

# Sea surface height anomalies of the Arctic Ocean from ICESat-2: a first examination and comparisons with CryoSat-2

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## Abstract

Accurately resolving spatio-temporal variations in sea surface height across the polar oceans is key to improving our understanding of ocean circulation variability and change. Here, we examine the first two years (2018-2020) of Arctic Ocean sea surface height anomalies (SSHA) from the photon-counting laser altimeter onboard NASA's ICE, Cloud, and Land Elevation Satellite-2 (ICESat-2). ICESat-2 SSHA estimates are compared to independent estimates from the CryoSat-2 mission, including available semi-synchronous along-track measurements from the recent CRYO2ICE orbit alignment campaign. There are documented residual centimeter-scale range biases between the ICESat-2 beams (in the current data release, r003) and we opted for a single-beam approach in our comparisons. We find good agreements in the along-track estimates (correlations  $> 0.8$  and differences  $< 0.03$  m) as well as in the gridded monthly SSHA estimates (correlation 0.76 and mean difference 0.01 m) from the two altimeters, suggesting ICESat-2 adds to the SSHA estimates from CryoSat-2.

1 **Sea surface height anomalies of the Arctic Ocean from ICESat-2: a first examination**  
2 **and comparisons with CryoSat-2**

3  
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15  
16 **Key Points:**

- 17 • We present the first (multi-year) examination of Arctic Ocean sea surface height  
18 anomalies (SSHA) from the ICESat-2 laser altimeter.
- 19 • ICESat-2 SSHA estimates compare well with near-coincident (*CRYO2ICE*) radar  
20 altimetry-derived SSHA estimates from CryoSat-2.
- 21 • ICESat-2 and CryoSat-2 show good agreement in the seasonal variability in SSHA  
22 suggesting ICESat-2 adds to the time-series of Arctic SSHA.

**23 Abstract.**

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26 we examine the first two years (2018-2020) of Arctic Ocean sea surface height anomalies  
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28 Elevation Satellite-2 (ICESat-2). ICESat-2 SSHA estimates are compared to independent  
29 estimates from the CryoSat-2 mission, including available semi-synchronous along-track  
30 measurements from the recent *CRYO2ICE* orbit alignment campaign. There are documented  
31 residual centimeter-scale range biases between the ICESat-2 beams (in the current data release,  
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33 the along-track estimates (correlations  $> 0.8$  and differences  $< 0.03$  m) as well as in the gridded  
34 monthly SSHA estimates (correlation 0.76 and mean difference 0.01 m) from the two altimeters,  
35 suggesting ICESat-2 adds to the SSHA estimates from CryoSat-2.

36

**37 Plain Language Summary**

38 The polar oceans, with warming and dramatic declines in sea ice coverage, are experiencing  
39 some of the most rapid environmental changes on Earth. These changes have direct impacts on  
40 ocean circulation and freshwater distribution, with observable changes in sea surface height.  
41 Measuring and monitoring basin-scale variability of sea level of the ice-covered oceans has  
42 proven challenging because the surface of these oceans is only exposed within narrow openings  
43 in the sea ice, requiring high spatial resolution and bespoke measurement techniques. This study  
44 takes a first look at new high-resolution laser altimetry measurements of sea level over the Arctic  
45 Ocean collected by NASA's ICESat-2 satellite since its launch in 2018. We compare the results

46 with those obtained using independent data from the CryoSat-2 satellite radar altimeter. By  
47 looking at near-synchronous data from when the orbit of the two satellites coincide over the  
48 Arctic Ocean, and by comparing sea surface height maps from both sensors during the two years  
49 of overlap (2018-2020) between the two missions, we find good agreement between the sea  
50 surface height estimates, providing additional confidence that ICESat-2 can be used to infer  
51 regional and seasonal polar sea surface height variability.

## 52 **1 Introduction**

53 Satellite observations of the Arctic Ocean have shown significant changes in ocean  
54 circulation, fresh water storage and energy balance since at least the 1980s, (Armitage et al.,  
55 2020; Morison et al., 2012, 2021; Polyakov et al., 2017; Proshutinsky et al., 2019; Timmermans  
56 & Marshall, 2020). Routine and accurate profiling of the sea surface height (SSH) in the Arctic is  
57 needed to continue these crucial time-series and provide more detailed insights into these  
58 changes. While we can reliably monitor the sea surface height of the open oceans at low-to-mid  
59 latitudes using satellite altimetry data (IPCC, 2019), continuous and widespread measurements at  
60 high-latitude ice-covered seas have remained limited. The main challenges are the reduced  
61 coverage due to the low inclination orbit of most satellite altimeters, sea surface sampling limited  
62 to narrow openings in the sea ice cover, and the need to accurately discriminate between sea ice  
63 and ocean surface altimetry returns.

64 Measurements of Arctic SSH from satellite altimetry started with low resolution radar  
65 data collected by the European Space Agency's (ESA) ERS and Envisat radar missions (1995–  
66 2010; Giles et al., 2012; Peacock & Laxon, 2004). However, the orbit inclination of these  
67 satellites limited measurements to 81.5° latitude. NASA's ICESat satellite, which operated  
68 between 2003 and 2009 (Zwally et al., 2002), offered higher resolution lidar data that improved

69 lead classification and SSH estimates (Kwok & Morison, 2011) while its orbit inclination  
70 resulted in more extensive coverage of the Arctic Ocean. Since 2010, ESA's CryoSat-2 satellite  
71 has been acquiring unfocussed synthetic aperture radar (SAR) altimetry data over the polar  
72 regions. CryoSat-2's high orbit inclination and continuous data collection have enabled basin-  
73 scale mapping of seasonal and interannual SSH variability up to 88° latitude (Wingham et al.,  
74 2006). The SSH data from CryoSat-2 have been compared with Arctic tide gauge measurements  
75 and ocean mass variations (e.g., GRACE) and basin-scale, monthly, estimates of dynamic ocean  
76 topography (DOT; Armitage et al., 2016, 2018; Kwok & Morison, 2016) have been produced.

77 In September 2018, NASA launched the Ice, Cloud, and Land Elevation Satellite-2  
78 (ICESat-2) laser altimetry mission, which has since been providing year-round profiling of the  
79 Earth's surface up to 88° latitude (Neumann et al., 2019). The novel photon-counting Advanced  
80 Topographic Laser Altimeter (ATLAS) on ICESat-2 provides high-resolution surface height  
81 measurements across its six-beam configuration. For the polar oceans, the data collected by  
82 ICESat-2 are currently being used to produce routine estimates of sea ice height, type (e.g.,  
83 lead/ice), and freeboard (Kwok et al., 2020). The ICESat-2 processing algorithms utilize specular  
84 returns to discriminate open-water leads from sea ice, and the laser's spatial resolution (~11 m  
85 diameter footprint; Magruder et al., 2020) is significantly higher than that of CryoSat-2 (380 m  
86 along-track and 1650 m across-track pulse limited footprint; Scagliola, 2013). Also,  
87 contamination by off-nadir specular returns from up to 15 km across-track can potentially bias  
88 CryoSat-2 surface height retrievals (Armitage & Davidson, 2014). On the other hand, laser  
89 altimetry measurements are often hindered by the presence of clouds, which are otherwise  
90 penetrated by radar. Measurements of sea ice height and freeboard by ICESat-2 have been  
91 validated against coincident laser profiles collected during targeted underflights by NASA's

92 Operation IceBridge (OIB) airborne mission (Kwok et al., 2019) and the sea ice classification  
93 algorithm has been shown to agree well with coincident imagery (R. Kwok et al., 2021; Petty et  
94 al., 2021). At the time of writing, sea surface height measurements have yet to be compared  
95 against independent height data.

96 As of August 2020, the orbit of CryoSat-2 has been modified as part of the *CRYO2ICE*  
97 campaign, such that every 19 orbits (20 orbits for ICESat-2) the two satellites are aligned for  
98 hundreds of kilometers over the Arctic Ocean, acquiring data along near-coincident ground  
99 tracks with a minimum time difference of approximately three hours. In this study, we present a  
100 first comparison of semi-synchronous along-track SSHA retrievals from ICESat-2 and CryoSat-2  
101 from several *CRYO2ICE* profiles. We examine SSHA from individual ICESat-2 beams and  
102 assess inter-beam range biases. We produce gridded SSHA composite maps of the Arctic Ocean  
103 and examine the relative agreement of the monthly, seasonal, and multi-year SSHA from the two  
104 altimeters. Daily/monthly gridded SSHA measurements over both polar oceans are planned to be  
105 released as an official ICESat-2 data product (ATL21) in 2021, and this study offers an  
106 examination of this type of composite SSHA data over the Arctic.

## 107 **2 Data and Methods**

### 108 *2.1 ICESat-2 data*

109 The ICESat-2 photon-counting laser altimeter transmits laser pulses split into a six-beam  
110 configuration of three beam pairs (each having a strong and a weak beam), where beam numbers  
111 1, 3, and 5 identify the strong beams, and 2, 4, and 6 the weak beams (Neumann et al., 2019).  
112 The 10 kHz pulse repetition rate leads to a 0.7 m along-track separation between subsequent  
113 laser pulses of the ~11 m lidar footprint (Magruder et al., 2020). Among the ICESat-2 data

114 products, the Level 3A sea ice products ATL07 (sea ice height and type,  
115 <https://nsidc.org/data/ATL07>) and ATL10 (freeboard, <https://nsidc.org/data/ATL10>) provide  
116 along-track measurements for six individual ground tracks (targeted at reference ground tracks,  
117 RGTs), and up to 16 satellite passes per day over both the Arctic and the Southern Ocean. The  
118 along-track surface heights are generated by aggregating 150 geolocated signal photon heights  
119 from the primary science Level 2A ATL03 data product (Neumann et al., 2019). ATL10 data  
120 coverage is limited to areas that have an ice concentration  $> 50\%$  (15% for ATL07), as inferred  
121 from passive microwave satellite measurements, and up to 25 km distance from land. A full  
122 description of the ATL07/10 products can be found in the Algorithm Theoretical Basis  
123 Document (ATBD, Kwok et al., 2020) and recent changes to the algorithm are further discussed  
124 in (Kwok et al., 2021). In this study we use release 003 (r003) ATL10 data.

125         In ATL10, the SSHA represents the measured sea surface elevation relative to a multi-  
126 year mean sea surface (MSS, see Section 2.3) after various geophysical and atmospheric  
127 corrections have been applied (see Table S1). Note that we adjust the solid earth tide correction  
128 included in each ICESat-2 segment's SSHA from r003 ATL10 data to correct a discrepancy in  
129 the permanent tide system. The adjustment is described in the supporting information (Text S1).  
130 The SSHA is provided for each beam at three different length-scales: (1) the  
131 *height\_segment\_height* variable where *ssh\_flag* = 1 or 2 (height segments classified as sea  
132 surface after radiometric classification as specular returns and height filtering), provides SSHA  
133 measurements calculated from the Gaussian fit to the height distribution of 150 photons within a  
134 segment ( $\sim 7$  m mean along-track SSH segment length for strong beams); (2) *lead\_height*,  
135 expresses the weighted mean height from consecutive segments forming an individual lead; (3)  
136 *beam\_refsurf\_height*, represents the SSHA for a  $\sim 10$ -km along-track section, calculated as the

137 weighted mean of all leads within a given section for each beam, or linearly interpolated from  
138 two adjacent sections, and smoothed using a 3-point point smoother. In subsequent analyses we  
139 use (1) but note that ATL21 data products will be formed using (3) to be consistent with the  
140 reference sea surface heights used to calculate freeboards (ATL10 and ATL20). This choice does  
141 not introduce significant differences in the gridded SSHA estimates (not shown) but allows us to  
142 take advantage of higher spatial resolution and of non-interpolated data when comparing results  
143 with CryoSat-2.

## 144 *2.2 CryoSat-2 data*

145 We use data acquired by the SIRAL  $K_u$  band SAR altimeter in the SAR mode, one of  
146 CryoSat-2's three modes of operation. We use intermediate Level 2 (L2) ice products processed  
147 at Baseline-D (Meloni et al., 2020) and available from ESA's CryoSat-2 Science Server  
148 (<https://science-pds.cryosat.esa.int/>). L2 data provide geolocated height measurements above the  
149 reference ellipsoid (WGS84) computed from each echo at intervals of approximately 300 meters.  
150 The data are already corrected for instrument effects, propagation delays, measurement  
151 geometry, and other geophysical effects (e.g., atmospheric delays and tides, see Table S1).  
152 Waveform retracking is also already applied in L2 data and determined using a model-fitting  
153 method to specular lead waveforms described by Giles et al. (2007). Further details and  
154 information can be found in the CryoSat-2 Baseline D Product Handbook (ESA, 2019) and in  
155 Meloni et al. (2020). Data coverage is controlled by the operational geographical mode mask for  
156 SAR data (<https://earth.esa.int/web/guest/-/geographical-mode-mask-7107>) and updated weekly  
157 to account for changes in sea-ice extent.

## 158           2.3 Mean sea surface (MSS)

159           To consistently compute the SSHA for CryoSat-2 we remove a mean sea surface height  
160 from each ellipsoidal elevation from L2 data (*height\_sea\_ice\_lead\_20\_ku*, which includes all  
161 instrumental and geophysical corrections) by bilinearly interpolating MSS values from a 2.5 km  
162 grid (Kwok et al., 2020 – <https://zenodo.org/record/4294048>) to the interval centroids. The MSS  
163 grid and the interpolation approach are the same as those used in the ICESat-2 sea ice data  
164 products. The MSS includes the geoid component and is in the mean-tide system.

## 165           2.4 SSHA data binning and gridding

166           In along-track comparisons for the *CRYO2ICE* campaign (Figure 1, Section 3.1), we first  
167 identify measurement overlaps by selecting ICESat-2 SSHA segments from a given beam that  
168 fall within the theoretical CryoSat-2 pulse-limited across-track footprint ( $\pm 825$  m across-track  
169 from the centroid of each footprint; Scagliola, 2013). We then bin individual SSHA segments for  
170 ICESat-2 and SSHA intervals for CryoSat-2 in coincident 10-km sections and calculate the  
171 simple mean value from all measurements within each bin (shown as stars in Figure 1). For each  
172 profile we calculate the mean ( $\mu$ ) and standard deviation ( $SD$ ) of the differences from all bins,  
173 and the correlation coefficient ( $R$ ) between the two datasets.

174           To generate composite maps of the Arctic Ocean SSHA, along-track data from ICESat-2  
175 and CryoSat-2 are first reprojected from the WGS 84 (EPSG:4326) to the NSIDC Sea Ice Polar  
176 Stereographic North coordinate system (EPSG:3411). The SSHA data are then gridded to the 25-  
177 km SSM/I polar stereographic grid by calculating the mean value within each grid cell for all  
178 data acquired within a given time period. Finally, we apply to both datasets a mask based on the  
179 NSIDC Arctic regional mask, in order to limit our assessment to the Beaufort, Chukchi, East

180 Siberian, Laptev, Kara, Barents, and Greenland seas, and the Central Arctic (see Figure S1 and  
181 black dashed outline in maps shown in Figure 2-4).

## 182 **3 Results and discussion**

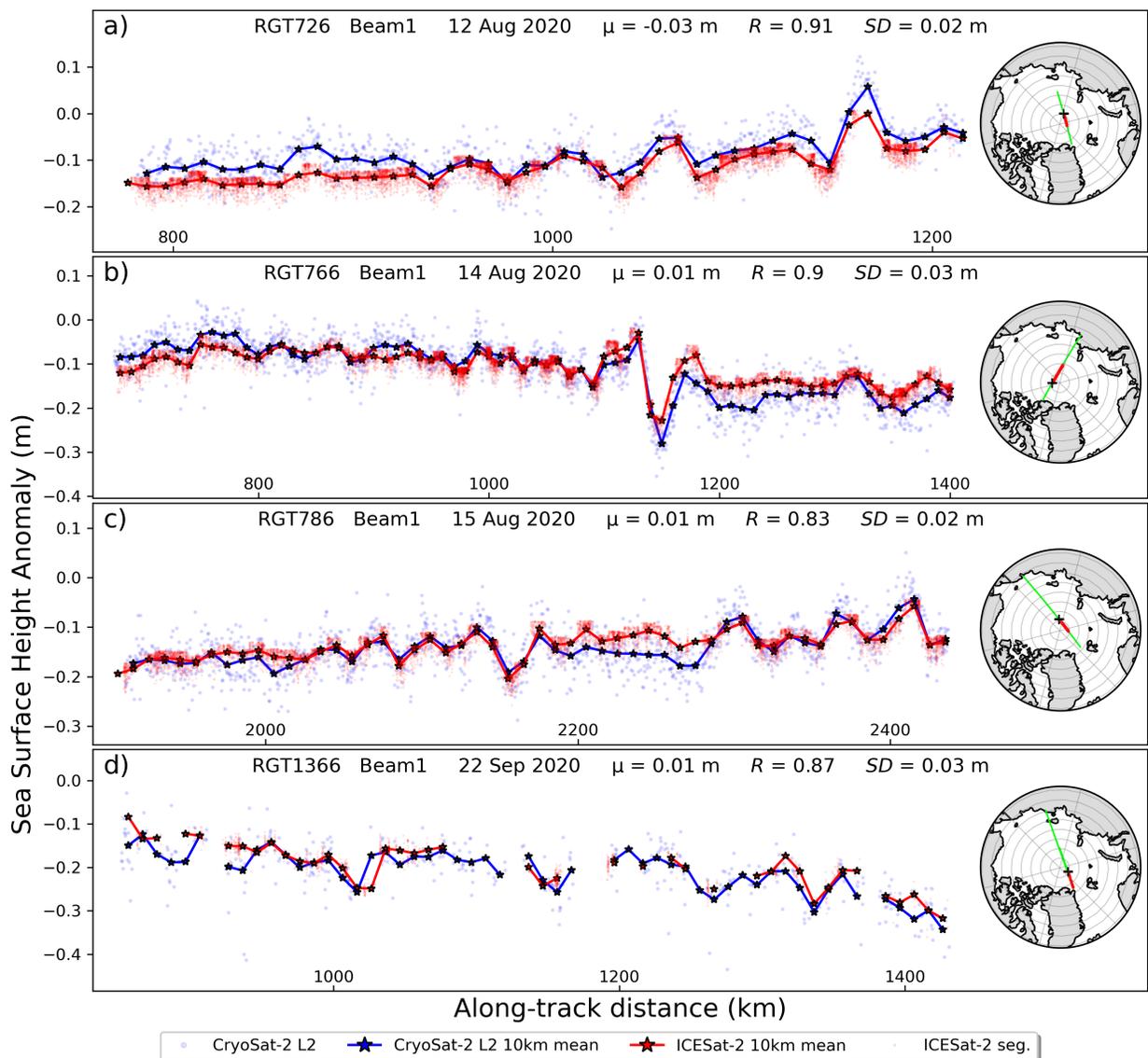
### 183 *3.1 Along-track CRYO2ICE SSHA comparison*

184 There have been 77 nominal orbit overlaps between ICESat-2 and CryoSat-2 since the  
185 start of the *CRYO2ICE* campaign on 4 August 2020 (ICESat-2 RGT 606) and 11 November  
186 2020 (ICESat-2 RGT 739), the date of the last ICESat-2 r003 ATL10 dataset available at  
187 NSIDC. For some overlaps the data products are not available and for many other overlaps, data  
188 are missing/invalid (e.g., because of cloud cover in ICESat-2). From the subset of available data,  
189 we find 4 overlaps that extend for at least 400 km with >1000 valid sea surface height  
190 segments/intervals. Most overlaps over the Arctic Ocean, including those in our subset, are with  
191 ICESat-2's beam 1 (*gt1l*). Note that the first three overlaps in our subset (Figure 1a-c) occur  
192 within summer, and while there are possible benefits from a higher lead fraction and increased  
193 number of SSH segments/intervals, we recognize that the presence of melt ponds due to snow  
194 melt on sea ice may interfere with the sea surface type retrieval algorithms, especially in the mid-  
195 August data when melt ponds are thought to be more prevalent (Kwok et al., 2020; Tilling et al.,  
196 2020).

197 Figure 1 shows the along-track SSHA estimates for the four selected *CRYO2ICE* overlaps  
198 (12 August to 22 September 2020). Of the four examples, three (14, 15 August and 22  
199 September, Figure 1b-d) show mean differences of 0.01 m and one (12 August, Figure 1a) of –  
200 0.03 m. The standard deviations are 0.02–0.03 m and the correlation coefficients ( $R$ ) vary  
201 between 0.83 and 0.90. The relative differences between 10-km SSHA sections are shown in

202 Figure S2 together with differences between geophysical corrections (i.e., tides and inverted  
 203 barometer). Note that applying the geophysical corrections is key when doing these comparisons,  
 204 as the lack of time-coincidence can cause significant (up to 20 cm) differences (Figure S2).

205 The larger ( $> 0.20$  m) SSHA excursion seen in Figure 1b and smaller but still significant  
 206 short-scale variability in the other profiles may be localized geoid features (e.g., associated to  
 207 deep ocean ridges) that are not represented properly in the current MSS, and unlikely to be ocean  
 208 circulation features.



210 **Figure 1:** *CRYO2ICE* along-track SSHA comparisons. Red dots represent ICESat-2 sea  
211 surface segments, red stars show the mean value for 10-km sections. Blue dots represent  
212 CryoSat-2 sea surface intervals and blue stars the mean value for the same 10-km sections as for  
213 ICESat-2. The RGT number identifies the ICESat-2 reference ground track number. The date of  
214 acquisition of both datasets (separated by  $\sim 3$  hours) is shown for each panel. For each overlap we  
215 report the mean difference ( $\mu$ ), the standard deviation ( $SD$ ) of differences between the two  
216 datasets, and the correlation coefficient  $R$  from the least-squares regression. Map insets show the  
217 CryoSat-2 ground track in green and the extent of the overlap with ICESat-2 in red. The black +  
218 symbol marks the beginning of the profile (left side in main panels).

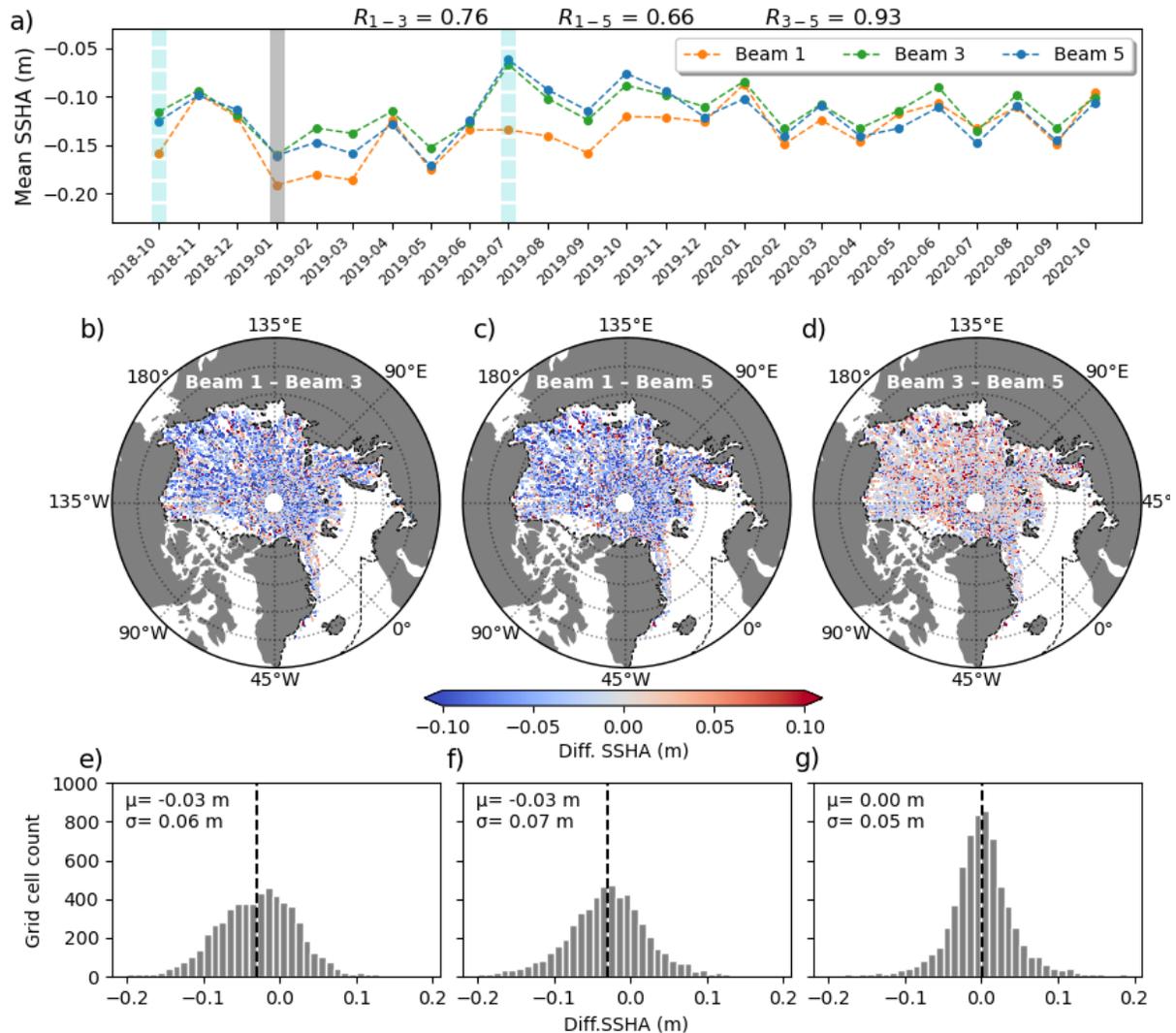
219

### 220 3.2 ICESat-2 beam comparison

221 Preliminary analyses by the ICESat-2 Project Science Office (PSO) have suggested that  
222 the ATLAS beams have different range biases and that these can vary through time – i.e. the  
223 height profiles from the 6 beams are not yet fully calibrated/reconciled and centimeter-level  
224 differences between beams remain. To understand the inter-beam range variability from SSHA  
225 estimates we calculate the monthly mean SSHA value over the Arctic since the start of the  
226 mission for the three strong beams independently (Figure 2a). The monthly SSHA estimate from  
227 beam 1 presents the largest differences with respect to the two other strong beams (up to  $\sim 0.07$   
228 m in July 2019) while differences between beam 3 and beam 5 are consistently  $\leq 0.02$  m.  
229 Correlation coefficients are 0.76, 0.66, and 0.93 for beam 1 – beam 3, beam 1 – beam 5, and  
230 beam 3 – beam 5, respectively. In Figure 2b-d we show the spatial distribution of the beam-to-  
231 beam differences for a given month (January 2019, gray bar in Figure 2a), which show that  
232 differences exhibit no obvious spatial correlation. This remains valid for all months since the  
233 start of the mission. The same beam-to-beam differences are also shown as histograms in Figure  
234 2e-g, further demonstrating the clear inter-beam bias associated with beam 1 (mean of  $-0.03$  m  
235 when compared to beam 3 and 5) and that differences between beam 3 and 5 are normally  
236 distributed around a mean of  $0.00$  m with a standard deviation of  $0.05$  m. The significant larger  
237 differences with beam 1 are also consistent with the findings of Brunt et al. (2021) estimated

238 over the interior ice sheets of Antarctica (beam 1-3: 0.039 m; 1-5: 0.036 m; 3-5: 0.003 m),  
239 suggesting that these are sensor- or pointing solution-related.

240 For all of our subsequent analyses (Section 3.3 and 3.4), and until range differences  
241 between beams are fully characterized, we opt to use just a single strong beam when estimating  
242 Arctic SSHA. Based on the results presented above we select the middle strong beam (beam 3)  
243 since, despite its lower transmitted energy level (~80% of beam 1 and 5), the steeper incidence  
244 angle results in a stronger backscatter in the presence of highly reflective surfaces (e.g., leads)  
245 consistently increasing the number of specular lead returns compared to other strong beams  
246 (Kwok et al., 2021). This is currently our recommended strategy for the initial production and  
247 release of ICESat-2 ATL21 data.



248

249 **Figure 2:** ICESat-2 beam comparison. **a)** Monthly mean for the Arctic Ocean calculated using  
 250 data from each beam. The cyan dashed bars mark months for which data do not cover the entire  
 251 month, October 2018–beginning of science data acquisition on 14 October– and July 2019–data  
 252 between 1 and 8 July are not available due to satellite safe mode operations. The gray bar marks  
 253 the month for which data are shown in panel b-g. Correlation coefficients ( $R$ ) between beams are  
 254 shown at the top. **b-d)** Maps showing the differences between beams for the month of January  
 255 2019. The black dashed line marks the extent of the area of interest. **e-g)** histograms showing the  
 256 distribution of the differences presented in panels b-d. The black dash lines mark the mean ( $\mu$ )  
 257 and  $\sigma$  is the standard deviation.

258

259

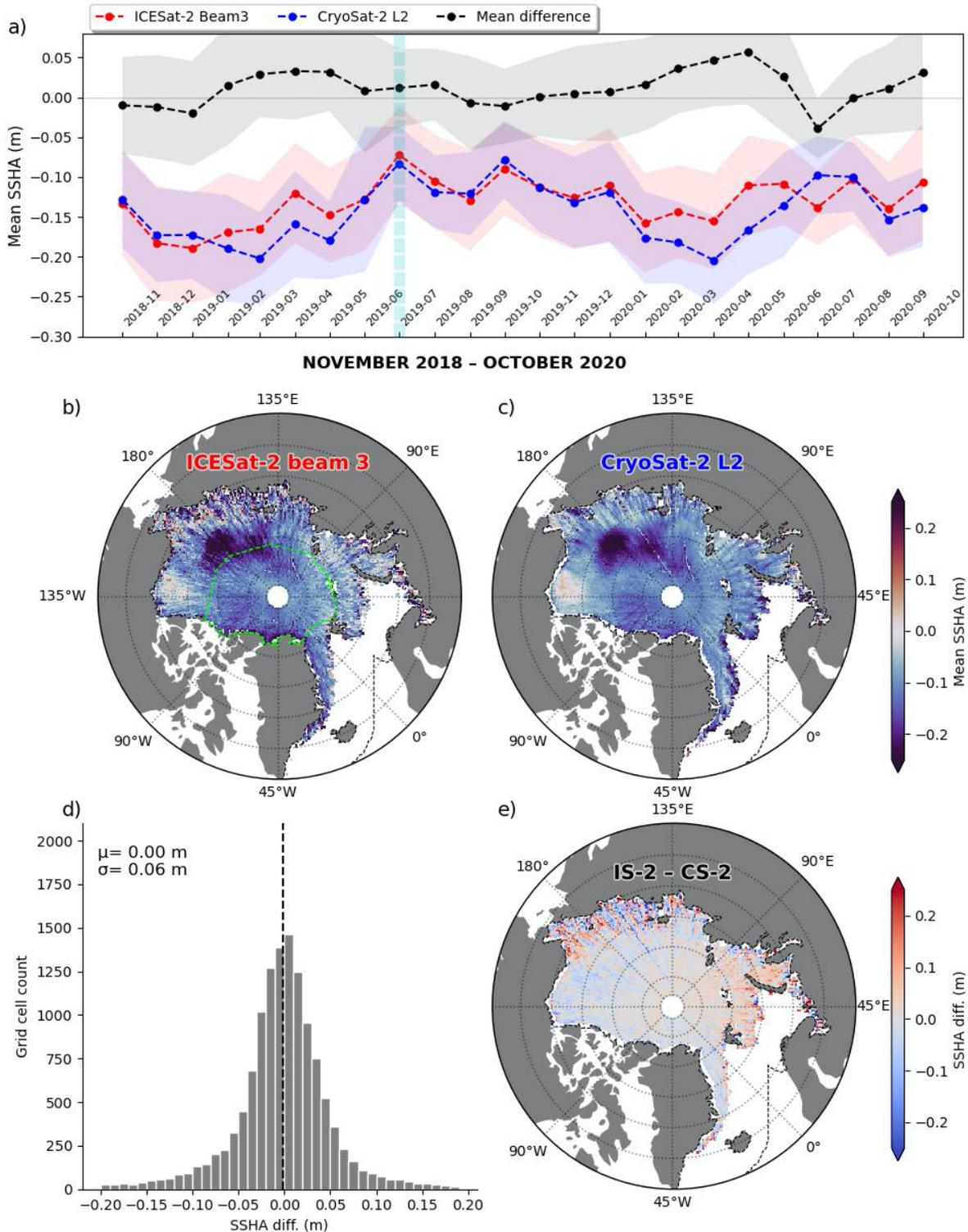
### 3.3 Monthly and multi-year SSHA comparison

260 In Figure 3a we compare monthly SSHA means calculated using ICESat-2 beam 3 to  
261 those calculated using CryoSat-2 Level-2 data. We limit this comparison to the Central Arctic,  
262 the area outlined by the green dashed line in Figure 3b, where we expect consistent year-round  
263 ice cover and to exclude effects introduced by season-dependent changes in sea-ice extent and  
264 different data coverage near the coastal regions. Further details for each monthly comparison  
265 (mean, number of valid grid cells, number of data points) are provided in Table S2. A decrease in  
266 mean SSHA is shown by both sensors during fall-winter months and is followed by a mean  
267 SSHA increase during spring-summer months. Differences across all months between the two  
268 sensors have a mean of 0.01 m (SD = 0.02 m), and the correlation coefficient from a least-  
269 squares regression ( $R$ ) is 0.76 (slope = 0.95, intercept =  $-0.02$  m). We find that up to 0.03 m of  
270 the observed monthly SSHA differences, especially during fall/winter, are caused by differences  
271 in the inverted barometer correction applied to each dataset. Our comparisons between heights  
272 from ICESat-2 with those from CryoSat-2 show a better agreement than has been shown by  
273 Brunt et al. (2021), who compared absolute ice height over the flat interiors of the Antarctic ice  
274 sheet and found differences  $> 0.3$  m. This larger discrepancy, however, is likely due to the much  
275 greater penetration depth of the Ku band radar in firn compared to sea water.

276 We then compare the Arctic SSHA calculated from data spanning the two-year mission  
277 overlap, from November 2018 through October 2020. The ICESat-2 mean 2018-2020 SSHA in  
278 shown in Figure 3b and that from CryoSat-2 is presented in Figure 3c. Both maps show a  
279 positive SSHA in the southern Beaufort Sea, a strong negative anomaly in the Chukchi/Siberian  
280 seas and a weaker negative SSHA in Central Western Arctic, a spatial pattern consistent with  
281 recent positive phase in the Arctic Oscillation (Armitage et al., 2018; Morison et al., 2021). In  
282 Figure 3d we show a histogram of the differences between ICESat-2 and CryoSat-2 SSHA, while

283 a map of the SSHA differences is presented in Figure 3e, which shows the ICESat-2 SSHA to be  
284 generally higher in the more marginal seas (Barents, Kara, East Siberian, and Chukchi) and  
285 slightly lower in the Central Arctic. The marginal seas are areas of large SSH variability where  
286 the different acquisition times between the two satellites can capture different parts of these  
287 cycles (see Figure S3 for the standard deviation of each dataset, showing higher values in the  
288 marginal seas) and can therefore explain much of these differences. Increased data acquisition  
289 from both missions will enable a more reliable comparison of the mean SSHA from ICESat-2  
290 and CryoSat-2.

291



292

293 **Figure 3:** Comparison between ICESat-2 and CryoSat-2 Arctic SSHA. **a)** time series of monthly  
 294 mean SSHA for the Central Arctic (area outlined by green dashed-line in panel b) from ICESat-2  
 295 (red) and CryoSat-2 (blue), with shaded areas representing one standard deviation from the

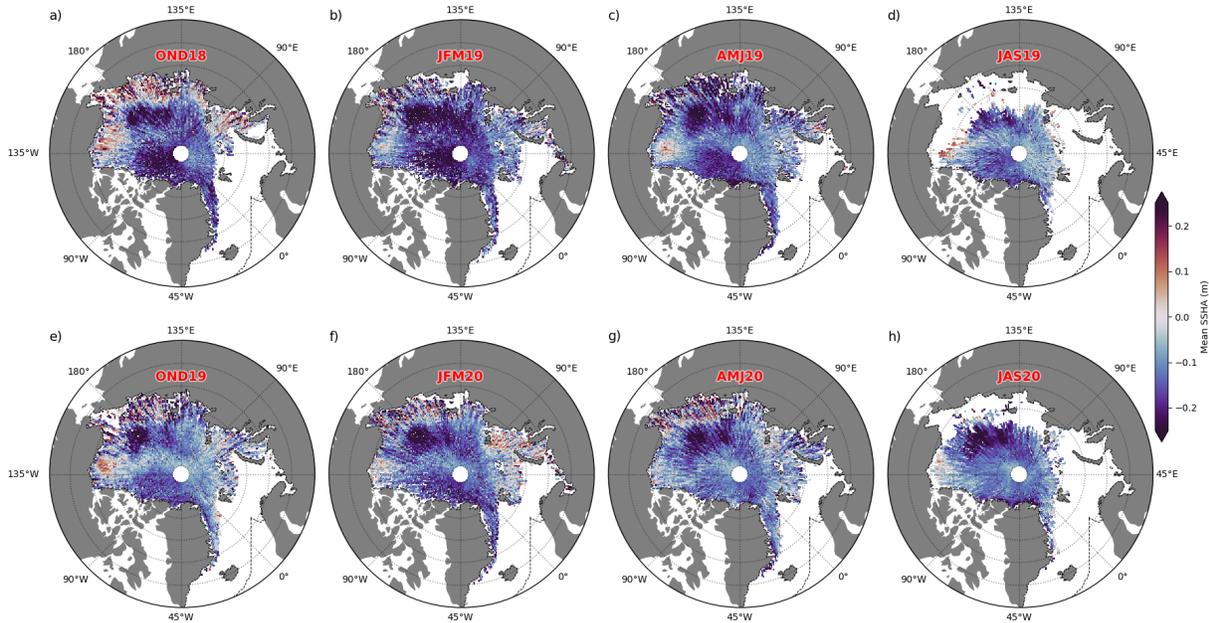
296 mean. **b-c)** Multi-year mean SSHA estimated using data acquired between November 2018 and  
297 October 2020. **d)** Histogram showing the distribution of the differences between ICESat-2 and  
298 CryoSat-2, also shown in map view in panel **e)**. In **d)** the black dash line marks the mean ( $\mu$ ) and  
299  $\sigma$  is the standard deviation. The black dashed line in **e)** marks the extent of the area of interest  
300 (data outside this line are masked out).

301

### 302 *3.4 Seasonal SSHA variations from ICESat-2*

303 In Figure 4 we present seasonal maps of Arctic SSHA for three-month periods starting in  
304 October 2018 and ending in September 2020. The top row (Figure 4a-d) can be directly  
305 compared to the bottom row (Figure 4e-h) to assess year-to-year differences, while from left to  
306 right we track the temporal progression during two entire freezing-melting seasons (2018–2019  
307 and 2019–2020). Note that variations in spatial coverage are dictated by variations in sea ice  
308 extent since ICESat-2 ATL10 data are only provided for areas that have an ice concentration >  
309 50%. Comparisons to CryoSat-2 for each three-month period are presented in Figure S4, and  
310 confirm similar SSHA spatio-temporal variations providing some confidence in the capability of  
311 ICESat-2 to produce consistent estimates of Arctic SSHA.

312 A positive SSHA centered on the Beaufort Sea (a strengthened Beaufort Gyre) is clearly  
313 visible during winter months but less apparent in 2020 (see Figure 4 c-d compared to Figure 4 g-  
314 h). Large variability in the Siberian and Chukchi seas also corresponds to areas characterized by  
315 high short-term SSH variability.



316

317 **Figure 4:** Seasonal mean SSHA maps from ICESat-2. OND = October, November, December;  
 318 JFM = January, February, March; AMJ = April, May, June; JAS = July, August, September. The  
 319 black dashed line marks the extent of the area of interest (data outside this line are masked out).

320

## 321 5 Summary and conclusions

322 Here we have presented a first examination of Arctic sea surface height anomalies  
 323 (SSHA) from NASA's ICESat-2 laser altimeter during the first two years of the mission (2018-  
 324 2020). We analyzed beam-to-beam differences and provided an independent assessment of inter-  
 325 beam range biases for the ATLAS altimeter. We compared the ICESat-2 SSHA estimates with  
 326 L2 sea ice data obtained from ESA's CryoSat-2 radar altimeter. We provided a brief description  
 327 of the necessary steps to reconcile the SSHA data from the two altimetry missions by imposing  
 328 the same permanent tide system, MSS, and geophysical corrections. A careful reconciliation of  
 329 the data is needed in future efforts to blend data from ICESat-2 with those from CryoSat-2 (and  
 330 potentially other airborne and space-borne altimetry missions).

331 The strong agreement between both the semi-synchronous along-track estimates from the  
332 *CRYO2ICE* overlaps and basin-scale gridded SSHA estimates between the two sensors suggests  
333 that the higher resolution ICESat-2 data can be used to estimate monthly/seasonal SSHA and  
334 perhaps resolve 10 km-scale spatial variability in SSHA. The multi-year record of overlap also  
335 opens up the potential to produce a new, high-resolution, blended, estimate of the mean sea  
336 surface of the Arctic Ocean (and indeed Southern Ocean) which could better resolve what we  
337 believe to be anomalously large SSHA spatial deviations shown in the *CRYO2ICE* overlaps.  
338 Finally, our results provide a first evaluation of the approach used for the production of ICESat-2  
339 SSHA gridded data products for the polar oceans (ATL21). Future work will extend this analysis  
340 to the Southern Ocean, pending *CRYO2ICE* orbit maneuvers for the Southern Hemisphere.

341

#### 342 **Acknowledgments, Samples, and Data**

343 ICESat-2 ATL10 data products were obtained from NSIDC and are available at  
344 <http://nsidc.org/data/atl10>. CryoSat-2 Level-2 data (SIR\_SAR\_L2) were obtained from ESA at  
345 <https://science-pds.cryosat.esa.int/#>. The mean sea surface grid is available at  
346 <https://zenodo.org/record/4294048>.

347

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**Sea Surface Height Anomalies of the Arctic Ocean From ICESat-2: A First Examination and Comparisons with CryoSat-2**

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**Contents of this file**

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**Introduction**

This supplement contains text, figures and tables in support of the main document.

Text S1 describes an adjustment needed to correct a discrepancy in the permanent tide system between geophysical corrections applied to ICESat-2 release 003 ATL10 data.

Figure S1 shows a map of the Arctic Ocean with the names of the main seas and the extent of the NSIDC Sea Ice Index Arctic regional mask used to define the area of interest.

Figure S2 is complementary to Figure 1 and shows the differences between SSHA estimates from ICESat-2 and CryoSat-2 for four *CRYO2ICE* overlaps. This figure also highlights the importance of geophysical corrections when comparing non-synchronous

SSHA measurements by showing the differences introduced by the ~3-hour time lag between the passes of the two satellites.

Figure S3 shows the variability (standard deviation) and number of data points (segment/interval count) associated with each dataset used to produce the multi-year (2018-2020) SSHA composite maps shown in Figure 3b – ICESat-2 – and Figure 3c – CryoSat-2.

Figure S4 shows SSHA differences between ICESat-2 and CryoSat-2 in three-month composites for the duration of the mission overlap. The figure is complementary to Figure 4 and used to assess the ability of ICESat-2 in tracking seasonal and inter-annual changes in SSHA.

Table S1 lists all the geophysical corrections that are applied to obtain SSHA estimates from ICESat-2 and CryoSat-2 and provides information on the tidal and atmospheric models used for each sensor.

Table S2 provides the statistics for monthly SSHA estimates for ICESat-2 and CryoSat-2 used to generate the time-series plot presented in Figure 3a. The table also provides the count of the total number of segments/intervals used to generate each monthly estimate, and the number of valid grid cell in each monthly SSHA composite.

### **Text S1: Permanent tide adjustment for ICESat-2**

The ICESat-2 release 003 (r003) ATL03 photon heights used for the production of r003 ATL07/10 data products are corrected for the solid earth tide (SET) and include both the time-dependent (periodic) and the time-independent (permanent) components, so that ellipsoidal heights are in a tide-free system. However, the mean sea surface (MSS) grid used to estimate sea surface height anomalies (SSHA) in ATL07/10 (see Section 2.3) is in the mean-tide system (i.e., it includes the distortion of the geoid due to the permanent tide). While this inconsistency will be rectified in future ICESat-2 data releases (starting from r004 all data will be in a tide-free system), in this study we adjust the SET correction for ICESat-2 by reintroducing the time-independent component as follows:

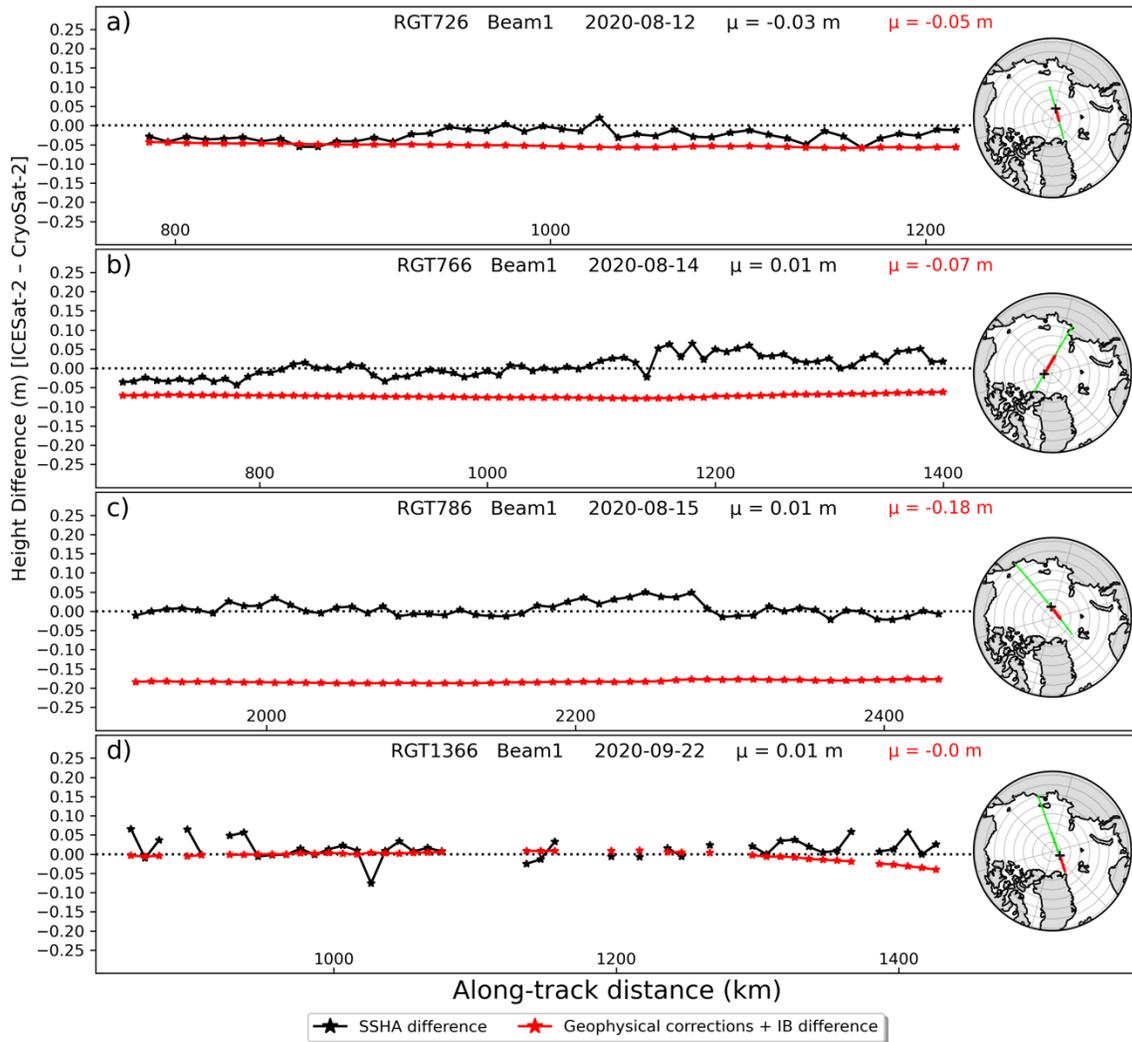
$$SSHA_{mean-tide} = SSHA_{r003} + 0.060292 - 0.180873 \sin^2 \varphi$$

where the degree-2 Love number,  $h_2=0.609$  is implicit in the equation (IERS2010 Conventions) and  $\varphi$  is the latitude in radians.

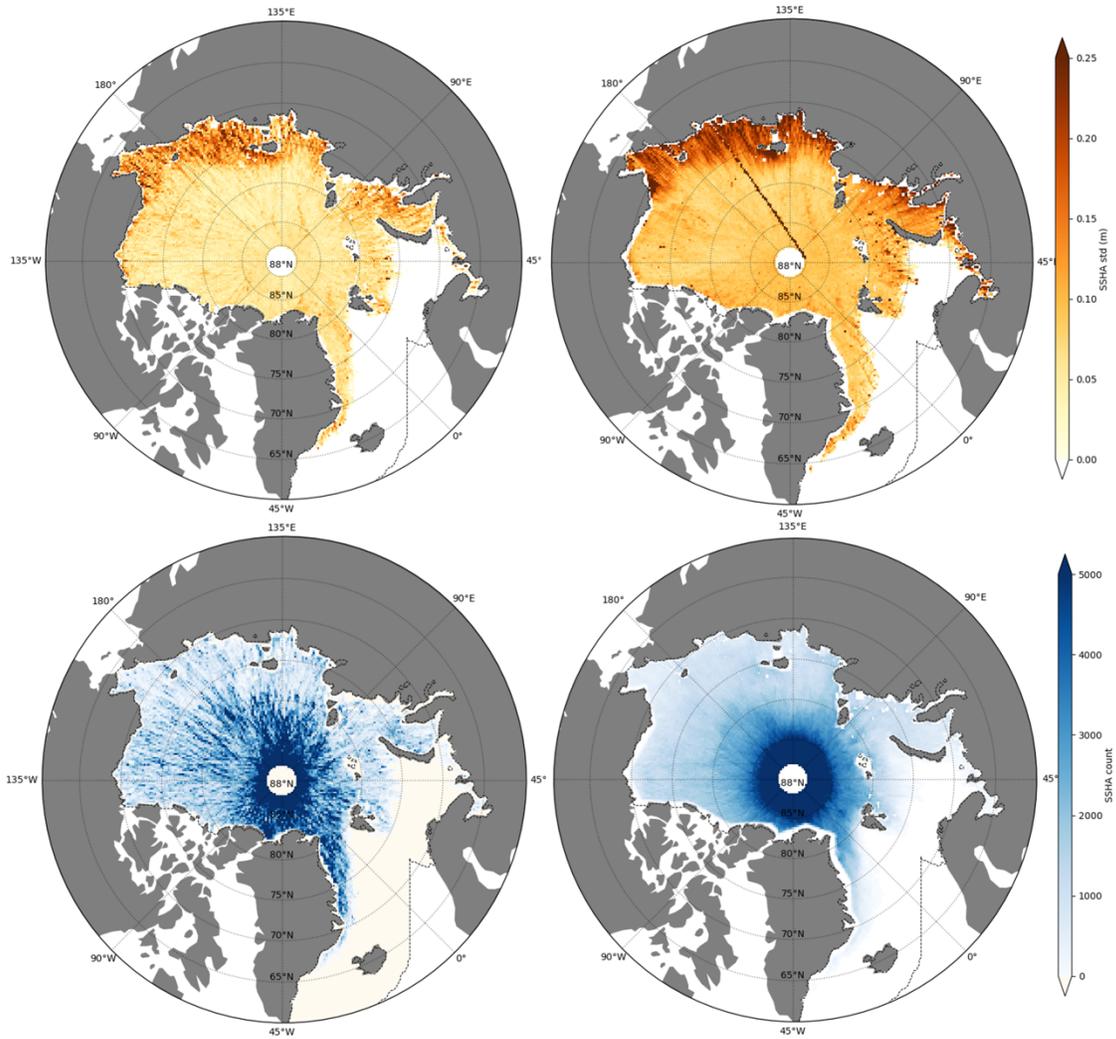
The approach described above makes sure that SSHA estimates from both ICESat-2 and CryoSat-2 are in the same permanent tide system (mean tide system), enabling SSHA comparative analyses.



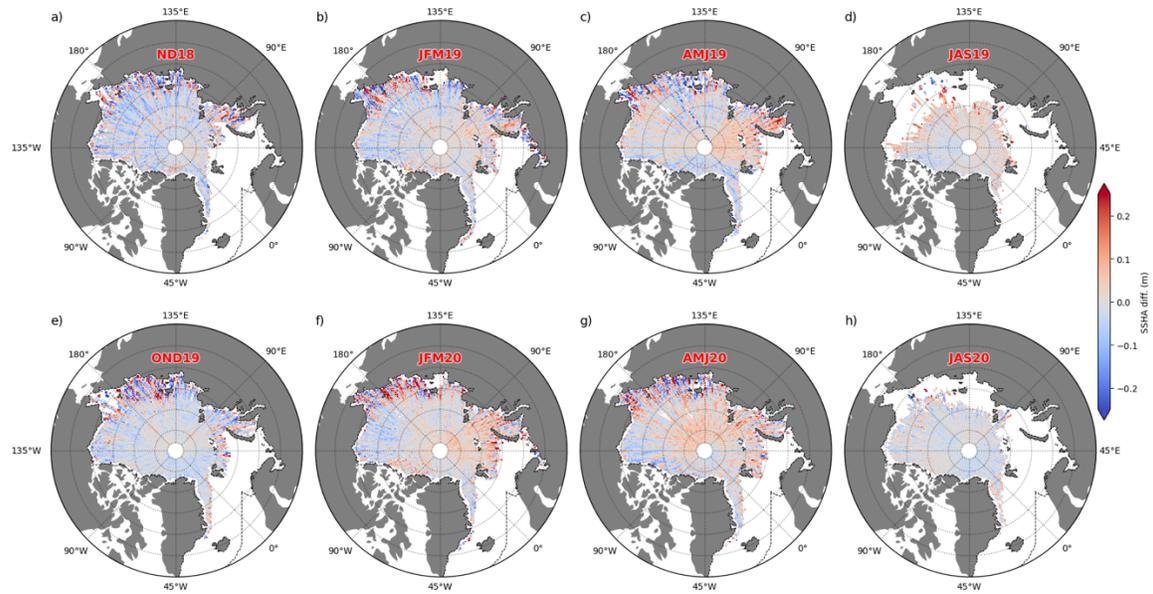
**Figure S1.** Map of the Arctic Ocean showing the extent of the area of interest in blue.



**Figure S2:** *CRYO2ICE* differences between ICESat-2 and CryoSat-2. Black stars show the SSHA difference (ICESat-2 – CryoSat-2) calculated for 10-km sections presented in Figure 1. Red stars show differences in geophysical corrections (ocean tide, ocean long period tide, pole tide, solid earth tide, ocean loading, and inverted barometer) for the same 10-km segments. These differences are mainly due to the time difference between acquisitions (~3 hours), and to a minor extent to the different tidal/IB models, and do not include other sensor-specific corrections for instrumental and atmospheric effects (e.g., wet and dry troposphere) that are applied to SSHA estimates. The RGT number identifies the ICESat-2 reference ground track number. For each overlap we report the SSHA (in black) and geophysical corrections (in red) mean difference ( $\mu$ ). Map insets show the CryoSat-2 ground track in green and the extent of the overlap with ICESat-2 in red. The black + symbol marks the beginning of the overlap (left side of main panel).



**Figure S3.** Maps showing (top) the standard deviation of SSHA measurements and (bottom) the number of data segments/intervals in each grid cell for the entire mission overlap (November 2018 – October 2020). (left) ICESat-2 and (right) CryoSat-2.



**Figure S4:** Seasonal mean SSHA difference maps (ICESat-2 – CryoSat-2). OND = October, November, December; JFM = January, February, March; AMJ = April, May, June; JAS = July, August, September. The black dashed line marks the extent of the area of interest (data outside this line are masked out).

<b>Correction</b>	<b>ICESat-2</b>		<b>CryoSat-2</b>	
<i>Solid Earth Tide</i>	IERS 2010 conventions	Y	Cartwright model	Y
<i>Ocean Loading</i>	GOT 4.8 ocean tide model	Y	FES 2004 model	Y
<i>Ocean Tides</i>	GOT 4.8 ocean tide model	Y	FES 2004 model	Y
<i>Long Period Equilib. Tide</i>	GOT 4.8 ocean tide model	Y	FES 2004 model	Y
<i>Solid Earth Pole Tide</i>	IERS 2010 conventions	Y	SSALTO	Y
<i>Ocean Pole Tide</i>	N/A	N		?
<i>Inverted Barometer</i>	From sea level pressure (ATL09)	Y	CNES SSALTO (ECMWF)	Y
<i>Total column atm. delay</i>	Luthcke & Petrov, ATBD ATL03a	Y	N/A	N
<i>Dry troposphere</i>	N/A	N	CNES SSALTO (ECMWF)	Y
<i>Wet troposphere</i>	N/A	N	CNES SSALTO (ECMWF)	Y
<i>Ionosphere</i>	N/A	N	GIM/Bent model	Y

**Table S1.** Geophysical and atmospheric corrections applied to each dataset. Y= yes, N=no, ?=unknown.

DATE	IS-2 SSHA MEAN	CS-2 SSHA MEAN	IS-2 SSHA GRID COUNT	CS-2 SSHA GRID COUNT	ICESAT-2 N. SEGMENTS	CRYOSAT-2 N. INTERVALS	MEAN (SD) OF DIFFERENCES IS-2 – CS-2
201811	-0.133	-0.128	3,084	4,291	651,527	870,650	-0.010 (0.061)
201812	-0.183	-0.173	3,218	4,356	484,112	883,514	-0.012 (0.065)
201901	-0.189	-0.173	3,170	4,402	546,014	808,559	-0.020 (0.066)
201902	-0.169	-0.190	2,756	4,408	520,729	686,661	0.015 (0.075)
201903	-0.165	-0.202	2,581	4,370	678,093	672,523	0.029 (0.054)
201904	-0.120	-0.159	3,131	4,312	964,540	707,937	0.033 (0.061)
201905	-0.148	-0.180	3,891	4,433	819,505	1,111,238	0.032 (0.049)
201906	-0.128	-0.128	3,748	4,421	1,476,440	2,352,078	0.008 (0.077)
201907	-0.072	-0.083	3,226	4,404	2,079,310	3,131,588	0.012 (0.049)
201908	-0.105	-0.119	3,859	4,403	2,830,539	2,607,746	0.016 (0.045)
201909	-0.129	-0.121	3,932	4,290	1,180,395	1,280,231	-0.007 (0.059)
201910	-0.090	-0.078	3,767	4,397	859,163	797,832	-0.011 (0.047)
201911	-0.113	-0.113	3,600	4,415	733,714	944,903	0.001 (0.050)
201912	-0.126	-0.132	3,798	4,416	646,454	959,979	0.005 (0.059)
202001	-0.110	-0.119	3,374	4,395	648,188	819,654	0.007 (0.062)
202002	-0.158	-0.176	2,998	4,377	573,857	680,526	0.016 (0.058)
202003	-0.144	-0.182	3,192	4,397	620,692	748,099	0.036 (0.056)
202004	-0.156	-0.204	3,583	4,388	982,488	897,103	0.047 (0.058)
202005	-0.110	-0.167	3,726	4,399	955,037	1,363,667	0.057 (0.066)
202006	-0.109	-0.135	4,071	4,443	2,200,551	2,602,271	0.026 (0.070)
202007	-0.138	-0.098	4,224	4,415	7,609,865	3,117,697	-0.039 (0.038)
202008	-0.102	-0.100	3,718	4,191	4,466,378	2,652,584	-0.001 (0.047)
202009	-0.140	-0.153	3,002	3,640	898,732	1,193,840	0.011 (0.056)
202010	-0.106	-0.137	3,411	4,130	563,092	1,940,856	0.031 (0.072)

**Table S2.** Monthly mean SSHA for the Central Arctic (see Figure 3a) from ICESat-2 and CryoSat-2. YYYYMM = year and month. Mean values are in meters. Grid cell counts are calculated as the total number of 25-km grid cells within the area of interest containing at least one data point.