathcal{K} \setminus \{k\}\},$$
(3)

and the equal-size NNS using cloud edge spacing  $(NNS_{\sigma,CE})$  as:

$$NNS_{\sigma,CE}(k) = min \{ d_{CE}(n,k) \mid n \in \mathcal{K}_{\sigma} \setminus \{k\} \}.$$
(4)

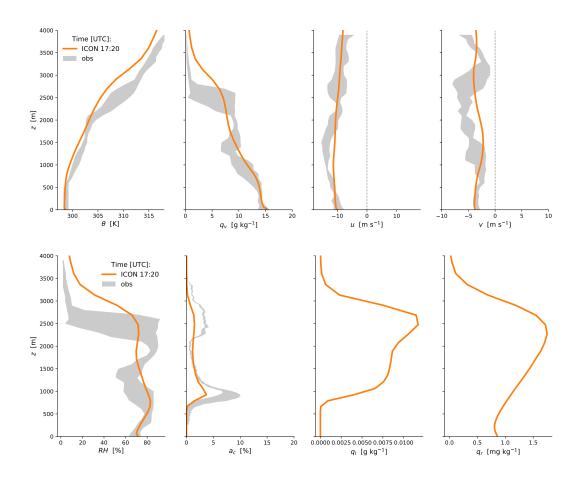


Figure 6. Vertical structure of the simulated (orange) and observed (grey) Trade wind boundary layer during RF08. Shown are a) potential temperature  $\theta$ , b) water vapor specific humidity  $q_v$ , c) zonal wind speed u, d) meridional wind speed v, e) relative humidity RH, f) cloud fraction  $a_c$ , g) cloud liquid water  $q_c$  and h) rain water  $q_r$ . Sonde observations include the first two dropsondes and the Barbados radiosonde, the range indicating the minima and maxima encountered within 100m height bins. The WALES data plotted in f) is identical to those shown in Figure 3c.

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#### 2.5 Reference NNS values

Previous studies on spatial organization (Zhu et al., 1992; Nair et al., 1998) using cumulative distribution functions have yielded reference values of NNS that reflect purely randomly distributed populations. These reference values are based on a Poisson point process, which is a collection of points randomly distributed in space. The number of points can then be described by a Poisson distribution. When assuming clouds can be represented by points, the cumulative distribution of the nearest neighbor distances of cumulus clouds can be directly compared to the cumulative distribution of distances of a Poisson point process. The comparison between our simulated field and a random field can be summarized with a single value, referred to as  $I_{org}$  (Organization Index) (Tompkins & Semie, 2017).  $I_{org}$  distinguishes three regimes: randomness ( $I_{org} \approx 0.5$ ), clustering ( $I_{org}$ > 0.5) and regularity ( $I_{org} < 0.5$ ).

Based on a Poisson process and following the mathematical derivation, one can also define the mean NNS a random distribution would give  $(NNS_{ran})$  (Weger et al., 1992):

$$NNS_{ran} = \frac{\sqrt{A}}{2\sqrt{N}}.$$
(5)

Here A is the domain area and N the number of clouds. As opposed to a random distribution of clouds one could also think of a regular distribution. In that case the clouds form a grid-like pattern, thereby maximizing NNS for the given amount of clouds. This NNS<sub>reg</sub> could be determined as follows:

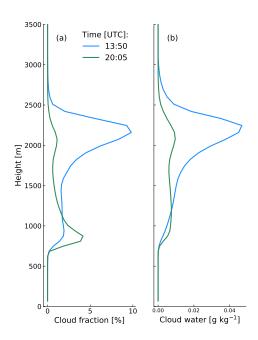
$$NNS_{reg} = \sqrt{\left(\frac{A}{N}\right)}.$$
(6)

#### 264 3 Results

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## 3.1 Vertical structure

Figure 6 shows vertical profiles of variables expressing the vertical structure of the 266 simulated Trade wind boundary layer. For each variable measurements are included when 267 available, including the first two dropsondes of HALO RF08, the Barbados radiosonde 268 and WALES cloud fraction profile as already shown in Figure 3. The simulation data 269 is sampled at the output timepoint (17:20 UTC) closest to the two dropsondes, and av-270 eraged over the full domain in order to optimize comparability with the sounding data 271 which covers a similar spatial domain. The results suggest that the thermodynamic ver-272 tical structure of the cloud layer is reproduced reasonably well by the simulation, with 273 the subcloud mixed layer and convective cloud layer situated at the right heights and 274 featuring a similar conditional instability and humidity gradient. Slight thermodynamic 275 biases include an overestimation in the inversion height and a small cold and moist bias 276 in the lower free troposphere. The wind structure is realistic, including a well-defined 277 easterly throughout the lowest 4 km featuring a small northerly component. 278



**Figure 7.** Simulated vertical profiles of a) cloud fraction and b) cloud water averaged over the domain at the two timepoints of the instantaneous cloud fields shown Figure 4. The line colors corresponds to the coloured time points as shown in Figure 8, for reference.

Figure 6 (e-f) focuses on the simulated cloud structure. Defining and typical Trade 279 wind cloud features that are reproduced include the two distinct maxima in relative hu-280 midity at approximately  $\sim$  700 m and  $\sim$  2500 m height, and a concave structure sit-281 uated in between. This structure and amplitude agrees well with the observations. A sim-282 ilar two-mode structure is evident in the cloud fraction profile, reproducing the WALES 283 observations in this respect. The model slightly underestimates the magnitude of the pro-284 file at these two maxima, for which we speculate two reasons can exist; i) the threshold 285 value range used to compute the observed profile from backscatter measurements, or ii) 286 a lack of skill in LES to produce enough cloud mass. The latter would be consistent with 287 results reported in recent studies comparing LES results to cloud observations (Y. Zhang 288 et al., 2017). More research is required to gain insight into this question. For cloud liq-289 uid and rain water no observations are available; however, their vertical structure is sim-290 ilar to LES results for previous Caribbean cumulus cases (vanZanten et al., 2011). 291

Despite slight biases, the overall assessment is that the key features of the Trade wind boundary layer observed in the region during RF08 are reproduced to a high enough

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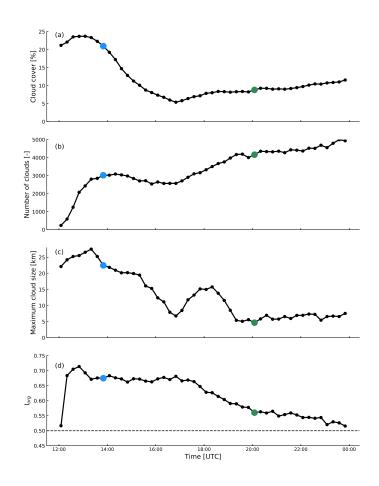


Figure 8. Timeseries of a) cloud cover, b) number of clouds, c) maximum cloud size and d)  $I_{org}$ . The blue and green dot correspond to the upper and lower panel of Figure 4 respectively.

degree to justify using this simulation for further investigation of cumulus cloud spac-ing.

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#### 3.2 Time evolution

While the good agreement with the observed vertical structure of the boundary layer 297 during RF08 is encouraging, it is important to realize that this evaluation only applies 298 to a brief time-window. In fact, Figure 4 already demonstrated that the spatial struc-299 ture of the simulated cloud field experiences a substantial transition in time. Figure 7 300 further shows that the transition not only concerns the spatial structure but also the cloud 301 vertical structure, here diagnosed at the exact two snapshots as shown in Figure 4. The 302 first phase should be considered model spin-up, as it is still close to the initialization time 303 of the simulation. This phase is characterized by high cloud covers and high cloud wa-304

ter amounts at a height slightly above 2 km, formed by large cumulus outflow clouds.
The large clouds are not numerous, but do have sizes up to approximately 25 km. In contrast, later in the simulation the distribution is dominated by many more smaller clouds,
and has far less large outflow cloud layers.

The transition of the cloud field is perhaps best expressed by the timeseries of cloud 309 cover, clouds number and maximum cloud size as shown in Figure 8a-c. During the first 310 two hours of the simulation the number of clouds rapidly increases; subsequently the in-311 crease is much more gradual. The latter phase is accompanied by a drop in cloud cover 312 and maximum cloud size. This combination indicates that the initial large structures are 313 gradually being replaced by smaller clouds. The increase in cloud number mainly hap-314 pens at lower levels, as expressed by the cloud cover similarly increasing in that height 315 range (Figure 7). Low-level clouds become more pronounced as time progresses, although 316 their liquid water content is lower compared to the high-level clouds. The decrease of 317 projected cloud cover over time (Figure 8a) is driven by the disappearance of the high-318 level clouds. During the second half of the simulation the maximum cloud size stays more 319 or less constant at about 5 km, except for a modest peak around 18:00 UTC. 320

Apart from the transition in cloud cover, number and maximum size, their spatial 321 distribution changes as well. Figure 4 suggests that the large cloud clusters are gradu-322 ally replaced by smaller clouds that are either randomly distributed or form cloud streets. 323 A quantification of the degree of organization is provided by  $I_{org}$ , shown in Figure 8d. 324 The black dashed line in the figure indicates pure randomness, therefore  $I_{org}$  suggests 325 strong organization at the beginning of the simulation. The degree of organization starts 326 to decrease around 17:00 UTC, with values close to random at the end of the simula-327 tion. 328

Based on this analysis of the temporal evolution of the cloud field and the observational data showing a cloud field dominated by small-scale low level boundary layer cumulus, we decided to take the first six hours of the simulation not into account for the analysis. After this period the cloud cover, maximum size and number stay more or less constant. This behavior motivates using only the last six hours (24 time steps) for the analysis of the size distributions of cloud number and cloud spacing.

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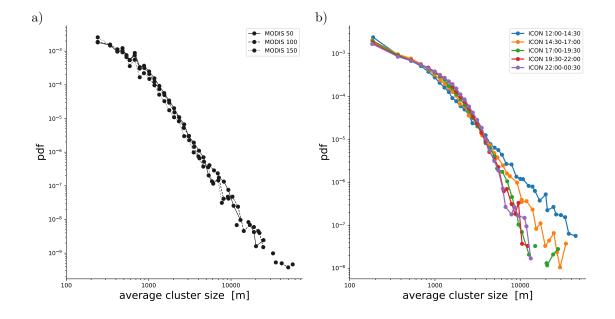


Figure 9. Size distributions of the number of clusters as derived from observations and simulations. a) Based on MODIS satellite image as shown in Figure 1b, showing results for three reflectance thresholds (50, 100 and 150) to define cloudy pixels. b) Distributions diagnosed from the integrated liquid water path in ICON for five subsequent time-periods (indicated by the UTC times in the legend). The vertical axis represents the normalized cluster number divided by the binwidth, while the horizontal axis represents the average cluster size per bin. Log-linear binning is used to calculate these histograms, as described in the text.

335

## 3.3 Size distributions

Figure 9 shows size distributions of the observed and simulated number of clouds. 336 These CSDs (cloud size distributions) have been generated using the clustering algorithms 337 as described in Section 2.3. The CSDs for the MODIS image as shown in Figure 1b are 338 derived using three thresholds for reflectance in the red channel. The clusters are size-339 sorted using the linear-logarithmic binning as described by Quinn and Neelin (2017), which 340 ensures that the binwidth can not be smaller than the smallest possible cluster size. To 341 this purpose minimum binwidths of 250m and 150 m are used, which are the effective 342 resolutions of the MODIS product and the LES simulations, respectively. 343

Both the simulated and observed size distributions exhibit a similar functional form in their dependence on cluster size. This shape, featuring two size-ranges with a distinctly different size-dependence, has often been reported in previous studies of cumulus convection (Neggers et al., 2003). In both the observations and the simulation the cluster

**Table 1.** Powerlaw exponents b resulting from least-square fits in log-log space of a single powerlaw function  $al^b$  to the size densities shown in Figure 9. Fits are applied in two ranges of cluster sizes l, including a small (0-1 km) and a large (1-10 km) range.

dataset	$0-1 \mathrm{km}$	$1 - 10 { m km}$
MODIS 50	-1.29	-3.88
MODIS 100	-1.48	-3.90
MODIS 150	-2.05	-3.85
ICON 12:00-14:30	-1.24	-2.38
ICON 14:30-17:00	-1.05	-2.82
ICON 17:00-19:30	-0.99	-3.60
ICON 19:30-22:00	-0.89	-3.71
ICON 22:00-00:30	-0.85	-3.96

size at which the dependence changes is at about 1km. Applying a single powerlaw fit in both size ranges yields powerlaw exponents as listed in Table 1. The model reproduces the distinct difference in powerlaw exponents between the two size-ranges. Note that the observed CSD shifts to the left with a higher reflectance threshold, expressing that fewer clusters are then detected, as can be expected. However, the distribution shape is still preserved. Over time the simulated CSD becomes steeper in the large size range, expressing that less and less big structures feature in the simulation.

The range of observed cluster sizes spans about three orders of magnitude, with 355 the largest cluster size being about 60 km. Note that this maximum size does show strong 356 dependence on the reflectance threshold, reducing to 30 km for the highest value. Ac-357 cordingly, this aspect of the distribution is not very robust, also because the sample size 358 is very small in this tail of the distribution. The simulated maximum cluster size is some-359 what lower, but still significantly larger than the scales of moist boundary layer updrafts. 360 These results reflect that while some larger stratiform outflow clouds do appear in the 361 simulation, their total number is underestimated. Note that our main goal is to study 362 spacing among cumuliform clouds, which sit in the left and middle part of the distribu-363

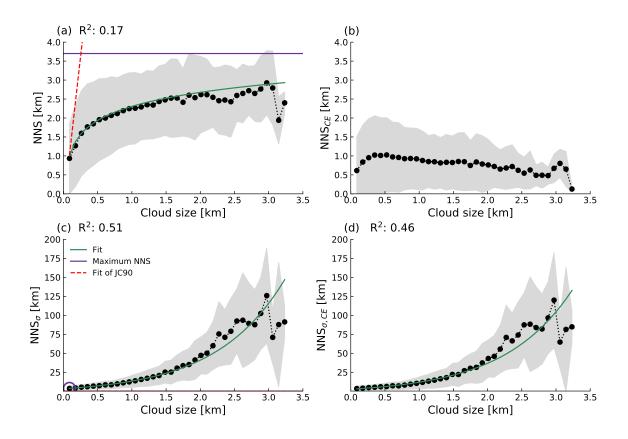


Figure 10. Cloud spacing as a function of cloud size. Shown are a) NNS, b)  $NNS_{CE}$ , c) NNS<sub> $\sigma$ </sub>, and d)  $NNS_{\sigma,CE}$ . The NNS is averaged over all analysed fields, the grey area shows the mean  $\pm$  the standard deviation. The green lines show the best fits through the data with their  $R^2$  value in the upper left corner. The purple line (a) and circle (c) indicate the maximum NNS and the Red dashed line shows the fit (Joseph & Cahalan, 1990) found.

tion. Accordingly, the underestimation of the number of large stratiform cloud decks does
 not harm the usefulness of the simulation.

We conclude from this comparison that the simulated cloud populations are representative of subtropical marine conditions as typically occur in the Trade wind regions, and of the cumulus cloud population as observed on 20 December 2013 in particular. This motivates using the simulation output for further analysis of cloud spacing.

# 370 3.4 Cloud spacing

The four panels of Figure 10 show the size dependence for all four definitions of the Nearest Neighbor Spacing (NNS) as defined in Section 2.4 and as applied to the ICON

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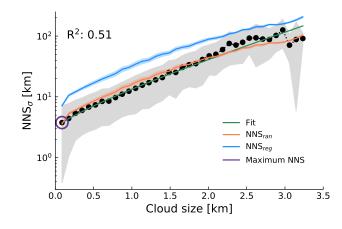


Figure 11. Same as Figure 10c but now showing  $NNS_{\sigma}$  using log-linear axes. Also included are  $NNS_{ran}$  and  $NNS_{reg}$ , for reference.

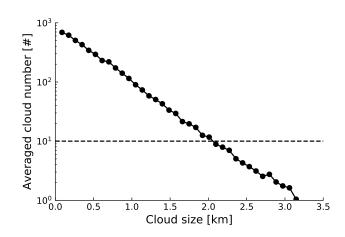


Figure 12. Number of clouds per cloud size, averaged over all snapshots used for analysis. For the calculation of this histogram a constant bin-size is used. The horizontal dashed line refers to the statistical analysis in Section 3.4.

LES fields. The results represent averages over the last six hours of the simulation. A least squares fit is made for the size dependence in each NNS definition, adopting a functional form that yielded the largest R<sup>2</sup> value (proportion of total variance explained by the fit) for each definition. Unfortunately the limited availability of MODIS data for this day and area (only a single snapshot) yields a sample size too low to reliably carry out this cloud spacing analysis for the observational data. Accordingly, this is for now considered a future research topic.

Figure 10a shows the size dependence of NNS, which in the range < 600 m shows a linear relation, just like the fit reported by Joseph and Cahalan (1990). However, at

larger sizes the dependence is best captured by a logarithmic relation  $(y = 2.31 + 1.23 \log_{10}(x)),$ 382 with an  $\mathbb{R}^2$  value of 0.17. For the larger cloud sizes, the mean falls slightly below the fit. 383 The limited amount of data for the statistical analysis might be a reason for this; cloud 384 number decreases strongly with cloud size, so that the largest clouds only rarely occur. 385 This is well visible in Figure 12 b, in which a dotted line at an average of 10 clouds per 386 bin is added for reference. The cloud size associated with this sample size is about 2 km; 387 note that this is also the size above which the NNS starts to deviate significantly from 388 the proposed fit (see Figure 10a). This suggests that a number of 10 clouds is the min-389 imum sample size at which a clear functionality becomes apparent in the size dependence 390 of the NNS. 391

The spacing between clouds of a similar size, NNS<sub> $\sigma$ </sub> (Eq. 2), is shown in Figure 10c. 392 Again we find a monotonically increasing cloud spacing with cloud size; however, for this 393 definition the relation is best captured by an exponential function (y = -2.66 + 5.90 exp(x))394 with an  $\mathbb{R}^2$  value of 0.51. Other differences with NNS include i) much larger spacing val-395 ues across the spectrum and ii) an increasing spread around the mean. The larger spac-396 ing of NNS<sub> $\sigma$ </sub> in general, as compared to NNS, directly reflects that only a subset of all 397 clouds in the population is considered when calculating the equal-size spacing; a lower 398 density of clouds in an area is directly associated with a larger spacing. But the expo-399 nential increase with size of the  $NNS_{\sigma}$  is not so trivial, and will be further interpreted 400 in Section 4.3. 401

It makes sense to compare the equal-size cloud spacing  $NNS_{\sigma}$  to the theoretical lim-402 its  $NNS_{ran}$  and  $NNS_{reg}$ , as defined in section 2.4. The results of this comparison are shown 403 in Figure 11.  $NNS_{\sigma}$  is very similar to  $NNS_{ran}$ ; for all cloud sizes  $NNS_{ran}$  stays within 404 the spread of NNS<sub> $\sigma$ </sub>. At the same time NNS<sub> $\sigma$ </sub> has significantly lower values than NNS<sub>req</sub>. 405 The spatial distribution of clouds of a given size is close to random, although some dif-406 ferences between small and big clouds can be distinguished. The equal-size spacing for 407 small clouds is slightly smaller than what a random distribution (following a Poisson point 408 process) would give, meaning that they are more clustered together. NNS $_{\sigma}$  for larger clouds, 409 on the other hand, is larger than  $NNS_{ran}$  and resembles more a regular distribution. 410

The impact of cloud edge spacing on the NNS is investigated in Figure 10b and d. When interpreting these results it is important to consider that the spacing for bigger clouds could be larger simply because their centers are spaced further apart, due to their

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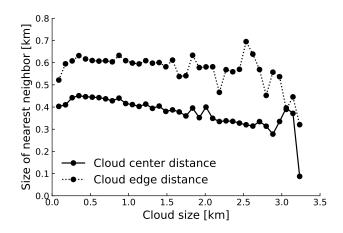


Figure 13. The averaged nearest neighbor size as a function of cloud size, using both the cloud center spacing (solid) and the cloud edge spacing (dotted).

size. Spacing definitions  $NNS_{CE}$  and  $NNS_{\sigma,CE}$ , as defined by Equations 3 and 4, both 414 reflect this effect. Using the cloud edge for the spacing leads to only minor differences 415 for  $NNS_{\sigma}$ , preserving its functional dependence but shifting it downwards somewhat (Fig-416 ure 10d). The exponential again yields the best fit (y = -2.60 + 5.33 exp(x)), albeit 417 with a slightly lower  $\mathbb{R}^2$  value of 0.46. In contrast, for  $NNS_{CE}$  (Figure 10b), the spac-418 ing is not only smaller, the logarithmic dependence is also lost. After a first increase of 419  $NNS_{CE}$  with cloud size, for clouds larger than about 400 meter a slight decrease of spac-420 ing with size is visible. 421

## 422 4 Interpretation

423

#### 4.1 The impact of edge versus center spacing

More insight into the strong impact of adopting cloud-edge spacing versus cloud-424 center spacing on the size dependence of NNS is provided by considering the size of the 425 nearest neighbors, as shown in Figure 13. The size of the nearest neighbor can be de-426 termined using both definitions of spacing. For both methods, after a slight increase for 427 the small cloud sizes, the size of the neighboring clouds weakly decreases with cloud size. 428 However, while both definitions share this weak size-dependence, the feature that most 429 catches the eye is that the averaged neighbor size is universally larger when using cloud 430 edge spacing. 431

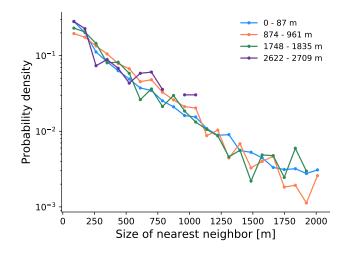


Figure 14. Normalized probability density of the size of the nearest neighbor cloud, for four different size bins.

What explains this difference in neighbor size? When edge distancing is used to 432 determine the nearest neighbor, the radius of the clouds starts to play a role. For big-433 ger clouds this matters more than for smaller clouds, because their edge is closer to an 434 arbitrary cloud of interest compared to their center. As a result, the probability that a 435 large cloud is closest is bigger when edge distancing is used. This differential impact for 436 larger clouds also explains the strong impact of edge distancing on the functional depen-437 dence of NNS on size, as visible in Figure 10b. For larger clouds their radius makes up 438 a larger fraction of the center-center distance; as a result, the neighbor spacing reduces 439 more for larger clouds when switching from center-distancing (Figure 10a) to edge-distancing 440 (Figure 10b). In effect, this counteracts the logarithmic increase in the center spacing. 441

442

## 4.2 Logarithmic dependence: The role of small clouds

At first glance Figure 13 seems to suggest that large clouds have smaller clouds as nearest neighbors, and vice versa. However, this dependence should be interpreted with some caution, because i) the averaging might obscure quite some spread, and ii) the dependence is weak to start with. To gain further insight the probability of having a neighbor of a certain size is investigated, as shown in Figure 14 for four different cloud sizes. For all cloud sizes considered, the probability of having a small cloud as nearest neighbor is by far the highest (note the logarithmic y-axis). Another interesting feature is that

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the largest clouds do not even have large clouds as nearest neighbors; smaller clouds arealways closer.

In combination, these results go some way to explain why the NNS has a logarith-452 mic size-dependence, as shown in Figure 10a. Firstly, it is important to consider that 453 the smallest clouds are by far the most abundant in the field (Figure 9), and are also more 454 or less randomly distributed (Figure 11). This not only means that large clouds have pre-455 dominantly small clouds as nearest neighbors (Figure 14), but also that the cloud spac-456 ing that occurs most often in the domain is the equal-size distance of the smallest clouds. 457 In this case this value is about 3.7 km, as marked by the purple circle in Figure 11. As 458 a consequence of the abundance of this spacing, one expects that the NNS of the big-459 ger clouds can (on average) not be much larger than this value. If this reasoning holds, 460 then the maximum NNS would on average also be 3.7 km, thus more or less acting as 461 a limit value. The purple line shown in Figure 10a indeed seems to act as an upper bound-462 ary. 463

With the equal-size spacing NNS $_{\sigma}$  increasing exponentially with size, the picture emerges that the large clusters are swimming in a sea of small clouds. This large spacing makes it more likely that smaller clouds (with smaller spacings) are present in between the large clouds, hence the saturation for increasing cloud size. The spatial distribution of the large clouds does not play a role in this, as long as their sample size is large enough and the small clouds indeed dictate the spacing. This argumentation is summarized schematically in Figure 15.

The existence of an upper limit for the NNS would imply that the NNS will not 471 increase anymore towards very large cloud sizes. Although the logarithmic function fits 472 well to the data at hand, formally such saturation behaviour is not described by a log-473 arithmic function but an asymptotic one. However, determining in a statistically signif-474 icant way if the NNS actually saturates requires sampling many more large clouds, much 475 larger than those present in these simulations. Accordingly, answering this question is 476 for now considered future research. This could well be achieved by using an abundance 477 of independent satellite snapshots at high resolutions covering large (ocean-covering) do-478 mains. 479

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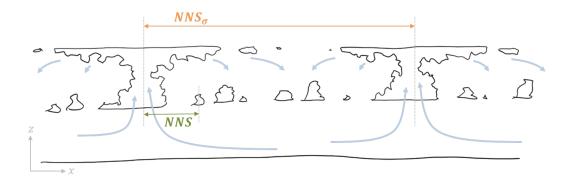


Figure 15. Schematic illustration of the difference in size dependence in the nearest neighnor spacing between clouds of any size (NNS) and between clouds of equal size (NNS<sub> $\sigma$ </sub>). In this vertical cross-section the cloud outlines are indicated in black, while the mesoscale cell circulation is shown as thick blue arrows. The two definitions of spacing that are shown apply to the largest cloud size in the domain.

## 4.3 Exponential dependence: Mesoscale dynamics?

480

The exponential dependence of the equal-size spacing  $NNS_{\sigma}$  on cloud size is sta-481 tistically significant, and also robust for the various definitions of the spacing that are 482 considered in this study. To our knowledge it has not been reported before, but it is rel-483 evant for the representation of convection in weather and climate models. As shown in 484 Figure 10c, in this case the spacing between clouds increases from about 10km for clouds 485 of 1km size via 50 km for 2 km-sized clouds to 100km for clouds of 3km. These spacings 486 are similar to the grid spacings used in global circulation models. Accordingly, they should 487 be taken into account in the parameterization of convection in the grey zone (Wyngaard, 488 2004; Honnert et al., 2020), for example in the representation of stochastic effects due 489 to subsampling (Neggers et al., 2019). 490

The relevance of cloud spacing motivates gaining more insight into what processes 491 might cause the exponential size-dependence. Convective clouds are the visible parts of 492 a much larger convective cell, featuring a relatively narrow updraft area and a much wider 493 area with compensating subsidence that can be either cloudy or cloud free (see Figure 494 15). Such cells are typically observed in many moist convective regimes, not just fair-495 weather cumulus (Shao & Randall, 1996; de Roode et al., 2004). Our results suggest that, 496 on average, bigger convective clouds need more space around them to form a convective 497 cell, in a super-linear way. In the mesoscale, the dynamics of such cells markedly changes 498

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due to the increasing occurrence of rain. For example, wider and deeper clouds are ob-499 served when cold pools occur driven by evaporation of rain (Schlemmer & Hohenegger, 500 2014), while precipitation has also been reported to play a role in setting the spatial scale 501 of stratiform convective cells (Zhou et al., 2018; Zhou & Bretherton, 2019). The "flower" 502 type of cloud patterns in the Trades is also associated with such dynamics (Stevens et 503 al., 2019). In general, the interaction of radiation with clouds and water vapor is also 504 thought to play a key process in convective aggregation (Bretherton et al., 2005; Muller 505 & Held, 2012). To summarize, these known impacts on mesoscale dynamics make pre-506 cipitation and radiative cooling prime candidate processes for controlling the exponen-507 tially increasing spacing with cloud size. Proving or disproving this hypothesis requires 508 further research. 509

#### 510

## 5 Summary and Conclusions

In this study Large-Eddy Simulations (LES) on super-large-domains are used to 511 investigate how neighbor spacing in cumulus cloud populations depends on cloud size. 512 To this purpose experiments with the ICON LES model of marine shallow cumulus cloud 513 fields in the subtropical Atlantic as observed during the recent NARVAL South campaign 514 were used. Cluster analyses were applied to derive size distributions of both cloud num-515 ber and cloud spacing. MODIS satellite imagery is first used to test the realism and rep-516 resentativity of the simulated cloud fields. Despite a slight underestimation of the max-517 imum cloud size, we find good agreement concerning the shape of the number distribu-518 tion. A multitude of instantaneous snapshots from the simulation are then used to di-519 agnose the cloud Nearest Neighbor Spacing (NNS), of which four possible definitions are 520 considered. We find that in general the NNS increases with cloud size, a result which is 521 in line with the findings of previous observational studies. However, the functional form 522 of the size-dependence strongly depends on the exact definition of the NNS. Its classic 523 definition, the spacing between clouds of any size, carries a well-defined logarithmic size-524 dependence. In contrast, only considering clouds of equal size yields cloud spacings that 525 are larger but also carry a strong exponential size-dependence. Deeper investigation into 526 this behavior reveals that the abundance of closely-spaced small clouds in the popula-527 tion is responsible for the logarithmic dependence. The exponential dependence is spec-528 ulated to express the role of mesoscale dynamics in controlling the width of the convec-529 tive cells of which the cumulus clouds are the visible parts. 530

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The results obtained in this study are relevant for ongoing research into the spa-531 tial organization and aggregation of convection and its impact on climate (Wing, 2019). 532 It has long been understood that cloud spacing is a key ingredient in this problem, as 533 testified by the various metrics for the degree of spatial organization that have been pro-534 posed that are formulated in terms of the neighbor spacing (Weger et al., 1992; Tomp-535 kins & Semie, 2017). Most of these metrics depend on the spacing between clouds of any 536 size. However, the equal-size spacing as investigated in this study could also be used to 537 this purpose, yielding an alternative organizational metric that expresses different as-538 pects of this phenomenon. A recent example is the  $B_{org}$  metric as proposed by Neggers 539 et al. (2019), which exclusively relies on equal-size cloud spacing (NNS $_{\sigma}$ ) and expresses 540 the degree of organization per cloud size. In the context of understanding cloud-climate 541 feedbacks the exponential spacing might also be relevant, as it affects the impact of such 542 cloud fields on radiation, in particular at low solar inclination angles. 543

The results of this study also have a bearing on the parameterization of convec-544 tion in the grey zone (Wyngaard, 2004; Honnert et al., 2020). For example, the stochas-545 tic effects of subsampling on the cloud size distribution to be parameterized can be cap-546 tured by using the neighbor spacing (Neggers et al., 2019). The functional form in the 547 size-dependence of the cloud spacing can thus inform the further development of con-548 vection schemes based on cloud size distributions (Neggers, 2015; Sakradzija et al., 2016; 549 Hagos et al., 2018). Through metrics relying on the neighbor spacing, constants of pro-550 portionality in such schemes could be constrained against observed and simulated cloud 551 fields, for example using machine learning techniques. 552

This study has several limitations which could inspire future research efforts. Firstly, 553 only relatively homogeneous conditions were considered, in order to focus on internal spa-554 tial organization in a cloud population. But heterogeneity in the larger-scale flow and 555 surface can also affect the cloud spacing. Gaining insight into these impacts is needed 556 to test the general applicability of the size dependence in cloud spacing as reported in 557 this study. Secondly, the domain size could still artificially limit the maximum cloud size, 558 which motivates considering even larger domain simulations. Another simplification in 559 the experimental configuration is the use of 1D radiation in the simulation, which ignores 560 three-dimensional effects that can change cloud alignment and spacing (Jakub & Mayer, 561 2017). Finally, we only applied our spacing analysis to simulated cloud fields. To seek 562 observational support for the obtained results, an obvious next step would be to derive 563

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cloud spacing from multiple high-resolution satellite images. Such data is increasingly
 available, and is actively being used to investigate mesoscale spatial structures in low level
 cloud fields (Bony et al., 2020).

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