Postseismic backslip as a response to a sequential elastic rebound of upper plate and slab in subduction zones

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Abstract

An earthquake-induced stress drop on a megathrust instigates different responses on the upper plate and slab. We mimic homogenous and heterogeneous megathrust interfaces at the laboratory scale to monitor the strain relaxation on two elastically bi-material plates by establishing analog velocity weakening and neutral materials. A sequential elastic rebound follows the coseismic shear-stress drop in our elastoplastic-frictional models: a fast rebound of the upper plate and the delayed and smaller rebound on the elastic belt (model slab). A combination of the rebound of the slab and the rapid relaxation (i.e., elastic restoration) of the upper plate after an elastic overshooting may accelerate the relocking of the megathrust. This acceleration triggers/antedates the failure of a nearby asperity and enhances the early slip reversal in the rupture area. Hence, the trenchnormal landward displacement in the upper plate may reach a significant amount of the entire interseismic slip reversal and speeds up the stress build-up on the upper plate backthrust that emerges self-consistently at the downdip end of the seismogenic zones. Moreover, the backthrust switches its kinematic mode from a normal to reverse mechanism during the coseismic and postseismic stages, reflecting the sense of shear on the interface.

Upper plate response to a sequential elastic rebound and slab acceleration during laboratory-scale subduction megathrust earthquakes

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10 Key Points:

- Seismotectonic scale models provide high-resolution observations to study the surface
 deformation signals from shallow megathrust earthquakes
- Surface displacement time-series suggest a sequential elastic rebound of the upper plate
 and slab during great subduction megathrust earthquakes
- Slip reversal may be caused by rapid restoration of the upper plate after overshooting and
 amplified upper plate motion

18 Abstract

An earthquake-induced stress drop on a megathrust instigates different responses on the upper 19 plate and slab. We mimic homogenous and heterogeneous megathrust interfaces at the laboratory 20 scale to monitor the strain relaxation on two elastically bi-material plates by establishing analog 21 velocity weakening and neutral materials. A sequential elastic rebound follows the coseismic 22 shear-stress drop in our elastoplastic-frictional models: a fast rebound of the upper plate and the 23 delayed and smaller rebound on the elastic belt (model slab). A combination of the rebound of the 24 25 slab and the rapid relaxation (i.e., elastic restoration) of the upper plate after an elastic overshooting may accelerate the relocking of the megathrust. This acceleration triggers/antedates the failure of 26 a nearby asperity and enhances the early slip reversal in the rupture area. Hence, the trench-normal 27 landward displacement in the upper plate may reach a significant amount of the entire interseismic 28 slip reversal and speeds up the stress build-up on the upper plate backthrust that emerges self-29 30 consistently at the downdip end of the seismogenic zones. Moreover, the backthrust switches its kinematic mode from a normal to reverse mechanism during the coseismic and postseismic stages, 31 32 reflecting the sense of shear on the interface.

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34 Plain Language Summary

Subduction zones, where one tectonic plate slides underneath the other, host the largest 35 earthquakes on earth. Two plates with different physical properties define the upper and lower 36 plates in the subduction zones. A frictional interaction at the interface between these plates 37 38 prevents them from sliding and builds up elastic strain energy until the stress exceeds their strength and releases accumulated energy as an earthquake. The source of the earthquake is located 39 offshore; hence illuminating the plates' reactions to the earthquakes is not as straightforward as 40 the earthquakes that occur inland. Here we mimic the subduction zone at the scale of an analog 41 42 model in the laboratory to generate analog earthquakes and carefully monitor our simplified model 43 by employing a high-resolution monitoring technique. We evaluate the models to examine the feedback relationship between upper and lower plates during and shortly after the earthquakes. We 44 demonstrate that the plates respond differently and sequentially to the elastic strain release: a 45 seaward-landward motion of the upper plate and an acceleration in the lower plate sliding 46

underneath the upper plate. Our results suggest that these responses may trigger another earthquake
in the nearby region and speed up the stress build-up on other faults.

49 **1 Introduction**

50 Large megathrust earthquakes (i.e., slip) cause a shear stress drop on the subduction interface that drives the subduction system from a quasi-steady state interseismic loading stage (i.e., stick) to a 51 temporarily non-stationary (i.e., transient) relaxation mode. Although the static coseismic and 52 interseismic surface deformation of subduction megathrust has been analyzed in much detail (e.g., 53 Chlieh et al., 2008; Loveless & Meade, 2011; Moreno et al., 2010; Schmalzle et al., 2014; Simons 54 55 et al., 2011), the motion of the upper plate caused by the transition from coseismic to quasi-static interseismic deformation has received somewhat less attention (Bedford et al., 2020). The spatial 56 and temporal resolution of the near-source observations is the main challenge of dynamic 57 instability analysis (Kosari et al., 2020). The transition from coseismic phase to postseismic phase 58 59 involves different mechanisms over the shallow (mainly offshore: up to 30 km) and deep (onshore: 30-90 km) parts of the subduction interface, which are rheologically dominated by elastoplastic 60 (lithosphere) and viscoelastic (asthenosphere) behavior, respectively (e.g., Wang et al., 2012; 61 Weiss et al., 2019). To date, several postseismic processes have been identified that can be seismic 62 and aseismic, namely (1.) afterslip along the megathrust (e.g., Hsu et al., 2006; Bedford et al., 63 2013; Hoffmann et al., 2018), (2.) viscoelastic relaxation of the lower crust and mantle of both 64 65 slab and upper plate (e.g., Sun et al., 2014; Li et al., 2015) and (3.) crustal faulting in the upper plate (extensional), accretionary wedge (compressional), and shallow slab (extensional) (e.g., Kato 66 et al., 2011; Hicks and Rietbrock., 2015; Hoskins et al., 2021). All these non-stationary 67 mechanisms are triggered from coseismic stress changes (i.e., shear stress changes along the fault) 68 69 on the interface; hence, the pattern of the stress changes and its magnitude and, on the other hand, the dynamics of the slip are the main controlling factors. 70

Only a handful of megathrust earthquakes are relatively densely monitored. In many of these cases, the early postseismic surface displacement above the ruptured asperity, which is remotely offshore, exhibits intriguing signals that are interpreted differently (e.g., Bedford et al., 2016; Heki & Mitsui, 2013; Tomita et al., 2017; Watanabe et al., 2014). While the postseismic viscoelastic surface signal from the relaxing asthenosphere appears with a characteristic long-term pattern and large-scale

wavelength (far-field, hundreds of kilometers scale) (e.g., Luo & Wang, 2021; Sun & Wang, 2015; 76 Wang et al., 2012), the postseismic elastic-frictional processes (i.e., relocking and afterslip) show 77 relatively steep temporal gradients (i.e., fast changes) and short-wavelength (tens of kilometers 78 scale) surface signals. The short-wavelength postseismic signals, typically manifested in sustained 79 surface seaward motion, interfere in the near-field with the presumably steadier interseismic re-80 loading process that has a reverse kinematic sense (i.e., landward surface displacement in the upper 81 plate). Such interference causes surface displacement above the ruptured patch and nearby regions 82 to be characterized by short time and short distance changes in amplitude and direction, often 83 causing local shear and vertical axis rotations in the surface displacement observations (e.g., 84 Loveless, 2017; Melnick et al., 2017; Yuzariyadi and Heki, 2021). . Moreover, it is not fully 85 evident how the fast dynamic processes, i.e., changes in the rupture propagation direction, 86 contributes to these surface displacement "enigmatic patterns" in the upper plate during the 87 coseismic and early postseismic stages (Ide et al., 2011). Such patterns above the seismogenic 88 portion of the interface in the upper plate are notoriously difficult to interpret mainly due to the 89 limited observation resolutions (temporal and spatial), and discourse is rising about their relevance 90 91 for seismic hazards. Unfolding the upper plate displacement over coseismic and early postseismic stages can straighten out the mainly frictional processes of the shallow (seismogenic portion) 92 interface. 93

To study how the elastoplastic-frictional signals contribute to this intricate upper plate surface 94 displacement, we here idealize a subduction megathrust system highlighting the potential 95 variability of surface deformation signals over coseismic and early postseismic phases in 96 subduction megathrusts. This study aims to address the sequential upper plate and slab 97 elastoplastic-frictional response during the coseismic shear-stress drop and its early postseismic 98 stage in a subduction megathrust system by employing a series of carefully monitored analog 99 modeling experiments. Seismotectonic Scale Modeling can examine elastic and permanent 100 deformation and investigate the interplay between short-term and long-term deformation signals 101 in 3-D (Kosari et al., 2022a; Rosenau et al., 2009). To examine the short-term feedback relationship 102 103 between the upper plate and the slab, we explore two generic seismotectonic models representing 104 seismically homogeneous and heterogenous subduction megathrust systems and capture the

model's surface displacements by employing a high resolution and high speed "laboratory
 seismogeodetic" method.



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109 Figure 1. Scheme of the seismotectonic scale model's geometry and configuration: a and b demonstrate our conceptual systems of coupled spring sliders as depicted by Ruff and Tichelaar, (1996). b and c 110 represent homogenous and heterogeneous configurations, respectively. The yellow (matrix) and magenta 111 (main slip patch) rectangles demonstrate the seismogenic patches which generate repeating earthquake 112 and megathrust events, respectively. P.D.D. represents the projection of the down-dip limit of the 113 seismogenic patch on the model surface. The small orange rectangles show the different configurations of 114 115 accelerometers. The frictional behavior of both velocity weakening materials used in the matrix and main slip patch is shown in Figure 2. 116

117 2 Methodology: Seismotectonic scale modeling

Seismotectonic scale models have been established to generate physically self-consistent analog
megathrust earthquake ruptures and seismic cycles at the laboratory scale (Rosenau et al., 2009;
2017, and references therein). They have been used to study the interplay between short-term

elastic (seismic) and long-term permanent deformation (Rosenau & Oncken, 2009), slip 121 variability (Rosenau et al., 2010), earthquake recurrence behavior and predictability (Corbi et al., 122 2020; 2019; 2017; Rosenau et al., 2019), the linkage between offshore geodetic coverage and 123 coseismic slip model (Kosari et al., 2020) and to illuminate details of the seismic cycle (Caniven 124 & Dominguez, 2021). Analog models are downscaled from nature for the dimensions of mass, 125 length, and time to maintain geometric, kinematic, and dynamic similarity by applying a set of 126 dimensionless numbers (King Hubbert, 1937; Rosenau et al., 2009; 2017). The models generate a 127 sequence of tens to hundreds of analog megathrust earthquake cycles, allowing the analysis of the 128 corresponding surface displacement from dynamic coseismic (e.g., Movi S2) to quasi-static 129 interseismic in which inertial effects are negligible due to the slow deformation rates. 130

131 2.1 Experimental setup and material behavior

132 2.1.1 Model scaling and similarity

The small-scale laboratory models should share geometric, kinematic, and dynamic similarities 133 with their prototype to be representative of a natural system as all lengths, time, and forces scale 134 down from the prototype in a consistent way dictated by scaling laws (King Hubbert, 1937). 135 According to Rosenau et al. (2009), we consider different timescales for coseismic and 136 interseismic deformation phases. They introduced a "dyadic" timescale that recognizes two 137 dynamically distinct regimes of the seismic cycle: the quasi-static interseismic regime, where 138 inertial effects are negligible due to the slow deformation rates, and the dynamic coseismic regime, 139 which is controlled by inertial effects. This allows us to slow down the earthquake rupture and 140 speed up the loading phase, keeping dynamic similarity in both stages (Table S1). 141

In the quasi-static regime of the inter-seismic phase, scaling is identical to the typical scaling of 142 long-term processes to the lab (Table S1). For long-term tectonic studies involving materials that 143 deform brittle or viscous material, two dimensionless numbers, the Smoluchowski and Ramberg 144 (Ramberg, 1967) numbers, are of interest according to the deformation regime. For a short-term 145 146 time (i.e., coseismic and postseismic stages), Froude scaling is used to reach dynamic similarity (Rosenau et al., 2009). The model parameters without a dimension should be preserved, e.g., 147 Poisson's ratio v, the friction coefficient, and the friction rate and state parameters. An exception 148 to this general scale in-dependence of dimensionless parameters is the moment magnitude Mw, 149 150 which is related to the seismic moment (unit Nm) but is defined as being dimensionless. Here, we

- scale up analog earthquake moment magnitude non-linearly by applying the scale factor of seismic
- moment (Rosenau et al., 2017). Typically, magnitudes of analog earthquakes are in the range of
- 153 -6 to -7, which correspond to earthquakes of Mw = 8-9 in nature.
- 154
- 155 2.1.2 Model geometry and configuration of seismogenic zone

156 In the presented 3-D experimental setup modified from Rosenau et al. (2019) and introduced in Kosari et al. (2020, 2022a), an ocean-continent subduction forearc model is set up in a glass-sided 157 158 box (1,000 mm across strike, 800 mm along strike, and maximal 300 mm deep) with a 15° dipping, elastic basal rubber conveyor belt hereafter "model slab") driven at a constant rate by a DC motor 159 160 via lateral rollers., normal to a rigid backwall. A flat-topped velocity neutral wedge made of an elastoplastic sand-rubber mixture (50 vol.% quartz sand G12: 50 vol.% EPDM-rubber) is sieved 161 into the setup representing a 240 km long forearc segment from the trench to the volcanic arc 162 (Figures 1). 163

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Before implementing the seismogenic zone in our seismotectonic model, we measure the rate-165 dependent material properties by the ring-shear tester RST-01.pc (Schulze, 1994). To estimate the 166 167 friction rate parameter (a-b), the velocity stepping tests (VST; e.g., Pohlenz et al., 2020) in the RST carried out under constant normal load simulating coseismic and interseismic shear-stress 168 drop and increases (Figure 2). At the base of the wedge, zones of velocity weakening controlled 169 by granular stick-slip ("seismic" behavior) are realized by emplacing compartments of either 170 sticky-rice ("main slip patches") or fine-grained salt ("matrix"), which generate quasi-periodic 171 large and small slip instabilities, respectively (Figures 1 and 2), mimicking megathrust earthquakes 172 of different size and frequency. The VST demonstrates that large stick-slip instabilities in the main 173 slip patch(es) (MSP) are almost complete (Figure 2c) and recur at low frequency (recurrence of 174 the slip events: ~ 0.2 Hz), while those in the matrix (Figure 2d) are partial (<10%) and at high 175 frequency (~4 Hz) at a prescribed constant normal load. This bimodal behavior is intended to 176 mimic rare great (M8-9) earthquakes versus small frequent repeating events (e.g., Uchida and 177 Bürgmann, 2019; Chaves et al., 2020) in a creeping environment akin to established concepts of 178 the shallow subduction megathrust (e.g., Bilek and Lay, 2002). Note, however, that the quasi-179

periodic recurrence of the small (scaling to M7-8) events might be an oversimplification, 180 neglecting variability in this parameter in nature. In subduction megathrust, a rigid (oceanic) slab 181 subducts beneath a wedge and forms a bi-material with a strong contrast megathrust interface. 182 This contrasting results in different responses (e.g., strength drop) in the upper and lower plats 183 coseismically (e.g., Ma & Beroza, 2008). In our model, the model elastic belt is stiffer than the 184 wedge by a factor of 2-5. The wedge itself and the conveyor belt respond mainly elastically to 185 these basal slip events, similar to crustal rebound during natural subduction megathrust 186 earthquakes. Over the course of the experiment, the experiments evolve from an initially "aseismic 187 stage" to a "seismic" steady-state (Kosari et al., 2022a; Rosenau et al., 2019). We select only the 188 analog events from the seismically steady-state stage for our analysis. Upper plate faults (in our 189 case, a single backthrust fault) gradually emerge self-consistently downdip and up-dip of the main 190 slip patches and accommodate plastic upper plate shortening over seismic cycles, as documented 191 in earlier studies (Kosari et al., 2020, 2022a; Rosenau et al., 2009, 2010, 2019; Rosenau & Oncken, 192 2009). 193

Two different seismic configurations of the shallow part of the wedge base (the megathrust) 194 195 represent the depth extent of the seismogenic zone in nature. In the first configuration, hereafter configuration", a single large rectangular 196 named "homogeneous stick-slip patch (Width*Length=200*800 mm) is implemented as the main slip patch (MSP). This setup represents 197 a system of a homogeneous seismogenic zone with temperature-controlled depth range and no 198 199 variation along strike generating M9 type megathrust events such that the events rupture the stick-200 slip patch laterally uniformly. In the second case, hereafter named "heterogeneous configuration", two square-shaped MSPs (200*200mm) have been emplaced, acting as two medium-size 201 seismogenic asperities (or discrete asperities (Herman & Govers, 2020)) generating M8-9 type 202 events similar to, for example, the 2010 Maule (Chile) earthquake (Moreno et al., 2010). These 203 two patches are at a center-to-center distance of 400mm and 100mm in trench-parallel and trench-204 normal directions, respectively, while surrounded by a salt matrix hosting frequent small events 205 (Figures 1 and 2). To minimize the effect of boundary conditions, these MSPs are placed at a 206





Figure 2. Shear stress time-series measured in a ring-shear tester during velocity stepping tests under 210 constant normal load (2000 Pa). Stick-slip behavior simulates "seismic cycles" with coseismic and 211 interseismic stress drop (analog earthquakes) and increase. a and b (main slip patch in Figure 1) and 212 magenta (matrix in Figure 1) demonstrate the seismogenic (i.e., stick-slip) patches which generate 213 214 megathrust events and repeating earthquakes, respectively. c and d show seven seismic cycles from both 215 materials. Note that the recurrence of the repeating earthquake is approximately 20 times shorter than the megathrust event. If scaling is applied to these test data, one second corresponds to 250 years, stress drops 216 217 would be 10-100 MPa, and friction coefficients consistent with Byerlee friction for the interseismic (~ 0.6 -

0.7) and ~0.2 after relocking. Note that we cannot measure friction during catastrophic failure properly in
this kind of test.

220 2.2 Experimental monitoring: Laboratory seismogeodesy

A combination of seismological and geodetic methods applied to laboratory-scale models allows us to monitor the model's deformation at high spatial and temporal resolution and derive observational data equivalent to natural observations.

224 2.2.1 Laboratory geodesy

To capture horizontal micrometer-scale surface displacements associated with analog earthquakes 225 at microsecond scale periods, we monitor the model surface with a highspeed CMOS 226 (Complementary Metal Oxide Semiconductor) camera (Phantom VEO 640L camera, 12 bit, 4 227 MPx) intermittently at 250 Hz (Figure 1). A complimentary high-speed camera (200 Hz) is added 228 to the monitoring system for synchronizing with the accelerometer. This synchronization allows 229 differentiating the potential quasi-harmonic oscillations caused by dynamic frictional instability 230 (i.e., coseismic) from event signals. Digital image correlation (e.g., Adam et al., 2005) has been 231 applied at high spatial resolution (~0.02 mm) via the DAVIS 10 software (LaVision GmbH, 232 Göttingen/DE). Data are processed to yield observational data similar to those from an ideal dense 233 and full coverage (on- and offshore) geodetic network, that is, velocities (or incremental 234 displacements) at locations on the model surface. We use an analog geodetic slip inversion 235 technique (AGSIT; Introduced in Kosari et al., 2020) to invert surface displacements for model 236 megathrust slip and backslip distribution over earthquake cycles. To tie slip/backslip in discretized 237 fault patches to the observed surface displacement vectors (derived from DIC) at individual surface 238 points, Green's functions for rectangular dislocations in an elastic half-space are computed and 239 applied, and the dip-slip vector is solved for each patch (number of observations>number of fault 240 patches). This provides an estimated slip of the shear plane formed in the velocity-weakening 241 material. Although we do not consider the slip on the boundaries in our interpretations, we make 242 the fault model larger than the model slab to avoid unreasonable estimated slip. Note that although 243

- all observations can be upscaled to nature using scaling laws (King Hubbert, 1937; Rosenau et al.,
- 245 2009, 2017), we here report all values at the laboratory scale.



Figure 3. Differentiating Quasi-harmonic oscillation and event-related signals. a and b represent the scalogram of the signal before and after filtering the quasi-harmonic oscillations out. c and d are the normal-trench acceleration derived from three sensors located on the wedge (c and d) and the basal rubber conveyor belt (e).

251 2.2.2 Laboratory seismology

The experiments are additionally monitored using triaxial capacitive accelerometers (MEMS: microelectromechanical systems). The sensors (disynet DA3102) can measure with a sampling frequency of 10 kHz and a measuring range from 0 to ± 2 g. The bandwidth of the sensors depends on the sensor type and axis, ranging from 500 Hz to 1500 Hz. We positioned three sensors in different configurations to cover any possible motion in the setup (Figure 1), from the coseismic surface motions to the harmonic oscillations. The sensors run at 1 kHz to avoid the aliasing effect, and a highpass filter has been applied to remove the quasi-harmonic oscillations from the waveform (Figure 3).

260 **3 Results: Observations and interpretations**

261 In the following, we analyze the high-resolution time-series of the surface and the model slab displacements and slip along the megathrust and an emergent upper plate fault over several seismic 262 cycles. We analyze the heterogeneous model in-depth (compared to the homogeneous 263 configuration) to capture the details of the upper plate and elastic belt responses in the coseismic 264 and early-postseismic stages (Figures 4 & 7). We consider the Coulomb Failure Stress Change 265 (ΔCFS) over coseismic and early-postseismic stages and its impact on model slab velocity changes 266 (Figures 5). We calculate ΔCFS to evaluate how the coseismic stress changes may trigger the slip 267 reversal (backslip or normal faulting?) as well as how slip and backslip on the MSPs may transfer 268 stress on the upper plate fault. Subsequently, we evaluate the elastic rebound of the model slab and 269 the upper plate in response to the mainshock-induced stress changes. Finally, we explore the 270 combined effect of the stress changes and elastic rebounds on the accumulation of the horizontal 271 displacement in the upper plate and earthquake triggering (Figure 10). 272

- 273 3.1 Kinematic observations and interpretations
- 3.1.1 Time-variable surface displacements and slip over an analog earthquake and theearly postseismic

As the recorded signals may occur at different scales, the scalogram of the synchronized 276 277 accelerometer has been used to differentiate coseismic surface displacement versus machinerelated oscillation and quasi-harmonic oscillations caused by dynamic frictional instability (Figure 278 279 3). The scalogram shows the absolute value of the waveforms, plotted as a function of time and frequency. The high-frequency signals (>60 Hz) include the constant vibration of the machine and 280 281 background noise. The slip event's elastic wave frequency ranges from 20 to 60 Hz, and the lower values (<20 Hz) represent the event-triggered quasi-harmonic oscillations. The oscillation is 282 removed from the signals using a highpass filter. The timing of each snapshot from the 283

- synchronized camera is marked on the cleaned waveform to disregard the oscillation, and accordingly, 20 snapshots are selected to cover the coseismic and early-postseismic stages.
- Figures 4c and d visualize the swath profiles of the cumulative surface displacements over the area above the seismogenic zone along the strike of the megathrust for both configurations (see Figures S1 & S2 for 2D surface displacement map). Figure 5a-b shows corresponding snapshots of the inverted slip along the megathrust and upper plate fault (antithetic to the megathrust) inverted from surface



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Figure 4. Model setup and exemplary evolution of coseismic and early-postseismic surface deformation in two scenarios. a and b: Plan view of the seismotectonic scale models' configurations; Light, medium, and dark gray colors represent the "aseismically" creeping interface, a velocity weakening matrix characterized by microslips ("microseismicity"), and the main slip patch(es) (MSP) where large analog megathrust earthquake slip occurs ("seismogenic zone" or "asperity"), respectively. The red dashed lines (marked by circles) show the profiles along which the cumulative surface displacement is shown in c and d. The gray star represents the location of the initiation of the rupture. The downward vectors indicate the

reduction of the cumulative trenchward surface displacement representing surface displacement reversal
 during the early-postseismic stage interpreted as backslip. The corresponding surface deformation maps

301 *derived from the synchronized camera are visualized in figures S1 and S2. The stars on the dashed lines*

show the selected surface displacement snapshots for slip modeling in Figure 5. e-g show an exemplary

acceleration, velocity, and displacement of the one sensor located on the wedge (Figure 2c). The timing of

304 *each snapshot has been marked on the waveforms.*

displacements. In the homogeneous system, the rupture initiates at the along-strike periphery of 305 the stick-slip zone, grows radially in a crack-like fashion, and then laterally propagates as a pulse 306 307 across the stick-slip zone (Figures 4c and S1). While the rupture arrests on the opposite side, the early rupture area seems to have relocked and apparently accumulates backslip at an even higher 308 rate than the plate convergence rate. We term this kinematic observation "postseismic slip 309 reversal" as it appears as a normal faulting mechanism (blue color in Figure 5b) in its formal 310 inversion. Alternatively, the observation could also be explained by locking of the interface (no 311 slip) combined with transient model slab acceleration (i.e., slab elastic rebound) triggered 312 coseismically (see sections 4.2 and 4.3 for discussion). Whatever the source, the slip reversal is 313 short-lived and propagates along the interface as the pulse behind the rupture. At the surface, this 314 early instantaneous backslip (slip reversal) on the megathrust reduces the cumulative trenchward 315 surface displacement (Figure 4c). The lack of significant afterslip in the MSPs and the matrix 316 317 immediately after the coseismic stage and the landward surface displacement of the upper plate suggests a nearly complete stress-drop allowing the MSP and matrix to enter the relocking phase. 318

In the heterogeneous system, the rupture nucleates in the matrix, where a small foreshock event first triggers the failure of the shallow patch, followed by the failure of the deeper patch (Figures 4d and S2). Because of the limited along-strike dimension of the MSP, megathrust failure occurs as a sequence of two discontinuous crack-like failures in contrast to the more continuous pulselike failure in the uniform model. Again, a postseismic slip reversal occurs in the shallow MSP while the deep MSP is still in the process of failing (Figure 5a) and where slip reversal occurs slightly later. The landward displacement of the upper plate predominantly occurs above the site of the two moderate-size MSPs. In other words, the MSPs, which host large slips, undergo larger postseismic slip reversal than the matrix.

- 328 3.1.2 Upper plate displacement accumulation
- In both configurations, the postseismic backslip initiates immediately following the main event on
- the patches. The maximum amount of the backslip-caused surface displacement could reach 30%
- 331 of the maximum coseismic surface displacement. The trench-normal surface displacements of the



Figure 5. Upper panel: Slip models of the selected increments (marked in Figure 1d) in the heterogeneous system for demonstrating slip/backslip distribution in the MSPs and the antithetic upper plate fault. The vectors indicate the relative sense of slip but are not to scale. The dashed rectangles indicate the approximate location of the MSPs before shearing into trapezoids. The lower panel represents three trenchnormal profiles of Coulomb failure stress changes (Δ CFS) from the slip model snapshot #12 in the heterogeneous configuration. Inset shows the location of profiles on the model surface.

coseismic, postseismic, and interseismic stages of an earthquake cycle have been visualized in Figure S5. Comparing the magnitude of the cumulative surface velocities reveals that the horizontal surface displacement (mostly seafloor in nature) during the early parts of the postseismic stage could reach up to 20-30% of the entire interseismic backslip.

Backthrusts accommodating long-term permanent wedge shortening and uplift emerge in the upper plate in both configurations during the model evolution. They are rooted in the down-dip limit of the stick-slip patch(es), where compressive stresses peak along the plate interface during the interseismic period.

We observe a kinematically consistent reactivation of the backthrust, i.e. as a normal fault during 349 the coseismic megathrust slip phase and as a thrust in response to backslip on the megathrust. A 350 slip ('trenchward') or backslip rearward ('landward') on the interface may re-activate the antithetic 351 fault in the upper plate with a normal (e.g., #12 in Figure 5a) and/or a reverse sense of movement 352 (e.g., #15 in Figure 5b), respectively. Following the slip distribution model (Figure 5a & b), two 353 segments of the upper plate fault may move in opposite directions. This behavior likely reflects 354 the shear sense on the MSPs. Particularly, in the upper plate fault, which in our experiments is 355 rooted in the plate interface at the down-dip end of the seismogenic zone, the sense of slip 356 (slip/backslip) on the seismogenic zone directly controls the slip mechanism of the antithetic fault. 357 Based on the antisymmetric part of the two-dimensional velocity gradient tensor, we calculate the 358 vertical axis rotation of the upper plate (Figure 6, the methodology can be found in Allmendinger 359 et al., 2007). The uniform and dense distribution of the observation points at the model surface 360 allows us to use the nearest neighbor points to calculate each point's rotation around a vertical axis. 361 In the case of coseismic trenchward displacement of the upper plate, a divergent motion in the 362 surface velocities above the rupture zone leads to a (sub-) symmetric vertical rotation while it may 363 also rotate the adjacent areas. However, there is no significant rotation above the nearby (deeper) 364 365 asperity. On the other hand, in the stage that the MSPs are on opposite modes (loading vs. unloading), the surface velocities above the loading MSP show a convergence mode as it may 366 enhance the shortening rate in the early postseismic stage. 367



Figure 6: Exemplary clockwise and anticlockwise upper plate rotation during coseismic and early postseismic stages derived from selected surface displacements increments. Their associated surface displacements (E07 and E11) are visualized in Figure S2. Note that the sense of rotation during coseismic and postseismic stages causes divergence and convergence motion above the MSPs in the upper plate.

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3.2 Interpretation of the dynamics: Coulomb failure stress changes

To constrain the triggering dynamics, we consider static stress changes in our models. Based on 376 the slip and backslip pattern documented above, we derive Coulomb failure stress changes (ΔCFS) 377 (e.g., Lin and Stein, 2004) induced by the mainshock on the megathrust and the antithetic fault to 378 get insight into zones of enhanced/decreased CFS (lower panel in Figure 5 and S3). We calculate 379 the ΔCFS for the coseismic and postseismic stages of an event for the heterogeneous system on 380 381 the receiver faults with the same sense and orientation as slip (thrust receiver faults in Figure 5) and backslip (normal receiver faults Figure S4) on the interface. In the shallow part of the plate 382 interface (profile c-c'), a negative ΔCFS lobe is bounded by two positive ΔCFS lobes. The ΔCFS 383 is highly enhanced at the upper limit of the rupture, where the shallow part of the interface ruptures 384 and is adjacent to the main slip zone on the slab. The Δ CFS on the normal receiver fault (Figure 385 S3) shows a decrease and an increase at the up-dip limit of the deep (in slip phase) and shallow (in 386 backslip phase) MSPs on the slab, receptively. 387

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Another lobe of positive ΔCFS is extended to the down-dip limit of the main rupture area, where the antithetic fault in the upper plate appears during the model evolution (Figure 5). The deeprooted antithetic fault, which imposes a significant discontinuity in the upper plate, perturbs the inner-wedge stress state and highly increases the CFS at the conjunction of the interface and the antithetic fault. Hence, it builds up stress and enhances the Δ CFS in the upper plate. However, the uncertainties in the slip distribution models at the conjugation zone may affect the Δ CFS's uncertainty. A relatively strong increase in CFS is predicted for the deeper MSP. Likely, it results from a combination of backslip on the deeper MSP and the mainshock-induced stress transfer.



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Figure 7. Upper plate time-series overlayed on the model slab time-series (background colormap) from the heterogeneous configuration (see Figure S4 for the homogenous configuration). Note the location of the profiles relative to the upper plate and slab. The vertical lines (E1-E22) indicate abrupt surface displacement changes above the matrix. The warm color shows the landward displacement of the slab. Larger events instigate greater model slab responses (Figure 8).

404 4 Discussion

405 4.1 Sequential elastic rebound of upper plate and slab?

We combine kinematic and dynamic results to shed light on the mechanism active during an analog earthquake. We analyze and interpret the cumulative displacement fields of a few earthquake cycles for both configurations to reach an accurate view of the elastic responses from the model slab and upper plate to the stress drop on the interface (Figure 7 & S4). Starting simple and in line

with the *elastic rebound theory* (Reid, 1910), the coseismic strain energy release (i.e., shear-stress 410 drop) leads to the rebound of the interseismically strained upper plate and slab and transfers stress 411 to the adjacent and nearby regions. The elastic response manifests itself in the strain energy 412 converted to kinetic energy and consumed to accelerate the upper plate and (subordinately) the 413 slab. The rebounds on the upper plate and slab (i.e., opposite sides of the megathrust interface) are 414 in opposite directions (Savage, 1983). When we examine the velocity changes of the plates, we 415 find that the model slab accelerates landward (Figures 7 & S4). The slab velocity increases by 416 50%-300% of the long-term velocity co- and early postseismically, depending on the event's 417 magnitude. The magnitude of the events and model slab accelerations indicate a positive 418 correlation: the larger the earthquake, the stronger is the response generated (Figures 7 & 8). While 419 we cannot measure the elastic rebound of the slab in the asperity area on the interface directly, 420 these values should be considered minimum values of local slab acceleration. 421



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Figure 8. Correlation between the upper plate and model slab trenchward (landward) displacements
 during coseismic and early-postseismic stages.

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Im et al. (2019) and Im & Avouac, (2021) show that the transition from a quasi-static stick-slip to a harmonic oscillation can be described by the emergence of dynamic instability. In a singledegree-of-freedom spring-slider system, the latter tends to become unstable for a larger mass or velocity and is sensitive to the loading velocity representing the contribution of inertia to frictional

instability. In the cases that the inertial instability is high or normal stress is low, friction-induced 430 vibration (harmonic oscillation) may appear in any system exhibiting velocity weakening friction. 431 Comparable with nature, the normal load in the shallow part of the subduction megathrust (i.e., 432 the offshore portion in nature) is sufficiently low (Gao & Wang, 2017) and does not undergo 433 relevant change during the coseismic period. However, the velocity increases significantly due to 434 coseismic slip on the interface. These normal stress and velocity conditions prompt the system, 435 which is already in unstable mode (i.e., slip), to the domain (Figure 1 in Im and Avouac., 2021), 436 where an inertia-dominated instability appears as a harmonic oscillation in our elastoplastic wedge 437 (i.e., upper plate). This inertia-dominated instability may enhance the slip/backslip on the 438 interface, similar to the effect of "dynamic shaking" on the plate interface coupling in Southern 439 Cascadia (Materna et al., 2019). 440

441

442 4.2 Effect of the model slab acceleration on the rapid relocking

Our simplified seismotectonic megathrust model suggests different rebounds (i.e., in terms of 443 timing, magnitude, and direction) in the upper plate and slab, triggering the immediate early-444 postseismic signals. An immediate relocking starts after rupture arrest and leads to a reversed 445 surface displacement. While the rapid relocking is apparently limited on the two MSPs (in the 446 heterogeneous system), it may postseismically reach a significant amount of the coseismic slip 447 increments. The elastic response of the model slab ("delayed rebound"), which comes into play as 448 local acceleration, speeds up the stress build-up and results in this accelerated backslip. The large 449 normal faulting aftershocks in the model slab following a megathrust event seaward of the 450 megathrust event, such as occurring after the Maule earthquake (Ruiz & Contreras-Reyes, 2015) 451 and the Tohoku-Oki earthquake (Asano et al., 2011; Lay et al., 2011) reflect slab extension and 452 thus the same elastic response of the slab. 453

While the acceleration's impact appears as landward surface displacements above the MSPs, the surface displacements above the matrix follow the slip sense of the MSPs in the heterogeneous configuration (S2). The significant amount of backslip suggests that the delayed rebound may not be the only possible mechanism involved in the landward surface displacement. An extreme coseismic stress-drop overshoots the strained upper plate trenchward coseismically. The upper plate postseismically responds to this overshoot such that its elastic restoring force drags it back to a quasi-equilibrium state, which may appear as localized upper plate landward surfacedisplacements to a quasi-equilibrium state (Figure 9).

An immediate relocking and a high backslip velocity have been modeled based on land-limited 462 GPS stations for the 2007 Pisco (Remy et al., 2016) and the 2010 Maule (Bedford et al., 2016) 463 megathrust earthquakes, respectively. In the Tohoku-Oki earthquake region, the sparse sites 464 directly above the high-slip zone postseimically moved landward faster than the pre-earthquake 465 velocity (Tomita et al., 2015). This fast postseismic velocity has been explained via a slab 466 acceleration driven by the recovery of force balance (Heki & Mitsui, 2013; Yuzariyadi & Heki, 467 2021) and the mantle relaxation (Sun et al., 2014; Watanabe et al., 2014). But it is expected that 468 the mantle relaxation affects surface velocities at a relatively large wavelength. Also, the 469 viscoelastic relaxation could not explain the trenchward motion of the stations above the slip zone 470 further landward from the trench (Yuzariyadi & Heki, 2021). Afterslip might be the responsible 471 mechanism for this surface displacement contrast at a relatively short distance (e.g., Sun & Wang, 472 2015; Tomita et al., 2017). Nevertheless, the coarse sampling rate of near-source observations 473 prevents monitoring how the signals appear and evolve. Our analog model supports the occurrence 474 475 of significant postseismic velocity changes with the model slab deceleration following Omori-Utsu's decay law (Figure S4) of aftershock activity (Utsu et al., 1995). However, any viscoelastic 476 477 behavior of the mantle may modify the elastic response of the model slab and lead to a different response time scale. It means that the acceleration may last longer postseismically and decay with 478 479 another characteristic time-constant in a coupled brittle-viscous system.

The stress evolution model for the extreme weakening observed during the Tohoku-Oki 480 earthquake suggests a 20% slip reversal in the rupture's final stage, consistent with the postseismic 481 stress stage derived from breakout data (Brodsky et al., 2017, 2020). However, our models suggest 482 483 that the localized slip reversal may reflect the early postseismic stage due to a model slab acceleration and/or a rapid restoration of the upper plate after experiencing elastic overshooting. 484 Moreover, a dynamic slip reversal was reported in the 2011 Mw 9.0 Tohoku-Oki earthquake by 485 Ide et al. (2011). It has been suggested that the reversal of rupture propagation direction (from 486 updip to downdip) and amplified upper plate displacement is caused by coseismic dynamic 487 overshooting, which is consistent with our experimental observation. If the mechanisms of these 488 observations in our experiment and the case of Tohoku-Oki earthquake are compatible, the normal 489

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mechanism aftershocks on the interface close to the maximum slip area (Ide et al., 2011; Yagi &
Fukahata, 2011) may be comparable to our proposed early postseismic backslip.

492 4.3 Effects of the acceleration on the upper plate fault activity

Apart from the consequences on asperities, the accelerated relocking also affects upper-plate 493 494 shortening and upper-plate fault activity. The antithetic fault in our experiments switches its kinematic mode and acts as a normal fault coseismically due to its location relative to the 495 megathrust earthquake centroid (e.g., deDontney et al., 2012; Li et al., 2014; Xu et al., 2015). This 496 discontinuity inside the upper plate responds to stress perturbation and stress enhancement. When 497 498 the MSPs are in opposite modes in the heterogeneous system (loading vs. unloading), they cause compressional (postseismically) and extensional (coseismically and/or early postseismically) 499 stress regimes on the two segments of the antithetic upper plate fault, respectively. The high 500 amount of the early postseismic shortening (Figure S5; postseismic/interseismic=20-25%) may 501 increase the stress level in the upper plate, which is consistent with the reported upper-plate 502 seismicity after megathrust earthquakes (e.g., Asano et al., 2011; Hoskins et al., 2021; Toda et al., 503 2011). 504



Figure 9. Schematic diagram of upper plate elastic behavior during coseismic overshooting and postseismic restoration. The interseismically strained upper plate is overshot trenchward (seaward) due to an extreme coseismic stress-drop on the interface. Subsequently, an elastic restoring force drags the upper plate back to its equilibrium state.

510

511 4.4 Effects of the acceleration on event triggering

The early-postseismic ΔCFS enhancement in the model slab may increase the tensional load in the 512 model slab (e.g., Lay et al., 1989; Tilmann et al., 2016) such that the postseismic extensional 513 domain hosts the reported large normal mechanism aftershocks early after the megathrust event 514 (e.g., Asano et al., 2011; Lay et al., 2011; Ruiz and Contreras-Reyes, 2015). The stress 515 enhancement on either receiver MSP (direct effect) or subducting plate (indirect effect) may bring 516 the second MSP close to failure. In the heterogeneous configuration, the stress drop of the former 517 event enhances ΔCFS on the second MSP, such that it directly increases the probability of failure. 518 519 On the other hand, comparing the timing of model slab acceleration and the latter event (t2 versus t3) shows that the acceleration occurs ahead of the later event. This interestingly suggests that the 520 acceleration caused by the delayed elastic response of the model slab has antedated the later event 521

on the shallow MSP (Figures 10 & S6). Hence, the acceleration perturbs the MSP's seismic cycle
and causes a "clock advance" in the loading cycle of the MSP (Figures S6 and S7).

The rupture of one asperity enhances the stress changes on the adjacent asperity and may bring it 524 closer to failure. For example, Melnick et al. (2017) suggest that, besides static stress changes, the 525 increased locking appears in segments adjacent to the failed asperity due to a combination of 526 viscoelastic mantle relaxation and afterslip-controlled vertical axis rotation in the upper plate. The 527 studies on the Wenchuan-Lushan sequential events on the Longmenshan fault show accelerated 528 healing of asperity in response to an earthquake on the adjacent asperity (Pei et al., 2019; Zhao et 529 al., 2020). Accordingly, the enhanced postseismic compression and the accelerating accumulation 530 of the elastic strain triggered the second event on the nearby asperity (Li et al., 2018). 531

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Figure 10. Timing of coseismic and postseismic elastic responses of the upper plate and model slab for a representative event. a: relative location of the time-series on both plates shown as zone index; b: the elastic response of the upper plate. t1 to t3 indicates the relative timing of the events; c: the elastic response of the slab.

539

540 5. Conclusion

Our result shows a sequential elastic rebound following the coseismic shear-stress drop in our elastoplastic-frictional models as the rebound of the upper plate is faster and more prominent compared to that of the slab. The delayed rebound of the slab, along with rapid relaxation of the upper plate after an elastic overshooting, may accelerate the relocking of the megathrust. The

laboratory seismogeodetic observations show how the upper plate responds to this overshoot 545 postseismically such that the elastic restoring force may appear as localized upper plate rearward 546 surface displacements. This acceleration triggers/antedates the failure of a nearby asperity and 547 enhances the early backslip in the rupture area. However, depending on the scaling factors, this 548 sequence of dynamic overshooting, amplified motion of the upper plate, and upper plate rearward 549 restoration may alternatively be considered as the coseismic phase. We suggest that the immediate 550 backslip following the main event on the patches could reach up to 30% of coseismic slip and the 551 entire interseismic backslip. The slip models of the upper plate fault demonstrate that the different 552 segments of the upper plate backthrust may move in opposite directions (normal versus reverse), 553 reflecting the sense of shear on the MSPs (slip versus backslip). This deep-rooted backthrust fault 554 generates a discontinuity in the upper plate and perturbs the inner-wedge stress state. 555 556 Consequently, the discontinuity may strongly enhance the ΔCFS in the upper plate.

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568 Data Availability Statement

All data in this study are online and published open access in Kosari et al. (2022b) (https://doi.org/10.5880/fidgeo.2022.024). We thank GFZ Data Services for publishing the data.

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572 **P**

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845 **Captions:**

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Figure 1. Scheme of the seismotectonic scale model's geometry and configuration: a and b demonstrate 847 our conceptual systems of coupled spring sliders as depicted by Ruff and Tichelaar, (1996). b and c 848 represent homogenous and heterogeneous configurations, respectively. The yellow (matrix) and magenta 849 850 (main slip patch) rectangles demonstrate the seismogenic patches which generate repeating earthquake 851 and megathrust events, respectively. P.D.D. represents the projection of the down-dip limit of the 852 seismogenic patch on the model surface. The small orange rectangles show the different configurations of accelerometers. The frictional behavior of both velocity weakening materials used in the matrix and main 853 854 slip patch is shown in Figure 2.

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856 Figure 2. Shear stress time-series measured in a ring-shear tester during velocity stepping tests under constant normal load (2000 Pa). Stick-slip behavior simulates "seismic cycles" with coseismic and 857 interseismic stress drop (analog earthquakes) and increase.. a and b (main slip patch in Figure 1) and 858 magenta (matrix in Figure 1) demonstrate the seismogenic (i.e., stick-slip) patches which generate 859 megathrust events and repeating earthquakes, respectively. c and d show seven seismic cycles from both 860 861 materials. Note that the recurrence of the repeating earthquake is approximately 20 times shorter than the megathrust event. If scaling is applied to these test data, one second corresponds to 250 years, stress drops 862 would be 10-100 MPa, and friction coefficients consistent with Byerlee friction for the interseismic (~ 0.6 -863 0.7) and ~ 0.2 after relocking. Note that we cannot measure friction during catastrophic failure properly in 864 865 this kind of test.

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Figure 3. Differentiating Quasi-harmonic oscillation and event-related signals. a and b represent the scalogram of the signal before and after filtering the quasi-harmonic oscillations out. c and d are the normal-trench acceleration derived from three sensors located on the wedge (c and d) and the basal rubber conveyor belt (e).

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876 *Figure 4.* Model setup and exemplary evolution of coseismic and early-postseismic surface deformation

- in two scenarios. a and b: Plan view of the seismotectonic scale models' configurations; Light, medium,
- and dark gray colors represent the "aseismically" creeping interface, a velocity weakening matrix
- characterized by microslips ("microseismicity"), and the main slip patch(es) (MSP) where large analog
- 880 megathrust earthquake slip occurs ("seismogenic zone" or "asperity"), respectively. The red dashed
- 881 *lines (marked by circles) show the profiles along which the cumulative surface displacement is shown in c*
- and *d*. The gray star represents the location of the initiation of the rupture. The downward vectors
- 883 *indicate the reduction of the cumulative trenchward surface displacement representing surface*
- 884 displacement reversal during the early-postseismic stage interpreted as backslip. The corresponding
- surface deformation maps derived from the synchronized camera are visualized in figures S1 and S2. The
- stars on the dashed lines show the selected surface displacement snapshots for slip modeling in Figure 5.

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Figure 5. Upper panel: Slip models of the selected increments (marked in Figure 1d) in the heterogeneous system for demonstrating slip/backslip distribution in the MSPs and the antithetic upper plate fault. The vectors indicate the relative sense of slip but are not to scale. The dashed rectangles indicate the approximate location of the MSPs before shearing into trapezoids. The lower panel represents three trenchnormal profiles of Coulomb failure stress changes (Δ CFS) from the slip model snapshot #12 in the heterogeneous configuration. Inset shows the location of profiles on the model surface.

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Figure 6: Exemplary clockwise and anticlockwise upper plate rotation during coseismic and early postseismic stages derived from selected surface displacements increments. Their associated surface displacements (E07 and E11) are visualized in Figure S2. Note that the sense of rotation during coseismic and postseismic stages causes divergence and convergence motion above the MSPs in the upper plate.

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Figure 7. Upper plate time-series overlayed on the model slab time-series (background colormap) from the heterogeneous configuration (see Figure S4 for the homogenous configuration). Note the location of the profiles relative to the upper plate and slab. The vertical lines (E1-E22) indicate abrupt surface displacement changes above the matrix. The warm color shows the landward displacement of the slab. Larger events instigate greater model slab responses (Figure 8).

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Figure 8. Correlation between the upper plate and model slab trenchward (landward) displacements
during coseismic and early-postseismic stages.

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912 Figure 9. Schematic diagram of upper plate elastic behavior during coseismic overshooting and 913 postseismic restoration. The interseismically strained upper plate is overshot trenchward (seaward) due to 914 an extreme coseismic stress-drop on the interface. Subsequently, an elastic restoring force drags the upper 915 plate back to its equilibrium state.

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Figure 10. Timing of coseismic and postseismic elastic responses of the upper plate and model slab for a representative event. a: relative location of the time-series on both plates shown as zone index; b: the elastic response of the upper plate. t1 to t3 indicates the relative timing of the events; c: the elastic response of the slab.

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927 Figures:



Figure 1.



Figure 2.



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