

# S-wave velocity structure of the Sichuan-Yunnan region, China: implications for extrusion of Tibet Plateau and seismic activities

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## Abstract

The Sichuan-Yunnan region is located at the intersection between the South China Block, the Indian plate and the Tibet Plateau and is crisscrossed with deep and large faults and is characterized by strong seismic activities. Here we employ one-year continuous waveforms of the vertical component of 89 broadband seismic stations in this region to evaluate the velocity structure and its implications. Through single station data preprocessing, cross-correlation calculation, stacking, group velocity dispersion measurement and quality evaluation, the group velocity dispersion curves of Rayleigh waves for the different periods were obtained. We then use the surface wave tomography method to obtain the Rayleigh wave group velocity distribution of 9-40s in this area. Finally, the S-wave velocity structure in the depth range of 0-60 km in the study area is obtained by pure path dispersion inversion. The results show that the surface layer or the top of the upper crust in the Sichuan Basin is characterized by low velocity due to the influence of the sedimentary strata, whereas the middle and lower crust of the Sichuan Basin shows high velocity structure. The Sichuan-Yunnan diamond-shaped block (SYDB) shows a high-velocity structure in the middle crust, , and a low velocity in the lower crust. The seismic activities are mainly concentrated at the western part of the region, with the earthquakes distributed at the boundary between the low- and high-velocity structures, as well as the adjacent region, which we correlate with the extrusion of the Tibet Plateau.

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15 **Abstract:** The Sichuan-Yunnan region is located at the intersection  
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38 Key words: Noise tomography, Rayleigh surface wave, S-wave velocity,  
39 Crustal structure, Sichuan-Yunnan region.

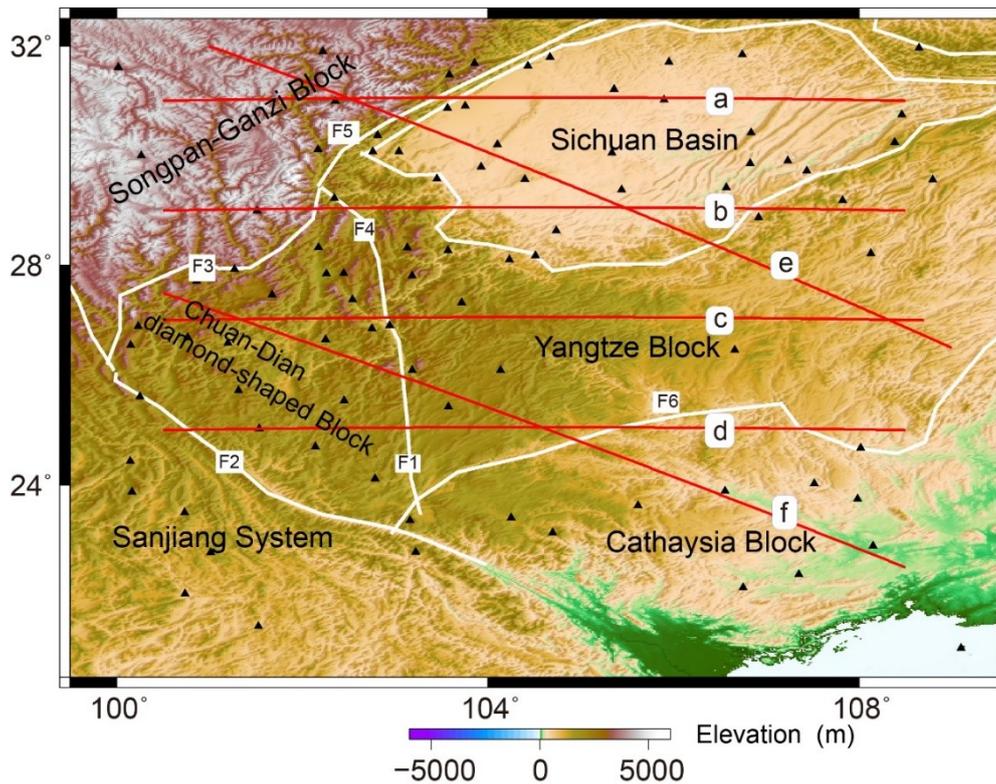
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## 41 **1. Introduction**

42

43       The Sichuan-Yunnan region of mainland China (99°E-109°E , 20°N-  
44 33°N) (Fig. 1) forms part of the southeastern margin of the Indo-Eurasian  
45 plate collision zone, as well as a turning point of the Tethyan-Himalayan  
46 orogenic system (Kan et al., 1977; Zhong et al., 1998; Deng et al., 2002). The  
47 region is located at the intersection between the South China Block, the  
48 Indian plate and the Tibet Plateau and is crisscrossed with deep and large  
49 faults, as represented by the Xiaojiang, Honghe, Lijiang-Ninglang, Anning  
50 River-Zemu River fault, Longmen Mountain and Maitreya-Shizong fault (Xu et  
51 al., 2003). This region also covers the central and southern domain of the  
52 North-South Seismic Belt, and is characterized by strong seismic activities (Li,  
53 1993; Su et al., 2004; Zheng et al., 2012).

54



55

56 Fig. 1. Tectonic framework of the study area. The thick black lines show the  
 57 boundaries of the various crustal blocks and basins. F1: Xiaojiang fault; F2:  
 58 Honghe fault; F3: Lijiang-Ninglang fault; F4: Anning River-Zemu River fault;  
 59 F5: Longmen Mountain fault; F6: Maitreya-Shizong fault. The black triangles  
 60 are the locations of the seismic stations used in this study. Red lines: S-wave  
 61 velocity profiles.

62

63 The unique geological and tectonic setting of this region, and earthquake-  
 64 prone feature has attracted several studies to explore the deep features  
 65 including seismic sounding ( Hu et al., 1986 ; Wang et al.,

66 2014 ) , magnetotelluric sounding (Bai et al., 2010; Shen et al., 2015), body  
67 wave tomography (Liu et al., 1989; Wang et al., 2002; Huang et al., 2003; Xu  
68 et al., 2013; Lei et al., 2014), surface wave and noise tomography (Yao et al.,  
69 2006; Zhou et al., 2012; Zheng et al., 2015; Fan et al., 2015 ; Zheng et al.,  
70 2017), receiver function (Wu et al., 2001; Xu et al., 2007; Li et al., 2009; Xu et  
71 al, 2009; Wang et al., 2017; Hu et al., 2017) and surface wave and receiver  
72 function joint inversion (Bao et al., 2015).

73

74 Liu et al. (1989) noted that the velocity structure of the crust and upper  
75 mantle in the Sichuan-Yunnan region has obvious lateral heterogeneity based  
76 on teleseismic P-wave tomography. Wu et al. (2001) showed that the crust  
77 thickness in Yunnan area gradually decreases from northwest to southeast  
78 and the S-wave velocity structure is characterized by strong lateral  
79 inhomogeneity based on teleseismic receiver function inversion. Wang et al.  
80 (2002) employed P-wave and S-wave tomography and identified that the  
81 velocity anomalies of the lower crust and upper mantle are controlled by the  
82 faults. Huang et al. (2003) show that the velocity structure has obviously  
83 lateral heterogeneity in the Sichuan-Yunnan region by using the Pn  
84 tomography. Jiang et al. (2012) proposed that there is a significant difference  
85 in the crustal structure between the Sichuan Basin and the Songpan-Ganzi  
86 Block by using the Bouguer gravity data. Wang et al. (2014) used seismic

87 sounding to obtain a two-dimensional crustal structure of the region where the  
88 eastern side of the Red River Fault shows low velocity structure. Based on Pn  
89 tomography, Lei et al. (2014) imaged a high velocity anomaly in the Sichuan  
90 Basin area and an obvious low velocity anomaly zone from the Songpan-  
91 Ganzi Block to the SYDB. Bao et al. (2015) employed a joint inversion of the  
92 surface wave and receiving function and suggested a dramatic lateral change  
93 in the crustal S-wave velocity.

94

95 On the other hand, advances in seismic tomography has enabled high-  
96 resolution seismic imaging, with a direct cross-correlation of the continuous  
97 background noise of two stations (Lobkis and Weaver, 2001; Campillo and  
98 Paul, 2003; Shapiro et al., 2005; Yao et al., 2006; Bensen et al., 2007; Fang et  
99 al., 2009). Compared with traditional tomographic technique, noise imaging  
100 technology does not depend on the azimuth distribution of natural  
101 earthquakes, and moreover, seismic ray coverage is denser and more  
102 reasonable due to the increase of broadband seismic stations (Lu et al.,  
103 2014). This approach greatly improves the resolution of shallow crust due to  
104 an increase in the short-period dispersion data. Using this technique, Zheng  
105 et al. (2015) indicated there is a low-velocity layer in the middle and lower  
106 crust of the southeastern margin of the Tibet Plateau. Fan et al. (2015)  
107 revealed the lateral variation of sedimentary layer thickness in the Sichuan  
108 Basin. Zheng et al. (2012) defined the lateral heterogeneity of the crust and

109 the uplifted basement in the Sichuan Basin. Yao et al. (2006, 2008) suggested  
110 that the high and low velocity anomalies in this region are divided by some  
111 major fault zones.

112

113 In this study, using the data recorded by the China seismic network, we  
114 imaged the three-dimensional high-resolution velocity structure of the crust  
115 and upper mantle in the Sichuan-Yunnan area by using the noise tomographic  
116 technique. Our results provide new evidence on the terrane deformation,  
117 material migration, and seismic activities.

118

## 119 **2. Data and method**

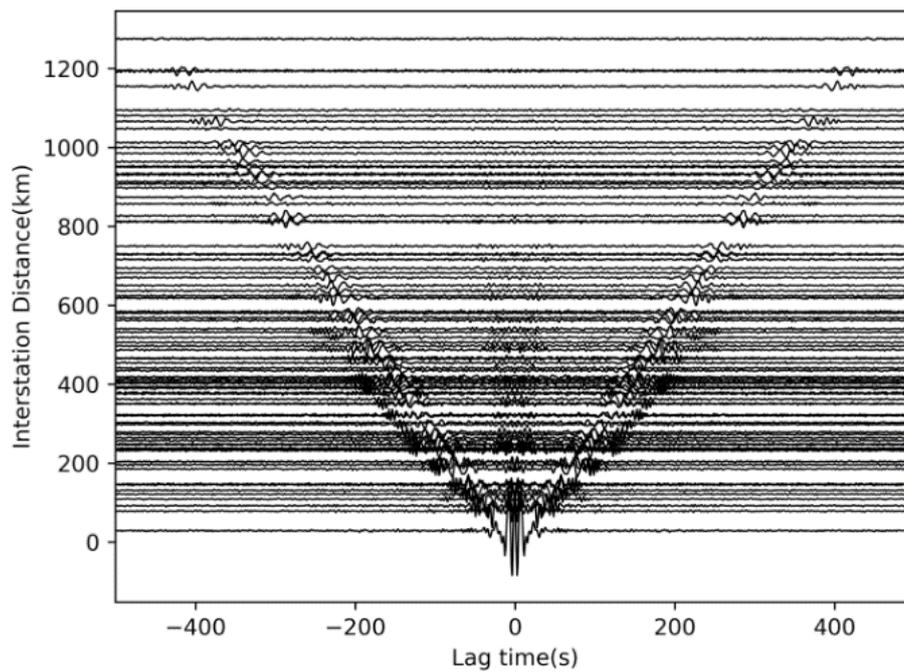
120

### 121 **2.1. Data and processing**

122

123 We collected the continuous vertical-component seismic data recorded by  
124 89 stations from Data Management Centre of China National Seismic Network  
125 from December 2016 to December 2017 (Zheng et al., 2009).

126



127

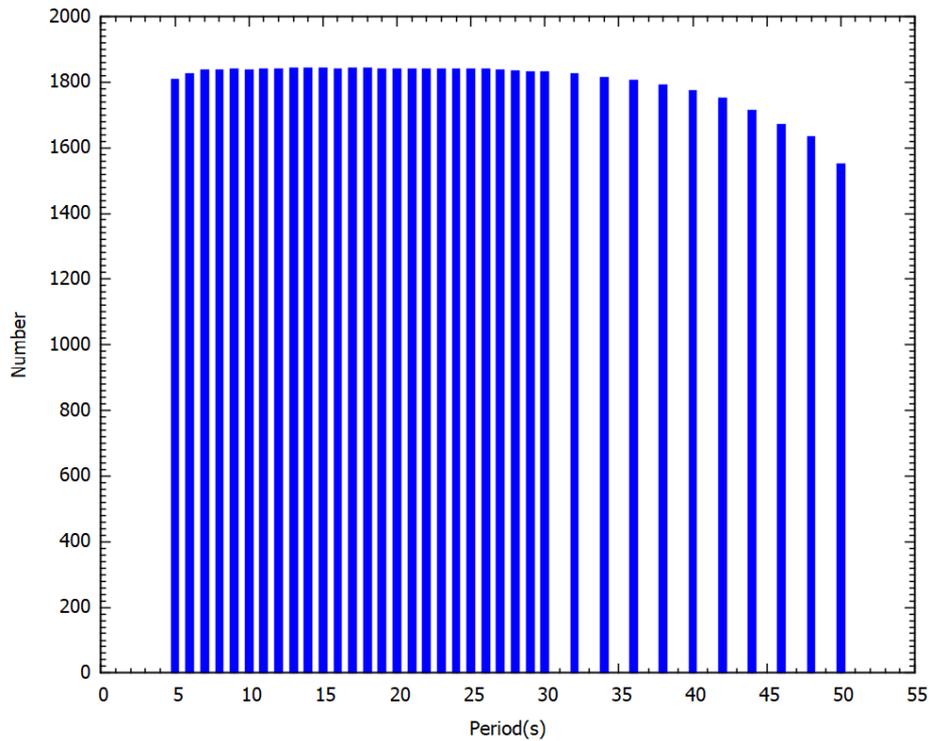
128 Fig. 2. The correlation of one-year data from the SCZJG seismic station

129 related to other seismic stations with the period from 5 to 50 s.

130

131

132



133

134 Fig. 3. The number of group velocity dispersion curves at different periods.

135

136 We adopted the data processing procedures following the method of  
137 Bensen et al. (2007) and Fang et al. (2009). Data are processed one day at a  
138 time for each station after being decimated to 1 Hz. Other parts involved  
139 instrument response removal, clock synchronization, time-domain  
140 normalization, bandpass filtering (4–50 s period), and spectral whitening.  
141 Following this, the day-long waveform at each station is correlated with other  
142 seismic stations and the daily results are stacked to produce the final cross-  
143 correlation results.

144

145 The resulting cross-correlations contain surface wave signals coming from

146 opposite directions along the path linking the stations. The cross-correlations  
147 are often asymmetrical due to the inhomogeneous distribution of ambient  
148 noise sources. To simplify data analysis and enhance the signal-to-noise ratio  
149 (SNR) of the surface waves, we separated each cross-correlation into positive  
150 and negative lag components and then added the two components to form the  
151 so-called symmetric component. The following analysis was done on the  
152 symmetric signals exclusively.

153

154 We use the CPS (Computer Programs in Seismology) software developed  
155 by Herrmann and manually picked up the group velocity dispersion curve  
156 based on the multiple filtering technology to (Dziewonski et al., 1969; Levshin  
157 et al., 1992 , Herrmann, 1973). If there are  $n$  stations, then the empirical  
158 Green's function on  $n(n-1)/2$  paths can be calculated. In order to ensure  
159 reliable results, a quality control of the dispersion curve was carried out.

160

161 An empirical Green's function is accepted if its signal to noise ratio is  
162 greater than 10 and the inter-station distance is at least 3 times of wavelength  
163 at a given period (Yao et al., 2006; Bensen et al., 2007). Furthermore, we  
164 excluded paths that are shorter than 120 km because of the lack of adequate  
165 condition related to 3 times of the wavelength. Finally, a total of 1883  
166 dispersion curves of the station pairs meeting the above requirements were

167 extracted from the 3916 Rayleigh wave waveform data (Fig. 2). We show in  
168 Fig. 3 the number of ray paths used for surface wave imaging at different  
169 periods, and confirm that the number of rays in most periods is relatively  
170 uniform.

171

## 172 **2.2. Rayleigh wave velocity and S-wave velocity inversion**

173

174 For the surface wave tomography, a generalized 2-D-linear inversion  
175 procedure developed by Ditmar and Yanovskaya (1987) and Yanovskaya and  
176 Ditmar (1990) was applied to construct the group velocity inversion, which is a  
177 generalization to 2-D inferred from the classical 1-D method of Backus and  
178 Gilbert (1968). In this study, we designed a  $0.5^\circ \times 0.5^\circ$  grid lateral. The  
179 damping parameter ( $\alpha$ ) controls the trade-off between the fit to the data and  
180 the smoothness of the resulting group velocity maps. We use the value of  $\alpha =$   
181 0.2, which yields relatively smooth maps with small fit error.

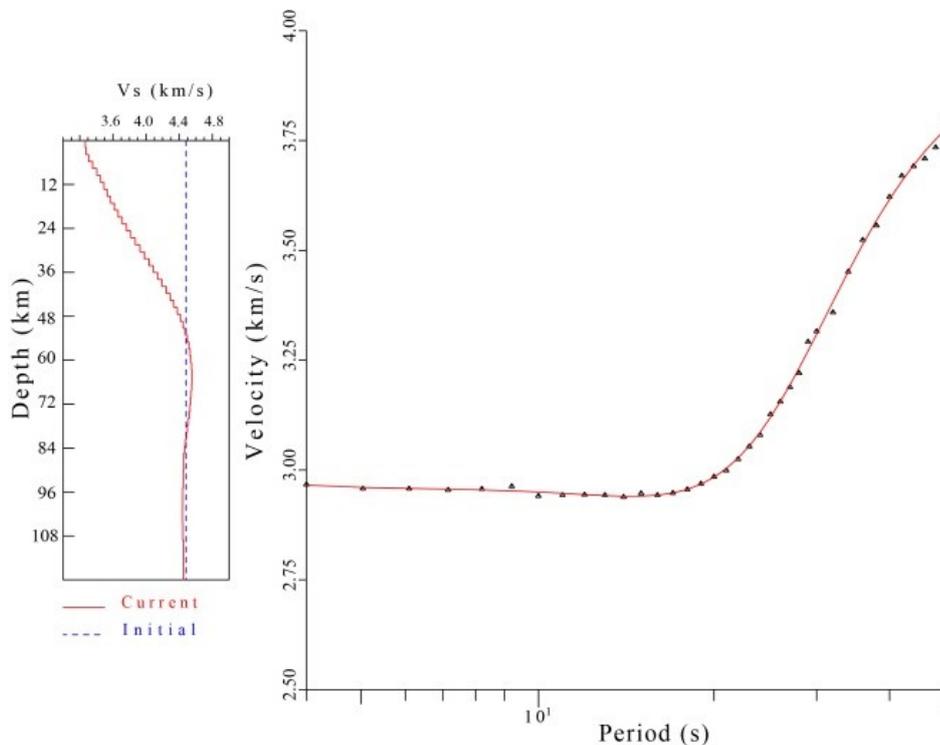
182

183 From the Rayleigh wave group velocity obtained by the above inversion  
184 approach, we extracted the dispersion curves of group velocity at each grid  
185 node. We then inverted for the 1-D shear wave velocity structure under each  
186 grid node following the method of Herrmann and Ammon (2004). The  
187 velocities in between the nodes are interpolated linearly. In this way, a 3-D  
188 shear wave velocity structure was constructed (Fig. 4).

189

190 The initial model has a constant shear-wave velocity of 4.48 km/s from the  
 191 surface to 90 km depth that is divided into 2 km layers. By starting with an  
 192 overestimated velocity model, we ensured that no artificial low-velocity zone  
 193 or layer boundary was introduced as a consequence of the nonlinear of the  
 194 inversion. A fixed  $V_p/V_s$  ratio of 1.732 was used and the density was  
 195 calculated from the P-wave velocity (Zanjani et al., 2019).

196



197

198 Fig. 4. The node (107°E, 22.5°N) as an example to illustrate the process of  
 199 inverting the S-wave velocity from the dispersion curve. The black triangles in  
 200 the right panel represent the group velocity observation dispersion. The red  
 201 solid line represents the theoretical group velocity dispersion generated by the  
 202 final S-wave velocity model obtained from the inversion. The blue dashed line

203 in the left panel represents the initial velocity model, whereas the red solid line  
204 represents the final S-wave velocity model obtained by the inversion.

205

### 206 **3. Resolution analysis and results**

207

#### 208 **3.1. Resolution analysis**

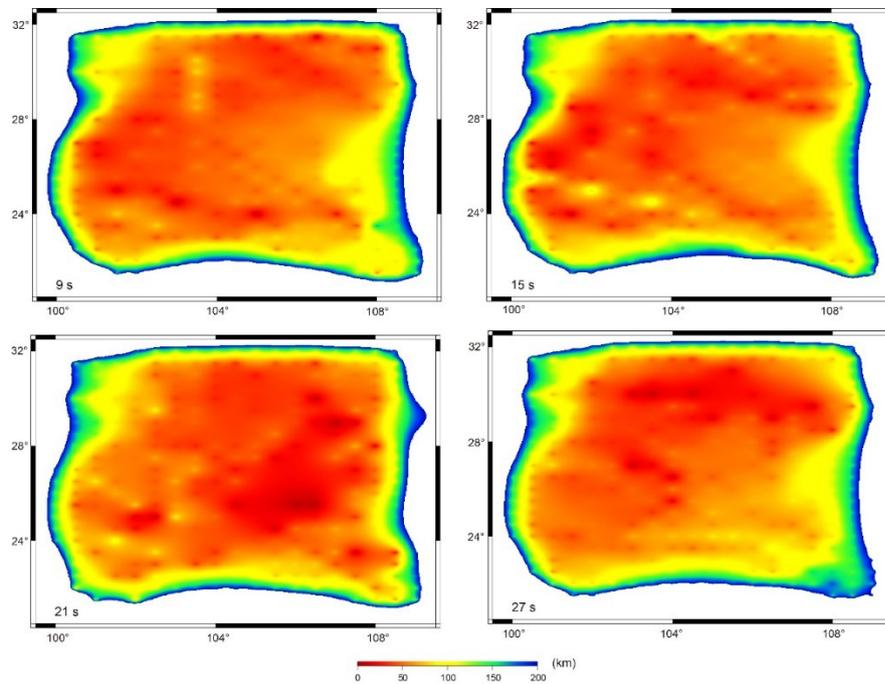
209

210 In general, seismic tomography uses the checkerboard resolution test  
211 (CRT) to analyze the resolution and estimate the error of the results.  
212 However, Leveque et al. (1993) pointed out that the CRT used to analysis  
213 resolution may result in error. Yanovskaya (1997, 1998) used the mean scale  
214 and stretch of the mean area to estimate the imaging resolution, and the  
215 resolution of tomographic results is calculated based on the ray density and  
216 the ray azimuth distribution.

217

218 Fig. 5 represents the resolution of each period. The resolution radius  
219 distribution shows that the minimum resolution radius can reach within 50 km,  
220 whereas for most research areas, the resolution core radius can still reach  
221 200 km. In this region, the obtained spatial average resolution radius is  
222 between 0 and 200 km, and the resolution radius is completely within the  
223 smooth radius allowed by the model parameters. According to the results of

224 the resolution detection, we consider that the inversion results of most areas  
225 in our study are relatively reliable.



226

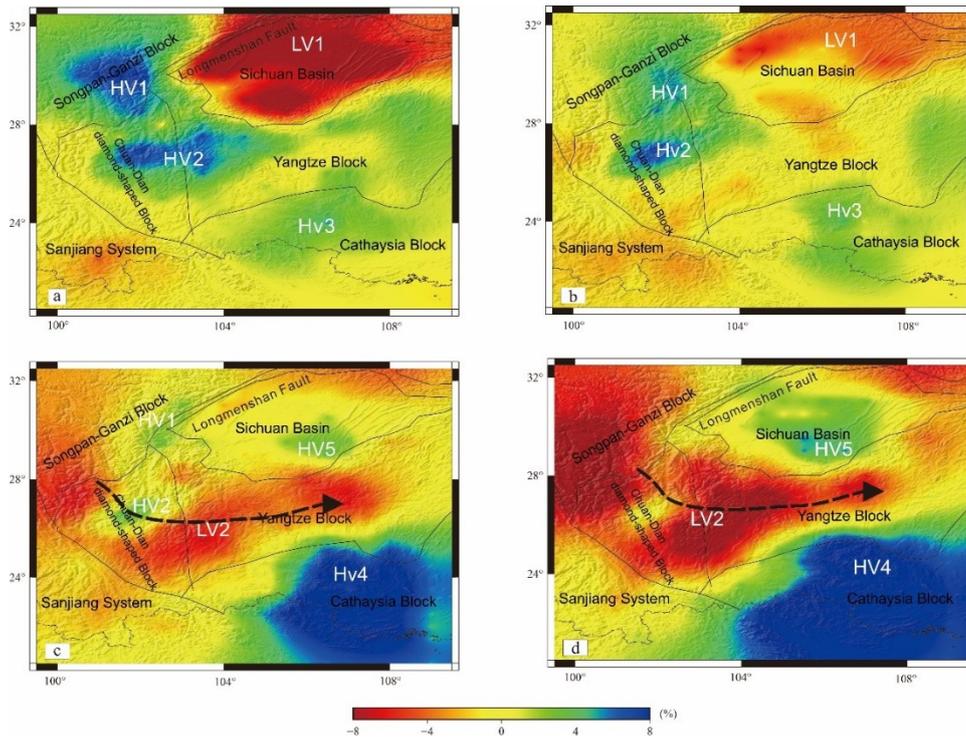
227 Fig. 5. The spatial average resolution radius distribution of different periods.

228 The color scale at the bottom shows the resolution radius value.

229

### 230 3.2. Rayleigh wave velocity

231



232

233 Fig. 6. Rayleigh wave group velocity in the different periods obtained by  
 234 inversion of noise tomography. The corresponding periods: 9 s (a), 21 s (b),  
 235 25 s (c) and 38 s (d). The thick black lines represent the boundaries of the  
 236 major geological/tectonic units. Black dotted lines and arrows in the c and d is  
 237 the eastward channel flow.

238

239 The group velocity of a certain period is most sensitive to the shear wave  
 240 velocity of  $1/3$  wavelength (Lin et al., 2007; Yang et al., 2007), and the group  
 241 velocity distribution of the different periods represents the structural  
 242 differences at different depths. According to the characteristics of the group  
 243 velocity distribution of each period, we selected representative four-period  
 244 group velocity for discussion (Fig. 6). The Rayleigh wave group velocity with  
 245  $T=9$  s mainly reflects the velocity structure of the upper crust or the shallow

246 part of the crust (Fig. 6 a). The Sichuan Basin shows obvious low-velocity  
247 anomaly (Fig. 6 a, LV1) in the region, which is significantly affected by the  
248 sedimentary strata. Xie et al. (2013) also imaged a low-velocity anomaly from  
249 Rayleigh and Love wave phase speed at 10s in the Sichuan Basin. The  
250 Songpan-Ganzi Block, the northern part of the SYDB, the Cathaysia Block,  
251 and the Yangtze Block mostly show high-velocity structure (Fig. 6 a, HV1,  
252 HV2 and HV3).

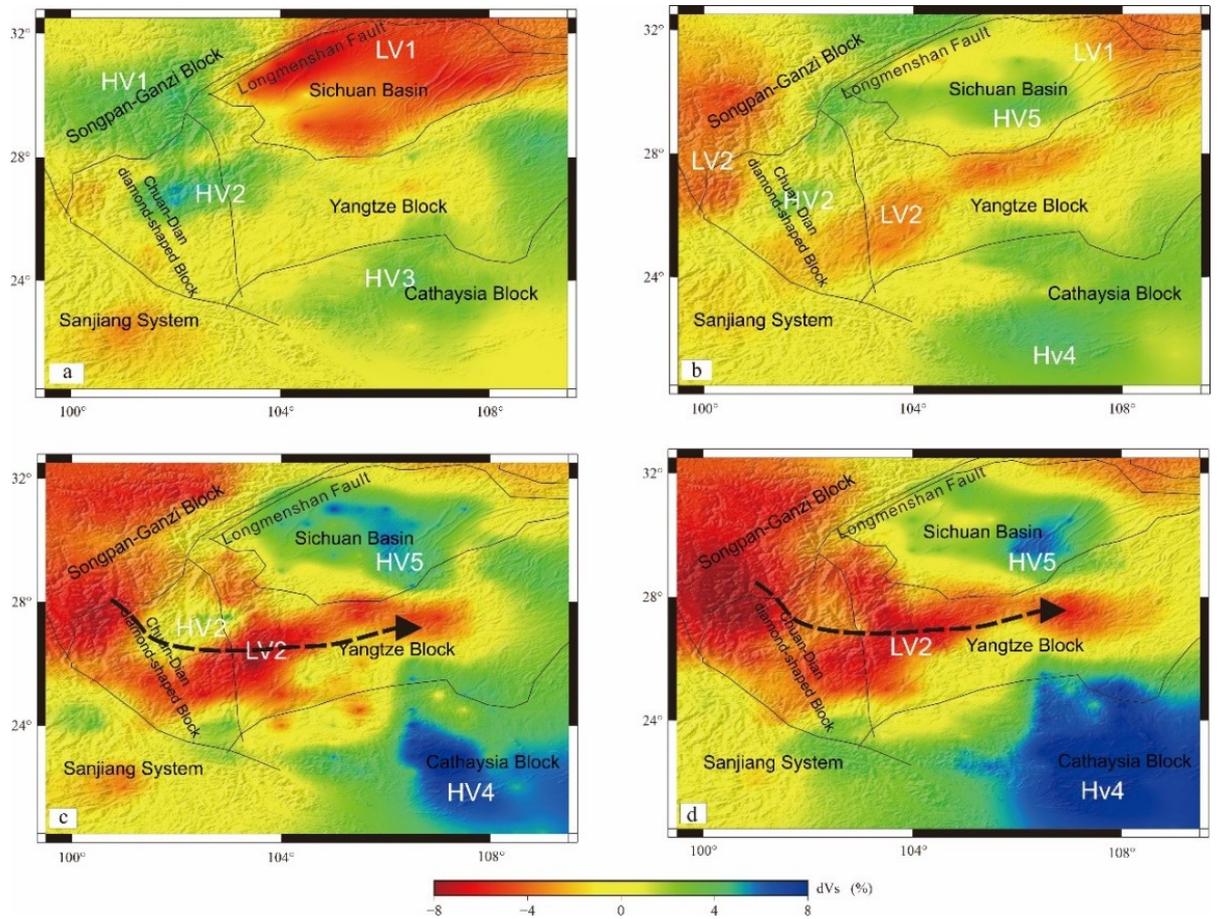
253

254 The Rayleigh wave group velocity with  $T=21$  s mainly reflects the velocity  
255 structure of the middle crust (Fig. 6 b). The Sichuan Basin exhibits low-  
256 velocity structure (Fig. 6 b, LV1), with a relatively high Rayleigh wave group  
257 velocity in the center of the basin, which reflects the non-uniform feature of  
258 the basin. The Songpan Ganzi Block, the northern part of the SYDB and the  
259 Cathaysia Block all exhibit high velocity structure (Fig. 6 b, HV1, HV2 and  
260 HV3). The Rayleigh wave group velocity with middle and long periods (25-38  
261 s) mainly reflects the velocity structure from the lower crust to the top of the  
262 upper mantle (Fig. 6 c and d). At these periods, high-velocity structure (Fig. 6  
263 c and d, HV4 and HV5) can be clearly seen in the Sichuan basin and the  
264 Cathaysia Block, whereas the southern part of the SYDB and most of the  
265 Yangtze Block are characterized by low-velocity structure (Fig. 6 c and d,  
266 LV2).

267

268 **3.3. S-wave velocity structure**

269



270

271 Fig. 7. S-wave velocity at different depths, a: 10 km, b: 20 km, c: 30 km and d:  
 272 46 km. The thick black lines mark the boundaries of the major  
 273 geological/tectonic units. Black dotted lines and arrow represent the  
 274 suggested eastward channel flow.

275

276 According to the 1-D shear wave velocity structure of each grid node  
 277 obtained in this study, we construct a 3-D shear wave velocity structure  
 278 ranging from a depth of 0 km to a depth 60 km in this area (Fig. 7). At the  
 279 depth of 10 km (Fig. 7a), the Songpan-Ganzi Block, the northern part of the

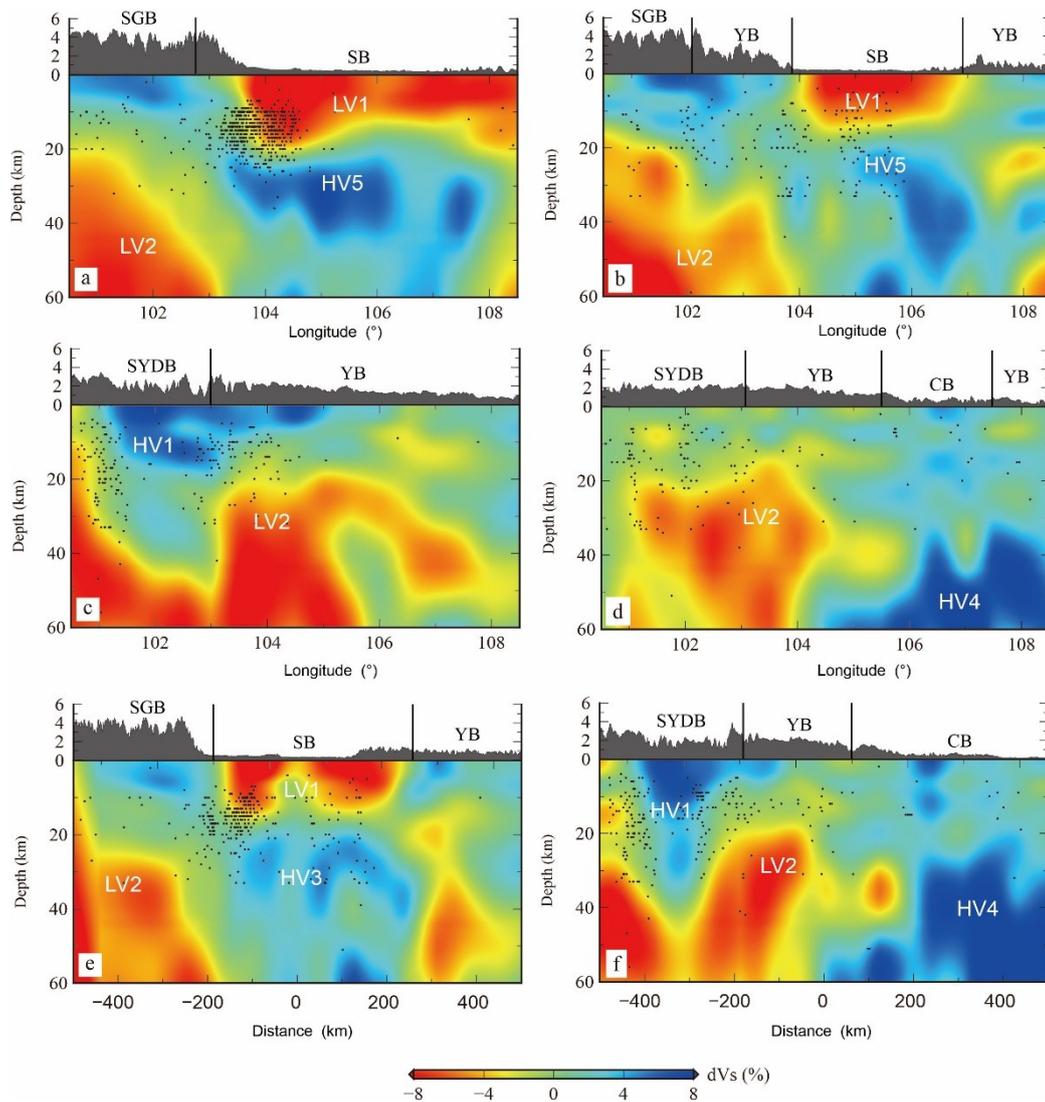
280 SYRB, the Cathaysia Block and the eastern part of the Yangtze Block exhibit  
281 high-velocity anomalies (Fig. 7a, HV1, HV2 and HV3), whereas the Sichuan  
282 Basin exhibits low-velocity structure (LV1), which may be from the influence of  
283 the sedimentary strata. The Longmen Mountain fault zone is located on the  
284 boundary between the high-velocity (west) and the low-velocity (east)  
285 anomalies.

286

287 At the depth of the 20 km (Fig. 7 b), the Sichuan Basin and the Cathaysia  
288 Block shows high-velocity structures (Fig. 7 b, HV4 and HV5), whereas the  
289 Songpan-Garzi Block, the SYSB and the Yangtze Block are characterized by  
290 low-velocity structure (Fig. 7 b, LV2). Chen et al. (2014) revealed a low-  
291 velocity anomaly using the ambient noise adjoint tomography, which is similar  
292 to our LV2. At the depth of the 30 km-46 km, the Sichuan Basin and the  
293 Cathaysia Block shows high-velocity structures (Fig. 7 c and d, HV4 and  
294 HV5), whereas the Songpan-Garzi Block, the SYDB and the Yangtze Block  
295 have low-velocity structure (Fig. 7 c and d, LV2).

296

297



298

299 Fig. 8. Vertical cross sections of S-wave velocity. The profile locations are in  
 300 Fig. 1. SGB: Songpan-Ganzi Block, SB: Sichuan Basin, SYDB: Sichuan-  
 301 Yunnan diamond-shaped block, YB: Yangtze Block, CB: Cathaysia Block.

302

303 We also analysed 6 profiles of S-wave velocity (Fig. 8). The Sichuan Basin  
 304 (Fig. 8 a and b, HV5) and Cathaysia Block (Fig. 8 d and f, HV4) show high-  
 305 velocity anomalies at the middle and lower crust. The low-velocity anomaly  
 306 (LV2) mainly exists in the western part of the study region (Fig. 8 a-f) and

307 extend to the eastern part locally, or beneath the Yangtze Block (Fig. 8 c, d  
308 and f). The seismic activities are mainly distributed at the high-velocity region  
309 (Fig. 8 b and d) or the boundary region of the low- and high-velocity (Fig. 8 a,  
310 c, e and f).

311

## 312 **5. Discussion**

313

314 The Rayleigh-wave group velocity (9-15s) (Fig. 6 a) and the S-wave  
315 velocity at a depth of 10 km show relatively low velocity in the Sichuan Basin  
316 (Fig. 7 a). The thickness of the whole sequence of sedimentary layers in  
317 Sichuan Basin is about 15 km (Fig. 8 a, b). This is in good agreement with the  
318 estimated thickness of continental strata in this basin (Liu et al., 2016). There  
319 is a large-scale low-velocity anomaly in the middle-lower crust beneath the  
320 Songpan-Ganzi Block and the CYRB, which is consistent with the velocity  
321 structure in the Tibet Plateau (Kind et al., 1996). The high-velocity anomaly  
322 persists in the central Sichuan Basin within a depth range of about 20-40 km,  
323 which may reflect the rigidity crust of this basin (Fig. 7, Fig. 8). Previous  
324 studies on surface wave results also indicated that there is a high velocity  
325 body at this depth (Yao et al., 2008; Lu et al., 2014). The crustal S-wave  
326 velocity structure below 20 km shows low-velocity structure in the western  
327 part of the study region (Fig. 8 a-f), whereas eastern part or beneath the

328 Sichuan Basin is characterized by high-velocity structure (Fig. 8 a and b). Pn  
329 wave tomography also demonstrated that there is a low-velocity anomaly  
330 beneath the SYDB and the Songpan-Ganzi Block (Lei et al., 2014).

331

332 In Fig. 6 and Fig. 7, the low-velocity anomaly (LV2) in the Songpan-Ganzi  
333 Block extend to the Yangtze Block, which may imply the extrusion of the Tibet  
334 Plateau or the eastward flow in the middle and the lower crust. Wu et al.  
335 (1988) obtained 14 terrestrial heat flow data which show high heat flow values  
336 in western Yunnan and Panxi areas. Hu et al. (2000) further demonstrated this  
337 result based on a new compilation of heat flow data in mainland China. These  
338 results suggest melt or partial melt in the crust beneath the Songpan-Ganzi  
339 Block and Yangtze Block. Sun et al. (1989) proposed that there are high-  
340 conductivity layers in the lower crust and/or upper mantle in western Yunnan  
341 and western Sichuan, which are considered to be related to partially molten  
342 materials.

343

344 As shown in Fig. 8, the seismic activities are mainly distributed at the  
345 boundary between the high- and low-velocity structure and the nearby  
346 boundary region. This might suggest the ductile deformation of the Songpan-  
347 Ganzi Block induced by the eastward extrusion of the Tibet Plateau which is  
348 obstructed by the rigid crust of the Sichuan Basin, leading to the stress  
349 accumulation and release or the seismic activities.

350

351 Although a number of tomographic studies have been carried out in this area  
352 and adjacent regions (e.g., Shen et al., 2016; Xie et al., 2013; Chen et al.,  
353 2014), our study is the first to define the obvious low-velocity anomaly, which  
354 is connected with the channel flow in the crust of the Chuandian region.

355

## 356 **6. Conclusions**

357

358 The results from this study reveal significant difference in the S-wave  
359 velocity structure of the crust between the Songpan-Ganzi Block and the  
360 Sichuan Basin. The eastward extrusion of the Songpan-Ganzi Block is  
361 obstructed by the rigid crust beneath the Sichuan Basin, which might be the  
362 cause for stress accumulation and release leading to seismic activities. Our  
363 results also indicate that the eastward material flow induced by the extrusion  
364 of the Tibet Plateau occurred at this region.

365

## 366 **Acknowledgements**

367

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