

Storm Time Data Assimilation in the Thermosphere Ionosphere with TIDA

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Abstract

Data assimilation schemes with empirical background models of the ionosphere are already in operational use. However such methods suffer during disturbed conditions when large gradients are present and are moving relatively fast through the modeled domain. Also, such schemes have limited forecasting capabilities. In order to improve disturbed conditions modeling, more sophisticated assimilation schemes based on sparse measurements for the coupled thermosphere ionosphere system are needed. We have implemented an ensemble Kalman Filter (enKF) for the Thermosphere-Ionosphere (TI) system. We used the Coupled Thermosphere Ionosphere Plasmasphere electrodynamics (CTIPe) model as the background for an assimilation scheme and created the Thermosphere Ionosphere Data Assimilation (TIDA) software package. We published our first paper discussing neutral mass density assimilation during quiet geomagnetic conditions in Space Weather in 2018. In this paper we present results from experiments during the 2003 Halloween Storm, 27-31 October 2003, under very disturbed ($K_p = 9$) conditions while assimilating GRACE-A and B, and CHAMP neutral density measurements. TIDA was able to simulate this disturbed period without using the L1 solar wind measurements which were contaminated by solar energetic protons, by estimating the model inputs from the density measurements. TIDA is being prepared to offer specification and short term forecasts of neutral density for satellite drag and debris collision avoidance for space traffic management. We also plan to offer long term (solar cycle length), average neutral density estimation for satellite fleet management.

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Key Points:

- This study demonstrates the data assimilation potential improvement for the TI system modeling during severe geomagnetic storms
- The estimation of the neutral density covariance matrix with a 75 member ensemble of CTIPe runs does not require covariance localization
- TIDA can produce neutral density model results even in the absence of L1 system forcing measurements

Abstract

Data assimilation schemes with empirical background models of the ionosphere are already in operational use. However such methods suffer during disturbed conditions when large gradients are present and are moving relatively fast through the modeled domain. Also, such schemes have limited forecasting capabilities. In order to improve disturbed conditions modeling, more sophisticated assimilation schemes based on sparse measurements for the coupled thermosphere ionosphere system are needed. We have implemented an ensemble Kalman Filter (enKF) for the Thermosphere-Ionosphere (TI) system. We used the Coupled Thermosphere Ionosphere Plasmasphere electrodynamics (CTIPE) model as the background for an assimilation scheme and created the Thermosphere Ionosphere Data Assimilation (TIDA) software package. We published our first paper discussing neutral mass density assimilation during quiet geomagnetic conditions in *Space Weather* in 2018. In this paper we present results from experiments during the 2003 Halloween Storm, 27-31 October 2003, under very disturbed ($K_p = 9$) conditions while assimilating GRACE-A and B, and CHAMP neutral density measurements. TIDA was able to simulate this disturbed period without using the L1 solar wind measurements which were contaminated by solar energetic protons, by estimating the model inputs from the density measurements. TIDA is being prepared to offer specification and short term forecasts of neutral density for satellite drag and debris collision avoidance for space traffic management. We also plan to offer long term (solar cycle length), average neutral density estimation for satellite fleet management.

Plain Language Summary

Data assimilation schemes with empirical background models are already in operational use. Here we present an assimilation scheme using an ensemble Kalman filter with a physics based numerical background model. We show simulations for October 27 - 31, 2003, a period that includes several large geomagnetic disturbances known as the Halloween storms. This assimilation exercise is in preparation for testing future neutral density data products.

1 Introduction

Tools for ensemble modeling and data assimilation in the terrestrial weather and ocean science have been developed (Hoar et al., 2009) and are in operational use. The use of an enKF in space weather is also not new. M. V. Codrescu et al. (2004) published a paper for neutral composition enKF assimilation in 2004. Although neutral composition was recognized to be one of the most important factors in ionospheric simulations during storms (Chartier et al., 2013), the lack of neutral composition measurements has prevented the operational implementation of enKFs in space weather products and services. However the importance of enKF for space weather research has been recognized, enKFs have been used in research, and papers have been published (Solomentsev et al., 2012; Morozov et al., 2013; Hsu et al., 2014; Chartier et al., 2016), and references therein.

Today, other kinds of assimilation models based on Gauss Markov (GM) Kalman Filter (KF) processes are more popular in operational settings (Spencer et al., 2004; Schunk et al., 2004; T. Fuller-Rowell et al., 2006; Jee et al., 2010; Jakowski et al., 2011; Borries et al., 2015). GM KF assimilation schemes are based on stationary predefined covariance matrixes that work best if large amounts of data are available to overwhelm the empirical background model. The sudden availability of large amounts of Total Electron Content (TEC) measurements from Global Navigation Satellite Systems (GNSS) signals made the ionosphere GM KF assimilation schemes feasible to implement. GM KF based assimilation schemes can be very good at ionosphere specification for past events, especially during quiet or moderately disturbed geomagnetic conditions when large amounts of data are available. However, in real-time environments they can suffer from data star-

64 vation and do not have forecasting capabilities beyond persistence with a predefined evo-
65 lution toward climatology.

66 During disturbed conditions, GM KF schemes have difficulty because their prede-
67 fined, quiet-time covariance matrixes do not keep pace with the changing system. To ob-
68 tain the appropriate covariance matrix during disturbed conditions it would be neces-
69 sary to perform variational analysis (Rockafellar & Wets, 1998) during every assimila-
70 tion time step. However, for assimilation schemes with hundreds of thousands to mil-
71 lions of state elements, performing variational analysis every assimilation time step (15
72 - 30 minutes) is not practical and the covariance matrix needs to be estimated in some
73 other way. An estimation of the covariance matrix using Monte Carlo methods was first
74 proposed by Evensen (1994) as the ensemble Kalman Filter (enKF).

75 In a previous paper (S. M. Codrescu et al., 2018), we discussed assimilation results
76 for total mass density and showed that assimilating measurements from one satellite im-
77 proves the model results globally, during quiet conditions. In this paper, in Section 2,
78 we discuss the dominant processes that make the TI covariance matrix non-stationary
79 during disturbed geomagnetic conditions. The paper continues with an experiment us-
80 ing TIDA to assimilate GRACE-A, GRACE-B, and CHAMP neutral density measure-
81 ments during the extreme geomagnetic 2003 Halloween storms. Section 3 gives an overview
82 of the TIDA software and setup of the experiment. The measurement sources are de-
83 scribed in Section 4, results presented in Section 5, and finally we conclude in Section 6.

84 **2 The Thermosphere Ionosphere System**

85 The global neutral density and composition of the thermosphere depend on sys-
86 tem forcing and the interaction with the ionosphere (FullerRowell et al., 1994). The global
87 electron and ion density structure, roughly from 50 to 1000 km altitude, are at any given
88 time the result of a dynamic equilibrium between plasma production, loss, and trans-
89 port, processes controlled to a large extent by neutral composition and neutral winds (T. J. Fuller-
90 Rowell et al., 1997). The processes that affect neutral composition, density, and winds
91 and the production, loss, and transport of plasma are highly variable on timescales of
92 minutes to years and their relative importance can change as a function of location on
93 the globe, Universal Time, storm commencement time, season, solar cycle, waves prop-
94 agating from below, and the previous state of the ionosphere-thermosphere-magnetosphere
95 system (Sarris, 2019). On short time-scales, the variations are controlled by a set of ex-
96 ternal energy inputs that include solar radiation absorption at a variety of wave lengths,
97 solar energetic proton deposition, solar wind energy transfer through the magnetosphere
98 that depends on the density and speed of the solar wind and the magnitude and orien-
99 tation of the interplanetary magnetic field (IMF) (M. V. Codrescu et al., 2012), and waves
100 propagating from below (Heelis & Maute, 2020). The influence of waves propagating from
101 below will not be discussed further in this paper as their amplitudes and phases change
102 slowly relative to the duration of a geomagnetic storm and their influence can be taken
103 into account by an appropriate lower boundary condition in the assimilation background
104 model.

105 **2.1 The system During Quiet Geomagnetic Conditions**

106 While the Thermosphere Ionosphere (TI) is never in a true steady state, it can reach
107 quasi-steady state conditions if the system inputs are quasi-constant over some period
108 of time (days), as it happens during prolonged quiet geomagnetic conditions (M. V. Co-
109 drescu et al., 2008). Under steady state conditions the system energy input is balanced
110 by cooling through CO₂ and NO infrared emissions and diurnally reproducible patterns
111 can be observed in most system state variables.

112 While in prolonged quiet periods, the TI system reaches a quasi-steady state that
 113 can last many days (Roble, 1992). The state is said to be in a diurnally reproducible pat-
 114 tern. Under such conditions statistical models of high-latitude convection electric fields
 115 (Weimer, 2005), particle precipitation (T. J. Fuller-Rowell & Evans, 1987), and solar EUV
 116 fluxes based on correlation with the F10.7 measurements (Hinteregger et al., 1981) are
 117 good enough to give acceptable model results when used in physics based numerical mod-
 118 els of the system. In addition, empirical, statistical models of the ionosphere (Bilitza,
 119 2018; Nava et al., 2008) or thermosphere climatology (Picone et al., 2002) are also good
 120 during quasi-steady state conditions. This means that during quiet conditions the sys-
 121 tem can be modeled with a high level of confidence.

122 During quasi-steady state conditions, a global equilibrium is established between
 123 heating due to solar radiation absorption on the dayside, Joule Heating at high latitudes
 124 and infrared cooling due to NO and CO₂. As a consequence, a diurnally reproducible
 125 global neutral temperature structure and circulation are established and a relatively sta-
 126 ble global neutral composition structure is maintained (Killeen et al., 1997). This state
 127 of the thermosphere produces a diurnally reproducible global dynamo electric field pat-
 128 tern (Richmond, 1989) which in association with the stable prompt penetration electric
 129 field pattern of magnetospheric origin (Manoj & Maus, 2012) produce a diurnally repro-
 130 ducible ionosphere. During geomagnetically quiet conditions the energy input from solar
 131 radiation absorption dominates the system energy input (Mlynczak et al., 2016).

132 In the upper atmosphere around 300 km altitude where the peak electron density
 133 normally occurs, the temperature structure establishes day-night pressure gradients that
 134 drive the global circulation neutral winds (Hedin et al., 1991). The winds blow from the
 135 dayside towards the nightside, both east and west and over the poles from the 14:00 lo-
 136 cal time sector. Mostly molecular species are present below 150 km altitude and atomic
 137 species above. The difference between the Earth geographic and magnetic poles contributes
 138 to the diurnal variation in the global temperature, winds, and composition structure both
 139 in the sun-fixed reference frame and at any location on the globe.

140 2.2 The system During Disturbed Geomagnetic Conditions

141 During geomagnetic storms, changes in external system forcing cause large increases
 142 in the magnitude and distribution of Joule heating, in auroral particle precipitation to-
 143 tal energy and its distribution, and in momentum transfer to neutrals. The total energy
 144 input into the TI system at high-latitudes increases dramatically and can become larger
 145 than solar radiation heating. This has dramatic consequences for the global neutral winds
 146 and composition. Furthermore, changes in neutral winds cause changes in the dynamo
 147 electric field pattern. Due to the tight coupling between the ionosphere and thermosphere
 148 the changes are then reflected in the ionosphere and feed-back to the neutral state through
 149 ion drag, momentum transfer, heat transfer, and other mechanisms (FullerRowell et al.,
 150 1994, 1996).

151 Empirical models are not appropriate to represent the state of the TI system dur-
 152 ing severe geomagnetic storms. Numerical models of the system also suffer during dis-
 153 turbed conditions because the statistical models used for forcing have very large uncer-
 154 tainties and this results in unacceptable uncertainties in model simulation results. Al-
 155 though disturbed conditions may happen only during a small percentage of time, it is
 156 during disturbed conditions that accurate modeling is most important.

157 Storm Joule heating occurs at high-latitudes at about 110-115 km altitude where
 158 molecular species (O₂ and N₂) dominate. The additional heating changes the pressure
 159 gradients and drives a storm circulation, in addition to the quiet time winds. Strong ver-
 160 tical winds are driven above the auroral zone heating area and meridional winds away
 161 from the heating area at higher altitudes. Vertical winds will take molecular species up

and change the neutral composition creating what is called the composition bulge. Meridional winds will spread the bulge towards lower latitudes (Proelss & von Zahn, 1978).

During small disturbances the storm induced meridional winds are overwhelmed by the quiet time circulation on the dayside but add to it on the nightside resulting in a distortion of the composition bulge relative to the shape of the auroral zone. During large storms meridional winds can turn equatorward at mid-latitudes even on the dayside. The size, shape, and position of the auroral zone and the composition bulge are highly variable functions of solar wind density and speed, magnitude and orientation of the interplanetary magnetic field, and storm time.

During a geomagnetic storm molecular species are transported up (upwelling) above 200 km where they displace lighter atomic species (mostly atomic Oxygen). The lighter species are then forced equatorward by storm meridional winds. To balance the pressure gradients and close the storm circulation the lighter species are transported down (downwelling) by storm vertical winds at some distance equatorward of the heating area and a return poleward flow of molecular species takes place at lower altitudes (FullerRowell et al., 1994, 1996). The position of the upwelling area can vary in time but depends mostly on the intensity of the storm while the position of the downwelling area is a more complicated function of storm intensity, duration, and storm time profile.

The changes in neutral dynamics and composition cause important changes in the TI system (Proelss & von Zahn, 1978; FullerRowell et al., 1994; Burns et al., 1995; FullerRowell et al., 1996). In the composition bulge, plasma production decreases due to the decreased atomic Oxygen densities while the loss of plasma increases through charge exchange with molecular species followed by recombination. Poleward meridional winds and westward E-fields can also contribute to plasma loss. It is not uncommon to have less than half the quiet time plasma peak density (NmF2) in an area covered by the composition bulge following a geomagnetic storm. This is what is called the negative ionosphere storm effect. The global neutral composition can take more than 36 hours to recover after a storm.

In the downwelling area the increased atomic Oxygen causes increased plasma production and reduced loss resulting in increased plasma density. This is the positive ionospheric storm. Equatorward meridional winds and eastward E-fields can also contribute to the positive phase.

At a given location, positive storm effects are seen first though not always, followed by negative storm effects (M. V. Codrescu et al., 1992). The ionospheric changes are most pronounced in the F2 layer but can be significant in the whole ionosphere especially during long geomagnetic storms. Meridional winds driven by storms can cross the equator and propagate in the opposite hemisphere.

The system forcing uncertainties are greatly amplified during geomagnetic storms. Small scale electric field variability can increase dramatically, change the spatial distribution of energy input, and more than double the Joule heating that results from the convection average electric fields (M. V. Codrescu et al., 2000). The thermosphere ionosphere coupling and the dynamic changes produced by storms in each of the thermosphere and ionosphere subsystems make the modeling difficult and lead to unacceptably large simulation uncertainties.

2.3 The path forward

There are two ways to mitigate the large forcing uncertainties during storms: measure the forcing, i.e. measure the electric fields and particle precipitation at the necessary grid points every few minutes, or use any available system measurements to estimate an appropriate forcing using a data assimilation scheme. As long as properly mea-

211 suring the forcing is not possible the only practical solution is a sophisticated data as-
 212 simulation process, that is, a data assimilation scheme that can take advantage of all avail-
 213 able TI measurements to reduce the external forcing uncertainty while also improving
 214 model data comparisons.

215 Developing an assimilation scheme that can take advantage of a variety of TI mea-
 216 surements is a major challenge because the external forcing acts in multiple ways with
 217 different time constants and because the system contains feed-back loops with storm-
 218 time dependent gains. These complications make the correlations between model vari-
 219 ables non-stationary or in other words, state and time dependent. Since the covariance
 220 matrix depends on the present state of the system, it has to be calculated or estimated
 221 again during each assimilation time step.

222 One practical way to obtain the covariance is by Monte Carlo estimation methods
 223 (Evensen, 2003). An appropriate number of members of the background model (an en-
 224 semble) is run with representative forcing variations and statistics of their results are used
 225 to estimate a covariance matrix. The accuracy of the estimated covariance is a function
 226 of the number of members relative to the number of degrees of freedom of the system,
 227 the forcing distribution over the ensemble members, and the error of the system estima-
 228 tion, at the time of the estimation.

229 **3 The Thermosphere Ionosphere Data Assimilation (TIDA) Software**

230 The Thermosphere Ionosphere Data Assimilation (TIDA) software implements an
 231 enKF for the TI system. Results from TIDA were first presented in (S. M. Codrescu et
 232 al., 2018), although the scheme was not called TIDA at the time. TIDA consists of three
 233 parts: the data assimilation code, the background Thermosphere Ionosphere general cir-
 234 culation model CTIPe (M. V. Codrescu et al., 2012), and supporting analysis routines
 235 in Python.

236 CTIPe has a long history going back to the early 1980's (T. J. Fuller-Rowell & Rees,
 237 1980). The model has been running in real time (M. V. Codrescu et al., 2012) for more
 238 than ten years and has been tested during both quiet and disturbed conditions (Fedrizzi
 239 et al., 2012; M. V. Codrescu et al., 2012; Negrea et al., 2012; Fernandez-Gomez et al.,
 240 2019). CTIPe was transitioned into operations at the Space Weather Prediction Cen-
 241 ter (SWPC) in November 2019. Results from the SWPC real-time operational run are
 242 available at: <http://ccmc-swpc.s3-website-us-east-1.amazonaws.com/plots.html>

243 TIDA uses an ensemble of CTIPe model realizations to obtain a Monte Carlo style
 244 approximation of the non-stationary covariance matrix for the TI system. In this paper
 245 we will further explore neutral mass density assimilation during the severely disturbed
 246 2003 Halloween storms.

247 TIDA is unique among data assimilation schemes in targeting strongly forced sys-
 248 tems due to its handling of the system forcing. The Kalman state vector is augmented
 249 with the external system forcing and consequently the forcing is modified or inferred by
 250 the assimilated measurements. This inference allows the scheme to run even in the ab-
 251 sence of L1 measurements. The forcing changes resulting from one assimilation time step
 252 are used during the following assimilation time step.

253 In addition to the ensemble members, TIDA also conducts a special member and
 254 a reference member. The special member is forced with the best estimate of the exter-
 255 nal system forcing as inferred in the previous assimilation step. The reference run is forced
 256 using the measurements of solar wind from the ACE spacecraft at L1 and F10.7 that would
 257 have been available in real-time.

258 For the results presented here, we have used an ensemble with 75 members. The
 259 assimilation time step is 30 minutes and the model time step is one minute. The Kalman
 260 state vector, in addition to the augmented forcing parameters discussed above, contains
 261 the following fields: neutral temperature, constituent mixing ratios, meridional and zonal
 262 neutral winds, and mean molecular mass. The state vector contains over 191 thousand
 263 elements while the covariance matrix has over 36 million elements.

264 TIDA is a research tool that has a very large number of configuration options. Our
 265 goal in this paper is to show that the assimilation scheme responds to the measurements
 266 and their uncertainty as expected during a significant geomagnetic disturbance and to
 267 also highlight that the assimilation scheme is able to use the neutral density measure-
 268 ments to estimate the forcing even in the absence or degradation of L1 measurements.
 269 We do not claim that our configuration choices result in the best estimate of the system
 270 forcing or the best possible TI simulation for this time period. We plan to tune the scheme
 271 and use more diverse measurements to further improve results in the future.

272 4 Data

273 The neutral density measurements assimilated in this experiment are derived from
 274 very sensitive accelerometers flown on the GRACE-A, GRACE-B, and CHAMP satel-
 275 lites (Sutton, 2011). No bias correction was applied before assimilating the data. Fur-
 276 thermore, the estimated uncertainty provided with the measurements was used directly.

277 The Advanced Composition Explorer (ACE) satellite monitors the solar wind from
 278 the first Lagrange point (L1). Unfortunately, due to a solar energetic particle event, sig-
 279 nificant portions of the ACE data are bad quality and not usable during the storm pe-
 280 riod. We have retrieved the available data from the NASA OMNI service ([https://omniweb](https://omniweb.gsfc.nasa.gov/form/sc_merge_min1.html)
 281 [.gsfc.nasa.gov/form/sc_merge_min1.html](https://omniweb.gsfc.nasa.gov/form/sc_merge_min1.html)), which provides solar wind values prop-
 282 agated to Earth’s magnetopause. During data gaps and outages, the most recent valid
 283 solar wind driver value is repeated across the gap.

284 5 Results

285 We first discuss results from a run where neutral density measurements from all
 286 three satellites, GRACE-A, GRACE-B, and CHAMP, were assimilated. During most as-
 287 similation time steps, about 30 measurement/satellite were available for assimilation. Given
 288 that fewer than 100 measurements were assimilated in each 30 minute assimilation time
 289 step and that normal input parameters are not available for many hours during this pe-
 290 riod, the results are surprisingly good for such an extreme space weather event. Later
 291 in this paper we’ll discuss model measurement comparisons when measurements from
 292 only one satellite are assimilated at a time, to demonstrate that this is not a lucky co-
 293 incidence but a consequence of the strongly forced nature of the TI system and of the
 294 large scale coherence of the neutral density features in both the real system and in the
 295 CTIPe model. We note that all results presented in this paper are along the orbit of the
 296 moving satellites and are not orbit averaged.

297 Figure 1 is a scatter plot of model versus measurement results over the Halloween
 298 storms (October 27 - 31, 2003). The left column labeled “Reference” illustrates the CTIPe
 299 model results without data assimilation. This is what would have been produced by a
 300 CTIPe real-time operational run. The middle column shows “Forecast” model results
 301 from TIDA before the present assimilation time step measurements are assimilated, while
 302 the right column shows the final “Analysis” TIDA neutral density results. The rows are
 303 for the three satellite measurements used in this run: CHAMP (top row), GRACE-A (mid-
 304 dle row), and GRACE-B (bottom row). Forecast results can be thought of as assimi-
 305 lation results when only measurements older than 30 minutes are available while analy-
 306 sis means that measurements up to the simulation time are available.

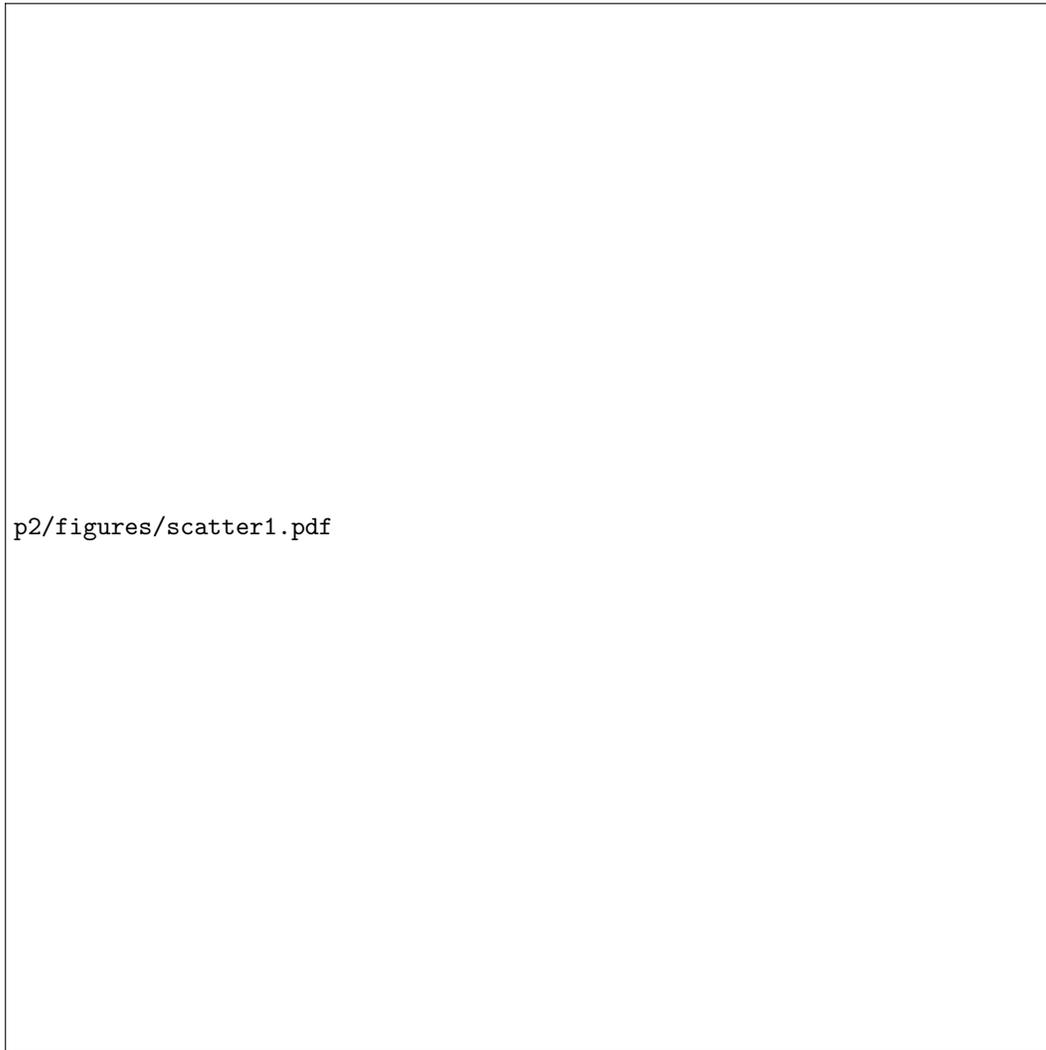


Figure 1. Scatter plot of model vs measurement when three satellite data sets are assimilated. Left column reference state (no assimilation), middle column forecast state, right column analysis state, over the 5 day Halloween 2003 storm period with all 3 satellite data sets assimilated.

307 The large overestimation of neutral density in the reference run (left column) is caused
 308 by the loss of forcing measurements during October 30. The L1 measurements needed
 309 for the convection and particle precipitation patterns were compromised by an ongoing
 310 solar energetic proton event. Since the operational run must produce results even in the
 311 absence of input measurements, the model reuses the last available forcing measurements
 312 again and again until new forcing measurements become available. The last available L1
 313 measurements for October 30 were such that they caused a large overestimation of the
 314 Joule heating in the model, when repeated, resulting in much larger modeled neutral den-
 315 sity for corresponding measurements.

316 Figure 2 illustrates the forcing parameters for the sub-period 29 - 30 October, 2003.
 317 Both the reference forcing (blue) and TIDA forcing (yellow) are shown. The large mag-
 318 nitude of B in the YZ plane and the favorable angle together with the large solar wind
 319 velocity result in the large overestimation of Joule heating. The TIDA forcing param-
 320 eters were inferred by the assimilation scheme from the neutral density measurements.

321 Neutral density measurements alone do not assure a unique solution for model forc-
 322 ing. The inferred forcing parameters presented in Figure 2 are a best estimate for the
 323 model forcing given the distribution of assimilated measurements and their uncertain-
 324 ties and the physics captured in the CTIPe model.

325 Changes in neutral density at the height of a satellite can result from a change in
 326 temperature, a change in neutral composition, or a combination of both. Neutral den-
 327 sity measurements alone do not contain enough information to allow TIDA to uniquely
 328 determine the cause of a model data discrepancy and properly correct for it during each
 329 assimilation time step. This and the continuous change in the position of the satellite
 330 measurements over the globe result in the ruggedness of the inferred system forcing. Ad-
 331 ditional measurements of temperature and/or neutral composition are expected to re-
 332 duce the variability of the inferred forcing and further improve model data comparisons
 333 for neutral density.

334 Figure 3 shows the measured (yellow), reference (red), forecast (blue), and anal-
 335 ysis (black) neutral density values for October 29 and 30, 2003. The overestimation of
 336 density by the reference run is again obvious on October 30. On the other hand, at times
 337 TIDA slightly underestimates the neutral density. This is most obvious for CHAMP at
 338 the end of October 30. We do not have a good explanation for this effect and plan to
 339 investigate it further. We suspect the effect to be due to the arbitrary limits we imposed
 340 on how much the forcing elements are allowed to change from one assimilation time step
 341 to the next with a possible contribution from the non-optimal global coverage of the as-
 342 similation data sets.

343 Scatter plots like Figure 1 for the three single satellite assimilation cases are very
 344 similar, show only a little more spread than the 3 satellite assimilation case, are not dis-
 345 cussed here but can be seen in Appendix A. The difference in forcing parameters inferred
 346 by TIDA for the four assimilation cases are minor, do not bring any revelations, and again
 347 are not presented here. The difference in TIDA results when assimilating all data sets
 348 at once or one at a time can best be seen in Table 1.

349 Table 1 summarizes the assimilation results over the 5 day period. The results of
 350 the wrong inputs forced upon the reference run by the absence of L1 measurements due
 351 to the proton event contamination is obvious and totally unacceptable for an operational
 352 run. Using previous neutral density measurements, i.e. measurements made before the
 353 present 30 minute assimilation time step, TIDA can infer better system forcing param-
 354 eters and reduce the along the orbit RMSD from over 140% (CHAMP Reference) to be-
 355 low 21% (CHAMP Forecast) and from over 203% (GRACE-A and B Reference) to less
 356 than 26% (GRACE-A and B Forecast). The assimilation of the measurements during

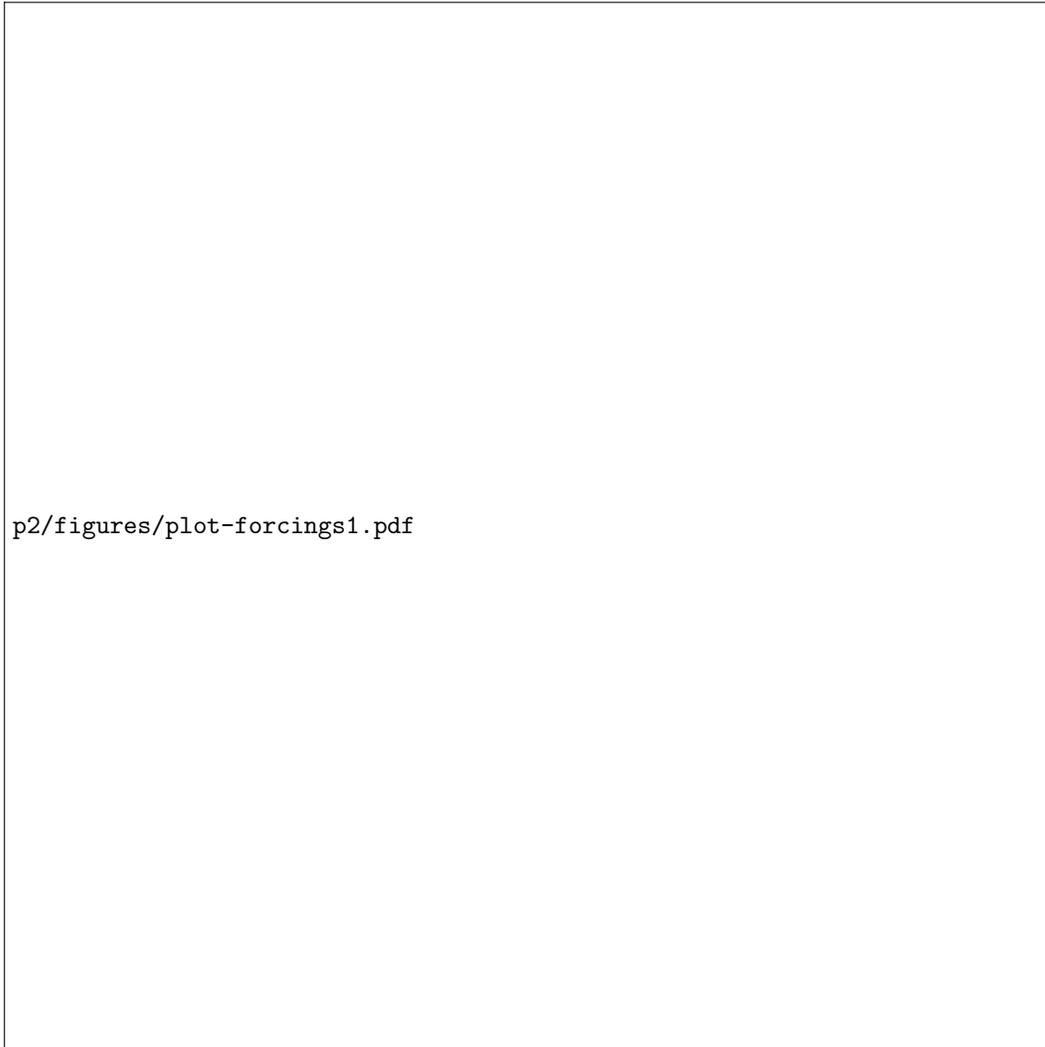


Figure 2. Forcing measured or assumed for the reference run (blue) and inferred by TIDA from neutral density measurements alone (yellow).

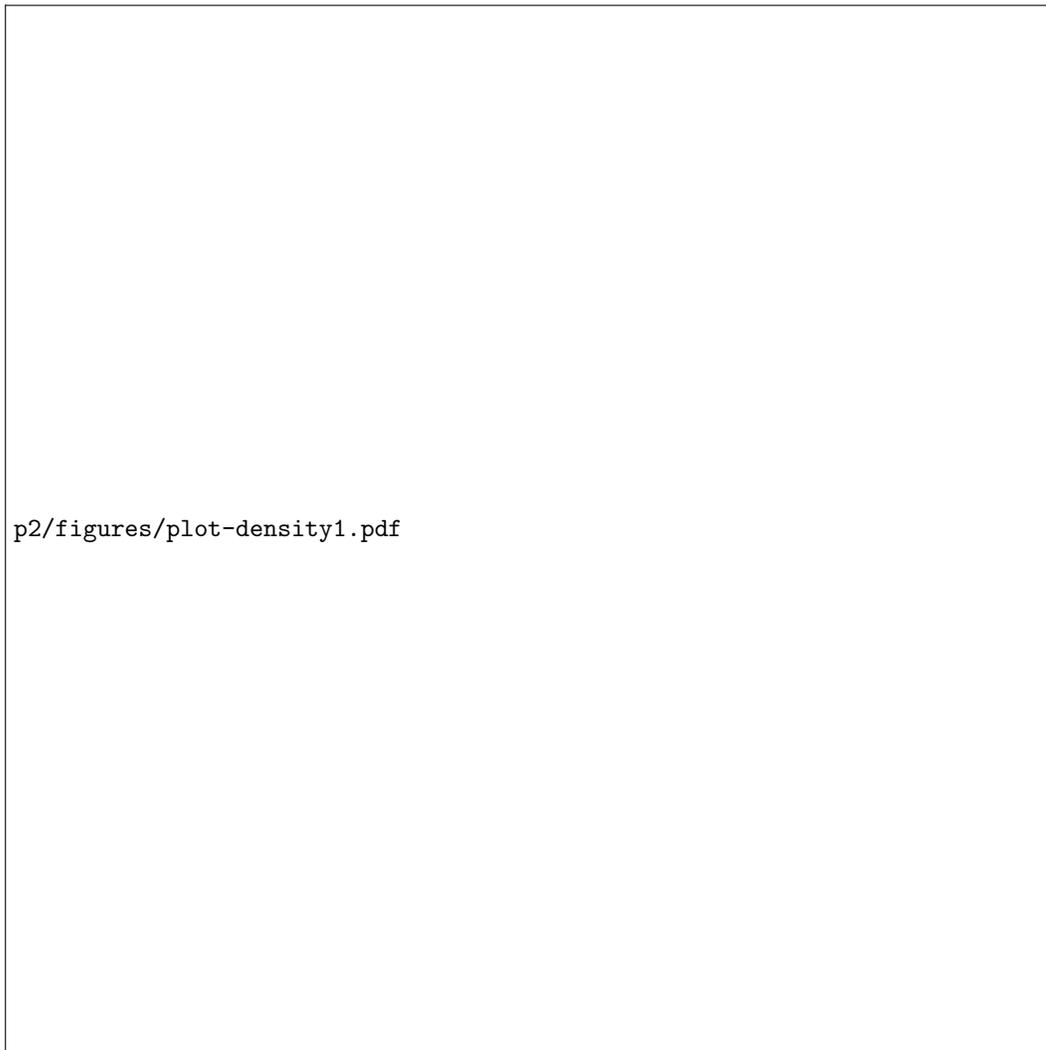


Figure 3. Neutral density observed (yellow), reference (red), forecast (blue) and analysis (black) for the three satellites during October 29 and 30, 2003

357 the assimilation time step further reduces the RMSD to less than 16.5% for CHAMP and
 358 to less than 15% for GRACE-A and B.

359 It is obvious from Table 1 that the best TIDA neutral density results are obtained
 360 when all 3 satellite data sets are assimilated at the same time. The fact that the TIDA
 361 results are better for any satellite when assimilating all measurements than when assim-
 362 ilating only a particular satellite is evidence that the data sets are consistent with each
 363 other, have only small biases relative to each other, and that the model approximates
 364 the physics of the system well enough to be able to improve the results far away from
 365 the location of any given measurement.

366 6 Conclusions

367 We have developed TIDA, an enKF data assimilation software package adapted for
 368 strongly externally-forced systems. The strongly forced system requires the estimation
 369 of the system forcing parameters at each assimilation time step. This is because the forc-
 370 ing uncertainties are the largest source of uncertainty for model results. In addition, the
 371 non-stationary nature of the system encourages the estimation of the covariance matrix
 372 during each assimilation time step.

373 Including the external system forcing parameters in the Kalman state of TIDA al-
 374 lows their estimation based on all system measurements and results in considerable im-
 375 provement in modeling results. Given enough system measurements, the forcing param-
 376 eters can be inferred even in the absence of the regular forcing measurements (L1 solar
 377 wind and F10.7 values). This can assure uninterrupted modeling operations even when
 378 model inputs are not available.

379 TIDA, the implementation of the enKF used in this study, demonstrates the con-
 380 siderable improvement potential of data assimilation for the TI system modeling. A small
 381 number of measurements, fewer than 100 neutral density values assimilated during each
 382 30 minute assimilation time steps over 5 days, can reduce the model data RMSD along
 383 the orbit by factors of 7 to 10 vs the reference with bad forcing. Furthermore, we have
 384 demonstrated that assimilation of the neutral density from a single satellite improves the
 385 specification globally.

386 The TIDA results further indicate that the estimation of the neutral density co-
 387 variance matrix with a 75 member ensemble of CTIPe runs is good enough to eliminate
 388 the need for covariance localization. This is essential, given the sparse data coverage of
 389 neutral density available today.

390 Appendix A Individual satellite scatter plots

391 Acknowledgments

392 The CTIPe model code is open source. A GitHub Docker version of CTIPe is available
 393 upon request. Please email Mihail Codrescu (Mihail.Codrescu@noaa.gov) if interested.
 394 The TIDA code is commercially available from Vector Space, LLC. Please Email Ste-
 395 fan Codrescu (ssmmcc1@gmail.com) if interested. The CHAMP and GRACE-A and B
 396 neutral density measurements were provided by Eric Sutton and are available at: [https://
 397 drive.google.com/drive/folders/1oki9W0e3J4U3Pn3yebNa01a3v-0m5Aho](https://drive.google.com/drive/folders/1oki9W0e3J4U3Pn3yebNa01a3v-0m5Aho). The ACE
 398 measurements used in this study are from the NASA OMNI service [https://omniweb
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Table 1. Root Mean Square % difference for Reference, Forecast, and Analysis over the 5 day Halloween 2003 storm period.

Satellite	All sats		Only CHAMP		Only GRACE-A		Only GRACE-B		
	Reference	Forecast	Analysis	Forecast	Analysis	Forecast	Analysis	Forecast	Analysis
CHAMP	140.25	20.67	16.37	21.82	18.06	21.87	20.37	21.90	20.24
GRACE A	205.68	25.72	14.97	25.83	24.15	26.55	18.83	26.63	18.88
GRACE B	203.71	25.61	14.98	25.65	23.95	26.50	18.93	26.48	18.85

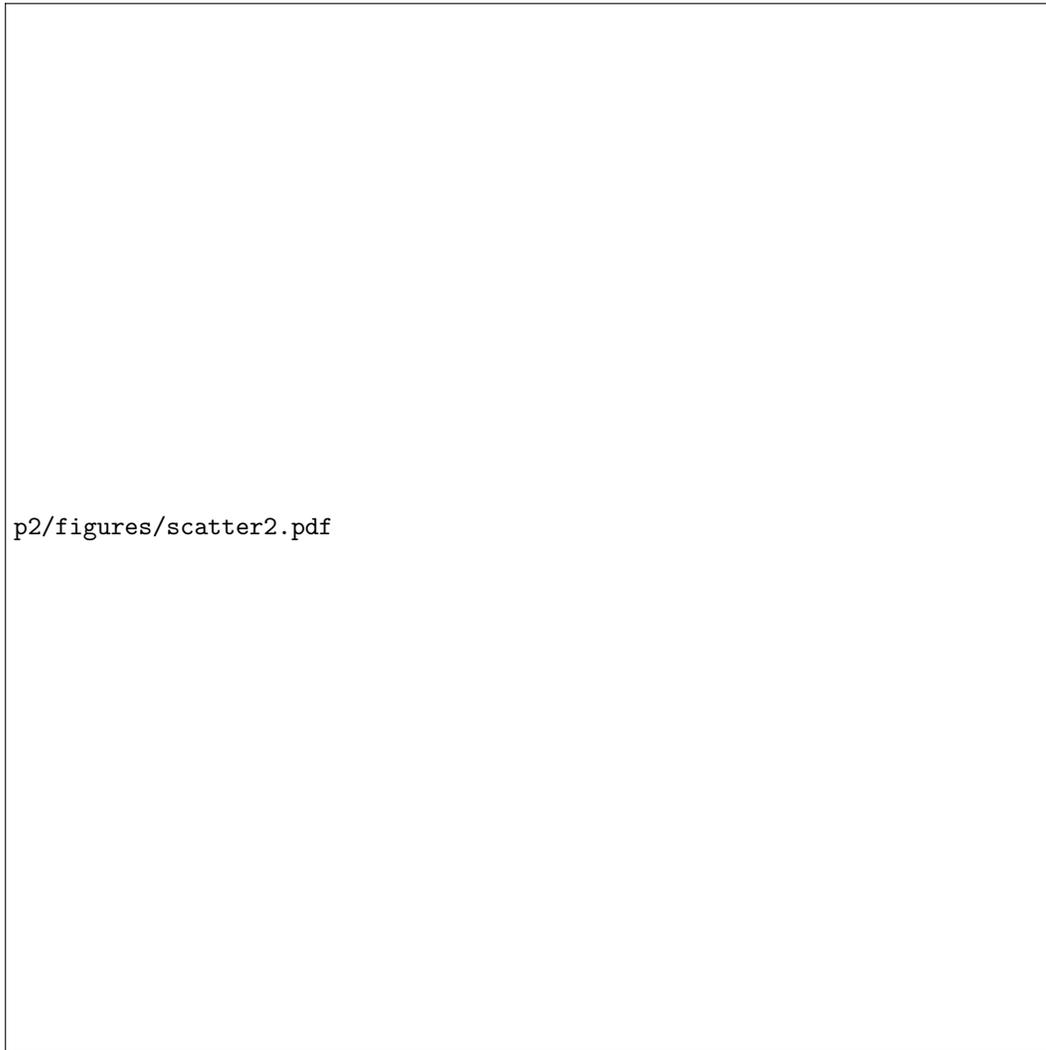


Figure A1. Scatter plot of model vs measurement when only the CHAMP satellite data set is assimilated. Left column reference state (no assimilation), middle column forecast state, right column analysis state, over the 5 day Halloween 2003 storm period.

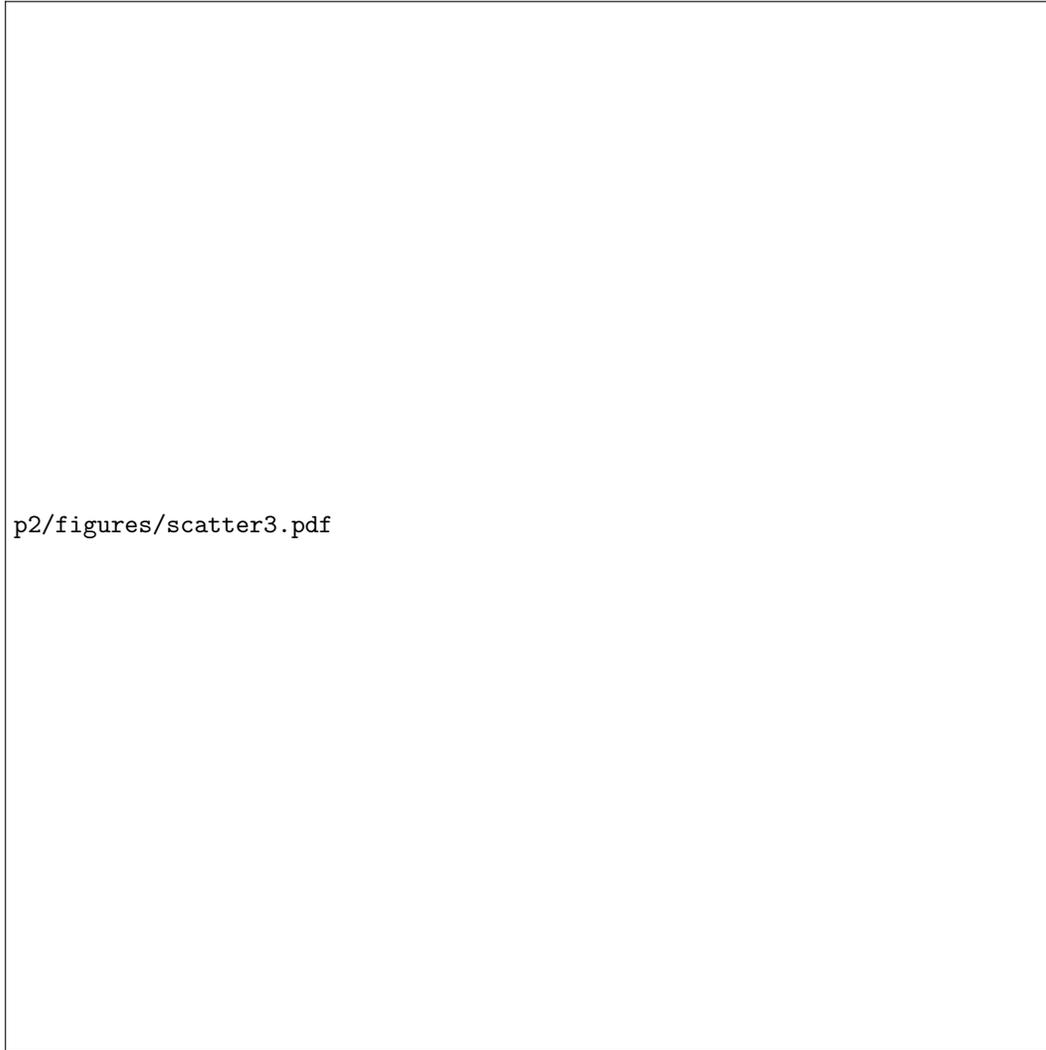


Figure A2. Scatter plot of model vs measurement when only the GRACE-A satellite data set is assimilated. Left column reference state (no assimilation), middle column forecast state, right column analysis state, over the 5 day Halloween 2003 storm period.

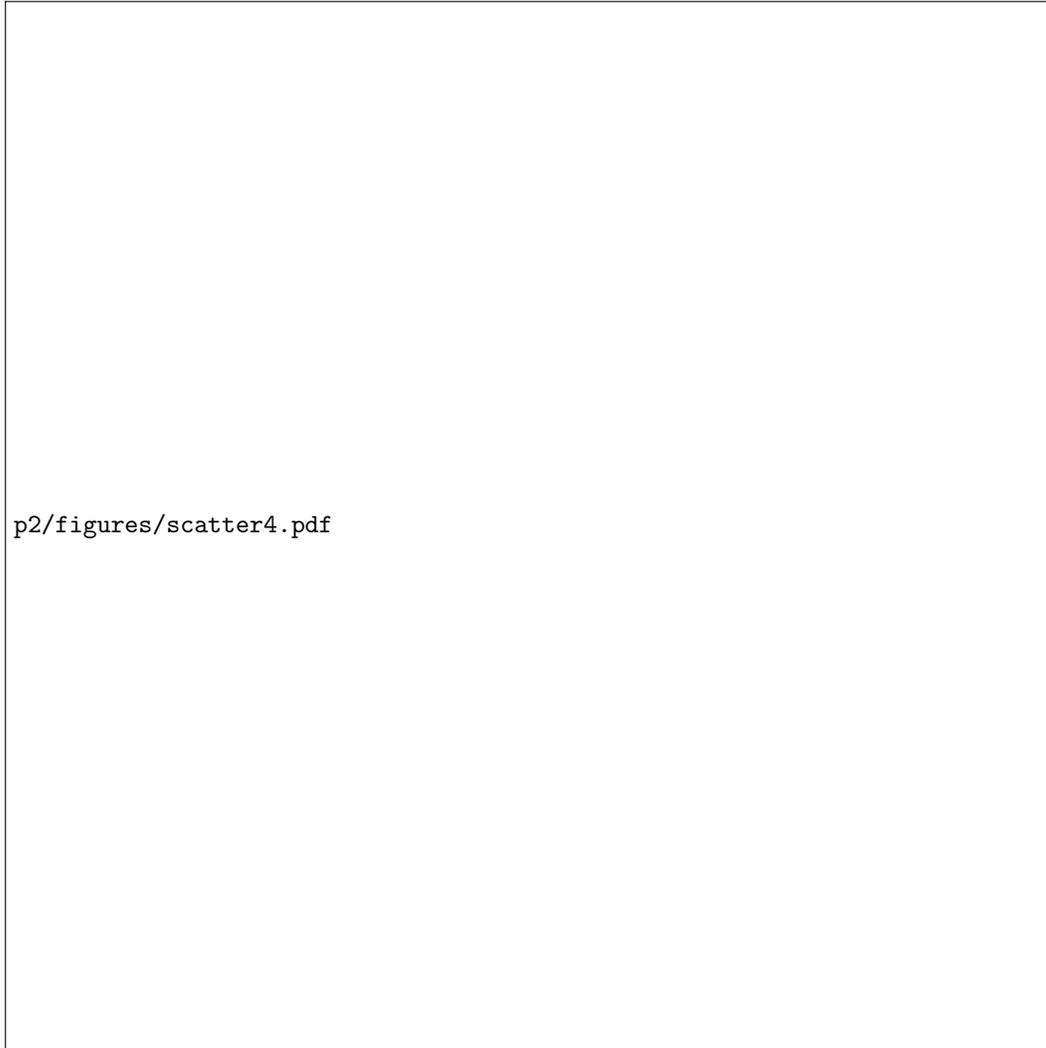


Figure A3. Scatter plot of model vs measurement when only the GRACE-B satellite data set is assimilated. Left column reference state (no assimilation), middle column forecast state, right column analysis state, over the 5 day Halloween 2003 storm period.

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Figure 1.

2003 Halloween Storm: neutral mass density: all satellites assimilated

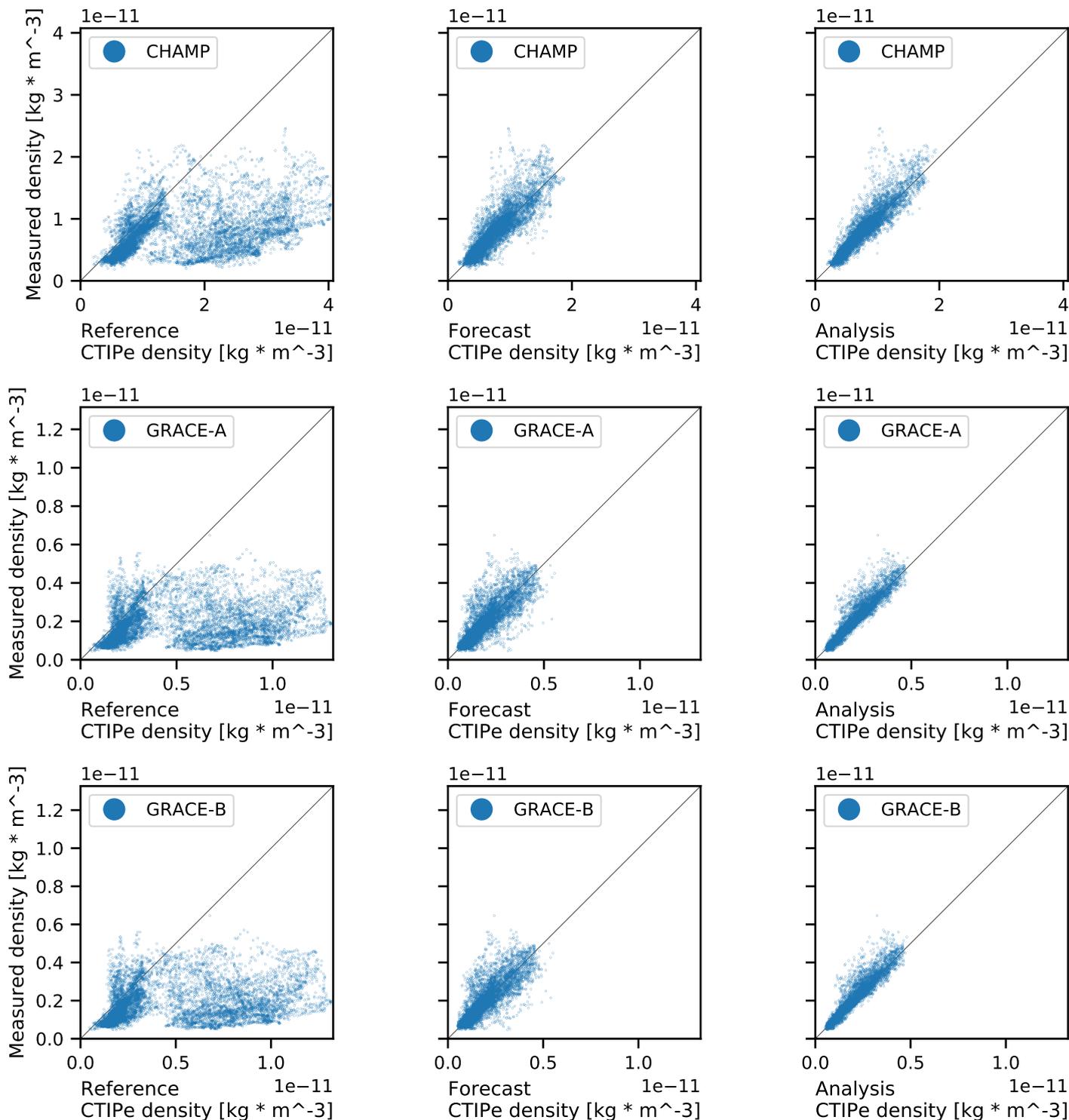


Figure 2.

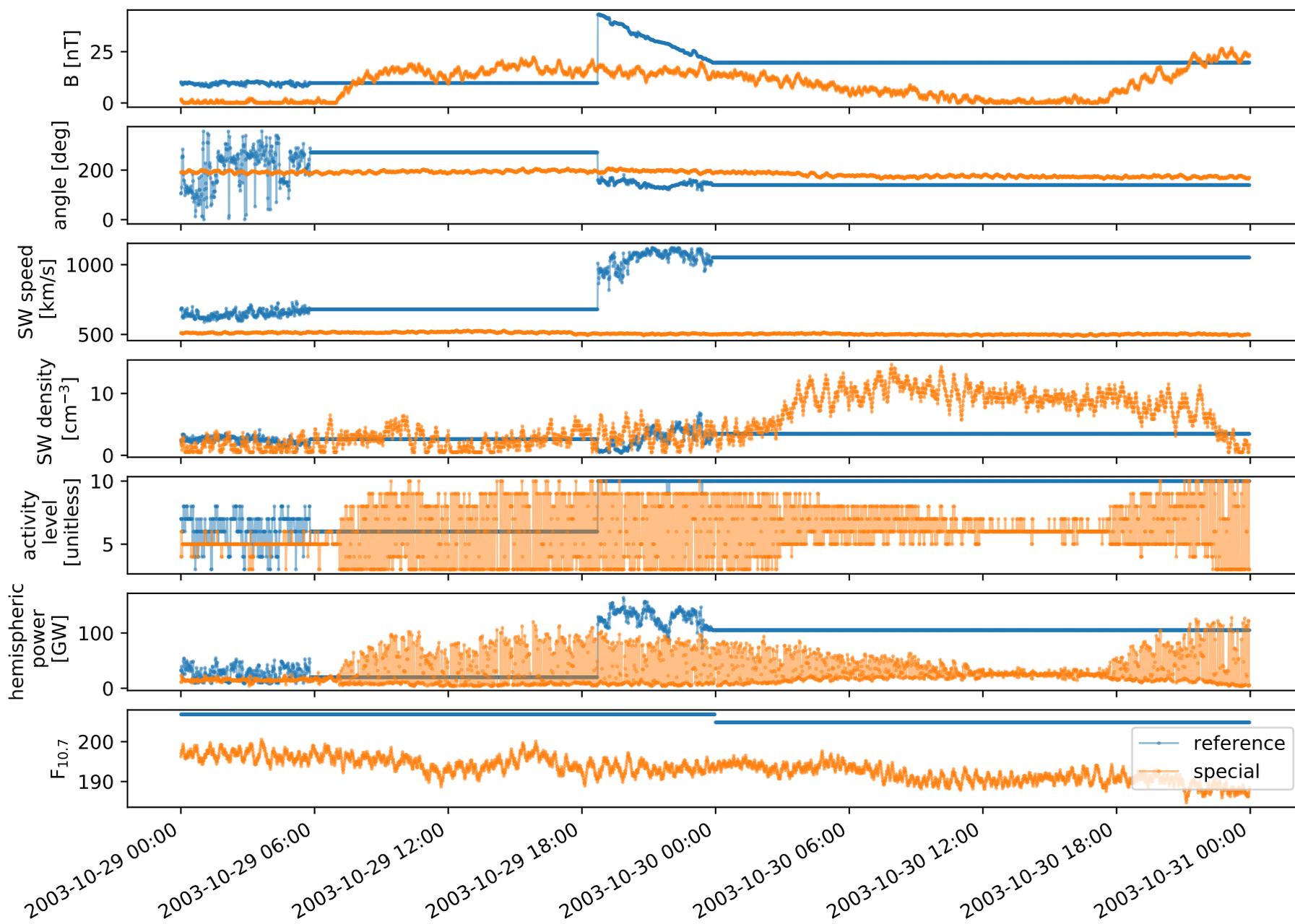


Figure 3.

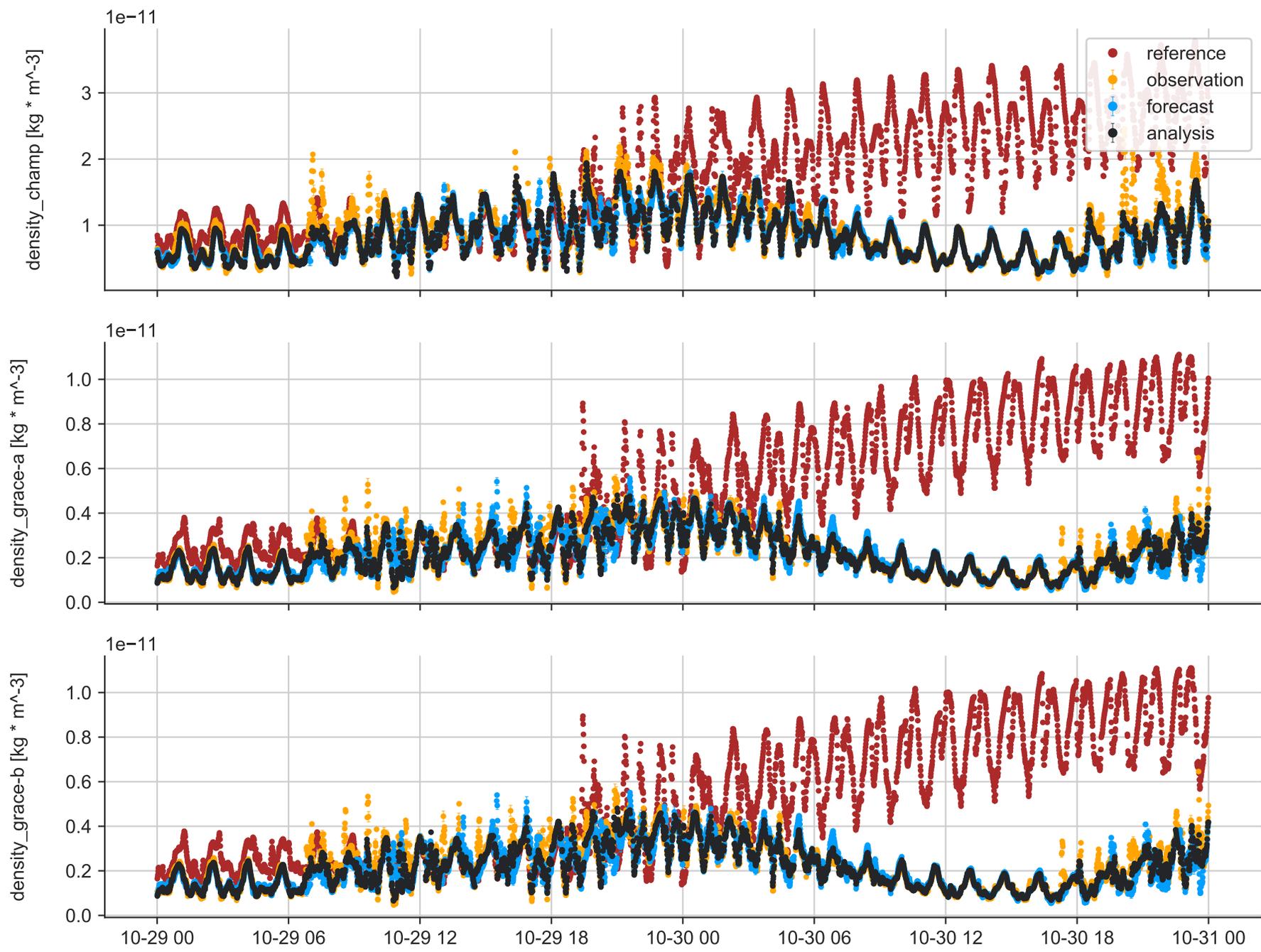


Figure 4.

2003 Halloween Storm: neutral mass density: only CHAMP assimilated

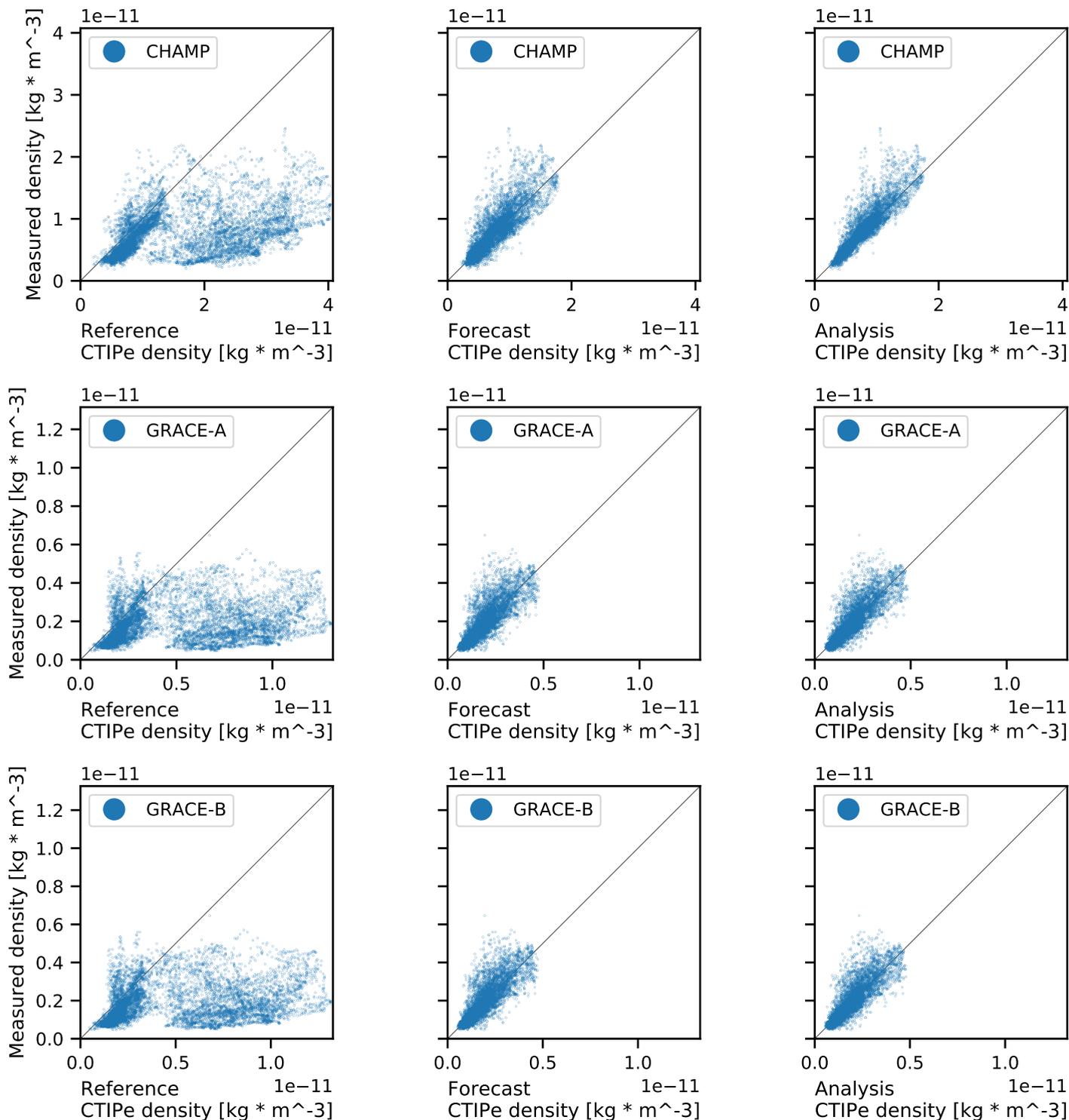


Figure 5.

2003 Halloween Storm: neutral mass density: only GRACE-A assimilated

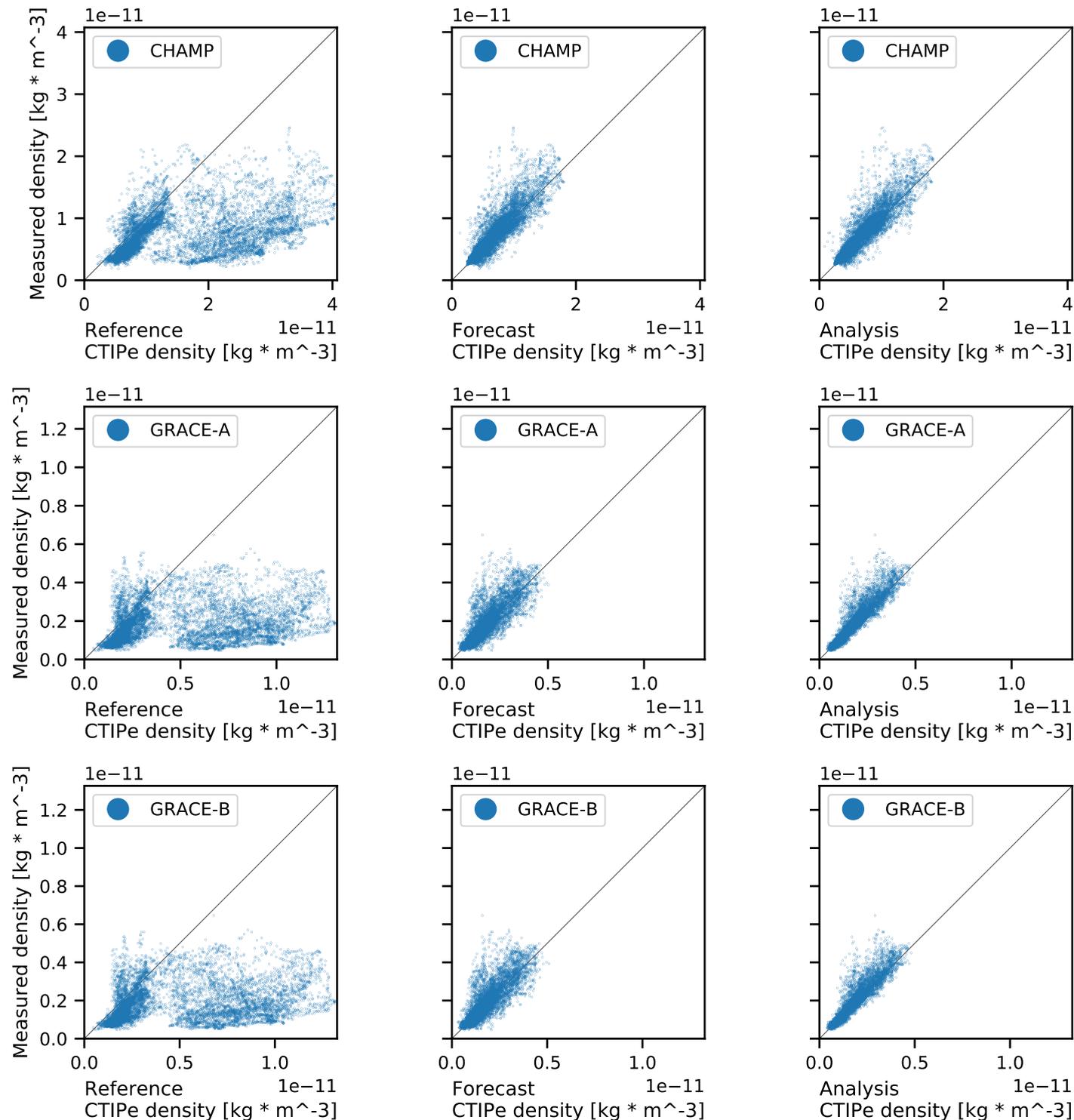


Figure 6.

2003 Halloween Storm: neutral mass density: only GRACE-B assimilated

