Triple oxygen isotope distribution in modern mammal teeth and potential geologic applications

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Abstract

Reconstructing water availability in terrestrial ecosystems is key to understanding past climate and landscapes, but there are few proxies for aridity that are available for use at terrestrial sites across the Cenozoic. The isotopic composition of tooth enamel is widely used as paleoenvironmental indicator and recent work suggests the potential for using the triple oxygen isotopic composition of mammalian tooth enamel ([?]'17Oenamel) as an indicator of aridity. However, the extent to which [?]'17Oenamel values vary across environments is unknown and there is no framework for evaluating past aridity using [?]'17Oenamel data. Here we present [?]'17Oenamel and δ 18Oenamel values from 50 extant mammalian herbivores that vary in physiology, behavior, diet, and water-use strategy. Teeth are from sites in Africa, Europe, and North America and represent a range of environments (humid to arid) and latitudes (34S to 69N), where mean annual δ 18O values of meteoric water range from -26.0to 2.2(-283 to -137 per meg, where 1 per meg = 0.001in [?]'17Oenamel values increases with aridity, forming a wedged-shape pattern in a plot of aridity index vs. [?]'17Oenamel that persists regardless of region. In contrast, the plot of aridity index vs. δ 18Oenamel for these same samples does not yield a distinct pattern. We use these new [?]'17Oenamel data from extant teeth to provide guidelines for using [?]'17Oenamel data from fossil teeth to assess and classify the aridity of past environments. [?]'17Oenamel values from the fossil record have the potential to be a widely used proxy for aridity without the limitations inherent to approaches that use δ 18Oenamel values alone. In addition, the data presented here have implications for how [?]'17Oenamel values of large mammalian herbivores can be used in evaluations of diagenesis and past pCO2.

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2	applications
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29	Highlights
30	• We present Δ'^{17} O data from 50 teeth from 7 mammal families and 3 continents.
31	• $\Delta'^{17}O_{enamel}$ values of animals from a single environment span up to 146 per meg.
32	• $\Delta'^{17}O_{enamel}$ is insensitive to geographic variables affecting $\delta^{18}O_{meteoric water}$.
33	• $\Delta'^{17}O_{enamel}$ from arid sites are lower and more variable than from mesic sites.
34	• $\Delta'^{17}O_{enamel}$ can be used as an indicator of aridity.
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37	Keywords
38	Aridity, mammalian teeth, paleoclimate, environment, stable isotopes, triple oxygen isotopes
39	

40 Abstract

41 Reconstructing water availability in terrestrial ecosystems is key to understanding past 42 climate and landscapes, but there are few proxies for aridity that are available for use at 43 terrestrial sites across the Cenozoic. The isotopic composition of tooth enamel is widely used as 44 paleoenvironmental indicator and recent work suggests the potential for using the triple oxygen isotopic composition of mammalian tooth enamel ($\Delta'^{17}O_{enamel}$) as an indicator of aridity. 45 However, the extent to which $\Delta'^{17}O_{enamel}$ values vary across environments is unknown and there 46 is no framework for evaluating past aridity using $\Delta'^{\rm 17}O_{\rm enamel}$ data. Here we present $\Delta'^{\rm 17}O_{\rm enamel}$ and 47 48 $\delta^{18}O_{enamel}$ values from 50 extant mammalian herbivores that vary in physiology, behavior, diet, 49 and water-use strategy. Teeth are from sites in Africa, Europe, and North America and 50 represent a range of environments (humid to arid) and latitudes (34°S to 69°N), where mean annual δ^{18} O values of meteoric water range from -26.0‰ to 2.2‰ (VSMOW). Δ'^{17} O_{enamel} values 51 52 from these sites span 154 per meg (-291 to -137 per meg, where 1 per meg = 0.001‰). The observed variation in $\Delta'^{17}O_{enamel}$ values increases with aridity, forming a wedged-shape pattern 53 54 in a plot of aridity index vs. $\Delta'^{17}O_{enamel}$ that persists regardless of region. In contrast, the plot of aridity index vs. $\delta^{18}O_{enamel}$ for these same samples does not yield a distinct pattern. We use these 55 new $\Delta'^{17}O_{enamel}$ data from extant teeth to provide guidelines for using $\Delta'^{17}O_{enamel}$ data from fossil 56 teeth to assess and classify the aridity of past environments. $\Delta'^{17}O_{enamel}$ values from the fossil 57 58 record have the potential to be a widely used proxy for aridity without the limitations inherent 59 to approaches that use $\delta^{18}O_{enamel}$ values alone. In addition, the data presented here have implications for how $\Delta'^{17}O_{enamel}$ values of large mammalian herbivores can be used in 60 61 evaluations of diagenesis and past pCO_2 .

62 1. Introduction and Background

1.1. Traditional use of oxygen isotopes in tooth enamel as climatic and environmental proxies 63 64 The distribution of oxygen isotopes in marine and terrestrial carbonates (e.g., 65 foraminifera tests, soil and lake carbonates, tooth enamel) has long been used to reconstruct 66 climate, environment, and surface processes (e.g., Zachos et al., 2001; Rowley and Currie, 2006; Blumenthal et al., 2017). Oxygen isotope values (δ^{18} O) of carbonate vary with environmental 67 68 conditions and geography because they reflect the δ^{18} O value of the waters from which they 69 form. The δ^{18} O value of meteoric-derived waters (e.g., rain, rivers, lakes, groundwater) varies 70 relative to climate and hydrology because it is sensitive to both equilibrium (e.g., temperature 71 changes, Rayleigh distillation) and kinetic (e.g., evaporation) oxygen isotope fractionation 72 effects (e.g., Rozanski et al., 1993). However, the influence of these isotope effects on the δ^{18} O 73 values can be difficult to tease apart.

74 Fossil mammalian teeth are found globally, span the Cenozoic, and are used as environmental indicators. The δ^{18} O value of tooth enamel ($\delta^{18}O_{enamel}$) is an alluring climate proxy 75 76 because it often tracks δ^{18} O values of meteoric water, but this relationship is sensitive to an animal's diet, physiology, and water-use strategy (Kohn, 1996). An individual's $\delta^{18}O_{enamel}$ values 77 78 and their use as paleoclimate indicators are impacted by a variety of factors, including the 79 animal's intake of atmospheric O_2 (accounting for 5–40% of oxygen in body water), its water-80 use efficiency, and the degree of evaporation of ingested waters (plant waters, surface waters) relative to local precipitation. Because $\delta^{18}O_{enamel}$ values have a variety of influences, they have 81 82 been used to track a range of processes. Some studies estimate changes in paleotemperature 83 from $\delta^{18}O_{enamel}$ values, relying on the assumption that $\delta^{18}O_{enamel}$ values track the $\delta^{18}O$ value of

84	meteoric water, which vary with temperature at mid to high latitudes (e.g., Fricke et al., 1995).
85	However, this approach does not account for variability in δ^{18} O values of ingested waters within
86	an ecosystem, where leaf and drinking waters can be several per mil (‰) higher than
87	unevaporated meteoric water. Other approaches leverage these differences in evaporation and
88	use $\delta^{18}O_{enamel}$ values from animals with different diets and behaviors to separate the influence of
89	evaporative enrichment on $\delta^{18}O_{enamel}$ values and then estimate past aridity (Levin et al., 2006;
90	Blumenthal et al., 2017). This "aridity index" approach categorizes animals by their water-use
91	strategy where evaporation-insensitive (EI) taxa, like Hippopotamidae, ingest a relatively large
92	amount of drinking water, in contrast to evaporation-sensitive (ES) taxa, like Giraffidae, which
93	require less drinking water. The offset between $\delta^{\mbox{\tiny 18}}O_{\mbox{\tiny enamel}}$ values of ES and EI taxa increases with
94	aridity. While the $\delta^{18}O_{_{enamel}}$ aridity indicator is powerful, it is tuned to Quaternary mammal
95	assemblages in eastern Africa (Blumenthal et al., 2017) and not easily transferrable to older
96	periods or different regions without making assumptions about animal behavior and
97	physiology.

99 1.2. Triple oxygen isotopic composition of waters and carbonates

Triple oxygen isotope (¹⁸O-¹⁷O-¹⁶O) distributions in water and near-surface minerals (e.g.,
carbonate, gypsum) have potential as indicators of aridity because they are sensitive to kinetic
and equilibrium isotope effects and can track the influence of evaporation (Barkan and Luz,
2005; 2007; Li et al., 2017; Surma et al., 2018; Passey and Levin, 2021).
The majority of processes involving oxygen isotopic fractionation on Earth are mass

105 dependent and governed by the power law relationship ${}^{17}\alpha_{a-b} = {}^{18}\alpha_{a-b}^{\theta}$, where the isotopic

fractionation between two materials or phases, a and b, is defined as $\alpha_{a,b} = R_a/R_b$ and R 106 represents the ratio of the heavy to light isotope $({}^{18}O/{}^{16}O, {}^{17}O/{}^{16}O)$ (Matsuhisa et al., 1978; 107 108 Young et al., 2002). Although these relationships have been well known for the past 40 years, 109 differences in the exponent θ were considered too small to detect with most analytical 110 approaches and there was little motivation for analyzing δ^{17} O as it provided the same 111 information as δ^{18} O. However, efforts to increase analytical precision yield empirical and 112 experimental studies that showed measurable distinctions in θ between kinetic and equilibrium 113 fractionation processes. These distinctions are particularly evident in the hydrosphere where θ 114 is 0.529 for equilibrium exchange between water liquid and vapor, but 0.5185 for the diffusion 115 of water through air that occurs during evaporation (Young et al., 2002; Barkan and Luz, 2005; 116 2007).

117 These θ values are equivalent to the slope on a $\delta'^{18}O - \delta'^{17}O$ plot, where $\delta^{x}O = (R_{sample}/$ 118 $R_{standard}$ – 1)*1000 and $\delta'^{x}O = In(R_{sample}/R_{standard})$, and x = 17 or 18. Given the small distinctions in slope that differentiate equilibrium and kinetic fractionation (0.529 vs. 0.5185), we use Δ'^{17} O to 119 120 visualize and discuss triple oxygen isotope variation, where λ represents the slope in the $\delta'^{18}O$ - δ'^{17} O plot and is the mathematical equivalent to θ (Miller, 2002). Larger deviations and more 121 122 negative Δ'^{17} O values reflect a greater influence of evaporation (Figs. 1–2). In this study, we use 123 λ instead of θ to characterize ¹⁸O-¹⁷O-¹⁶O distributions because it represents the relationship between the fractionation of ¹⁸O/¹⁶O and ¹⁷O/¹⁶O values during a combination of processes, 124 125 whereas θ characterizes this relationship for a single process (Barkan and Luz, 2005; 2007). 126 Meteoric-derived waters like rain, river, lake and ground waters have Δ'^{17} O values that range from -56 to +60 per meg (Landais et al., 2006, 2010; Barkan and Luz, 2011; Surma et al., 127

128 2015, 2018; Li et al., 2017; Passey and Ji, 2019; Uechi and Uemura, 2019). However, exceptions 129 include evaporated ponds in the Atacama Desert and in the Sistan Oasis that yield much lower 130 Δ'^{17} O values (~-70 per meg and -167 per meg, respectively) (Surma et al., 2015; 2018; Herwartz 131 et al., 2017). Plant water Δ'^{17} O values are typically more sensitive to evaporation than meteoric-132 derived waters, ranging from -271 to +35 per meg (Fig. 1).

Similar to $\delta^{18}O_{enamel}$ values, $\Delta'^{17}O$ values of mammalian tooth enamel ($\Delta^{17}O_{enamel}$) are influenced by the isotopic composition of food, drinking water, and atmospheric O_2 (Pack et al., 2013). The $\Delta'^{17}O$ value of O_2 is distinct and considerably lower than water, with a value of -432±15 per meg (1 σ) recommended by Pack (2021) based on a compilation of data from the recent literature (Fig. 1). Relative humidity has a particularly strong influence on body water $\Delta'^{17}O$ values of mammalian herbivores because it reflects the degree of evaporation of ingested water (Passey and Levin, 2021; Hu et al., *in revision*).

140 Given the strong influence of evaporation on the oxygen isotopes of body water, we expect $\Delta'^{17}O_{enamel}$ values to vary with environment (Fig. 2B) such that they can be used as 141 142 indicators of past aridity. Models of isotopic fractionation in body water suggest that animals who ingest the majority of their water from plants should have more negative $\Delta'^{17}O_{enamel}$ values 143 144 than animals that drink water regularly due to evaporative enrichment of plant/leaf water 145 relative to surface waters (Passey and Levin, 2021; Hu et al., in revision; Fig. 2C). Given this, we predict that $\Delta'^{17}O_{enamel}$ values will exhibit more variance in arid environments regardless of taxa 146 147 and meteorological/climatic features that vary according to geographic location of a site. Here we present the $\Delta'^{17}O_{enamel}$ values of teeth from 50 extant herbivores from seven 148

149 mammalian families and three continents to demonstrate variation in $\Delta'^{17}O_{enamel}$ values across

- 150 different environments. We then outline approaches to using $\Delta'^{17}O_{enamel}$ records to reconstruct
- 151 past aridity, in addition to its use in assessing post-depositional alteration of enamel oxygen
- 152 isotopes and as a pCO_2 indicator.
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Figure 1: Δ'¹⁷O and δ¹⁸O values of reconstructed body water for extant mammalian herbivores and birds and their primary input sources of oxygen: ingested plant water and drinking water, and inhaled atmospheric O₂. Body water Δ¹⁷O values are calculated from teeth and eggshells (BW Δ'¹⁷O = Δ'¹⁷O_{enamel or eggshell} - (λ -0.528)*(1000*ln(¹⁸α)), where λ = 0.5245, ¹⁸α = 1.0332 for teeth, and ¹⁸α = 1.0332 for eggshells as in Passey et al. (2014)). Meteoric-derived waters are separated into the categories precipitation and subsurface waters, surface waters, and
evaporative ponds. Vertical bars on right show the range of Δ'¹⁷O values for sources of oxygen
for mammals and their reconstructed body waters. Data are from Landais et al. (2006, 2010),
Luz and Barkan (2010), Barkan and Luz (2011), Passey et al. (2014), Li et al. (2017), Surma et al.
(2015; 2018), Herwartz et al. (2017), Passey and Ji (2019), Uechi and Uemura (2019), Whiteman
et al. (2019), Pack et al. (2021); Hu et al. (*in revision*), and this study.





Figure 2: Schematics outlining the variation of $\delta^{18}O_{enamel}$ and $\Delta'^{17}O_{enamel}$ values with aridity. A) 170 The $\delta^{18}O_{enamel}$ value of evaporative sensitive (ES) and evaporative insensitive (EI) taxa from two 171 environments within a single region where the δ^{18} O value of drinking water is constant. B) The 172 $\delta^{\rm 18}O_{\rm enamel}$ and $\Delta'^{\rm 17}O_{\rm enamel}$ values of ES and EI taxa from environments with the same degree of 173 174 aridity but from different regions, where δ^{18} O values of drinking water vary. Dashed gray line indicates how $\delta^{18}O_{enamel}$ values cannot distinguish a circumstance where aridity and input $\delta^{18}O$ 175 176 values vary, whereas this distinction can be made with $\Delta'^{17}O_{enamel}$ values. C) Variation in $\Delta'^{17}O_{enamel}$ values vs. aridity for various locations and taxa spanning a range of behaviors and 177 178 water-use strategies, showing a predicted wedge-shaped pattern. 179 180 181 2. Materials and Methods 182 2.1. Site and sample selection 183 We designed our sample selection to evaluate the triple oxygen isotope distribution of teeth 184 from large (> 6 kg), extant mammalian herbivores that represented a range of water-use 185 strategies and behaviors, continents, latitudes, and climates (Supplementary Table 1). We 186 analyzed teeth from Hippopotamidae (n=4), Elephantidae (n=9), Bovidae (n=9), Castoridae 187 (n=2), Cervidae (n=15) and Giraffidae (n=6). These data were combined with already published 188 data from a Hippopotamidae, Bovidae, and Rhinocerotidae from Passey et al. (2014) and a 189 Cervidae and Bovidae from Hu et al. (in revision). Teeth were collected over the past five 190 decades and many samples have been used in previous studies (Supplementary Table 2). 191 Specimens from Europe are from the Finnish Museum of National History.

193 2.2. Climate and aridity of sites

194	The geographic and climatic parameters for sites for which we report tooth enamel
195	triple oxygen isotope data are listed in Table 1. We extracted mean annual temperature (MAT),
196	precipitation (MAP), potential evapotranspiration (PET), percent relative humidity (rh), and
197	Aridity Index (AI, where AI=MAP/PET) estimates for each location using the WorldClim Global
198	Climate Data raster 1.4, or WorldCim1.4 (Hijmans et al., 2005; Trabucco and Zomer, 2009). We
199	assigned corresponding UNESCO climate classifications to sites using AI data: arid, semi-arid,
200	subhumid, and humid (UNESCO, 1979). The $\delta^{\scriptscriptstyle 18}$ O values of mean annual meteoric water (‰
201	VSMOW) were calculated using waterisotopes.org (Bowen and Revenaugh, 2003).
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203	2.3. Sample preparation and analysis
204	Enamel was removed along the growth axis of the tooth, cleared of dentine and dirt,
205	powdered, and homogenized. Powder was treated with $3\% H_2O_2$ to remove organic material,
206	bathed in buffered acetic acid (0.1 M) to remove secondary carbonate, and dried at 60°C. Analysis
207	of triple oxygen isotopes of enamel followed the procedure outlined in Passey et al. (2014). Briefly,
208	enamel powder (140 – 200 mg per analysis) was placed in silver capsules and reacted in a common
209	bath of 100% phosphoric acid under vacuum at 90°C to extract CO_2 . CO_2 was then reduced to H_2O
210	(Fe powder catalyst, 560°C, 20 minutes), which was then fluorinated by passing through cobalt
211	trifluoride at 370°C. The resultant O_2 was then analyzed by duel inlet isotope ratio mass
212	spectroscopy on a Thermo Scientific MAT 253 at Johns Hopkins University. Samples were analyzed
213	in duplicate. We evaluated the stability of isotope measurements of external carbonate standards,

both international (NBS18 and NBS19) and in-house (102-GC-AZ01) carbonates, and an inhouse CO_2 gas standard (Tank#2 CO_2). Water standards SLAP2 and VSMOW2 were directly injected into the cobalt trifluoride reactor to produce O_2 gas. The pooled standard deviation (1 σ) for the external carbonate and CO_2 standards was 0.9‰ for $\delta^{18}O$ and 10 per meg for $\Delta'^{17}O$ over the time period when the samples were analyzed.

Carbonate oxygen isotope data were normalized to VSMOW2 ($\delta^{18}O=0\%$ and $\delta^{17}O=0$ per 219 meg) and scaled to SLAP2 (δ^{18} O=-55.5‰) using the reference frame Δ'^{17} O_{SLAP2}=0 per meg, where 220 $\delta^{17}O_{SLAP2}$ =-29.6986‰ when $\delta^{18}O_{SLAP2}$ =-55.5‰ and λ=0.528 (Schoenemann et al., 2013). A secondary 221 normalization step was performed for carbonates to correct for offsets between observed and 222 223 accepted δ^{18} O values (Passey et al., 2014). Finally, we compared Δ'^{17} O of international and internal 224 standards analyzed during each session to values reported in Passey et al., 2014 (NBS18=-98 per 225 meg; NBS19=-135 per meg; 102-GC-AZ01=-94 per meg; Tank#2 CO₂=118 per meg). If a significant 226 difference was observed, we applied a correction to all carbonate Δ'^{17} O data from that session 227 based on the residual from the Passey et al. (2014) Δ'^{17} O values, averaged for all standards 228 analyzed within that session. Of the six analytical sessions in this study, three required such correction, with magnitudes of -40 per meg (July 2015), -13 per meg (August 2015 session 1), and -229 230 31 per meg (August 2015 session 2). We note that Wostbrock et al. (2020) report Δ'^{17} O values for CO₂ extracted from NBS18 and NBS19 (25°C reaction) with phosphoric acid of -100 per meg and -231 232 155 per meg, respectively (compared to -98 per meg and -135 per meg in Passey et al., 2014). 233 Sharp and Wostbrock (2021) recommend normalizing $\Delta'^{17}O$ data to the values reported in 234 Wostbrock et al. (2020). We fundamentally agree with this recommendation, but refrain here 235 because our samples were reacted using a different phosphoric acid temperature (90°C instead of

236 25°C in Wostbrock and Sharp, 2020), and it is yet unknown how triple oxygen isotope acid
237 fractionation scales with temperature of acid digestion. Regardless, all data for standards are
238 reported Supplementary Table 3, which will allow for subsequent renormalization of our dataset
239 when the necessary fractionation factors are determined.

All data from analytical sessions are reported in Supplementary Table 4 (i.e., raw and corrections). Data were evaluated using the statistical analytical software JMP 11 produced by the SAS Institute. The ± symbol indicates one standard deviation from the mean and data are frequently reported as mean ±1 σ . Throughout the text, oxygen isotope measurements are described using Δ'^{17} O and δ^{18} O notation, δ -values are reported in per mil (‰), and Δ'^{17} O values are reported in per meg, where 1‰ is 1000 per meg and defined with a reference slope of 0.528.

247 We used pairwise analyses to evaluate isotopic differences between latitudes, families, and climate categories. However, while our $\delta^{18}O_{enamel}$ data are normally distributed, our $\Delta'^{17}O_{enamel}$ data 248 deviate from normality (Supplementary Fig. 1). Due to these differences, we use parametric 249 250 ANOVA tests to evaluate $\delta^{18}O_{enamel}$ and nonparametric Wilcoxon and Kruskal-Wallis tests to 251 evaluate $\Delta'^{17}O_{enamel}$. Differences among-group were evaluated using Tukey-Kramer HSD and the 252 Steel-Dwass Method. To test for differences in variance across climate, we used the parametric Bartlett's test and nonparametric Levene's test for $\delta^{18}O_{enamel}$ and $\Delta'^{17}O_{enamel}$, respectively. Within 253 254 each family, we used linear regression to evaluate the relationship between changes in aridity and 255 associated changes in isotope values. All isotope values from a common family at a single site are 256 first summarized as the median value before evaluating the linear regression to account for nonindependence. 257

258 259 260 3. Results 261 3.1. Variation by latitude and region Among all the herbivores, $\Delta'^{\rm 17}O_{enamel}$ values range from -291 to -137 per meg (-186±37 262 per meg) and $\delta^{18}O_{enamel}$ values range from 25.3‰ to 48.6‰ (37.6±6.6‰) (Supplementary Table 263 264 1; Fig. 3). $\Delta'^{17}O_{enamel}$ values do not vary with absolute latitude (R²=0.017, p=0.1965). $\delta^{18}O_{enamel}$ 265 values decrease with increasing absolute latitude (R^2 =0.628, p=<0.0001), such that teeth sampled from low latitudes (0 – 24°, n=24) yield $\delta^{18}O_{enamel}$ values that are significantly different 266 267 (p>0.0001) than those from mid latitudes (24 - 66°, n=21) (Fig. 3 A - C). The lack of obvious 268 differences in the $\delta^{18}O_{enamel}$ values from mid and high latitudes may be an artifact of limited 269 samples from high latitudes (> 66° , n=3).

By absolute latitude, continent, and climate







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280 3.2. Variation by aridity

281 Teeth come from a range of environments where AI values range from 0.12 to 1.52

282 (Table 1). Environments were placed into UNESCO climate classifications using AI data and

283 characterized as humid (AI > 0.75, *n*=22), subhumid (AI 0.5 – 0.75, *n*=3), semi-arid (AI 0.2 – 0.5,

n=16), and arid (AI < 0.2, n=9) (UNESCO, 1979). They include the arid Turkana and Kgalagadi

regions (AI 0.18 and 0.12, respectively), mid latitude semi-arid Utah (AI 0.26), high latitude,

cold, subhumid Alaska (Al 0.58), moist highlands in Kenya (Al 1.51), and cool, humid Finland (Al

287 > 1.01).

The distribution of $\Delta'^{17}O_{enamel}$ values form a wedge-shaped pattern when plotted against Al. $\Delta'^{17}O_{enamel}$ values from arid and semi-arid sites (*n*=25, -283 to -137 per meg, -196±43 per meg) have statistically different variance from subhumid and humid sites (*n*=25, -210 to -138 per meg, -170±24 per meg) (df=1, F=7.4179, *p*=0.0090). The $\delta^{18}O_{enamel}$ values from more arid sites (25.5 to 47.5‰, 39.5±6.0‰) are not different from more mesic sites (25.0 to 47.2‰, 34.2±5.7‰) (df=1, F=0.0063, *p*=0.9371).

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295 3.3. Variation by taxon

296 We observe that herbivore $\Delta'^{17}O_{enamel}$ and $\delta^{18}O_{enamel}$ values vary by taxonomy (Table 2; 297 Supplementary Table 5; Fig. 3 D – F).

In Africa, our sample includes giraffids (*n*=7), bovids (*n*=5), a rhinocerotid (*n*=1), elephantids (*n*=9) and hippopotamids (*n*=5) from South Africa, Kenya, Uganda, and the Democratic Republic of the Congo. The $\Delta'^{17}O_{enamel}$ values of hippopotamids are similar to elephantids (*p*=0.3766) and are significantly higher than those of giraffids (*p*=0.0295). Giraffid and bovid $\Delta'^{17}O_{enamel}$ values are similar (*p*=0.9620) and show large ranges in $\Delta'^{17}O_{enamel}$ (> 100 per 303 meg). In these groupings, giraffids include samples of giraffe and okapi while the bovids include samples from buffalo, wildebeest, oryx and hartebeest. Giraffid and bovid $\Delta'^{17}O_{enamel}$ values are 304 305 negatively correlated with AI (bovids, $R^2=0.950$, p=0.0031; giraffids, $R^2=0.860$, p=0.0049). In 306 contrast, $\Delta'^{17}O_{enamel}$ values for elephantids and hippopotamids exhibit a narrow range across AI 307 (< 35 per meg) and have R^2 =0.467 (p=0.0543) and R^2 =0.049 (p=0.3950), respectively. The distribution of $\delta^{18}O_{enamel}$ values of the different taxa mostly overlap with one another. The 308 correlations between $\delta^{18}O_{enamel}$ values and AI are R²=-1.403 (*p*=0.5104) for hippopotamids, 309 310 R^2 =0.316 (p=0.1097) for elephantids, R^2 =0.360 (p=0.1691) for bovids, and R^2 =0.0461 (p=0.3276) 311 for giraffids.

312 The samples from North America and Europe include teeth from bovids (n=5), castorids (n=2) and cervids (n=16). The $\Delta'^{17}O_{enamel}$ values of castorids and bovids represent a tighter range 313 (-177 to -140 per meg, -160±14 per meg) than that of cervids (-255 to -143 per meg, -185±31 314 per meg). In comparison, the ranges of cervid and bovid $\delta^{18}O_{enamel}$ are similar across AI (dif 315 mean=2.414550, p=0.507). Castorids from humid environments and their $\delta^{18}O_{enamel}$ values 316 317 overlap with those of cervids and bovids from humid to semi-arid environments. 318 Although not visible on Figure 3, where data are grouped by family, it is important to 319 note that three species of cervids were sampled (moose n=3, reindeer/caribou n=3, and white-320 tailed deer n=10) spanning humid to semi-arid environments. White-tailed deer yield lower $\Delta'^{17}O_{enamel}$ values than that of moose and reindeer/caribou. There is no equivalent distinction in 321 322 $\delta^{18}O_{enamel}$ values.

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324

325 4. Discussion

326 4.1. Variation of $\Delta'^{17}O_{enamel}$ values

327 4.1.1. Observations

The $\Delta'^{17}O_{enamel}$ values from extant herbivores from Africa, Europe and North America span 146 per meg (-283 to -137 per meg) and can vary by 146 per meg at sites with data from multiple taxa. In comparison, the $\Delta'^{17}O$ values of plant waters span up to 189 per meg in a single environment (Li et al., 2017) and are sensitive to variation in rh between environments (Alexandre et al., 2018), while the $\Delta'^{17}O$ values of meteoric waters across all environments span 85 per meg (Landais et al., 2006; 2010; Luz and Barkan, 2010; Passey et al., 2014; Li et al. 2017; Passey and Ji, 2019).

335 Aridity seems to be the strongest determinant of $\Delta'^{17}O_{enamel}$ values. We observe a greater variation in Δ'^{17} O in arid and semi-arid environments, than in humid environments, resulting in 336 a wedge-shaped pattern in a plot of AI vs. $\Delta'^{17}O_{enamel}$ that persists across a range of latitudes and 337 δ^{18} O values of meteoric water (Fig. 3B). No similar relationship between δ^{18} O_{enamel} and aridity 338 exists (Fig. 3A). Instead, $\delta^{18}O_{enamel}$ more closely tracks latitude, reflecting the well-known 339 340 correlation between δ^{18} O values of meteoric water and latitude (e.g., Dansgaard, 1964). This wedge-shaped $\Delta'^{17}O_{enamel}$ – aridity relationship occurs when taxa with a range of 341 342 water-use strategies are sampled. As discussed above, water-use strategy is influenced by diet, 343 physiology, and behavior. An important factor is a taxon's water dependence, which can be 344 characterized by the Water Economy Index (WEI), where WEI=ml H₂O ingested per kJ of 345 metabolic energy (see Nagy and Peterson, 1988). Animals with low WEI values are less 346 dependent on surface waters and can more readily sustain water requirements based on

dietary water (leaf water, root/stem water, metabolic water; Kohn, 1996). Oxygen isotope distributions in animals generally group into two categories, evaporation sensitive (ES) and evaporation insensitive (EI), where $\delta^{18}O_{enamel}$ values of EI taxa (high WEI) do not vary with aridity and $\delta^{18}O_{enamel}$ values of ES taxa (low WEI) increase with aridity (Levin et al., 2006; Blumenthal et al., 2017). We classify taxa as ES or EI using previously published work when possible and otherwise assign a suggested ES or EI classification based on an animal's water and food intake (Table 2).

354 When $\Delta'^{17}O_{enamel}$ data from the entire dataset are pooled and taxa are grouped by ES and 355 El classification, $\Delta^{17}O_{enamel}$ values of ES taxa are both lower and more varied than those of El taxa (Fig. 4A). The distinctions in $\Delta'^{17}O_{enamel}$ values between ES and EI taxa persist across the three 356 357 continents and the different climate regimes. In contrast, $\delta^{18}O_{enamel}$ values of ES and EI taxa are not distinct, in part because they are strongly influenced by local meteoric water $\delta^{\mbox{\tiny 18}}\mbox{O}$ values 358 which exert a stronger influence on $\delta^{18}O_{enamel}$ values than animal water-use strategies (Fig. 4B). 359 360 The clear distinctions in $\Delta'^{17}O_{enamel}$ values between ES and EI taxa show the importance of 361 including samples from taxa with a range of water-use strategies to assess the distribution of $\Delta'^{17}O_{enamel}$ values from any location. 362





Figure 4: Box plots of A) $\delta^{18}O_{enamel}$ and B) $\Delta'^{17}O_{enamel}$ values of taxa grouped by the EI and ES classification and plotted by family. Box ends are the quartile values, inner horizontal line the median, and whiskers the range.

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- 370

371 4.1.2. $\Delta'^{17}O_{enamel}$ values in light of the $\Delta'^{17}O$ body water model

372 Accurate isotope mass-balance body water models are critical for understanding the

373 controls on oxygen isotopic variation in tooth enamel. Of the body water models developed for

 δ^{18} O, some are scaled to body mass and metabolic rate (e.g., Bryant and Froelich, 1995),

375 whereas others consider animal behavior and physiology which can influence δ^{18} O

376 independently of animal mass (e.g., Kohn, 1996). The latter is effective at predicting $\delta^{18}O_{enamel}$

377 across aridity gradients (e.g., Blumenthal et al., 2017) because it accounts for variation in fluxes

of water that undergo evaporation, including both water that is consumed (e.g., leaf waters,

379 surface waters) and released by an animal (e.g., vapor loss during breathing, panting).

380 With increased interest in triple oxygen isotopes, isotope mass-balance body water models have been adapted to consider Δ'^{17} O, using approaches that either scale to animal mass 381 382 (Pack et al., 2013; Whiteman et al., 2019) or link to animal physiology and behavior (Passey and 383 Levin, 2021; Hu et al., in revision). While some studies demonstrate positive trends between Δ'^{17} O values of body water ($\Delta'^{17}O_{bw}$) and body mass (Pack et al., 2013; Whiteman et al., 2019), 384 there is considerable scatter in $\Delta'^{17}O_{bw}$ values (> 200 per meg) among animals that do not vary 385 386 in body mass but that do vary in WEI (Passey and Levin, 2021). This latter observation indicates 387 the importance of physiology, behavior, and environment in determining Δ'^{17} O values in 388 animals, as has been observed for δ^{18} O (e.g., Luz et al., 1990; Levin et al., 2006; Blumenthal et 389 al., 2017).

Here we compare the $\Delta'^{17}O_{enamel}$ results from this study to outputs from an isotope mass-390 balance model to understand why $\Delta'^{17}O_{enamel}$ values vary among different taxa and across 391 392 environmental gradients. We use a modeling approach that allows for the adjustment of fluxes 393 of oxygen in and out of animals based on a version of the Kohn (1996) model that is modified 394 for triple oxygen isotopes (Passey and Levin, 2021; Hu et al., in revision). We modeled animal 395 physiology and behavior in four different scenarios: 1) a standard evaporation-sensitive 396 condition where an animal is efficient with its water use (low WEI), has dry feces and consumes 397 a large relative fraction of leaf water (e.g., giraffe, deer); 2) a water-dependent animal with high 398 WEI, wet feces, but with a low proportion of consumed leaf water (e.g., hippos, beaver); 3) 399 another water-dependent condition where an animal has a high WEI and wet feces, but 400 consumes a high proportion of leaf water (e.g., elephant); and 4) an evaporation-sensitive 401 condition where an animal has low WEI and dry feces but consumes very little leaf water (e.g.,

reindeer, caribou). These four different diet-physiology models are represented by the four
different lines in Figure 5A-B. Model conditions are presented in Supplementary Tables 6 and 7.
In these models, we use rh to represent environmental variation as it is a physical parameter
that controls oxygen isotope fractionation, in contrast to using the AI or water deficit terms
which are used to characterize environment of a particular place during average conditions
(Supplementary Table 8).

For comparison to our $\Delta'^{17}O_{enamel}$ results, we calculated the equivalent mineral (enamel) 408 composition from body water models using the ${}^{18/16}\alpha_{enamel-bw}$ = 1.0332 and $\lambda_{enamel-bw}$ = 0.5245, 409 using the approaches outlined in Passey and Levin (2021) (Fig. 5A). To do this, we assume the 410 411 triple oxygen isotope fractionation between body water and enamel ($\lambda_{enamel-bw}$) is similar to that for water and calcite ($\lambda_{calc-water}$). In recognition that $\lambda_{enamel-bw}$ may be different than 0.5245, we 412 413 explored how changing $\lambda_{enamel-bw}$ affects model output. Figure 5B displays how a decrease in $\lambda_{enamel-bw}$ to 0.5237 results in a downward shift in the Δ'^{17} O results from all four models so they 414 415 span our observed results.

416 Regardless of the specific $\lambda_{enamel-bw}$ used, the four modeled scenarios span the range in $\Delta'^{17}O_{enamel}$ values observed (Fig. 5). The standard evaporation-sensitive scenario (Model 1) 417 captures minimum Δ'^{17} O values that decrease in more arid conditions (low rh), whereas the 418 maximum water dependency model (Model 2) captures the upper range of $\Delta'^{17}O_{enamel}$ values 419 420 where there is little variation with aridity. The outputs from Models 3 and 4 represent variants 421 of these scenarios, with different combinations of WEI and leaf-water consumption, that yield 422 $\Delta'^{17}O_{enamel}$ values that plot between those from Models 1 and 2. Changing the WEI adjusts the relative value of $\Delta^{17}O_{enamel}$ (low WEI matches low $\Delta^{'17}O_{enamel}$), whereas adjusting the proportion of 423

424 leaf water consumed, changes the sensitivity of $\Delta'^{17}O_{enamel}$ to rh (consumption of more leaf 425 water increases sensitivity to rh).

The combination of modeled scenarios shows that 1) more water-efficient (low WEI) 426 427 animals, such as giraffe and deer, should have lower $\Delta'^{17}O_{enamel}$ values than less water-efficient 428 animals (high WEI) like hippos and beavers (Fig. 5) and 2) $\Delta'^{17}O_{enamel}$ values should decrease with increasing aridity, especially for animals with low WEI. These outputs capture the trends in the 429 observed $\Delta^{17}O_{enamel}$ data: ES taxa yield lower $\Delta'^{17}O_{enamel}$ values than EI taxa (Fig. 4B) and $\Delta^{17}O_{enamel}$ 430 431 values decrease with increased aridity (Figs. 3, 5). The model-data comparison here confirms the strong influences of both diet and physiology and environment on $\Delta^{17}O_{enamel}$ values 432 identified by Passey and Levin (2021) and Hu et al. (in revision). $\Delta^{17}O_{enamel}$ varies within a guild of 433 434 mammals in a single environment, due to differences in behavior, physiology, water-use 435 strategy, and also across environments.



438 **Figure 5**: Observed $\Delta'^{17}O_{enamel}$ values from this study compared to how modeled outputs of 439 $\Delta'^{17}O_{enamel}$ values vary with relative humidity (rh), based on a version of the Kohn (1996) model

440 that is modified for triple oxygen isotopes (Passey and Levin, 2021; Hu et al. in revision). Each 441 line represents modeled outputs using different diet-physiology scenarios (Models 1-4), where 442 WEI, feces water content, and drinking water amounts vs. leaf water consumption are varied. 443 Modeled body water Δ'^{17} O values are converted to $\Delta'^{17}O_{enamel}$ assuming $^{18/16}\alpha_{enamel-bw} = 1.0332$ using the approaches outlined in Passey and Levin (2021) and varying the value used for $\lambda_{\text{enamel-}}$ 444 445 _{bw} (0.5245 vs. 0.5237). 446 447 4.2. Applying $\Delta'^{17}O_{enamel}$ from large mammalian herbivores to reconstruct past aridity 448

449 Considering the generalized $\Delta'^{17}O_{enamel}$ – aridity relationship among extant animals, 450 across a range of geographic and climate settings, we suggest that $\Delta'^{17}O_{enamel}$ of fossils can be 451 used to assess past aridity. In the following text we discuss the use of $\Delta'^{17}O_{enamel}$ values of fossil 452 mammalian herbivores as an indicator of past aridity and the advantages to using $\Delta'^{17}O_{enamel}$ 453 values rather than approaches that rely on $\delta^{18}O_{enamel}$ alone.

454

455 4.2.1. $\Delta'^{17}O_{enamel}$ as an indicator of aridity

The $\Delta'^{17}O_{enamel}$ data from modern mammalian herbivores plot in a wedge-shaped pattern with AI that is consistent across geographic regions and among different taxa; the variance in $\Delta'^{17}O_{enamel}$ values is greatest in more arid environments. Translating this to the fossil record means that variations of $\Delta'^{17}O_{enamel}$ values from fossil assemblages may be used to infer relative differences in aridity between sites, such that sites with greater variance in $\Delta'^{17}O_{enamel}$ values represent more arid conditions than sites where $\Delta'^{17}O_{enamel}$ values are tightly clustered. 462 When using $\Delta'^{17}O_{enamel}$ values of fossils to compare aridity between sites and through 463 time, sample sets should include taxa from the full range of water-use strategies available in a fossil assemblage. This increases the chances for $\Delta'^{17}O_{enamel}$ values in the sample set to capture 464 the range in $\Delta'^{\rm 17}O_{\rm enamel}$ values among a population from one place. In our study of extant 465 466 mammals, we targeted teeth from animals with a range of water-use strategies from each site, 467 but limited our analysis to only two samples for many sites to keep the analytical scope of the 468 project manageable (e.g., hippopotamids/elephantids vs. giraffids) (Supplementary Table 1). Even with limited sampling, we observe greater variation in $\Delta'^{17}O_{enamel}$ values with increasing 469 aridity. We would likely observe a greater variation in $\Delta'^{17}O_{enamel}$ values with bigger sample sizes, 470 meaning that the variation in $\Delta'^{17}O_{enamel}$ values from any place would only provide an indication 471 472 of minimum aridity for a site.

In the most basic sense, $\Delta'^{17}O_{enamel}$ values of fossil teeth can be used as a way to gage relative differences in aridity between fossil sites. However, the results of $\Delta'^{17}O_{enamel}$ from fossils can also be considered in terms of the UNESCO climate categories. Pooling our observations from three continents, the expected ranges for $\Delta'^{17}O_{enamel}$ values from guilds of mammalian herbivores are approximately 50 per meg in humid climates, 120 per meg in semi-arid climates, and 140 per meg in arid climates (Figs. 3B, 3E). We expect adjustments to these values as more individuals, taxa, and environments are sampled and added to this global dataset.

480

481 4.2.2. Advantages of using $\Delta'^{17}O$ as an aridity indicator compared to using $\delta^{18}O_{enamel}$ alone 482 The relationship between $\Delta^{17}O_{enamel}$ values and aridity is compelling as a paleoaridity 483 indicator because it persists across a wide range of sites, with varying geography and $\delta^{18}O_{enamel}$ values of meteoric water, and among different combinations of mammalian taxa. In contrast, we do not observe similarly clear relationships between $\delta^{18}O_{enamel}$ values and aridity because $\delta^{18}O_{enamel}$ values are influenced by many other parameters in addition to aridity. As such, $\delta^{18}O_{enamel}$ based reconstructions of aridity depend on the identification of taxa that fit into clear ES and El categories to control for the varying isotopic composition of local waters, but this limits the extent of its application (e.g., Blumenthal et al., 2017).

490

491 4.2.3. Application guidelines

492 Below we outline an approach for sampling fossil mammalian herbivore teeth for the purpose of estimating paleoaridity from $\Delta'^{17}O_{enamel}$ values. We present different scenarios based 493 on sample availability and provide suggestions for how $\Delta^{17}O_{enamel}$ data from fossils can be 494 495 compared with the modern dataset and then placed in a UNESCO climate category. 496 Sample sizes. In this study, we were not able to analyze more than one individual per taxon for many sites, but for the places where we did sample more than one individual per 497 taxon, we find limited variation in $\Delta'^{17}O_{enamel}$ values amongst individuals (e.g., Turkana hippos: -498 499 153 \pm 0.7 per meg, *n*=2; Garamba giraffes -203 \pm 10 per meg, *n*=2). Given this we conclude that $\Delta'^{17}O_{enamel}$ data from a single animal provide valuable information, especially if from an ES taxon. 500 501 Whenever possible, sample sets should include more than one specimen of each taxon to estimate intra-taxon variability of $\Delta'^{17}O_{enamel}$ values. However, given the fidelity of $\Delta'^{17}O_{enamel}$ 502 503 values to environment, intra-taxon variability is expected to be relatively small.

504 <u>Limited specimens.</u> Considering that it is not always possible to sample numerous teeth 505 from a site (e.g., poor preservation, restricted sampling permission), we recommend targeting 506 samples that represent a range of water-use strategies to capture the greatest possible 507 $\Delta'^{17}O_{enamel}$ variation. If on the other hand you can only sample a few taxa, then water-efficient taxa should be prioritized as their $\Delta'^{17}O_{enamel}$ values are likely to represent the minimum 508 509 $\Delta'^{17}O_{enamel}$ values from a place, which could then be compared to $\Delta'^{17}O_{enamel}$ values of water-510 efficient taxa from other fossil sites to assess distinctions in aridity between sites. Our data show that site differences in $\Delta'^{17}O_{enamel}$ can help identify environmental distinctions when 511 $\delta^{18}O_{enamel}$ cannot. For example, the giraffid $\Delta'^{17}O_{enamel}$ values arid sites are the most negative 512 513 values in the entire dataset and are within 8 per meg of each other, with -278±10 and -283±3 514 per meg, for Kgalagadi and Turkana, respectively (Supplementary Table 1). This similarity is 515 likely due to the arid (AI < 0.2) conditions of both places, despite differences in latitude (~4.6°N 516 vs ~25.7°S), annual temperature (28°C vs 20°C), and δ^{18} O value for average annual meteoric water (~1‰ vs ~-5‰ VSMOW). In contrast, the $\delta^{18}O_{enamel}$ values of these two giraffids are 517 518 indistinguishable from the $\delta^{18}O_{enamel}$ values of giraffids from mesic and humid sites (Fig. 3D). 519 <u>Unknown ES and EI assignment.</u> If there is limited a priori knowledge of the behaviors, 520 physiologies, and water-use strategies from fossil herbivores at a site, and it is difficult to target 521 a range of taxa that represent both ES and EI taxa, then a variety of taxa should be sampled to increase the potential of capturing the full range of $\Delta'^{17}O_{enamel}$ values at a site. By including taxa 522 with a variety of water-use strategies, a dataset is more likely to capture the range in $\Delta'^{17}O_{enamel}$ 523 524 values that represents a site's environment.

525

526 4.3. Other geological applications for $\Delta'^{17}O_{enamel}$ of large mammalian herbivores 527 4.3.1. Past pCO₂

We are not aware of other studies that propose the use of $\Delta'^{17}O_{enamel}$ values as indicators 528 of paleoaridity, but a handful of recent studies have suggested the use of Δ'^{17} O values from 529 530 teeth and eggshells to constrain past atmospheric pCO_2 (Pack et al., 2013; Gehler et al., 2016; 531 Passey et al., 2014; Passey and Levin, 2021). This is an exciting development given the 532 importance of understanding the history of Earth's pCO_2 . This approach has been applied to reconstruct pCO₂ across the Paleocene-Eocene Thermal Maximum (PETM); Gehler et al. (2016) 533 use a 60 per meg decrease in $\Delta'^{17}O_{enamel}$ values across the PETM to infer a ca. 400 to 1000 ppm 534 increase in atmospheric pCO_2 . This approach works because inhaled atmospheric O_2 , which has 535 536 a Δ'^{17} O value considerably lower than any form of water (Fig. 1), contributes between 5% to 40% of mammalian body water oxygen. As such, the Δ'^{17} O value of atmospheric O₂ is apparent 537 538 in tooth enamel Δ'^{17} O values; it pushes the Δ'^{17} O values of body water and enamel more 539 negative than the influences of food and drinking water oxygen alone (Pack et al., 2013). The 540 Δ'^{17} O value of atmospheric O₂ is influenced by mass independent fractionation of oxygen isotopes in the stratosphere, where higher concentrations of atmospheric CO₂ leads to 541 decreased Δ'^{17} O values of atmospheric O₂ (Luz et al., 1999; Bao et al., 2008), and in turn, lower 542 543 $\Delta'^{17}O_{enamel}$ values (Pack et al., 2013).

544 Currently, $\Delta'^{17}O_{enamel}$ -based estimates of pCO_2 are calculated from animals with small 545 body mass and high respiration rates (Gehler et al., 2016). These estimates do not consider how 546 $\Delta'^{17}O_{enamel}$ values vary among taxa (aside from differences in body mass) or in different 547 environments. But such variation is important; a 60 per meg distinction in $\Delta'^{17}O_{enamel}$ values that 548 is used to infer changes in pCO_2 can also be observed within an arid location among different 549 animals (e.g., Turkana) or between environments (e.g., 60 per meg represents the difference

550 between a subhumid and arid environment; Fig. 3). Given the similar magnitude of change in $\Delta'^{17}O_{enamel}$ values that occurs with a change in environment, animal taxon, or pCO_2 it will be 551 essential to characterize the influence of environment on $\Delta'^{17}O_{enamel}$ values of mammalian 552 553 herbivores before using them to infer pCO_2 . To do this, we suggest sampling teeth from a range 554 of taxa and from multiple fossil sites within a single time interval. Sites from a single time period 555 across the globe should have similar atmospheric pCO_2 . If pCO_2 is significantly different from today, then there will be a wholesale shift in $\Delta'^{17}O_{enamel}$ values away from the modern 556 557 distribution of $\Delta'^{17}O_{enamel}$ values across multiple fossil sites, environments, and populations of taxa. We recognize that assessing past pCO_2 using $\Delta'^{17}O_{enamel}$ will require further study, but any 558 559 use of $\Delta'^{17}O_{enamel}$ as a proxy for CO₂ needs to consider environmental and taxonomic variation in 560 $\Delta'^{17}O_{enamel}$ values.

561

562 4.3.2. Diagenesis

563 Assessing and accounting for the role of diagenesis on δ^{18} O values of biocarbonate (i.e., tooth, bone, eggshell) has been a longstanding challenge in their use for paleoclimate 564 565 reconstructions (e.g., lacumin et al., 1996; Schoeninger et al., 2003). Any post-depositional 566 reprecipitation of carbonate reflects the temperatures and isotopic composition of waters of 567 this secondary event, not the biomineralization in an animal. The influence of reprecipitated 568 carbonate on $\delta^{18}O_{enamel}$ values can be evaluated by comparing $\delta^{18}O_{enamel}$ values among different 569 taxa, the δ^{18} O of phosphate in the same tooth enamel, or to the δ^{18} O of sedimentary 570 carbonates. The elemental composition of bioapatite using x-ray diffraction and infrared 571 spectroscopy can also be analyzed (e.g., Person et al., 1995; lacumin et al., 1996).

572 The triple oxygen isotope composition of carbonates and bioapatites provides another 573 way to evaluate the effects of diagenesis (Gehler et al., 2011). Animal tissue Δ'^{17} O values are 574 more negative and more variable than the Δ'^{17} O values of carbonates derived from meteoric 575 waters due to the influence of low- Δ'^{17} O inhaled atmospheric O₂ and the strong roles of 576 environment and animal water-use that results in varying Δ'^{17} O values (Fig. 6).

The clear distinction between Δ'^{17} O values of biological carbonates and meteoric 577 578 carbonates means that Δ'^{17} O measurements can be used to evaluate diagenesis of the original 579 oxygen isotopic composition of biocarbonate without relying on additional analyses and materials. Gehler et al. (2011) suggests the Δ'^{17} O values of tissue from small mammals (< 1 kg) 580 581 can help evaluate diagenesis. This concept is also relevant for larger mammals (> 6 kg) and 582 birds, as Δ'^{17} O values of tooth enamel and eggshells are more negative and more variable than 583 those of meteoric-derived carbonates (Fig. 6). However, there are some exceptions; carbonates 584 formed from waters that are extensively evaporated, such as closed basin, saline Mono Lake, 585 can have Δ'^{17} O values as low as -214 per meg (see Passey and Ji, 2019) and fall squarely in the 586 range of Δ'^{17} O values of bird and mammal biocarbonate.

To use Δ'^{17} O analyses to determine diagenesis of fossil enamel, a sample set should include both fossils from taxa with a range of water-use strategies and carbonates that are available from the sediments associated with the fossils (e.g., soil carbonate, lacustrine carbonate, cements). If the Δ'^{17} O_{enamel} values are unaltered, then they will be more negative and varied than that of the associated carbonates. If the Δ'^{17} O values of carbonates and enamel are similar, then the distribution of Δ'^{17} O_{enamel} values will be compressed and the original oxygen

- 593 isotopic composition of the fossil teeth has been altered. This concept can be extended to other
- 594 fossil biocarbonates like bones and eggshells.



596

Figure 6: The Δ'^{17} O and δ^{18} O values of large mammalian tooth enamel, bird eggshells, mollusks, and groundwater cement, lake, and soil carbonates. Data are from Passey et al. (2014), Passey and Ji (2019), Hu et al. (*in revision*), and this study.

600

601

602 5. Conclusions

603 The $\Delta'^{17}O_{enamel}$ values of extant, large mammalian herbivores sampled from three

604 continents and seven mammalian families vary by 146 per meg (-283 to -137 per meg). The

605 relationship between Δ'¹⁷O_{enamel} values and aridity form a wedge-shaped pattern, with greater 606 variation in Δ'¹⁷O_{enamel} values in arid environments. This relationship is independent of latitude 607 and δ¹⁸O value of local meteoric waters. However, the relationship between Δ'¹⁷O_{enamel} values 608 does depend on animal water-use strategy; generally, Δ'¹⁷O_{enamel} values from water-dependent 609 animals vary little with aridity, whereas water-efficient animals yield lower Δ'¹⁷O_{enamel} values that 610 decrease with aridity.

Our dataset provides a framework for using $\Delta'^{17}O_{enamel}$ values to evaluate aridity of past 611 environments. The $\Delta'^{17}O_{enamel}$ values from multiple taxa in a fossil assemblage can be used to 612 613 estimate the paleoaridity of a fossil site and roughly place it into one of the UNESCO climate categories. The use of $\Delta'^{17}O_{enamel}$ values broadens the utility of the oxygen isotope composition 614 615 of terrestrial materials for paleoenvironmental reconstructions, because their distribution is so 616 tied to aridity, unlike δ^{18} O values of enamel (and other materials) which are influenced by a combination of multiple factors (e.g., aridity, temperature, δ^{18} O values of meteoric water). 617 618 In addition to their utility for paleoenvironmental reconstructions, Δ'^{17} O values of fossil teeth can be used to estimate past pCO_2 and evaluate diagenetic effects on the oxygen isotope 619 620 composition of samples. Our expanded dataset from extant herbivores shows the importance 621 of sampling teeth from a range of taxa for both of these approaches to work effectively. Studies that use $\Delta'^{17}O_{enamel}$ values as a pCO₂ indicator must first account for the range of $\Delta'^{17}O_{enamel}$ 622 variation due to the environment and animal water-use strategy. Likewise, any study using 623 624 $\Delta'^{17}O_{enamel}$ values to identify diagenesis should include samples from taxa with different wateruse strategies because they should yield $\Delta'^{17}O_{enamel}$ values that are relatively wide-ranging if 625 626 unaltered and relatively invariant if altered. These results show the expanded potential for the

627 utility of triple oxygen isotope distributions in biocarbonates. The next steps for this work 628 include expanding the sample from extant animals to include more individuals from a broader 629 range of geographic settings and then applying this framework to constrain aridity, pCO₂, and 630 diagenesis in Earth's past. 631 632 **Acknowledgements** 633 634 We thank David Patterson, Scott Blumenthal, and staff from the Edness Kimball Wilkins State 635 National Park, WY for providing samples for analysis. We also thank Scott Blumenthal for 636 sharing his Matlab code for extracting water isotope data from WorldClim 1.4 and for 637 assistance in extracting climate information for each site. We thank the Department of Earth

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640 work.

641 Figure list

642 Figure 1: Δ^{'17}O and δ^{18} O values of waters and reconstructed waters from carbonates.

643

644 Figure 2: Schematic outlining the variation of $\delta^{18}O_{enamel}$ and $\Delta'^{17}O_{enamel}$ values with aridity for

- 645 different mammalian herbivore taxa.
- 646

647 Figure 3: Variation in $\delta^{18}O_{enamel}$ and $\Delta'^{17}O_{enamel}$ values by latitude, location, taxon, and climate.

648

649 Figure 4: Box plots of (A) $\delta^{18}O_{enamel}$ and (B) $\Delta'^{17}O_{enamel}$ of taxa grouped by evaporation sensitivity.

650

Figure 5: The Δ'^{17} O and δ^{18} O values of large mammalian tooth enamel, bird eggshells, and lake and soil carbonates.

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Figure S1: Distribution of (a) $\delta^{18}O_{enamel}$ and (b) $\Delta'^{17}O_{enamel}$ data. From left to right: Histogram of data with a normal continuous fit. Quantile box plot with horizontal lines as median and quantile groups, points as outliers, and brackets as the region of densest data. Normal quantile plot with line of fit, the empirical cumulative probability and normal quantile scales, and Lilliefors confidence bounds (dotted line).

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Table 1. Geographic, climatic and environmental information for sample locations.

Country	Location	Latitude	Longitude	CRU output mean rh (%)	ΟΙΡC 3.1 δ ¹⁸ Ο ΜΑΡ (‰ SMOW)	RCWIP δ ¹⁸ O MAP (‰ SMOW)	MAP (mm/ yr)	MAT (°C)	PET (mm/ yr)	WD (mm/ yr)	Aridity	Aridity Index UNESCO category
Africa						,						
Kenva	Turkana	4.3641	35.663	52.9	1.1	0.2	347	28	1897	1550	0.18	arid
Kenva	Meru National Park	0.0806	38.1979	63.2	1.7	-0.5	512	24.5	2064	1552	0.24	semi-arid
Kenva	Shimba Hills National Park	-4.2572	39.3878	70.9	-3.5	-1.4	1137	23.9	1493	356	0.75	semi-arid
Kenva	Laikipia/Mpala National Park	0.348	36.9924	63.9	-0.8	-4.8	713	18.2	1782	1069	0.39	semi-arid
Kenva	Tsavo National Park	-2.3661	38.4098	63.3	0.8	-1.3	670	25	1836	1166	0.37	semi-arid
Kenva	Aberdares National Park	-0.4359	36.717	70.2	-3.6	-9.0	1780	10.1	1196	-584	1.52	humid
Ethiopia	Awash National Park	9.0833	40	62.6	2.2	-1.0	525	25.9	2091	1566	0.25	semi-arid
Uganda	Kidepo National Park	3.8604	33.8549	57.9	-1.1	-1.7	614	22.9	1720	1106	0.34	semi-arid
DR Congo	Garamba National Park	4.1665	29.5003	67.1	0.2	-1.5	1548	24.4	1813	265	0.86	humid
DR Congo	Ituri Forest National Park	1.4043	28.5769	71.6	-0.2	-2.1	1739	24.4	1771	32	0.98	humid
South Africa	Kgalagadi National Park	-25.7488	20.4436	43.8	-4.7	-3.7	230	20	1878	1648	0.12	arid
South Africa	Addo National Park	-33.5023	25.7721	64.2	-3.7	-3.2	424	17.9	13989	974	0.32	semi-arid
Europe												
Finland	Noormarkku	61.5908	21.8671	81.6	-12.0	-11.5	608	4	548	-60	1.11	humid
Finland/Sweden	Karessuando	68.438	22.4511	86.5	-14.9	-14.2	448	-2.2	393	-55	1.14	humid
Finland	Rovaniemi	66.5181	25.669	80.0	-13.1	-13.5	513	0.4	458	-55	1.13	humid
Finland	Pernaja	60.4386	26.0528	81.1	-11.8	-11.8	618	4.7	547	-71	1.13	humid
Karelia, Russia	Aunus Nurmoila	61.07513	32.924	81.8	-12.0	-12.3	672	2.9	541	-131	1.24	humid
North America												
United States	Badlands National Park, SD	43.8554	-102.34	60.5	-9.9	-11.5	415	8.6	1109	694	0.37	semi-arid
United States	Theodore Roosevelt National Park, ND	46.979	-103.539	63.9	-11.4	-13.0	383	6.3	982	599	0.40	semi-arid
United States	Wichita Mountains Federal Wildlife Refuge, OK	34.7223	-98.7345	63.7	-5.8	-6.7	735	15.4	1358	623	0.54	subhumid
United States	Antelope Island, UT	40.9581	-112.215	54.3	-14.1	-10.1	462	10.1	1026	564	0.57	subhumid
United States	Parowan, UT	37.8352	-112.829	48.1	-12.7	-11.7	320	8.9	1229	909	0.26	semi-arid
United States	Arctic National Wildlife Refuge, AK	68.6496	-142.898	67.9	-26.0	-24.2	154	-13.9	263	109	0.58	subhumid
United States	Middle Fork, Selman River, ID	44.9305	-114.965	59.9	-16.6	-15.1	581	-0.1	789	208	0.74	subhumid
United States	Piedmont National Wildlife Refuge, GA	33.0864	-83.7275	70.2	-5.5	-5.5	1213	17.3	1415	202	0.86	humid
United States	Berkley Springs, WV	39.6249	-78.2387	69.6	-8.2	-8.0	949	10.7	1093	144	0.87	humid
United States	Baltimore, MD	39.3068	-76.6316	66.6	-6.7	-6.5	1110	12.9	1142	32	0.96	humid
United States	Westchester County, NY	41.122	-73.7949	67.7	-7.6	-8.3	1227	10.6	980	-247	1.26	humid
United States	Dairymens Country Club, WI	46.1453	-89.6576	72.6	-10.8	-11.9	834	3.8	819	-15	1.02	humid
United States	Yellowstone National Park, WY	44.428	-110.588	55.7	-16.8	-17.2	646	-1	760	114	0.82	humid
United States	Edness Kimball Wilkins State National Park, WY	42.8536	-106.182	55.3	-13.0	-12.9	311	7.9	1105	794	0.28	semi-arid

688 Table 2. Animal behavior summary for reported taxa

Common name	Family	Genus and species	Diet	General water use strategy	ES or El status ^a
Red/Cape hartebeest	Bovidae	Alcelaphus buselaphus caama	Grazer	^{e, i} Not very water dependent	-
Bison	Bovidae	Bison bison bison	Grazer	^h Water dependent	EI
Blue wildebeest	Bovidae	Connochaetes taurinus taurinus	Grazer	ⁱ Not very water dependent	-
Oryx	Bovidae	Oryx gazella beisa	Grazer	^{b, e, i} Not water dependent	ES
African buffalo	Bovidae	Syncerus caffer	Grazer	^{e, i} Water dependent	-
Beaver	Castoridae	Castor fiber	Semi-aquatic	^d Water dependent	EI
Moose	Cervidae	Alces alces	Mixed feeder/Semi-aquatic	^d Water dependent	EI
White-tailed deer	Cervidae	Odocoileus virginianus virginianus	Mixed feeder	^c Not water dependent	ES
Reindeer and Caribou	Cervidae	Rangifer tarandus	Browser/Mixed feeder	^d Not very water dependent	-
Elephant	Elephantidae	Loxodonta africana africana	Browser	ⁱ Water dependent	EI
Giraffe	Giraffidae	Giraffa camelopardalis	Browser	^{g, i} Not water dependent	ES
Okapi	Giraffidae	Okapia johnstoni	Browser	^{f, g, i} Not water dependent	ES
Нірро	Hippopotamidae	Hippopotamus amphibius amphibius	Semi-aquatic	^{g, i} Water dependent	EI
Black rhino	Rhinocerotidae	Diceros bicornis	Browser/Grazer	ⁱ Water dependent	El

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References (^bKohn et al., 1996; ^cLuz et al., 1990; ^dNowak, 1991; ^{e,f}Cerling et al., 2003; 2004; ^gLevin et al., 2006; ^hHoppe et al., 2006; ⁱBlumenthal et al. 2017)

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