The impact of sea-ice drift and ocean circulation on dispersal of toothfish eggs and juveniles in the Ross Sea and Amundsen Sea

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November 23, 2022

Abstract

Knowledge about the early life history of Antarctic toothfish (*Dissostichus mawsoni*) is still incomplete, particularly on the spatial and temporal extent of spawning and the subsequent transport of eggs and juveniles from the offshore spawning areas to the continental shelf. This study used a high-resolution hydrodynamic model to investigate the impact of ocean circulation and sea-ice drift on the dispersal of eggs and juvenile Antarctic toothfish. The virtual eggs were released on seamounts of the Pacific-Antarctic ridge in the northern Ross Sea and advected using hydrodynamical model data. Particles were seeded annually over a 14-year period (2002 to 2016) and tracked for three years after release. Spawning success was evaluated based on the number of juveniles that reached known coastal recruitment areas, in the eastern Ross and Amundsen Sea, within three years. Observations show that juveniles (50-100 cm size class) are abundant on the shelf and slope of the Ross and Amundsen Seas. Sensitivities to certain juvenile behaviours were explored and showed that spawning success was reduced by around 70% if juveniles drifted with sea-ice during the second winter season as this carried them into the open ocean away from the shelf region. Spawning success increased during the second winter season if juveniles were entrained in the Ross Gyre circulation or if they actively swam towards the shelf. These modelling results suggest that the ecological advantage of sea-ice association in the early life cycle of toothfish diminishes as they grow, promoting a behaviour change during their second winter.

1 The impact of sea-ice drift and ocean circulation on dispersal of toothfish eggs and juveniles in the 2 **Ross Sea and Amundsen Sea** 3 Erik Behrens¹, Matt Pinkerton¹, Steve Parker¹, Graham Rickard¹, Charine Collins¹ 4 5 6 ¹ National Institute of Water and Atmospheric Research, 301 Evans Bay Parade, Hataitai, Wellington 7 6021, New Zealand 8 9 Corresponding author: Erik Behrens, erik.behrens@niwa.co.nz 10 11 12 **Key Points:** 13 Particles drift from the northern Ross Sea to the continental shelf break as part of the Ross • 14 Gyre circulation 15 Particles which drift with sea-ice have a 70% lower success rate than purely ocean advected • 16 particles, but reach the shelf break earlier 17 Sea-ice drift during the second winter, in the eastern Ross Sea, carries many particles away 18 from the shelf region 19 20 Abstract: 21 Knowledge about the early life history of Antarctic toothfish (Dissostichus mawsoni) is still 22 incomplete, particularly on the spatial and temporal extent of spawning and the subsequent 23 transport of eggs and juveniles from the offshore spawning areas to the continental shelf. This study 24 used a high-resolution hydrodynamic model to investigate the impact of ocean circulation and sea-25 ice drift on the dispersal of eggs and juvenile Antarctic toothfish. The virtual eggs were released on 26 seamounts of the Pacific-Antarctic ridge in the northern Ross Sea and advected using 27 hydrodynamical model data. Particles were seeded annually over a 14-year period (2002 to 2016) 28 and tracked for three years after release. Spawning success was evaluated based on the number of 29 juveniles that reached known coastal recruitment areas, in the eastern Ross and Amundsen Sea, 30 within three years. Observations show that juveniles (50-100 cm size class) are abundant on the 31 shelf and slope of the Ross and Amundsen Seas. Sensitivities to certain juvenile behaviours were 32 explored and showed that spawning success was reduced by around 70% if juveniles drifted with 33 sea-ice during the second winter season as this carried them into the open ocean away from the 34 shelf region. Spawning success increased during the second winter season if juveniles were 35 entrained in the Ross Gyre circulation or if they actively swam towards the shelf. These modelling 36 results suggest that the ecological advantage of sea-ice association in the early life cycle of toothfish 37 diminishes as they grow, promoting a behaviour change during their second winter. 38 39 40 Plain abstract: 41 Antarctic toothfish is a large, commercially harvested, fish which is found around Antarctica. These

fish lay buoyant eggs in the water column during winter season. Spawning locations in the Ross Sea have been identified around seamounts in the northern part. After spawning the eggs drift to the surface where they encounter sea-ice. Sea-ice drift controls the egg dispersal until the eggs hatch. After hatching they juvenile fish find their way from the Northern Ross Sea to the shelf break in the eastern Ross and Amundsen Sea within 2 to 3 years. In this study we investigate how ocean currents and sea-ice drift influences their journey from the spawning regions to the shelf break using data from high-resolution ocean model in combination with Lagrangian particles tracking. Simulations

- 49 with different behaviours reveal that their spawning success is around 70% lower if juveniles would
- 50 continue to drift with sea-ice instead with the ocean circulation after they hatch. Particular the sea-
- 51 ice drift during the second winter season carries many juveniles into the open ocean, away from the
- 52 shelf break. This modelling study suggest that the advantage of sea-ice in the early life stage,
- 53 providing a shelter and a source of food, reduces with time.

54 **1. Introduction**

- 55 Antarctic toothfish (Dissostichus mawsoni), a notothenioid species endemic to the seas around 56 Antarctica, spawns on bathymetric features in the northern Ross Sea region (S. Hanchet et al., 57 2015; S. M. Hanchet et al., 2008; S. J. Parker et al., 2019). Spawning has been observed on 58 seamount features at the sea-ice edge in July (S. J. Parker et al., 2019) and was observed to be 59 completed by late August (S. Parker & Di Blasi, 2020). However, much of the adult population is 60 likely further south and under sea-ice during winter. Gonadosomatic index analysis of male and 61 female toothfish suggest that spawning is likely to occur on the hills, banks and ridges north of 62 70°S where large adults dominate catches (S. M. Hanchet et al., 2008; S. J. Parker et al., 2019). 63 The exact geographic distribution of depths of spawning of D. mawsoni is still unknown. Based 64 on the observations from the Ross and Amundsen Sea, and from the South Sandwich Islands, D. 65 mawsoni likely spawns in the Ross Sea region at depths of 1000-2000 m (S. Parker & Di Blasi, 66 2020; S. J. Parker et al., 2019; Roberts, 2012).
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68 The incubation time of *D. mawsoni* eggs as well as the period of passive drift is also not known, 69 largely due to the lack of appropriate times of and locations of plankton samples. Nevertheless, 70 hatching dates for D. mawsoni were estimated (La Mesa, 2007) by counting micro-increments 71 between presumed hatching checks and first-feeding checks identified in the core region of 72 sagittal otoliths from juvenile specimens collected around the South Shetland Islands. Using this 73 technique, it was estimated that hatching of D. mawsoni eggs takes place between November 74 and February with a peak in December. Combined with information from D. eleginoides, a winter 75 spawning period followed by a 3 month incubation and hatching in early spring is likely in the 76 Ross Sea and Amundsen Sea regions (S. M. Hanchet et al., 2008; S. J. Parker et al., 2019). Based 77 on the lack of demersal juveniles smaller than 30 cm being found by bottom longlining in the 78 region, it was hypothesized that D. mawsoni juveniles are likely to spend more than a year living 79 in the plankton (S. M. Hanchet et al., 2008). It is likely that swimming abilities of larval and 80 juvenile toothfish would increase during this period (S. M. Hanchet et al., 2008). 81

82 Based on a combination of biological data, spatial distribution data and Lagrangian particle 83 tracking using hydrodynamical data from Hi-GEM (Shaffrey et al., 2009), it was hypothesized that 84 adult Antarctic toothfish move northwards from the Ross Sea to spawn on the banks and ridges 85 of the Pacific-Antarctic Ridge during austral winter and spring (S. M. Hanchet et al., 2008). The 86 Lagrangian particle tracking simulations suggest that the pathways and destination of juveniles 87 depend on the exact spawning location and depth of transport (S. M. Hanchet et al., 2008). Their 88 findings led to the hypothesis that eggs and juveniles entrained in the Ross Sea gyres are 89 transported eastwards within the eastern Ross Gyre to settle out along the continental shelf and 90 slope of the eastern Ross Sea and western Amundsen Sea. Alternatively, eggs may be 91 transported westward to settle in the western Ross Sea, around the Balleny Islands and the 92 adjacent Antarctic continental shelf. In the hypothesized life cycle, juvenile Antarctic toothfish 93 move back towards the Ross Sea shelf as they grow, eventually moving into deeper water (S. M. 94 Hanchet et al., 2008); after spawning, adults return to the continental slope in post-spawning 95 migrations.

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97 Empirical information on the timing of spawning or depth of transport are absent and plausible 98 values were determined by finding spawning times and locations that resulted in successful 99 transport to putative recruitment areas (S. M. Hanchet et al., 2008). The present study further 100 develops the previous work by exploring the dependency of juvenile pathways and destination 101 on the spawning location in more detail. In the present study, a series of Lagrangian particle

- tracking simulations based on ocean and sea-ice velocities obtained from a high-resolution
 ocean model hindcast is used to determine the pathways of particles from five regions within
 the Ross Gyre under different advection schemes, which mimic different juvenile behaviours.
 The various advection schemes were designed to test the impact of ocean circulation, sea-ice
 drift as well as various biological strategies of toothfish (e.g. active swimming, diel vertical
 migration) on the trajectories and variability in success of particles in reaching the "target
- 108 region".

The target region is defined as the stretch of ocean between the coast east of 155°W and 95°W
and 100 km north of the 1000 m isobath, based on locations where the smallest demersal
toothfish (~ 30 cm in length) have been observed (S. M. Hanchet et al., 2008).

2. Methods

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a. Model setup

This Lagrangian dispersal study uses ocean and sea-ice data from a high-resolution 115 ocean model hindcast. The ocean model is based on NEMO (Nucleus for European 116 Modelling of the Ocean, Madec et al. (2017)) version 3.6 and uses LIM 2 (viscous-117 118 plastic) as a sea-ice model. A hindcast was generated for the period 1958-2019 using 119 atmospheric boundary conditions from JRA-55-DO v1.3 (Tsujino et al., 2018). The oceanic model grid is based on a global eORCA1 configuration, which has also been 120 121 used for New Zealand's Earth System Model (E. Behrens et al., 2020) and recent 122 scientific studies to investigate marine heat waves in the Tasman Sea (Erik Behrens et al., 2019). An eddy-permitting $(1/5^{\circ})$ grid spanning the southwest Pacific between 123 124 130°E to 86°W and 81°S to 25°S was embedded in the global non-eddy resolving 125 model grid using the Adaptive Grid Refinement in Fortran (AGRIF, Debreu et al. 126 (2008)) nesting capabilities of NEMO.

A second nest, with a resolution of 1/15°, was embedded in the southwest Pacific 128 129 nest. This nest, hereafter called ROAM15 ('Ross Amundsen Sea 1/15 degrees'), spans 130 the Ross and Amundsen Sea between 143°E to 95°W and 80°S to 57°S (Figure 1e). 131 The nests and global model are coupled via a two-way nesting scheme and share the same vertical model grid with 75 vertical z-levels. The top level is 1 m thick, while 132 133 the thickness increases to around 200m below depths of 4000 m. A partial cell parameterisation (Barnier et al., 2006) was applied to improve the representation of 134 the bottom topography and overflows. The timestep for the global model is 30 135 minutes but is reduced to 10 minutes and 5 minutes for the southwest Pacific and 136 ROAM15 nests, respectively. Viscosity varies between 1×10^4 m²/s (Laplacian), 5×10^{10} 137 m^2/s (bi-Laplacian) and $1 \times 10^9 m^2/s$ (bi-Laplacian) for the global eORCA1, and the 138 southwest Pacific and ROAM15 nests, respectively. Diffusivity was set to 1000 m²/s, 139 $200 \text{ m}^2/\text{s}$, and $66 \text{ m}^2/\text{s}$ accordingly. 140

142The hindcast was started from rest with climatological values for temperature and143salinity based on EN4 (Good et al., 2013) fields generated over the period 1995-1442014. Ice-shelf cavities were not explicitly simulated but meltwater fluxes are145prescribed at the front of the ice-shelf edge. Due to the incompatibility of the146Lagrangian iceberg scheme with AGRIF, meltwater fluxes from icebergs were147prescribed, based on a climatology of a hindcast without AGRIF nests.



Figure 1: Mean (2011-2016) sea surface height from (a) Cryosat and (b) ROAM15 in 149 colour and grey contours (interval is 0.1 m). The -1.9 and -1.5 m contour lines have been 150 highlighted in black for a better comparison between observations and model results. 151 152 The dashed orange line in (b), (d) and (e) illustrates the boundaries of the high-153 resolution nest (1/15°) within the 1/5° nest which covers the southwest Pacific. Mean June-September (2010-2017) sea-ice motion shown by the colour shading from (c) 154 Pathfinder satellite product and (d) ROAM15 in cm/s. Every 10th or 30th velocity vector is 155 drawn for Pathfinder and ROAM15, respectively. The red contour lines show the 156 157 highlighted sea-surface height contours from (a) and (b) to illustrate the Ross Gyre boundaries. Two inner gyres, the Balleny Gyre (BG) and the inner Ross Gyre (iRG), are 158

159contained within the Ross Gyre. (e) Particles were seeded in five clusters (R1-R5) located160in the eastern and northern boundary of the Ross Gyre illustrated by modelled (2011-1612016) sea surface height (grey area) between -1.9 m and -1.5 m. The 1000-m isobath of162the continental shelf is shown by the black dashed contour line and the target region is163indicated by the blue shaded polygon.

165 **b. Model evaluation**

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Due to the dominance of sea-ice in the Ross and Amundsen Seas, winter observations are sparse or come with caveats reducing the ability to use them for model validation. However, progress has been made to produce satellite derived datasets allowing insights into the ocean dynamics of this region. Sea surface height characterises the near-surface ocean circulation and therefore can be used to visualise the Ross Sea gyre circulation (Armitage et al., 2018; Erik Behrens et al., 2016; Kwok & Morison, 2015; Meijers, 2014). Here we used the CryoSat-2 based product provided Ron bv Kwok (https://swot.jpl.nasa.gov/documents/1541/?list=projects) which detects sea surface height in leads in the sea-ice pack and covers the period 2011-2016 (Kwok & Morison, 2015). In addition to the satellite product for sea-surface height, sea-ice velocities from the Pathfinder mission (https://nsidc.org/data/NSIDC-0116) were used for model validation.

- The upper-ocean circulation of the Ross Sea is dominated by the Antarctic Slope 180 181 Current and the cyclonic Ross Sea Gyre which is bounded in the north by the 182 Antarctic Circumpolar Current. The Ross Sea Gyre is characterised by lower sea-183 surface height values (<-1.9m) and clearly visible in the CryoSat-2 sea-surface height 184 product (Figure 1a). It comprises two inner gyres, an inner "Balleny Gyre" in the north-western part, and an "inner Ross Gyre", which occupies the eastern part. In 185 the remainder of the manuscript, we use the term Ross Gyre to describe the overall 186 187 Ross Gyre circulation, which includes both inner gyres.
- The modelled sea surface height pattern shows similar patterns to the observations 189 190 (Figure 1b). In general, the boundary of the modelled Ross Gyre agrees well with the 191 observations but expands too far south in the western Ross Sea and along the Oates 192 Land coast, whereas observed Ross Gyre boundary only touches the Antarctic Coast 193 near Cape Adare. Factors which potentially contribute to this discrepancy are the 194 different horizontal resolutions of the satellite product (~25 km) and the model (<3 195 km) as well as the exact unknown reference level. In addition, the modelled Ross 196 Gyre does not extend far enough into the Southern Ocean at the north eastern 197 boundary as suggested by the observations. Despite the horizontal resolution 198 differences, the model simulates both inner gyres and, in general, agrees well with 199 the observed sea surface contours.
- 201The satellite derived wintertime (June-September) sea-ice motion shows the202northward drift over the western Ross Sea (Figure 1c). East of 150°W and north of203around 70°S sea-ice is deflected to the east due to the prevailing westerly winds. In204this area, the sea-ice motion is perpendicular to the Ross Gyre boundaries205(illustrated by the -1.9 m sea-surface height contour) and causes sea-ice to be206carried across the Ross Gyre boundary. The model results show the same

- characteristics but with more detailed structure due to the finer model grid (~3 km; Figure 1d) compared to the satellite product (25 km). In the northeastern Ross Sea, the modelled sea-ice motion is more zonally directed than the observations, which means modelled sea-ice is not being carried as far north as the observations suggest. In addition, the model shows stronger sea-ice flow along the coast and within the energetic Antarctic Slope Current, which is not present in the satellite derived seaice drift. Reasons for the larger sea-ice flows in this region could be a case of a too strong coupling between ocean momentum and sea-ice drift in the model. Overall, the model appears to effectively capture the large-scale sea-ice drift.

c. Particle release strategy and tracking

- Particles were seeded over 5 distinct regions within the Ross Gyre where mature female toothfish are prevalent (R1-R5, Figure 1e, S. Hanchet et al. (2015)). These regions are associated with seamounts in the northern Ross Sea and the shelf region around the Iselin Bank area. These regions are within the sea level range that connects the northern rim of the Ross Gyre with the shelf of Antarctica (grey shaded area). The southern spawning regions R1 and R2 are areas with high toothfish abundance and where adolescent and adult fish are observed (S. Hanchet et al., 2015).
- 227Parcels (Delandmeter & van Sebille, 2019), an open-source Python based API for228Lagrangian ocean analysis, was used to simulate the transport of virtual toothfish229eggs and juveniles using environmental variables (ocean current velocities and sea-230ice motion) from ROAM15. Parcels was chosen over other Lagrangian tracking tools231such as Ariane (Blanke & Raynaud, 1997), TRACMASS (Döös et al., 2017) and232OpenDrift (Dagestad et al., 2018) as it allows for flexible and modular particle233advection and supports the NEMO ocean grids.
- Every day between the 15th July through to 15th September the assumed spawning season of toothfish (S. J. Parker et al., 2019) - 411 particles spanning the five spawning regions were released and advected for up to three years using the ocean and sea-ice fields from ROAM15. The number of particles released at each seeding location were scaled according to the size of the seeding location. This seeding procedure, with a total of 25,893 particles for each year, was repeated for every year from 2002 to 2016. The particle seeding was stopped in 2016 to allow for a 3-year particle advection. If particles reached the model domain boundary during that timeframe the tracking was stopped. Particles were advected using 5-daily averaged ocean and sea-ice fields from ROAM15 and the locations and velocities of each particle were archived as daily snapshots.

d. Particle advection schemes

The general particle advection is based on a Runge-Kutta 4th order advection with a timestep of 1 hour. Five variations to this general advection scheme were implemented to test the sensitivity of dispersal to assumptions about sea-ice advection (SA), ocean advection (OA), advection at depth (AAD), diurnal vertical movement (DVM) and active southward movement/velocity (SV) towards the Antarctic shelf (Figure 2).

255Virtual eggs hatched after 100 days. After hatching variations in the particle256behaviour were applied, while before hatching eggs were treated as passive257particles drifting with ocean currents or sea-ice if it is present (Figure 2a).

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259 Particles were seeded 50 m above the seamount, which were typically at depths of 260 1300 m, and floated to the surface with an average vertical velocity of 0.311 cm/s consistent with recent measurements of egg buoyancy (Parker et al., submitted). 261 262 During the ascent through the water column particles were advected with the ocean 263 currents. After 3.5–7.5 days the particles reach the surface. If the particles then 264 encounter sea-ice they move with the sea-ice, otherwise they are advected with the ocean velocity in the surface layer (Figure 2a), which is 1 m thick in ROAM15. After 265 266 100 days, when the toothfish start their motile phase (Parker et al., submitted), they follow one of eight advection schemes (some with additional variations) for the 267 268 remaining 944 days.

The eight advection schemes are graphically illustrated in Figure 2 and summarised in Table 1 along with the variations applied to some of the advection schemes. Below follows a brief description of the eight advection schemes:

2731) Particles subjected to the sea-ice advection (SA) scheme (Figure 2b) were274transported with sea-ice if it was encountered, otherwise they drifted with the275ocean surface velocity;

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2) The sea-ice advection or advection at depth (SA + AAD) scheme (Figure 2c) follows
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(1) but if no sea-ice was present the juveniles moved to a prescribed depth for
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2813) The sea-ice advection or advection at depth and 12-hour DVM (diurnal vertical282movement) (SA + AAD + DVM) scheme (Figure 2d) is similar to (2) but instead of283staying at a fixed depth the juveniles performed a DVM between the specific depth284and the surface and therefore encountered different ocean velocities as they moved285through the water column. Similar to (2), a maximum depth of 200m or 400m was286used;

2874) The sea-ice advection with active southward swimming (SA + SV) scheme (Figure2882e) is similar to the advection scheme in (1) but an additional southward motion of289either 500 m/day or 1000 m/day (based on assumed sustained swimming290capabilities of juvenile toothfish) was applied after hatching to the particle velocities291to simulate active swimming towards the Antarctic coast;

2925) In the ocean advection (OA) scheme (Figure 2f) the presence of sea-ice was293ignored, and the particles were advected with the surface ocean velocities294regardless of whether sea-ice was present or not;

2956) The ocean advection (OA) at depth (OA + AAD) scheme (Figure 2g) is the same as296(5), however particles were advected at a prescribed depth of 200m or 400m;

2977) The ocean advection at depth and DVM (OA + AAD + DVM) scheme (Figure 2h) is298similar to (6) but instead of staying at a fixed depth the juveniles performed a 12-299hour DVM between either 200m or 400m;

3008) The ocean advection at the surface with active southward swimming (OA + SV)301(Figure 2i) scheme is similar to (5) but an additional southward motion of 500 m/day

or 1000 m/day was applied to the particle velocities to simulate active swimming towards the Antarctic coast;

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An additional scheme was designed where the particle advection changes from seaice advection (SA) to surface ocean advection (OA) after 180 days. This advection scheme tests the combined benefits of both schemes and explores the sensitivity of particle trajectories to changes in behaviour as they grow. For more details see section 3e.



time

312 Figure 2: Advection schemes for the Lagrangian particle tracking: Every particle (red dots) is seeded 50 m above the sea floor at sites R1–R5. (a) Particles ascend to the 313 314 surface with a vertical velocity of 0.311 cm/s and are advected with ocean motion 315 until they reach the surface. If sea-ice is present (blue rectangle), sea-ice motion is 316 used for particle advection otherwise surface ocean motion (blue wave) is used for 100 days. After hatching (100 days) the juveniles (fish symbols) are advected with 317 318 one of the following advection schemes. (b) Sea-ice advection: if sea-ice is present sea-ice motion is used otherwise surface ocean motion; (c) Sea-ice advection or 319 advection at a specific depth: same as (b) but particles drift at a specific depth (200 320 321 or 400m) if sea-ice is not present; (d) Sea-ice advection or advection at a specific

322depth with diurnal cycle to the surface: same as (c) but juveniles perform a diurnal323cycle between a specific depth and the surface if sea-ice is absent; (e) same as (b)324but an additional southward velocity (SV) is applied to simulate active swimming of325the juveniles towards Antarctica. (f) – (i) follows the sea-ice advection schemes (b) –326(e) but ignores any sea-ice motion and uses ocean motion only for particle327advection.328

Table 1: Overview of all simulations. The letters correspond to the advection schemes illustrated in Figure 2.

	Within 100 days (pre-hatching)	After 100 days (post-hatching)
Sea-ice advection (SA)	(a) Sea-ice advection	(b) Sea-ice advection
Sea-ice advection or advection	(a) Sea-ice advection	(c) Sea-ice advection or
at depth (200m)		advection at depth
Sea-ice advection or advection	(a) Sea-ice advection	(c) Sea-ice advection or
at depth (400m)		advection at depth
Sea-ice advection or advection	(a) Sea-ice advection	(d) Sea-ice advection or
at depth (200m) + DVM		advection at depth +
		DVM
Sea-ice advection or advection	(a) Sea-ice advection	(d) Sea-ice advection or
at depth (400m) + DVM		advection at depth +
		DVM
Sea-ice advection + SV (500m	(a) Sea-ice advection	(e) Sea-ice advection + SV
per day)		(e)
Sea-ice advection + SV (1km	(a) Sea-ice advection	(e) Sea-ice advection + SV
per day)		
Sea-ice advection 180 days	(a) Sea-ice advection	(b) Sea-ice advection / (f)
		Ocean advection
Ocean advection (OA)	(b) Sea-ice advection	(c) Ocean advection
Ocean advection depth (200m)	(a) Sea-ice advection	(d) Ocean advection at
		depth
Ocean advection at depth	(a) Sea-ice advection	(g) Ocean advection at
(400m)		depth
Ocean advection at depth	(a) Sea-ice advection	(h) Ocean advection at
(200m) + DVM		depth + DVM
Ocean advection at depth	(a) Sea-ice advection	(h) Ocean advection at
(400m) + DVM		depth + DVM
Ocean advection (surface) + SV	(a) Sea-ice advection	(i) Ocean advection + SV
(500m per day)		
Ocean advection (surface) + SV	(a) Sea-ice advection	(i) Ocean advection + SV
(1000m per day)		

e. Particle separation, diagnostics and target region

For analysis, particles were separated into successful and unsuccessful particles. Successful particles were defined as particles which entered the target region within

- 340three years of being released. However, particles did not have to remain in the341target region to be classified as successful. Particles which never entered the target342region were identified as unsuccessful.
- 344To illustrate the dispersal of all released particles a 2-dimensional (longitude and345latitude) cumulative probability function was calculated (Figure 4-5). The cumulative346probability density function is the cumulative number of all particles (daily locations)347over time in a 0.5°×0.5° grid box.
- 349The same diagnostic was applied to illustrate the overall particle age (Figure 6). The350individual particle locations in time were used to identify the corresponding351 $0.5^{\circ} \times 0.5^{\circ}$ grid box and the age of particles at that time was used to compute a time-352average age for all particles in this grid box.
 - The arrival age for successful particles was computed (Figure 6 and 7). Here the particle age of its first entry into the target region was recorded and subsequent ages truncated.

3. Results

a. Success rate, travel times and seeding location dependency

The time-averaged success rates and travel time of successful particles over all seeding regions (R1-R5) are shown in Figure 3a, b. There is a clear distinction in the success rates of particles which use sea-ice motion versus particles which ignore its presence (Figure 3a). Particles that use sea-ice as a shelter and drift with it, without any active southward swimming, have a relatively low success rate (<8%, grey bars) in reaching the Antarctic continental shelf within a period of three years. Analogous ocean advected particles, on the other hand, show success rates of 12-24% (dark blue bars) suggesting a doubling to tripling of the relative success rate. Standard deviations for the sea-ice advected particles are in the same order of magnitude as the time-average. This suggests that the success is intermittent among years (primarily between 2006-2014, not shown), which explains the overall low success rates.

- Sea-ice advection combined with either advection at a fixed depth or diurnal vertical movement under ice free conditions has no obvious impact on the success rate and shows similar success rates to the sea-ice advection schemes where particles stay at the surface. In contrast, simulations where an active swimming towards the Antarctic coast is incorporated show a significant increase in success rates. The mean success rate in these active swimming schemes increases from ~8% to around 25% with a swimming speed of 500 m/day and to nearly 50% if juveniles were to maintain a speed of 1000 m/day after hatching. While the standard deviation increases in these simulations the trend towards higher success rates with increased southward swimming appears to be robust, with success rates barely dropping below 15% for an individual release year (not shown).
- 385In the ocean advection schemes, the spawning success is not intermittent between386years, but the standard deviations are similar to the sea-ice advection schemes. Ocean387advection combined with advection at a fixed depth results in a slight decrease in

388 success rates (~18% and 12% for advection at 200m and 400m, respectively) compared 389 to the success rate of the ocean surface advection scheme (24%). The addition of diurnal 390 vertical movement has no significant impact on the success rate and both simulations show success rates between 24% and 21% similar to the success rate of the ocean 391 392 surface advection scheme. Comparable with the sea-ice advection schemes, the ocean 393 advection schemes with active swimming towards the Antarctic coast have significantly higher success rates compared to the other ocean advection schemes. A swim rate of 394 395 500 m/day and 1000 m/day increases the success rate to more than 30%. However, the 396 success rate does not increase with increased swimming rates (the relationship is non-397 linear).

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In addition, the scenario where particles were subjected to sea-ice advection for the first 180 days followed by ocean advection for the remaining period (cyan bar) shows a success rate slightly higher (29%) than the success rate of the ocean surface advection scheme (24%). This suggests that the drift over the first 180 days might not be as important for the overall success rate, but more details are provided in section 3e.

The time-averaged travel times for all successful particles from all seeding locations are shown in Figure 3b. As with the success rate there is a clear contrast in the travel times of particles that drift with sea-ice and those that do not. Although the success rates for sea-ice advected particles are relatively lower, they reach the Antarctic shelf 100-200 days earlier than the purely ocean advected particles. The shorter travel times of particles subjected to the sea-ice advection schemes apply regardless of the particle release location (Figure 3d).

- 413 The time-averaged travel time for the sea-ice advected particles is around 650 days with 414 a standard deviation of around 100 days (Figure 3b). There is no clear travel time dependency between the different sea-ice advection schemes, even for the schemes 415 416 with active swimming that have higher success rates. The travel times for the ocean 417 advection schemes vary between 650 and 850 days. Due to the larger number of 418 successful particles the standard deviations are reduced (<100 days) compared to the 419 sea-ice advection schemes. Particles subjected to ocean advection at a fixed depth or 420 performing a diurnal vertical migration take longer (>800 days) to reach the Antarctic 421 shelf compared to all other advection schemes. The addition of active swimming 422 towards the Antarctic coast shortens the travel time by around 50 to 130 days, 423 compared to the ocean surface advection scheme. This shorter travel time corresponds 424 to increased success rates, as discussed above. The travel time of the simulation where 425 sea-ice advection is applied for the first 180 days and ocean advection for the remaining 426 period (cyan bar) shows a travel time very similar to the surface ocean advection 427 schemes (see section 3e for more details).
- 428 The results above summarise the trajectories for particles released over all seeding 429 locations (R1-R5). A more detailed breakdown of success rates and travel times for the 430 five individual seeding regions is provided in Figure 3c-d. For the sea-ice advection 431 schemes without active swimming, regions R3 and R5 show, in general, slightly higher 432 (>5%) success rates compared to the other seeding regions. For sea-ice advection at a 433 fixed depth higher success rates are also seen for R1. It appears that for these schemes 434 the "distance to the target region" - measured in terms of clockwise circulation around 435 the inner Ross Gyre – is not the prime factor controlling the success rate. For the sea-ice

436advection schemes with active swimming, the success rates increase for all seeding437regions, although not uniformly. The increase in success rates for R1 (by 200% and438700%) and R2 (by 150% and 750%) is higher than for R3 (by 50% and 325%), R4 (by 50%439and 400%) and R5 (by 30% and 360%) for swimming speeds of 500m/day and 1000440m/day, respectively.

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442 The ocean advection schemes show higher success rates (>15%) for R2, R3 and R5, 443 compared to R1 and R4. Similar to the sea-ice advection schemes, the ocean advection 444 schemes with active swimming show a non-uniform increase in success rates. The 445 increase in success rates for R4 (233%, 350%) and R5 (133%, 233%) are higher than for R1 (100%, 100%), R2 (100%, 75%) and R3 (100%, 100%) for swimming speeds of 446 447 500m/day and 1000m/day, respectively. For the sea-ice advection schemes the regions 448 R1 and R2, furthest in distance from the target region, show the biggest increase in 449 success rate while for the ocean advection schemes the regions closer to the target 450 region (R4 and R5) show a larger increase.

452 For the individual seeding locations (Figure 3d), a coherent increase in travel times with 453 increasing distance from the target region is visible for all scenarios (R1>R2>R3>R4>R5).

454 The travel times are relatively insensitive to the choice of the sea-ice advection scheme. 455 As seen in Figure 3b, the travel times of the ocean advection schemes are more variable 456 than that of the sea-ice advection schemes. This is also evident for the individual seeding 457 locations, which show a larger spread between the ocean advection schemes. In the 458 schemes where active swimming is applied the travel time reduces for all seeding 459 locations, except for R4 and R5.





Figure 3. (a) Time-averaged success rates: Fraction of particles seeded in regions R1 to R5 that made their way into the target region (blue shaded region in Figure 1e) within three years. SA refers to sea-ice advected particles, while OA refers to ocean advected particles, SV to southward velocity and DVM to diurnal vertical movement. (b) Time-mean travel times in days for successful particles. The grey bars show results for experiments which are based on SA while the blue bars are based on OA. (c and d) Time-mean success rates and travel times of successful particles from the various advection schemes in relation to the seeding locations (R1 to R5).

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b. Cumulative Probability density function and mean pathways

The daily recorded particle positions (2002-2018) for selected advection schemes were used to compute a cumulative probability density function. The cumulative probability density function and average particle trajectories were computed for unsuccessful (Figure 4) and successful (Figure 5) particles separately. The probability density function is only presented for a subset of scenarios, since the differences between the individual scenarios are minor.

480 The vast majority of particles subjected to the sea-ice advection scheme that fail to 481 reach the target region are zonally transported out of the Ross Sea region at a latitude of around 65°S (Figure 4a). A considerable number of particles, however, recirculate in the 482 483 Ross Sea and Ross Gyre but are not advected south enough to enter the target region. 484 The mean pathways for seeding regions R1, R2, R3 and R5 are very similar, but the timing when particles reach a certain point differ for each release region. Only the mean 485 486 pathway for seeding region R4 shows a slightly more northward shifted pathway 487 compared to the others. The cumulative probability distribution and pathways for the sea-ice advection scheme with a fixed depth of 200m (Figure 4c) is qualitatively very 488 489 similar to the pattern of Figure 4a, corresponding with similar success rates and travel 490 times for both schemes.

- Under the sea-ice advection scheme with additional active southward swimming, more 491 492 particles are recirculated within the Ross Sea and Ross Gyre (Figure 4e) compared to the 493 other two sea-ice advection schemes (Figure 4a and c). In addition, the inclusion of 494 active swimming also tends to shift the mean trajectories of the unsuccessful particles 495 slightly southward. This southward shift in the mean trajectories is more pronounced 496 east of ~140°W. The considerable similarity between the three sea-ice advection 497 schemes indicates that the trajectories of the unsuccessful particles are largely 498 determined by the sea-ice motion and surface ocean circulation rather than circulation 499 at depth or behaviour. However, the southward shifted trajectories in the active 500 swimming schemes increases the likelihood that particles reach the target region.
- 502Unsuccessful particles from the ocean advection scheme with no additional behaviour503show a similar pattern to the sea-ice advection schemes, however the fraction of504particles that recirculates into the Ross Sea without reaching the target region is larger505(Figure 4b). This is also visible in the slightly southward shifted mean pathways for the506five seeding locations.
- 508 The particles that fail to reach the target region under the ocean advection scheme that 509 includes advection at a fixed depth show markedly different trajectories. Most of the 510 unsuccessful particles, mainly from release zones R1-R3, are entrained within the Ross 511 Gyre and thus do not leave the Ross Sea (Figure 4d). Even though these particles remain 512 in the Ross Sea, they are not transported far enough south within three years to reach 513 the target region. Particles released from R4 and R5, on the other hand, display 514 trajectories similar to the sea-ice advection schemes and leave the domain at around 515 65°S. Similar to the ocean advection at depth scheme, the majority of unsuccessful particles from the ocean advection scheme with active swimming towards the Antarctic 516 517 coast are recirculated within the Ross Sea via the Ross Gyre (Figure 4f). However, the

southward extension is more contracted compared to the advection at depth scheme. As with the corresponding sea-ice advection scheme, the mean particle trajectories are shifted slightly southward.



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Figure 4. Cumulative probability density function (gray pixels, release years 2002-2016) for 523 unsuccessful particles from different advection schemes. Unsuccessful particles are defined 524 as particles that fail to reach the target region (dark blue polygon) in three years. Note the 525 non-linear scale. Solid lines represent the mean trajectories of the unsuccessful particles 526 released from R1 (purple), R2 (light blue), R3 (green), R4 (orange) and R5 (red). The dots and 527 ellipses along the trajectories are 50 days apart. Coloured ellipses illustrate the particle 528 spread, calculated by the standard deviation from all particle locations at that point in time. 529 (a) Sea-ice advection (SA); (b) Ocean advection (OA); (c) Sea-ice advection or ocean 530 advection at depth (200m); (d) Ocean advection at depth (200m); (e) Sea-ice advection + SV (500m/day); (f) Ocean advection + SV 500m/day. The black contour line marks the 1000m 531 isobath. 532 533

Successful particles from the selected sea-ice advection schemes all follow 534 535 approximately the same trajectory (Figure 5a, c, e). Over the first 100 days, the successful trajectories are very similar to the trajectories of the unsuccessful particles; 536 however, the successful particles are then deflected southward between 140-130°W and 537 538 do not continue along the zonal path. After their southward deflection, the majority of 539 particles then veer to the east and enter the target region between 120-100°W. Only a 540 few particles enter the target region west of 120°W. The southward deflection of 541 successful particles tends to occur further west (~140°W) for particles released from R1, 542 whereas particles released from R4 and R5 change direction further to the east 543 (~130°W). Particles released at the southernmost release locations (R1 and R2) tend to 544 follow a more direct route across the Ross Sea towards the target region.

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546 The distribution of successful particles from the selected ocean advection schemes 547 shows more confined pathways to the target region (Figure 5b, d, f) compared to their 548 sea-ice advection counterparts. They also tend to enter the target region further to the west (130-110°W). This is particularly evident in the advection at depth scheme (Figure 549 550 5d). The trajectories of successful particles from the ocean advection schemes seeded 551 from R4 and R5 are, in general, similar to those from the sea-ice advection schemes. However, after turning southward between 140-130°W, the successful particles from 552 553 the ocean advection schemes continue to the target region along a more southward 554 trajectory compared to the more easterly pathway of the sea-ice advection schemes. The trajectories from R1 to R3 reach around 3° further north compared to the sea-ice 555 advected particles. North of the target region, particles from the ocean advection 556 557 scheme that includes advection at depth are deflected to the west within the Antarctic 558 Slope Current (Figure 5d). The mean trajectories of the ocean advection scheme with no 559 additional behaviour (Figure 5b) and the scheme with active southward swimming (Figure 5f) suggests that the entry of successful particles into the target region occurs in 560 two clusters, an eastern and western cluster. Particles from R4 and R5 enter the target 561 562 region at the cluster centered on 120°W, while particles from R1, R2, and R3 tend to 563 enter the target region at the cluster further to the west (centered on ~125°W and ~130°W for the scheme with no additional behaviour and the scheme with active 564 565 swimming, respectively).





Figure 5. The same as Figure 5 but for successful particles. Successful particles are defined as particles that reach the target region (dark blue polygon) within three years of their release. Note the non-linear scale. Solid lines represent the mean trajectories of the successful particles released from R1 (purple), R2 (light blue), R3 (green), R4 (orange) and R5 (red). The dots and ellipses along the trajectories are 50 days apart. Coloured ellipses illustrate the particle spread, calculated by the standard deviation from all particle locations at that point in time. The color-coded and differently sized markers on the bottom right of each panel shows the distribution where (longitudinally) the particles have entered the target region and the number of particles at this location. (a) Sea-ice advection (SA); (b) Ocean advection at depth (200m); (e) Sea-ice advection + SV (500m/day); (f) Ocean advection + SV 500m/day. The black contour line marks the 1000m isobath.

584 c. Particle age distribution

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The daily recorded particle positions and particle age (2002-2018) for selected advection 585 586 schemes were used to compute the particle age distribution binned onto a 0.5°×0.5° grid (Figure 6), i.e., each grid cell depicts the sum of the average age of particles on each day 587 588 over the three years. As expected, the age of particles increases as they are advected away from the seeding locations. The age of unsuccessful particles from the three 589 590 selected sea-ice advection schemes increases mainly as a function of longitude (Figure 6 591 a,e,i), although relatively low particle ages are present in the central Ross Sea, due to 592 fast recirculation within the gyre. East of 110°W and north of 67°S, unsuccessful particles 593 from the sea-ice advection scheme with no additional behaviour and the advection 594 scheme with additional active southward swimming are older than 600 days, while those 595 from the sea-ice advection scheme with advection at depth are >100 days older. 596 Particles advected into the Ross Sea but not into the target region have an age of around 597 150 days. However, particles from the sea-ice advection scheme with active swimming 598 that are advected into the Ross Sea via the Antarctic Slope Current tend to be 100-200 599 days older compared to the other two sea-ice advection schemes.

601The age of unsuccessful particles from the three selected ocean-advection schemes is602predominantly a function of latitude (Figure 6 c,g,k) in contrast to the sea-ice advection603schemes. The oldest particles (>800 days) occur south of 70°S in the Antarctic Slope604Current and the Ross Sea. South of 65°S unsuccessful particles from the ocean advection605scheme with a fixed depth and with active swimming tend to be ~100 days older606compared to the ocean-advection scheme with no additional behaviour.

608The age of successful particles from the three sea-ice and ocean advection schemes tend609to increase zonally and meridionally (Figure 6 b,d,f,h,j,l). Particles from the three sea-ice610advection schemes reach the target region with ages between 200 and 600 days, with611older particles occurring east of 130°W (Figure 6 b,f,j).

613 Successful particles from the three ocean advection schemes (Figure 6 d,h,l) are ~200 614 days older upon reaching the target region compared to their sea-ice advection 615 counterparts. In addition, particles from the ocean advection scheme with advection at depth are 50-100 days older when they reach the target region compared to particles 616 617 from the ocean advection scheme with no additional behaviour. This reflects the slower 618 ocean velocities at depth compared to those at the surface. Conversely, successful 619 particles from the ocean advection scheme with active southward swimming are ~50 620 days younger when they reach the target area.



Figure 6. Time-averaged age distribution (release years 2002-2016) for different advection schemes and two sets of particles, unsuccessful and successful. Successful particles have reached the target region within 3 years after their release. The age distribution shows the time-averaged age (in days) since their release binned onto a 0.5°×0.5° grid. Solid lines represent the mean trajectories of particles released from R1 (purple), R2 (light blue), R3 (green), R4 (orange) and R5 (red). The dots and ellipses along the trajectories are 50 days apart. Coloured ellipses illustrate the particle spread, calculated by the standard deviation from all locations at that point in time. The color-coded and differently sized dots in the successful particle panels show the age versus longitude distribution when particles have entered the target region. (a-b) Sea-ice advection (SA); (c-d) Ocean advection (OA); (e-f) Sea-ice advection or ocean advection at depth (200m); (g-h) Ocean advection at depth (200m); (i-j) Sea-ice advection + SV (500m/day); (k-l) Ocean advection + SV 500m/day. The black contour line marks the 1000m isobath.

d. Age at arrival in the target region

In this section, the age at arrival and influx (number of particles per day) of successful particles into the target region is explored for each seeding location for selected advection schemes (Figure 8). The particle influx to target region for each release region was computed relative to the total number of successful particles over time (100%). For the sea-ice advection scheme (Figure 8a), the very first particles reach the target region about 260 days after release and originate from regions R4 and R5, which are the closest to the target region in terms of the clockwise Ross Gyre circulation. After 320 days particles from R3 start to enter the target region and particles from region R2 reach the target region ~380 days after release. At that point, the peak in the influx of particles is reached with 1.5% per day, where particles from R4 and R5 contribute the largest portion. Around day 440 the first particles from region R1 emerge in the target region. At the same time the contribution of particles from region R4 and R5 shows a sharp decline, leading to a minimum in the influx rate. This decline is compensated quickly by an increasing contribution from R2 and R1 until day 560, where the contributions from all regions show a drop at that point in time. Day 560 describes roughly the start of the

653summer season of the second year. By then more than 50% of all successful particles654have reached the target region. The influx of particles increases after that until day 680,655where particles from region R1 contribute the majority. After day 680 the influx of656particles starts to generally decline and the remaining 25% of particles reach the target657region. Particles arriving at the target region after day 680 mainly originate from region658R1, R2, and R3. However, there is a small influx of particles from R4 and R5 which659suggests that particles have recirculated through the Ross Sea.

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661 For the ocean advection scheme (Figure 7b), particles from seeding regions R1 to R5 662 start to appear at similar times as sea-ice advected particles. However, the influx rates of 663 particles increase more gradually compared to the sea-ice advection scheme. By day 640 664 the maximum influx rate of around 1% per day is reached, that is when the contribution from region R1 is largest, while contribution from region R4 and R5 are already 665 declining. At that point 50% of all successful particles have already reached the target 666 region, which is roughly 100 days later than in the sea-ice advection scheme. The 667 contribution from region R2 and R1 stays fairly constant until day 1000, while the total 668 669 influx rate declines. Overall, the ocean-advection scheme shows a less variable but a 670 prolonged influx of particles into the target region.

672The distribution for sea-ice advected particles with advection at 200m under ice-free673conditions (Figure 7c) is similar to the purely sea-ice advection scheme (Figure 7a).674However, this scheme shows a stronger separation of particles into two temporal675modes, with a distinct decline in particle fluxes around day 560 (the second summer676season). As in the purely sea-ice advection scheme more than 50% of all particles have677reached the target region by this time.

679 The timing of first arrival of particles in the ocean advection at 200m depth scheme (Figure 7d) is similar to the ocean advection at the surface (Figure 7b). However, the 680 681 influx rates remain lower compared to the surface ocean advection scheme for the first 682 700 days but exceeds that for the remaining period. Due to the slower velocities at depth, the arrival of particles in the target region is delayed in comparison to advection 683 684 at the surface. This is illustrated by the fact that 50% of all particles reach the target 685 region ~750 days after release. Therefore, particles subjected to the ocean advection at depth scheme reach the target region around 100 days later compared to the surface 686 687 ocean advected particles.

689 The distribution in sea-ice advection scheme with active swimming (Figure 7e) changes 690 only slightly in comparison to the sea-ice advection without active swimming (Figure 7a). 691 The active swimming reduces the travel time by about 20 days for most seeding 692 locations and the peak centered on day 650 gets narrower with higher influx rates. 693 About half (50%) of the particles released have reached the target within 520 days. A 694 further peak emerges around 980 days, which represents particles that have looped 695 around the inner Ross Gyre one more time and have reached the target region as a 696 result of active swimming.

698A slightly earlier arrival of particles is also visible in the ocean advection scheme with699active swimming (Figure 7f) in comparison to the ocean advection scheme without700active swimming, while the overall distribution is very similar. About half (50%) of the

particles released have reached the target region within 620 days. For the remaining period the influx rates are lower than for the ocean advection scheme without active swimming.



Figure 7: The age at fist arrival for successful particles from selected sea-ice and ocean advection schemes versus influx rate (%). The area under the color shaded area is 100%. (a) Sea-ice advection, (b) Ocean advection, (c) Sea-ice advection or advection at 200m, (d) ocean advection at 200m, (e) Sea-ice advection and active swimming of 500 m/day and (f) Ocean advection and active swimming of 500 m/day. Age bins are 20 days apart. The colorcoding represents the contribution from the individual release regions. The black line shows the cumulative percentage of particles which have reached the target region.

e. The importance of the 2nd winter season to reach the target region

As juvenile Antarctic toothfish grow, their behavior might change to influence their transport success. An advection scheme was designed which switches from sea-ice advection to surface ocean advection after 180 days. This scheme combines the benefits of the sea-ice and ocean advection schemes and therefore, results in an increased success rate compared to the majority of other advection schemes (see section 3a). Day 180 marks the first summer season when most particles experience open ocean conditions and therefore the transition from sea-ice to ocean advection does not introduce an artificial adjustment. In early life stages, sea-ice appears beneficial since it provides shelter and food. Therefore, drifting with the sea-ice is likely to be beneficial. However, particles in the second winter are typically found at the northern boundary of the inner Ross Gyre depending on release location. Observations and model results show that sea-ice drift in this region is predominately to the east and north-east (Figure 1c, d), which would transport particles out of the inner Ross Gyre, towards the ACC and away from the target region.

730Figure 8 shows the mean trajectory for the advection scheme that switched from731sea-ice to ocean advection after 180 days (SA 180 days) in comparison to the732trajectories from sea-ice and surface ocean advection schemes. These trajectories733were generated by averaging all particle locations (successful and unsuccessful) over

a certain time period. Note that for the first 100 days the trajectories for all advection schemes are identical since they all follow the sea-ice advection scheme until the eggs hatch.

738 For the release region R1 the trajectories are shown in Figure 8a. After release, the 739 particles travel north and turn north-eastward after about 60 days. By day 250 (red 740 dots), the beginning of the second winter season, the trajectories of the different 741 schemes divert substantially. However, before day 250 the trajectories for all 742 schemes are barely spatially indistinguishable. In addition, particles from the surface 743 ocean advection scheme travel slower compared to the other two schemes. For the 744 sea-ice advection scheme (dark blue trajectory), the fast-eastward spreading is 745 clearly visible after day 250, and juveniles are transported across the Ross Gyre 746 boundaries illustrated by the sea-surface height contour lines (black, adopted from 747 Figure 1). After two years the sea-ice advected particles have reached 115°W and 748 64°S. In comparison, the surface ocean advected particles (green) have reached 145°W and 66°S and are still within the Ross Gyre boundaries. The particles with the 749 750 behavior change (light blue) have traveled slightly further east (137°W) with their 751 trajectories pointing towards the target region.

753 For the other release regions, a similar behavior is observed, with sea-ice advected 754 particles always further north and east and further away from the target region 755 compared to the other two advection schemes (Figure 8b-e). Although the initial 756 particle trajectory for release region R2 (Figure 8b) differs more, by day 250 the 757 trajectories are in close proximity again, which puts the particles with the behavior 758 change (light blue) onto a very similar trajectory as for surface ocean advection. 759 After two years the particles in these two advection schemes are relatively close to 760 the target region. A very similar behavior is visible for particles seeded in region R3 761 (Figure 8c). For region R4 and R5 the general behavior changes and trajectories for 762 the surface ocean advection scheme and the scheme with behavior change start to 763 drift away from the target region and align more with the trajectory of sea-ice 764 advected particles. This is because both seeding locations are already very close to 765 the gyre boundary compared to seeding locations R2-R3, which show overall larger 766 success rates than R4-R5 (Figure 3c). Beside the reduction in success rates for R4 and 767 R5 the notion that sea-ice advection during the second winter is not beneficial 768 remains true since the sea-ice drift carries the particles far away from the target 769 region in comparison to particles which are carried by ocean currents alone.

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Figure 8. Mean trajectory for all released particles for seeding locations (a) R1, (b) R2, (c) R3, (d) R4, and (e) R5 for sea-ice advection (SA), sea-ice advection 180 day (SA 180 days) and ocean advection (OA) schemes over the first two years. The red dot marks the 250-day mark, approximately the start of the second winter season. The black + symbols on top of the trajectory are 60 days apart. The mean location of particles after two years is shown by a color-coded dot. The size of the dots at the end of the trajectory indicates the average success rate for the individual schemes.

4. Discussion and conclusion

The current knowledge about the early life history of Antarctic toothfish (*D. mawsoni*) life cycle is limited, and several key questions are still not fully understood: What is the spatial extent of spawning? How do eggs and juveniles manage to get from the spawning grounds to the shelf region? What role does sea-ice drift and ocean currents play?

This paper has investigated some scenarios around how potential spawning grounds could be connected to the shelf regions where the juvenile toothfish are found. The results from this study provide some insights about the spawning success for certain spawning regions and how that is influenced by sea-ice drift and ocean currents. In addition, the potential role of juvenile behaviour impacting the spawning success was investigated. While this paper covers a large set of scenarios it cannot explore all sensitivities and all possible scenarios. As our knowledge advances with time these scenarios will be revised, refined and potentially falsified.

796In particular, we have not continued to track the juveniles into adulthood as there seem to797remain unanswered questions concerning the timing of depth-dependent development of798the toothfish in terms of being neutrally buoyant and/or being bottom dwelling (e.g. see the799discussions and modelling in Ashford et al. (2017); and Ashford et al. (2012)). The relatively800high horizontal and vertical resolution of the ROAM15 grid (but presently without tides and801the Ross Ice Shelf) suggests that we could continue to track toothfish development onto and

802then presumably off the Ross Sea continental shelf as they mature, potentially enabling a803complete hypothetical life history using the model hydrodynamics and tracking. As before,804future advances in understanding would make testing the juvenile to adult toothfish more805tractable.

807 The particle tracking presented here uses hydrodynamic data from a high-resolution ocean model, ROAM15. This model captures the ocean circulation and sea-ice drift of the Ross Sea 808 809 better than other ocean models, due to its fine model grid. The modelled oceanic circulation 810 and sea-ice drift compares well to observations, which is key for Lagrangian studies. 811 However, there are model biases in both ocean circulation and sea-ice drift which will impact the dispersal of particles. Some uncertainties also exist around the observational 812 813 datasets since measuring sea-surface height during winter, when sea-ice is present, is difficult. The large number of released particles and a release period over 14 consecutive 814 815 years reduces some of the model uncertainties and increases the confidence of the obtained 816 model results, since averaging statistics could be applied.

818 A diagnostic which measures the success rate of particle arrival at the Ross Sea continental 819 shelf regions was used to argue that some scenarios are more beneficial for recruitment to the toothfish population in the Ross Sea. However, even very small success rates, as 820 821 estimated for particles advected with sea-ice drift, could be sufficient to sustain a 822 population. Observed age-class distribution of Antarctic toothfish (not shown) suggest 823 individuals present in each age class. This requires an influx of sufficient juveniles every year 824 which the modelled sea-ice advection scenarios were unable to reproduce unless a strong 825 southward active swimming (1000m / day after hatching) was incorporated. This suggests 826 that juveniles transported purely with sea-ice drift require an additional behaviour change in 827 order to reach the target region.

828Observations and model results show a coherent pattern regarding sea-ice drift in the829northern and eastern Ross Sea, where sea-ice drift is directed away from the target region.830When juveniles pass through this region and follow the sea-ice drift they are likely carried831away from the target region into the open ocean. It is therefore concluded - despite832uncertainties around the modelling - that sea-ice drift in this region reduces the spawning833success, with the modelling providing some solutions of how the juveniles could overcome834this issue.

835 The modelling results show a sensitivity to the choice of the target region. A very general 836 and inclusive approach has been used in this study to define a "target region" comprising the 837 shelf region in the eastern Ross and Amundsen Sea based only on the locations of the 838 smallest (and therefore youngest) toothfish observed in fishery data (S. Hanchet et al., 839 2015). The success rates and related pathways do change if the target region is modified; a 840 target region located further north leads to generally higher success rates due to the 841 recirculation of particles in the Ross Gyre; a more southern target region reduces the overall 842 success rate accordingly. The change in the overall success rate is spread relative evenly over 843 the different seeding regions. Restricting the region further to the east of 155° W leads to a 844 reduction in the overall success rates, evenly spread over all seeding regions. Note the eastern extension of the target region is limited by the boundary of the nested model 845 domain, which ends at 95°W. 846

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848 The presented success rates are only based on dispersal by physical variables using particles 849 with some simple assumed behaviours. Juveniles in the real ocean are affected by additional 850 factors, which have been neglected, but will impact the survival. One missing aspect is the 851 food availability for the larvae and juveniles during their journey, which depends on various bio-physical properties (e.g. temperature, chlorophyll-a concentration, zooplankton 852 abundance) and varies in space and time. This is one of the proposed benefits of sea-ice, as 853 854 the productivity derived from melting sea-ice could provide a predictable and rich food 855 source for juveniles. It is possible that the higher success rates with ocean advected particles could be impacted and reduced by lower food availability and longer exposure to open 856 857 ocean predators. Reaching the target region early could be beneficial since the continental 858 shelf could provide a rich food source and shelter. Also, the advection schemes with active 859 swimming did not take into account the additional energy required in this process, which could lead to reduced success rates in reality. The advection schemes with DVM perform a 860 861 repetitive 12-hour cycle between surface and a given depth, however these high latitudes experience a large seasonal cycle in daylight length. In which way this simplification affects 862 the results is unknown and should be considered in future studies. 863

The results of this modelling study contrast with the expected distributions of juveniles 865 866 which is based on observations of fish sizes to recruit to the continental slope of the 867 Amundsen Sea. Observations of egg buoyancy indicate that eggs would be distributed at the surface, and therefore would be strongly associated with sea-ice. Therefore, the pattern of 868 869 advection of juveniles under all scenarios except directional swimming, suggests that 870 Antarctic toothfish must show a behaviour that modifies their trajectories once hatched. 871 Observations of juvenile toothfish vertical distribution and swimming abilities especially 872 after their first winter would greatly improve the ability to model the trajectories.

5. Acknowledgements

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881 882 This project obtained funding from Ministry for Business Innovation and Employment via C01X1710 Ross Ramp and C01X1902 Deep South National Science Challenge. We acknowledge the support of NeSI High Performance Computing Facility and its team. Finally, I would like to acknowledge my partner and son. Model and trajectory data can be freely https://doi.org/10.5281/zenodo.4570967 obtained via https://doi.org/10.5281/zenodo.4574388 and https://doi.org/10.5281/zenodo.4574418.

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