

# Regional and Seasonal Trends in Tropical Ozone from SHADOZ Profiles: Reference for Models and Satellite Products

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November 30, 2022

## Abstract

Understanding lowermost stratosphere (LMS) ozone variability is an important topic in the trends and climate assessment communities because of feedbacks among changing temperature, dynamics and ozone. LMS evaluations are usually based on satellite observations. Free tropospheric (FT) ozone assessments typically rely on profiles from commercial aircraft. Ozone sonde measurements constitute an independent dataset encompassing both LMS and FT. We used Southern Hemisphere Additional Ozone sondes (SHADOZ) data (5.8°N to 14°S) from 1998-2019 in the Goddard Multiple Linear Regression model to analyze monthly mean FT and LMS ozone changes across five well-distributed tropical sites. Our findings: (1) both FT (5-15 km) and LMS (15-20 km) ozone trends show marked seasonal variability. (2) All stations exhibit FT ozone increases in February-May (up to 15%/decade) when the frequency of convectively-driven waves have changed. (3) After May, monthly ozone changes are both positive and negative, leading to mean trends of +(1-4)%/decade, depending on station. (4) LMS ozone losses reach (4-9)%/decade mid-year, correlating with an increase in TH as derived from SHADOZ radiosonde data. (5) When the upper FT and LMS are defined by tropopause-relative coordinates, the LMS ozone trends all become insignificant. Thus, the 20-year decline in tropical LMS ozone reported in recent satellite-based studies likely signifies a perturbed tropopause rather than chemical depletion. The SHADOZ-derived ozone changes highlight regional and seasonal variability across the tropics and define a new reference for evaluating changes derived from models and satellite products over the 1998 to 2019 period.

# 1 Regional and Seasonal Trends in Tropical Ozone from SHADOZ Profiles: 2 Reference for Models and Satellite Products

9 October 2021

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## 23 24 Key Points:

- 25 • Trends (1998-2019) in free troposphere (FT) O<sub>3</sub> at 5 SHADOZ sites are ~(1-4)%/decade,  
26 lower than some satellite or aircraft profile estimates
- 27 • Corresponding lowermost stratospheric (LMS) O<sub>3</sub> changes are ~(-3)%/decade,  
28 coinciding with an increase in tropopause height
- 29 • Both FT and LMS O<sub>3</sub> trends vary seasonally and regionally, defining new references for  
30 evaluating assessment models and satellite products

31  
32 **Keywords:** Tropical Tropopause, Ozone Trends, Lower Stratosphere, Free Troposphere,  
33 SHADOZ

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35 Index Terms: 341, 365, 1620, 3309, 3314

37 **Abstract.** Understanding lowermost stratosphere (LMS) ozone variability is an important topic  
 38 in the trends and climate assessment communities because of feedbacks among changing  
 39 temperature, dynamics and ozone. LMS evaluations are usually based on satellite observations.  
 40 Free tropospheric (FT) ozone assessments typically rely on profiles from commercial aircraft.  
 41 Ozone measurements constitute an independent dataset encompassing both LMS and FT.  
 42 We used Southern Hemisphere Additional Ozonesondes (SHADOZ) data (5.8°N to 14°S) from  
 43 1998-2019 in the Goddard Multiple Linear Regression model to analyze monthly mean FT and  
 44 LMS ozone changes across five well-distributed tropical sites. Our findings: (1) both FT (5-15  
 45 km) and LMS (15-20 km) ozone trends show marked seasonal variability. (2) All stations exhibit  
 46 FT ozone increases in February-May (up to 15%/decade) when the frequency of convectively-  
 47 driven waves have changed. (3) After May, monthly ozone changes are both positive and  
 48 negative, leading to mean trends of +(1-4)%/decade, depending on station. (4) LMS ozone  
 49 losses reach (4-9)%/decade mid-year, correlating with an increase in TH as derived from  
 50 SHADOZ radiosonde data. (5) When the upper FT and LMS are defined by tropopause-relative  
 51 coordinates, the LMS ozone trends all become insignificant. Thus, the 20-year decline in tropical  
 52 LMS ozone reported in recent satellite-based studies likely signifies a perturbed tropopause  
 53 rather than chemical depletion. The SHADOZ-derived ozone changes highlight regional and  
 54 seasonal variability across the tropics and define a new reference for evaluating changes  
 55 derived from models and satellite products over the 1998 to 2019 period.

56  
 57 **Plain Language Summary.** Understanding free troposphere (FT) and lowermost stratosphere  
 58 (LMS) ozone trends is important. If FT ozone increases, it will augment global warming. If LMS  
 59 ozone has declined in the past 20 years it could mean that something is amiss in atmospheric  
 60 conditions despite successes of the Montreal Protocol to eliminate ozone-depleting chemicals  
 61 from the stratosphere. This study used high-accuracy, high-resolution (~150 m) ozone profiles  
 62 from balloon-borne sondes to determine changes over the tropics. The data come from five sites  
 63 in the Southern Hemisphere Additional Ozonesondes (SHADOZ) archive covering 1998-2019. A  
 64 summary of results: (1) both FT (5-15 km) and LMS (15-20 km) ozone trends show marked  
 65 seasonal variability. (2) All stations exhibit strong positive FT ozone trends in the February-May  
 66 period but annual means at several stations comparable to the IAGOS record are  $\leq 2\%$ /decade.  
 67 (3) LMS ozone losses range from (4-9)%/decade mid-year and appear to be an artifact of an  
 68 increasing tropopause height. Therefore, the 20-year decline in tropical LMS ozone published in  
 69 satellite-based studies may signify a perturbed tropopause, i.e., a climate signal. Our SHADOZ-  
 70 derived ozone trends are available for models, challenging them to reproduce the regional and  
 71 seasonal variations we find in recent trends.

72

## 73 **1 Introduction**

### 74 **1.1 Trends in Free Tropospheric and Lowermost Stratospheric Ozone**

75 Trends in tropical free tropospheric (FT) ozone have been featured in studies that use model  
 76 results (*Zhang et al., 2016*), satellite data (*Gaudel et al., 2018; Ziemke et al., 2019*) and  
 77 commercial aircraft profiles (*Gaudel et al., 2020*). *Gaudel et al. (2018)* summarize global  
 78 uncertainties, displaying trends in tropical tropospheric ozone from five satellite-derived maps

79 that disagree in magnitude and even sign. Changes based on various Aura/OMI (2005-2016)  
80 products ranged from  $\sim(10-25)\%/decade$ . Using commercial aircraft data (<http://iagos.org>; In-  
81 service Aircraft for a Global Observing System) from a small number of urban airports in the  
82 northern tropics, *Gaudel et al. (2020)* report trends in tropical FT ozone equivalent to  $+(3-$   
83  $5)\%/decade$ .

84 Studies with satellite data, including Aura OMI and MLS, also reflect uncertainty in both FT  
85 and LMS ozone trends over the past 15-20 years. Recent work with merged satellite datasets  
86 (SWOOSH, GOZCARDS, Merged SBUV; *SPARC/IO3C/GAW, 2019*) in the mid to lower  
87 stratosphere, along with chemistry-transport models (*Stauffer et al., 2019*) and ozone  
88 assimilations, indicate the uncertainty of possible LMS ozone trends (*Ball et al., 2018*;  
89 *Chipperfield et al., 2018*; *Wargan et al., 2018*), at least on a zonally averaged basis. For example,  
90 the products summarized by *Ball et al. (2018)*, suggest a 20-yr (1998-2016) lowermost  
91 stratospheric (LMS) ozone loss up to  $3\%/decade$ , whereas *Wargan et al. (2018*; their Figure 3)  
92 show a comparable *increase* in tropical LMS ozone over the same period. A new study (*Szelag et*  
93 *al., 2020*) with four satellite products reports LMS ozone losses of  $(2-3)\%/decade$  in the tropics,  
94 a value that agrees with the most recent analysis of satellite data and with many models (*Ball et*  
95 *al., 2020*).

96 Ozone sonde data are widely used by the scientific community for satellite validation and  
97 model evaluation, especially in the region from  $\sim 5-20$  km, where uncertainties in most satellite  
98 measurements are relatively large and feedbacks among temperature, dynamics, ozone and  
99 water vapor are complex and important. SHADOZ (Southern Hemisphere Additional  
100 Ozone sondes; *Thompson et al., 2003a; 2012*) is a 14-station tropical and subtropical network  
101 that has archived  $> 9000$  profiles since 1998. In this study we determine trends in tropical FT  
102 and LMS ozone trends with reprocessed v06 SHADOZ profiles (*Thompson et al., 2017; Witte et*  
103 *al., 2017; 2018*) that are better resolved (100-150 m in the vertical) than satellite  
104 measurements below 20 km. Thus, with a single data set interannual and seasonal variability  
105 throughout the FT, LMS and the critical tropopause transition layer between them are analyzed.  
106 There are other advantages of SHADOZ data. The SHADOZ measurements, distributed across  
107 eight tropical stations (*Thompson et al., 2003a*), capture geographical variability and cover  
108 troposphere and stratosphere with  $\sim 5\%$  precision. Most SHADOZ locations are relatively free of  
109 urban influence so trends in FT ozone represent changes in background ozone over a large

110 segment of the tropics. Another advantage of the SHADOZ data is that potential temperature  
111 readings from the radiosondes accompanying the ozonesonde launches provide direct  
112 information on dynamical factors that may be related to oscillations and trends.

### 113 **1.2 Role of Climate Oscillations and Convection in Tropical Ozone Variability**

114 Early studies of FT and LMS ozone variability with SHADOZ profiles focused on convective  
115 influences (*Folkins et al., 2000; 2002*) and biomass burning (*Oltmans et al., 2001*) over the  
116 western Pacific. More generally, *Thompson et al. (2003b)* showed that a mixture of dynamical  
117 and chemical influences determines FT ozone seasonal patterns at all SHADOZ stations. This  
118 view has been confirmed in studies of field campaigns (*Swap et al., 2003; Thouret et al., 2009*)  
119 and satellite observations (*Nassar et al., 2009*).

120 ENSO-perturbed patterns of convection, precipitation and fire lead to variability in FT and  
121 LMS ozone profiles that vary station to station. In some cases, the ENSO leads to positive ozone  
122 anomalies; at other locations, ozone may decrease (*Thompson and Hudson, 1999; Randel and*  
123 *Thompson, 2011*). *Thompson et al. (2001)* used sonde and satellite data to demonstrate that  
124 even when fires cause exceptional pollution, as over Indonesia in 1997-1998, dynamical  
125 anomalies like the ENSO and Indian Ocean Dipole are major factors in a tropospheric ozone  
126 buildup. Other studies linking dynamics and FT and LMS ozone variability have examined the  
127 QBO (*Witte et al., 2008*). Compared to HALOE on UARS (Halogen Occultation Experiment, Upper  
128 Atmosphere Research Satellite), SHADOZ sonde profiles show more structure in the LMS.  
129 Employing different statistical approaches, *Lee et al. (2010)* and *Randel and Thompson (2011)*  
130 found that QBO and ENSO impacts on FT and LMS ozone varied among stations within  $\pm 12$   
131 degrees latitude of the equator over the first 12 years of SHADOZ (1998-2009).

132 *Thompson et al. (2011)* reported on convectively-generated wave activity in the LMS for ten  
133 stations over the first decade (1998-2007) of the SHADOZ record. Laminae in ozone and  
134 potential temperature profiles were used to identify vertical displacements in segments up to 20  
135 km that are attributed to convectively-generated waves (*Grant et al., 1998*). Using a Gravity  
136 Wave Index (GWI) based on laminae frequency, ozone variations were linked to the ENSO cycle  
137 (*Thompson et al., 2011*). Strong relationships between gravity waves and ozone vertical  
138 structure are also indicated when FT ozone profiles are classified by Self-Organizing Maps  
139 (SOM; *Jensen et al., 2012; Stauffer et al., 2018*). The lowest ozone mixing ratios from ~5 to 15 km  
140 at SHADOZ stations coincide with the most intense convective activity, as indicated by wind

141 velocity potential, geopotential height, cloud cover, etc. Profiles with the highest ozone mixing  
142 ratios occur under stable meteorological conditions along with elevated concentrations of  
143 pollutants as seen by satellite. Signatures of the Madden-Julian Oscillation in ozone variations  
144 over the western Pacific/eastern Indian Ocean have been reported in SHADOZ profiles (*Stauffer*  
145 *et al.*, 2018) and in satellite estimations of tropospheric ozone (*Ziemke and Chandra*, 2003).

### 146 **1.3 This Study**

147 The uncertainty in lower atmospheric ozone changes over the past two decades and the  
148 documented impact of seasonal convection and climate oscillations on tropical ozone are  
149 motivation for examining ozone variability and trends with the 22-year SHADOZ record. First,  
150 we review seasonal and regional variations in FT and LMS ozone SHADOZ observations and  
151 convective activity as signified by ozone and radiosonde laminae. Second, trends in ozone  
152 profiles from 1998-2019 are determined with a standard Multiple Linear Regression (MLR)  
153 model. To investigate possible mechanisms for FT and LMS ozone changes, the MLR model is  
154 also applied to tropopause height derived from the SHADOZ radiosondes. We address the  
155 following questions:

- 156 • What are the trends, if any, in FT and LMS ozone in the tropics?
- 157 • Are there regional and/or seasonal variations in the trends?
- 158 • Do the sonde data provide useful information on dynamical factors connected to trends?

159 Depending on the station location we find negligible to small trends in ozone with distinct  
160 seasonality over the 22-year period, positive in the FT and negative in the LMS. The FT changes  
161 are strongest in February to May, when ozone is a minimum, and become negative about half  
162 the time during the remainder of the year. The LMS trend maximizes mid-year when there is an  
163 increasing trend in tropopause height (TH). The monthly averaged ozone and TH data along  
164 with the corresponding MLR model best-fit output are available to the satellite and modeling  
165 communities as an objective reference for their products. Data and analysis methods appear in  
166 **Section 2** with Results and Discussion in **Section 3**. **Section 4** is a summary.

167

## 168 **2. Data and Methods of Analysis**

### 169 **2.1 FT and LMS Definitions**

170 The analyses below span the surface to 20 km with the main results discussed referring to  
171 two FT segments: 5-10 km; 10-15 km. Ozone and pressure-temperature-humidity (P-T-U) data

172 below 5 km are not used because sampling times vary among stations. Station launch times are  
173 subject to change; at one SHADOZ station, for example, a trend in boundary-layer ozone was  
174 reported that was an artifact of a 5-hr launch change (*Clain et al., 2009; Thompson et al., 2014*).  
175 We use 15-20 km for the LMS, because this is where convective impacts on waves maximize  
176 (*Thompson et al., 2011*) and where *Randel et al. (2007)* identified a distinct ozone annual cycle  
177 driven by the Brewer-Dobson circulation. The LMS includes most of the tropical tropopause  
178 layer (13.5-18.5 km) and several km above the tropical cold-point and thermal lapse-rate  
179 tropopauses over the SHADOZ sites (*Selkirk et al., 2010; Thompson et al., 2012*).

## 180 **2.2 Reprocessed SHADOZ Data**

181 Ozone data are taken from the SHADOZ archive (<https://tropo.gsfc.nasa.gov/shadoz>); the  
182 profiles measured originate from electrochemical concentration cell ozonesondes coupled to  
183 standard radiosondes. For analysis of tropical ozone for the years 1998-2019, we use v06 data  
184 from eight of the 14 long-term stations (**Table 1**) that are located between 5.8N and 14S. For  
185 more reliable statistics three of the “stations” or “sites” as they are referred to (**Figure 1**), are  
186 based on combining profiles from pairs of launch locations abbreviated as follows: SC-Para for  
187 San Cristóbal-Paramaribo; Nat-Asc for Natal-Ascension; KL-Java for Kuala Lumpur-Watukosek.  
188 For each station pair in **Figures S1-S3** (left panels) the time-series of the ozone column amounts  
189 (in Dobson Units, 1 DU =  $2.69 \times 10^{16} \text{ cm}^{-2}$ ) at three altitude ranges appear. The ozone column  
190 amounts in the lower FT (5-10 km), range from 5-15 DU for SC-Para (**Figure S1a**) but extend  
191 from 5 to 20 DU for Nat-Asc (**Figure S2a**). In the eastern Indian Ocean, over KL-Java, (**Figure**  
192 **S3a**), the ozone columns in the lower FT range from 5-10 DU. In the upper FT (10-15 km) the  
193 typical lower limit for column ozone is 3 DU at all three sites (**Figures S1b, S2b, S3b**) but the  
194 means show distinct differences: 6 DU at SC-Para; 8.5 DU for Nat-Asc; and < 5 DU at KL-Java.  
195 The right-side panels for each pair in **Figures S1-S3**, that display the mean monthly ozone  
196 column amount ( $\pm 1 \sigma$ ), further clarify the pairing choices. *Jensen et al. (2012)* established close  
197 similarities of Natal and Ascension FT ozone from 12 years of SHADOZ soundings along with  
198 related meteorological factors using self-organizing maps (Section 2.5). Note in **Figure S2e** the  
199 close agreement of upper FT column ozone at the two stations, especially from August to  
200 December when there is a broad seasonal maximum. Although column ozone amounts at  
201 Paramaribo (**Figure S1e**) resemble those of Natal and Ascension in the upper FT (**Figure S2e**),  
202 Paramaribo has a 30-40% smaller ozone column than Natal and Ascension in the lower FT (cf

203 **Figures S1d** and **S2d**). In the LMS there is a steady dropoff in SC-Para ozone from September to  
204 December (**Figure S1f**) 16 to 12 DU, that does not occur over Natal and Ascension (cf **Figure**  
205 **S2f**). Thus, although Natal is approximately the same distance from Paramaribo and Ascension,  
206 the similarities in seasonal ozone patterns argue for pairing Natal with Ascension instead of  
207 Paramaribo.

208 A second approach to pair selection is based on comparing satellite estimates for  
209 tropospheric ozone to total tropospheric ozone measured by the sondes. In the upper panel of  
210 the frames in **Figure S4** the OMI/MLS estimate of monthly-averaged tropospheric column ozone  
211  $\text{TrCO}_{\text{sat}}$  (*Ziemke et al., 2006; Ziemke et al., 2019*;  $1^\circ \times 1.25^\circ$  product, co-located at the 8 tropical  
212 SHADOZ sites) is presented with the monthly mean integrated tropospheric column ozone from  
213 the sondes,  $\text{TrCO}_{\text{sonde}}$ . The lower panels in **Figure S4** display the mean offsets of the two  $\text{TrCO}$   
214 quantities in DU and %, along with the average offset. A scatterplot of all  $\text{TrCO}$  comparisons for  
215 the 8 stations (**Figure S5a**) gives a  $r^2 = 0.72$ ; there is markedly less correlation when  $\text{TrCO}_{\text{sat}}$  and  
216  $\text{TrCO}_{\text{sonde}}$  for the four subtropical SHADOZ stations are analyzed (**Figure S5b**). Regional  
217 differences in the offset (sonde-satellite in %) support the pairings in **Figures S1-S3**. For  
218 example,  $\text{TrCO}_{\text{sat}}$  ranges from 6-12% low in the eastern Indian Ocean and Atlantic regions but is  
219 3% higher than  $\text{TrCO}_{\text{sonde}}$  at Samoa; for Fiji (not shown),  $\text{TrCO}_{\text{sat}}$  exceeds  $\text{TrCO}_{\text{sonde}}$  by 6%.

220 The v06 SHADOZ data, reprocessed in 2016-2018, reduced inhomogeneities due to  
221 instrument or data-handling changes (*Witte et al., 2017; 2018*) such that sonde total ozone  
222 column (TOC) amounts agree with ground-based or satellite data within 2% for all but one  
223 station. Data from a number of SHADOZ stations display a 3-6% dropoff in TOC after 2013  
224 (*Sterling et al., 2018; Stauffer et al., 2020*) relative to satellite and/or ground-based readings.  
225 For the Costa Rican station (10N, 84W), a ~5% dropoff occurs in FT ozone (*Stauffer et al., 2020*)  
226 so those measurements are not used. For the stations analyzed here, the dropoff is confined to  
227 readings above 50 hPa (~20 km) and does not affect the results.

### 228 **2.3 Multiple Linear Regression Model (MLR)**

229 In order to quantify factors leading to seasonal and interannual variability as well as trends, a  
230 standard MLR model (original version *Stolarski et al., 1991*, updated in *Ziemke et al., 2019*) is  
231 applied to monthly mean ozone profiles for the 5 stations: the 3 combined sites, Nairobi and  
232 Samoa. The reasoning behind the choice of station combinations was summarized in **Section 2.2**  
233 and **Figures S1-S3**. In order to account for any biases that could arise from intersite ozone

234 differences between the chosen pairs, we calculate ozone anomalies from the individual  
 235 station's monthly climatology for all profiles before combining the pairs into monthly means  
 236 and computing the MLR ozone trends. This procedure avoids "false" trends resulting from  
 237 periods where the data record shifts to being available at only one of two stations (e.g. 2014-  
 238 2019 at KL-Java; **Figure S3**). This same technique is applied to the 380 K potential temperature  
 239 surface (tropopause height) as discussed below. For consistency, the ozone and tropopause  
 240 height anomaly calculations are also applied to individual stations, where comparisons of MLR  
 241 ozone and tropopause height trends without calculating anomalies show negligible differences.

242 The MLR model includes terms for annual and semi-annual cycles and oscillations prevalent  
 243 in the tropics: QBO, MEI (Multivariate ENSO Index, v2) and IOD DMI (Indian Ocean Dipole  
 244 Moment Index; only for KL-Java):

$$O_3(t) = A(t) + B(t) + C(t)MEI(t) + D(t)QBO1(t) + E(t)QBO2(t) + F(t)IOD(t) + \varepsilon(t)$$

245 where t is month. The coefficients are as follows: A through F include a constant and periodic  
 246 components with 12, 6, 4, and 3 month cycles, where A represents the mean monthly seasonal  
 247 cycle and B represents the month-dependent linear trend. The model includes data from the  
 248 MEIv2 (<https://www.esrl.noaa.gov/psd/enso/mei/>), the two leading QBO EOFs from Singapore  
 249 monthly mean zonal radiosonde winds at 10, 15, 20, 30, 40, 50, and 70 hPa levels, and IOD DMI  
 250 ([https://psl.noaa.gov/gcos\\_wgsp/Timeseries/Data/dmi.had.long.data](https://psl.noaa.gov/gcos_wgsp/Timeseries/Data/dmi.had.long.data)). The  $\varepsilon(t)$  is the residual,  
 251 i.e., the difference between the best-fit model and the raw data. Monthly ozone data and model  
 252 fits for the mid FT (5-10 km) and LMS (**Figures S6 and S7**) are well-correlated; for the LMS, for  
 253 example, the correlation coefficients are  $r = 0.83-0.90$  (**Figure S7**). The IOD DMI term is  
 254 included for KL-Java because that was the only station where the IOD DMI accounted for an  
 255 ozone response different from zero with a p-value  $< 0.05$ . The 95% confidence intervals and p-  
 256 values for each term in the MLR model and presented in this study are determined using a  
 257 moving-block bootstrap technique (10,000 resamples) in order to account for auto-correlation  
 258 in the ozone time series (*Wilks, 1997*). Recent ozone trends studies (*Chang et al., 2020; Cooper et*  
 259 *al., 2020*) have discouraged the practice of distinguishing levels of statistical significance.  
 260 Therefore, while we focus on ozone trends that are larger than the 95% confidence interval (p-  
 261 value  $< 0.05$ ), all trend values, 95% confidence intervals, and p-values are presented in the  
 262 results section (**Section 3.2.1, Table 1**).

263 The MLR model was separately applied to the monthly mean ozone profile anomalies at 100  
264 m resolution, and the monthly mean partial column ozone anomaly amounts from 5-10 km, 10-  
265 15 km, and 15-20 km. We also applied the MLR model to the monthly mean tropopause altitude  
266 anomaly at each station, defined as the 380 K potential temperature surface (e.g., *Wargan et al.*,  
267 2018). It turns out that tropopause height (TH) and LMS ozone trends are strongly correlated.  
268 Thus, the MLR analysis was also performed for the ozone column amount anomalies referenced  
269 to the tropopause. In that case LMS ozone trends refer to changes in the 5 km above the  
270 tropopause with the FT extending from the tropopause to 10 km below the tropopause (**Section**  
271 **3.3.2, Table 2**).

#### 272 **2.4 Laminar Identification (LID) and GW Indices**

273 The Laminar Identification (LID) method was used to identify convective signatures in ozone  
274 profiles for the 1998-2009 SHADOZ data (*Thompson et al.*, 2011). The LID technique, applied  
275 here to the 1998-2019 record (**Table 1**), is based on the coherence of laminae in each ozone and  
276 potential temperature profile pair; laminae are identified as deviations from running means  
277 calculated every 0.5 km from surface to 20 km. When the potential temperature and ozone  
278 laminae at a given level are strongly correlated ( $r > 0.7$ ), as often occurs in the LMS, the presence  
279 of a convectively-generated gravity wave (GW) is inferred. The GW occurrence is a proxy for a  
280 convective event. Convective influence is quantified by the monthly GW frequency (GWF),  
281 defined as the percent ratio of profiles exhibiting the GW signal relative to the total number of  
282 profiles within a given month.

#### 283 **2.5 Self-Organizing Maps (SOM)**

284 We have used SOM, a machine-learning technique, to classify ozone profiles in terms of  
285 meteorological or chemical influences (*Stauffer et al.*, 2016). The entire set of ozone profiles for  
286 each station is ingested into the SOM code to obtain initial nodes (i.e., centroids or means for  
287 each cluster) via a linear interpolation between the two largest components of the ensemble.  
288 Subsequent iterations assign a given profile to its “best match” until a cluster mean is obtained.  
289 We adopt key elements of the procedure in *Stauffer et al.* (2018): 1) a four-cluster 2x2 SOM is  
290 used to avoid clusters with too few members for meaningful statistics (cf *Jensen et al.*, 2012); 2)  
291 SOM clusters are numbered 1 to 4 based on the cluster “mean” ozone profile. The result is a  
292 consistent definition of Cluster 1 and Cluster 4 as “low” and “high” ozone for each site,  
293 respectively. Links among SOM ozone profile shape, GWF, and trends are investigated.

294

### 295 **3 Results and Discussion**

#### 296 **3.1 Seasonal Cycles in Ozone and Convective Influence**

297 **Figure 2** displays the 5-site monthly ozone climatology from the surface to 20 km. Regional  
298 differences in vertical structure within the FT are pronounced. For example, the contours  
299 representing the 60-90 ppbv range never appear in middle FT ozone over KL-Java or Samoa  
300 (**Figures 2d,e**). Conversely, FT ozone values  $\leq 30$  ppbv (light yellows) in the middle FT never  
301 appear over the equatorial Americas (SC-Para, **Figure 2a**), Nat-Asc or Nairobi (**Figures 2b,c**).  
302 These contrasts partly reflect regional differences in ascending vs. descending nodes of the  
303 Walker circulation. The mean TOC over the south tropical Atlantic Ocean is 5% greater than  
304 over the western Pacific, giving rise to the well-known tropospheric zonal wave-one (*Thompson*  
305 *et al.*, 2003b). Compared to the FT, there is less regional variability in LMS ozone (Figure 8 in  
306 *Thompson et al.*, 2017). At all the stations, above  $\sim 16$  km, the colors and contours are similar:  
307 nearly uniform over the year with mixing ratio contours of 100 ppbv and 200 ppbv similarly  
308 spaced.

309 A large seasonal signal in LMS ozone is associated with the Brewer-Dobson circulation  
310 (**Figure 3a**; cf *Randel et al.*, 2007). FT ozone seasonality (**Figures 3b,c**) is less uniform due to the  
311 timing of various dynamical and chemical influences across sites. However, the minima for all  
312 sites occur in January through April or May except for a second short minimum after July over  
313 KL-Java. Localized FT ozone maxima occur largely from imported fire pollution: SC-Para in  
314 March and after July (**Figure 2a**); features at 6-8 km over Nat-Asc, Samoa and KL-Java  
315 September to November (**Figures 2b-d**); Nairobi (**Figure 2c**) in June and after August. Month-  
316 to-month anomalies from annual mean FT ozone (**Figure 3b,c**) in the 5-10 km and 10-15 km  
317 layers appear complex for all stations. The vertical dashed lines appearing on **Figures 2, 4, and**  
318 **5** mark when ozone anomalies from the annual mean over 5-15 km change sign, indicating  
319 transitions in seasonal ozone amount and convective activity. These transitions in ozone  
320 anomalies display some regional similarities, e.g., the SC-Para and Nat-Asc pairs (**Figures 4a** and  
321 **4b**). Nairobi and KL-Java (**Figures 4c** and **4d**), at opposite ends of the Indian Ocean, both exhibit  
322 shifts in March and December. Convective influence, given by GWF (**Figure 5**), with transitions  
323 marked as for ozone, shifts during the same periods. GWF reaches 50-60% during January to  
324 April at all locations (**Figure 5**), during which ozone minima above 8 km, attributed to

325 convective redistribution of near-surface lower ozone air (**Figure 2**), appear over all stations.  
 326 Comparing **Figures 4 and 5** reveals the correspondence between increased (decreased)  
 327 convective activity and decreased (increased) ozone amounts, especially in the upper FT and  
 328 LMS.

### 329 **3.2 FT Ozone Changes (1998-2019)**

330 In **Figure 6** FT and LMS changes in ozone mixing ratio (%/decade during 1998-2019) are  
 331 displayed, based on monthly mean trends computed with the MLR model. Corresponding values  
 332 in three layers appear in **Table 1**. The percentage values in **Figure 6** and **Table 1** are the result  
 333 of dividing the MLR B(t) term by the A(t) annual cycle of ozone term. The MLR-calculated A(t)  
 334 annual cycle derived from monthly mean ozone profiles (i.e., no anomaly calculation) is used to  
 335 convert the B(t) trend in ppmv/decade (profiles) or DU/decade (partial columns) to %/decade.  
 336 Ozone trends for both percent/decade and DU/decade are given in **Tables 1 and S1**,  
 337 respectively (see **Section 3.3.2** for Tables 2 and S2). Shades of red (blue) in **Figure 6** represent  
 338 ozone increases (decreases); cyan hatching denotes trends with p-values < 0.05. The annual  
 339 mean trends in **Table 1** are computed by taking the average of the 12 monthly trends in DU, and  
 340 dividing by the mean seasonal ozone in DU to yield the annual percentage trend. **Table T1**  
 341 presents the trends of Table 1 in DU/decade for the same layers.

#### 342 **3.2.1 FT Ozone Trends: Regional and Seasonal Variability**

343 For all five stations in **Figure 6**, there is a pattern of strong ozone increase at various  
 344 altitudes in the FT in February to April or May. In terms of column-integrated ozone amounts for  
 345 individual stations, these changes range from 0 to +16%/decade (except for SC-Para in  
 346 February), as displayed in **Table 1**. However, on an annually averaged basis ozone trends are  
 347 only +(1-2)%/decade and +(0-4)%/decade in the 5-10 km and 10-15 km layers, respectively.  
 348 Indeed, except for the robust +3.9%/decade over Nat-Asc in the 10-15 km layer, FT ozone  
 349 increases at the other stations average <2%/decade (**Table 1**).

350 **Figure 7**, that presents monthly mean ozone column changes in the two FT layers, illustrates  
 351 regional and seasonal variability. For example, the dominant impact of southern African and  
 352 South American fires on Nat-Asc and Samoa FT ozone in July through November is well-  
 353 documented (*Oltmans et al., 2001; Thompson et al., 2003b*). A near-absence of trends over these  
 354 sites (**Table 1**) from July (Samoa) and August (Nat-Asc) through November (**Figures 6b,e**)  
 355 signifies little change in fires since 1998, consistent with a lack of trends in pyrogenic NO<sub>x</sub> over

356 the past 25 years reported in *Gaudel et al. (2020; their Figure 5)*. There is also an increase in 5-  
357 10 km ozone over KL-Java (**Table 1**) in the August to October period, (1.8-3.9)%/decade, which  
358 is the typical fire season in Indonesia (*Pan et al., 2018*). The much stronger FT ozone increases  
359 over KL-Java (**Figure 6d**) in February-April, (2.8-15.7)%/decade (**Table 1**), may be related to  
360 the southeast Asian fire season (*Liao et al., 2021*) and/or to growing urban emissions (*Zhang et*  
361 *al., 2016; Gaudel et al., 2020; Cooper et al., 2020*).

362 How do the FT ozone trends based on SHADOZ profiles compare to other analyses? *Zhang et*  
363 *al. (2016)* and *Gaudel et al. (2018)* reported on tropospheric ozone changes at different periods  
364 within 1994-2015. In both those studies, satellite-derived tropospheric ozone columns and  
365 IAGOS commercial aircraft profiles include ozone below 5 km so the results are not directly  
366 comparable to the FT SHADOZ-based trends. However, *Gaudel et al. (2018; Figures 4 and 24)*  
367 also presented analysis based on the trajectory-mapped ozonesonde climatology of *Liu et al.*  
368 *(2013)*. Those tropical trends, that included SHADOZ profiles, displayed more regionally varying  
369 trends than most satellite products.

370 In the more recent *Gaudel et al. (2020)* study, where their IAGOS “Malaysia” data include  
371 landing/takeoff profiles at Jakarta, Indonesia, the FT ozone changes over the period 1995 to  
372 2016 are  $\sim +5\%$ /decade. This is about twice the annually averaged increase computed from the  
373 SHADOZ KL-Java 5-10 km ozone trends from 1998-2019 (**Table 1**). However, **Figure 7** shows  
374 that the KL-Java trends are the most seasonally variable of the 5 stations analyzed. In February  
375 through April, the KL-Java trends are  $+(13-16)\%$ /decade ( $p < 0.05$ ), falling to mostly negative  
376 values,  $-(2-8)\%$ /decade, in the 5-10 km and 10-15 km layers, the remainder of the year. In  
377 *Gaudel et al. (2020)* the northern tropics is represented by IAGOS profiles over northern South  
378 America; the IAGOS Cayenne, French Guiana, landings/takeoffs are not far from Paramaribo. The  
379 Cayenne IAGOS trends show a FT ozone increase  $\sim 3\%$ /decade. The SHADOZ-based trends at SC-  
380 Para on average are  $+2\%$ /decade (**Table 1**). However, as for KL-Java, there is considerable  
381 seasonal divergence. In February-April at SC-Para, the FT ozone increase ranges from  $+(1$  to  
382  $12)\%$ /decade, and  $+(3$  to  $7)\%$ /decade August to November (**Figure 7**). In January, June and  
383 December, the SC-Para trend is actually slightly negative.

384 A noteworthy point of agreement between the IAGOS and SHADOZ-based records is that in  
385 both cases, the largest positive trends (**Table 1, Figure 7**) occur at the lowest-ozone season  
386 (January to April, **Figures 3b,c**), i.e., the minimum ozone amounts have increased over the past

387 several decades. In general, the SHADOZ and IAGOS data provide complementary information  
388 on trends. With SHADOZ stations, except for KL-Java, at more remote locations than most IAGOS  
389 cities, the SHADOZ results better represent changes in background ozone. The distinctive  
390 seasonality of the SHADOZ trends indicates dynamical changes that probably underlie chemical  
391 influences that are known to be changing in the tropics (*Gaudel et al., 2018; 2020*). The next  
392 section examines one aspect of possible dynamical influences on the SHADOZ ozone trends.

### 393 **3.2.2 Role of Convection in FT Ozone Changes**

394 **Sections 3.1 and 3.2.1** described an implicit role for convection in the seasonal variability of  
395 FT ozone. The annual cycles of FT ozone provide context for the changes shown in **Figure 6**.  
396 The most robust positive FT ozone trends, predominantly from February to May (**Table 1**,  
397 **Figure 7**) take place when FT ozone is at its annual minimum (**Figures 3b,c**) and convective  
398 activity is high as signified by GWF (**Figure 5**). This can be seen when the relationship  
399 between ozone profile variability and convection are examined using the LID and SOM methods  
400 (**Sections 2.4 and 2.5**). The classification of ozone profiles for several SHADOZ sites in a 2x2  
401 SOM (*Stauffer et al., 2018*) established an anticorrelation between FT ozone mixing ratios and  
402 convective activity, where the latter was quantified by meteorological parameters at sonde  
403 launch time (*Figure 7 in Stauffer et al., 2018*). The SOM in **Figure 8**, based on the 5-station data  
404 analyzed here, shows similar relationships. Clusters displaying the lowest (Cluster 1) and  
405 highest (Cluster 4) profiles of ozone are illustrated. The characteristic S-shapes of upper FT  
406 ozone profiles in Cluster 1 (**Figure 8a**) display the lowest mixing ratios whereas much of the  
407 elevated ozone in Cluster 4 (**Figure 8b**) derives from imported pollution at 5-10 km. The GWF  
408 corresponding to Cluster 1 (**Figure 8c**), representing maximum convection, is dominated by  
409 January-May profiles (**Figure 8e**), that is, when there are positive FT ozone changes at all sites.  
410 Cluster 4 ozone mixing ratios throughout the FT and LMS (**Figure 8b**) are much greater than  
411 Cluster 1 (**Figure 8a**) and correspond to the season when the stations are most affected by  
412 transported pollution from biomass fires (**Figure 8f**). The fire season impacts are strongest  
413 from June through November except for KL-Java where a March through May maximum  
414 corresponds to the southeast Asia burning season (the seasonality can be modified under  
415 conditions of a major ENSO; *Thompson et al., 2001; Field et al., 2016; Pan et al., 2018*). **Figure 8d**  
416 shows that for all stations, convection as indicated by GWF is reduced for the highest-ozone  
417 profiles that mostly occur during the burning season: April-May for KL-Java; after July for the

418 other 4 sites (**Figure 8f**). GWF in Cluster 4 (**Figure 8d**) remains above 50% for KL-Java with  
 419 April and October the most prevalent months (**Figure 8f**); the latter coincides with the late  
 420 Asian monsoon period. However, for Cluster 4 the maximum GWF is 47% at Nairobi, compared  
 421 to 64% for Cluster 1 (**Figure 8e**). For SC-Para, Na-Asc and Samoa the maximum GWF drops  
 422 below 30% (**Figure 8d**).

423 The connection of the ozone trends to convection using the GWF proxy is not clear, but there  
 424 are correlations among GWF changes and ozone trends. For example, computing the difference  
 425 in GWF for the first five years (1998-2002) and the latest five years (2015-2019) in the SHADOZ  
 426 record (**Figure 9**) shows correspondence between an increasing GWF trend and decreasing LMS  
 427 ozone, and decreasing GWF and increasing FT ozone. At all sites the GWF declines between  
 428 January and June (**Figure 5**), albeit weakly at Samoa (**Figure 9e**) when segments of FT ozone  
 429 are increasing (**Figures 6 and 7**). If there is less convection over a station, signifying less vertical  
 430 mixing and detrainment, FT ozone would accumulate. Mid-year, particularly over KL-Java  
 431 (**Figure 9d**), GWF increases and there is a corresponding upper FT negative ozone trend  
 432 (**Figure 6d**). Whether or not mid-year changes in GWF (**Figure 9**), presumably signifying  
 433 increases in convection, play a role in LMS ozone and TH trends (**Section 3.3.1** below) is  
 434 unclear. The interaction among changes in convection and trends in ozone and TH (**Section**  
 435 **3.3.2**) cannot be determined from the SHADOZ profiles alone. Independent data, e.g., OLR,  
 436 dynamical parameters from re-analyses and model simulations, need to be examined.

437

### 438 **3.3 LMS Ozone Trends**

#### 439 **3.3.1 LMS Ozone and TH Trends: Seasonal Variability**

440 As for the FT ozone trends, Figure 6 shows distinctive seasonality in LMS ozone trends  
 441 with layers of 5%/decade losses for 1998-2019 after May at all five stations. At KL-Java (**Figure**  
 442 **6d**) ozone losses are greater, with layers of depletion at 15-20%/decade after August. The  
 443 corresponding LMS column ozone loss, annually averaged, is -5.8%/decade (**Table 1**), almost  
 444 twice the mean rate over SC-Para (**Figure 6a**): -3.1%/decade (**Table 1**). KL-Java is unique in  
 445 displaying a layer of ozone loss at 18-19 km in January (**Figure 6d**). However, there is also a  
 446 zone of increasing ozone in the LMS over KL-Java March-May between 15 and 18 km. A similar  
 447 feature, a positive ozone trend at 15-18 km in February-April, also appears over Nairobi (**Figure**

448 **6c**). For the Atlantic (Nat-Asc) to Nairobi (**Figures 6b,c**) the most substantial negative trends  
449 are found in June through September.

450 The corresponding ozone column changes from 1998 to 2019 appear in **Table 1**, where p  
451 values  $<0.05$ , signified by underlined, bold type, are the most significant. Although isolated  
452 months display large LMS ozone losses (to  $-10\%/decade$ ), on an annually averaged basis, only  
453 two stations, KL-Java ( $-[5.8 \pm 2.8]\%/decade$ ) and SC-Para ( $-[3.1 \pm 2.8]\%/decade$ ), have significant  
454 negative trends. At Samoa ( $-[2.8 \pm 3.4]\%/decade$ ) LMS changes are marginal. There is no LMS  
455 ozone loss, on average, over Nat-Asc and Nairobi (**Table 1**). How do these values compare to the  
456 updated satellite-based and model trends reported recently by *Ball et al. (2020)* who display  
457 only zonal averages with no reference to regional variability? Given that the SHADOZ-based  
458 LMS trends are positive over large regions and negative over others, the zonally averaged  
459 negative trends (*Ball et al., 2020*) may be overestimating tropical LMS ozone losses.

460 The first study of seasonality in lower stratospheric ozone trends – results reported as zonal  
461 means for four merged satellite products – was published by *Szelag et al. (2020)*. For all four  
462 products, the season with the most negative trend is March-April-May, not after June as for the  
463 SHADOZ stations in **Figure 6** and **Table 1**. However, the *Szelag et al. (2020; Figure 4)*  
464 calculations may not be directly comparable to our analyses.

465 In contrast to the highly varied seasonal patterns of FT ozone (**Figures 3b,c**), the annual cycle  
466 of LMS ozone (**Figure 3a**) is fairly uniform (*Randel et al., 2007*). A comparison with the LMS  
467 trends in **Figure 6** shows that (1) both positive and negative ozone changes occur during the  
468 low-ozone time of year (January to May); (2) more negative, sustained LMS ozone trends take  
469 place during the maximum-ozone period (June/July through October/November; **Figure 3a**).  
470 This means that over the year, the magnitude of the annual LMS seasonal cycle has declined  
471 slightly, i.e., the annual cycle is flattening.

472 **Figure 10** illustrates the trends in monthly LMS ozone (**Figure 10a**,  $\%/decade$ ) and TH  
473 (**Figure 10b**, trend in the altitude of 380 K potential temperature [ $\theta$ ] surface in  $m/decade$ ) as  
474 computed from the MLR model for the five SHADOZ stations. After June, when the ozone loss is  
475 most pronounced for all stations except Samoa, there is an increase in TH (**Figure 10b**) that is  
476 correlated with the LMS ozone decrease. **Figure 3** shows that the annual LMS ozone cycle at  
477 Samoa (14S latitude) differs from the more equatorial stations (5.8N-7.6S). The seasonal  
478 patterns of the Samoa LMS ozone and TH trends (gray dashed in **Figure 10**) also diverges from

479 the other stations. There are two period of LMS ozone loss at Samoa (**Figure 10a**) with the  
480 larger one taking place in April and May. These months of largest ozone loss coincide with the  
481 greatest TH increase at Samoa, although the latter is only 50 m/decade, compared to the 100-  
482 150 m/decade increase for the other for stations (**Figure 10b**).

### 483 **3.3.2 Dynamic Influences in LMS Ozone and Tropopause Height Trends**

484 Because the LMS definition here is 15-20 km, it is reasonable to ask if the increased  
485 tropopause height (a stratospheric [tropospheric] thickness reduced [increased] by 50-150 m)  
486 is responsible for the negative LMS ozone trend over 1998 to 2019. To examine this possibility,  
487 all ozone profiles were placed in coordinates relative to the 380 K potential temperature surface  
488 (TH) prior to calculating monthly means and MLR trends (**Section 2.3**). Results are presented  
489 for layers from 10 to 5 km below the TH, 5 km below the TH to the TH, and the TH to 5 km above  
490 the TH (**Table 2**). Within the 5 km layer above the TH, as displayed in **Figure 11**, the monthly  
491 trends have disappeared for all stations except for small LMS ozone increases at Nat-Asc and  
492 Nairobi in the early part of the year, September-December at Nairobi and losses at Samoa in  
493 June and July. However, **Table 2** does not show significant monthly or mean annual trends in  
494 LMS ozone (p value <0.05) for any of the SHADOZ stations.

495 In summary, the annually averaged LMS ozone losses calculated with a fixed-altitude column  
496 disappear when the ozone column is determined with a tropopause-defined LMS (**Table 2**). A  
497 perturbed TH, possibly due to a changing climate, is associated with tropical LMS ozone losses  
498 from June to November for four stations. The fact that LMS ozone might be increasing over two  
499 stations at other times of year underscores the finding that TH influences, and perhaps other  
500 dynamical impacts, are not regionally and seasonally uniform. A decisive role for dynamical  
501 influences also suggests that where LMS ozone in the tropics is declining (*Ball et al. 2018; 2020*),  
502 the cause is not because of chemical reactions.

503

## 504 **4 Summary**

505 The 22-year SHADOZ record (1998-2019) of ozone profiles from five well-distributed tropical  
506 regions has been used to compute trends in the FT (5-15 km) and LMS (15-20 km). Both FT and  
507 LMS ozone trends exhibit pronounced regional and seasonal variability. We enumerate the  
508 major results:

- 509 (1) There are robust FT ozone increases at all 5 SHADOZ stations, in thin layers from ~ (5-  
510 25)%/decade, between February and May. The corresponding FT ozone column amounts  
511 typically average +(3-10)%/decade during that time; KL-Java is higher. However, both  
512 magnitude and direction of these trends vary considerably after May, with individual  
513 layers at all stations in the remaining months roughly half positive and half negative. The  
514 result is mean trends of +(1-4)%/decade, depending on the station.
- 515 (2) Due to a mismatch in sampling characteristics and time periods investigated, it is difficult  
516 to compare SHADOZ trends with those derived from satellite products or aircraft profiles.  
517 However, like the IAGOS-based study of *Gaudel et al. (2020)* that presented trends from  
518 several equatorial locations in South America and southeast Asia, the large SHADOZ FT  
519 trends from February to May indicate a shift to higher minimum ozone values. Four of the  
520 five SHADOZ stations are very remote and thus represent changes in background ozone.  
521 Their nuanced variations in seasonal and regional changes probably signify dynamical  
522 changes. As an example, we showed that the FT ozone trends in the early part of the year  
523 may be related to reduced convection as indicated by a change in wave activity (GWF).
- 524 (3) LMS ozone losses mostly take place later in the second half of the year when GWF  
525 (convective influence) and tropopause altitude both exhibit increases. The LMS trends are  
526 strongest in July to September, reaching -(4-9)%/year (ozone) and +150 m/decade (TH)  
527 at individual stations. Because the LMS ozone loss maximizes at the annual ozone  
528 maximum without a comparable increase at other times of year, the ozone cycle  
529 associated with the Brewer-Dobson Circulation has been flattening. The TH increase  
530 during the annual TH minimum indicates that the annual tropopause cycle is also  
531 diminished.
- 532 (4) When the LMS ozone trends are recomputed using ozone column segments referenced to  
533 the changing TH, the ozone losses disappear, even becoming slightly positive at two  
534 stations certain months of the year. This finding supports previous analyses that suggest  
535 LMS ozone losses since 1998 are dynamically, not chemically, driven.

536 *Randel et al. (2007)* and *Stolarski et al. (2014)* used satellite observations and meteorological  
537 analyses to describe multiple dynamical influences on LMS ozone. Our simplified study  
538 interprets FT and LMS ozone changes with reference to TH and a proxy for vertical motion that  
539 is inferred only from the sounding data. Model diagnostics are required to assess the roles of

540 changing chemistry in the troposphere and to evaluate the contributions of perturbed dynamics  
 541 to FT and LMS ozone changes. Nonetheless, the relatively small, geographically distinct changes  
 542 derived from SHADOZ profiles provide a reference for evaluating (1) LMS ozone trends derived  
 543 from satellite products that do not include regional variability (*Ball et al., 2020; Szlag et al.,*  
 544 *2020*) and (2) aircraft-based (*Gaudel et al., 2020*) FT ozone trends. The relatively small SHADOZ  
 545 trends show that large regions of the tropics do not exhibit year-round FT ozone increases,  
 546 suggesting that increases in tropospheric ozone in the tropics are partly dynamical in origin and  
 547 not solely a consequence of growing anthropogenic emissions.

548 We conclude that using the SHADOZ results to evaluate the regional and seasonal variability  
 549 of satellite-based products and related models is an impartial way to establish their reliability  
 550 for ozone trends assessments and predictions of FT and LMS ozone changes in the near future.  
 551 This first report of an increasing tropopause height over SHADOZ sites is also a reference for  
 552 satellite observations and models.

553

#### 554 **Acknowledgments**

555 Support is gratefully acknowledged from the NASA Upper Air Research Program (K. W. Jucks, Program  
 556 Manager), S-NPP and JPSS (J. F. Gleason, Project Scientist) and the NASA Post-doctoral Program to RMS. We  
 557 are grateful to O. R. Cooper (CIRES/NOAA-CSL) and W. Randel (NCAR) for helpful comments. SHADOZ v06  
 558 profile data are available at <https://tropo.gsfc.nasa.gov/shadoz/Archive.html>. OMI/MLS data are available at  
 559 [https://acd-ext.gsfc.nasa.gov/Data\\_services/cloud\\_slice/new\\_data.html](https://acd-ext.gsfc.nasa.gov/Data_services/cloud_slice/new_data.html).

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713 **Table Labels and Figure Captions**

714

715 **Table 1.** SHADOZ site metadata including number of profiles and index terms used in MLR  
 716 ozone calculations. Monthly MLR partial column ozone linear trends are shown in percent per  
 717 decade and include the 95% confidence interval and p-value for each trend. Trends with p-  
 718 values <0.05 are shown in bold and underlined.

719

720 **Table 2.** Same as Table 1, with SHADOZ site metadata and index terms used in MLR ozone  
 721 calculations. Here the MLR partial column ozone linear trends, in %/decade, are based on FT  
 722 columns referenced to the tropopause height (TH) -5 km to TH - 10 km, for the lower FT, and  
 723 for the upper FT, the ozone column between the TH and 5 km below the TH. The LMS column  
 724 ozone is defined by integrating ozone in the region between the TH and 5 km above it. **Table T2**  
 725 gives the same trends information in DU/decade.

726

727 **Figure 1.** Map of SHADOZ stations used in this study. Stations whose combined records are  
 728 examined are colored orange (San Cristóbal and Paramaribo), red (Natal and Ascension), and  
 729 blue (Kuala Lumpur and Watukosek). Samoa and Nairobi records are studied individually and  
 730 colored gray. Sample numbers appear in **Table 1**.

731

732 **Figure 2.** Monthly averaged ozone mixing ratios from the surface to 20 km altitude for the five  
 733 sites: two individual and three combinations. For clarity both white and black contours are used  
 734 for the ozone mixing ratios. White dashed lines indicate transition periods marked by changes in  
 735 sign of ozone anomalies from annual mean (see Figure 4).

736

737 **Figure 3.** Seasonal ozone variability, expressed as percent anomaly from annual mean, from the  
 738 MLR model in the LMS (a), FT (b and c). Tropopause Height (TH) anomaly (d, in km) is based on  
 739 the 380 K potential temperature surface from the radiosondes.

740

741 **Figure 4.** Monthly averaged O<sub>3</sub> mixing ratio anomalies in percent from the annual mean from  
 742 the surface to 20 km altitude for the two individual and three combination sites. Black dashed  
 743 lines (same as the white dashed lines in **Figure 2**) indicate transition periods marked by sign  
 744 changes to the climatological FT and LMS O<sub>3</sub> amounts (see Section 3.1).

745

746 **Figure 5.** Monthly averaged gravity wave frequency (GWF) in percent from 10 to 20 km altitude  
 747 corresponding to the profiles in Figure 2 for the two individual and three combination sites.  
 748 White dashed lines are set by the ozone seasonal transitions as shown as in **Figures 2 and 4**.  
 749 The GWF frequency is computed by determining GW effects in percent for each individual  
 750 profile, and then averaging the results into a monthly frequency.

751

752 **Figure 6.** Monthly MLR ozone linear trends from 5 to 20 km in percent per decade for the two  
 753 individual and three combination sites. Positive trends are shown in red and negative trends are  
 754 shown in blue. Trends with p-values <0.05 are shown with cyan hatching.

755

756 **Figure 7.** Monthly MLR trends in %/decade for (a) lower FT ozone column, integrated from 5-  
 757 10 km, and (b) upper FT ozone column (10-15 km), derived from SHADOZ sondes. Dots  
 758 represent the values and the error bars indicate the 95% confidence intervals. **Table 1** shows

759 that the annually averaged trend for Nat-Asc at 10-15 km is the only one with  $p \leq 0.05$ . Note in  
760 (b) that the Nat-Asc monthly trends are generally lower than for the other 4 stations in February  
761 to May. However, the Nat-Asc ozone increases alone are sustained from June into September.

762

763 **Figure 8.** Cluster ozone means for the two individual and three combination sites for SOM  
764 Cluster 1 (a) and Cluster 4 (b). The number and percentage of profiles contributing to the  
765 clusters appear in each frame and the Cluster number is at the lower right. Note that SOM for  
766 Clusters 2 and 3 are not shown. (c, d): Gravity wave frequency (GWF in text) as a function of  
767 altitude corresponding to SOM Clusters 1 and 4. Average percentage GWF from 15 to 20 km  
768 (LMS) for each site is shown in the frames. (e) Monthly frequency distribution for the profiles  
769 corresponding to SOM Cluster 1. (f) as (e) for Cluster 4.

770

771 **Figure 9.** Change in monthly GWF over two periods (2015-2019 minus 1998-2002) from 10 to  
772 20 km altitude. Increases in GWF are shown in red and decreases in GWF are shown in blue for  
773 the two individual and three combination sites.

774

775 **Figure 10.** Monthly MLR trends, as %/decade ( $\pm 1\sigma$ ), in (a) LMS ozone column changes (15-20  
776 km) derived from SHADOZ sondes at 5 stations; (b) corresponding TH trends from the  
777 radiosondes. Dots represent the values and the error bars indicate the 95% confidence  
778 intervals.

779

780 **Figure 11.** Monthly MLR trends in LMS ozone column changes, as %/decade ( $\pm 1\sigma$ ), derived  
781 from SHADOZ sondes at 5 stations, where the LMS column is defined by the amount between the  
782 altitude of the tropopause and the tropopause + 5km.

783

784

785 **Table 1.** SHADOZ site metadata including number of profiles and index terms used in MLR ozone calculations. Monthly MLR  
 786 partial column ozone linear trends are shown in percent per decade and include the 95% confidence interval and p-value for each  
 787 trend. Trends with p-values <0.05 are shown in bold and underlined.

788

Trends by layer, % per decade		Site	Lat, Lon (°)	Profiles	MLR Terms and Alt.	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Ann
SC+Para	-0.92, -89.62/5.8, -55.21					1227	MEI-QBO	5-10 km	-5.0±8.4% p = 0.233	1.4±9.1% p = 0.764	<b><u>8.1±8.1% p = 0.050</u></b>	6.4±8.4% p = 0.121	-0.0±10.0% p = 0.995	-1.1±8.8% p = 0.808	1.8±7.4% p = 0.609	3.0±6.5% p = 0.345	3.1±5.8% p = 0.271	4.2±6.3% p = 0.183
				10-15 km	-7.7±11.1% p = 0.162	-3.6±11.8% p = 0.336	4.8±10.8% p = 0.361	12.3±12.4% p = 0.055	4.4±13.4% p = 0.511	-3.1±10.0% p = 0.540	-0.3±8.6% p = 0.942	6.0±8.4% p = 0.155	6.7±7.6% p = 0.081	3.8±8.0% p = 0.343	0.5±8.9% p = 0.904	-3.2±9.3% p = 0.487	1.5±4.0% p = 0.260	
				15-20 km	-1.5±9.1% p = 0.733	0.8±9.0% p = 0.848	2.9±8.1% p = 0.456	1.9±8.0% p = 0.623	-1.6±8.1% p = 0.688	4.1±7.0% p = 0.231	-5.2±5.6% p = 0.070	<b><u>6.1±5.1% p = 0.020</u></b>	<b><u>6.5±5.2% p = 0.013</u></b>	-5.5±5.8% p = 0.060	-3.7±6.7% p = 0.265	-2.4±7.8% p = 0.517	<b><u>-3.1±2.8% p = 0.021</u></b>	
Natal+Ascen	-5.42, -35.38/-7.58, -14.24	1436	MEI-QBO	5-10 km	2.6±5.7% p = 0.357	2.2±5.8% p = 0.445	2.1±6.0% p = 0.471	3.9±7.4% p = 0.283	7.2±8.1% p = 0.078	<b><u>7.4±7.1% p = 0.036</u></b>	4.7±6.1% p = 0.114	0.5±5.3% p = 0.850	-2.6±4.7% p = 0.253	-2.8±4.5% p = 0.196	-1.0±4.8% p = 0.668	1.4±5.2% p = 0.560	1.6±2.3% p = 0.143	
				10-15 km	6.7±7.2% p = 0.059	<b><u>7.7±7.5% p = 0.042</u></b>	4.9±8.0% p = 0.198	2.4±9.9% p = 0.595	3.6±9.5% p = 0.423	6.1±7.6% p = 0.100	<b><u>7.1±7.0% p = 0.044</u></b>	5.5±6.6% p = 0.093	2.2±5.7% p = 0.411	0.3±5.3% p = 0.919	0.4±5.8% p = 0.894	3.0±6.3% p = 0.318	<b><u>3.9±3.8% p = 0.001</u></b>	
				15-20 km	2.2±6.1% p = 0.454	4.5±6.8% p = 0.177	3.1±6.9% p = 0.341	3.4±7.5% p = 0.329	5.2±7.7% p = 0.159	1.4±6.8% p = 0.639	-3.8±5.5% p = 0.146	<b><u>-5.2±4.9% p = 0.040</u></b>	-2.9±4.9% p = 0.215	-1.6±5.0% p = 0.502	-2.6±5.1% p = 0.297	-1.8±5.4% p = 0.477	-0.4±2.4% p = 0.528	
Nairobi	-1.27, 36.8	941	MEI-QBO	5-10 km	2.0±8.1% p = 0.639	<b><u>10.1±8.7% p = 0.018</u></b>	<b><u>14.2±8.7% p = 0.001</u></b>	6.1±8.2% p = 0.140	-3.8±7.2% p = 0.297	-5.0±6.4% p = 0.125	-1.3±7.0% p = 0.701	0.0±7.5% p = 0.997	-1.5±7.1% p = 0.679	-1.1±7.1% p = 0.769	0.4±7.6% p = 0.923	0.0±7.6% p = 0.991	1.2±3.1% p = 0.119	
				10-15 km	0.1±9.5% p = 0.979	4.5±10.1% p = 0.350	8.8±9.1% p = 0.059	7.4±8.8% p = 0.093	2.1±8.5% p = 0.615	0.1±8.3% p = 0.989	0.9±9.7% p = 0.838	-2.3±9.5% p = 0.603	-7.0±7.5% p = 0.062	<b><u>-6.8±6.2% p = 0.035</u></b>	-3.3±6.3% p = 0.271	-1.0±7.3% p = 0.780	-0.2±3.4% p = 0.707	
				15-20 km	3.1±6.9% p = 0.376	5.5±7.7% p = 0.152	7.3±7.9% p = 0.066	6.0±7.5% p = 0.110	1.2±6.9% p = 0.736	-3.3±5.7% p = 0.242	-4.3±5.0% p = 0.089	-2.9±4.9% p = 0.249	-0.9±5.1% p = 0.742	0.2±5.6% p = 0.940	0.6±6.4% p = 0.853	1.4±6.5% p = 0.670	0.6±2.5% p = 0.553	
KL+Java	2.73, 101.27/-7.5, 112.6	786	MEI-QBO+IOD	5-10 km	-0.2±6.8% p = 0.947	<b><u>12.9±7.5% p = 0.001</u></b>	<b><u>15.7±8.2% p = 0.000</u></b>	3.9±7.1% p = 0.267	-3.0±6.2% p = 0.327	-2.5±6.5% p = 0.441	0.0±7.4% p = 0.991	1.8±8.2% p = 0.665	3.9±7.7% p = 0.317	3.3±7.0% p = 0.353	-1.7±7.4% p = 0.660	-6.0±7.3% p = 0.102	1.9±3.0% p = 0.138	
				10-15 km	-2.8±7.5% p = 0.456	2.8±7.6% p = 0.466	<b><u>12.9±9.2% p = 0.005</u></b>	<b><u>15.1±8.5% p = 0.000</u></b>	5.2±6.9% p = 0.133	-4.5±6.6% p = 0.173	-7.6±7.7% p = 0.053	-3.3±10.0% p = 0.500	-1.5±9.4% p = 0.757	-4.7±7.8% p = 0.231	-6.6±8.5% p = 0.120	-5.9±8.8% p = 0.180	-0.6±3.3% p = 0.347	
				15-20 km	<b><u>-8.8±7.1% p = 0.015</u></b>	-4.4±8.3% p = 0.289	-0.6±8.1% p = 0.898	1.1±8.3% p = 0.795	-0.2±7.5% p = 0.942	-4.6±6.0% p = 0.122	<b><u>-9.0±5.5% p = 0.001</u></b>	<b><u>-9.3±5.5% p = 0.001</u></b>	<b><u>-6.0±5.6% p = 0.035</u></b>	-4.8±6.7% p = 0.151	<b><u>-7.8±7.7% p = 0.043</u></b>	<b><u>-10.0±7.0% p = 0.005</u></b>	<b><u>-5.8±2.3% p = 0.000</u></b>	
Samoa	-14.23, -170.56	795	MEI-QBO	5-10 km	7.2±12.3% p = 0.245	6.3±12.9% p = 0.322	6.0±14.5% p = 0.400	2.1±14.7% p = 0.770	-1.3±11.8% p = 0.822	-0.6±10.6% p = 0.912	0.4±11.1% p = 0.947	-2.5±10.8% p = 0.629	-5.1±9.9% p = 0.297	-2.4±9.7% p = 0.624	3.9±10.5% p = 0.460	7.7±11.9% p = 0.191	1.4±4.7% p = 0.226	
				10-15 km	7.3±19.5% p = 0.448	15.0±20.8% p = 0.147	16.5±21.2% p = 0.123	12.0±22.0% p = 0.275	2.3±16.3% p = 0.780	-2.3±18.2% p = 0.720	-1.8±13.0% p = 0.779	1.4±13.8% p = 0.841	1.7±13.9% p = 0.808	-2.1±14.5% p = 0.778	-4.2±14.5% p = 0.562	-1.1±15.4% p = 0.878	2.5±6.5% p = 0.243	
				15-20 km	-3.8±8.6% p = 0.377	0.4±9.3% p = 0.929	-0.4±9.8% p = 0.926	-5.9±10.2% p = 0.244	-6.4±9.7% p = 0.179	-2.1±9.0% p = 0.641	-0.4±8.4% p = 0.924	-2.3±7.4% p = 0.525	-3.1±6.9% p = 0.369	-2.2±7.1% p = 0.537	-2.9±7.4% p = 0.427	-5.0±7.8% p = 0.198	-2.8±3.4% p = 0.115	

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792 **Table 2.** Same as Table 1, with SHADOZ site metadata and index terms used in MLR ozone calculations. Here the MLR partial  
 793 column ozone linear trends, in %/decade, are based on FT columns referenced to the tropopause height (TH) -5 km to TH - 10 km,  
 794 for the lower FT, and for the upper FT, the ozone column between the TH and 5 km below the TH. The LMS column ozone is  
 795 defined by integrating ozone in the region between the TH and 5 km above it. **Table T2** gives the same trends information in  
 796 DU/decade.

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Trends by layer, % per decade		Site	Lat, Lon (°)	Profiles	MLR Terms and Alt.	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Ann
SC+Para	-0.92, -89.62/5.8, -55.21					1227	MEI-QBO	TH-10 to TH-5 km	-7.9±8.9% p = 0.076	-2.3±9.7% p = 0.624	6.0±9.0% p = 0.184	5.5±9.5% p = 0.242	-0.4±10.4% p = 0.939	-0.8±8.5% p = 0.841	0.9±7.0% p = 0.809	-0.0±6.4% p = 0.999	-0.6±5.6% p = 0.846	1.5±6.2% p = 0.626
				TH-5 km to TH	-8.9±11.7% p = 0.127	-5.4±12.2% p = 0.373	5.2±11.5% p = 0.365	9.5±12.6% p = 0.134	1.7±12.3% p = 0.787	-2.3±10.1% p = 0.648	0.7±8.9% p = 0.878	3.7±8.5% p = 0.386	2.6±7.9% p = 0.505	1.1±8.9% p = 0.801	-0.1±10.1% p = 0.977	-4.3±10.1% p = 0.396	0.2±4.2% p = 0.875	
				TH to TH+5 km	-0.6±6.2% p = 0.831	0.8±5.9% p = 0.783	2.0±5.3% p = 0.434	2.6±5.3% p = 0.328	2.3±6.3% p = 0.455	1.6±6.3% p = 0.608	1.2±5.8% p = 0.658	1.0±5.3% p = 0.728	0.2±5.3% p = 0.933	-0.8±5.3% p = 0.747	-1.6±5.2% p = 0.545	-1.5±5.4% p = 0.580	0.6±2.3% p = 0.428	
Natal+Ascen	-5.42, -35.38/-7.58, -14.24	1436	MEI-QBO	TH-10 to TH-5 km	3.6±6.4% p = 0.239	3.4±6.6% p = 0.275	1.9±6.6% p = 0.542	3.8±8.4% p = 0.329	<b><u>9.7±9.0% p = 0.034</u></b>	<b><u>9.9±7.3% p = 0.013</u></b>	5.7±6.1% p = 0.064	1.0±5.5% p = 0.673	-1.9±4.9% p = 0.416	-2.9±4.7% p = 0.194	-2.3±5.0% p = 0.324	0.4±5.7% p = 0.878	2.0±2.5% p = 0.073	
				TH-5 km to TH	<b><u>8.0±6.7% p = 0.021</u></b>	<b><u>10.1±7.6% p = 0.010</u></b>	6.4±7.8% p = 0.097	4.0±9.3% p = 0.363	5.7±8.9% p = 0.191	6.6±7.2% p = 0.066	6.2±6.4% p = 0.061	5.5±6.1% p = 0.074	3.8±5.5% p = 0.162	1.4±5.2% p = 0.572	0.2±5.4% p = 0.950	2.6±5.6% p = 0.333	<b><u>4.7±2.7% p = 0.000</u></b>	
				TH to TH+5 km	3.2±4.7% p = 0.154	4.9±5.0% p = 0.051	4.2±4.9% p = 0.087	2.7±4.9% p = 0.270	2.4±5.1% p = 0.332	1.5±5.1% p = 0.335	-0.3±4.7% p = 0.892	-0.8±4.5% p = 0.713	0.7±4.4% p = 0.761	1.8±4.2% p = 0.380	1.5±4.5% p = 0.501	1.9±1.9% p = 0.052		
Nairobi	-1.27, 36.8	941	MEI-QBO	TH-10 to TH-5 km	-1.8±9.9% p = 0.719	4.5±10.2% p = 0.374	<b><u>10.1±9.7% p = 0.036</u></b>	5.8±9.2% p = 0.212	-2.8±8.1% p = 0.495	-4.6±7.3% p = 0.208	-1.5±7.9% p = 0.701	-0.5±8.3% p = 0.896	-2.5±7.8% p = 0.532	-2.0±7.3% p = 0.585	-0.2±7.2% p = 0.955	-1.0±8.1% p = 0.807	-0.0±3.4% p = 0.955	
				TH-5 km to TH	0.7±8.8% p = 0.863	5.9±9.8% p = 0.214	<b><u>9.2±9.0% p = 0.042</u></b>	6.5±8.5% p = 0.125	2.1±8.3% p = 0.604	1.8±7.8% p = 0.651	3.1±8.7% p = 0.448	-0.5±8.8% p = 0.905	-3.5±7.4% p = 0.128	-5.4±6.2% p = 0.081	-2.7±6.3% p = 0.364	-0.9±7.1% p = 0.778	0.7±3.2% p = 0.205	
				TH to TH+5 km	6.5±6.7% p = 0.056	2.9±7.6% p = 0.368	-1.4±6.6% p = 0.672	-0.4±6.4% p = 0.885	1.9±6.7% p = 0.550	-0.1±6.8% p = 0.980	-2.5±6.5% p = 0.421	0.1±6.5% p = 0.974	4.5±6.7% p = 0.172	4.4±6.5% p = 0.175	2.7±6.5% p = 0.378	4.7±6.7% p = 0.160	1.9±2.7% p = 0.079	
KL+Java	2.73, 101.27/-7.5, 112.6	786	MEI-QBO+IOD	TH-10 to TH-5 km	-6.4±7.6% p = 0.092	5.1±8.2% p = 0.215	<b><u>14.4±9.0% p = 0.001</u></b>	<b><u>10.1±9.2% p = 0.015</u></b>	0.8±7.2% p = 0.813	-4.8±6.8% p = 0.153	-6.4±7.4% p = 0.082	-4.5±8.9% p = 0.318	0.2±8.5% p = 0.970	0.9±7.5% p = 0.813	-3.3±8.3% p = 0.420	<b><u>-8.7±8.4% p = 0.040</u></b>	-0.6±3.2% p = 0.306	
				TH-5 km to TH	-4.2±8.5% p = 0.317	3.3±8.3% p = 0.418	8.6±9.5% p = 0.072	<b><u>16.5±10.1% p = 0.001</u></b>	<b><u>11.6±7.3% p = 0.003</u></b>	-1.1±5.9% p = 0.697	<b><u>-12.5±6.6% p = 0.000</u></b>	<b><u>-13.3±8.4% p = 0.003</u></b>	-4.7±9.7% p = 0.301	-6.2±8.6% p = 0.149	<b><u>-13.2±8.9% p = 0.004</u></b>	<b><u>-13.4±8.9% p = 0.004</u></b>	-3.2±3.5% p = 0.055	
				TH to TH+5 km	1.5±7.1% p = 0.661	1.4±7.1% p = 0.684	-0.3±7.3% p = 0.940	-0.3±7.3% p = 0.934	0.1±7.1% p = 0.965	-1.4±6.6% p = 0.657	-3.1±6.8% p = 0.352	-2.0±7.5% p = 0.567	-2.0±7.8% p = 0.947	-0.1±8.0% p = 0.981	-1.3±8.2% p = 0.703	-0.6±7.4% p = 0.868	0.5±3.0% p = 0.621	
Samoa	-14.23, -170.56	795	MEI-QBO	TH-10 to TH-5 km	14.3±17.9% p = 0.110	8.5±17.5% p = 0.320	4.2±18.2% p = 0.636	3.8±19.9% p = 0.696	1.9±15.5% p = 0.802	-0.7±12.8% p = 0.921	-1.8±13.1% p = 0.788	-2.0±12.9% p = 0.736	-3.5±11.8% p = 0.542	-4.0±11.8% p = 0.485	0.6±13.2% p = 0.921	9.8±15.8% p = 0.208	1.7±5.9% p = 0.270	
				TH-5 km to TH	9.2±19.3% p = 0.345	10.5±20.1% p = 0.297	7.9±19.9% p = 0.424	3.5±21.1% p = 0.735	-3.2±16.6% p = 0.699	-6.1±13.1% p = 0.350	-3.8±13.4% p = 0.563	0.9±14.4% p = 0.902	0.6±14.2% p = 0.932	-4.0±14.2% p = 0.578	-4.4±14.3% p = 0.542	1.4±15.6% p = 0.861	0.2±6.5% p = 0.922	
				TH to TH+5 km	0.8±5.4% p = 0.765	1.6±6.2% p = 0.620	1.3±6.7% p = 0.704	0.5±6.8% p = 0.896	-2.0±6.3% p = 0.344	-3.5±6.1% p = 0.083	-5.2±5.9% p = 0.073	-1.5±5.9% p = 0.622	1.4±5.9% p = 0.638	0.3±5.4% p = 0.911	-1.4±5.0% p = 0.574	-0.9±4.9% p = 0.711	-0.9±2.4% p = 0.310	

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Figure 1.

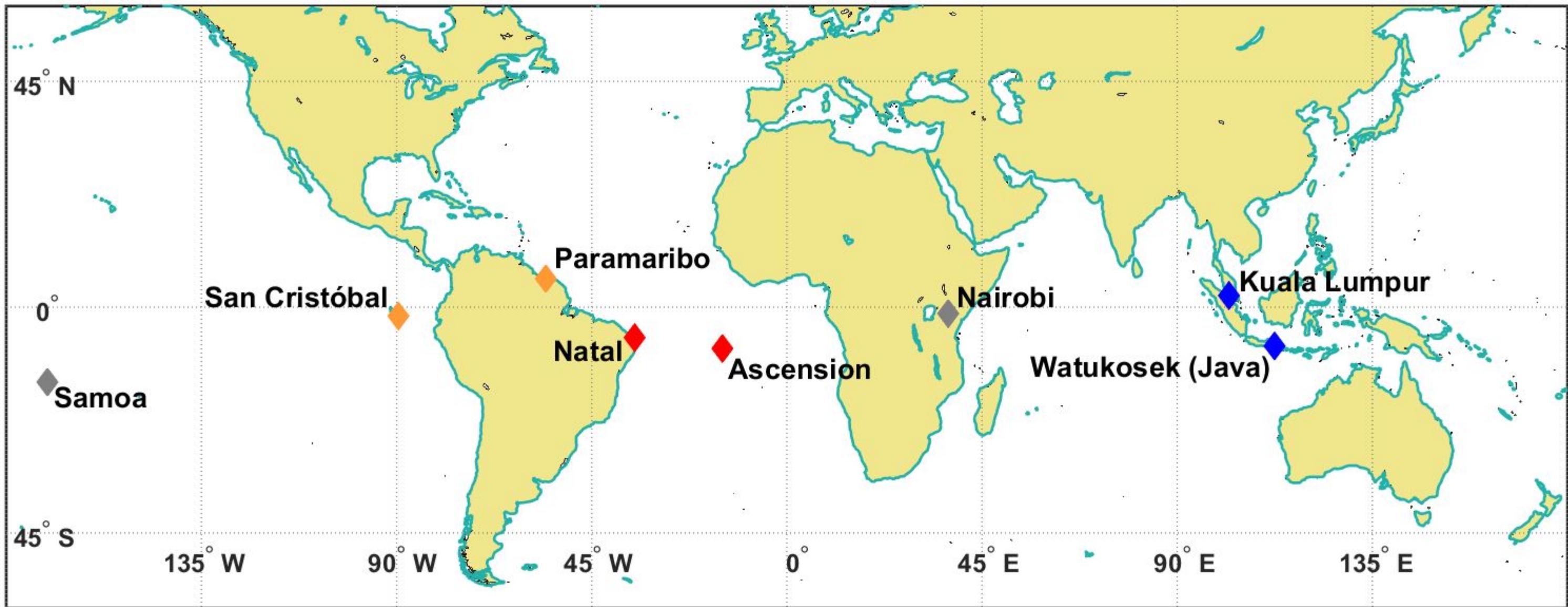
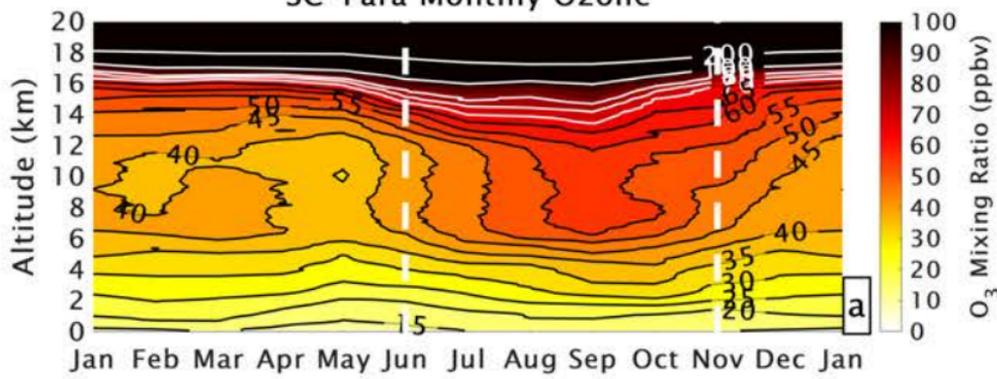
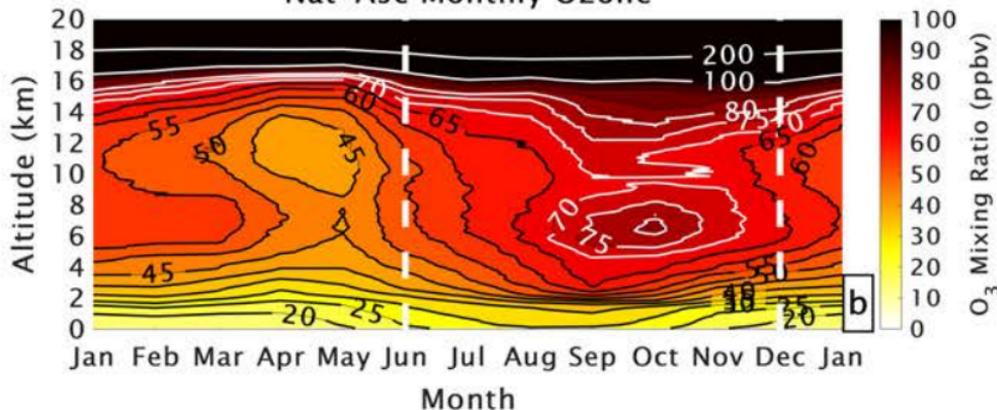


Figure 2.

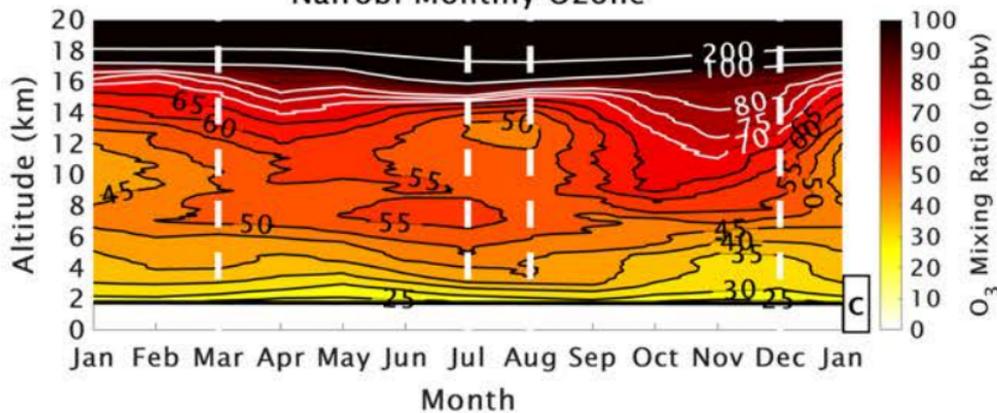
SC-Para Monthly Ozone



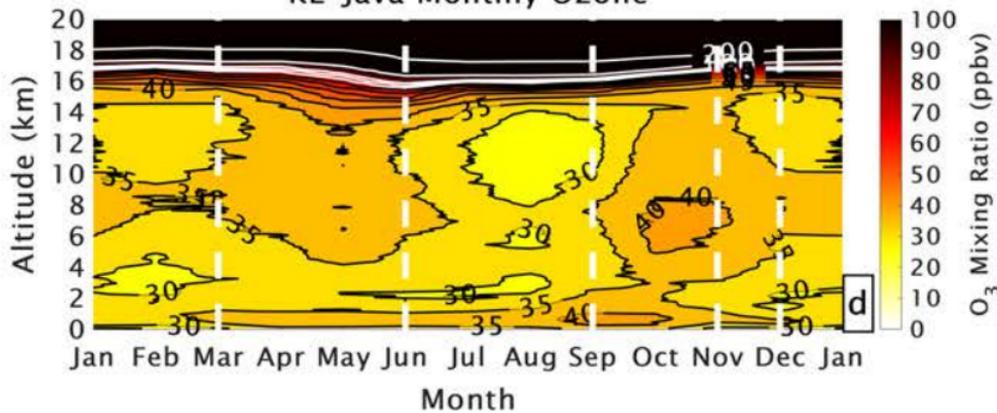
Nat-Asc Monthly Ozone



Nairobi Monthly Ozone



KL-Java Monthly Ozone



Samoa Monthly Ozone

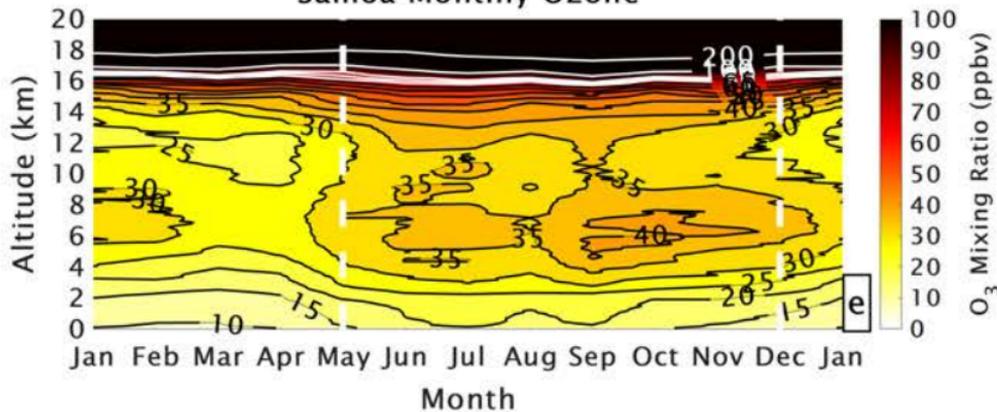


Figure 3.

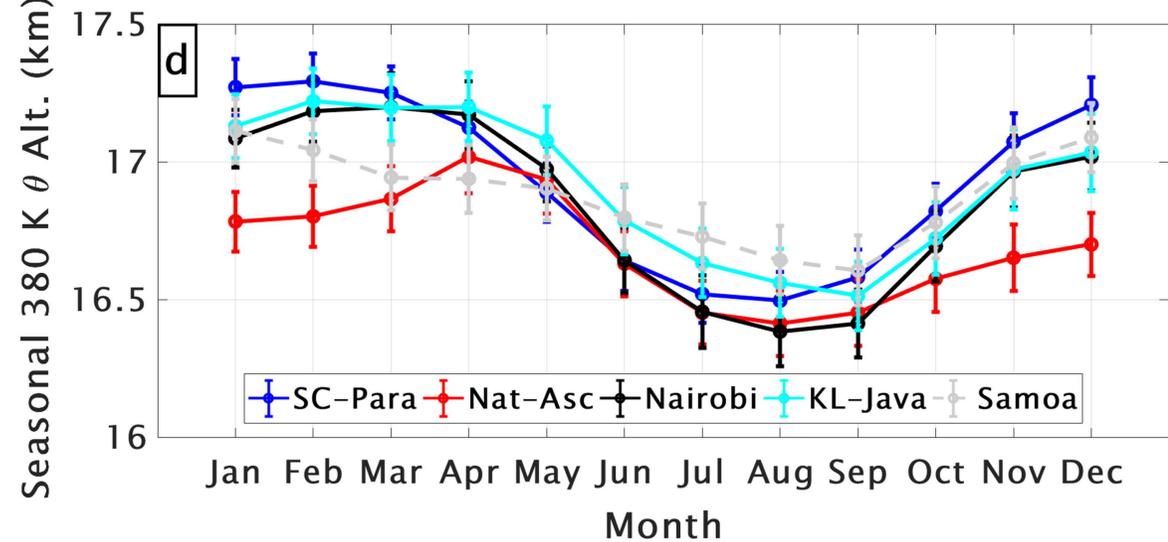
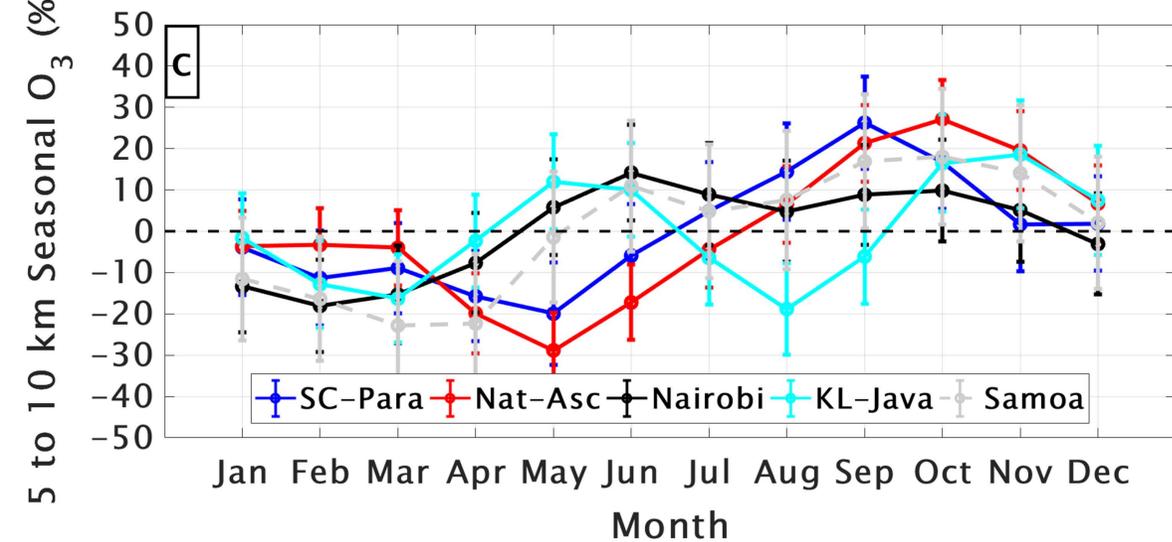
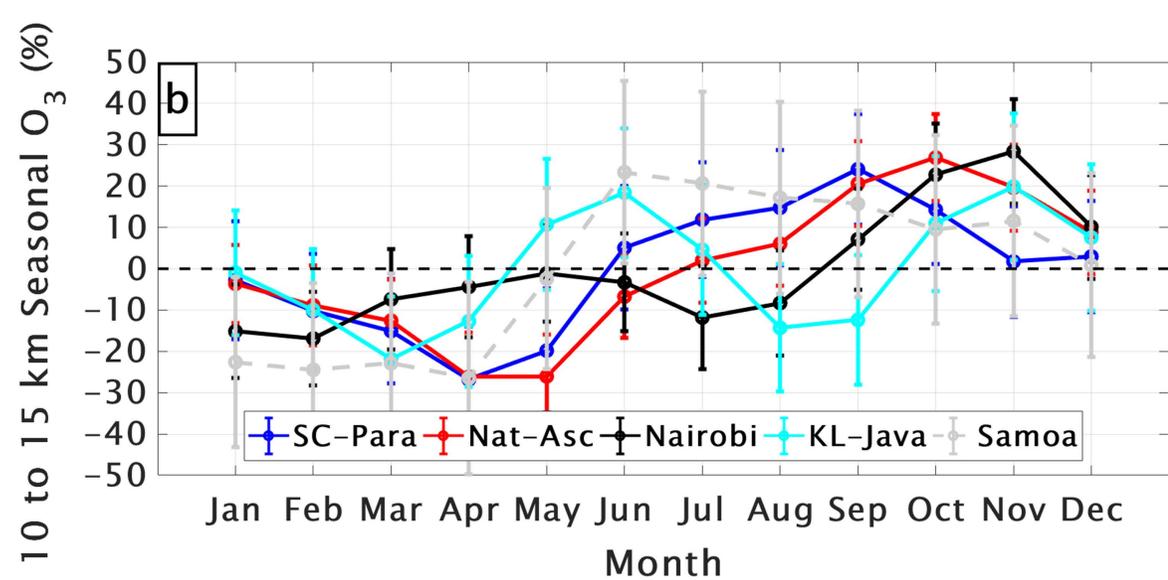
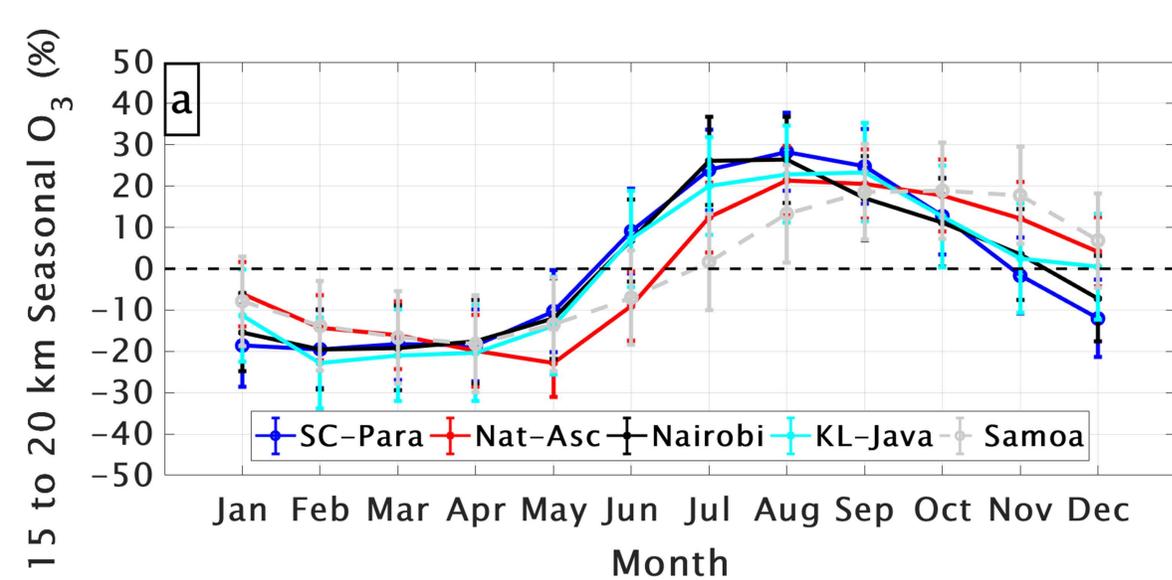


Figure 4.

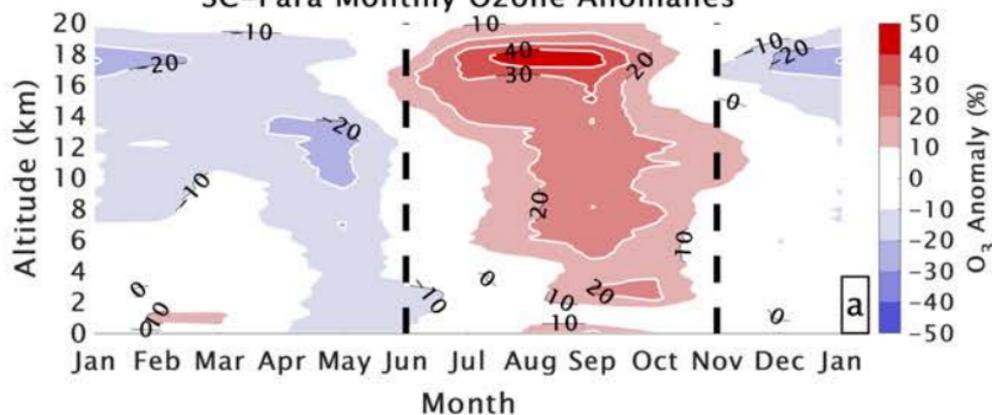
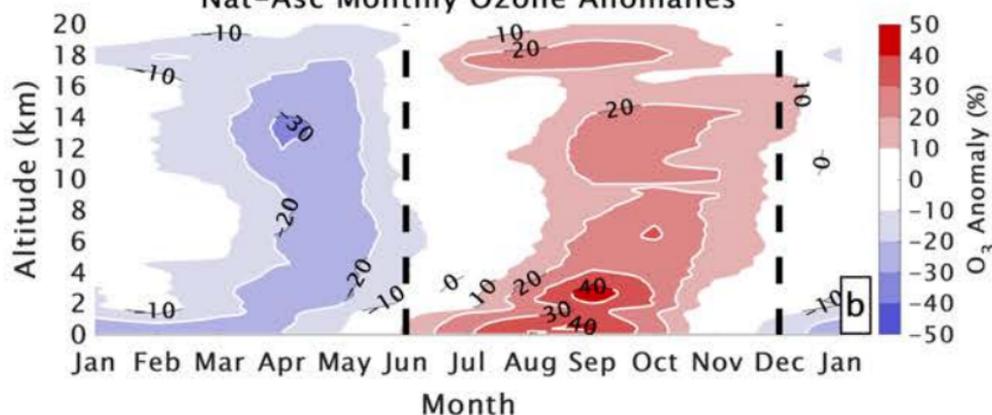
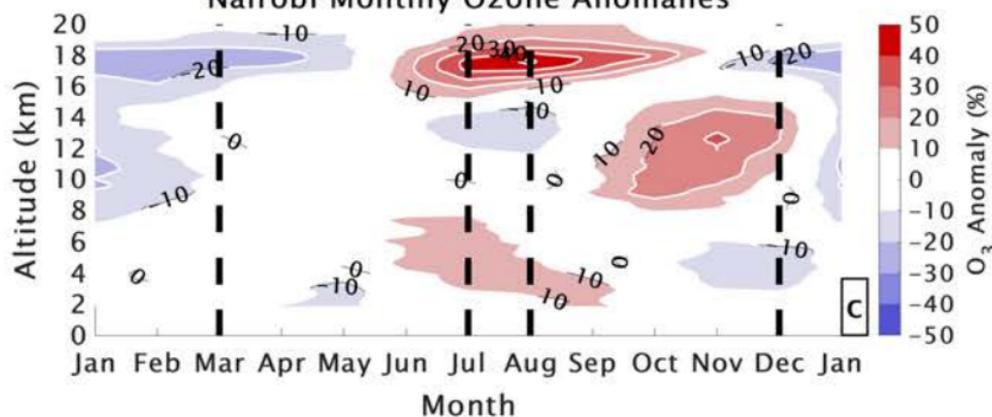
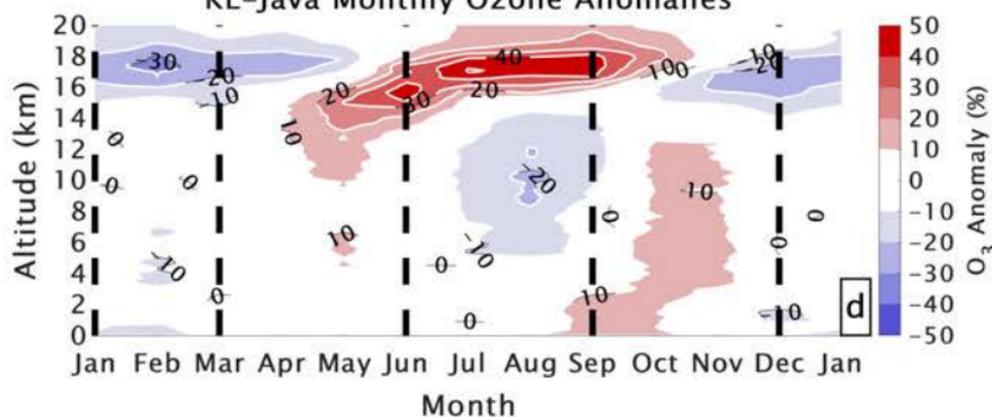
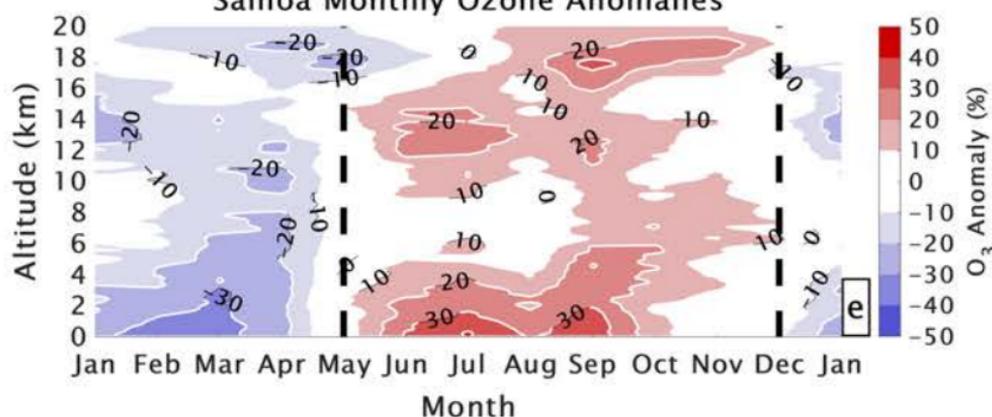
**SC-Para Monthly Ozone Anomalies****Nat-Asc Monthly Ozone Anomalies****Nairobi Monthly Ozone Anomalies****KL-Java Monthly Ozone Anomalies****Samoa Monthly Ozone Anomalies**

Figure 5.

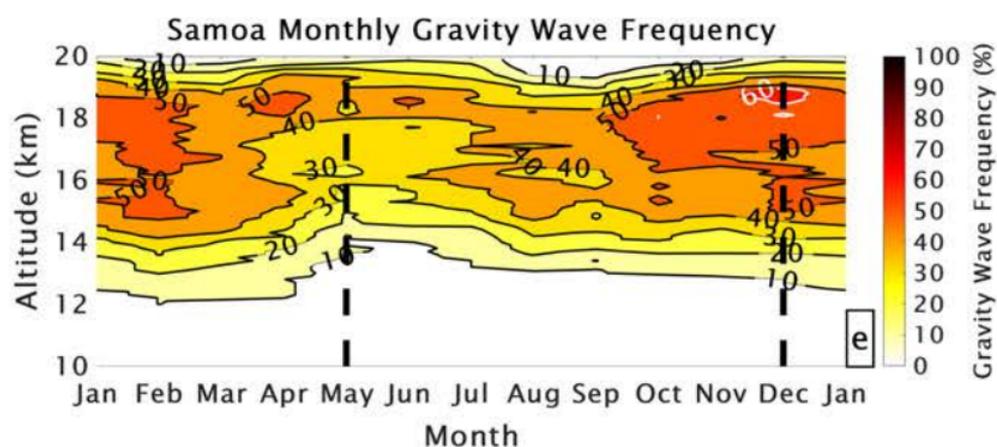
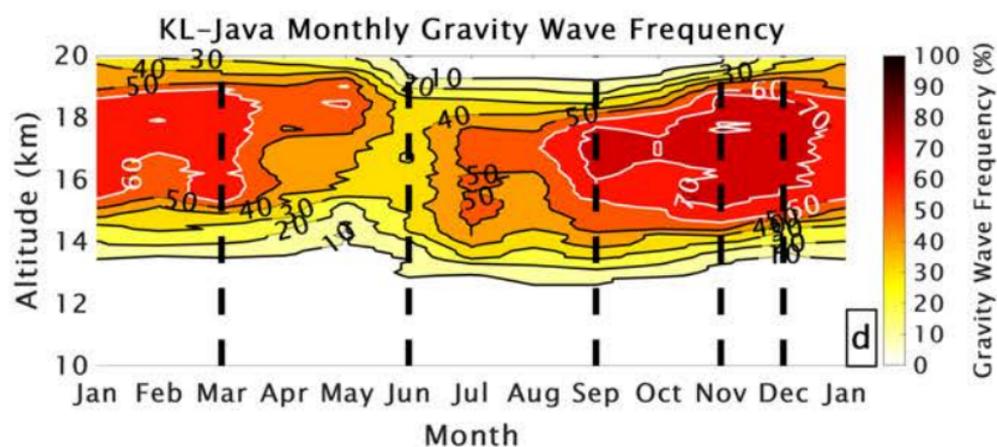
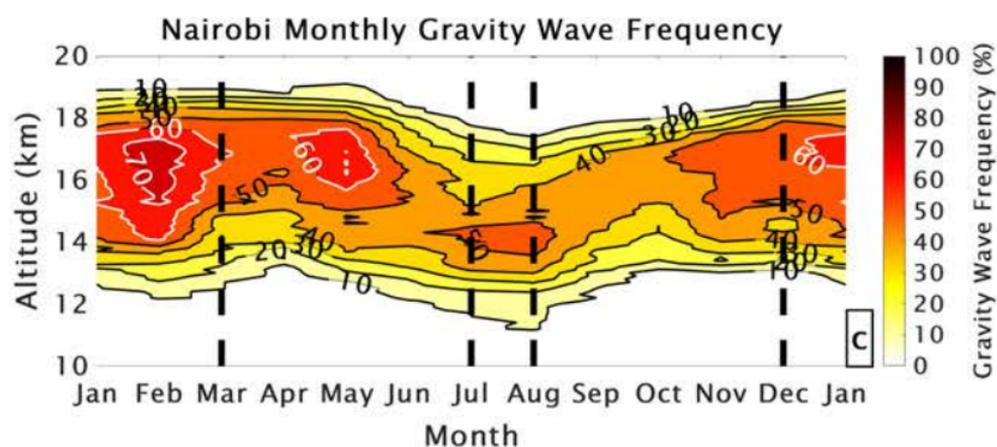
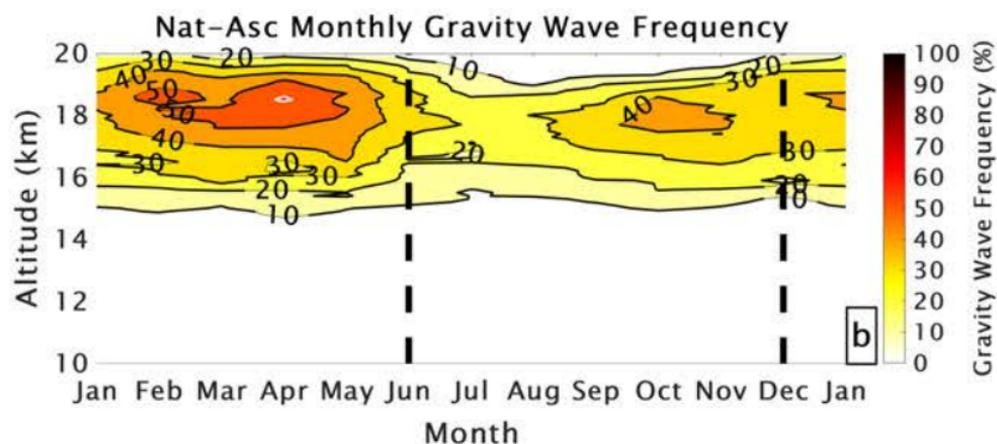
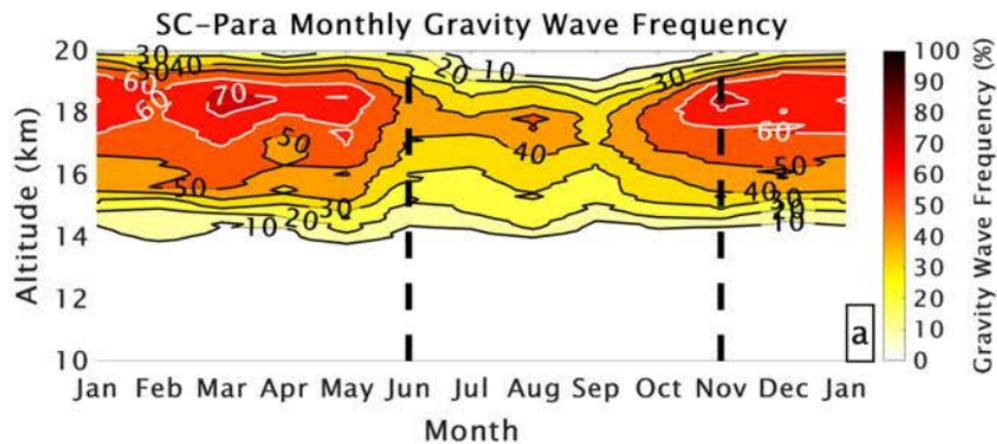
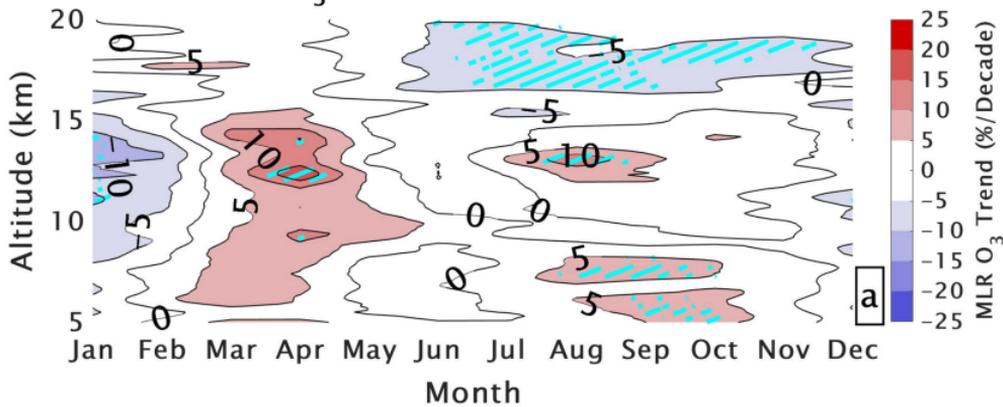
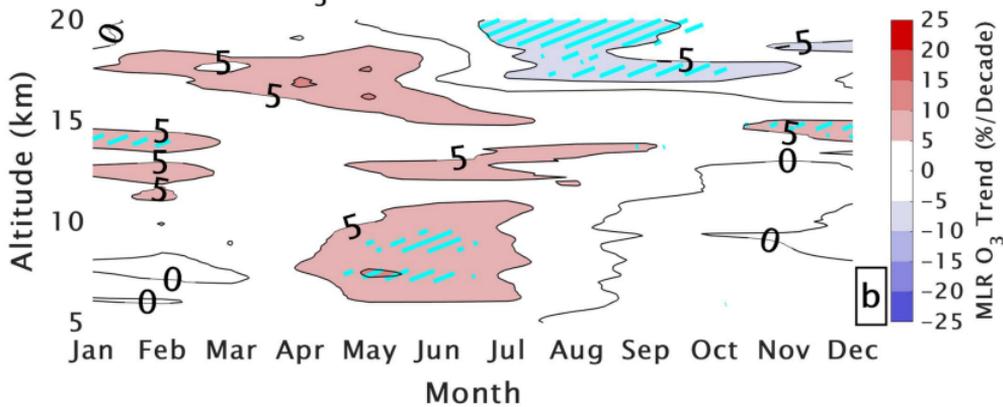


Figure 6.

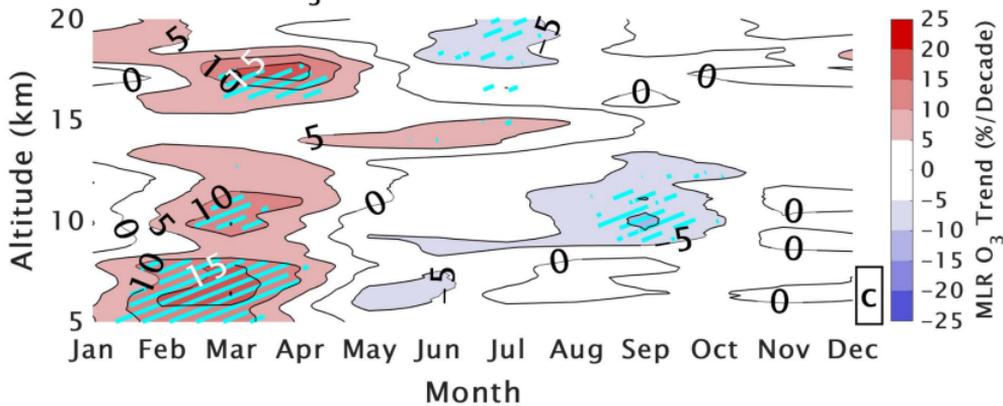
SC-Para O<sub>3</sub> Trends MEI+QBO 1998-2019



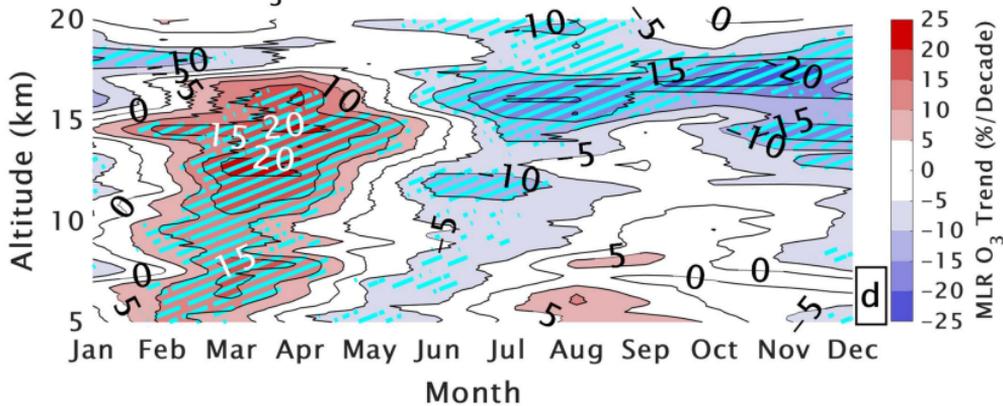
Nat-Asc O<sub>3</sub> Trends MEI+QBO 1998-2019



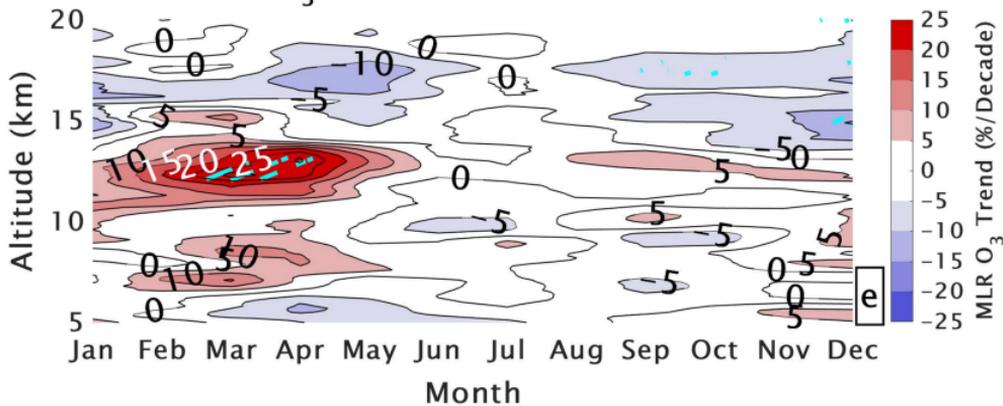
Nairobi O<sub>3</sub> Trends MEI+QBO 1998-2019



KL-Java O<sub>3</sub> Trends MEI+QBO+IOD 1998-2019

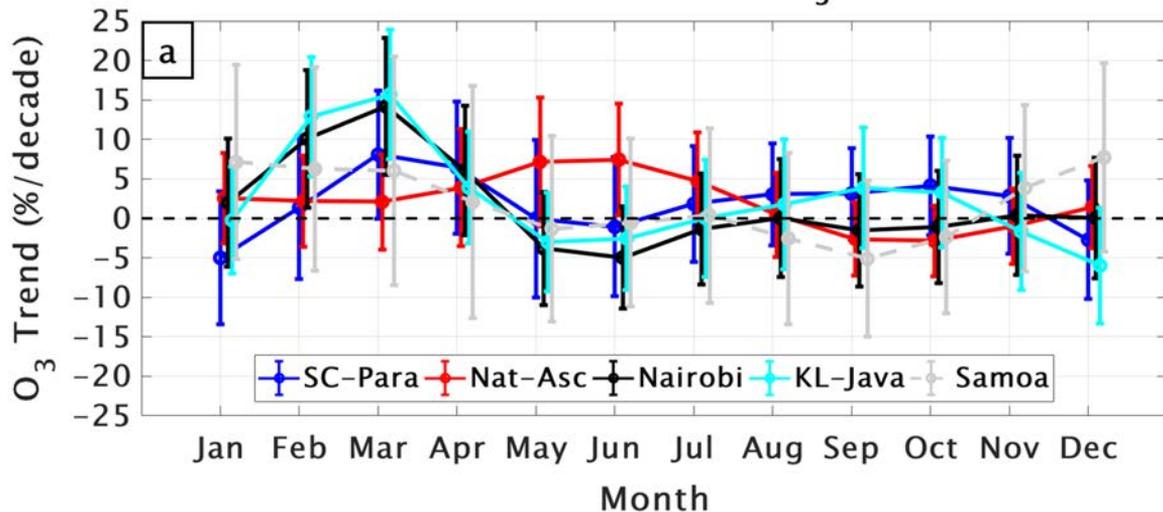


Samoa O<sub>3</sub> Trends MEI+QBO 1998-2019



**Figure 7.**

### 5-10 km Partial Column O<sub>3</sub> Trends



### 10-15 km Partial Column O<sub>3</sub> Trends

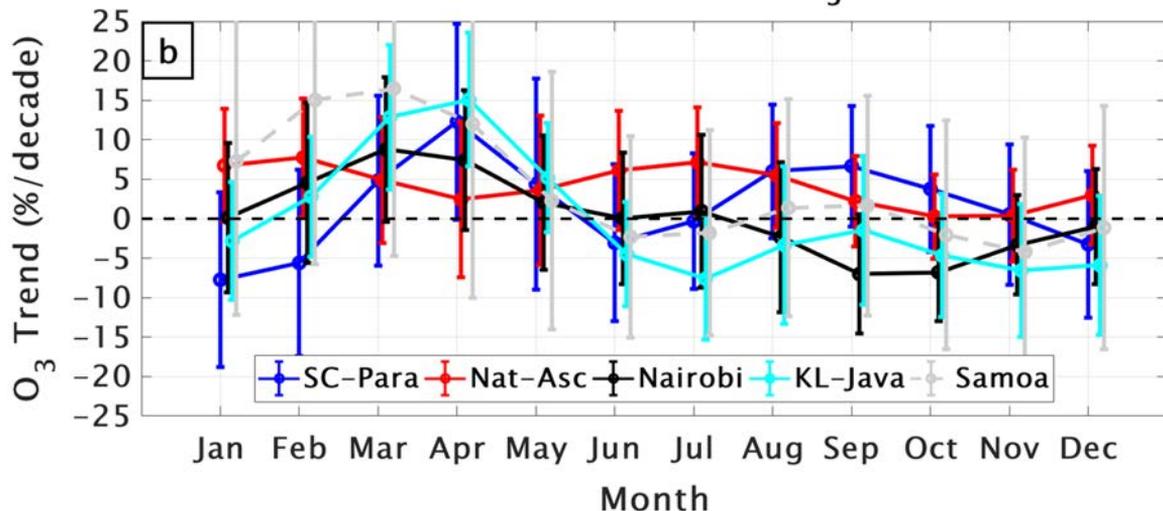


Figure 8.

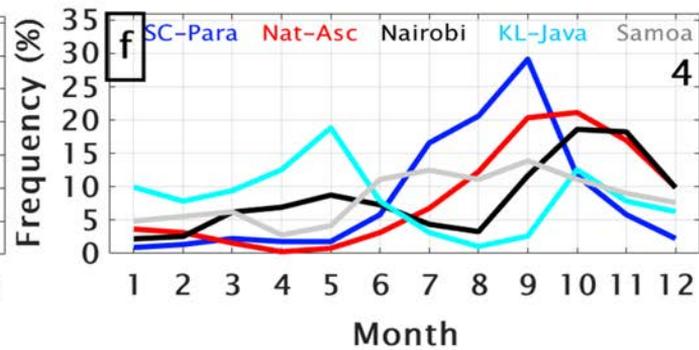
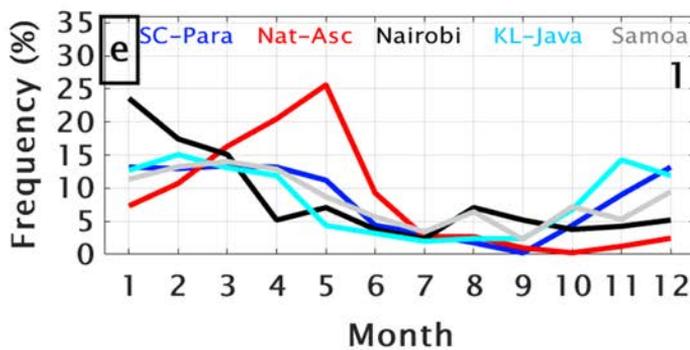
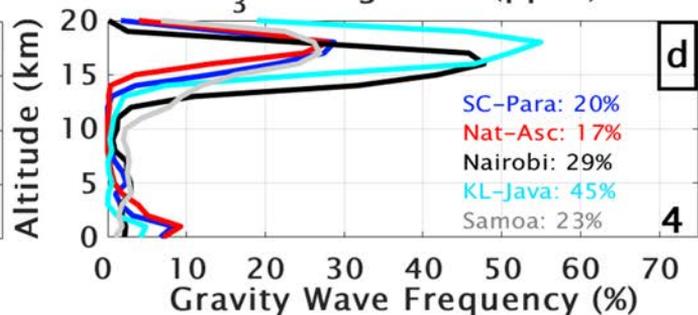
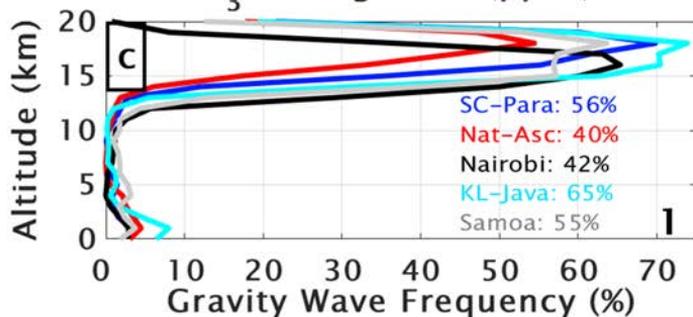
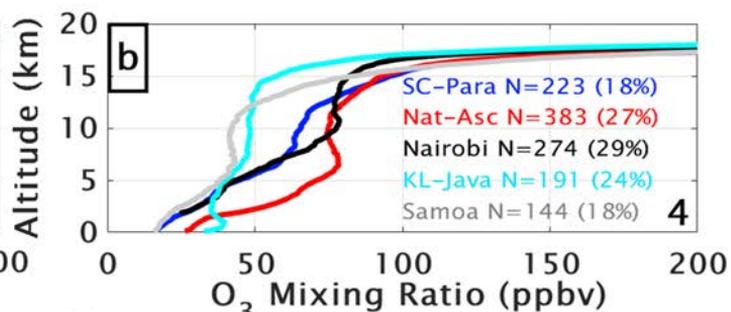
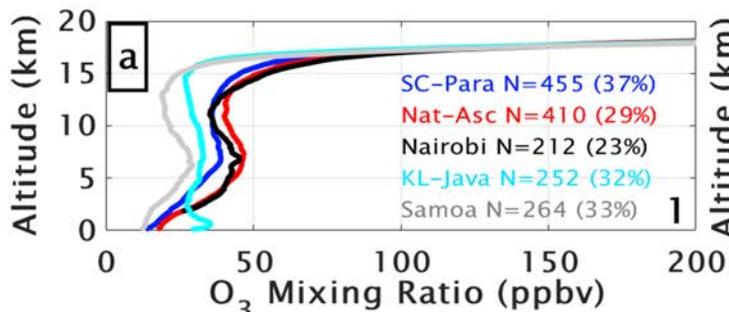


Figure 9.

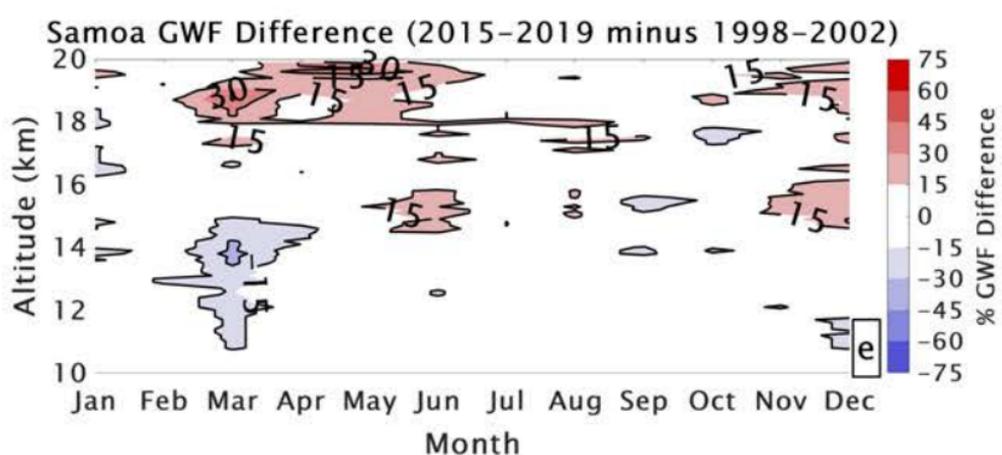
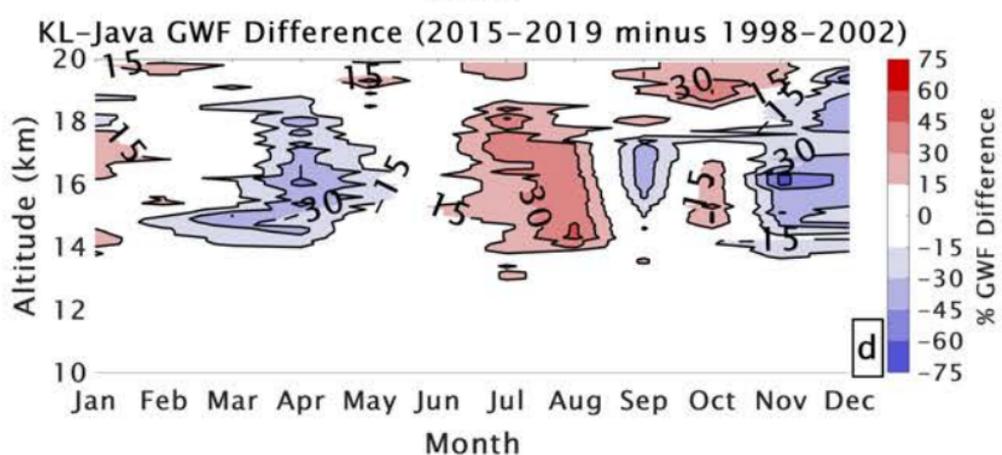
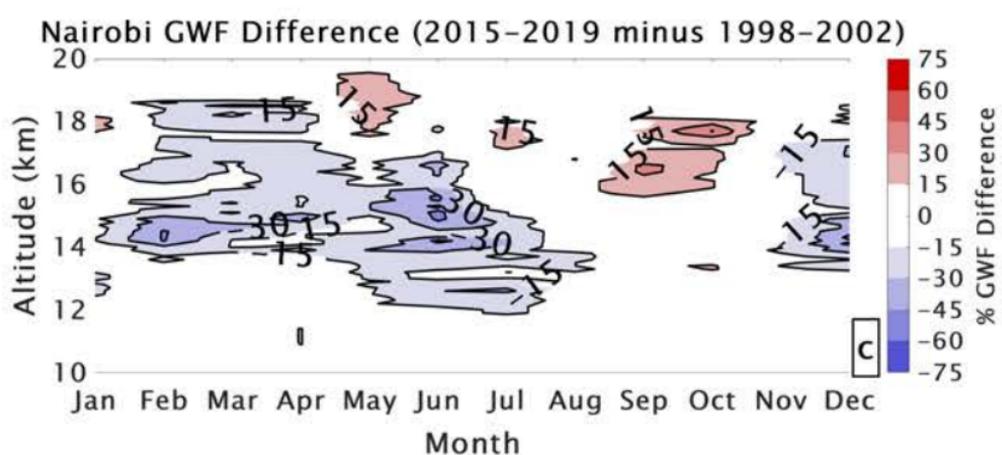
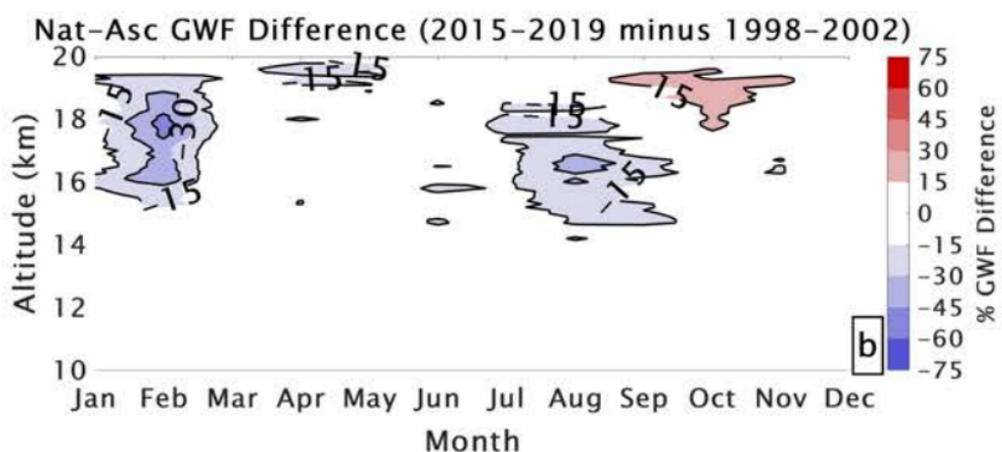
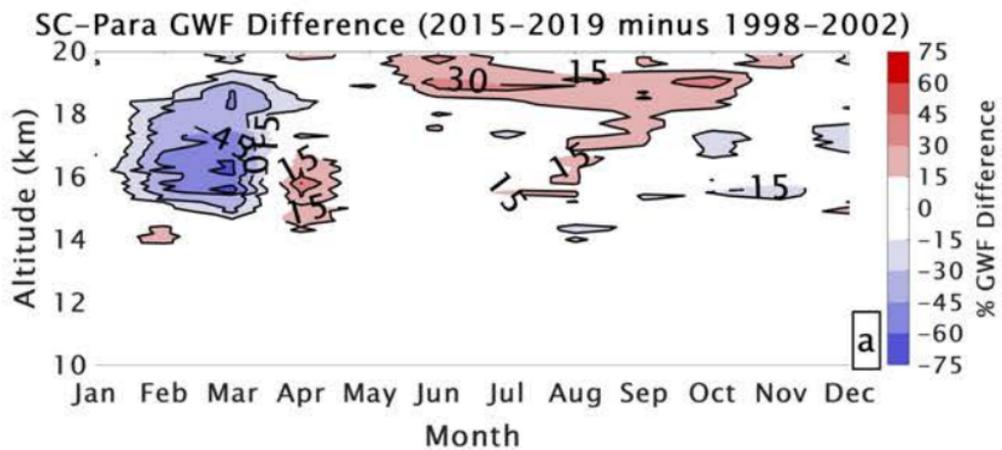
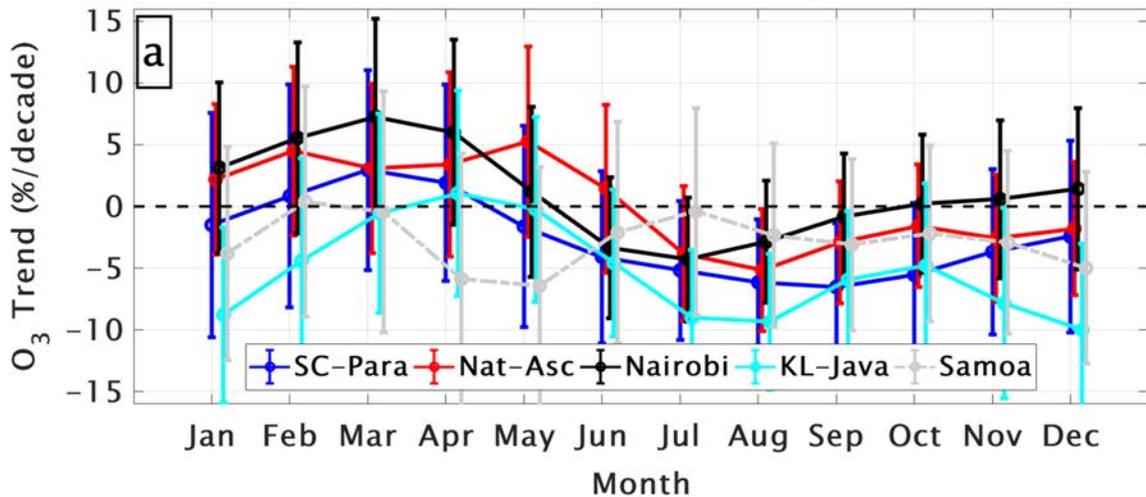


Figure 10.

# 15–20 km Partial Column O<sub>3</sub> Trends



# 380 K $\theta$ Altitude Trends

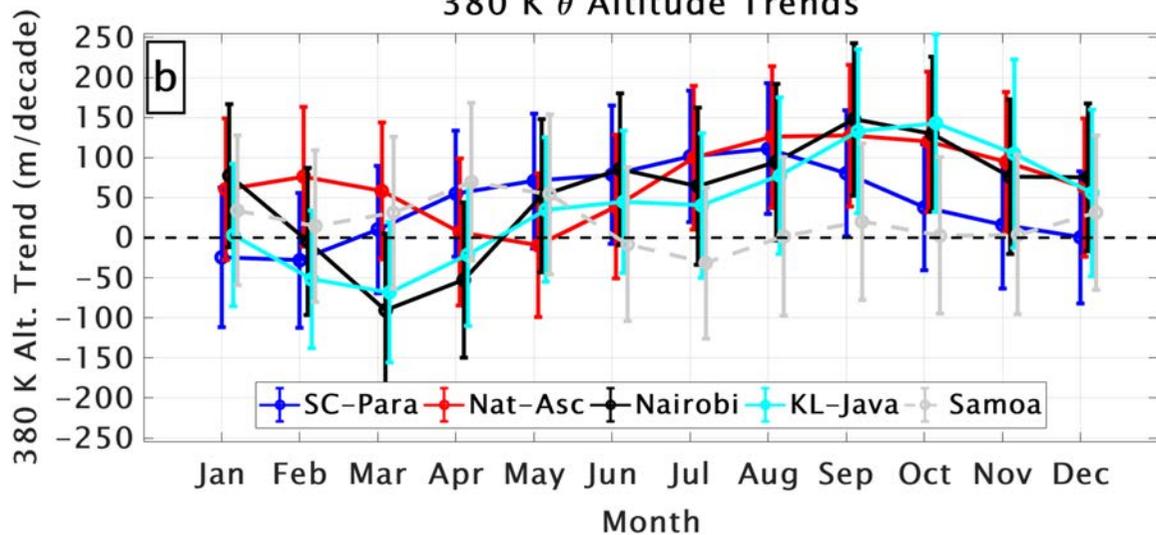
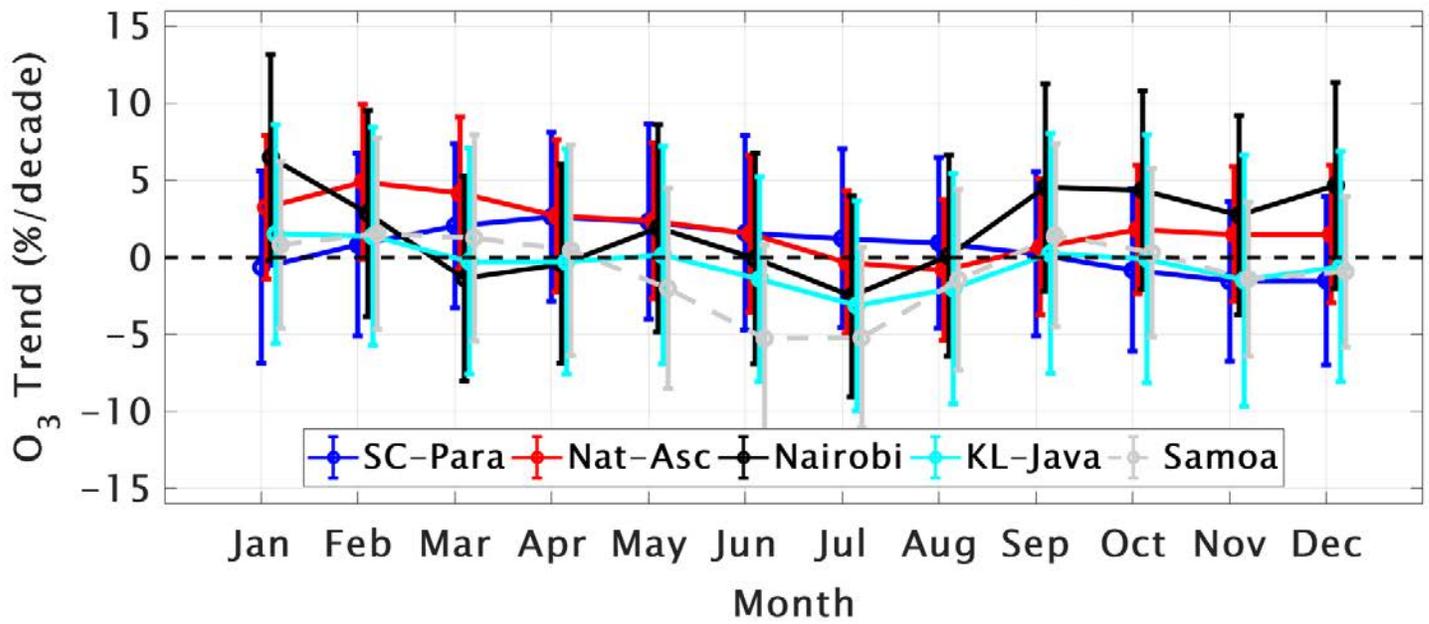


Figure 11.

### TH to TH + 5 km Partial Column O<sub>3</sub> Trends



Supporting Information for

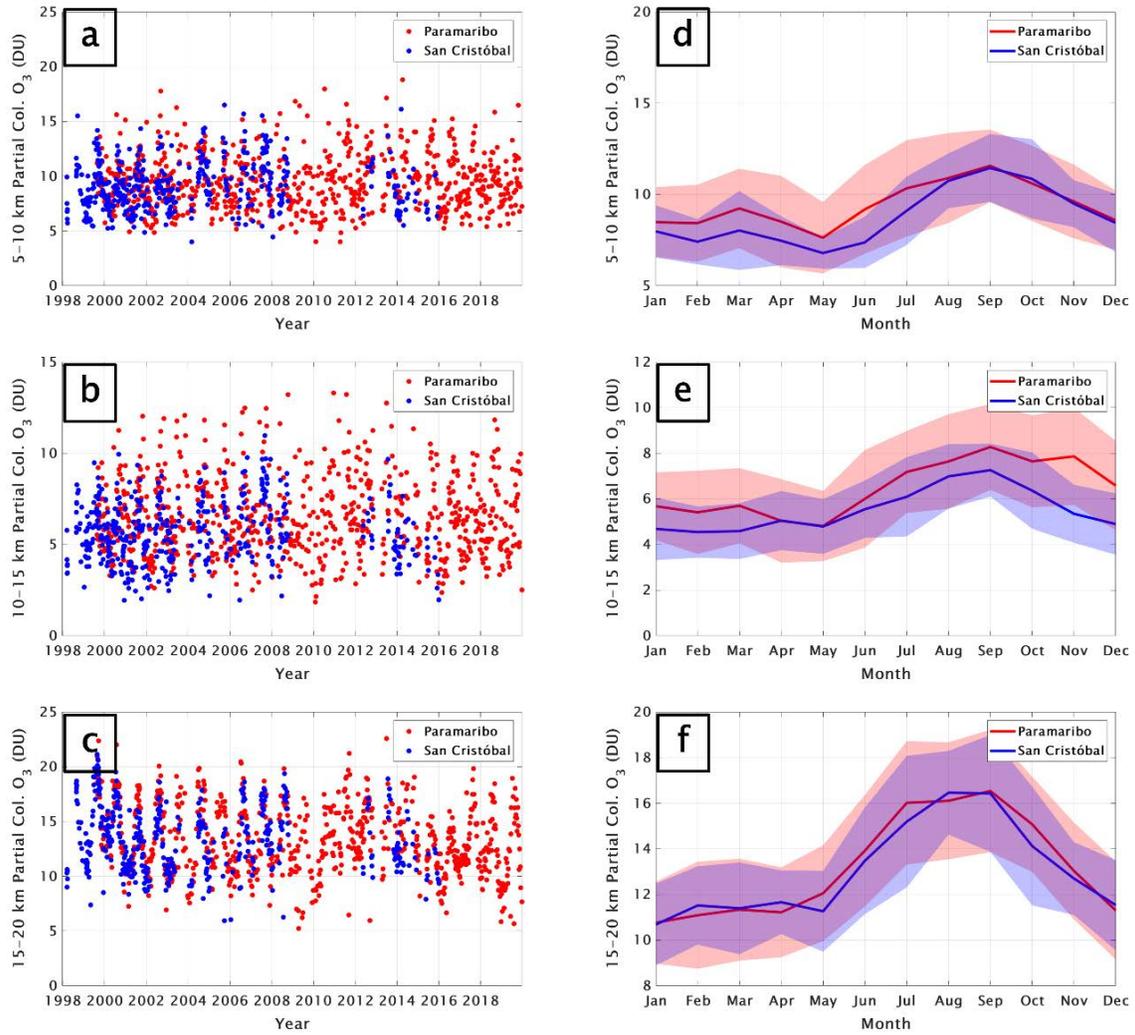
**Regional and Seasonal Trends in Tropical Ozone from SHADOZ Profiles: Reference for Models and Satellite Products**

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Debra E. Kollonige<sup>1,3</sup>, Jerald R. Ziemke<sup>1,5</sup>**

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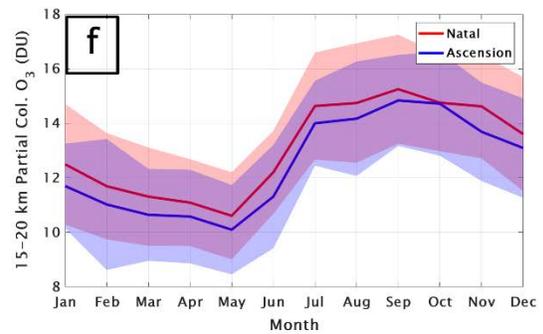
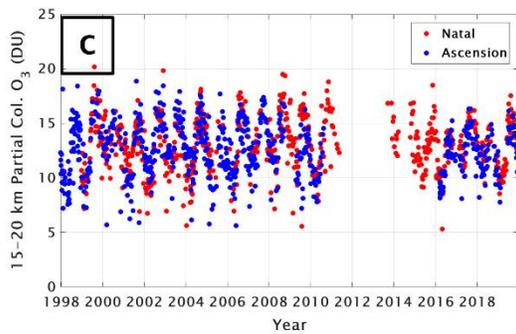
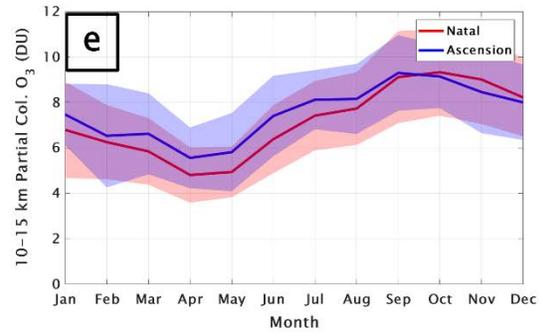
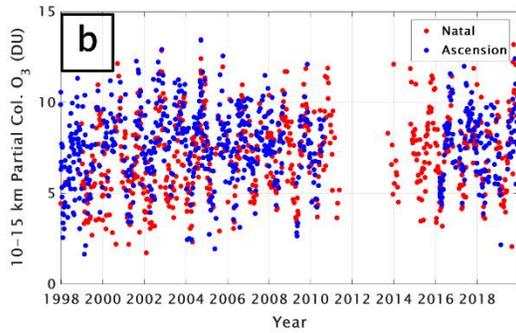
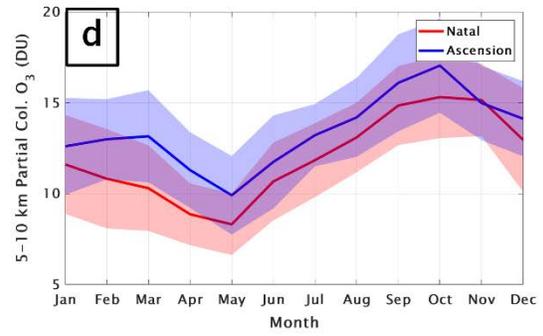
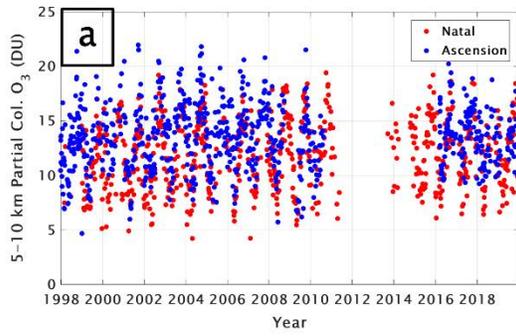
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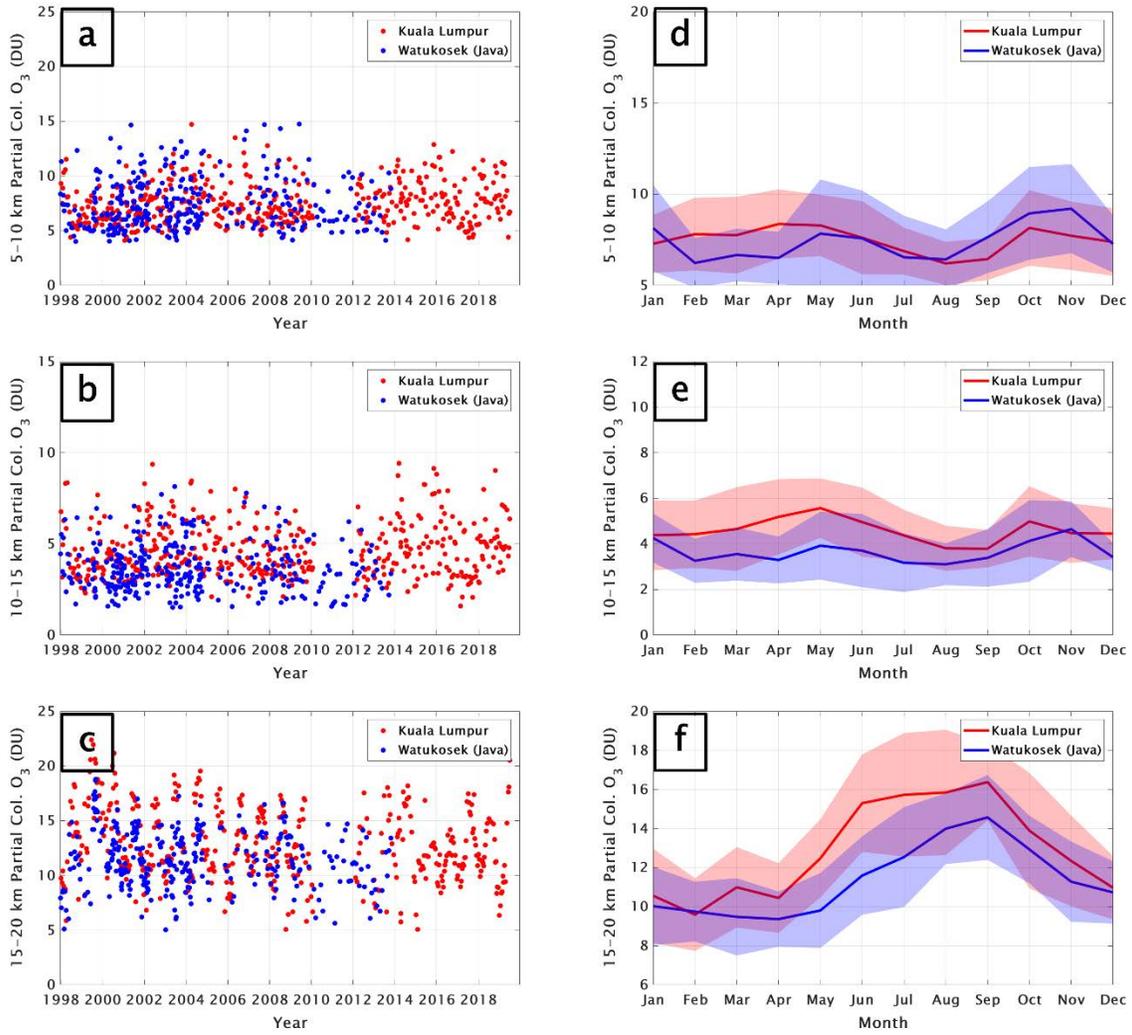
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**Figure S1.** For the Paramaribo (red) and San Cristóbal (blue) SHADOZ data, the time-series (1998-2019) of ozone partial column amounts in (Dobson Units, DU) for (a) the lower FT, defined as 5-10 km; (b) upper FT, defined as 10-15 km; (c) LMS defined as 15-20 km. In (d-f): monthly mean partial column ozone ( $\pm 1\sigma$ ) in DU based on the same soundings as for (a-c).



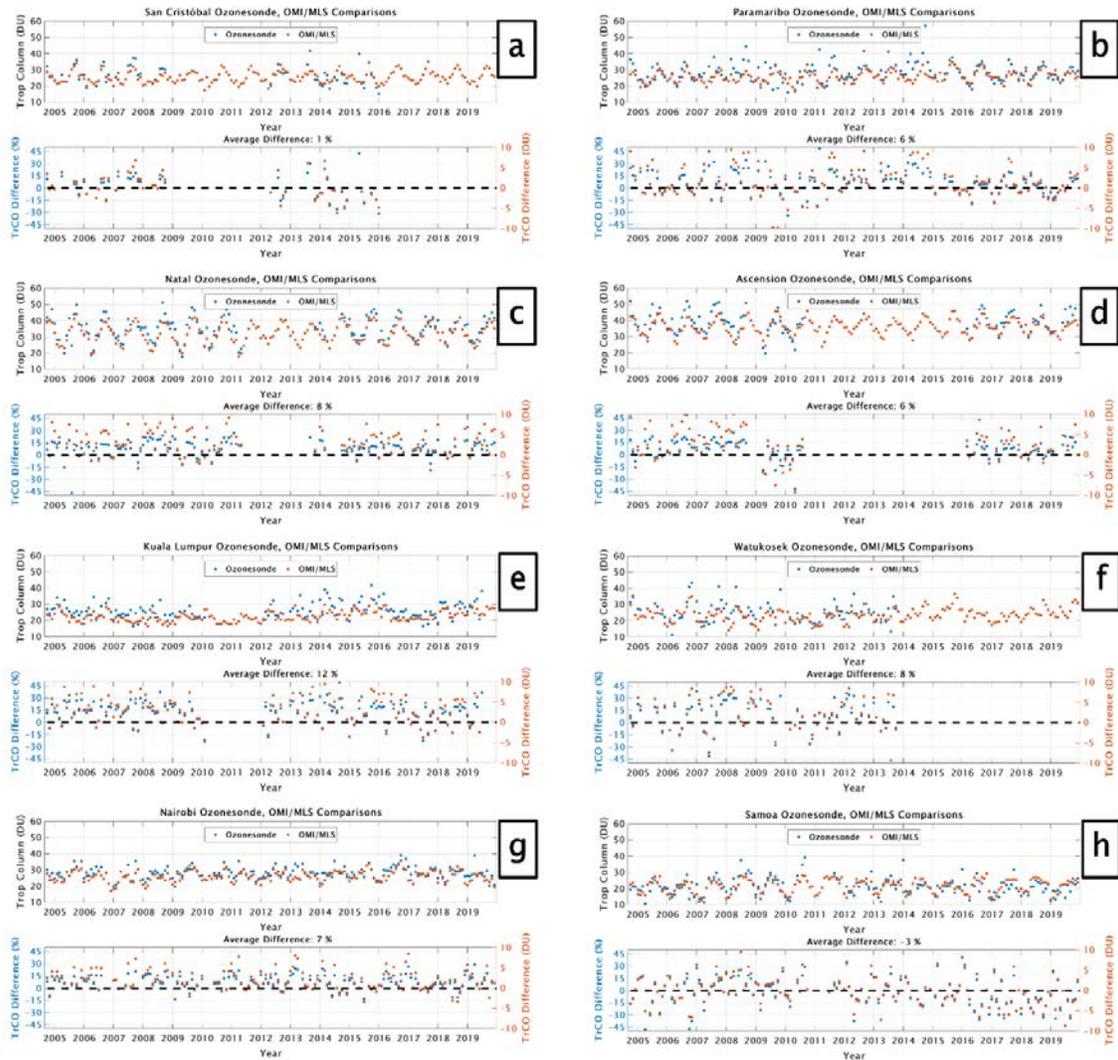
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**Figure S2.** Same as for S1, except that the individual station data are from Natal (red) and Ascension (blue).



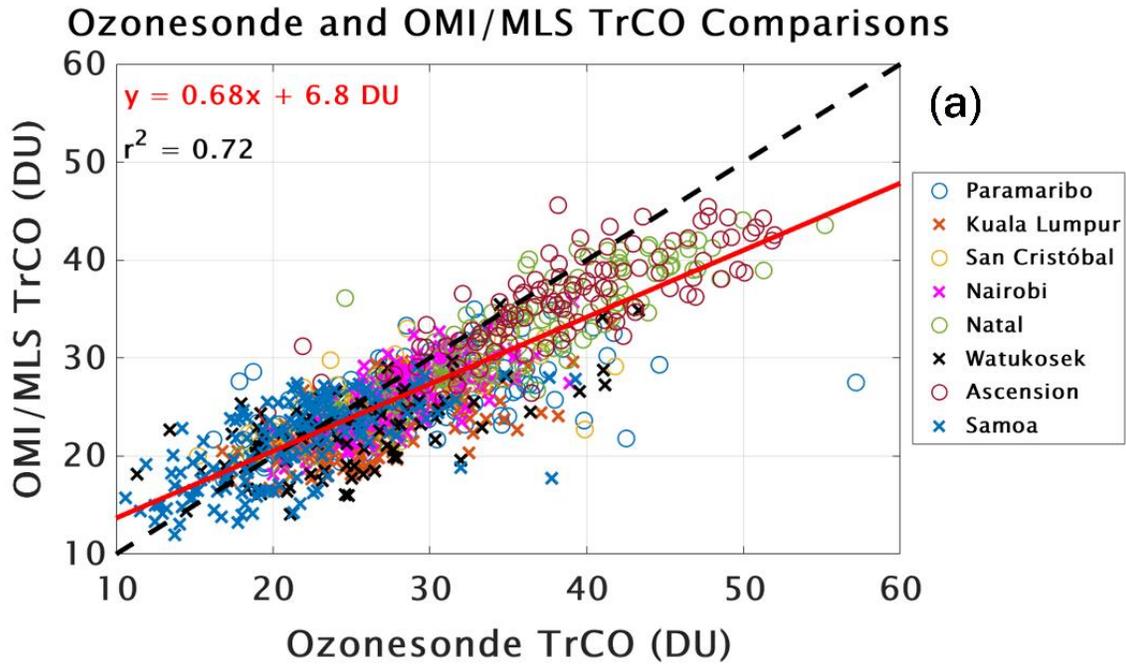
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**Figure S3.** Same as for S1, except that the individual station data are from Kuala Lumpur (red) and Watukosek, Java, Indonesia (blue).

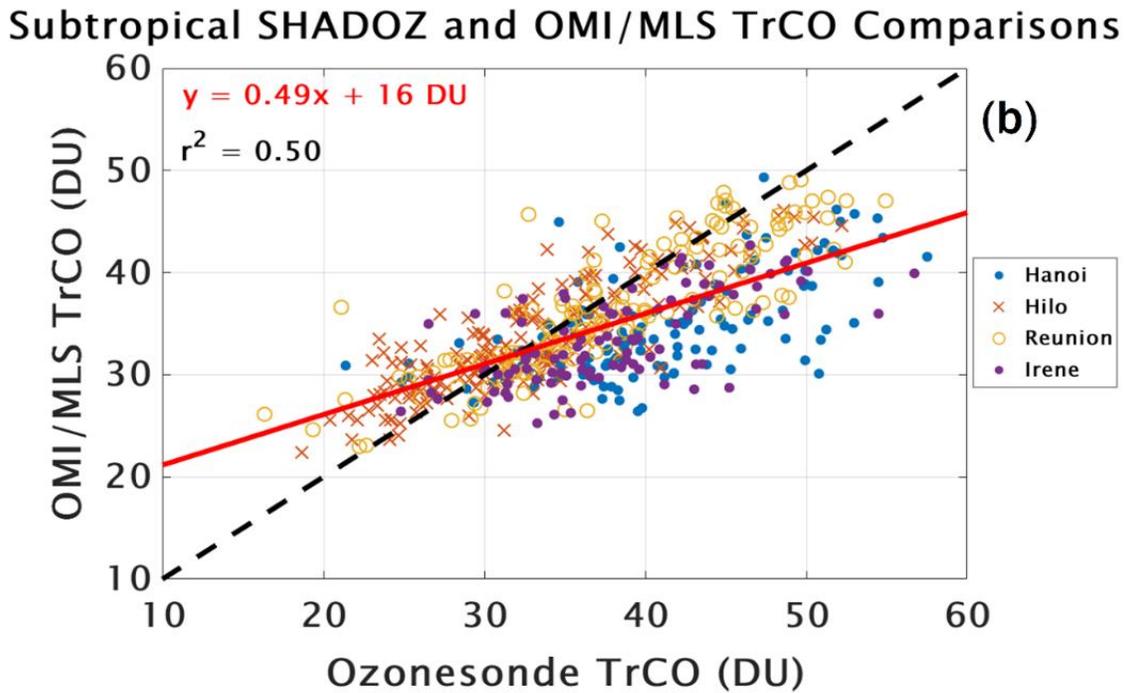


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**Figure S4.** For the 8 stations analyzed, the upper panels in (a) –(h) give monthly mean tropospheric column ozone in DU, estimated from the OMI/MLS residual satellite product (*Ziemke et al., 2019*) and integrated from surface to tropopause from SHADOZ data; the tropopause is determined from the radiosonde data. In text, the column integrals are referred to as  $TrCO_{sat}$  for the OMI/MLS and  $TrCO_{sonde}$  for the SHADOZ data. Lower panels give the difference between the two tropospheric columns in % (left scale, blue) and DU (right scale, red).



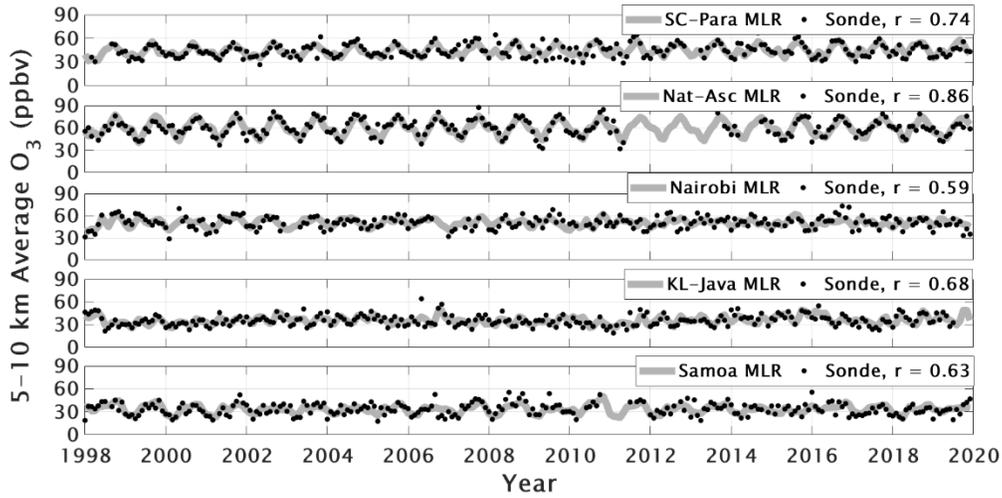
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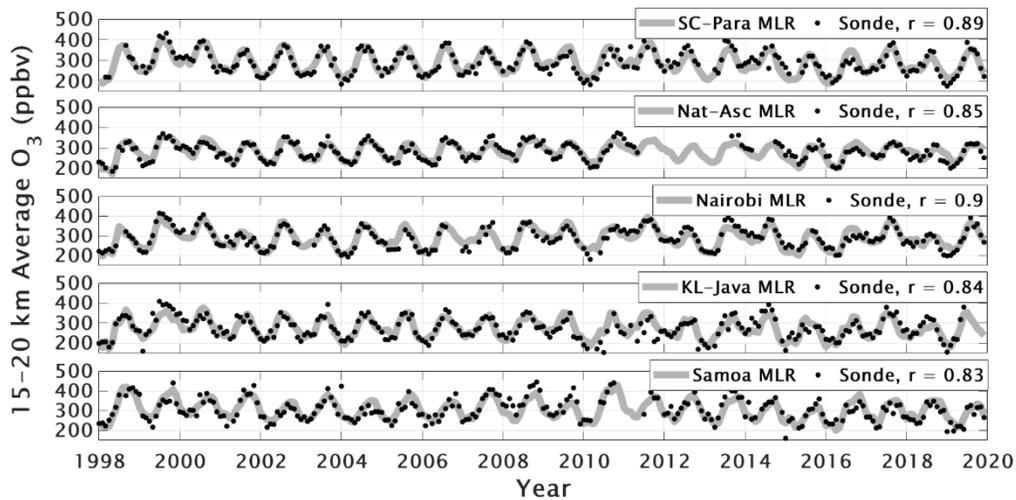
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**Figure S5.** (a) Scatterplot of  $\text{TrCO}_{\text{sat}}$  vs  $\text{TrCO}_{\text{sonde}}$  for the 8 tropical SHADOZ stations in Figure S4; (b) same for the 4 subtropical SHADOZ stations, with latitude  $> 19\text{N}$  (Hilo, Hanoi) or  $> 19\text{S}$  (Réunion, Irene). The degraded correlation in the subtropics suggests caution in using OMI/MLS for determining tropospheric ozone trends at the higher latitudes.

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63 **Figure S6.** Monthly averaged MLR (grey lines) and ozonesonde (black dots) ozone  
64 mixing ratios for the two individual and three combination sites in the 5 to 10 km  
65 layer. Correlations between MLR model fits and ozonesonde data are shown in each  
66 frame.  
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**Figure S7.** Monthly averaged MLR (grey lines) and ozonesonde (black dots) ozone mixing ratios for the two individual and three combination sites in the 15 to 20 km (LMS) layer. Correlations between MLR model fits and ozonesonde data are shown in each frame.

Table T1. Same as Table 1 except ozone change is in DU/decade

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Trends by layer, DU per decade																
Site	Lat, Lon (°)	Profiles	MLR Terms and Alt.	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Ann
SC+Para	-0.92, -89.62/5.8, -55.21	1227	MEI+QBO													
			5-10 km	-0.4±0.7 p=0.233	0.1±0.7 p=0.764	<b>0.7±0.7 p=0.050</b>	0.5±0.6 p=0.121	-0.0±0.7 p=0.995	-1.0±0.7 p=0.808	0.2±0.7 p=0.609	0.3±0.7 p=0.345	0.4±0.6 p=0.271	0.4±0.6 p=0.183	0.3±0.7 p=0.435	-0.2±0.7 p=0.470	0.2±0.3 p=0.079
			10-15 km	-0.4±0.6 p=0.162	-0.3±0.6 p=0.336	0.2±0.5 p=0.361	0.5±0.5 p=0.055	0.2±0.6 p=0.511	-0.2±0.6 p=0.540	-0.0±0.6 p=0.942	0.4±0.6 p=0.155	0.5±0.5 p=0.081	0.3±0.5 p=0.343	0.0±0.5 p=0.904	-0.2±0.6 p=0.487	0.1±0.2 p=0.260
Natal+Ascen	-5.42, -35.38/-7.58, -14.24	1436	MEI+QBO													
			5-10 km	0.3±0.7 p=0.357	0.3±0.7 p=0.445	0.3±0.7 p=0.471	0.4±0.8 p=0.283	0.6±0.7 p=0.078	<b>0.8±0.7 p=0.036</b>	0.6±0.7 p=0.114	0.1±0.7 p=0.850	-0.4±0.7 p=0.253	-0.5±0.7 p=0.196	-0.2±0.7 p=0.668	0.2±0.7 p=0.560	0.2±0.3 p=0.143
			10-15 km	0.5±0.5 p=0.059	<b>0.5±0.5 p=0.042</b>	0.3±0.5 p=0.198	0.1±0.5 p=0.595	0.2±0.5 p=0.423	0.4±0.5 p=0.100	<b>0.5±0.5 p=0.044</b>	0.4±0.5 p=0.093	0.2±0.5 p=0.411	0.0±0.5 p=0.919	0.0±0.5 p=0.894	0.2±0.5 p=0.318	<b>0.3±0.2 p=0.001</b>
Nairobi	-1.27, 36.8	941	MEI+QBO													
			5-10 km	0.2±0.7 p=0.639	<b>0.9±0.7 p=0.018</b>	<b>1.2±0.8 p=0.001</b>	0.6±0.8 p=0.140	-0.4±0.8 p=0.297	-0.6±0.8 p=0.125	-0.2±0.8 p=0.701	0.0±0.8 p=0.997	-0.2±0.8 p=0.679	-0.1±0.8 p=0.769	0.0±0.8 p=0.923	0.0±0.8 p=0.991	0.1±0.3 p=0.119
			10-15 km	0.0±0.6 p=0.979	0.3±0.6 p=0.350	0.6±0.6 p=0.059	0.5±0.6 p=0.093	0.1±0.6 p=0.615	0.0±0.6 p=0.989	0.1±0.6 p=0.838	-0.1±0.6 p=0.603	-0.6±0.6 p=0.062	<b>-0.6±0.6 p=0.035</b>	-0.3±0.6 p=0.271	-0.1±0.6 p=0.780	-0.0±0.2 p=0.707
KL+Java	2.73, 101.27/-7.5, 112.6	786	MEI+QBO+IOD													
			5-10 km	-0.0±0.5 p=0.947	<b>0.8±0.5 p=0.001</b>	<b>0.9±0.5 p=0.000</b>	0.3±0.5 p=0.267	-0.2±0.5 p=0.327	-0.2±0.5 p=0.441	0.0±0.5 p=0.991	0.1±0.5 p=0.665	0.3±0.5 p=0.317	0.0±0.6 p=0.353	-0.1±0.7 p=0.660	-0.5±0.6 p=0.102	0.1±0.2 p=0.138
			10-15 km	-0.1±0.3 p=0.456	0.1±0.3 p=0.466	<b>0.4±0.3 p=0.005</b>	<b>0.5±0.3 p=0.000</b>	0.2±0.3 p=0.133	-0.2±0.3 p=0.173	-0.3±0.3 p=0.053	-0.1±0.3 p=0.500	-0.1±0.3 p=0.757	-0.2±0.4 p=0.231	-0.3±0.4 p=0.120	-0.3±0.4 p=0.180	-0.0±0.1 p=0.347
Samoa	-14.23, -170.56	795	MEI+QBO													
			5-10 km	0.5±0.8 p=0.245	0.4±0.8 p=0.322	0.3±0.8 p=0.400	0.1±0.8 p=0.770	-0.1±0.8 p=0.832	-0.0±0.8 p=0.912	0.0±0.8 p=0.947	-0.2±0.9 p=0.629	-0.4±0.8 p=0.297	-0.2±0.8 p=0.624	0.3±0.8 p=0.460	0.5±0.8 p=0.191	0.1±0.3 p=0.226
			10-15 km	0.2±0.6 p=0.448	0.4±0.6 p=0.147	0.5±0.6 p=0.123	0.3±0.6 p=0.275	0.1±0.6 p=0.780	-0.1±0.6 p=0.720	-0.1±0.6 p=0.779	0.1±0.6 p=0.841	0.1±0.6 p=0.808	-0.1±0.6 p=0.778	-0.2±0.6 p=0.562	-0.0±0.6 p=0.878	0.1±0.3 p=0.243
			15-20 km	-0.5±1.1 p=0.377	0.0±1.1 p=0.929	-0.1±1.1 p=0.926	-0.7±1.2 p=0.244	-0.8±1.2 p=0.179	-0.3±1.2 p=0.641	-0.1±1.2 p=0.924	-0.4±1.2 p=0.525	-0.5±1.2 p=0.369	-0.4±1.2 p=0.537	-0.5±1.2 p=0.427	-0.7±1.2 p=0.198	-0.4±0.5 p=0.115

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Table T2. Same as Table 2 except that ozone change is in DU/decade

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Trends by layer, DU per decade																
Site	Lat, Lon (°)	Profiles	MLR Terms and Alt.	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Ann
SC+Para	-0.92, -89.62/5.8, -55.21	1227	MEI+QBO													
			TH-10 to TH-5 km	-0.5±0.6 p=0.076	-0.1±0.6 p=0.624	0.4±0.6 p=0.184	0.3±0.5 p=0.242	-0.0±0.6 p=0.939	-0.1±0.6 p=0.841	0.1±0.6 p=0.809	-0.0±0.6 p=0.999	-0.1±0.5 p=0.846	0.1±0.5 p=0.626	0.1±0.6 p=0.607	-0.3±0.6 p=0.309	-0.0±0.2 p=0.905
			TH-5 km to TH	-0.5±0.6 p=0.127	-0.3±0.6 p=0.373	0.2±0.5 p=0.365	0.4±0.5 p=0.134	0.1±0.6 p=0.787	-0.1±0.6 p=0.648	0.0±0.5 p=0.878	0.2±0.5 p=0.386	0.2±0.5 p=0.505	0.1±0.5 p=0.801	-0.0±0.5 p=0.977	-0.2±0.5 p=0.396	0.0±0.2 p=0.875
Natal+Ascen	-5.42, -35.38/-7.58, -14.24	1436	MEI+QBO													
			TH-10 to TH-5 km	0.3±0.6 p=0.239	0.3±0.6 p=0.275	0.2±0.6 p=0.542	0.3±0.6 p=0.329	<b>0.7±0.6 p=0.034</b>	<b>0.8±0.6 p=0.013</b>	0.6±0.6 p=0.064	0.1±0.6 p=0.673	-0.2±0.6 p=0.416	-0.4±0.6 p=0.194	-0.3±0.6 p=0.324	0.0±0.6 p=0.878	0.2±0.3 p=0.073
			TH-5 km to TH	<b>0.5±0.4 p=0.021</b>	<b>0.6±0.4 p=0.010</b>	0.3±0.4 p=0.097	0.2±0.4 p=0.363	0.3±0.4 p=0.191	0.4±0.4 p=0.066	0.4±0.4 p=0.061	0.4±0.4 p=0.074	0.3±0.4 p=0.162	0.1±0.4 p=0.572	0.0±0.4 p=0.950	0.2±0.4 p=0.333	<b>0.3±0.2 p=0.000</b>
Nairobi	-1.27, 36.8	941	MEI+QBO													
			TH-10 to TH-5 km	-0.1±0.7 p=0.719	0.3±0.7 p=0.374	<b>0.8±0.7 p=0.036</b>	0.5±0.8 p=0.212	-0.3±0.8 p=0.495	-0.5±0.7 p=0.208	-0.2±0.8 p=0.701	-0.0±0.8 p=0.896	-0.2±0.7 p=0.532	-0.2±0.8 p=0.585	-0.0±0.8 p=0.955	-0.1±0.7 p=0.807	-0.0±0.3 p=0.955
			TH-5 km to TH	0.0±0.5 p=0.865	0.3±0.5 p=0.214	<b>0.5±0.5 p=0.042</b>	0.4±0.5 p=0.125	0.1±0.5 p=0.604	0.1±0.5 p=0.651	0.2±0.5 p=0.448	-0.0±0.5 p=0.905	-0.4±0.5 p=0.128	-0.4±0.5 p=0.081	-0.2±0.5 p=0.364	-0.1±0.5 p=0.778	0.0±0.2 p=0.205
KL+Java	2.73, 101.27/-7.5, 112.6	786	MEI+QBO+IOD													
			TH-10 to TH-5 km	-0.4±0.4 p=0.092	0.3±0.4 p=0.215	<b>0.7±0.4 p=0.001</b>	<b>0.6±0.4 p=0.015</b>	0.1±0.4 p=0.813	-0.3±0.4 p=0.153	-0.4±0.4 p=0.082	-0.2±0.5 p=0.318	0.0±0.5 p=0.970	0.1±0.5 p=0.813	-0.2±0.6 p=0.420	-0.0±0.2 p=0.306	
			TH-5 km to TH	-0.1±0.3 p=0.317	0.1±0.3 p=0.418	0.2±0.3 p=0.072	<b>0.6±0.3 p=0.001</b>	<b>0.5±0.3 p=0.003</b>	-0.1±0.3 p=0.697	<b>-0.6±0.3 p=0.000</b>	<b>-0.5±0.3 p=0.003</b>	-0.2±0.3 p=0.301	-0.2±0.3 p=0.149	<b>-0.6±0.4 p=0.004</b>	<b>-0.5±0.3 p=0.004</b>	-0.1±0.1 p=0.055
Samoa	-14.23, -170.56	795	MEI+QBO													
			TH-10 to TH-5 km	0.6±0.7 p=0.110	0.4±0.8 p=0.320	0.2±0.8 p=0.636	0.2±0.8 p=0.696	0.1±0.8 p=0.802	-0.0±0.8 p=0.921	-0.1±0.8 p=0.788	-0.1±0.8 p=0.736	-0.2±0.8 p=0.542	-0.3±0.8 p=0.485	0.0±0.8 p=0.921	0.5±0.8 p=0.208	0.1±0.3 p=0.270
			TH-5 km to TH	0.3±0.6 p=0.345	0.3±0.6 p=0.297	0.3±0.6 p=0.424	0.1±0.7 p=0.735	-0.1±0.7 p=0.699	-0.3±0.7 p=0.350	-0.2±0.7 p=0.563	0.0±0.7 p=0.902	0.0±0.7 p=0.932	-0.2±0.7 p=0.578	-0.2±0.7 p=0.542	0.1±0.7 p=0.861	0.0±0.3 p=0.922
			TH to TH+5 km	0.2±1.6 p=0.765	0.4±1.7 p=0.620	0.3±1.7 p=0.704	0.1±1.8 p=0.896	-0.5±1.8 p=0.544	-1.5±1.7 p=0.083	-1.5±1.7 p=0.073	-0.4±1.7 p=0.622	0.4±1.7 p=0.638	0.1±1.7 p=0.911	-0.5±1.7 p=0.574	-0.3±1.6 p=0.711	-0.3±0.7 p=0.310

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