# PROBA2 LYRA Occultations: Thermospheric Temperature and Composition, Sensitivity to EUV Forcing and Comparisons with Mars

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#### Abstract

A method is presented for retrieving temperature and composition from 150-350 km in Earth's thermosphere using total number density measurements made via EUV solar occultations by the PROBA2/LYRA instrument. Systematic and random uncertainties are calculated and found to be less than 5% for the temperature measurements and 5-20% for the composition measurements. Regression coefficients relating both temperature and the [O]/[N2] abundance ratio with EUV irradiance at 150, 275 and 350 km are reported. Additionally, it is shown that the altitude where [O] equals [N2] decreases with increasing solar EUV irradiance, an effect attributed to thermal expansion. Temperatures from 2010 to 2017 are compared with estimates from the MSIS empirical model and show good agreement at the dawn terminator but LYRA is markedly cooler at the dusk terminator, with the MSIS-LYRA temperature difference increasing with solar activity. Anthropogenic cooling can explain this discrepancy at periods of lower solar activity, but the divergence of temperature with increasing solar activity remains unexplained. LYRA measurements of the exospheric sensitivity to EUV irradiance are compared with contemporaneous measurements made at Mars, showing that the exospheric temperature at Mars is approximately half as sensitive to EUV variability as that of Earth.

# PROBA2 LYRA Occultations: Thermospheric Temperature and Composition, Sensitivity to EUV Forcing and Comparisons with Mars

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# Key Points:

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•	Temperature	and composition	from 150-	$350 \mathrm{~km}$ from	2010-2017	are presented.
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- Dusk temperatures are markedly cooler than MSIS predictions.
- Mars exospheric temperature is half as sensitive to EUV variability as that of Earth.

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#### 11 Abstract

A method is presented for retrieving temperature and composition from 150-350 km in 12 Earth's thermosphere using total number density measurements made via EUV solar oc-13 cultations by the PROBA2/LYRA instrument. Systematic and random uncertainties are 14 calculated and found to be less than 5% for the temperature measurements and 5-20%15 for the composition measurements. Regression coefficients relating both temperature and 16 the  $[O]/[N_2]$  abundance ratio with EUV irradiance at 150, 275 and 350 km are reported. 17 Additionally, it is shown that the altitude where [O] equals  $[N_2]$  decreases with increas-18 ing solar EUV irradiance, an effect attributed to thermal expansion. Temperatures from 19 2010 to 2017 are compared with estimates from the MSIS empirical model and show good 20 agreement at the dawn terminator but LYRA is markedly cooler at the dusk termina-21 tor, with the MSIS-LYRA temperature difference increasing with solar activity. Anthro-22 pogenic cooling can explain this discrepancy at periods of lower solar activity, but the 23 divergence of temperature with increasing solar activity remains unexplained. LYRA mea-24 surements of the exospheric sensitivity to EUV irradiance are compared with contem-25 poraneous measurements made at Mars, showing that the exospheric temperature at Mars 26 is approximately half as sensitive to EUV variability as that of Earth. 27

#### 28 1 Introduction

The Earth's thermosphere, the upper region of its neutral atmosphere, extends from 29 the temperature minimum at the mesopause (85-100 km; Xu et al. (2007)) to geospace. 30 Spanning the regions of both the ionosphere and Low Earth Orbit (LEO), the thermo-31 sphere and its state have important implications for space weather (Schunk & Sojka, 1996). 32 Photochemical and dynamical processes in the thermosphere change its temperature, den-33 sity and composition, directly influencing satellite drag and trans-ionospheric electro-34 magnetic propagation. Geomagnetic storms provide perhaps the most dramatic exam-35 ple of the interplay between the magnetosphere, thermosphere and ionosphere, and the 36 resulting impact on space weather (e.g. Mayr and Volland (1973); Fuller-Rowell et al. 37 (1994)). Currents induced in the magnetosphere cause intense heating in the lower thermosphere, driving an upwelling of  $N_2$  rich air. Satellite drag increases significantly over 39 the poles, resulting in the temporary or even permanent loss of satellite trajectory knowl-40 edge. In the ionosphere, the increased  $N_2$  abundance depletes the local plasma density 41 due to the faster recombination rate of  $N_2$  ions relative to O ions (e.g. Wang et al. (2010)), 42 impacting trans-ionospheric communications signals. 43

The region of the thermosphere between 150 and 300 km is of particular scientific 44 importance. It is within this region that the thermosphere's temperature increases sharply, 45 due primarily to the absorption of solar Extreme Ultraviolet (EUV) radiation except for 46 near the auroral zone, where geomagnetic heating becomes important. As such, the ther-47 mal balance of the upper atmosphere, and ultimately the exospheric temperature, is largely 48 the result of processes occurring between 150 and 300 km (R. G. Roble et al., 1987). The 49 absorption of EUV radiation also causes the plasma density to peak within this altitude 50 range (R. G. Roble, 1995). Additionally, it is within the 150-300 km range that substan-51 tial coupling between the lower and upper regions of Earth's atmosphere are expected 52 to occur (Vadas, 2007; Yiğit & Medvedev, 2009; Oberheide et al., 2011; Miyoshi et al., 53 2015). Gravity waves and tides originating in the lower atmosphere propagate upwards 54 and deposit energy and momentum in the lower and middle thermosphere, causing vari-55 ability at short time-scales and influencing the circulation and thermal balance globally. 56 Despite its importance, measurements targeting neutral density and composition across 57 the 150 to 300 km altitude range have been limited because of the inherent difficulty in 58 measuring neutral species at these altitudes directly. 59

Figure 1 shows a chart of neutral density, temperature and composition measurements since 1973 as a function of altitude and time. The Atmospheric Explorer E (AE-

E) and Dynamics Explorer (DE) missions measured neutral species in-situ by mass spec-62 trometry (Nier et al., 1973; Carignan et al., 1981);. These spacecraft had highly ellip-63 tical orbits, allowing a range of altitudes to be sampled during each orbit. The Challeng-64 ing Minisatellite Payload (CHAMP; Bruinsma and Biancale (2003)), Gravity Recovery 65 and Climate Experiment (GRACE; Sutton et al. (2007)) and Gravity Field and Steady-66 State Ocean Circulation Explorer (GOCE; Bruinsma et al. (2014)) missions measured 67 total mass density in-situ using precision accelerometers. These spacecrafts' orbits were 68 more circular, restricting the sampling to a nearly fixed altitude over a single orbit, which 69 decreased as the orbits decayed. The Thermosphere, Ionosphere, Mesosphere Energet-70 ics and Dynamics (TIMED) Global Ultraviolet Imager (GUVI) measurements shown in 71 Figure 1 were made by limb scans of atmospheric airglow (Meier et al., 2015). These limb 72 scans ceased in 2008 due to a mechanism failure, while TIMED/GUVI continues to make 73 measurements of column integrated airglow (without altitude resolution) up to the time 74 of this writing. The GOLD mission measures profiles of  $O_2$  density using stellar occul-75 tations (shown in pink) and column integrated airglow measurements of density and com-76 position near the altitude of the airglow peak (150-180 km). 77

The remaining measurements in Figure 1 are from ultraviolet (UV) solar occulta-78 tions. UV solar occultations served as an early workhorse for characterizing upper at-79 mospheric density and composition (preceding the measurements shown in Figure 1), where 80 researchers exploited the fact that much of the UV spectrum is strongly absorbed by the 81 major species of the upper atmosphere (see R. G. Roble and Hays (1972) and references 82 therein). However, solar occultation specific instruments for observing the thermosphere 83 have not flown in recent decades, even as both the quantity and quality of space-borne 84 solar UV instrumentation have increased substantially, and solar occultation measure-85 ments shown in Figure 1 are "bonus" measurements made by instruments intended to 86 study the Sun. Between 1983 and 2005, solar occultations of  $O_2$  density were made by 87 the Ultraviolet Spectrometer and Polarimeter (UVSP) onboard the Solar Maximum Mis-88 sion (SMM) and the Solar Ultraviolet Spectral Irradiance Monitor (SUSIM) onboard the 89 Upper Atmosphere Research Satellite (Aikin et al., 1993; Lumpe et al., 2007). More re-90 cently, Thiemann et al. (2017) demonstrated the utility of modern solar EUV instruments 91 for thermospheric solar occultations by using solar EUV measurements made by the Large 92 Yield Radiometer (LYRA) instrument onboard the European Space Agency (ESA) Project 93 for OnBoard Autonomy 2 (PROBA2) satellite to measure total number density between 94 150 and 350 km. 95

This paper builds on the work of Thiemann et al. (2017) by extending the retrievals 96 of the LYRA solar occultation measurements to include thermospheric temperature and 97 composition, and the primary objective of this paper is to report the methods and the 98 uncertainties of the retrieval. Section 2 describes the data, retrieval methods and asso-99 ciated uncertainties in detail. These new data are used to establish the dependence of 100 thermospheric temperature and composition on solar EUV forcing at various altitudes 101 in Section 3. Section 3 also compares the LYRA measurements with predictions by the 102 NRLMSISE-00 model (Picone et al. (2002), hereafter MSIS). These results are discussed 103 in Section 4 and main conclusions are stated in Section 5. 104

#### <sup>105</sup> 2 Data and Methods

Solar occultation measurements are made using the LYRA instrument onboard PROBA2 106 launched by ESA in 2009. PROBA2 flies in a retrograde Sun-synchronous orbit ( $\sim$ 700 107 km of altitude,  $98^{\circ}$  of inclination), which generates brief occultations for three months 108 each year (from early November through early February) when the spacecraft enters eclipse 109 at the dawn terminator, and exits it at the dusk terminator. At these moments, LYRA 110 can observe the Sun through the Earth's atmosphere, and the detected signal is atten-111 uated by an amount that depends on the altitude, the channel spectral response and the 112 atmospheric composition. The mean observation latitude varies between  $45^{\circ}$  and  $65^{\circ}$  (25° 113



Figure 1. Chart of measurements of thermospheric neutral species over time and altitude range sampled. Measurements by LYRA, the topic of this paper, are shown in yellow.

and 50°) North over an occultation season at the Dawn (Dusk) terminator as illustrated in Figure 2 of Thiemann et al. (2017). Simulations show that the measurements are fairly localized in the line of sight direction, with 70% of the observed column density located within 400 to 475 km of the terminator, which corresponds with ~15 minutes of solar local time.

LYRA monitors the full-disk solar irradiance at high cadence (nominally 20 Hz, re-119 sampled to 1 Hz for occultations) in four broad channels: Lyman-alpha (120-123 nm), 120 Herzberg (190-222 nm), Al (.1-80 nm), and Zr (.1-20 nm), and three redundant units: 121 The primary unit, used for monitoring the Sun in a quasi-uninterrupted way; the back-122 up unit, used for special observation campaigns including solar occultations because of 123 its more accurate calibration; and a third unit, reserved for calibration purposes. Prior 124 to November 2017, the back-up unit nominally made occultation observations for a sin-125 gle orbit per day during eclipse seasons. Densities were retrieved from these data by Thiemann 126 et al. (2017) and made publicly available. These data are the starting point of this pa-127 per (see Section 5 for data access details). Beginning in November 2017 through the present, 128 LYRA began making occultation observations with the back-up unit every orbit during 129 eclipse season. The higher cadence data have yet to be processed for atmospheric den-130 sities, and analysis of these higher cadence data are planned for a future study. 131

The spectral response of the instrument was measured prior to launch during cal-132 ibration campaigns at the Physikalisch-Technische Bundesanstalt (PTB) Berlin Electron 133 Storage Ring Society for Synchrotron Radiation (BESSY) II synchrotron as reported in 134 BenMoussa et al. (2009). However, continuous solar exposure has caused severe degra-135 dation of the primary unit that significantly modifies the instrument spectral response, 136 which is suspected to the result of the formation of C layer on the optics (BenMoussa 137 et al., 2013). The backup unit also shows signs of degradation, but to a lesser extent. 138 The Zr channel seems to be the least sensitive to degradation, although, the signal ac-139 quired by this channel has decreased by approximately 30% between January, 2010 and 140 April, 2017. The reduced Zr channel degradation can be explained by the fact that this 141 channel is mostly sensitive to short wavelengths (below 20 nm), where the absorption 142 cross-section of the C contaminant is smaller. However, the presence of the C contam-143

inant will bias the atmospheric densities deduced from the absorption by the Earth at mosphere and Thiemann et al. (2017) established a series of correction factors for the
 retrieved densities to account for the instrument degradation.

Thermospheric temperature and composition are retrieved from the LYRA total 147 number density profiles described in Thiemann et al. (2017). These LYRA density data 148 consist of summed [O] and  $[N_2]$  from 150 - 350 km derived from the LYRA Zr channel. 149 The similarity of the O and  $N_2$  absorption cross-sections over the LYRA Zr channel band-150 pass result in photon absorption by an O atom being indistinguishable from that by an 151  $N_2$  molecule. In principle, including measurements from the Al channel in the density 152 retrieval algorithm would provide the additional constraint needed to distinguish [O] from 153  $[N_2]$ . However, this channel has suffered from uncorrected degradation and its response 154 function is presently ill-determined, necessitating an alternate approach for determin-155 ing atmospheric composition and ultimately temperature from the LYRA  $[O]+[N_2]$  pro-156 files. 157

The approach presented here leverages the well-established understanding of thermospheric structure in lieu of additional observations to provide the necessary constraints to derive temperature and composition from the LYRA  $[O]+[N_2]$  profiles. Namely, the temperature as a function of altitude (z) generally follows the relation first presented by Bates (1959),

$$T(z) = T_{exo} \left[ 1 - a \exp\left(-\tau\zeta\right) \right],\tag{1}$$

where  $T_{exo}$  is the exospheric temperature,  $\zeta$  is the geopotential height, and a and  $\tau$  are constants. The latter three terms are defined as

$$\zeta = \int_{z_0}^{z} \frac{g(z)}{g(z_0)} dz \tag{2}$$

$$a = 1 - \frac{T(z_0)}{T_{exo}} \tag{3}$$

$$\tau = \frac{1}{T_{exo} - T(z_0)} \left(\frac{dT}{dz}\right)_{z=z_0} \tag{4}$$

where g is gravity and  $z_0$  is some reference altitude. Assuming diffusive equilibrium, vertical density structure for species s,  $n_s$ , is governed by (e.g. Schunk and Nagy (2009))

$$n_s(z) = n_s(z_1) \frac{T(z_1)}{T(z)} \exp\left(-\int_{z_1}^z \frac{m_s g}{k_B T} dz'\right),$$
(5)

where  $z_1$  is some reference altitude (which can differ from  $z_0$ ) and  $k_B$  is Boltzmann's con-167 stant. Strictly speaking, equation (5) only holds for inert gases such as N<sub>2</sub>. However, agree-168 ment was found (not shown here) to be within 1% above 150 km between [O] profiles 169 using equation (5) and those from the NRL-MSISE-00 empirical model (Picone et al. (2002); 170 herafter MSIS) for the LYRA observing locations and times. Below 150 km, photochem-171 ical production of [O] becomes increasingly important, causing the approximation of equa-172 tion (5) to break down. Equation (5) is fit against the LYRA  $[O] + [N_2]$  measuremen-173 sts (constraining T with equation (1)) using the Levenberg-Marquardt non-linear least-174 squares fitting method (e.g. Press et al. (2007)), while forcing  $T_{exo}$ ,  $a, \tau, n_o(z_1), n_{N2}(z_1)$ 175 to remain within values physically consistent with the  $[O]+[N_2]$  profiles as discussed be-176 low. 177

Initial values of  $T_{exo}$ ,  $n_o(z_1)$  and  $n_{N2}(z_1)$  are estimated from the measured [O]+[N<sub>2</sub>] profiles. These profiles typically extend to 350 km near solar minimum (and above 400 km near solar maximum). At these upper altitudes, [O] is expected to be the major species

and the temperature is nearly or completely isothermal. As such, an exponential fit of 181 the  $[O]+[N_2]$  profiles at the highest observed altitudes provides an initial estimate of [O(z)]182 at high altitudes, and exospheric temperature  $(T_{exo})$  is estimated from the fitted scale 183 height, H, according to  $H = k_B T / m_o g$ . Further, the difference of the measured  $[O(z)] + [N_2(z)]$ 184 and fitted [O(z)] values, provides an initial estimate of  $[N_2(z)]$ . Initial estimates of  $n_o(z_1)$ 185 and  $n_{N2}(z_1)$  are found directly from the initial  $[N_2(z)]$  and [O(z)] estimates at an alti-186 tude where the temperature is nearly isothermal and the signal to noise ratio (SNR) is 187 relatively large. It was found through trial and error that  $[N_2]$  values of  $2 \times 10^8$  cm<sup>-3</sup> 188 provide a good balance between sufficient SNR and isothermality. As such,  $z_1$  is defined 189 where  $n_{N2}(z_1) = 2 \times 10^8 \text{ cm}^{-3}$  from the initial  $[N_2(z)]$  approximation. Figure 2 (a) 190 shows an example  $[O]+[N_2]$  measured profile with a black curve, and initial estimates 191 of the [O] and  $[N_2]$  profiles with red-dashed and blue-dotted curves, respectively. The 192 corresponding initial estimates of  $n_o(z_1)$  and  $n_{N2}(z_1)$  are also shown. 193

Initial values of a and  $\tau$  are estimated using linear fits between these parameters and  $T_{exo}$  found using MSIS for all LYRA observing times and locations. Figures 2 (b) and(c) show how a and  $\tau$  vary as functions of  $T_{exo}$ , with the corresponding first-order linear fits overplotted.  $\tau(T_{exo})$  is found to have a markedly more shallow slope for values of  $T_{exo}$  above 950 K, requiring two separate fits to best represent the functional relationship. The fit coefficients and standard deviations ( $\sigma$ ) are reported in the figures.

Prior to retrieving temperature and composition, the LYRA  $[O]+[N_2]$  profiles un-200 dergo minor corrections suggested in Thiemann et al. (2017) but not applied to the pub-201 licly available data. First, correction factors for optical degradation in the LYRA instrument reported in Table 1 of Thiemann et al. (2017) are applied to the profiles. Second, 203 204 the retrieval systematic bias is removed using the error profile reported in Figure 2d of Thiemann et al. (2017). Third, latitudinal variability is corrected as follows: For a typ-205 ical LYRA solar occultation profile, the observing latitudes varies by approximately  $10^{\circ}$ 206 between 150 and 350 km altitude. This latitudinal variation must be corrected for prior 207 to fitting the LYRA data to equation (5) because equation (5) assumes the atmospheric 208 density profile is perfectly vertical. MSIS is used to calculate correction factors at each 209 altitude by first predicting  $[O]+[N_2]$  profiles at the exact LYRA observing latitudes and 210 altitudes  $(n_{MSIS,true}(z))$  and at the mean LYRA observing latitude  $(n_{MSIS,mean}(z))$ . 211 The measured LYRA profiles are corrected to the corresponding fixed mean latitude by 212 multiplying them by  $n_{MSIS,mean}(z)/n_{MSIS,true}(z)$ . An example of this correction pro-213 file for 30 December 2013 is shown in Figure 2(d). 214

The IDL Levenberg-Marquardt solver mpfit.pro is used to find the optimal values 215 of  $T_{exo}$ ,  $n_o(z_1)$ ,  $n_{N2}(z_1)$ , a and  $\tau$  by fitting equation (5) (which, in turn, depends on equa-216 tion (1) ) to the latitude-corrected LYRA  $[O(z)]+[N_2(z)]$  measurements. The fit is ini-217 tialized using the initial values described above.  $T_{exo}$  is allowed to range between 0.9 and 218 1.1 of its initial value.  $n_o(z_1)$  and  $n_{N2}(z_1)$  are allowed to range between 0.5 and 1.5 of 219 their initial values. And a and  $\tau$  are allowed to vary by their 1- $\sigma$  fit-error values (reported 220 in Figure 2). The range of allowed variability for the fit parameters was optimized by 221 trial and error to minimize the random uncertainty and systematic error of the retrieval 222 algorithm. 223

The random uncertainty and systematic error of the temperature and composition 224 retrieval algorithm are quantified by generating composition-resolved density and tem-225 perature profiles for each LYRA observing latitude and time. Synthetic LYRA measure-226 ments are found by summing the MSIS [O] and  $[N_2]$  estimates and adding random noise 227 corresponding to the expected measurement random uncertainty at each altitude. Tem-228 perature and composition are retrieved from these synthetic measurements using the meth-229 ods described above. The retrieved T, [O] and [N<sub>2</sub>] profiles are compared with those pre-230 dicted by MSIS and the mean fractional difference defines the systematic error while the 231 standard deviation of the fractional difference defines the random uncertainty of the re-232 trieval. The errors and uncertainties are reported in Figure 3. The temperature random 233



Figure 2. Estimates of constraints on fitted parameters. (a) An exponential fit (red-dashed curve) to the  $[O]+[N_2]$  LYRA measurements (black curve) provides an estimate of the [O] profile at high altitudes, and  $T_{exo}$  can be derived from the fitted scale-height. The difference of the black and red-dashed curves provides an estimate of the  $[N_2]$  profile at high altitudes. The asterisks indicate estimates of  $n_o(z_1)$  and  $n_{N2}(z_1)$  used to fit equation (5) to the  $[O]+[N_2]$  measurements. (b) Scatter-plot and linear fit of a and  $T_{exo}$  derived from MSIS for the LYRA observing locations and times. (c) Scatter-plot and linear fit of  $\tau$  and  $T_{exo}$  derived from MSIS for the LYRA observing locations and times. (d) Example correction factor for verticalizing  $[O]+[N_2]$  profile measured on 30-Dec-2013.

uncertainty ranges between 2 and 5 %, while the temperature systematic error ranges 234 from -5% at the lowest altitudes to  $\sim +2\%$  above 200 km. The [O] uncertainty and er-235 ror are below 10% above  $\sim$ 175 km. The [O] random uncertainty increases with decreas-236 ing altitude, likely due to the decreasing relative O abundance with decreasing altitude. 237 The  $[N_2]$  profiles have larger random uncertainty and systematic error, likely due to their 238 lower relative abundance, in particular at altitudes where  $n_{N2}(z_1)$  is determined. The 239  $[N_2]$  random uncertainty increases with increasing altitude as the relative  $N_2$  abundance 240 decreases. The  $[O]/[N_2]$  systematic error is below 20% below 300 km, while the random 241 uncertainty ranges from  $\sim 20\%$  to 30%. Note, the systematic error is a bias in the re-242 trieval and, therefore, can be removed from the data by scaling the data by  $1/(1-\epsilon_s(z))$ , 243 where  $\epsilon_s(z)$  is the systematic error reported in Figure 3. The data described and reported 244 in this paper and made publicly available have not removed the systematic error and it 245 should be considered when interpreting these results. 246



**Figure 3.** Random uncertainty and systematic error for the retrieved parameters. See text for details.

# 247 3 Results

**Table 1.** Coefficients for the temperature and abundance ratio data shown in Figures 4 - 7. Coefficients are found for each altitude and local time plotted. r is the Pearson correlation coefficient and  $\frac{d}{EUV}$  is the sensitivity of the parameter to solar EUV irradiance (i.e. the fit slopes in the figures).

Parameter	Altitude	Dawn $\boldsymbol{r}$	Dawn $\frac{d}{EUV}$	Dusk $r$	Dusk $\frac{d}{EUV}$
Temperature	$170 \mathrm{km}$	0.71	$66 \mathrm{K} \mathrm{m}^2/\mathrm{mW}$	0.82	$69 \mathrm{K} \mathrm{m}^2/\mathrm{mW}$
Temperature	$250 \mathrm{km}$	0.72	$80 \text{ K m}^2/\text{mW}$	0.84	$89 \text{ K m}^2/\text{mW}$
Temperature	$350 \mathrm{~km}$	0.69	$92 \text{ K m}^2/\text{mW}$	0.84	$97 \text{ K m}^2/\text{mW}$
$[O]/[N_2]$	$170 \ \mathrm{km}$	0.13	$0.24 \text{ m}^2/\text{mW}$	0.18	$0.32 \text{ m}^2/\text{mW}$
$[O]/[N_2]$	$250 \mathrm{~km}$	0.08	$0.38 \text{ m}^2/\text{mW}$	0.10	$0.49 \text{ m}^2/\text{mW}$
$[O]/[N_2]$	$350~\mathrm{km}$	0.033	$-0.20 \text{ m}^2/\text{mW}$	0.032	$0.81 \text{ m}^2/\text{mW}$
$[O] = [N_2]$ Altitude		-0.42	$-16 \text{ km} \cdot \text{m}^2/\text{mW}$	-0.70	$-27 \text{ km} \cdot \text{m}^2/\text{mW}$
MSIS Temperature	$170 \mathrm{~km}$	0.94	$62~{ m K}~{ m m}^2/{ m mW}$	0.93	$73~{ m K}~{ m m}^2/{ m mW}$
MSIS Temperature	$250~{\rm km}$	0.93	$87~{ m K}~{ m m}^2/{ m mW}$	0.95	$118 \mathrm{K} \mathrm{m}^2/\mathrm{mW}$
MSIS Temperature	$350~\mathrm{km}$	0.92	$93 \mathrm{K} \mathrm{m}^2/\mathrm{mW}$	0.95	$138 \mathrm{K} \mathrm{m}^2/\mathrm{mW}$



Figure 4. Relationship between thermospheric temperature and EUV irradiance at the dawn (top row) and dusk (bottom row) terminator at 170, 250 and 350 km.

The LYRA temperature and composition data are publicly available at https://proba2.sidc.be/data/lyra/OplusN2. In this section, we present an initial analysis that compares changes in temperature and composition to solar EUV irradiance and compares these results to the MSIS model as a proxy for prior measurements. Additionally, exospheric temperature sensitivities to EUV variability are derived and compared with similar measurements made at Mars.

Figure 4 shows how the observed thermospheric temperature varies with ionizing 254 solar irradiance. Integrated 0-103 nm solar irradiances are computed from the Flare Ir-255 radiance Spectral Model 2 (FISM2; Chamberlin et al. (2020)) for days corresponding 256 with the LYRA observations. Dawn and dusk temperature data are considered separately, and scatter plots of temperatures at 170 km, 250 km and 350 km are shown. Linear fits 258 between temperature and irradiance are over-plotted in red. From Figures 4 e and f, the 259 relationship between temperature and EUV irradiance appears somewhat non-linear, with 260 the slope tending to flatten at higher irradiances. A red dashed line is plotted in panel 261 f to guide the eye. This non-linearity indicates that the dusk terminator temperature be-262 comes less sensitive to EUV irradiance either as it warms or as the irradiance intensity 263 increases. For this paper, only coefficients from first-order linear fits are considered. Table 1 shows the Pearson correlation coefficients (r-values) and the fit slopes in the first 265 three rows. These same parameters are calculated for MSIS temperature predictions at 266 the same local times and altitudes, and are shown in the last three rows of Table 1. 267

Comparing the LYRA and MSIS coefficients, the MSIS r-values are higher than those from the observations, which is to be expected since MSIS does not include short time-



**Figure 5.** Comparison of LYRA measured (red) and MSIS modeled (blue) temperatures at the dawn (top row) and dusk (bottom row) terminator at 170, 250 and 350 km. The x-axis corresponds with observation number and are not continuous in time. The symbols distinguish the corresponding year as defined in the legend.

scale variability (e.g. wave activity and sub-daily solar and geomagnetic fluctuations). 270 The dawn temperature EUV sensitivity (defined as the slope of temperature versus EUV 271 irradiance, dT/dEUV) derived from the LYRA and MSIS data are comparable at the 272 Dawn terminator, but markedly different at and above 250 km at the Dusk terminator. 273 The LYRA and MSIS temperatures are compared directly in Figure 5, which confirms 274 the results of Table 1, showing good agreement at the Dawn terminator but disagree-275 ment at the higher altitude Dusk terminator. The data plotted in Figure 5 begin in 2010 276 and end in 2017; see the legend at the top of the figure to identify individual years. The 27 impression of the solar cycle is clear, with the warmest temperatures corresponding with 278 solar maximum. Considering panels e and f, the LYRA temperatures tend to be cooler 279 than those from MSIS, with the difference increasing with increasing solar activity. 280

The dependence of composition on solar EUV irradiance is shown in Figures 6 and 281 7. Figure 6 shows that the  $[O]/[N_2]$  increases with increasing solar EUV irradiance at 282 both dawn and dusk and all altitudes except for the highest Dawn altitudes. However, 283 the correlations are much smaller than those between temperature and solar EUV irra-284 diance. The fourth through sixth columns in Table 1 report the r-values and abundance 285 EUV sensitivities (defined as the slope of  $[O]/[N_2]$  versus EUV irradiance,  $\frac{d[O]/[N_2]}{dEUV}$ ). Fig-286 ure 7 shows the altitude where [O] equals  $[N_2]$  versus solar EUV irradiance for Dawn and 287 Dusk. Above this altitude, O is the dominant major species, while below,  $N_2$  is the dom-288 inant major species. As solar activity increases, the altitude at which [O] becomes the 289

- dominant species decreases. The corresponding slopes and r-values are reported in Ta-
- <sup>291</sup> ble 1. It is notable that the correlation between the major species transition altitude and
- solar EUV irradiance is markedly higher than the correlation between  $[O]/[N_2]$  versus

<sup>293</sup> EUV irradiance at a fixed altitude.



Figure 6. Relationship between  $[O]/[N_2]$  and EUV irradiance at the dawn (top row) and dusk (bottom row) terminator at 170, 250 and 350 km

#### <sup>294</sup> 4 Discussion

LYRA solar occultations provide observations of thermospheric temperature and 295 major species (O and  $N_2$ ) density and composition, made annually at the northern hemi-296 sphere from late-2010 through the present, spanning nearly an entire solar cycle. The 297 longevity of the measurement and its inherent constancy in local-time lend these data to be particularly useful for examining long-term (weeks to years) trends in thermospheric 299 variability. The random uncertainty of the temperature measurement is very low,  $\sim 2$ -300 5%, while the [O] uncertainty is slightly higher. The  $[N_2]$  uncertainty exceeds 20% above 301 200 km as a result of its decreasing relative abundance making it increasingly difficult 302 to distinguish it in the primary  $[O] + [N_2]$  measurement. These uncertainties are com-303 parable to those reported for TIMED/GUVI limb scans in Meier et al. (2015), with the 304 temperature uncertainties being approximately the same for both, and the  $[O]([N_2])$  un-305 certainties for LYRA being smaller (larger) than those for GUVI. 306

The physical relationship between solar EUV irradiance and thermospheric temperature is complex, beginning with photoionization or photodissociation of neutral constituents that ultimately heat the neutrals through multiple pathways. The primary pathway for neutral heating is through exothermic chemical reactions while heating through



Figure 7. Altitude where [O] equals  $[N_2]$  versus EUV irradiance at the (a) dawn and (b) dusk terminators.

neutral collisions with electrons and ions plays a secondary role (Torr et al., 1980). This 311 heat is then dissipated via downward thermal conduction and radiative cooling, with 5.3 312  $\mu m$  NO emissions being the dominant radiative cooling pathway (R. Roble & Emery, 313 1983). The balance between heating and cooling along with the atmosphere's heat ca-314 pacity determines the ultimate temperature of the thermosphere. Despite this complex-315 ity, these LYRA measurements show the relationship between temperature and ioniz-316 ing solar EUV input is fairly linear, with a value of approximately  $85 \text{ K-m}^2/\text{mW}$  in the 317 middle thermosphere at the terminator. A close examination of Figure 4 shows some non-318 linearity, with the slope of the relationship flattening at higher EUV irradiance values. 319 an effect that is more pronounced at the high altitude dusk terminator. These results 320 are consistent with the dependence of the MSIS global mean temperature on solar EUV 321 input, which can be approximated as linear but has a negative inflection (e.g. see Fig-322 ure 6 in A. Hedin and Mayr (1987)). More recent measurements using TIMED/GUVI 323 between 2002 and 2008 showed a similar relationship between exospheric temperature 324 and EUV flux (Y. Zhang & Paxton, 2011). The example non-linear fit in Figure 4f of 325 this paper uses the form of Equation (2) from Y. Zhang and Paxton (2011). 326

The physical relationship between the relative O and  $N_2$  abundance and solar EUV input can be explained by thermal expansion: Beginning with profiles for [O] and  $[N_2]$ of the approximate form,

$$[O(z)] = [O(z_0)] \exp\left(-\frac{m_O g(z)}{kT}(z - z_0)\right)$$
(6)

330

and

$$[N_2(z)] = [N_2(z_0)] \exp\left(-\frac{m_{N2}g(z)}{kT}(z-z_0)\right)$$
(7)

and setting  $[O(z)] = [N_2(z)]$ , it is straightforward to show that the altitude where [O] equals [N<sub>2</sub>] (and above which  $[O] > [N_2]$ ) is dependent on temperature according to

$$z(O = N_2) = z_0 - \frac{k \ln \left( [O(z_0)] / [N_2(z_0)] \right)}{g \left| m_{N2} - m_O \right|} T.$$
(8)

Since T has a fairly linear dependence on the solar EUV irradiance, as the solar EUV input increases, T increases, causing the altitude at which [O] equals  $[N_2]$  to decrease, consistent with Figure 7. A secondary result is the increase of  $[O]/[N_2]$  with EUV irradiance. Although Figure 6 shows  $[O]/[N_2]$  becomes less correlated with solar EUV irradiance at high altitudes, this may simply be a result of  $[O]/[N_2]$  becoming increasingly difficult to measure at high altitudes and the higher corresponding uncertainty could be masking the actual trend.

The comparisons between LYRA and MSIS show very good agreement at the dawn 340 terminator but disagreement at the dusk terminator above 250 km. Because MSIS is an 341 empirical model, comparisons with MSIS are effectively comparisons with the measure-342 ments against which MSIS has been calibrated. Considering first the dawn terminator, 343 the mean differences at 170, 250 and 350 km are 21, 4, and 14 K (where a positive value 344 indicates a warmer LYRA temperature) and the standard deviations are 51, 58, 69 K, 345 respectively. These offsets and standard deviations are comparable with those between 346 MSIS and the measurements used to calibrate MSIS reported in Table 2c of A. E. Hedin 347 (1987). Since the atmosphere at the dawn terminator is representative of nightside con-348 ditions, given that it has co-rotated from the nightside shortly prior to being measured, 349 these comparisons suggest that thermospheric variability over a solar cycle is well cap-350 tured by the existing data record not only at the terminator but the nightside as well. 351

It is important to note that, while LYRA makes an independent measurement of 352  $T_{exo}$ , there may be some dependence of the LYRA temperature profiles on MSIS based 353 on the constraints placed on the shape of the LYRA temperature profile. As discussed 354 in Section 2, the values of a and  $\tau$  in Equation 1 are forced to be within one standard 355 deviation of the a and  $\tau$  values predicted by MSIS for a given  $T_{exo}$ . Since the shape of 356 the temperature profile is determined by a and  $\tau$ , it is not surprising to find that if LYRA 357 and MSIS have comparable temperatures at high altitudes, they also tend to agree at 358 lower altitudes as is apparent at the dawn terminator. As is discussed next, the trends 359 between LYRA and MSIS observed at the dusk terminator show that significantly dif-360 fering values of  $T_{exo}$  between LYRA and MSIS can still result in better agreement at lower 361 altitudes. Therefore, constraining a and  $\tau$  to be consistent with MSIS still allows the tem-362 perature structure observed by LYRA to differ significantly from that predicted by MSIS. 363

The discrepancy at the dusk terminator between LYRA and MSIS, which increases 364 with solar activity, is more difficult to explain. MSIS derives its temperatures at these 365 altitudes from in-situ measurements made by the Atmospheric Explorer satellites flown 366 in the 1970s and ground based incoherent scatter radar (ISR) measurements made from 367 stations at Millstone Hill and Arecibo. The Atmospheric Explorer C-E probes measured 368 atmospheric profiles of temperature early in their respective missions during, when their 369 orbits were highly elliptical prior to being circularized at approximately 400 km to ex-370 tend mission lifetime. The Atmospheric Explorer C-E probes measured temperature from 371 1973 to 1978 at a time when the solar cycle was in a trough of moderate to low levels 372 of EUV intensity (the 90-day average F10.7 flux was below 100 SFU over this period). 373 This under-sampling of in-situ measurements at higher solar EUV intensity could explain 374 why the discrepancy between LYRA and MSIS at the dusk terminator is exacerbated 375 near solar maximum. In other words, the available data at dusk local-times is inadequate 376 to predict the flattening of the Temperature-Irradiance relation at higher solar irradi-377 ances. However, in-situ measurements are only one component of the MSIS data record. 378

The under-sampling of solar activity of the AE probes is compensated for with additional ISR measurements in the NRLMSISE-00 version of the model used in this study.

Neutral temperatures are derived from ISR ion temperature measurements by fit-381 ting a model of ion heat balance and chemistry to the measured ion temperature pro-382 file. The available ISR database used by NRLMSISE-00 is well-distributed in both lo-383 cal time and solar activity (Buonsanto & Pohlman, 1998). The retrieval of neutral tem-384 perature from ISR ion temperature measurements requires knowledge of the neutral den-385 sity and composition, which is derived from the prior MSIS version, MSIS-86 (A. E. Hedin, 386 1987). We speculate that the complexity and underlying assumptions of the ISR tem-387 perature retrieval and its dependence on MSIS for neutral density and composition could 388 contribute to the observed discrepancy. For example, it is unclear whether erroneous es-389 timates of neutral density and composition by MSIS-86 at the terminator during high 300 levels of solar activity causes the observed discrepancy between MSIS and LYRA. For-391 tunately, this hypothesis can be tested with ISR measurements that overlap with the past 392 LYRA campaigns, if they exist, or planned future measurements if no adequate measure-393 ments exist in the historical archive. However, such an analysis is beyond the scope of this paper. 395

Some of the discrepancy between LYRA and MSIS can be attributed to an increase 396 of relative CO<sub>2</sub> abundance during the LYRA epoch as compared to the epoch over which 397 much of the MSIS calibration data were collected decades earlier. The thermosphere is 398 expected to cool with increasing relative  $CO_2$  abundance by  $15\mu$ m radiation excited via 399 collisions between O and  $CO_2$  (R. Roble & Dickinson, 1989). Simulations predict that 400 the expected change in diurnally averaged temperature at 350 km due to  $CO_2$  cooling 401 is approximately 11 K from 1977 to 2017 (Solomon et al., 2018) with CO<sub>2</sub> cooling be-402 ing more pronounced near solar minimum (Qian et al., 2006). This has been supported 403 by ISR observations showing a 4K/decade (or 16 K over 40 years) cooling trend at 350 404 km (S.-R. Zhang & Holt, 2013). Further, S.-R. Zhang and Holt (2013) showed that ther-405 mospheric  $CO_2$  induced cooling is highly sensitive to local time with the temperature 406 change at midnight at 350 km being near zero, while being nearly 80 K at noon at 350 407 km. They estimated that the  $CO_2$  cooling rate measured at dusk at 350 km is ~-0.5 K/year 408 while the cooling rate measured at dawn is near 0 K/year. Indeed, the LYRA measure-409 ments in 2017, near solar minimum when  $CO_2$  cooling is expected to be more pronounced, 410 are consistent with what is expected from anthropogenic climate change, with the tem-411 perature change at dawn being 5 K cooler and that at dusk being 24 K cooler than MSIS 412 estimates. It should be noted that because the NRLMSISE-00  $T_{exo}$  measurements are 413 derived from data ranging from the 1970s through the late 1990s, it is difficult to put 414 a reference year on the data for characterizing long-term change. 1977 is chosen for sim-415 plicity to show qualitatively that anthropogenic cooling can cause some of the observed 416 discrepancy. 417

The variation of the discrepancy with solar activity between LYRA and MSIS tem-418 peratures at the dusk terminator at 350 km are comparable in magnitude to those re-419 ported between GUVI and MSIS in Meier et al. (2015), but opposite in sign. Meier et 420 al. (2015) reported the difference between MSIS predictions and GUVI measurements 421 of  $T_{exo}$  decreased by ~100 K with increasing solar activity from 2002 to 2007, while Fig-422 423 ure 5f shows a  $\sim 100$  K *increase* in the difference between MSIS predictions and LYRA measurements of  $T_{exo}$  with increasing solar activity over a comparable period of solar 424 activity occurring between 2013 and 2017. Since GUVI and LYRA observe different lo-425 cal times, with GUVI observing the dayside away from twilight, and LYRA observing 426 exclusively at twilight, the different trends observed by GUVI and MSIS may be related 427 to local times observed. The cause for the LYRA-MSIS discrepancy at the dusk termi-428 nator beyond what is expected from  $CO_2$  cooling is under investigation. Since much steeper 429 temperature gradients are expected at the dusk terminator than the dawn terminator 430 in winter hemisphere (e.g. Bougher et al. (2000)), it may be that the MSIS-LYRA dis-431

crepancy reflects that the MSIS data record does not have sufficient local-time resolu tion at the dusk terminator.

The LYRA mission overlaps in time with EUV solar occultations made at Mars by 434 the Extreme Ultraviolet Monitor (EUVM) onboard the Mars Atmosphere and Volatile 435 EvolutioN (MAVEN) mission, allowing for the comparison of EUV sensitivities measured 436 at Earth and Mars at the same local times during similar, if not identical, levels of so-437 lar activity. Comparative modeling studies incorporating contemporaneously measured 438 EUV sensitivities can help constrain the understanding of the thermal balance of the Mars 439 thermosphere, which is less well understood than that of Earth (Forbes et al., 2006). Thiemann 440 et al. (2018) reported values for dT/dEUV measured at Mars between 2014 and 2017 441 at various seasons and latitudes. Considering only cases for when the correlation r-value 442 between temperature and solar EUV irradiance exceeds 0.7, the average values for dT/dEUV 443 are 48 and 46  $\text{Km}^2/\text{mW}$  at the dusk and dawn terminator, respectively, for exospheric 444 temperatures. These values should be decreased by  $\sim 5\%$  prior to comparing with dT/dEUV 445 values shown in Table 1 to account for a spectral range of 0-93 nm used by Thiemann 446 et al. (2018) in their calculations, which is consistent with the range of ionizing flux in 117 the  $CO_2$  dominated Mars atmosphere. The Mars exospheric EUV sensitivities are cal-448 culated to be 0.47 of those found at Earth and reported in Table 1 , with the fraction 449 being the same at both terminators. In other words, the Mars thermosphere is less sen-450 sitive to EUV forcing and requires twice the change of EUV irradiance as is required at 451 Earth to induce the same change in temperature. This value is nearly identical to the 452 Mars/Earth dT/dEUV fraction of 0.46 found by Forbes et al. (2006) during 2 periods 453 of significant solar EUV modulation due to solar-rotations. 454

# 455 5 Conclusions

LYRA provides a new data record of thermospheric temperature and composition with
adequate accuracy for characterizing long term trends in the thermosphere. Trends of
temperature with EUV variability are approximately linear, with a decreasing slope at
high levels of activity. This relation is consistent with prior measurements. Trends of composition measurements with EUV variability are consistent with thermal expansion of
the diffusively separated thermosphere.

462 2. Thermospheric temperatures measured by LYRA are in good agreement with expec-463 tations based on the historical data record at the dawn terminator, but anomolously cool 464 at the dusk terminator. Some of the disagreement at the dusk terminator, near periods 465 of low solar activity in particular, is consistent with the expected cooling due to the an-466 thropogenic increase of CO<sub>2</sub>. However, the increase of the discrepancy with solar activ-467 ity is not understood.

Comparing exospheric EUV temperature sensitivities at Earth and Mars show that
Mars is 0.47 as sensitive to EUV variability as is Earth, this value is is excellent agreement with a prior estimate by Forbes et al. (2006).

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477 FISM-2 spectral irradiance data were downloaded from https://lasp.colorado.edu/lisird/

- <sup>479</sup> The Levenberg-Marquardt method was applied using mpfit.pro available through the IDL<sup>480</sup> Astro library.
- 481 MSIS outputs were produced using the NRLMSISE-00 code available through the NASA
- 482 Community Coordinated Modeling Center at
- https://ccmc.gsfc.nasa.gov/pub/modelweb/atmospheric/msis/nrlmsise00/.
- <sup>484</sup> Thermospheric temperature and composition are retrieved from the LYRA total num-
- ber density profiles described in Thiemann et al. (2017) and publicly available on the web
- at https://proba2.sidc.be/data/lyra/OplusN2.

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