Comparing the permeability of shale to deionized water, liquid CO2 and NaCl solution

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Abstract

The permeability of shale is a controlling factor in fluid migration, solute transport, and overpressure development in a sedimentary basin. However, shale permeabilities measured with different fluids can be very different. To investigate the effects of fluid type on shale liquid permeability, a series of flow experiments on three samples were conducted using deionized water, liquid CO2 and 1 mol/L NaCl solution as permeating fluids. The results indicate that the liquid CO2 flow obeys Darcy's law, showing a constant permeability. The liquid CO2 permeabilities of samples C01, C02 and C03 are $6.90 \times 10-19$ m2, $3.80 \times 10-20$ m2 and $1.59 \times 10-18$ m2, respectively. The transport of the deionized water and NaCl solution in these samples deviates from Darcy's law, and threshold pressure gradient is observed. The permeabilities measured with these two fluids exhibit nearly identical ranges ($10-20^{-}10-21$ m2). The sample permeated with NaCl solution generally shows a lower permeability and pressure gradient follows a power function, with exponents ranging from $0.96^{-}3.41$ for deionized water and $0.34^{-}3.30$ for NaCl solution. The permeability reduction magnitude (ω) was defined to describe the difference between the three liquid permeabilities and the helium absolute permeability. The range of ω is $0.25^{-}0.96$ for liquid CO2, $1.44^{-}2.32$ for deionized water and $1.89^{-}3.09$ for NaCl solution. The dependence of permeability on fluid type results from the differences in the fluid properties (viscosity and polarity) and fluid-mineral interactions.

1 Comparing the permeability of shale to deionized water, liquid CO₂ and NaCl

2 solution

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- 9

10 Key points

- •A permeability model taking into account the simultaneous movement of bulk water and bound
- 12 water with non-Newtonian behavior is established
- •Shale permeability measured with NaCl solution is the lowest due to pore compression caused
- 14 by decreased electrical double layer repulsion
- •Liquid CO_2 flow in shale obeys Darcy's law and the corresponding permeability is a constant,
- 16 ranging from $3.80 \times 10^{-20} \sim 1.59 \times 10^{-18} \text{ m}^2$

17 Abstract

18 The permeability of shale is a controlling factor in fluid migration, solute transport, and 19 overpressure development in a sedimentary basin. However, shale permeabilities measured with 20 different fluids can be very different. To investigate the effects of fluid type on shale liquid 21 permeability, a series of flow experiments on three samples were conducted using deionized water, 22 liquid CO₂ and 1 mol/L NaCl solution as permeating fluids. The results indicate that the liquid 23 CO_2 flow obeys Darcy's law, showing a constant permeability. The liquid CO_2 permeabilities of samples C01, C02 and C03 are $6.90 \times 10^{-19} \text{ m}^2$, $3.80 \times 10^{-20} \text{ m}^2$ and $1.59 \times 10^{-18} \text{ m}^2$, respectively. The 24 25 transport of the deionized water and NaCl solution in these samples deviates from Darcy's law, 26 and threshold pressure gradient is observed. The permeabilities measured with these two fluids exhibit nearly identical ranges $(10^{-20} \sim 10^{-21} \text{ m}^2)$. The sample permeated with NaCl solution 27 28 generally shows a lower permeability (under the same pressure gradient) but a higher threshold 29 pressure gradient. The relationship between water permeability and pressure gradient follows a 30 power function, with exponents ranging from 0.96~3.41 for deionized water and 0.34~3.30 for 31 NaCl solution. The permeability reduction magnitude (ω) was defined to describe the difference 32 between the three liquid permeabilities and the helium absolute permeability. The range of ω is 33 0.25~0.96 for liquid CO₂, 1.44~2.32 for deionized water and 1.89~3.09 for NaCl solution. The 34 dependence of permeability on fluid type results from the differences in the fluid properties 35 (viscosity and polarity) and fluid-mineral interactions.

36 Key words: shale, water permeability, liquid CO₂ permeability, fluid-rock interactions

37 1. Introduction

38 Shale and mudstone constitute approximately two-thirds of the sedimentary rock mass on 39 Earth and play a defining role in the fluid/rock evolution of basins and in a wide range of 40 geoengineering systems (Best & Katsube, 1995; Bourg & Ajo-Franklin, 2017; Van Noort & 41 Yarushina, 2018). Developments in geochemical modeling and quantitative basin analysis have 42 highlighted the key role of shales in the control of processes operating in a sedimentary basin 43 (Best & Katsube, 1995). Shales and other argillaceous formations can function as potential host 44 rocks for the geological disposal of nuclear waste to prevent pollutant migration and minimize the 45 risk for biosphere contamination (Neuzil, 2013). In petroleum systems and carbon dioxide 46 geological storage, shales are common caprocks because of their low porosity, very low 47 permeability and high capillary entry pressure (Borysenko et al., 2009; Bourg et al., 2015). 48 Recently, commercial extractions of shale gas/oil have helped generate a global energy boom and 49 lower hydrocarbon costs (Middleton et al., 2015). The roles of shales played in these fields depend 50 on the acquisition of permeability data of different fluids, which can provide a quantitative basis 51 for elucidating not only the aforementioned processes but also basin-scale hydrogeological 52 circulation, wellbore stability, and other topics related to subsurface hydrology.

53 The transient pulse decay technique and steady-state method have been employed to 54 investigate the liquid permeability of shales or mudstones using gas, distilled water or electrolyte 55 solution as permeates (Yang & Aplin, 2007; Armitage et al., 2011; Ghanizadeh, et al., 2014). 56 Research indicates that the liquid permeability ranges from nanodarcy to microdarcy and is 57 affected by various factors, including porosity, pore radius, clay fraction, effective stress, fluid 58 properties and flow direction (Revil & Cathles, 1999; Kwon et al., 2004a, 2004b; Yang & Aplin, 59 2007; Bourg & Ajo-Franklin, 2017). Permeability decreases with decreasing porosity and pore 60 radius, and increasing clay content and effective pressure (Dewhurst et al., 1999; Crawford et al., 61 2008; Armitage et al., 2011). Permeability measured perpendicular to bedding is commonly less 62 than that measured parallel to bedding (Armitage et al., 2011; Ghanizadeh et al., 2014). Water 63 permeability is lower than gas absolute permeability, which indicates that water-mineral 64 interactions strongly affect permeability (Yang & Yu, 2020). Different salt solutions will influence 65 the hydration states of clay and the thickness of bound water films formed on mineral surfaces, which can influence permeability (Busch & Hildenbrand, 2013; Wilson & Wilson, 2014). A higher
electrolyte concentration usually leads to a higher permeability (Mesri & Olson, 1971; Behnsen &
Faulkner, 2011). However, the understanding of the variation in shale saline water permeability
with pressure gradient is rarely reported. The threshold pressure gradient (TPG, below which no
flow occurs) exists for deionized water flow in shale (Yang & Yu, 2020). Whether the TPG exists
for 1mol/L NaCl solution flow in shale still needs to be experimentally investigated.

72 CO₂ geologic sequestration is considered to be an efficient solution to reduce the 73 anthropogenic emission of CO₂ to the atmosphere and thus significantly mitigate climate change 74 (Bourg et al., 2015; Zhang et al., 2016a; Iglauer, 2017). In the process of the extraction of oil and 75 gas from tight formations, the economic and environmental concerns of hydraulic fracturing have 76 resulted in the use of non-aqueous fracturing fluids, including liquid or supercritical CO₂ 77 (Middleton et al., 2015; Al-Zaidi & Fan, 2018). The phase of CO₂ will change at different 78 reservoir pressures and temperatures, exhibiting a gas, liquid or supercritical state (Tokunaga & 79 Wan, 2013). Characterizing and understanding the permeability of CO₂ at different phases is 80 crucial for both geological CO_2 storage and shale gas/oil recovery enhancement. The existing 81 experimental studies on the CO₂ permeability of shale have been performed mainly with gaseous 82 CO₂ or supercritical CO₂ (Van Noort & Yarushina, 2018; Zhang & Ranjith, 2018). However, there 83 is a paucity of data on the liquid CO_2 permeability of shale. In addition, a comparative 84 investigation of the shale permeability measured with deionized water, liquid CO₂ and NaCl 85 solution will contribute to a further understanding of the influence of fluid-rock interfacial 86 interactions on the magnitude of permeability.

87 The difficulty in measuring the permeability of tight rocks has resulted in the development of 88 permeability models that use more easily measured parameters, such as porosity, specific surface 89 area and tortuosity. The model currently used most often is the Kozeny-Carman equation, which 90 was derived from pore size distribution based on the assumption that all pores are capillary tubes 91 with identical cross sections (Yang & Aplin, 2007). Considering fluid-media interactions and the 92 complexity of pore passages, improved Kozeny-Carman relations that consider the effective void 93 ratio or three-dimensional rock microstructure have been proposed (Latief & Fauzi, 2012; Ren et 94 al., 2016). Increasing interest in shale gas has resulted in the establishment of permeability models

95 for gas transport in shale reservoirs, which take into account gas adsorption, stress dependence, 96 non-Darcy flow, surface diffusion and other factors (Wang et al., 2015; Song et al., 2016). By 97 comparison, few permeability models to describe the liquid flow in shale have been proposed. 98 According to the classical Kozeny-Carman relationship, Revil and Cathles (1999) derived a model 99 to predict the saline water permeability of shale by using electrical parameters to distinguish pore 100 throat porosity from the total porosity and effective hydraulic radius from the total hydraulic 101 radius. Yang and Aplin (2010) introduced an absolute permeability formulation for mudstones that 102 considers the influence of the void ratio and clay content but does not consider the effect of the 103 solution chemistry. Additionally, Afsharpoor and Javadpour (2016) used computational fluid 104 dynamics modeling with various slip and geometric conditions to investigate the effective liquid 105 permeability of shale. On the basis of the water transport behavior in a single nanotube, Zhang et 106 al. (2018) proposed an apparent water permeability model that fully considers the wettability, total 107 organic carbon (TOC) content, pore size-related liquid slippage effect, and structural parameters of 108 the shale matrix. A simplified Bingham flow model has also been proposed to illustrate that the 109 water permeability of sandstone increases with increasing pressure gradient (Tanikawa & 110 Shimamoto, 2009). However, water in saturated shale is composed of bound water and free water 111 (Yang & Yu, 2020), and permeability models that take the simultaneous movement of free water 112 and bound water into consideration have rarely been reported.

113 This study is aimed at investigating different liquid permeabilities of shale samples collected 114 from the Qaidam Basin, China. Permeability measurements were performed on three samples at 115 various pressure differences and a constant confining pressure using helium, deionized water, 116 liquid carbon dioxide and sodium chloride solution as pore fluids. By using different fluids to 117 measure the permeability of the same sample, it was intended to obtain insights into the influence 118 of fluid-rock interactions on the permeability of shales. The flow rates and permeabilities of 119 different fluids were measured at different pressure gradients. The relationships between the flow 120 rate and pressure gradient and between the permeability and pressure gradient were established. 121 Based on the distributions of water with different movabilities in the shale nanopores, a 122 permeability model considering the simultaneous movement of bulk water and bound water with 123 non-Newtonian behavior is established. The permeability reduction magnitude was newly defined to quantitatively compare the permeabilities of the three liquids using helium absolute permeability as a reference value. The effects of mineral composition and pore structure on the permeability reduction magnitude were discussed.

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128 2. Methodology

129 **2.1. Materials**

130 2.1.1. Experimental samples

131 The shale samples used in the experiments were collected from the Carboniferous formation 132 in the eastern Qaidam Basin, China, at depths between 106 m and 404 m. Three cylindrical 133 samples were drilled from the shale cores perpendicular to the bedding plane and were labeled 134 samples C01, C02 and C03. Both sides of the specimens were ground smooth. The initial 135 dimensions of the sample plugs were 25 mm in diameter and 13~24 mm in length. The mineral 136 compositions of shale samples were determined by X-ray diffraction analysis and the TOC content 137 was measured by a LECO carbon sulfur analyzer. The results indicate that quartz, calcite and clay 138 are the dominant minerals, with traces of dolomite, feldspar and pyrite. The total clay proportion 139 was approximately 30%, and the clay mineralogy of the samples is dominated by illite clay, as 140 discrete illite and mixed-layer illite/smectite. The TOC values fall in the range of 0.55%~0.95%. A 141 detailed description of the mineral composition and organic matter properties of these samples can 142 be found in our previous study (Yang & Yu, 2020).

143 Shales generally have much smaller (orders of magnitude) permeabilities than sandstones and 144 carbonate rocks due to their nanoscale pore structures. The pores in shale can be categorized into 145 micropores (d<2 nm), mesopores (d=2~50 nm), and macropores (d>50 nm) by diameter (Loucks 146 et al., 2012). To comprehensively describe the pore structure parameters and pore size 147 distributions of the samples, mercury intrusion porosimetry, low-pressure N2 adsorption and 148 low-pressure CO₂ adsorption experiments were performed. A detailed description of the 149 measurement methodology is presented by Wang and Yu (2016). The pore size distributions of 150 samples C01, C02 and C03 are presented in Figure 1, which indicates that the samples display 151 extremely small pores, mainly concentrated in the 0.4~50 nm range. The proportions of pores with 152 diameters smaller than 50 nm account for 69%~88% of the total pore volume. The peak value in

Figure 1 indicates that the most probable pore diameter in the three samples is approximately 20
nm. These distribution modes represent the pore sizes of the main fluid flow paths in the samples,
thus providing a qualitative explanation for their low permeabilities.



159 Figure 1. Pore size distributions of the shale samples (low-pressure CO₂ adsorption for diameters less than 2 nm,

low-pressure N₂ adsorption for diameters ranging from 2 to 50 nm, and high-pressure mercury intrusion for
 diameters larger than 50 nm).

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163 The total porosity and cumulative specific surface area of the samples can also be determined by gas adsorption and mercury intrusion experiments (Wang & Yu, 2016; Zhang & Yu, 2019). As 164 165 shown in Table 1, the porosity values of samples C01, C02 and C03 are 1.36%, 1.30% and 1.52%, 166 respectively. The specific surface area values of the samples range from $6.85 \sim 9.83 \text{ m}^2/\text{g}$, 167 suggesting that strong interfacial interactions will occur as polar fluid is introduced. In addition, 168 the interpretation of mercury intrusion porosimetry data could be used to acquire the tortuosity, 169 bulk density, pore/throat ratio and compressibility of the sample (Carlos, 1998), which are 170 presented in Table 1. Note that the measured pore/throat ratio is related to the percent porosity 171 filled. The values listed in Table 1 correspond to the respective maximum percent porosities filled. 172 For samples C01, C02 and C03, the maximum percent porosities filled are 100%, 91% and 96%, 173 respectively.

- 174
- 175

Table 1 The lengths, pore structure parameters and compressibility of the shale samples.

Sample number	Length (cm)	Total	Cumulative		Pore	Pore-throat ratio	Compressibility
		porosity	specific surface area	Tortuosity	percentage		factor
		(%)	(m ² /g)		(%)		(1/psi)
C01	2.42	1.36	7.85	2.33	68.72	57.56	2.49×10 ⁻⁷
C02	2.40	1.30	6.85	2.22	87.99	12.94	5.73×10 ⁻⁸
C03	1.31	1.52	9.83	2.39	73.04	17.76	3.50×10 ⁻⁷

176 Note. The pore percentage refers to the ratio of the micro-meso-pore volume to the total pore volume.

177

178 2.1.2. Experimental fluids

179 The fluids used to investigate liquid permeability in this study include helium, deionized
180 water, liquid CO₂ and 1 mol/L NaCl solution.

High-purity helium is used as the permeating fluid to obtain the absolute permeability (Klinkenberg-corrected permeability) of the shale samples. The helium molecule is small and is able to flow through extremely small pore throats that are not accessible to the other gases (Sander et al., 2017). In addition, helium is a noble gas with extremely low absorptivity to shales and thus will not affect the shale matrix (Fakher & Imqam, 2020), which means that the fluid-rock 186 interfacial interactions are negligible.

187 Deionized water was chosen because its polar interactions are much more complex than those 188 of simple nonpolar liquids. These interactions involve hydrogen bonds, hydration forces and 189 electrostatic interactions. As a consequence, water has a high affinity for hydrophilic mineral 190 surfaces and a tendency to completely spread over them. This results in the formation of bound 191 water, which exhibits considerably different properties and structures compared with bulk water 192 and can negatively affect the movement of water.

Unlike water, CO₂ has a zero external electrical dipole moment and a low dielectric constant
(Iglauer, 2017). In addition, liquid CO₂ is a weakly polar fluid (Lundin et al., 2015). Using liquid
CO₂ as the testing fluid can theoretically reduce the effect of the fluid-rock interactions on the
transport of fluid and can also be used to analyze the influence of fluid polarity on permeability.

197 The diffuse layer in the electrical double layer (EDL) formed on mineral surfaces controls 198 various transport properties, including the electrical conductivity, osmotic efficiency coefficient, 199 and streaming potential coupling coefficient (Revil et al., 2011). At the high salinity limit 200 (typically >0.5 mol/L), the diffuse layer disappears, and the counterions are packed in the Stern 201 layer; outside the Stern layer, the pore water has the same salinity as the pore water (Revil & 202 Leroy, 2004). To remove the influence of the diffuse layer and maximize the contrast between the 203 test results of the deionized water and the solution, the 1 mol/L NaCl solution was used as the 204 permeating fluid (solubility of NaCl, 35.9 g/100 g at room temperature), which was obtained by 205 dissolving weighed quantities of reagent-grade salts in deionized water. The NaCl solution was 206 chosen because sodium is usually part of the chemical composition of hydraulic fracturing fluids 207 and is also present in shale pore water (Roshan et al., 2015).

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209 2.2. Permeability experiments

Both the steady-state technique and unsteady-state method (e.g., the pressure pulse decay method) have been used in shale permeability tests (Sander et al., 2017). Given that the unsteady-state method may overestimate permeability and has difficulty obtaining flow rate data, the steady-state method is used for all the experiments, although it is time-consuming. The permeability experiments conducted in this study can be categorized into three sets. In set 1, experiments of single-phase helium and water flow were conducted. The objective of this set was to obtain the absolute permeability and water permeability of the samples. This work was completed in our previous study (Yang & Yu, 2020). In set 2, the liquid CO₂ permeability of the samples was measured. In set 3, NaCl solution permeability experiments were conducted. The purpose of sets 2 and 3 was to investigate the effects of fluid-shale interfacial interactions on permeability.

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222 2.2.1. Experimental apparatus

223 The experimental apparatus used to measure permeability is shown in Figure 2. It mainly 224 consists of the following parts: (1) a core holder with a rubber sleeve, which was set horizontally 225 in the thermostat. (2) A fluid injection system controlled by a high-pressure constant-flow pump or 226 pressure-reduction valve. With this apparatus, fluid can be injected at a constant pressure, with an 227 accuracy of 0.01 MPa, into the core holder. (3) A confining and back pressure system, which was 228 used to create and maintain the confining pressure (around the rock sample) and back pressure (at 229 the outlet of the core holder). The injection pressure was at least 5 MPa lower than the confining 230 pressure to reduce the possibility of hydraulic fracturing within the shales and fluid leakage from 231 the gap between the rubber sleeve and the sample (Zhang & Yu, 2019). The fluid flowed out only 232 when the pressure exceeded the back pressure. (4) A vacuum pump, which was used to remove the 233 air from the core holder and the pipelines. (5) A data acquisition system, which was used to record 234 the pressures upstream and downstream of the core holder and the temperature once per minute. (6) 235 A thermostat system, which was used for adjusting and maintaining the experimental temperature.

236



Figure 2. Schematic diagram of the apparatus used for the liquid permeability experiments, improved from Yang and Yu (2020).

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241 2.2.2. Permeability tests

242 The water permeability experiments were carried out at a constant temperature of 30 °C and a 243 constant confining pressure of 15 MPa. Permeability was measured at 6~8 constant water pressure 244 differences that stepped down from the maximum value (9.5 MPa for C01 and C02, 7.4 MPa for 245 C03) to the minimum value (approximately 2 MPa). The maximum pressure was maintained for 246 approximately 4~8 days to remove the residual gas from the system. Individual permeability tests 247 took approximately 2~3 days to perform, depending upon the permeability of the sample and the 248 pressure conditions. The water flow volume was measured as a function of time by a capillary flow meter. When the measured water flow rate was less than 1×10^{-7} mL/s, the water pump and 249 250 the valve at the entrance of the core holder were shut; the water was let through the sample at the 251 residual pressure to obtain the TPG. A detailed description of the experimental processes can be 252 found in Yang and Yu (2020).

253 When a water permeability experiment was finished, the sample was carefully removed from 254 the apparatus and dried again. After that, the liquid CO_2 permeability was measured under the 255 same confining pressure. Before the liquid CO_2 experiment, sample C03 had to be ground smooth 256 again because of edge damage, causing its actual length to be 8 mm in subsequent tests. The 257 physical properties of CO₂ (e.g., density and viscosity) are highly pressure/temperature-dependent. 258 As shown in Figure 3, there exists a sudden change in viscosity and density when the pressure is 259 greater than the critical point, which means that gaseous CO_2 changes into liquid CO_2 . Changes in 260 state have a great effect on fluid viscosity, density, and consequently shale permeability. To more 261 easily reach the liquid state of CO_2 and avoid the supercritical state (which is reached at 31.8 °C 262 and 7.38 MPa), the experiments were conducted at room temperature ($19 \sim 22$ °C). The back 263 pressure was applied and increased to a value greater than the critical pressure of the gaseous CO_2 264 for liquid permeability measurement. A set of liquid permeability measurements was conducted by 265 increasing the back pressure from 6.4 to 7.0 MPa and adjusting the inlet pressure to create 266 different pressure differences. The liquid CO₂ flow rate was measured under ambient conditions 267 using a gas flow meter and converted to the flow rate at the corresponding mean pressure



Figure 3. The density and viscosity of carbon dioxide at different pressures and temperatures. The values under
different conditions were calculated using REFPROP software, which was developed by the National Institute of
Standards and Technology (Zhang & Yu, 2019).

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275 With the completion of the liquid CO_2 permeability experiments, the back pressure and inlet 276 pressure were slowly decreased to the atmospheric pressure. The samples were carefully removed 277 from the core holder and prepared for NaCl solution permeability experiments. The experimental 278 process and conditions used to measure the saline water permeability were consistent with those 279 used to measure the deionized water permeability. The only difference was that the vacuuming 280 time was extended before the pre-saturation experiments to reduce the effect of the dissolution of 281 residual CO₂ on the pore structure. During the saturation experiments, the edge of sample CO1 was 282 damaged. The sample was ground smooth again, resulting in an actual length of 12.42 mm for the 283 NaCl solution permeability experiments.

When the fluid flow reached steady state at a certain pressure difference, the permeabilities of the deionized water, liquid CO₂ and NaCl solution were calculated according to Darcy's law (Hildenbrand et al., 2004; Dong et al., 2012; Ghanizadeh et al., 2014; Zhang et al., 2016a):

$$k_{w,lc,NaCl} = \frac{Q_{w,lc,NaCl} \cdot \mu L}{A(p_1 - p_2)} \#(1)$$

where $k_{w,lc,NaCl}$ refers to the permeability of the deionized water, liquid CO₂, and NaCl solution, $Q_{w,lc,Na}$ is the flow rate of the corresponding fluid, *L* is the sample length, *A* is the cross-sectional area of the sample, and p_1 and p_2 are the upstream and downstream pressures, respectively. The parameter μ is the viscosity of the fluid. For the deionized water, the effective viscosity is used for

291	calculation (Yang & Yu, 2020); for the liquid CO_2 , the viscosity is shown in Figure 3; for the 1
292	mol/L NaCl solution, the viscosity at 30 °C is approximately 0.872 mPa·s based on the viscosity
293	value at 20 °C (1.1 mPa·s) and the relationship between the viscosity and temperature of water
294	(Kwon et al., 2004b; Chapuis, 2012).
295	

3. Results and discussion

3.1. Shale permeability

298 The experimental results of water flow are presented in detail in Yang and Yu (2020). To 299 intuitively compare these results with the results of the other two fluids, the measured water flow 300 rates and their corresponding permeabilities under different pressure gradients are cited here and 301 shown in Figure 4. It can be seen that no flow occurred when the pressure gradient was less than 302 the TPG. The water flow in the shale pores deviates from Darcy's law and shows characteristics of 303 plastic flow. The calculated water permeabilities of the three samples were no longer constant 304 because of the nonlinear relationship between the flow rate and pressure gradient. The measured water permeabilities ranged from $10^{-20} \sim 10^{-21}$ m² and increased rapidly with increasing pressure 305 306 gradient. A similar phenomenon was also found in sandstones, in which the water permeability 307 increased slightly with increasing pressure gradient (Tanikawa & Shimamoto, 2009).









Figure 4. The measured water flow rates and the corresponding permeabilities under different pressure gradients.This dataset was obtained in our previous work (Yang & Yu, 2020).

314 When liquid CO_2 was used as the permeating fluid, the flow experiments conducted on the 315 same sample indicated no measurable deviation from Darcy's law under the experimental 316 conditions. The flow rate vs. pressure gradient curve is a straight line that passes through the 317 origin (Figure 5). No TPG was observed. The liquid CO₂ permeability remained approximately 318 constant with increasing pressure gradient. For samples C01, C02 and C03, the permeabilities are $6.90 \times 10^{-19} \text{ m}^2$, $3.80 \times 10^{-20} \text{ m}^2$ and $1.59 \times 10^{-18} \text{ m}^2$, respectively. Similar experimental results have 319 320 also been reported for siltstones, in which the movement of liquid CO₂ obeys Darcy's law; the measured permeabilities were reported to be constants with values of 3.5×10^{-18} m² and 3.2×10^{-18} 321





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328 The experimental process of the NaCl solution flow experiments is shown in Figure 6, in 329 which the pressure differences are plotted as a function of time. Similar to the procedure for the 330 deionized water, each measurement was divided into high pressure displacement, step-by-step 331 depressurization and natural depressurization processes. Considering that the final steady pressure 332 differences of the three repeated tests were approximately equal when the deionized water was 333 used as the permeate (Yang & Yu, 2020), the natural depressurization process for the NaCl 334 solution flow tests was only carried out once. Although the calculation results show that the 335 diffuse layer thickness was as low as 0.3 nm and thus could be neglected (Revil & Leroy, 2004; 336 Tokunaga, 2011) when the concentration of NaCl solution was as high as 1 mol/L, the final steady 337 (or pseudo-steady) pressure differences for the three samples were still greater than zero. This 338 indicates that the movement of the 1 mol/L NaCl solution in the shale nanopores still need to reach 339 a critical pressure to overcome the flow resistance under the experimental conditions. The results 340 of the NaCl solution flow experiments are presented in Figure 7. The measured flow rates were $10^{-6} \sim 10^{-7}$ mL/s, and the movement of the NaCl solution did not obey Darcy's law, showing the 341 characteristics of deionized water flow. The permeabilities were in the range of $10^{-20} \sim 10^{-21}$ m² and 342 343 increased with increasing pressure gradient in the form of a power function.



345

Figure 6. The variation in the pressure difference between the two ends of the sample (1 mol/L NaCl solution). The
final pseudo-steady pressures for samples C01 and C02 at the natural depressurization stage fluctuate due to the
fluctuation in room temperature. The average value at the trough of pressure difference curve is taken as the



354 Figure 7. Measured NaCl solution flow rates and the corresponding permeabilities under different pressure355 gradients.

356

357 The fitting curves plotted in Figures 4-6 show that the regression equations, both for the flow358 rate and permeability, can be expressed by power functions:

$$Q_{w,lc,NaCl} = \gamma_1 (G - G_T)^{\beta_1} G \ge G_T # (2a)$$
$$k_{w,lc,NaCl} = \gamma_2 (G - G_T)^{\beta_2} G \ge G_T # (2b)$$

where γ and β are the fitting parameters, $G = (p_1 - p_2)/L$ is the pressure gradient, and G_T is 359 360 the TPG. The fitting results and fitting parameters are listed in Table 2. The liquid permeability 361 can be obtained by taking the derivative of the expression of the flow rate, and hence, it can be 362 found in Table 2 that the exponent β_1 is approximately 1 larger than the exponent β_2 . If the fluid 363 flow obeys Darcy's law (for example, liquid CO₂), Eq. (2b) reduces to a constant because the G_T 364 and β_2 are zero in that case. For deionized water, the exponent β_1 and β_2 of sample C02 are 365 larger than that of the other two samples. While for NaCl solution, the exponents (both for β_1 and 366 β_2) of sample C03 are obviously larger than the other two samples. In addition, the TPGs obtained 367 from the NaCl solution flow experiments are higher than those obtained from the deionized water 368 flow tests.

369

370 Table 2 The fitting equations and parameters of flow rate and permeability for the deionized water, liquid CO_2 and

371 1 mol/L NaCl solution.

Sample	Fitting	G_T	0	0		
number	Flow rate	Permeability	(MPa/cm)	ρ_1	μ_2	
C01	$Q_w = 9.10 \times 10^{-8} (G-0.136)^{2.37}$	$k_w = 5.34 \times 10^{-21} (G-0.136)^{0.96}$	0.136	2.37	0.96	
	$Q_{lc} = 4.90 \times 10^{-4} G$	$k_{lc} \approx 6.90 \times 10^{-19}$	0	1	0	
	$Q_{NaCl} = 5.68 \times 10^{-8} (G-0.468)^{1.86}$	$k_w = 8.71 \times 10^{-22} (G-0.468)^{0.88}$	0.468	1.86	0.88	
C02	$Q_w = 5.01 \times 10^{-9} (G-0.358)^{4.66}$	$k_w = 2.70 \times 10^{-22} (G-0.358)^{3.41}$	0.358	4.66	3.41	
	$Q_{lc} = 2.60 \times 10^{-5} G$	$k_{lc} \approx 3.80 \times 10^{-20}$	0	1	0	
	$Q_{NaCl} = 3.04 \times 10^{-7} (G-0.533)^{1.03}$	$k_w = 3.16 \times 10^{-21} (G-0.533)^{0.34}$	0.533	1.03	0.34	
C03	$Q_w = 9.58 \times 10^{-8} (G-0.111)^{2.06}$	$k_w = 4.08 \times 10^{-21} (G-0.111)^{0.97}$	0.111	2.06	0.97	
	$Q_{lc} = 1.13 \times 10^{-3} G$	$k_{lc} \approx 1.59 \times 10^{-18}$	0	1	0	
	$Q_{NaCl} = 2.14 \times 10^{-9} (G-0.156)^{4.14}$	$k_w = 2.59 \times 10^{-23} (G-0.156)^{3.30}$	0.156	4.14	3.30	

373 The permeabilities of the samples measured with the three liquids are summarized in Table 3. 374 For the same sample, the liquid CO₂ permeability remains constant and is higher than that of water, 375 suggesting that fluid polarity and fluid-rock interactions play an important role in the magnitude of 376 permeability. The measured NaCl solution permeability is less than the water permeability. When 377 the pressure gradient is approximately 3.5 MPa/cm, the deionized water permeability is 378 approximately 3~7 times larger than the NaCl solution permeability. Added salt confines the 379 bound water to the first monolayer, and hence, reduces the thickness of the bound water (Holt & 380 Kolsto, 2017). Theoretically, the NaCl solution permeability should be larger than the deionized 381 water permeability when the ionic concentration is as high as 1 mol/L. This contradiction would 382 be discussed in Section 3.2.3.

- 383
- 384

Table 3 The permeabilities of shale samples measured with different fluids.

Sample - number	Permeability (m ²)						
	Helium -	Deionized water		LimitCO	1 mol/L NaCl solution		
		Range	G≈3.5 MPa/cm		Range	G≈3.5 MPa/cm	
C01	2.52×10 ⁻¹⁸	4.18×10 ⁻²¹ -2.25×10 ⁻²⁰	1.50×10 ⁻²⁰	6.90×10 ⁻¹⁹	7.54×10 ⁻²² -5.32×10 ⁻²¹	2.22×10 ⁻²¹	
C02	3.48×10 ⁻¹⁹	$2.07{\times}10^{\text{-}21}\text{-}2.18{\times}10^{\text{-}20}$	1.27×10^{-20}	3.80×10 ⁻²⁰	1.86×10 ⁻²¹ -4.88×10 ⁻²¹	4.49×10 ⁻²¹	
C03	2.82×10 ⁻¹⁸	$3.66 \times 10^{-21} - 2.23 \times 10^{-20}$	1.35×10 ⁻²⁰	1.59×10 ⁻¹⁸	2.05×10^{-21} - 4.60×10^{-20}	2.29×10 ⁻²¹	

385 Note. The shale absolute permeability measured with helium is referred to Yang and Yu (2020)

386

387 3.2. Discussion

388 3.2.1. The dependence of permeability on pressure gradient

389 The aforementioned experimental results indicate that shale permeabilities measured with the 390 deionized water and NaCl solution are related to the pressure gradient and TPG, while the 391 permeability measured with the liquid CO_2 is approximately a constant and is independent of the 392 pressure gradient. This phenomenon can be explained by the following permeability model.

The pore geometry in shale is significantly complex and highly variable, including cylindrical, angular, and slit-shaped pores (Loucks et al., 2012; Afsharpoor & Javadpour, 2016). This work adopts the cylindrical pore assumption to establish the liquid permeability model. Given that the liquids used in this study are deionized water, sodium chloride solution and liquid CO₂, the permeability model is first deduced taking deionized water as an example. The 398 permeability models of the other two liquids can be derived on the basis of the simplification of399 the former model.

400 Owing to the strong water-rock interactions in shale, the structural and dynamic properties 401 (e.g., viscosity) of bound water formed on shale mineral surfaces dramatically deviate from those 402 of bulk water. Under a specific pressure gradient, the water flow in shale nanopores is actually the 403 simultaneous movement of free water and bound water. Thus, the conventional permeability 404 model is not applicable. In this work, a permeability model that considers the simultaneous 405 movement of free water and bound water with non-Newtonian behavior is derived. The details of 406 this derivation process is presented in Appendix A. It is assumed that the pore structure remains 407 unchanged under the experimental pressure due to the existence of EDL repulsion. The 408 permeability model is as follows:

$$k = \frac{\phi_e}{8\tau} \left[\frac{\mu_e}{\mu_w} \frac{r_{tp}^4}{r_e^2} + \frac{\mu_e}{\mu_i} \left(r_e^2 - \frac{r_{tp}^4}{r_e^2} \right) + \frac{4}{3} \frac{\mu_e}{\mu_i} \frac{2Lf_0(r_{tp}^3 - r_e^3)}{\Delta P r_e^2} \right] \#(3)$$

409 where k is the permeability, ϕ_e is the effective porosity, τ is the tortuosity; μ_w , μ_i , and μ_e are 410 the bulk water viscosity, bound water viscosity, and effective viscosity, respectively; r_e is the flow 411 radius; r_{tp} is the distance from the pore centerline to the threshold plane (Figure A1); f_0 is the 412 critical yield strength; ΔP is the pressure difference and *L* is the length. Eq. (3) denotes that for 413 porous media with nanopores and strong water-rock interactions, the water permeability is closely 414 related to the TPG and pressure gradient. The changes in water viscosity should also be taken into 415 account when calculating the water permeability.

416 For the movement of Newtonian fluid without fluid-rock interfacial interactions and medium417 deformation, Eq. (3) reduces to:

$$k = \frac{\phi R^2}{8\tau} \#(4)$$

418 where ϕ is the porosity. The helium absolute permeability can be approximated with Eq. (4).

419

420 3.2.2. Deionized water vs. liquid CO₂

421 Pore throats available for fluid flow are easily modified by the formation of an adsorption 422 layer at the mineral surfaces. Mesri and Olson (1971) reported that the permeabilities of pure clays 423 measured with nonpolar liquids (benzene and carbon tetrachloride) are higher than those measured 424 with polar liquids (water of varying ionic concentration), which proves that interfacial interaction 425 is an important factor influencing of permeability. The experimental results of this work indicate 426 that the liquid CO₂ permeability of shale is the highest permeability measured, followed by that of 427 the deionized water, and that the 1 mol/L NaCl solution permeability is the lowest permeability 428 measured. To quantitatively compare the permeabilities of the three liquids, the parameter (ω) was 429 defined to represent the permeability reduction magnitudes of the three liquids using helium 430 absolute permeability as a reference value. The expression of ω is as follows:

$$\omega = \log_{10} \frac{k_{He}}{k_{w,lc,NaCl}} \#(5)$$

Given that the permeabilities measured with the deionized water and NaCl solution depend on the
pressure gradient, the values at a pressure gradient of 3.5 MPa/cm were used for this analysis. The
calculated permeability reduction magnitudes for the different fluids and samples are shown in
Figure 8.



Figure 8. Difference between the helium and liquid permeabilities of shale samples.

437

435 436

438 Figure 8 shows that the liquid CO₂ permeability of a shale sample is several times lower than 439 the helium absolute permeability but is dozens of times higher than the deionized water 440 permeability. Liquid CO_2 is a weakly polar fluid with a high adsorption capacity, low viscosity, 441 high density and easy seepage diffusion, which makes it advantageous to be used in enhanced 442 coalbed methane (ECBM) extraction and organic synthesis (Lundin et al., 2015; Wei et al., 2021). 443 The experimental results of CO_2 adsorption in shale have shown that liquid CO_2 has a higher 444 adsorption capacity than gas and supercritical CO₂ (Fakher & Imqam, 2020). For liquid CO₂, Eq. 445 (3) becomes:

$$k = \frac{\phi_e r_e^2}{8\tau} \#(6)$$

446 Compared with the helium absolute permeability, the adsorption of CO_2 on shale mineral surfaces 447 could immobilize CO_2 and block part of the flow channel network, resulting in a decrease in the 448 effective porosity and flow radius and thus reducing the sample permeability (Song & Zhang, 449 2013; Sander et al., 2017). The magnitude of the decrease in permeability is closely related to the 450 mineral composition and pore structure of a sample.

451 Compared with the deionized water, liquid CO_2 not only showed higher permeability but also 452 presents different flow rate/permeability-pressure gradient relationships (Table 2 and Table 3). 453 This is attributed to the difference in the fluid properties and the strength of fluid-rock interfacial interactions. Water is a polar fluid with an electrical dipole moment of 6.186×10^{-30} C·m and a 454 455 relative dielectric constant of 78.54, while the electrical dipole moment and static dielectric 456 constant (at 6 MPa and 293 K) of CO₂ are 0 and 1.48, respectively (Tokunaga, 2009; Iglauer, 457 2017). Water molecules are more susceptible to interacting with the shale surface because of the 458 polarized nature of the water molecule and the existence of free charge on the mineral surface, 459 causing the formation of bound water with properties different from those of the bulk phase. 460 According to our previous work (Yang & Yu, 2020), the movability of bound water is related to 461 the distance from the solid surface. From the solid surface to the pore central line, water exhibits 462 immobility, shear fluidity, electro-viscosity effect and free flow. When hydrostatic pressure is 463 applied, the increase in movable water content with the pressure gradient leads to an increase in 464 water permeability. By comparison, the interactions between liquid CO_2 and the solid surface are 465 much smaller due to the weak polarity of liquid CO₂. Apart from the adsorption layer close to the 466 mineral surfaces, most of the liquid CO_2 in shale pores is weakly affected by the solid surface and 467 still exhibits the properties of a bulk fluid, thus resulting in a high and basically constant 468 permeability.

469

470 3.2.3. Deionized water vs. NaCl solution

471 The results presented in Table 2, Table 3 and Figure 8 indicate the following trends: (1) the 472 permeabilities measured with the NaCl solution and deionized water exhibit nearly identical 473 ranges $(10^{-20} \sim 10^{-21} \text{ m}^2)$. Similar experimental results have also been reported by Kwon et al. 474 (2004b), where the Wilcox shale permeabilities measured with distilled water, 1 mol/L NaCl 475 solution and 1 mol/L KCl solution differ by only ~20%. (2) The shale samples show a lower 476 permeability (G \approx 3.5 MPa/cm) but a larger TPG when permeated with NaCl solution. (3) Both the 477 deionized water and NaCl solution permeabilities increase with increasing pressure gradient 478 following a power function. Sample C02 has the largest power function exponent when permeated 479 with the deionized water, while sample C03 has the largest power function exponent when 480 permeated with the NaCl solution.

481 In general, increasing the salt concentration caused the permeability of tight media to 482 increase relative to that obtained with deionized water. Experimental results from flow 483 experiments performed on sandstones and clay-sand mixtures have shown that permeabilities to 484 deionized water are significantly lower than permeabilities to ionic solutions and that the 485 permeabilities measured with higher ionic concentrations are higher (Wei et al., 1986; Studds et al., 486 1998; Zhang & Sheng, 2017). In addition to ionic concentration, ionic valence also affects the 487 magnitude of permeability. The permeability is generally lower when the adsorbed cations are 488 monovalent rather than divalent (Mesri & Olsen, 1971; Kwon et al., 2004b).

489 Different mechanisms have been proposed in the literature to explain the influence of ionic 490 concentration on permeability, including compressed EDL, reduced wettability and 491 electric-viscous effects. The ionic concentration has a significant impact on wettability, and a 492 higher ionic concentration results in a higher contact angle (Roshan et al., 2015, 2016; Iglauer, 493 2017; Pan et al., 2019). The wettability test results obtained in this work also show that the contact 494 angle measured with the 1 mol/L NaCl solution is twice that measured with the deionized water 495 (Figure 9). Dissolved ions (of opposite charge) move toward the charged surface, resulting in a 496 rapid decay in the electrical potential, which reduces the surface polarity but increases the zeta 497 potential. This reduced surface polarity and increased zeta potential results in a lower 498 hydrophilicity; thus, the contact angle increases (Iglauer, 2017; Pan et al., 2019). In addition, the 499 dielectric constant of the 1 mol/L NaCl solution is 63 (77.6 for water at T = 300 K), and a lower 500 dielectric constant consequently increases the contact angle (Levy et al., 2012; Roshan et al., 501 2016). An increased contact angle results in a decrease in the wettability of the shale mineral 502 surface, thus reducing the strength of the water-rock interactions.



Figure 9. Measured contact angles on the shale surface at room temperature and atmospheric pressure. (a)
Deionized water-air contact angle, (b) 1 mol/L NaCl solution-air contact angle. Please refer to Yang and Yu (2020)
for a detailed description of the test methods.

503

508 The ionic concentration influences not only the surface charge density and zeta potential on 509 the solid surface but also the EDL thickness in the flow channel and therefore changes the 510 electro-kinetic transport properties (Wang et al., 2010). EDL theory predicts that the thickness of 511 the diffuse layer decreases with increasing pore-solution concentration (Studds et al., 1998; Revil 512 & Leroy, 2004; Yang & Yu, 2020). For the 1 mol/L NaCl solution used in this study, the EDL 513 effect on flow can be neglected, as the EDL thickness is very small in comparison with the flow 514 channel diameters. The pressure-dependent electro-viscous effect decreases as the ionic 515 concentration increases and can hardly be present at high salt concentrations (Swartzendruber, 516 1962). Experiments conducted on microchannels have shown that the flow resistance can reach 20% for a 10^{-4} mol/L KCl solution but can be neglected when a 10^{-2} mol/L KCl solution is used (Li, 517 518 2001). Therefore, a decrease in the EDL thickness and electroviscous effect can result in an 519 increase in permeability.

As mentioned above, for the 1 mol/L NaCl solution, the EDL was extremely compressed, and its negative effects on fluid flow could be neglected. If there is no rock deformation or the deformation is negligible under stress, the permeability measured with the NaCl solution would be larger than that measured with deionized water, and the permeability model would be consistent with Eq. (4), behaving as a constant. However, the pore fluid chemistry has a notable influence on 525 the compressibility behavior of porous media, and an increase in pore solution concentration 526 causes a reduction in compressibility (Ye et al., 2014; Zhang et al., 2016b), which means that the 527 samples saturated with the 1 mol/L NaCl solution were less deformable (lower porosity) than the 528 samples saturated with the deionized water. In addition, the presence of chemicals in the pore fluid 529 reduces the thickness of the EDL and consequently reduces the repulsive forces between minerals. 530 Under the experimental conditions (with a constant confining pressure of 15 MPa and different 531 inlet pressures), the effect of effective pressure on pore structure became prominent due to the 532 changes in the compressibility and reduction in the EDL repulsion forces. The movement of a 533 NaCl solution in shale will not obey Darcy's law with the deformation of the pore structure due to 534 fluid-solid-stress coupling. According to the relationship between the shale porosity and effective 535 stress (Dong et al., 2010), the corresponding permeability model can be expressed as follows:

$$k = \frac{\phi_0 \left(\frac{\sigma_e}{\sigma_0}\right)^{-q} R(\sigma_e)^2}{8\tau} \#(7)$$

where ϕ_0 denotes the porosity under atmospheric pressure (σ_0), σ_e is the effective pressure which is defined as the difference between the confining pressure and pore pressure (Dong et al., 2010; Kwon et al., 2004a), R(σ_e) represents the radius under the effective pressure, and q is a material constant.

540 Eq. (7) shows that the power function relation between the permeability measured with the 1 541 mol/L NaCl solution and the pressure gradient is attributed to the change in effective pressure. 542 Among the three samples, sample C03 exhibits the largest compressibility (Table 1), suggesting 543 that this sample was easier to compress and that the pore structure was more sensitive to the 544 change in effective pressure. Thus, the exponent of the permeability vs. pressure gradient curve is 545 largest for sample C03. When the deionized water was used as the permeate, the variation in 546 permeability with the pressure gradient was attributed to the change in the moving ratio or 547 movable water content because EDL repulsion can counterbalance the changes in effective 548 pressure. The exponent β of sample C02 was larger than that of the other two samples, resulting 549 from the fact that sample C02 had a larger bound water content (Yang & Yu, 2020). In addition, 550 when the 1 mol/L NaCl solution was introduced, the compression of the sample under the action 551 of stress led to the further reductions in the porosity and pore throat diameter, thus resulting in a permeability lower than that of the deionized water. A further decrease in nano-pore size may also
result in changes in the properties of a NaCl solution, such as viscosity and structure, and thus
increase the TPG.

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- 556

3.2.4. The effects of mineral composition and pore structure on changes in permeability

557 Mineral composition and pore structure, which determine the strength of fluid-rock 558 interactions and the size of flow channels, respectively, play a decisive role in controlling the 559 permeability of tight porous media. Minerals differ in their surface properties due to the 560 differences in mineralogy and crystal structure. One of the most important properties of minerals 561 is the strength of their affinity toward water, that is, wettability or hydrophilicity. The wettability of clay minerals, especially montmorillonite and illite, is greater than that of brittle minerals such 562 563 as quartz and calcite. Shales with a higher strongly hydrophilic mineral content tend to have 564 stronger fluid-rock interactions, resulting in a lower permeability. Experiments performed on fault 565 gouges also indicate that water permeability decreases with an increasing proportion of clay 566 (Takahashi et al., 2007; Crawford et al., 2008). In this work, the minerals in the samples are 567 mainly quartz, clay and carbonate minerals, with trace amounts of feldspar and pyrite. To analyze 568 the effect of mineral composition on the changes in permeability, the relationships between the 569 major mineral constituents and permeability reduction magnitude are shown in Figure 10.

570



573 Figure 10. The relationship between the major mineral constituents and permeability reduction, (a) quartz and574 calcite, (b) illite and smectite.

575

576 As illustrated in Figure 10, both the brittle mineral (quartz and calcite) and clay mineral 577 contents are poorly correlated with the permeability reduction magnitude. The effect of the 578 mineral composition on the liquid CO_2 permeability reduction magnitude is opposite to that of the 579 mineral composition on the deionized water and NaCl solution permeability reduction magnitudes. 580 This may be attributed to the difference in the fluid properties (viscosity and polarity) and in the 581 strength of the fluid-rock interactions. Behnsen and Faulkner (2011) measured the water and argon 582 permeabilities of eight phyllosilicate powers (including kaolinite, illite, smectite, talc and 583 muscovite) at room temperature. The results show that smectite exhibits the largest difference 584 between argon and water permeability (up to 1.8 orders of magnitude), while the differences for 585 illite, kaolinite, muscovite decrease in turn, and talc (the most hydrophobic mineral tested) 586 exhibits the smallest difference (0.4 orders of magnitude). Based on these findings, it is inferred 587 that the samples with a higher content of clay minerals tended to have a larger reduction 588 magnitude between the helium absolute permeability and water permeability. Figure 10(b) shows 589 some implications of this trend, but a quantitative relationship needs to be further studied.

590 By comparison, the influence of pore structure on the shale permeability is more direct and 591 significant. Porosity, specific surface area, pore radius and pore connectivity play a key role in the 592 magnitude of permeability, as shown by the Kozeny-Carman formula (Revil & Cathles, 1999). In 593 this study, correlations between permeability reduction magnitude and specific surface area and 594 porosity were established. As presented in Figure 11, the permeability reduction magnitudes of the 595 deionized water and NaCl solution are positively related to the specific surface area and porosity, 596 while liquid CO₂ shows the opposite relations. Water adsorption on shale minerals is strengthened 597 by the presence of nanometer-sized pores due to the large specific surface areas (Wang & Yu, 598 2020). The larger the surface area and porosity of a sample are, the higher the content of bound 599 water, thus increasing the permeability reduction magnitude. Compared with deionized water, the 600 adsorption capacity of liquid CO₂ on shales is relatively small due to the weak polarity and low 601 dielectric constant. The negative effects of adsorption on fluid flow become more prominent when 602 the sample has a lower porosity and a higher proportion of micro-mesopores; in this case, the 603 permeability reduction magnitude would be larger. In addition, a higher specific surface area and 604 porosity are usually related to a larger compressibility (Table 2). The change in pore structure is 605 considerable under the action of stress when NaCl solution is used as the permeate, which leads to







609 Figure 11. The dependence of pore structure on permeability reduction magnitude, (a) specific surface area, and (b) 610 porosity.

611

607 608

612 4. Conclusions

613 A series of experiments were conducted to investigate the role of fluid types in shale liquid 614 permeability using helium, deionized water, and liquid CO₂ as well as 1 mol/L NaCl solution as 615 permeates. The conclusions of the experiments are as follows.

616 The three liquids show distinct flow behaviors in shale due to the differences in fluid 617 properties and the strength of the fluid-rock interactions. The liquid CO₂ flow obeys Darcy's law, 618 and no TPG is observed. In contrast, the movement of the deionized water and NaCl solution 619 deviates from Darcy's law, and the TPG is observed. The relationship between the flow rate and pressure gradient can be described by $Q = \gamma_1 (G - G_T)^{\beta_1}$, where γ_1 and β_1 are fitting 620 621 parameters and G_T is the TPG. When the NaCl solution is used as the permeate, the TPG values 622 of samples C01, C02 and C03 are 0.468 MPa/cm, 0.533 MPa/cm and 0.156 MPa/cm, respectively, 623 which are slightly greater than the TPGs measured with deionized water.

624 The liquid permeability is the largest for the liquid CO_2 , followed by the deionized water, and lowest for the 1 mol/L NaCl solution. For samples C01, C02 and C03, the liquid CO2 625 permeabilities are constant, with values of 6.90×10^{-19} m², 3.80×10^{-20} m² and 1.59×10^{-18} m², 626 627 respectively. The permeabilities measured with the NaCl solution and deionized water exhibit 628 nearly identical ranges $(10^{-20} \sim 10^{-21} \text{ m}^2)$, and the permeability is lower for the NaCl solution under 629 the same pressure gradient. Both the deionized water and NaCl solution permeability increase with 630 increasing pressure gradient following a power function: $k = \gamma_2 (G - G_T)^{\beta_2}$, where γ_2 and β_2 631 are fitting parameters related to the sample properties.

632 According to the derivation of the water permeability model that takes into account the 633 simultaneous movement of bulk water and bound water with non-Newtonian behavior, it is argued 634 that the dependence of deionized water permeability on the pressure gradient and the TPG results 635 from the changes in water movability, while the power function relationship between the NaCl 636 solution permeability and pressure gradient is attributed to the changes in pore size caused by variations in effective pressure. Thus, for deionized water tests, the exponent β_2 is the largest for 637 638 sample C02, which has more mesopores and more hydrophilic minerals than the other samples. 639 When the NaCl solution is introduced as the pore fluid, the exponent β_2 is the largest for sample 640 C03, which has the largest compressibility.

641 The permeability reduction magnitude (ω) is defined to represent the difference between the 642 liquid permeability and helium absolute permeability. The mineral composition and pore structure 643 play a key role in the magnitude of ω . For the shale samples used in this study, ω ranges from 644 0.25~0.96 when liquid CO₂ is used as the permeate due to its weak polarity and strong adsorption 645 capacity; ω ranges from 1.44~2.32 when deionized water is used as the permeate because the 646 water molecules are susceptible to interacting with the shale surface to form bound water; ω 647 ranges from 1.89~3.09 when NaCl solution is used as permeate, which is attributed to the pore 648 compression caused by the decreased EDL repulsion force. These results further indicate that the 649 movement of fluids in geological media is a process of fluid-solid-stress coupling.

650

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658 Appendix A: Water permeability model

This work adopts the cylindrical pore assumption to establish a water permeability model that 659 660 considers the simultaneous movement of free water and bound water with non-Newtonian 661 behavior. Based on the differences in interfacial force and movability, Yang and Yu (2020) divided 662 the water in shale into the strongly bound water (L1), inner layer of weakly bound water (L2), 663 outer layer of weakly bound water (L3) and free water (L4) (Figure A1). Correspondingly, the 664 pores are also classified into four types according to the distribution of water with different 665 movability. Among them, pores with radii less than sp are filled with strongly bound water and 666 thus do not participate in flow; pores with radii larger than sp but smaller than tp contribute to 667 flow when the pressure gradient is larger than the TPG; pores with radii larger than tp contribute 668 to flow regardless of how small the applied pressure gradient is. The sp, tp and fp represent the 669 distances from the solid surface to the shear plane, threshold plane and free plane, respectively 670 (Yang & Yu, 2020). The model parameters are described in Figure A1.



Figure A1. (a) The distribution of water with four different movability as well as the corresponding model parameters in a cylinder pore. The flow zone consists of the Newtonian fluid area (*L*3+*L*4, the viscosity is μ_w) and Bingham fluid area (part of *L*2, the viscosity is μ_i). *R* is the pore radius, l_s is the thickness of immobile layer, r_e is the flow radius ($r_e = R - l_s$), μ_e is the effective viscosity of the flow area, Δ*P* is the pressure difference and *L* is the length. (b) The velocity distribution of seepage section with an inflection point at the threshold plane.

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671 672

679 In the region occupied by Newtonian fluid, the external force equals the viscous force when

680 the movement of water reaches the steady state (Figure A1):

$$\Delta P \cdot \pi r^2 = -\mu_w \cdot 2\pi r L \cdot \frac{dv_1}{dr} \#(A1)$$

681 where v_1 is the velocity at a distance of r from the pore centerline (r < r_{tp} , where r_{tp} is the 682 distance from the pore centerline to the threshold plane). Integrating, v_1 can be described as:

$$v_1 = -\frac{\Delta P}{4\mu_w L}r^2 + C_1 \#(A2)$$

683 where C_1 is the integration constant. The boundary conditions for the region of Newtonian fluid are $r = r_{tp}$, and $v_1 = v_{tp}$. Thus, the constant C_1 is given by the following expression: 684

$$C_1 = \frac{\Delta P}{4\mu_w L} r_{tp}^2 + v_{tp} \# (A3)$$

685 Then, Eq. (A2) can be written as follows:

$$v_1 = \frac{\Delta P(r_{tp}^2 - r^2)}{4\mu_w L} + v_{tp} \# (A4)$$

686 For the region occupied by bound water with non-Newtonian behavior, the rheological 687 equation is expressed as:

$$f = f_0 + \mu_i \left(-\frac{dv_2}{dr} \right) \# (A5a)$$
$$-\frac{dv_2}{dr} = \frac{f - f_0}{\mu_i} \# (A5b)$$

688 where f is the shear stress, f_0 is the critical yield strength (f_0 becomes 0 under Newtonian flow),

689 and v_2 is the velocity at a distance of r from the pore centerline (r > r_{tp}). The external force

690 equals to the viscous force when the flow reaches the steady state:

$$\Delta \mathbf{P} \cdot \mathbf{\pi} r^2 = 2\mathbf{\pi} \mathbf{r} \mathbf{L} \cdot f \# (A6a)$$
$$f = \frac{\Delta P \cdot r}{2L} \# (A6b)$$

691 Combining Eq. (A5b) and Eq. (A6b) yields the following equation:

$$-\mathrm{d}v_2 = \left[\frac{\Delta P \cdot \mathbf{r}}{2L\mu_i} - \frac{f_0}{\mu_i}\right] dr \# (A7)$$

692 Integrating, v_2 can be described as:

$$-v_2 = \frac{\Delta P r^2}{4L\mu_i} - \frac{f_0}{\mu_i} r + C_2 \# (A8)$$

693 where C_2 is the integration constant. The boundary conditions for the region of non-Newtonian

694 fluid are $r = r_e$ and $v_2 = 0$. Thus, the C_2 can be written as:

$$C_2 = \frac{f_0}{\mu_i} r_e - \frac{\Delta P r_e^2}{4L\mu_i} \# (A9)$$

695 Combining Eq. (A8) and Eq. (A9), the v_2 can be expressed as:

$$v_2 = \frac{\Delta P(r_e^2 - r^2)}{4\mu_i L} - \frac{f_0}{\mu_i} (r_e - r) \# (A10)$$

696 According to the boundary condition: $r = r_{tp}$, $v_2 = v_1 = v_{tp}$, the expression of v_{tp} 697 becomes:

 $v_{tp} = \frac{\Delta P(r_e^2 - r_{tp}^2)}{4\mu_i L} - \frac{f_0}{\mu_i} (r_e - r_{tp}) \# (A11)$

Using Eq. (A4), Eq. (A10) and Eq. (A11), the equation of the cross-sectional velocity
distribution is obtained as a piecewise function. The corresponding velocity distribution diagram
is presented in Figure A1(b).

$$v = \begin{cases} v_1 = \frac{\Delta P(r_{tp}^2 - r^2)}{4\mu_w L} + \frac{\Delta P(r_e^2 - r_{tp}^2)}{4\mu_i L} - \frac{f_0}{\mu_i} (r_e - r_{tp}) & 0 < r \le r_{tp} \\ v_2 = \frac{\Delta P(r_e^2 - r^2)}{4\mu_i L} - \frac{f_0}{\mu_i} (r_e - r) & r_{tp} \le r \le r_e \end{cases}$$
(A12)

According to Eq. (A12), the flow rate (*Q*) through the cylindrical pore can be defined as:

$$Q = \int_{0}^{r_{e}} v dA = \int_{0}^{r_{tp}} 2\pi r v_{1} dr + \int_{r_{tp}}^{r_{e}} 2\pi r v_{2} dr \,\#(A13a)$$
$$Q_{1} = \int_{0}^{r_{tp}} 2\pi r v_{1} dr \,\#(A13b)$$
$$Q_{2} = \int_{r_{tp}}^{r_{e}} 2\pi r v_{2} dr \,\#(A13c)$$

702 where Q_1 and Q_2 are the flow rates through the Newtonian fluid area and Bingham fluid area, 703 respectively.

704 Combining Eq. (A12) and Eq. (A13), Q_1 and Q_2 can be calculated with the following 705 expressions:

$$Q_{1} = \frac{\pi r_{tp}^{4} \Delta P}{8\mu_{w}L} + \pi r_{tp}^{2} \left[\frac{\Delta P(r_{e}^{2} - r_{tp}^{2})}{4\mu_{i}L} - \frac{f_{0}}{\mu_{i}} (r_{e} - r_{tp}) \right] \# (A14a)$$
$$Q_{2} = \pi \left(\frac{\Delta P r_{e}^{2}}{4\mu_{i}L} - \frac{f_{0}r_{e}}{\mu_{i}} \right) (r_{e}^{2} - r_{tp}^{2}) + 2\pi \frac{f_{0}}{\mu_{i}} \left(\frac{r_{e}^{3} - r_{tp}^{3}}{3} \right) - \frac{\pi \Delta P}{8\mu_{i}L} (r_{e}^{4} - r_{tp}^{4}) \# (A14b)$$

706 Combining Eq. (A14a) and Eq. (A4b), Eq. (A13a) then becomes:

$$Q = \frac{\pi r_{tp}^4 \Delta P}{8\mu_w L} + \frac{\pi \Delta P \left(r_e^4 - r_{tp}^4\right)}{8\mu_i L} + \frac{\pi f_0 \left(r_{tp}^3 - r_e^3\right)}{3\mu_i} \# (A15)$$

707 Assuming that the cross-sectional area of a rock is *A*, and there is a set of *n* capillaries with

708 the same radius R per unit area, the flow rate (Q_r) through a rock can be described as:

$$Q_r = nA \left[\frac{\pi r_{tp}^4 \Delta P}{8\mu_w L} + \frac{\pi \Delta P (r_e^4 - r_{tp}^4)}{8\mu_i L} + \frac{\pi f_0 (r_{tp}^3 - r_e^3)}{3\mu_i} \right] \# (A16)$$

709 The flow rate (Q_D) using Darcy's law is:

$$Q_D = \frac{kA\Delta P}{\mu_e L} \# (A17)$$

710 where *k* is the permeability.

711 Combining Eq. (A16) and Eq. (A17), the permeability *k* then becomes:

$$k = n\pi \left[\frac{\mu_e r_{tp}^4}{8\mu_w} + \frac{\mu_e (r_e^4 - r_{tp}^4)}{8\mu_i} + \frac{\mu_e f_0 L (r_{tp}^3 - r_e^3)}{3\mu_i \Delta P} \right] \# (A18)$$

712 The porosity (ϕ) is defined as follows:

$$\phi = \frac{nA\pi R^2 L_e}{AL} = n\pi\tau R^2 \#(A19)$$

713 where L_e is the actual seepage length and τ is the tortuosity. Then, Eq. (A18) becomes:

$$k = \frac{\phi}{8\tau R^2} \left[\frac{\mu_e}{\mu_w} r_{tp}^4 + \frac{\mu_e}{\mu_i} \left(r_e^4 - r_{tp}^4 \right) + \frac{4}{3} \frac{\mu_e}{\mu_i} \frac{2L f_0 \left(r_{tp}^3 - r_e^3 \right)}{\Delta P} \right] \# (A20)$$

714 A new parameter, effective porosity (ϕ_e) , is defined as:

$$\phi_e = \phi \left(1 - \frac{l_s}{R} \right)^2 \#(A21)$$

715 Combining Eq. (A20) and Eq. (A21), the permeability can be described as:

$$k = \frac{\phi_e}{8\tau} \left[\frac{\mu_e}{\mu_w} \frac{r_{tp}^4}{r_e^2} + \frac{\mu_e}{\mu_i} \left(r_e^2 - \frac{r_{tp}^4}{r_e^2} \right) + \frac{4}{3} \frac{\mu_e}{\mu_i} \frac{2Lf_0(r_{tp}^3 - r_e^3)}{\Delta P r_e^2} \right] \# (A22)$$

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