Cloud-Radiation Interactions and their Contributions to Convective Self-Aggregation

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Abstract

This study investigates the direct radiative-convective processes that drive and maintain aggregation within convection permitting elongated channel (and smaller square) simulations of the UK Met Office Unified Model (UM). Our simulations are configured using three fixed sea surface temperatures (SSTs) following the radiative-convective equilibrium model intercomparison project (RCEMIP) protocol. By defining cloud types based on the vertical distribution of condensed water, we study the importance of radiative interactions with each cloud type to aggregation. We eliminate the dependence of the verticallyintegrated frozen moist static energy (FMSE) variance budget framework on SST by normalizing FMSE between theoretical upper and lower limits based on SST. The elongated channel simulations reach similar degrees of aggregation across SSTs, despite the contributions of normalized shortwave and longwave interactions decreasing with SST. High-cloud longwave interactions are the main drivers and maintainers of aggregation. Their influence decreases with SST as high clouds become less abundant. This SST-dependence is consistent with changes in grid spacing and RHcrit, however the magnitude of high-cloud longwave interactions is likely reduced as grid spacing and RHcrit are reduced. Both factors tend to decrease condensed water path and cloud top height, decreasing the anomalous longwave heating rates of these clouds. Shortwave interactions with water vapor are key maintainers of aggregation and are dependent on SST and the degree of aggregation itself. The analysis method used provides a new framework to compare the effects of radiative-convective processes on self-aggregation across different SSTs and model configurations in order to improve our understanding of self-aggregation.

Cloud-Radiation Interactions and their Contributions to Convective Self-Aggregation

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Key Points:

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6	•	The normalized FMSE variance budget is a consistent framework to study aggre-
7		gation at all SSTs
8	•	Radiative interactions with high cloud & water vapor drive aggregation and are
9		sensitive to SST
10	•	Longwave interactions reduce as model grid spacing is decreased, helping slow ag-
11		gregation

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12 Abstract

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³⁴ Plain Language Summary

The spontaneous clustering of rainstorms (termed convective self-aggregation) is 35 a common feature in weather and climate models. The amount of aggregation has a large 36 influence on both weather and climate, so being able to understand how aggregation de-37 velops and how it is affected by a warming climate is important in both weather and cli-38 mate modeling. Previous studies have shown that interactions between convection and 39 radiation (both solar radiation and thermal radiation) are crucial for driving and main-40 taining aggregation. This study provides a detailed analysis into the key radiative-convective 41 interactions that influence aggregation within simulations of the Met Office Unified Model. 42 We assess their sensitivities to the model's sea surface temperature (SST), grid spacing, 43 and critical cloud formation humidity. We find that the contribution of radiative-convective 44 interactions to aggregation decreases as the SST is increased because the amount of high 45 cloud decreases, and because the difference in absorption of solar radiation between hu-46 mid and dry regions becomes less significant for aggregation. Decreasing both the model 47 grid spacing, and the model's critical cloud formation humidity has the effect of decreas-48 ing the magnitude of the cloud interactions with thermal radiation, leading to a hypoth-49 esized slowing of the rate of aggregation. 50

51 **1** Introduction

Weather over the tropical oceans is dominated by convection. The tropical atmo-52 sphere is in an approximate equilibrium between atmospheric radiative cooling and con-53 vective heating called radiative-convective equilibrium (RCE) (e.g. Arakawa & Schubert, 54 1974). With radiative cooling of the free troposphere, consistently high surface temper-55 atures, and an abundant supply of moisture, convection occurs in an attempt to neutral-56 ize conditional instability, resulting in strong rainstorms. This convection can form a wide 57 variety of structures with a great range of spatial and temporal scales depending on the 58 state of convective organization. Structures can range from individual cumulonimbus clouds, 59 to squall lines, mesoscale convective systems (MCSs), tropical cyclones, and the Madden-60

Julian Oscillation (MJO) (Madden & Julian, 1971; Houze, 2004; Nakazawa, 1988; Mapes & Houze, 1993). The degree of aggregation affects the environment of both the convective and surrounding subsiding regions (e.g. Wing & Emanuel, 2014), as well as global-

scale circulations (Arnold & Randall, 2015) and climate (Coppin & Bony, 2018).

There are many processes that cause convective organization, including convection 65 within equatorial waves (Kiladis et al., 2009), organization along fronts, sea surface tem-66 perature (SST) hotspots, land and orography. Another process has been termed convec-67 tive self-aggregation: a process, first identified in idealized models, by which convection 68 69 spontaneously becomes clustered despite homogeneous initial conditions and forcing (e.g. Wing et al., 2017). Self-aggregation has been the focus of many recent studies, the ma-70 jority of which have used idealized simulations of radiative convective equilibrium to fur-71 ther understand the processes that cause this phenomenon (Held et al., 1993; Brether-72 ton et al., 2005; Muller & Held, 2012; Wing & Emanuel, 2014). A review of self-aggregation 73 in numerical models has been published by Wing et al. (2017). Despite self-aggregation 74 being first recognized in these idealized numerical models, key processes that drive self-75 aggregation are indeed relevant to the real atmosphere (Holloway et al., 2017). 76

We use the spatial distribution of frozen moist static energy (FMSE) as a framework to study aggregation (Wing & Emanuel, 2014). FMSE, or h, is given by

$$h = c_p T + gz + L_v q_v - L_f q_i \tag{1}$$

where c_p is the specific heat of dry air at constant pressure, T is temperature, g is the gravitational acceleration, z is the height above the surface, L_v is the latent heat of vaporization, q_v is the water vapor mixing ratio, L_f is the latent heat of fusion and q_i is the condensed ice mixing ratio.

The density-weighted vertical integral of FMSE is only affected by radiation, sur-83 face fluxes and advection. FMSE is approximately conserved, but redistributed under 84 convective processes. As convection becomes more clustered, the horizontal variance in 85 vertically integrated FMSE increases. A budget equation for the rate of change of ver-86 tically integrated FMSE shows that the horizontal variance in vertically integrated FMSE 87 is driven by feedbacks with radiation, surface fluxes and advection. Many studies have 88 shown the feedbacks between FMSE and both shortwave and longwave radiation are the 89 key drivers and maintainers of aggregation (e.g. Holloway & Woolnough, 2016), and in-90 teractive radiation in models is essential for aggregation to occur (Bretherton et al., 2005; 91 Muller & Bony, 2015). 92

Muller and Held (2012) find that it is the longwave cooling effect of low clouds within 93 dry regions that is responsible for the onset of self-aggregation. The resultant circula-94 tion driven by the radiative cooling drives an upgradient transport of FMSE, which in-95 creases the variance of FMSE. They find the sensitivity of self-aggregation to domain size 96 and resolution to be a result of the sensitivity of low cloud distributions within the model. 97 Once the convection is aggregated, the longwave cooling effect of low clouds is not nec-98 essary to maintain aggregation (Muller & Held, 2012; Muller & Bony, 2015). During the 99 mature phase of aggregation, the reduced longwave cooling of high clouds within high-100 FMSE regions becomes the dominant feedback maintaining aggregation (Wing & Emanuel, 101 2014). 102

Wing and Emanuel (2014) note the importance of the shortwave radiative feedback due to the increased absorption of shortwave radiation within high FMSE regions compared to low FMSE regions, increasing the FMSE variance. They also note that dry regions initially have anomalously strong radiative cooling, resulting in a positive longwave feedback, whereas at later times, the dry regions amplify, becoming dryer, which decreases low-level emissivity. Anomalous longwave heating then develops at low levels to the ex tent that the column longwave heating anomaly becomes positive.

The contributions from cloud-radiation interactions to convective self-aggregation are generally implied in these previous studies, but a detailed analysis considering the role of specific cloud types is missing. With both the horizontal and vertical distribution of clouds being one of the largest sources of variability amongst RCE simulations (Wing et al., 2020), a detailed investigation into the role of specific cloud types on selfaggregation may help in explaining the variability of self-aggregation amongst RCE simulations and the consequential implications for climate sensitivity.

This study investigates the direct radiative-convective processes that are impor-117 tant to self-aggregation, and their sensitivity to SST within elongated channel simula-118 tions of the UK Met Office Unified Model (UM) version 11.0. We then investigate how 119 the SST-dependent convective features and their radiative interactions are affected by 120 model grid spacing and treatment of subgrid condensation using smaller square domains. 121 Our simulations are configured using three fixed sea surface temperatures (SSTs) follow-122 ing the radiative-convective equilibrium model intercomparison project (RCEMIP) pro-123 tocol. The model setup is described in section 2.1. We use a budget equation for the vari-124 ance of normalized vertically-integrated FMSE which minimizes the SST dependence of 125 horizontal FMSE variance (section 2.2). This allows us to compare how the impacts of 126 radiative feedbacks on aggregation change with SST. We categorize cloud types based 127 on the vertical distribution of condensed water path (CWP) and analyze their radiative 128 interactions that impact aggregation. This categorization is shown in section 2.3. 129

We first analyze how convection aggregates within the three channel simulations 130 in section 3, and show how the FMSE budget terms vary with time and SST. We then 131 analyze the radiative feedbacks responsible for maintaining aggregation in the large do-132 main and compare how SST affects these feedbacks in section 4. Then, we look at the 133 dominant radiative feedbacks during the early stages of aggregation and see how they 134 change with time (section 5). Finally, we investigate how these radiative interactions are 135 affected by both resolution and the critical humidity threshold for condensation to oc-136 cur (RHcrit), using smaller domains with lower grid spacing (section 6). A summary and 137 conclusions is presented in section 7. 138

139 2 Methods

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2.1 Model Configuration

In this study, we use the UK Met Office Unified Model version 11.0 to run simulations of RCE at three fixed SSTs of 295, 300 and 305 K. This study mainly focuses on convection within the "LARGE" domain, however we also use three other domains: "SMALL", "SMALL_HI", and "SMALL_RHCRIT", to assess how the radiative properties of clouds are affected by grid spacing and RHcrit.

The LARGE and SMALL simulations have been configured following the radiativeconvective equilibrium model intercomparison project (RCEMIP) protocol set out by Wing et al. (2018). The LARGE domain is 6048 km \times 432 km in size with a 3 km horizontal grid spacing and the SMALL domain is 100 km \times 100 km with a 1 km grid spacing. The SMALL_HI domain is also 100 km \times 100 km in size but has a horizontal grid spacing of 0.1 km.

The LARGE, SMALL, and SMALL_HI simulations all have a uniform RHcrit value of 0.99 across the entire domain. The value of RHcrit should depend on the dimensions of the grid box, with coarser grid boxes requiring a lower RHcrit to yield realistic cloud amounts. Our value is too high to yield realistic low cloud distributions (Morcrette, 2013) particularly for our coarser grid spacings. To see the effects of a more realistic RHcrit, we used another set of simulations that are identical to our *SMALL* simulations but for an RHcrit distribution used in the UK Met Office UKV model. Here, RHcrit is set to 96% in the lowest layers and decreases steadily to 80% at 900 m. RHcrit is then maintained at 80% above this level.

The RCEMIP protocol states that large-domain simulations for a given SST are 161 initialized using the equilibrium soundings of the corresponding small-domain simula-162 tions, providing aggregation does not occur in the small-domain. In our case, the SMALL 163 simulations showed signs of self-aggregation, therefore, our LARGE simulations are ini-164 tialized from a corresponding small-domain simulation with homogenized radiation, which 165 showed no sign of aggregation. Note that there was a mistake in the initialization of the 166 LARGE simulations, in that the initial humidity profile is out by a density factor. With 167 density close to unity in the regions with highest absolute humidity, and with the 2-day 168 spin-up period neglected in the conclusions of our analysis, we believe this error will not 169 have an impact on our conclusions. 170

The simulations are configured over an ocean, without rotation, and have a fixed solar insolation of 409.6 W m⁻² (the tropical annual mean). The *LARGE* domain simulations are run for 113 days, the *SMALL* simulations are 124 days, the *SMALL_HI* simulations are 54 days, and the *SMALL_RHCRIT* simulations are 123 days. 3D data are produced every 6 hours, which is the temporal resolution of our analysis.

The science configuration of our simulations is based on the tropical Regional At-176 mosphere and Land (RAL1-T) configuration (Bush et al., 2020). However, we use the 177 Smith sub-grid cloud scheme (Smith, 1990) rather than the PC2 scheme (Wilson et al., 178 2008). With our simulations configured over an ocean, the land settings of RAL1-T are 179 not used. The simulations use explicit convection set over a flat, Cartesian grid, with biperi-180 odic boundary conditions, using a vertical sigma-z-coordinate Charney-Philips stagger-181 ing (Charney & Phillips, 1953). We use a 60 s time step for the LARGE simulations, 182 a 30 s time step for the SMALL and SMALL_RHCRIT simulations, and a 5 s time step 183 for the SMALL_HI simulations. The dynamical core uses a semi-implicit, semi-Lagrangian 184 scheme that solves the non-hydrostatic, fully compressible, deep-atmosphere equations 185 of motion (Wood et al., 2014). 186

The radiation scheme used is the Suite of Community Radiative Transfer codes based 187 on Edwards and Slingo (SOCRATES) (Edwards & Slingo, 1996) with the full radiation 188 being computed at 15-minute time steps and the simplified radiation at 5-minute time 189 steps. The boundary layer scheme used is based on that described in Lock et al. (2000) 190 with updates described in Walters et al. (2019). The subgrid turbulence scheme is based 191 on Smagorinsky (1963) with multiple extensions from Lock et al. (2000). We use Rayleigh 192 damping of all prognostics in a "sponge layer" in the upper levels of the model, with the 193 damping timescale following an exponential function of height from 24-40 km. The mi-194 crophysics used is a single-moment scheme based on Wilson and Ballard (1999). 195

2.2 Normalization of FMSE

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¹⁹⁷ Using the variance of vertically-integrated FMSE $(var(\hat{h}))$ as the metric for com-¹⁹⁸ paring aggregation across different SSTs has its pitfalls as it is very strongly dependent ¹⁹⁹ on temperature. To account for this, we normalize vertically-integrated FMSE between ²⁰⁰ a theoretical upper and lower limit using the formula:

$$\widehat{h}_n = \frac{\widehat{h} - \widehat{h}_{min}}{\widehat{h}_{max} - \widehat{h}_{min}} \tag{2}$$

where hats (^) denote a density-weighted vertical integral, and \hat{h}_{max} and \hat{h}_{min} are upper and lower limits of \hat{h} for a given SST. \hat{h}_{max} is defined as the vertically-integrated FMSE

of a fully saturated moist pseudoadiabatic profile from the surface to the tropopause, plus 203 the integrated FMSE of the initial profile for the LARGE simulations above the troppause. 204 For h_{min} , the vertically-integrated FMSE of a dry adiabatic profile with zero moisture 205 is used within the troposphere, and again, integrated FMSE above the tropopause from 206 the initial profile is added. The SST is used as the temperature at sea-level pressure to 207 initiate both adiabatic profiles. The tropopause is defined as the lowest level in the ini-208 tial profile at which the lapse rate decreases to $2^{\circ}C/km$ or less. The values of h_{max} and 209 h_{min} are shown in Table 1, along with the height and pressure of the tropopause and the 210 integrated FMSE above it. With less than 15% of the mass-weighted integral of h_{max} 211 and h_{min} coming from the FMSE above the tropopause, the way we define the tropopause 212 has little effect on these limits and does not impact our conclusions. 213

Table 1. Values of \hat{h}_{max} and \hat{h}_{min} for each SST used in equation (2) to normalize \hat{h} .

$\begin{array}{c} \text{SST} \\ (K) \end{array}$			Tropopause Pressure (hPa)	Tropopause altitude (km)	\hat{h} above tropopause (GJm^{-2})
295	3.219	3.628	92.0	16.6	0.458
300	3.270	3.837	100.4	16.4	0.486
305	3.315	4.059	100.9	16.8	0.486

The relative importance of different processes to changing the variance of FMSE can be analyzed using the budget equation derived by Wing and Emanuel (2014):

$$\frac{1}{2}\frac{\partial\hat{h}^{\prime 2}}{\partial t} = \hat{h}^{\prime}LW^{\prime} + \hat{h}^{\prime}SW^{\prime} + \hat{h}^{\prime}SEF^{\prime} - \hat{h}^{\prime}\nabla_{h}.\hat{\mathbf{u}}\hat{h}$$
(3)

where SEF is the surface enthalpy flux, made up of the surface latent heat and sensible heat fluxes, $\nabla_h \cdot \hat{\mathbf{u}}h$ is the horizontal divergence of the \hat{h} flux, primes (') indicate local anomalies from the instantaneous domain-mean, and LW and SW are the net atmospheric column longwave and shortwave heating rates.

This equation is suitable for comparing the importance of different \hat{h} feedbacks to aggregation within models at the same SST. However, due to the strong dependence of var (\hat{h}) to SST, this equation cannot be used to analyze how the importance of these feedbacks to aggregation change with SST. To enable fair comparisons of aggregation with SST, we frame our analysis using a budget of the horizontal variance of \hat{h}_n . By following the budget equation derivation by Wing and Emanuel (2014) and using \hat{h}_n instead of \hat{h} , equation 3 becomes:

$$\frac{1}{2}\frac{\partial \hat{h}_{n}^{\prime 2}}{\partial t} = \hat{h}_{n}^{\prime}LW_{n}^{\prime} + \hat{h}_{n}^{\prime}SW_{n}^{\prime} + \hat{h}_{n}^{\prime}SEF_{n}^{\prime} - \hat{h}_{n}^{\prime}\nabla_{h}.\mathbf{u}\hat{h}_{n}$$

$$\tag{4}$$

Here, each of the three normalized flux anomalies on the RHS $(LW'_n, SW'_n, \text{ and } SEF'_n)$ is equal to the original flux anomaly in equation 3 divided by the difference between \hat{h}_{max} and \hat{h}_{min} . The derivation of this equation is shown in the appendix.

2.3 Cloud Classification Scheme

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The cloud classification scheme used in this study is based on the classification scheme outlined by Hill et al. (2018), which classifies clouds using the vertical structure of condensed water content. In their study, they define high cloud to be located above 440 hPa and low cloud to be below 680 hPa with mid-level cloud being anything in between. Clouds spanning two or more levels have their own categories, and they distinguished between clouds that are contiguous or not between these layers. In total there are 12 cloud categories. In this study, a minimum condensed water content of 10^{-6} kg m⁻³ is used as a cloud threshold. This is the approximate limit below which the difference between the longwave and shortwave heating rates of clear-sky (without condensed water) and allsky radiative transfer calculations are almost negligible (analysis not shown).



Figure 1. Cloud base distributions throughout each of the *LARGE* domain simulations.
The lower and upper pressure thresholds (P1
and P2) for each SST are shown in narrow and
wide dashed lines respectively, and the mean
freezing level is shown in dotted lines.

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With the depth of the troposphere being strongly dependent on temperature, so too is the vertical distribution of cloud. By analyzing the vertical distribution of cloud bases throughout the LARGE domain simulations, it was apparent that these pressure thresholds should also vary with temperature. Distributions of cloud base pressures for each of the LARGE simulations are shown in Figure 1. The cloud base at a given column is calculated as the lowest-altitude pressure at which the condensed water content exceeds 10^{-6} kg m⁻³ (the distribution shown, therefore, does not account for additional cloud bases above the lowest base). The profiles of cloud base have very similar features for each SST, with two consistent local minima within each distribution. These two minima will be the chosen pressure thresholds that define the cloud types throughout this study. The lower-level threshold is defined as the first cloud base dis-

tribution local minimum below the freezing level. The upper-level threshold is the highestaltitude cloud base distribution local minimum. The lower-level thresholds (P1), and the upper-level thresholds (P2) for each SST are shown in figure 1.

Rather than using all 12 cloud types used by Hill et al. (2018), we have merged the 268 cloud types that were only distinguishable by whether or not they are vertically contigu-269 ous. We analyzed radiative heating rates for all 12 cloud types, and found that the types 270 we have merged have similar heating rates for a given CWP (not shown). The merged 271 cloud types also have similar h distributions, meaning they will have similar radiative 272 interactions for a given CWP. The main differences between the individual cloud types 273 is their CWP distributions, with the contiguous types tending to have higher CWPs. We 274 end up with the 8 cloud types used in this study, including Clear regions. A schematic 275 of the categories is shown in Figure 2. 276



Figure 2. Schematic of the categories used in this study. P1 and P2 are the lower and upperlevel pressure thresholds respectively. The shading is contiguous across rows if the cloud type extends across multiple layers.

3 Aggregation within the LARGE Domain

We briefly consider the evolution of convective aggregation in the LARGE domain 278 at the different SSTs. Hovmöller plots for each simulation are shown in Figure 3 using 279 h_n as a proxy for moist convective regions. The Hovmöller diagrams were made by av-280 eraging h_n along the short axis of the domain. The evolution of the variance of column-281 integrated FMSE for each SST is shown in Figure 4a. Visually, this metric has a strong 282 correlation with SST since a warmer atmosphere is able to contain exponentially more 283 water vapor via the Clausius-Clapeyron relationship, so there will be a larger difference 284 in FMSE between the dry and moist regions. Normalization allows for fair comparisons 285 of aggregation across all SSTs whilst using the FMSE variance framework. $Var(h_n)$ is 286 a consistent metric for each SST, with values less than 10^{-4} corresponding to uniformly 287 scattered convection, and values greater than 10^{-3} corresponding to strong convective 288 aggregation. 289



Figure 3. Hovmöller diagrams of \hat{h}_n for each SST for the *LARGE* domain runs. \hat{h}_n is averaged across the short axis of the domain.

Figure 4. Daily means of the (a) spatial variance of \hat{h} , and (b) variance of \hat{h}_n , for each SST for the *LARGE* domain.

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gation reaches a similar level at the end of the three simulations. This is expected because the organization of convection visually appears similar at the end of the simulations, verifying this metric is consistent across the SSTs we have used. This gives us an idea of the state of aggregation within the domain, with higher values correlating with a more aggregated state. However, the points in time at which the variances of \hat{h} level off appear to occur earlier than the points in time at which the convection becomes the

The variance of \hat{h}_n is shown in Figure 4b and indicates that the degree of aggre-

most clustered (compare Figures 3 and 4). Once the moist regions no longer get moister, and the dry regions no longer become drier, $var(\hat{h})$ will reach its maximum value. It may only take around the timescale of a convective cell for a column to reach the upper limit of \hat{h} , however it takes much longer for the driest regions to reach the lower limit. The drying of the dry regions may be on the same timescale as the subsidence timescale; the time it takes for the very dry air near the tropopause to descend throughout the depth of the free troposphere. Var(\hat{h}) correlates strongly with aggregation, although it does not necessarily indicate how clustered the convection is once the maximum variance is reached.

Beginning with the 295 K SST simulation, scattered convection initiates rapidly 305 and homogeneously within the first five hours across the entire domain (not shown). Af-306 ter a couple of days, dry regions begin to develop within which deep convection is sup-307 pressed. These dry regions begin to grow in size and subsequently become drier. As the 308 dry regions expand and merge, the moist regions become increasingly confined and be-309 come moister. The most prevalent dry regions are usually surrounded by the most in-310 tense convection. Dry regions continue to expand, constricting the moist regions until 311 an approximate equilibrium state is reached after around day 70. In this fully-aggregated 312 equilibrium state, four to five moist bands align along the short axis of the domain, sep-313 arated by dry, mostly clear regions. This evolution is consistent with the majority of non-314 rotating large-domain simulations of RCE (Wing et al., 2017). 315

The aggregation process occurs much faster for the 300 K SST simulation. As soon 316 as the convection initiates, numerous dry regions are simultaneously formed. These are 317 far more abundant than within the 295 K simulation. They expand, merge, and become 318 drier as the moist regions constrict, become moister, and precipitate intensely. The equi-319 librium aggregated state is reached by around day 50. For the 305 K SST simulation, 320 dry regions develop within the first day and are as abundant as moist regions. As they 321 expand, merge, and dry further, the convection aggregates very rapidly compared with 322 the cooler simulations. However, the equilibrium stage is still reached around day 50. This 323 progression of aggregation is consistent with other studies such as Wing and Cronin (2016), 324 who use a wider and narrower channel domain of 12 288 km \times 192 km with a 3 km grid 325 spacing. They also find convection aligning into bands along the short axis, occurring 326 at time scales similar to what we have seen in our simulations for the SSTs used. They 327 observed the length scale of the convective bands decreasing with SST, which is less ap-328 parent in our simulations, with each of ours displaying four to five bands of deep con-329 vection. We likely do not see the same trend as in their simulations due to the less nar-330 row domain of our simulations. However, it appears as though the moist bands in our 331 simulations become narrower with increased SST. 332



Figure 5. Domain-mean of RHS terms in equation (4) for (a) 295 K, (b) 300 K, (c) 305 K within the *LARGE* domain. Each point represents a daily mean of the term. The convergence term is calculated as a residual of the other terms and is a 5-day running average, shown to reduce noise.

The domain-mean values of the terms in the $\hat{h}_n^{\prime 2}$ budget (equation 4) are shown in 333 Figure 5. Where the terms are positive, they are contributing to an increase in $var(h_n)$, 334 and hence encourage aggregation. The figure shows that within all of the LARGE sim-335 ulations, the domain-means of the radiative terms are almost always positive. The long-336 wave term is the dominant driver of aggregation at early times, and both the longwave 337 and shortwave feedbacks maintain the aggregation in the mature phase. During the ag-338 gregating phase, the sum of all the terms on the RHS is generally positive, leading to 339 an increasing $var(h_n)$ and increasing aggregation. The magnitude of all terms tends to 340 increase as $\operatorname{var}(h_n)$ increases since each term in the equation is a product that includes 341 \widehat{h}'_n . 342

At early times, the advection term becomes increasingly positive with SST and may 343 help explain why aggregation occurs faster within our warmer simulations. Once the equi-344 librium state is reached with the convection being fully aggregated, the radiative terms 345 are balanced by the surface flux and advection terms. The magnitudes of both the long-346 wave and shortwave radiative terms decrease with SST. The decrease in the radiative 347 terms with SST is balanced by the decrease in magnitude of the (negative) surface flux 348 and advection terms, resulting in the total variance of h'_n being similar across all SSTs 349 during the mature phase of aggregation. 350

4 Cloud-Radiative Interactions within the LARGE Domain

Interactions between radiation and cloud/moisture responses to convection have been shown to be crucial contributors to convective self-aggregation (e.g. Wing et al., 2017; Arnold & Putman, 2018). The aim of this study is to investigate and quantify the dominant direct cloud-radiative interactions that impact convective aggregation.



Figure 6. Maps of (a) condensed water path (kg m⁻²), (b) instantaneous FMSE anomaly (MJ m⁻²), (c) longwave heating anomaly (W m⁻²), (d) shortwave heating anomaly (W m⁻²), (e) Clear covariance quadrant (4.2) - note that clouds are colored white in (e). Snapshots taken at day 100 in the *LARGE* domain with SST = 300 K. Regions where the FMSE anomaly ("E") and radiative heating anomaly ("H") have the same sign contribute to increasing var(\hat{h}).



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(a)

Low

Mid

Mid & Low

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100

75 High m⁻²) High & Low 50 High & Mid ≥ 25 Deep 0 -25 10-5 10-4 10-3 10-2 10^{-1} 100 101 (b) SW' vs CWP 30 25 20 (20 E 15 M) 10 5 0 10-5 10-4 10-3 10-2 10^{-1} 10¹ 10^{0} (c) CWP distributions of cloud type within bin 8 6 4 2 % 0 10-5 10-4 10-2 •3 10-1 10¹ 10 10⁰ CWP (kg m^{-2}) Figure 7. (a) Longwave and (b) shortwave radiative heating anomalies vs condensed water

LW' vs CWP

radiative heating anomalies vs condensed water path for each cloud type, and (c) distributions of condensed water path for each cloud type.
Data from the final 20 days of the *LARGE*, 300 K SST simulation. 50 bins are spaced logarithmically throughout the CWP range. The percentage shown in (c) is the percentage of each cloud type within a given bin.

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Since both radiative anomalies and FMSE anomalies are calculated at each grid point, the instantaneous values of the radiative terms in equation (4) can also be calculated at each point across the domain. Then, by knowing the cloud type at each grid point, the contributions of each category to the domain-mean radiative terms can be found. With this approach, we study how the radiative feedbacks of the entire column of each cloud category contribute to the $var(h_n)$ tendency of the entire domain. Note that this approach does not describe the cloudonly effect, and since the anomalies of FMSE and radiation also depend on the domain-mean, $var(h_n)$ is not purely a local metric. We only consider the columnintegrated cloud-radiative feedbacks here, although indirect radiative interactions with cloud are shown to be important via the generation of circulations (Muller & Bony, 2015; Holloway & Woolnough, 2016). Nevertheless, we find the approach to be a useful way to compare the relative importance of each cloud type's direct radiative contribution to self-aggregation across a range of SSTs.

From Figure 6a–d, it is clear that there is a very strong spatial correlation between h' and the column shortwave heating anomaly, with CWP having the strongest relationship with the column longwave heating anomaly. To begin to quantify the longwave and shortwave heating effects of clouds, the mean radiative anomalies of each cloud type for a given CWP are shown in Figure 7a & b. The radiative heating in both the longwave and shortwave varies strongly with CWP. The cloud type is also a very important factor in the radiative anomalies, particularly in the longwave. For a given CWP, High clouds have the largest column longwave heating rates since they have low outgoing longwave radiation (OLR) and they also emit relatively little to the surface. Low clouds have warm tops and warm bases, so they effectively emit into space as well as to the surface, efficiently cool-

ing the column. While Deep clouds emit weakly to space, their low, warm bases strongly
emit towards the surface, placing their longwave heating rates in between High and Low
clouds for a given CWP.

In the shortwave, each cloud type's heating rate increases with CWP, although this 409 is largely due to increased shortwave absorption by water vapor within these columns 410 (section 4.3). There is however some dependence on cloud type due to the high reflec-411 tivity of clouds. Water vapor is a very effective absorber of shortwave radiation and is 412 mainly constrained to the warm lower atmosphere. High cloud columns have the low-413 est shortwave heating rates as they reflect radiation out of the column before the low-414 level water vapor has the chance to absorb it. Columns with Low clouds typically have 415 the highest shortwave heating rates as their low vertical extent allows lots of shortwave 416 radiation to be absorbed by water vapor. The radiation they reflect may also be absorbed 417 by water vapor above the cloud. 418

The distributions of CWP for each cloud type are shown in Figure 7c. These dis-419 tributions, paired with the dependence of the radiative anomalies on CWP, determine 420 the mean radiative anomalies for each cloud category (domain-averaged heating rates 421 of all categories are shown in Figure 9f). Despite the High clouds having the largest long-422 wave heating rate for a given CWP, their CWP distribution peaks at around 0.01 kg m^{-2} 423 corresponding to a longwave heating anomaly of roughly 20 W m⁻². In contrast, the High 424 & Mid cloud has a peak CWP around 0.5 kg m^{-2} corresponding to a longwave heating 425 anomaly around 70 W m⁻². This results in High clouds having only the fourth largest 426 domain-averaged longwave heating rates out of all categories. 427



Figure 8. Distributions of \hat{h}_n for each cloud type for all SSTs within the *LARGE* domain during the final 20 days. The vertical dashed line indicates the domain-mean \hat{h}_n throughout the final 20-day period. Note that each curve is normalized individually.

Distributions of \hat{h}_n for the final 20 days of the LARGE simulations for each cloud 428 category are shown in Figure 8. The vast majority of clouds occur within anomalously 429 high \hat{h}_n regions, with only a few High and Low clouds occurring with negative \hat{h}'_n . High 430 clouds have the largest spread of \hat{h}_n out of all the cloud types as they can extend hun-431 dreds of kilometers away from the updraft, spanning a wide \hat{h}_n range. Low clouds oc-432 cur within a broad span of \hat{h}_n as they can form under a wide range of conditions. At higher 433 \hat{h}'_n regions, Low clouds form and may continue to develop into congestus and cumulonim-434 bus, as the environment is favorable for deep convection. At lower \hat{h}'_n regions, descend-435 ing motion throughout the free troposphere increases stability and reduces humidity, mak-436 ing the atmosphere unfavorable for deep convection, but shallow cumulus and stratocu-437 mulus may still form and persist atop the well-mixed boundary layer. The majority of 438 the other cloud types are associated with deep convection, which only occurs within high 439 \hat{h}'_n regions, where the environment is favorable for updraft development. Whilst the domain-440 mean h'_n for the Clear regions is slightly negative, there is a very large spread in the dis-441 tribution of \hat{h}_n , with just under half of the Clear regions having positive anomalies. 442

As SST increases, the domain-mean \hat{h}_n increases slightly which may be a result of 443 the increased moisture content of higher SST simulations, making the mean profile tend 444 further away from a dry adiabat, in turn increasing \hat{h}_n . However, with our analysis frame-445 work, we are not concerned about the absolute \hat{h}_n but rather the anomalies, which can 446 be objectively observed by looking at the distance from the mean h_n line in Figure 8. 447 We find that the average \hat{h}_n anomaly for each cloud type increases with SST. This is likely 448 a result of the decrease in the number of high-FMSE cloudy regions as SST increases (see 449 Figure 9c). This brings the domain-mean \hat{h}_n towards the mean of the clear regions, mak-450 ing the higher h of the cloudy regions more anomalous. 451

4.1 Longwave Cloud Interactions

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The contribution of each cloud category to the radiative terms can be calculated 453 by multiplying their mean covariance between the normalized radiative and h anoma-454 lies by their cloud fraction. Figure 9a shows that it is the Clear, High, High & Mid, and 455 Deep categories that have the largest contribution to the longwave term once the domain 456 is fully aggregated, with the magnitude of their contributions being highly sensitive to 457 SST. The contributions of the Low, Mid, Mid & Low and High & Low categories have 458 a relatively insignificant contribution. To understand the magnitudes of the contribu-459 tions of each cloud type to the longwave term, the constituents of the longwave term are 460 shown in Figure 9b - f. The figure shows the $LW'_n \times \hat{h}'_n$ covariance, and the fraction 461 of each category. The mean LW'_n and \hat{h}'_n are also shown, as well as the non-normalized 462 longwave anomaly. Note that the mean LW'_n multiplied by the mean h'_n does not equal 463 the mean $LW'_n \times \hat{h}'_n$ covariance, although for most categories they are approximately 464 equal. One notable exception is the $LW'_n \times \hat{h}'_n$ covariance for the Clear regions at 305 K, 465 which is negative, despite having both negative LW'_n and \hat{h}'_n . This is discussed in sec-466 tion 4.2. 467

At all SSTs, and despite its relatively low $LW' \times \hat{h}'$ covariance, the High cloud is 468 among the leading contributors to the longwave term in large part because of its abun-469 dance, occurring roughly four times as often as any other cloud type (Figure 9c). The 470 longwave covariances for the High & Mid and Deep clouds are high compared to the other 471 categories, and they are abundant enough to have an impact on the overall longwave term 472 (Figure 9a). Low, Mid, and Low & Mid clouds have a small mean longwave covariance 473 and also a small total fraction, making their contribution to the overall longwave term 474 negligible. Despite having the third largest longwave covariance, the High & Low cloud 475 type has one of the smallest cloud fractions, making its overall contribution also very small. 476

There is a significant decrease in the contributions of High and High & Mid clouds 477 to the longwave term as SST increases (Figure 9a). Figure 9b shows that the $LW'_n \times h'_n$ 478 covariance remains similar for these cloud types across all SSTs, yet the fraction of these 479 clouds decreases (Figure 9c). This suggests the sensitivity of the High and High & Mid 480 cloud's longwave contribution to aggregation is predominantly due to the sensitivity of 481 their abundance to SST. This decrease in anvil cloud fraction with SST is consistent with 482 the stability iris mechanism described by Bony et al. (2016), who describe the reduction 483 in anvil cloud as a consequence of increased anvil stability and decreased convective out-484 flow with increasing SST. 485

The absolute longwave heating rates decrease with SST for all cloud types (not shown) 486 because the longwave radiation out of the atmosphere (outgoing longwave radiation plus 487 downwelling surface radiation) increases with SST more than the increase in upwelling 488 surface radiation into the atmosphere. However, the non-normalized longwave heating 489 anomalies tend to increase with SST. This is mainly because the fraction of high-topped 490 cloud (with high longwave heating anomalies) is halved from the 295 K to the 305 K sim-491 ulations (Figure 9c). This lowers the domain mean longwave heating, which increases 492 the longwave anomaly of each category with SST and brings the domain-mean longwave 493



Figure 9. (a) Contribution to longwave term in equation (4) (b) normalized longwave \times FMSE covariance, (c) cloud fraction (d) \hat{h}_n anomaly, (e) normalized longwave heating anomaly, and (f) longwave heating anomaly. Clear fractions are 73, 80 and 85% on average in order of increasing SST (not shown). Each data point represents the instantaneous domain-mean of the category. Orange lines indicate the median. Boxes represent the upper and lower quartiles, with the whiskers showing the range of the data. This is the range of data points that are within 1.5 times the interquartile range above and below the upper and lower quartile. Outliers above and below the whiskers (circles) are any data point that is outside this range. Boxes for each category are in order of SST increasing to the right. Data are from the final 20 days of the *LARGE* domains.

heating closer to that of the Clear regions. Once the longwave anomalies are normalized however, we see there is a slight decrease with SST for the significant cloud types as the difference between \hat{h}_{max} and \hat{h}_{min} increases. The decrease in the normalized longwave anomalies, along with the slight increase in \hat{h}'_n with SST, results in the $LW'_n \times \hat{h}'_n$ covariance for the most abundant cloud types remaining approximately constant with SST.

4.2 Longwave Interactions within the Clear Regions

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Figure 9a shows the contributions of the Clear regions to the longwave term decrease and become negative with SST. The reason for this is not immediately apparent, with the mean $LW'_n \times \hat{h}'_n$ covariance becoming negative, despite both the mean LW'_n and mean \hat{h}'_n remaining negative (which would usually produce a mean positive covariance). This indicates that there must be a significant proportion of the Clear regions with large negative covariance which is able to reduce the overall contribution to the longwave term with increasing SST.



Figure 10. Instantaneous domain means of (a) \hat{h}_n anomalies, (b) cloud fraction (c) normalized longwave heating anomaly, (d) normalized longwave-FMSE covariance, (e) longwave heating anomaly, and (f) contribution to the normalized longwave term. Data from the final 20 days of the *LARGE* simulations.

⁵⁰⁷ We consider four types of Clear regions at play here whose significance changes with ⁵⁰⁸ SST. There are the regions with both positive \hat{h}' and LW' (E+H+), regions with both ⁵⁰⁹ negative \hat{h}' and LW' (E-H-), positive \hat{h}' and negative LW' (E+H-) and finally, negative ⁵¹⁰ \hat{h}' and positive LW' (E-H+). The Clear covariance quadrant map in Figure 6e shows

that E+H+ regions are rare and are found in the highest \hat{h}' areas, with a portion of these 511 regions perhaps occurring as an artifact of the condensed water content used to define 512 clouds. A lot of these E+H+ columns may indeed have enough high-altitude condensed 513 water to produce a positive longwave heating anomaly. E+H- regions are typically found 514 surrounding the cloud clusters, with E-H- occupying the majority of the dry regions. E-515 H+ occur only within the very driest areas. The E+H+ and E-H- regions both have a 516 positive $LW' \times h'$ covariance whereas the E-H+ and E+H- regions have a negative co-517 variance. By calculating the domain fraction of these regions, as well as their mean LW'_n 518 and \hat{h}'_n and their mean $LW'_n \times \hat{h}'_n$ covariance, we can see how their influences on the 519 longwave term changes with SST. These calculations are shown in Figure 10. 520

There is a shift in dominance from the positive covariance regions to the negative 521 covariance regions as the SST increases. For all SSTs, the E+H+ regions only occupy 522 around 1% of the domain, making their overall contribution to the longwave term neg-523 ligible. At 295 K, there are two significant Clear regimes; E-H-, occupying 44% of the 524 domain and E+H-, occupying 25%. They have similar but opposite LW'_n times h'_n co-525 variances, so the Clear region's contribution to the longwave term is dominated by the 526 E-H- regions based on their abundance. This results in a positive contribution of the Clear 527 regions to the longwave term. 528

As SST increases, the LW' of the Clear regions as a whole becomes significantly 529 less negative (Figure 10e). This is likely due to the approximate halving in the abundance 530 of High and High & Mid clouds, which both have a strong positive longwave heating anomaly. 531 This then reduces the domain-mean longwave heating rate, making the longwave anomaly 532 of the Clear regions less negative. After normalizing the longwave anomalies, the SST 533 sensitivity is even more notable (Figure 10c). The contribution of the E-H- regions falls 534 rapidly as the $LW'_n \times \hat{h}'_n$ covariance decreases. At the same time, the E-H+ regions 535 become far more abundant, also helping to decrease the Clear region's contribution to 536 the longwave term. This feature was also noted by Wing and Emanuel (2014) and Emanuel 537 et al. (2014), who explain that extremely dry columns with little low-level moisture are 538 unable to effectively emit radiation, resulting in anomalous warming. 539

The magnitude of \hat{h}' are largest for the two regimes with positive LW'. This is because the relationship between \hat{h} and longwave heating within the Clear regions is not linear; the strongest longwave cooling occurs roughly where \hat{h}' is zero for all SSTs. This can be understood by breaking the net atmospheric longwave heating down into the individual longwave fluxes into the atmosphere minus the outward fluxes. Each of these terms are plotted against \hat{h}'_n in Figure 11b.

Water vapor is a strong absorber and emitter of longwave radiation, so the higher 546 the water vapor content, the higher the opacity of the atmosphere to longwave radia-547 tion. Having water vapor at higher altitudes will raise the effective level of emission to 548 a cooler altitude, and decrease the OLR. Similarly, higher humidity at lower altitude will 549 decrease the effective downward emission level to a warmer altitude, therefore increas-550 ing the downwelling emissions to the surface. The effective upward emission level is de-551 fined as the altitude at which the temperature is equal to the OLR divided by σT^4 , where 552 σ is the Stefan-Boltzmann constant. Similarly, the effective downward emission level is 553 the altitude at which the temperature is equal to the downwelling longwave radiation divided by σT^4 . Figure 11a shows that, starting from the lowest \hat{h}_n values, the rate of 555 change of specific humidity with \hat{h}_n decreases at higher altitudes above the boundary 556 layer. This means the change in effective OLR emission height with h_n initially increases 557 at a slower rate than the decrease in the effective downwelling emission height. This re-558 sults in a decreasing net longwave heating rate as we increase h_n towards a zero h'_n . This 559 means that positive longwave anomalies are likely to occur at extremely negative \hat{h}'_n re-560 gions, which leads to the E-H+ regions having a significant $LW'_n \times \hat{h}'_n$ covariance de-561 spite having the lowest LW'_n . 562



Figure 11. (a) Specific humidity profiles against \hat{h}_n anomaly for *LARGE* domain with 305 K SST for the final 20 days. Effective level of outgoing TOA longwave emission shown in red, effective level of longwave emission into the surface shown in blue. (b) All longwave fluxes into, and out of the atmosphere plotted against \hat{h}_n anomaly. 295 K: dotted, 300 K dashed, 305 K: solid. The fluxes out of the atmosphere are plotted with positive direction into the atmosphere so that the three fluxes add together to equal the net longwave heating. Horizontal grey lines indicate the domain-mean longwave column heating. (c) Percentage of Clear grid points within a given 0.001 \hat{h}'_n range. Clear regions to the left of the red line have a positive longwave anomaly on average.

As \hat{h}_n increases from a zero \hat{h}'_n , the effective downward emission level begins to decrease at a slower rate than the OLR emission level increases. This could be because the low levels become so humid that it becomes increasingly difficult to decrease the altitude of the downward emission level. This means that the net longwave heating rates begin to increase with \hat{h}_n above a zero \hat{h}'_n . We do not have an explanation as to why the longwave heating minima happens to occur around a zero \hat{h}'_n .

⁵⁶⁹ With the mean longwave heating rates skewed more toward the clear longwave heat-⁵⁷⁰ ing rates with increasing SST, there is a greater quantity of clear regions with positive ⁵⁷¹ LW'. This can be seen in Figure 11c, noting the tails of the \hat{h}_n distributions extend more ⁵⁷² into the regions with positive longwave heating anomalies as SST increases.

573 4.3 Shortwave Interactions

Figure 12 shows that shortwave feedbacks in the Clear regions contribute the most to the shortwave term once the domain is aggregated. However, this may be an artifact of the large fraction of the Clear regions. It can be seen from Figure 6b and d that there is a very strong relationship between both FMSE and shortwave anomalies. This is because variations of FMSE are dominated by changes in water vapor, which is an excellent absorber of shortwave radiation. This results in the shortwave-FMSE covariance being positive at almost every location (e.g. Arnold & Putman, 2018).



Figure 12. Instantaneous domain-means of (a) contribution to the normalized shortwave term in equation (4), (b) Clear-sky heating divided by total shortwave heating rate. Data from the final 20 days of the LARGE simulations.

A large portion of the cloud contribution to the shortwave term is due to the amount 581 of water vapor in the column. The contribution of water vapor to the column shortwave 582 heating rate can be quantified by calculating the clear-sky heating rates and dividing by 583 the total heating rates for each category as shown in Figure 12b. The total shortwave 584 heating rates can almost entirely be explained by the column WVP, particularly at higher 585 temperatures where the quantity of water vapor is higher, making the condensed water 586 content less significant at higher temperatures. The clear-sky component of the total short-587 wave heating rate is lowest for clouds with the highest CWP since there is a higher frac-588 tion of the heating rate due to condensed water. The clear-sky heating rate is sometimes 589 higher than the all-sky heating rate for the high clouds since the cloud reflects the ra-590 diation that would otherwise have been absorbed by the low-level water vapor. 591

The contribution of the shortwave term to aggregation is highly sensitive to SST, 592 becoming less important as SST increases. This is because the range of SW'_n decreases 593 with increasing SST, whereas the range of \hat{h}_n remains similar. This results in the domain-594 mean normalized shortwave-FMSE covariance, and therefore, the shortwave term, de-595 creasing with SST (analysis not shown). The range of column WVP across the domain 596 increases exponentially with SST, whereas the relationship between column shortwave 597 heating with WVP is logarithmic (Vaquero-Martínez et al., 2018). This results in the 598 range of shortwave heating across the domain remaining similar. Once the shortwave heat-599 ing anomalies are divided by $\hat{h}_{max} - \hat{h}_{min}$, SW'_n decreases with increasing SST. 600

5 Cloud Type Contributions throughout the Aggregation Process

 $_{602}$ So far, we have only discussed the radiative interactions within the already-aggregated LARGE domains. In this section, we look at the key radiative-convective interactions responsible for the development of aggregation.

As with the previous sections, the domain-mean longwave and shortwave heating rates and covariances are found for each category, as well as their domain fraction. From this, the mean longwave and shortwave contributions for each category can be found. Time

series of these variables as well as the mean \hat{h}' for each category are shown in Figure 13

for the LARGE, 300 K simulation.



Figure 13. Time series of contributions to the (a) normalized longwave and (b) normalized shortwave term, (c) the normalized longwave, and (d) shortwave \times FMSE covariances, normalized (e) longwave and (f) shortwave heating anomalies, (g) domain fraction (excluding Clear), and (h) \hat{h}_n anomaly of each cloud category. For the entirety of the *LARGE*, 300 K simulation. Each data point is a daily average.

Interactions between clouds and longwave radiation are the main drivers of aggre-610 gation at early times. This is also shown in Figure 5 (note that the sum of the contri-611 butions in Figure 13a and 13b equal the total radiative terms in Figure 5). Throughout 612 the aggregation process, each category's contribution to both radiative terms increases 613 rapidly. This is due to the positive feedback between radiative heating and h. Anoma-614 lous heating in anomalously high \hat{h} regions causes \hat{h} to increase. Higher \hat{h} regions are fa-615 vorable for deep convection, resulting in more anomalous heating in both the longwave 616 and shortwave. In anomalously low h regions, deep convection is suppressed, resulting 617 in enhanced radiative cooling, further decreasing the FMSE. These feedbacks are the dom-618 inant radiative processes that increase var(h) in our simulations. 619

The effect of clouds on the shortwave term is sensitive to SST and the degree of 620 aggregation. This is shown in the time series of the clear-sky component of the short-621 wave term shown in Figure 14. At early times, there is little variation in horizontal dis-622 tribution of water vapor, so the shortwave absorption by clouds has a significant impact 623 on the mean $SW'_n \times h'_n$ covariance. At these times, the shortwave absorption by con-624 densed water accounts for between 30% and 50% of the shortwave term, with clouds hav-625 ing a larger impact at colder SSTs due to the decrease in tropospheric water vapor. As 626 soon as dry and moist patches begin to develop, the horizontal variations in the short-627 wave absorption of water vapor dominate the shortwave term, accounting for 87% - 96%628 of the shortwave term as SST increases once the domains are aggregated. 629

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Figure 14. Time series of the daily-mean clear-sky component of the shortwave term, calculated as the domain-mean shortwave term divided by the domain-mean shortwave term using clear-sky heating.

It is the longwave interactions with high-topped cloud, as well as the shortwave interactions with water vapor, that are the key radiative interactions that act to increase $var(\hat{h})$, and hence drive aggregation. These results are sensitive to SST. As SST increases, the fraction of high-topped cloud decreases, resulting in a decrease of the longwave contribution to aggregation, proportional to the decrease of this cloud fraction change. The shortwave interactions become less significant for driving aggregation as SST increases. The clear-sky shortwave contribution is inversely proportional to the difference between h_{max} and h_{min} , and the differential shortwave absorption between cloudy and clear regions decreases with SST as the atmosphere contains more water vapor. This results in the shortwave interactions being approximately three times more important in driving

aggregation at 295 K compared to 305 K. Within this domain setup, low-level clouds have a negligible direct contribution to the radiative terms because of their low fraction and low radiative $\times \hat{h}'$ covariances, although other studies have shown that the radiativelydriven circulations they generate may be significant to the aggregation process (Muller & Held, 2012).

 LW'_n for each category remain approximately constant with time whereas SW'_n for each cloud category increase by around 5 W m⁻² as aggregation increases. The average SW'_n for the Clear regions decreases by around 3 W m⁻² as the convection aggregates. This is because the condensed water content in a column is the dominant factor in determining the longwave heating of that column, whereas the total water content of the column is the dominant factor in determining the column shortwave heating. As the convection becomes more aggregated, all cloud categories find themselves in moister environments, thereby increasing their shortwave anomalies.

At early stages of aggregation, the Clear regions have a large positive contribution 665 to the longwave term. At this time, the Clear regions' longwave contribution is domi-666 nated by the E-H- and the E+H- regions due to their abundance (Figure 15). This is 667 consistent across all SSTs (not shown). The positive longwave covariance E-H- regions 668 have a larger contribution than the negative covariance E-H+ regions at early stages, 669 however the $LW'_n \times \hat{h}'_n$ covariance of the E-H- regions stops increasing earlier than the 670 E-H+ regions. At later stages of aggregation, there is also a sharp increase in abundance 671 of the E-H+ regions particularly at higher SSTs (not shown). As the negative covari-672



675 6 Comparison of Convection within High-Resolution Simulations

708 Figure 15. Time series of (a) contributions 709 to the normalized longwave term, (b) domain 710 fraction, (c) mean normalized longwave \times 711 FMSE covariances, (d) normalized longwave 712 713 anomaly, and (e) \hat{h}_n anomaly of each Clear cat-714 egory. For entire period of the LARGE, 300 K 715 simulation. Each data point is a daily average. 716

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In the previous sections, only radiative interactions within LARGE domain simulations have been analyzed. In addition to these, we have also simulated the three-SST RCEMIP cases in three new model configurations on a smaller (100 km x 100 km) horizontal domains to investigate how the above SST-dependent features of RCE convection and its radiative interactions are affected by changes to model grid spacing and the treatment of subgrid condensation. Subgrid condensation occurs when the grid point's relative humidity reaches the RHcrit value. With this value being set to 0.99, it is too high to yield a reasonable cloud field and is the reason for the considerable lack of low cloud compared to similar models compared in RCEMIP (Wing et al., 2020).

With the length scale of the aggregated features in the *LARGE* domain being many times larger than the dimensions of our smaller simulations, we are not able to quantify how these changes in resolution and RHcrit explicitly affect aggregation. However, we are able to see how the radiative properties of the clouds are affected. We can then imply how these changes in the radiative properties of cloud may impact aggregation in larger-scale simulations.

Since the aggregation states between the LARGE and the SMALL simulations are inevitably very different, we try to analyze times where domain size and aggregation state do not have a significant impact on the cloud structures. With the convection aggregating rapidly within the LARGE 300 and 305 K simulations, we have chosen to only compare days two to six of the LARGE domains against days two onwards of the smaller domains.

Profiles of cloud fraction reveal that both grid spacing and RHcrit strongly influence the vertical structure of clouds across the domain (Figure 16). This figure shows
only the 300 K simulations, although the same changes are seen at the other SSTs, only
shifted in altitude as the tropospheric depth is larger for higher SSTs. As the grid spacing is reduced, there is a sharp increase in the quantity of low and mid-level cloud, with

this increase being most apparent when looking at the SMALL_HI simulation. Low-level 724 clouds generally have smaller length scales so cannot be resolved in coarser grid spac-725 ings due to the unrealistically high RHcrit value used. Our original RHcrit value becomes 726 more suitable at lower grid spacings, effectively representing these small-scale clouds more 727 realistically. There is also a decrease in altitude of high-level clouds with decreasing grid 728 spacing, with a corresponding increase in high-cloud temperature. This contributes to 729 an increase in OLR for high-topped clouds, reducing their anomalous longwave heating 730 rates. 731

As the RHcrit is decreased to that used in the Met Office UKV model, the overall cloud amount increases. This comes from an increase of more than an order of magnitude in low-level cloud and also a significant increase in mid-level cloud. The upperlevel cloud amounts remain largely unchanged. Fractions of the High, and High & Mid cloud types are greatly reduced due to the increase in low and mid-level clouds, in turn increasing the quantities of the High & Low and Deep cloud types.

Comparisons of cloud type fraction, normalized longwave and shortwave heating
 anomalies, and CWP for each cloud category, SST and domain configuration are shown
 in Figure 17. From this, the resolution dependence of the radiative terms for self-aggregation
 may be inferred.



Figure 16. Temporally-averaged cloud fractions for each domain setup at 300 K. *LARGE* domain averages are for days 2-6, whereas each of the smaller domains are averaged from day 2 onward. Horizontal dashed lines represent the low and high cloud thresholds (P1 and P2).

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There is a significant decrease in the absolute longwave heating rates of high-topped clouds with both decreasing grid spacing and decreasing RHcrit (not shown). This is mainly due to an increase in OLR rather than an increase in the downwelling longwave radiation which remains approximately constant for these categories with grid spacing (not shown). This increase in OLR may be mostly explained by the change in cloud top height with as well as the decrease of CWP. There is an associated increase in cloud top temperature with decreasing altitude, which increases OLR. The cloud top height is likely reduced due to the increased updraft mixing of the higher-resolution simulations, decreasing updraft buoyancy, and thus the maximum altitude of the plume. The CWP decreases for the majority of cloud types as the critical condensation humidity is reached more widely, i.e. by decreasing RHcrit or decreasing the grid spacing. Since water vapor is more readily condensed, the clouds that do form are more widespread and less concentrated. A decreasing CWP of these high-topped clouds decreases their opacity to longwave radiation, decreasing the effective level of emission. This also increases OLR, helping to lower their longwave heating rates.

The longwave heating rates of the remaining cloud categories without high-level cloud remain similar with grid spacing and RHcrit. As

shown in Figure 7a, the longwave heating rates of these cloud types are less dependent
on CWP in the *LARGE* simulations. The combined fractions of the lower longwave heating rate categories (Clear, Low, Mid and Mid & Low categories) remain similar with resolution and RHcrit, and remain far more abundant than the high-topped cloud categories
with relatively high longwave heating rate categories. This reduces the spread of longwave heating rates across the domain, decreasing the magnitude of the longwave anoma-

⁷⁷⁷ lies for the majority of categories. This may decrease the $LW'_n \times \hat{h}'_n$ covariance in ⁷⁷⁸ moist regions and may significantly reduce the longwave term. An increase in Low and ⁷⁷⁹ Mid & Low cloud may also significantly reduce the longwave term since they have strong ⁷⁸⁰ negative heating rates and are mainly found in positive FMSE anomaly regions so have ⁷⁸¹ a negative $LW'_n \times \hat{h}'_n$ covariance on average.



Figure 17. Instantaneous domain-means of (a) domain fraction, (b) normalized longwave heating anomaly, (c) normalized shortwave heating anomaly, and (d) condensed water path, for each cloud category within all domain setups and SSTs. *LARGE* domain averages are for days 2-6, whereas the *SMALL* and *SMALL_HI* averages are for days 2-54. Note that the fraction of the Clear regions (top-left panel) are on a separate axis to the remaining cloud types. Vertical bars represent the range of the 10th to 90th percentile.

As grid spacing is reduced, we find an increase in mid-level cloud, resulting in a decrease in the High category fraction and an increase in High & Mid, which typically have higher LW'_n . However, the mean LW'_n of all clouds in the domain is reduced as grid spacing is reduced. With clouds tending to occur in high-FMSE regions, it is argued that the domain-mean longwave term would be reduced. A similar result is seen in the reduced RHcrit simulation, with the increased low cloud resulting in fewer High and High & Mid columns, and more Deep, which again typically has higher LW'_n . However, the mean LW'_n of all the clouds is again reduced, and is mainly a result of the increased Low cloud fraction with negative LW'_n .

Cloud-radiation trends with SST in the *LARGE* domain are largely consistent across the grid spacings tested. The total high-topped cloud fraction decreases with SST by a similar amount, as does the decrease in LW'_n for these clouds, meaning trends in the radiative terms to aggregation with SST would likely be similar. This is mainly true for the reduced RHcrit simulations, however with Low cloud approximately doubling from 295 K to 305 K, the magnitude of the longwave term would decrease faster with SST than our original RHcrit simulations.

The shortwave heating rates of columns with cloud are generally significantly re-798 duced with decreasing grid spacing and RHcrit and could be due to the decrease in the 799 CWP with less shortwave radiation being absorbed by condensed water. This may slightly 800 reduce the magnitude of the shortwave term at early times, although the other terms in 801 the FMSE variance budget are more important at these early stages of aggregation. The 802 shortwave heating rates depend more on the overall distribution of water vapor which 803 is in turn affected by the degree of aggregation. So, we would have to understand how 804 sensitive the other terms are to resolution and RHcrit before determining the sensitiv-805 ity of the shortwave term. 806

When analyzing the LARGE domain, we found that longwave interactions with 807 high-topped clouds is the main driver of aggregation, with their overall impact reduc-808 ing with SST as anyil cloud fraction reduces. As the grid spacing and RHcrit are reduced 809 for smaller, less-aggregated domains, we still find that high-topped clouds reduce in abun-810 dance, indicating that smaller high-topped cloud fraction with increased SST is a con-811 sistent trend regardless of these parameters. We also found that Clear regions have a sig-812 nificant positive contribution to aggregation at cooler SSTs, with this contribution de-813 creasing with SST and becoming negative. The longwave heating rates of high-topped 814 clouds are lower in the reduced RHcrit simulations, in turn lowering the domain-mean 815 longwave heating. This makes the longwave heating anomalies of the Clear regions less 816 negative, further lowering the Clear contributions to the longwave term. This downward 817 trend with SST remains consistent across all of our simulations. 818

These results can be used to infer how aggregation may be affected in large domains 819 with smaller grid spacings and at the lower RHcrit. By decreasing the grid spacing, there 820 is an associated decrease in the anomalous longwave heating of high-topped clouds, as 821 a result of a decreased cloud-top height and decreased CWP. This also increases the mean 822 radiative cooling of the entire domain, making the clear regions' longwave cooling less 823 anomalous. With reduced anomalous longwave heating in high-FMSE regions, and re-824 duced anomalous cooling in low-FMSE regions, the $LW'_n \times \hat{h}'_n$ covariance will be re-825 duced on average across the domain, slowing the rate of aggregation. The shortwave term 826 is largely dependent on the degree of aggregation. However at early times, the shortwave 827 absorption by clouds has a significant contribution to the aggregation. With CWP de-828 creasing as the grid spacing is reduced, there will be lower differential shortwave absorp-829 tion between typically higher-FMSE cloudy regions and lower-FMSE clear regions, re-830 ducing the $SW'_n \times \hat{h}'_n$ covariance, further reducing the rate of aggregation. 831

Similar conclusions can be made from the decreased RHcrit simulations. Reduced CWP of high-topped clouds reduces their longwave heating rates. Together with the increase in Low cloud, the longwave heating rates in high-FMSE regions will be significantly reduced. Again, this has the side effect of reducing the anomalous longwave cooling of clear regions. Overall, the $LW'_n \times \hat{h}'_n$ covariance across the domain would decrease, slowing the rate of aggregation. The reduced CWP of clouds again reduces the differential shortwave absorption between cloudy and Clear regions, lowering the $SW'_n \times \hat{h}'_n$ covariance, slowing the rate of aggregation.

⁸⁴⁰ 7 Conclusions

In this study, we quantify the dominant direct radiative interactions that drive and 841 maintain aggregation within large channel domain simulations of radiative-convective 842 equilibrium (RCE) of the Met Office Unified Model version 11.0 following the RCEMIP 843 protocol (Wing et al., 2018). We have assessed the sensitivity of these interactions to sea 844 surface temperature (SST) by comparing simulations with fixed SSTs of 295, 300 and 845 305 K using the normalized column-integrated FMSE (h_n) variance budget as our frame-846 work for studying self-aggregation. We particularly focus on the role of cloud-radiative 847 interactions, assigning one of eight different cloud types to each grid column. We also 848 investigate how the key radiative interactions are affected by both grid spacing the crit-849 ical condensation relative humidity parameter (RHcrit) using smaller (100 km \times 100 km) 850 domains. 851

The instantaneous horizontal variance of normalized vertically-integrated FMSE, 852 $\operatorname{var}(h_n)$, is a consistent aggregation metric across all SSTs, with values below 10^{-4} cor-853 responding to randomly scattered convection, and values greater than 10^{-3} correspond-854 ing to highly aggregated convection. The var (\hat{h}_n) budget equation (equation 4) states 855 how the rate of change of $var(h_n)$, and hence the rate of change of aggregation, is driven 856 by feedbacks between anomalies in h_n and anomalies in normalized column-integrated 857 longwave heating, shortwave heating, surface fluxes, and advection of h_n . This study fo-858 cuses on the two radiative terms of this equation (longwave and shortwave), which show 859 that regions with a positive covariance between the normalized radiative anomalies (LW'_n) 860 and SW'_n and \hat{h}'_n help to increase aggregation. 861

For all SSTs within our LARGE domains, the longwave radiative term in equation 862 (4) is the main driver in increasing the horizontal variance of h_n at early times, hence 863 increasing aggregation, and both the longwave and shortwave terms help maintain ag-864 gregation. Despite each of these simulations reaching a similar state of aggregation (by 865 the var (h_n) metric), the magnitude of the longwave and shortwave terms decrease with 866 SST both in the aggregating, and aggregated phases. The decrease in the radiative terms 867 are balanced by a decrease in magnitude of the mainly negative surface enthalpy flux and 868 advection feedback terms. In our simulations, the sensitivity of the advection-FMSE feed-869 back to SST is the dominant factor in determining how the rate of change of aggrega-870 tion at early times changes with SST. 871

High-topped clouds produce the largest positive column-integrated longwave heat-872 ing anomalies, whereas low level clouds produce negative anomalies, with the magnitude 873 of these anomalies generally increasing within the typical condensed water path (CWP) 874 range that they are found. The mean \hat{h}'_n for each cloud type is positive, therefore clouds 875 with a positive radiative anomaly have a positive radiative $\times \hat{h}'_n$ feedback and vice versa. 876 The average $LW'_n \times \hat{h}'_n$ covariance for each of the key cloud types remains similar with 877 SST, meaning an individual cloud's longwave contribution to self-aggregation remains 878 similar. The SST dependence of the total longwave contribution is due to the sensitiv-879 ity of cloud fraction to SST. High-topped clouds have large, positive anomalies in long-880 wave heating and FMSE, and they are the most abundant types of cloud so they con-881 tribute the most to the longwave term. As SST increases, from 295 K to 305 K, their 882 abundance is approximately halved, and so too is their longwave contribution to aggre-883 gation. 884

Longwave interactions within the clear regions can have a large impact on the total longwave term, although their contributions to the longwave term are highly sensitive to SST and aggregation. The longwave contribution of the clear regions is large and positive during early stages of aggregation and decreases with aggregation and SST, becoming strongly negative during the fully aggregated stage of the high SST simulation. The clear regions' longwave contribution turns negative when the dry patches become amplified and extremely dry. This is a feature also identified by Wing and Emanuel (2014) and Emanuel et al. (2014) and can be explained by the reduced ability of extremely dry regions to effectively emit radiation, resulting in anomalous heating. We show that the typically negative longwave heating anomalies in the clear regions become less negative with SST as a result of the domain-mean longwave heating becoming increasingly negative. This is due to the reduction of high clouds which have a strong anomalous longwave heating effect, increasing the domain-mean radiative cooling. The mean covariance between the longwave heating and FMSE anomalies becomes negative, meaning the clear regions have a negative contribution to aggregation at high SSTs.

900 Shortwave anomalies are approximately 6 times smaller in magnitude than longwave anomalies, however the domain-mean shortwave term is similar in magnitude to 901 the longwave term once the convection is aggregated. This is because the $SW'_n \times h'_n$ co-902 variance is positive at almost all times and locations, with positive FMSE anomalies yield-903 ing positive anomalous shortwave heating rates mainly due to the shortwave absorption 904 by water vapor. Shortwave anomalies are positive on average for all cloud types at all 905 CWPs and is likely due to them mainly occurring in anomalously humid environments, 906 allowing absorption of shortwave radiation by water vapor to dominate the shortwave 907 heating rates. 908

The magnitude of the mean shortwave-FMSE feedbacks are heavily dependent on 909 the horizontal spread of water vapor and therefore the state of aggregation. At very early 910 times, when the water vapor path field is approximately uniform, the role of shortwave 911 feedbacks are outweighed by the role of longwave, surface flux, and advective feedbacks 912 with FMSE. The contribution of clouds to the shortwave term also depends on the level 913 of aggregation. At very early times, the additional shortwave absorption of condensed 914 water results in clouds contributing to around 50% of the shortwave term at 295 K and 915 30% at the 305 K SST. As soon as distinct moist and dry patches begin to develop, the 916 differential absorption of shortwave radiation by water vapor rapidly increases the clear-917 sky component of the shortwave term to 87%-96% of the total shortwave term (from 295 K 918 - 305 K). The shortwave term's dependence on grid spacing and RHcrit depends of the 919 sensitivity of aggregation itself to these factors. 920

Model grid spacing affects the radiative properties of clouds in a number of ways. 921 We find that decreasing grid spacing reduces the mean CWP of clouds, decreases the cloud 922 top height of high clouds, and produces more low and mid-level cloud. The overall ef-923 fect of these changes to the cloud properties is a reduced mean longwave heating anomaly of high-FMSE cloudy regions. This would decrease the domain mean covariance between 925 longwave heating and FMSE anomalies, slowing the rate of aggregation for hypothet-926 ical high-resolution large-domain simulations. Sensitivities with SST that we find in the 927 large domain remain similar with grid spacing, meaning the magnitude of the decrease 928 in the longwave term with SST would likely remain similar with reduced grid spacing 929 in larger simulations. 930

When lowering the RHcrit parameter to that used in the Met Office UKV model, 931 we find significant changes in the distribution, structure, and radiative properties of cloud. 932 Firstly the low level cloud fraction increases from $\sim 1\%$ in the *SMALL* domain to be-933 tween 15% and 30% within the *SMALL_RHCRIT* simulations. There is also an increase 934 in mid-level cloud, and the high-level cloud remains similar. However, as the cloud frac-035 tion increases, the mean cloud CWP decreases, altering the associated longwave heat-936 ing rates. With high-level clouds maintaining a similar fraction but having a decreased 937 CWP, their longwave heating anomalies fall, significantly reducing their contribution to 938 the longwave term. With the increase in low clouds, with their typically negative longwave-939 940 FMSE covariance, the longwave term is further reduced, so these combined effects would likely lead to a slower rate of aggregation if this RHcrit is used in a large domain. With 941 the sharp increase in low cloud with SST, the longwave term would likely decrease at 942 a faster rate than the decrease seen in our large simulations, suggesting aggregation could 943 be slowed at higher SSTs using this RHcrit value in large-domain simulations. 944

There is much variability in the degrees of aggregation and within numerical mod-945 els of RCE, which has important consequences for weather and climate (Wing et al., 2020). 946 With radiative interactions between cloud and moisture being the dominant drivers and 947 maintainers of aggregation in our models, understanding how these interactions vary be-948 tween other RCE models may go some way in explaining the differences in self-aggregation 949 and this is a focus of our ongoing work. By building on the analysis technique of Wing 950 and Emanuel (2014), this paper provides a framework by which a comparison of cloud-951 radiative interactions and their contributions to self-aggregation between models and SSTs 952 can be achieved. This technique is suitable for all models with a fixed SST. Its use for 953 model/reanalysis studies with a varying SST would require the normalization of h to vary 954 in space and time. 955

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¹⁰⁹² Appendix A Normalized FMSE Variance Budget Equation Derivation

¹⁰⁹³ Starting with the equation of normalized FMSE:

$$\widehat{h}_n = \frac{\widehat{h} - \widehat{h}_{min}}{\widehat{h}_{max} - \widehat{h}_{min}} \tag{A1}$$

 \hat{h}_n , can be broken down into its domain-mean state plus the anomaly from the mean:

$$\widehat{h}_n = \{\widehat{h}_n\} + \widehat{h}'_n \tag{A2}$$

where curly brackets denote the domain-mean state. Using this expansion of \hat{h}_n , equation A2 becomes:

$$\{\widehat{h}_n\} + \widehat{h}'_n = \frac{\{\widehat{h}\} - \widehat{h}_{min}}{\widehat{h}_{max} - \widehat{h}_{min}} + \frac{\widehat{h}'}{\widehat{h}_{max} - \widehat{h}_{min}}$$
(A3)

The first term on both sides of the equation is the domain-mean of \hat{h}_n and the second term is the anomaly. By subtracting the domain-mean from this equation, we end up with an expression for the anomaly of \hat{h}_n :

$$\widehat{h}_{n}^{\prime} = \frac{\widehat{h}^{\prime}}{\widehat{h}_{max} - \widehat{h}_{min}} \tag{A4}$$

¹¹⁰⁰ Differentiating this with respect to time:

$$\frac{\partial \hat{h}'_n}{\partial t} = \frac{1}{\hat{h}_{max} - \hat{h}_{min}} \frac{\partial \hat{h}'}{\partial t} \tag{A5}$$

¹¹⁰¹ Multiplying through by \hat{h}'_n , using the identity $x \times \partial x/\partial t = 1/2 \times \partial x^2/\partial t$ on the left ¹¹⁰² hand side, and substituting equation (A4) for \hat{h}'_n on the right hand side:

$$\frac{1}{2}\frac{\partial \hat{h}_{n}^{\prime 2}}{\partial t} = \frac{\hat{h}^{\prime}}{(\hat{h}_{max} - \hat{h}_{min})^{2}}\frac{\partial \hat{h}^{\prime}}{\partial t}$$
(A6)

Taking the anomaly of the expression for the tendency of \hat{h} shown in equation 3 of Wing and Emanuel (2014):

$$\frac{\partial \hat{h}'}{\partial t} = SEF' + LW' + SW' - \nabla_h \cdot \hat{\mathbf{u}h}$$
(A7)

Substituting this into equation (A6) gives us an expression for the \hat{h}_n tendency budget in terms of \hat{h}' :

$$\frac{1}{2}\frac{\partial \hat{h}_{n}^{\prime 2}}{\partial t} = \frac{\hat{h}^{\prime}LW^{\prime} + \hat{h}^{\prime}SW^{\prime} + \hat{h}^{\prime}SEF^{\prime} - \hat{h}^{\prime}\nabla_{h}.\hat{\mathbf{u}}\hat{h}}{(\hat{h}_{max} - \hat{h}_{min})^{2}}$$
(A8)

1107 Or in terms of \hat{h}'_n , the equation becomes:

$$\frac{1}{2}\frac{\partial\hat{h}_{n}^{\prime2}}{\partial t} = \hat{h}_{n}^{\prime}LW_{n}^{\prime} + \hat{h}_{n}^{\prime}SW_{n}^{\prime} + \hat{h}_{n}^{\prime}SEF_{n}^{\prime} - \hat{h}_{n}^{\prime}\nabla_{h}.\mathbf{u}\hat{h}_{n}$$
(A9)

Here, each normalized variable is equal to the original variable in equation 3 divided by the difference between \hat{h}_{max} and \hat{h}_{min} . Figure 1.pdf.



Figure 2.jpg.



Figure 3.pdf.



Figure 4.pdf.



Figure 5.pdf.



Figure 6.pdf.



Figure 7.pdf.



Figure 8.pdf.



Figure 9.pdf.



Figure 10.pdf.



Figure 11.pdf.





Figure 12.pdf.



Figure 13.pdf.



Figure 14.pdf.



Figure 15.pdf.



Figure 16.pdf.



Pressure (hPa)

Figure 17.pdf.

