

Assessment and Improvement of CLM4.5 in Simulation of Land Surface Temperature in Mainland China

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Abstract

Land surface temperature (LST) is the key indicator to assess land surface models (LSMs). CLM4.5 has attracted much attention in mainland China. However, there have been few comprehensive LST assessments of CLM4.5 that used abundant latest long-term observation data from mainland China and considered land-atmosphere coupling. Therefore it is difficult to evaluate its performance for an actual climate simulation. In this work, LST data from the recent 30 years were collected from 809 Chinese meteorological stations, and the simulation capability of CLM4.5 for LST was comprehensively assessed for the first time. Then, in order to improve the model, sensitivity tests of soil thermal conductivity (STC) were carried out. Although CLM4.5 could accurately simulate the spatial distribution character of LST, there was a cold bias of 4.5°C for all of mainland China. Seasonally, larger bias was observed in summer and autumn, which had more precipitation and greater soil moisture than other seasons. Deviation increased from southeast to northwest, but varied greatly between seasons. There was a significant linear regression relationship between two LSTs, with annual correlation coefficients of the two LSTs for all stations between 0.75 and 0.9 ($P < 0.001$). LST increased at a rate of 0.058°C/a. Though it was successfully simulated, the trend value was smaller. The bias of CLM4.5 was better than that of ERA-interim but slightly worse than that of ERA-interim/Land. Assessment of three different STC schemes showed that the Lu-Ren scheme was the most one that suited for LST simulation in mainland China.

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Assessment and Improvement of CLM4.5 in Simulation of Land Surface Temperature in Mainland China

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Key Points:

- Considering land–atmosphere coupling,the longest and latest observed Land surface temperature(LST) dataset for mainland China was used for the first time to comprehensive assess LST in that region as simulated by CLM4.5.
- There are systematic cold deviations in the simulation of land surface temperature in mainland China by CLM4.5. There was a significant linear regression relationship between two LSTs. Though the trend of the LST variation was successfully simulated, the value was smaller than that of observation.
- We added a new scheme of soil thermal conductivity that more suitable for LST simulation in mainland china, which has made CLM4.5 get further development in Chinese mainland.

19 **Abstract** LST is the key indicators to assess land surface models (LSMs). Common Land Model
20 4.5 (CLM4.5) has attracted much attention in mainland China. However, there have been few
21 comprehensive LST assessments of CLM4.5 that used abundant latest long-term observation
22 data from mainland China and considered land–atmosphere coupling. Therefore it is difficult to
23 evaluate its performance for an actual climate simulation. In this work, LST data from the recent
24 30 years were collected from 809 Chinese meteorological stations, and the simulation capability
25 of CLM4.5 for LST was comprehensively assessed for the first time. Then, in order to improve
26 the model, sensitivity tests of soil thermal conductivity (STC) were carried out. Although
27 CLM4.5 could accurately simulate the spatial distribution character of LST, there was a cold bias
28 of 4.5°C for all of mainland China. Seasonally, larger bias was observed in summer and autumn,
29 which had more precipitation and greater soil moisture than other seasons. Deviation increased
30 from southeast to northwest, but varied greatly between seasons. There was a significant linear
31 regression relationship between two LSTs, with annual correlation coefficients of the two LSTs
32 for all stations between 0.75 and 0.9 ($P < 0.001$). LST increased at a rate of 0.058°C/a. Though it
33 was successfully simulated, the trend value was smaller. The bias of CLM4.5 was better than that
34 of ERA-interim but slightly worse than that of ERA-interim/Land. Assessment of three different
35 STC schemes showed that the Lu-Ren scheme was the most one that suitable for LST
36 simulation in mainland china. To develop a new STC scheme considering the role of water
37 vapor is an effective way for improving the model in mainland China.

38 **Plain Language Summary** LST is an important indicator to evaluate the performance of Land
39 Surface Model(LSM)—one of the major components of Regional Climate Models(RCMs).
40 Although the third generation LSM CLM4.5 has been extensively studied in mainland China, its
41 simulated performance has not been systematically evaluated due to the lack of observational
42 LST data, which has affected the improvement of the model. In this paper, by considering land-
43 atmosphere interaction, the latest LST dataset including 809 sites in mainland China are first
44 used to evaluate the LST simulation capability of CLM4.5 comprehensively. Based on the
45 physical model for calculating LST in CLM4.5, three numerical experiments on STC schemes
46 were carried out and the result has reveal the best STC scheme for the Chinese mainland. Then, a
47 new scheme of STC in CLM4.5 was added and it is verified to be useful, which has made further
48 development of CLM4.5 in Chinese mainland.

49 **1.Introduction**

50 Changes of land surface temperature (LST) can alter the balance of energy and material between
51 land and atmosphere, and cause major changes in precipitation, temperature, vegetation and
52 ecological processes (Wilson et al. 2003; Zhong et al. 2011). Thus, it is an important indicator
53 for studying global climate change (Wan and Li 1997; Coll et al. 2016; Duan et al. 2017; Jones
54 and Trewin 2015). LST is calculated by a land surface model (LSM) that provides necessary
55 lower boundary conditions for regional climate models (RCM). The accuracy of that calculation
56 has a direct impact on soil water, heat, and ecological process simulation. Therefore, it is also
57 one of the main indicators to assess LSM performance.

58 LSM has undergone three generations of development. After the 1st-generation box model and
59 2nd-generation model considering vegetation physiological and physical processes, the LSM has
60 developed into its 3rd generation, considering biochemical effects of the carbon cycle. The
61 Community Land Model (CLM) (Zeng et al. 2002) developed by the National Center for
62 Atmospheric Research (NCAR) in the United States, based on 2nd-generation LSMs such as

63 BATS, IAP94 and NCAR-LSM, is a typical 3rd-generation LSM. It has 10 uneven soil layers, 5
64 snowfall layers, and 1 vegetation layer. The data of land surface cover include soil color, soil
65 texture, percent coverage of plant functional types (PFTs) per grid, leaf and stem area indexes.
66 CLM classifies surface vegetation into 17 PFTs. Each grid point can contain 17 different PFTs,
67 which are treated as the percentage of each PFT area to the grid area. This includes physical,
68 chemical, hydrological and biochemical processes such as biogeophysics, the hydrologic cycle,
69 biogeochemistry, and dynamic planting related to climate change (Hoffman et al. 2004). It has
70 developed rapidly across versions CLM2.0, CLM3.0, CLM3.5 and CLM4.0. CLM4.5 is the
71 latest released version, which revises the photosynthesis scheme, improves hydrologic processes
72 and the wetland distribution in cold regions, and includes new parameterization schemes of snow
73 cover, lake model, crop model, and various city types. In addition, a nitrogen fixation mechanism
74 and methane emission model in the soil vertical direction have been introduced. Since the release
75 of this LSM, it has been widely applied in ecology (Tang et al. 2015; Duarte et al. 2017; Billionis
76 et al. 2014; Chen et al. 2018; Peng et al. 2018; Brunke et al. 2016; Wu and Dickinson 2004),
77 climate change (Umair et al. 2018; Lawrence et al. 2012), assessment of the role of greenhouse
78 gases (Zhang et al. 2016; Zhang and Wang 1997; Akkermans et al. 2014), and hydrology (Fu et
79 al. 2016; Liu et al. 2017; Hack et al. 2006). It is considered one of the most developed and
80 potentially useful LSMs in the world (Lai et al. 2014).

81 The model has also been used in studies on the simulation and assessment of LST in mainland
82 China (Meng et al. 2017; Wang et al. 2015; Song et al. 2014; Wang et al. 2015). Sun et al. (2017)
83 drove CLM3.5 based on CLDAS (CMA Land Data Assimilation System) atmospheric driving
84 data, using LST from ground observation stations to assess the quality. The results show that the
85 bias and root-mean-square error (RMSE) of simulated LST vs. observed data varied seasonally.
86 Further, the bias and RMSE of simulated LST vs. observed data were smaller in eastern China
87 than in its west. Meng et al. (2017) found that the CLM3.5 model had the greatest difference
88 between simulated and observed LSTs in Xinjiang, with a maximum difference of ~5 K in July
89 each year. Guo et al. (2017) used NCEP atmospheric forcing data to drive CLM4.5 for
90 simulating changes of soil temperature on the Tibetan Plateau over the past century. The
91 simulation results were validated by observation data of soil temperature from meteorological
92 stations and field borehole monitoring stations. The results show that CLM4.5 could reasonably
93 simulate observed changes of soil temperature on the plateau. Chen et al. (2010) used CLM3.0
94 and global atmospheric near-surface forcing data from Princeton University to conduct offline
95 simulation experiments on soil temperature in China from 1948 to 2001, further assessing the
96 capability of CLM3.0 to simulate soil temperature at different levels. The results show that the
97 model could simulate the spatial distribution of multiyear average soil temperature in the
98 country. The simulated soil temperature was generally lower than observed except for some
99 areas where the simulated values were larger than observed. The model could well-reflect the
100 interannual variation of soil temperature in China. Moreover, the model could basically grasp the
101 trend of that temperature, but the simulated trend was weaker than observed. Xie et al. (2017)
102 used observation data from Nagqu Station of Plateau Climate and Environment of the Chinese
103 Academy of Sciences to assess model simulation performance for surface energy exchange at the
104 underlying surface of an alpine meadow on the Tibetan Plateau. The results showed that CLM4.5
105 could effectively simulate seasonal variations and diurnal cycles of surface longwave, reflected
106 and net radiations; sensible and latent heat fluxes; and surface soil heat fluxes during non-
107 freezing periods in spring, summer and autumn on the plateau. However, the simulation of LST
108 during the winter freezing period gave values smaller than observed.

109 Nevertheless, most evaluations only had a few LSM stations with short observation periods. But
 110 the Chinese mainland is vast and its land surface characteristics vary substantially by region.
 111 Therefore, the associated conclusions lack spatial and temporal representativeness. In addition,
 112 land–atmosphere interaction feedback has a major impact on LST calculation. Most assessments
 113 considered only the forcing effect of the atmosphere on the land surface, neglecting the transport
 114 of energy and mass from that surface. Therefore, it is difficult to fully evaluate the performance
 115 of CLM4.5 for an actual climate simulation. All of these limit the development of CLM4.5 for
 116 mainland China. To solve these problems, we designed a long-term (30 years) numerical
 117 simulation test of LST for mainland China on the basis of long-term observational LST data.
 118 Finally, the experiment of improving soil thermal conductivity model was carried out. The
 119 results will promote the development of CLM4.5 in mainland China.

120 **2 Materials and Methods**

121 2.1 Regional Climate Model version 4.6 (RegCM 4.6)

122 Regional Climate Model version 4.6 (RegCM 4.6) was used to provide atmospheric forcing
 123 fields. RegCM is a regional climate model established by Dickinson and Giorgi in the late 1980s
 124 through expansion and modification of the radiation scheme, convection parameterization
 125 scheme, and land surface physical process in mesoscale model MM4 (Dickinson et al. 1989;
 126 Giorgi and Bates 1989). Giorgi et al. subsequently produced RegCM2, RegCM3 and RegCM4
 127 by improving the physical process scheme and mesoscale model (Giorgi et al. 1993; Giorgi et al.
 128 1993). RegCM4.6 is the latest mature version. In this version, a MM5 non-static dynamic frame
 129 option is added, which improves model spatial resolution to 10 km and updates the radiation and
 130 convection parameterization schemes. RegCM is the most widely used regional climate model in
 131 China. It is not only used for climate simulation and diagnosis but is also one of the supporting
 132 tools for climate prediction.

133 2.2 Soil Thermal Conductivity (STC)

134 The calculation model of soil temperature used in CLM4.5 is as follows.

$$c \frac{\partial T}{\partial z} = \frac{\partial}{\partial z} \left(\lambda \frac{\partial T}{\partial z} \right) \quad (4)$$

135 Here, T is soil temperature (K), z is downward in the vertical direction (m), c is the snow/soil
 136 heat capacity ($\text{J m}^{-3} \text{K}^{-1}$), t is time (s), and λ is the STC ($\text{W m}^{-1} \text{K}^{-1}$). The results show that λ had
 137 a great influence on the calculation of soil temperature. In CLM4.5, the model proposed by
 138 Johansen (1975) was used for the calculation of λ . This is a semi-theoretical and semi-empirical
 139 model for calculating λ . Its expression is

$$\lambda = (\lambda_{sat} - \lambda_{dry}) \cdot K_e + \lambda_{dry} \quad (5)$$

140 Here, λ_{sat} is the thermal conductivity for saturated soil, λ_{dry} is the thermal conductivity for dry
 141 soil, and K_e is the Kersten constant, whose expression in this model is

$$K_e = \begin{cases} 0.7 \log S_r + 1.0, & 0.05 < S_r \leq 0.1 \\ \log S_r + 1.0, & S_r > 0.1 \end{cases} \quad (6)$$

142 where s_r is the saturation of soil. Results (Su et al. 2016) have shown that K_e in the model is
 143 logarithmic, and the calculated λ was clearly smaller than the measured value. To overcome this
 144 problem, Côté and Konrad (2005) proposed a new expression of K_e :

$$K_e = \frac{kS_r}{1 + (k - 1)S_r} \quad (7)$$

145 where k is a parameter related to soil texture. To make the Johansen model more suitable for low
 146 soil moisture content, Lu and Ren (2006) proposed a new scheme:

$$K_e = \exp\left(\alpha(1 - S_r^{\alpha-1.33})\right) \quad (8)$$

147 where α is a parameter related to soil texture.

148 2.3 Major assessment indicators

149 (1) Bias

$$BIAS = \frac{1}{n} \sum_{i=1}^n (S_i - O_i) \quad (1)$$

150 Here, S_i is the simulated element (such as precipitation and temperature); O_i is the corresponding
 151 observed element, which can be used to test whether simulated values from the model are large
 152 or small as well as the corresponding magnitude.

153 (2)RMSE

$$RMSE = \sqrt{\frac{\sum_{i=1}^n (X_{obs,i} - X_{model,i})^2}{n}} \quad (2)$$

154 This reflects the deviation of simulated from observed data. The smaller the value, the greater the
 155 simulation accuracy and the better the performance.

156 (3)Pearson correlation coefficient

$$r = \frac{\sum_{i=1}^n (x_i - \bar{x})(y_i - \bar{y})}{\sqrt{\sum_{i=1}^n (x_i - \bar{x})^2} \sqrt{\sum_{i=1}^n (y_i - \bar{y})^2}} \quad (3)$$

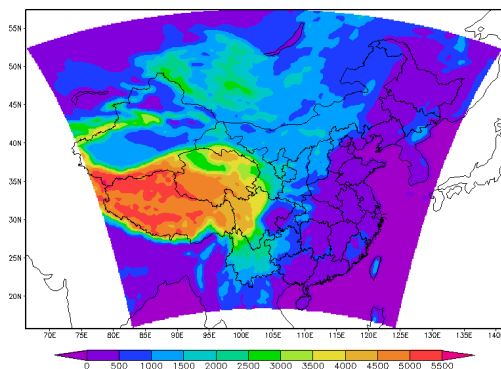
157 This is a statistical quantity reflecting the linear correlation of two variables. The larger the
 158 absolute value, the stronger the correlation.

159 2.4 Design of Numerical Experiment

160 The simulation area is shown in Fig. 1. The latitude range was 15.76–57.36°N and longitude
 161 range 66.25–141.13°E. There were 160 grid points in latitude and 145 in longitude. The
 162 horizontal grid size was 30 km, and the vertical was divided into 23 layers. ERA-Interim
 163 reanalysis data from January 1987 to December 2017 were used for the lateral boundary. The

164 data had a horizontal resolution of $0.75^\circ \times 0.75^\circ$ (~80 km), 37 layers in the vertical, and a
 165 temporal resolution of 6 hours. Sea surface temperature data were OSSST monthly average data
 166 of NOAA from the same period. Model parameters are listed in Table 1.

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168 **Fig1.** The simulation area. Shadow represents terrain height in m

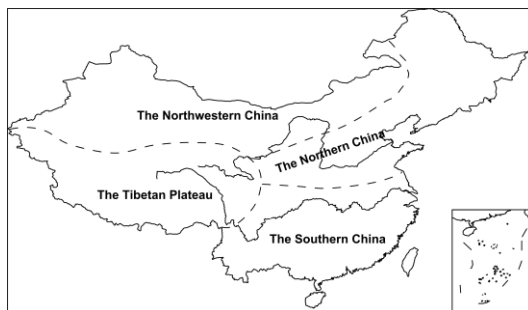
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Table.1 Main simulation parameters

Dynamic structure	Test scheme
Dynamic frame	MM5 non-static frame
Large-scale precipitation scheme	SUBEX (Subgrid explicit water vapor scheme)
Radiation transmission scheme	NCAR CCM3
Sea surface flux scheme	Zeng
Pressure gradient scheme	Hydrostatic recursion

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As in Fig.2,mainland China was divided into four regions (Huang 1989): (1) the northern region is the northern part of China with a monsoon climate. (2) The southern region is south of the Qinling-Huaihe River and east of the Tibetan Plateau. It faces southeast to the East China Sea and South China Sea, includes the middle and lower reaches of the Yangtze River, the southern coast and southwest provinces (cities and autonomous regions), and is the southern part of China with a monsoon climate. (3) The northwestern region is generally west of the Great Khingan Range and north of the Great Wall and Kunlun-Altun Mountains. It embraces the non-monsoon climate portions of Inner Mongolia, Xinjiang, Ningxia and northwestern Gansu. (4) The Tibetan Plateau.



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Fig 2. Four natural regions in mainland China

185 **3 Data**

186 3.1 Observation data of LST

187 Observed data of LST in mainland China were collected from the daily climate dataset of
188 Chinese ground international exchange stations compiled by the China Meteorological
189 Administration. The dataset contains daily data of meteorological elements from China reference
190 and basic meteorological stations since January 1951. During dataset construction, repeated
191 quality detection and control measures were applied to the observation data, thereby correcting a
192 large number of erroneous data. Furthermore, digitized missing data were entered, suspicious
193 and erroneous data found were manually verified and corrected, and quality control codes were
194 labeled for all element data. These steps substantially improved data quality. To ensure data
195 reliability, we used daily observed LST data from the 30-year study period (January 1, 1988 to
196 December 31, 2017).

197 3.2 Reanalysis data of ERA-Interim and ERA-Interim/Land

198 ERA-Interim is the latest global atmospheric reanalysis dataset from the European Centre for
199 Medium-Range Weather Forecasts (ECMWF). Using advanced 4Dvar variational assimilation
200 system Cy31r2, ERA-Interim assimilates satellite brightness temperature, scatterometer, satellite
201 inversion of the atmospheric motion state, GPS occultation, satellite inversion of ozone, and
202 conventional observations. It is one of the highest-quality reanalysis datasets in the world. ERA-
203 Interim/Land is a reanalysis dataset of atmospheric forcing fields using the ECMWF land surface
204 model, Hydrology Tiled ECMWF Scheme for Surface Exchange over Land, and ERA-Interim.
205 Global Precipitation Climatology Project version 2.1 was used as the reanalysis dataset of land
206 surface parameters, generated after precipitation adjustment. ERA-Interim/Land provides
207 comprehensive and consistent estimates of the global water resource and is used to initialize
208 numerical weather forecasting and climate models (Balsamo et al. 2015; Albergel et al. 2013).

209 **4 Results**

210 4.1 Simulation of CLM4.5 for LST

211 4.1.1 Bias

212 The analysis of annual average LST in mainland China showed that it decreased gradually from
213 the southeast coast to interior northwest. The annual average LST of the southeast coast was >
214 20°C and that between the Yangtze and Yellow rivers was about 15°C. That of most areas in
215 North China was 5–10°C. LST of the Tibetan Plateau was the coolest, with most areas < 5°C.
216 LST from northern Xinjiang to the southern Xinjiang Basin increased from 10°C to 15°C (Fig.
217 3a). CLM4.5 showed favorable simulation performance in the spatial variation of annual average
218 LST in China. The LST decrease from the southeast coast to interior northwest was accurately
219 simulated (Fig. 3b). However, simulated values were clearly smaller than observed, which most
220 regions had a cold bias > 2°C. Specifically, there was a cold bias of ~2–4°C east of 105°E, 6–
221 8°C west of 105°E, and 4–6°C in other regions (Fig. 3b).

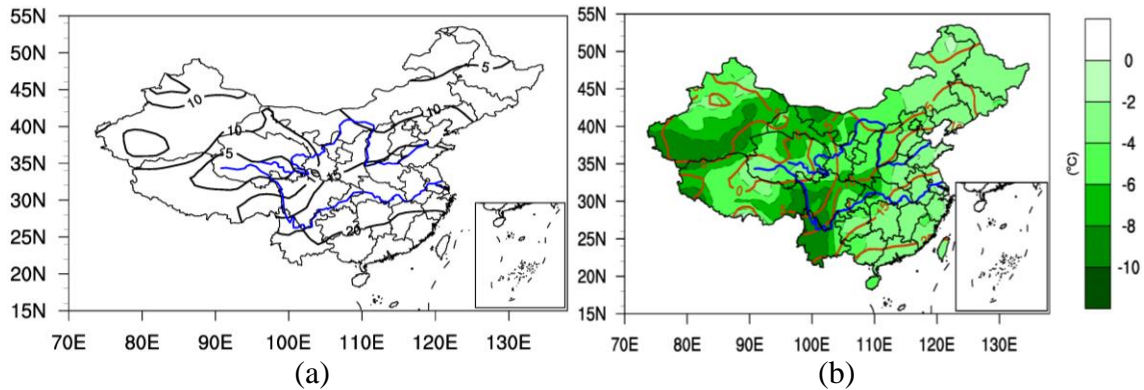


Fig 3. The observed and simulated LST and the bias: (a) observation; (b) simulation; (c) bias; unit: °C.

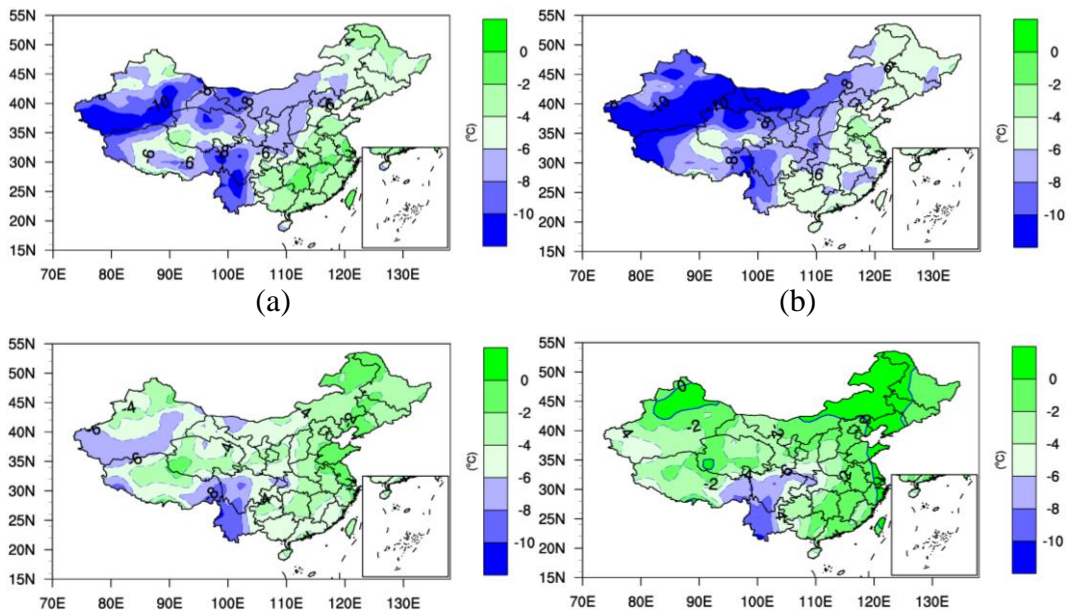
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225 The simulations of average LST in the four seasons were very similar to the observed, with a
226 decreasing trend from southeast to northwest. CLM4.5 showed good simulation performance for
227 this spatial distribution, but the bias varied greatly seasonally (data not shown). In spring, the
228 bias was smallest in southern China. There, a cold bias of 2–4°C was most common, with some
229 areas having a cold bias of 0–2°C. There was a cold bias of 6–8°C in most of northern and
230 northwestern China and the Tibetan Plateau (Fig. 4a). In summer, except for some parts of
231 southwestern and southern China where there was a cold bias of 4–6°C, bias in other regions was
232 large (> 6°C). The Tibetan Plateau had a cold bias of > 8°C (Fig. 4b). In autumn, there was a
233 cold bias of 2–4°C east of 105°E, 6–8°C west of that meridian, and 4–6°C in North China and
234 some of the plateau (Fig. 4c). In winter, the simulated bias of all regions in China decreased
235 considerably. Except for the simulated bias (~6°C) in southwestern China, that in most regions
236 was < 4°C (Fig. 4d).

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238 Analyzing the climatic background, summer and autumn are the principal rainy seasons in China
239 because they are strongly affected by the East Asian summer monsoon. Precipitation in most of
240 mainland China was heavy, which increased soil moisture. Winter and spring were controlled by
241 a single westerly circulation system. Precipitation was weak and soil moisture decreased in most
242 of the mainland. This indicates that the bias was closely related to soil moisture.

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(c)

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Fig 4. Bias for (a) spring, (b) summer, (c) autumn, and (d) winter; unit: °C

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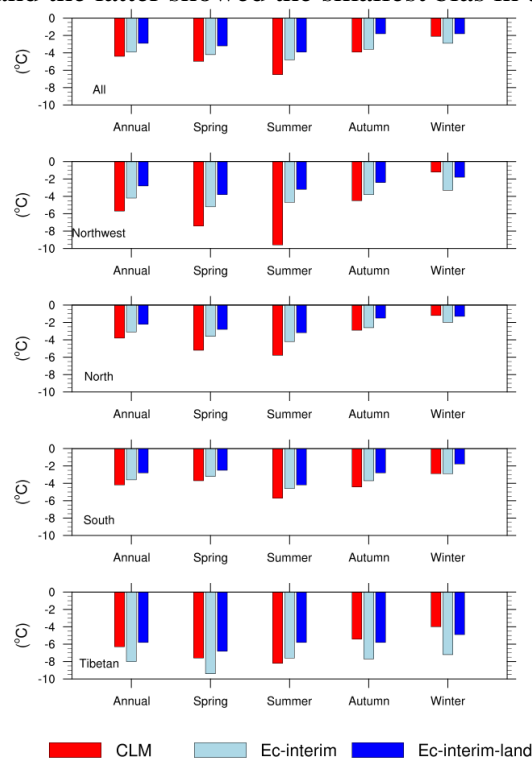
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There were clear regional differences and seasonal variations (Fig. 5) in the bias of CLM4.5 for LST. For the regional difference, bias was small in the southern and northern regions, with an average annual cold bias of $\sim 4^{\circ}\text{C}$. Bias in the northwestern region was large, with an average annual cold bias of $\sim 5.5^{\circ}\text{C}$. Bias of the Tibetan Plateau was the greatest, with an average annual cold bias of $\sim 6^{\circ}\text{C}$. For seasonal variation, the plateau region had the largest bias in spring. Summer bias was maximum in other regions. Summer cold bias in the northwestern region was $\sim 9^{\circ}\text{C}$, and that in the northern and southern regions was $\sim 5^{\circ}\text{C}$. In winter, the bias was small in all regions. The cold bias in the northwestern and northern regions was $\sim 2^{\circ}\text{C}$. The cold bias in the plateau region was maximum ($\sim 4^{\circ}\text{C}$). ERA-Interim and ERA-Interim/Land exhibited bias similar to that of CLM. ERA-Interim/Land had the smallest bias. The bias of ERA-Interim was similar to that of CLM4.5, and the latter showed the smallest bias in the Tibetan Plateau region.



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Fig 5. Bias in (a) all areas, (b) northwest, (c) north, (d) south, and (e) Tibetan; unit: °C.

261 4.1.2 RMSE

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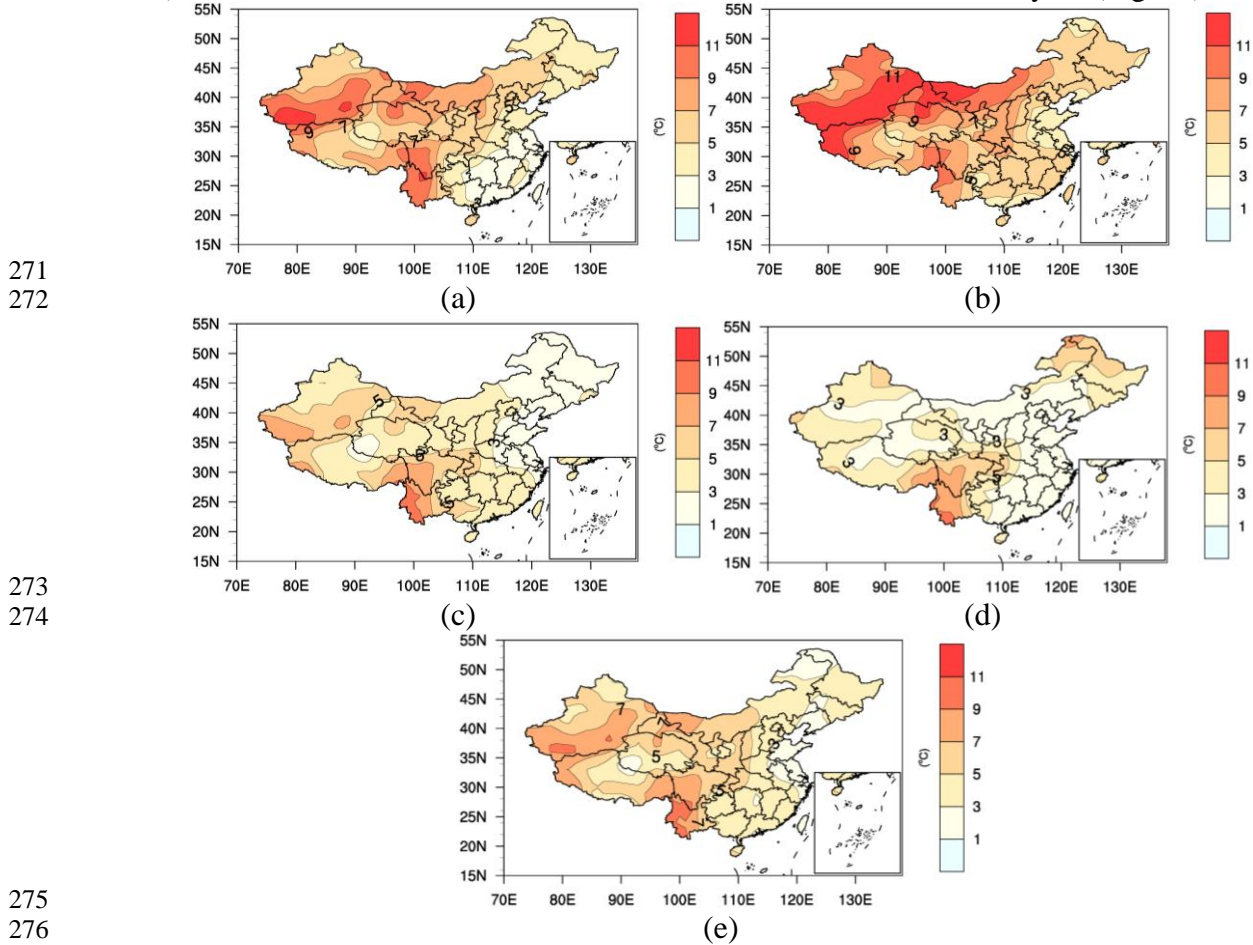
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The annual RMSE analysis showed that the RMSE in mainland China increased gradually from southeast to northwest. The RMSE of most of the southern regions was $1\text{--}3^{\circ}\text{C}$, with that of most of the northern regions at $5\text{--}7^{\circ}\text{C}$. That of the eastern part of the northwestern region was $7\text{--}9^{\circ}\text{C}$ and that of the southern Xinjiang Basin was $9\text{--}11^{\circ}\text{C}$. That of the plateau was $3\text{--}11^{\circ}\text{C}$ (Fig. 6a). In spring, the RMSE in China was relatively large. There was again a decrease from southeast to northwest. The RMSE of most of the southern regions was $< 5^{\circ}\text{C}$, whereas that of other regions was $9\text{--}11^{\circ}\text{C}$ (Fig. 6b). Summer and autumn had the minimum RMSE among the four seasons.

269 Except for the plateau region where the RMSE was 9–11°C, Chinese regions had 3–5°C (Fig. 6c
 270 and d). The RMSE distribution in winter was similar to that of the entire year (Fig. 6e).



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277 **Fig 6.** RMSE in (a) all year, (b) spring, (c) summer, (d) autumn, and (e) winter, unit: °C.

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279 The RMSE of CLM4.5 also showed clear regional differences and seasonal variations.
 280 Regarding the former, the RMSE in the northern and southern regions was small (< 4°C),
 281 followed by the northwestern region (4–5°C). That of the Tibetan Plateau was the largest (~7°C).
 282 For seasonal variation, the smallest RMSE was observed in summer and autumn, with the largest
 283 in winter and spring. The RMSE of CLM4.5 was slightly smaller than that of ERA-Interim, with
 284 ERA-Interim/Land having the minimum RMSE(Fig.7).

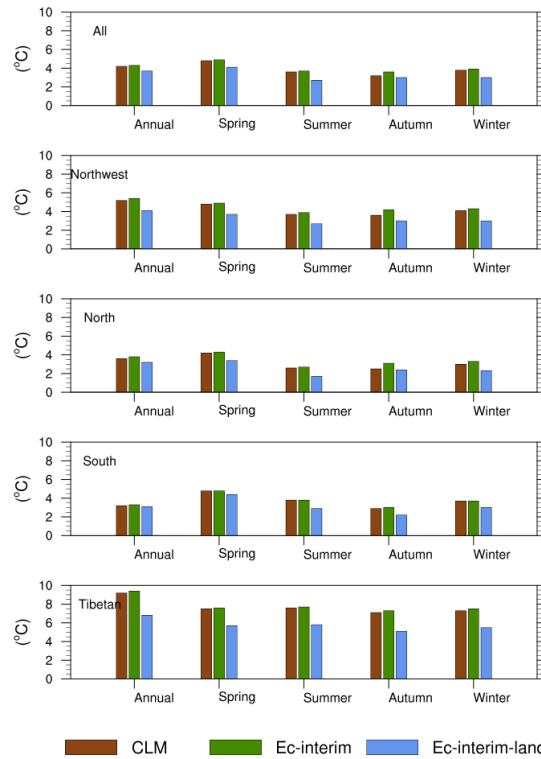


Fig 7. RMSE in different seasons and areas, unit: °C.

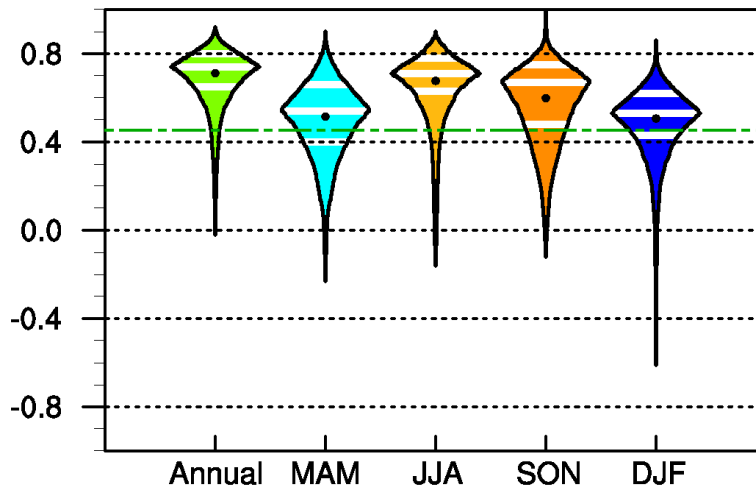
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288 4.1.3 Simulation of LST Change

289 1) Correlation

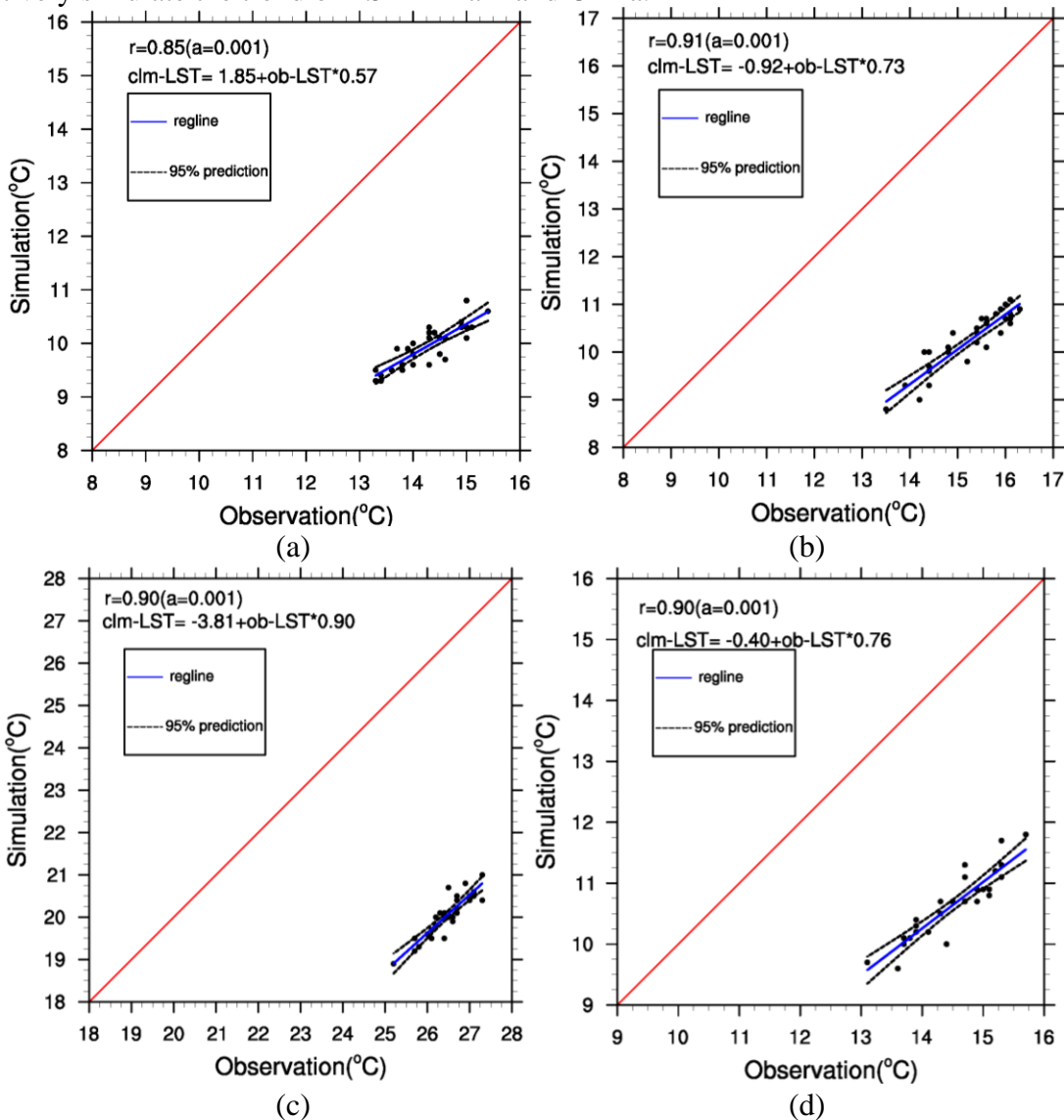
290 Correlation coefficients between simulation and observation LST at all stations in China were
 291 between 0.75 and 0.9 ($P < 0.001$). The largest correlation coefficient was observed in summer,
 292 between 0.70 and 0.85 ($P < 0.001$). The next largest correlation coefficient was in autumn(0.5–
 293 0.75, $P < 0.001$). The results in spring are similar to those in autumn. and its maximum
 294 correlation coefficient was 0.80 ($P < 0.001$). The coefficient was between 0.35 and 0.65 in winter
 295 ($P < 0.05$), respectively (Fig. 8).



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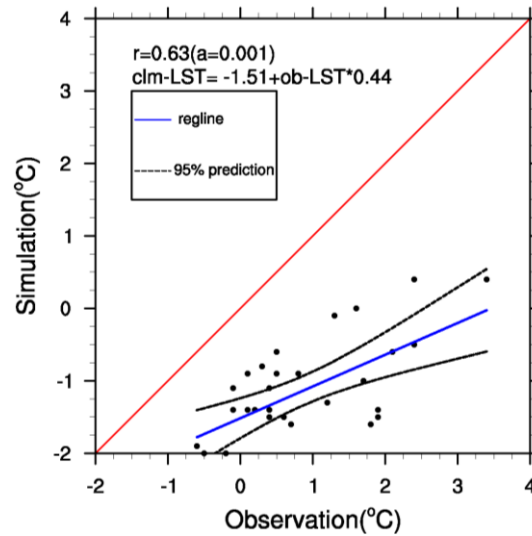
Fig 8. Correlation between observed and simulated LST. The green line showed that the correlation coefficient reached the significance level of $\alpha = 0.01$.

299 Regression of the regional average LST simulated by CLM4.5 with the observed showed that
 300 annual and seasonal predictions were linearly correlated with observed values. Except for the
 301 wide prediction area for winter, the 95% prediction interval for each season was narrow and the
 302 95% confidence level was reached at most points. In addition, the LST simulated by CLM4.5
 303 was $\sim 4\text{--}5^\circ\text{C}$ lower than observed (Fig. 9a–e). The above analysis shows that CLM4.5 could
 304 effectively simulate the trend of LST in mainland China.



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(e)

Fig 9. Correlation and linear regression between observed and simulated LST. (a) regression of regional annual average LST; (b) regression of regional annual spring LST; (c) regression of regional summer average LST; (d) regression of regional autumn average LST; (e) regression of regional winter average LST.

2) Trends

The Theil-Sen (Lavagnini et al. 2011) method was used to analyze the trend of annual average LST in China over the past 30 years. The results show that this temperature increased at a rate of $0.58^{\circ}\text{C}/\text{decade}$ over that period. The plateau and northwestern regions had the maximum increases (0.77 and $0.71^{\circ}\text{C}/\text{decade}$ respectively), while the northern region had little change ($0.33^{\circ}\text{C}/\text{decade}$). LST simulated by CLM4.5 also increased, but the increase was less than observed. In spring, the rate of increase of LST in China was $0.77^{\circ}\text{C}/\text{decade}$, with that in the plateau region having the maximum increase ($1.33^{\circ}\text{C}/\text{decade}$), and other regions showing small increases. CLM successfully simulated the increasing trend of LST in all regions during spring, but the increase was smaller than observed. Notably, the rapid temperature increase in the plateau region was not reproduced by the simulation. In summer, the LST in all of mainland China increased fastest, at $0.94^{\circ}\text{C}/\text{decade}$. However, the value simulated by CLM was $0.72^{\circ}\text{C}/\text{decade}$ smaller than observed. The plateau temperature also increased sharply, at $1.33^{\circ}\text{C}/\text{decade}$, with the simulated value $1.48^{\circ}\text{C}/\text{a}$ smaller than observed. Similar to spring and summer, the trend of LST increase in autumn and winter was accurately simulated, but the simulated increase was smaller than observed (Table 2).

Table 2. Simulation of trend in land surface temperature of mainland China in recent 30 years by CLM4.5 (unit: $^{\circ}\text{C}/\text{decade}$), entire year and seasonally

Period	Product	Entire country	Northwestern	Northern	Southern	Plateau
Year	Ob	0.58	0.71	0.33	0.50	0.77
	CLM4.5	0.29	0.44	0.36	0.25	0.00
	CLM4.5-Ob	-0.29	-0.27	0.03	-0.25	-0.77
Spring	Ob	0.77	0.8	0.50	0.50	1.33
	CLM4.5	0.39	0.53	0.43	0.28	0.10
	CLM4.5-Ob	-0.38	-0.27	-0.07	-0.22	-1.23
Summer	Ob	0.94	1.00	0.74	0.71	1.33
	CLM4.5	0.22	0.47	0.50	0.17	-0.15
	CLM4.5-Ob	-0.72	-0.53	-0.24	-0.54	-1.48

	Ob	0.37	0.62	0.16	0.42	0.29
Autumn	CLM4.5	0.31	0.50	0.27	0.37	0.06
	CLM4.5-Ob	-0.06	-0.12	0.11	-0.05	-0.23
	Ob	0.67	0.68	0.45	0.67	0.88
Winter	CLM4.5	0.25	0.20	0.39	0.31	0.20
	CLM4.5-Ob	-0.42	-0.48	-0.06	-0.36	-0.68

336

337 Comparison of the simulated trend of the three LST products (Table 3) shows that their
 338 variations were all smaller than observed. CLM4.5 showed a performance similar to ERA-
 339 Interim/Land for annual average variation. Among the regions, the simulated variation of the
 340 plateau was the most different from observation, whereas that of the northern region was the
 341 best. The simulated variations in the northwestern and southern regions were similar. Seasonally,
 342 the simulated variation in autumn was closest to observation, and those in spring and winter were
 343 also close. The difference between simulation and observation in summer was the largest.

344

Table 3. Simulation of trend in land surface temperature of mainland China in recent 30 years by CLM4.5

345

(unit: °C/decade), entire year and seasonally

Period	Product	Entire country	Northwestern	Northern	Southern	Plateau
Annual	Interim-ob	-0.4	-0.36	-0.1	-0.3	-0.86
	Interim/Land-ob	-0.31	-0.15	-0.04	-0.25	-0.77
MAM	Interim-ob	-0.57	-0.35	-0.17	-0.38	-1.44
	Interim/Land-ob	-0.57	-0.16	-0.13	-0.36	-1.66
JJA	Interim-ob	-0.85	-0.69	-0.36	-0.71	-1.66
	Interim/Land-ob	-0.81	-0.5	-0.14	-0.71	-2.08
SON	Interim-ob	-0.13	-0.2	0	-0.09	-0.21
	Interim/Land-ob	0.03	0.05	-0.02	-0.02	0.11
DJF	Interim-ob	-0.53	-0.54	-0.26	-0.56	-0.8
	Interim/Land-ob	-0.34	-0.08	-0.34	-0.62	-0.35

346

347 The Taylor (2001) diagram provides a visual framework for comparing a set of variables from
 348 one or more test datasets to one or more reference datasets. In the present work, the diagram was
 349 used to comprehensively assess the performance of the three types of simulations of LST in
 350 mainland China (Fig. 10). The results show that CLM4.5 was better than the other two types of
 351 simulations in winter and the full year (blue solid circles closer to the REF), with its performance
 352 intermediate to those of the other two products in the other seasons.

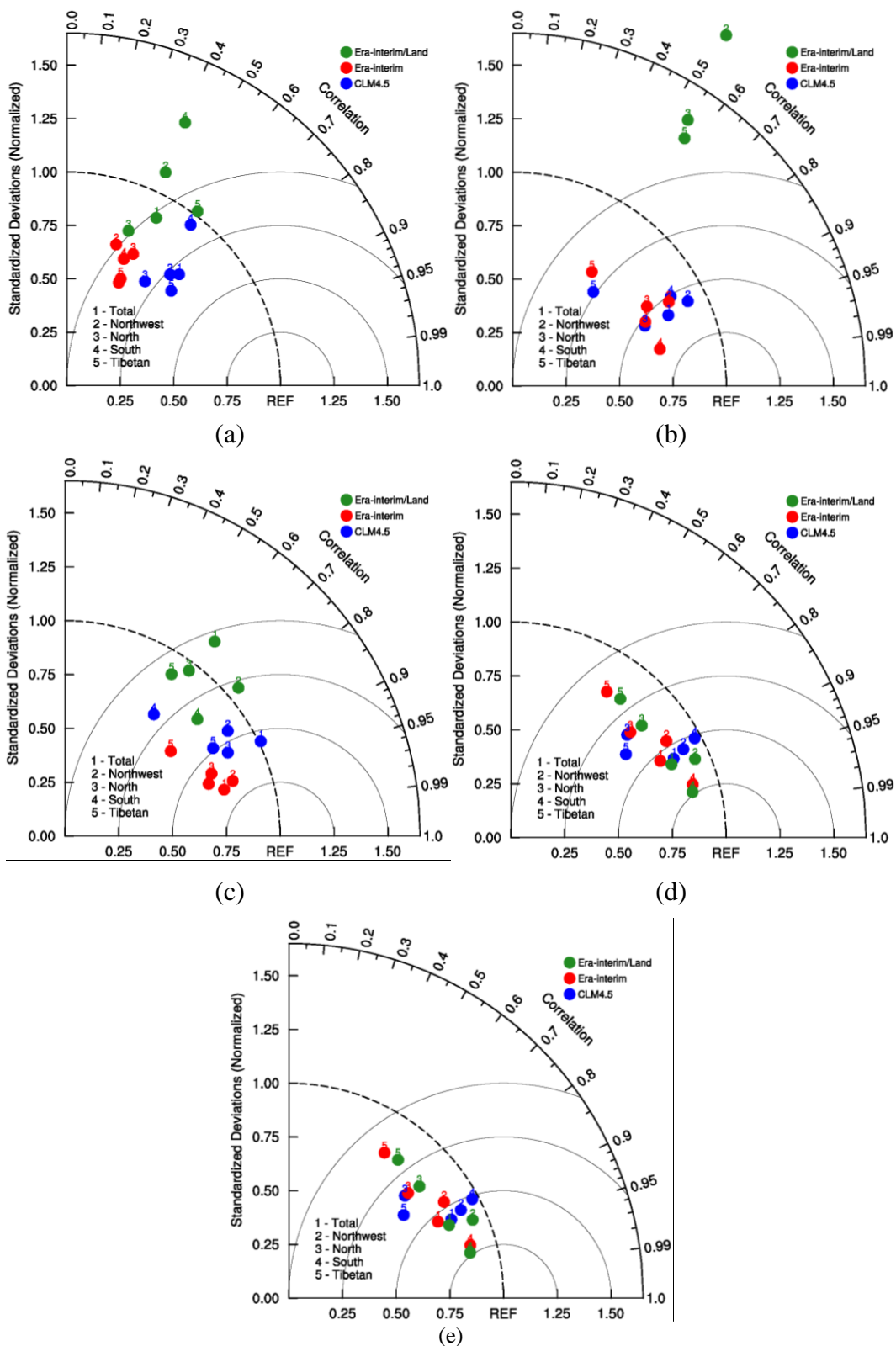


Fig 10. Taylor diagrams of CLM45, EC-interim, and EC-interim/Land

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4.2 Improvement of LST simulation

395 Although CLM4.5 effectively simulated the spatial distribution of LST in the region, there was a
 396 cold bias of 4.5°C for all of mainland China. The simulated LSTs were about 2–4°C cooler than
 397 observed east of 105°E, and 6–8°C cooler west of 105°E. Bias of the Tibetan Plateau was
 398 maximum among the regions, with an annual average cold bias of ~6°C. Maybe the model of the
 399 thermal conductivity in CLM4.5 is the main reason. To study the effect of STC (λ) on the
 400 simulation of LST, two long-term (30-year) simulation tests were conducted for two schemes. The
 401 results shows that the LST in most of northern China simulated by the Côté-Konrad scheme was
 402 increased by 0.5–1.0°C over that of the Johansen scheme, whereas that temperature in most other
 403 regions was reduced by 0–0.5°C (Fig. 11a). In the Lu-Ren scheme, LST was increased by 0.5–
 404 1.0°C over that of Johansen scheme in most regions except the Tibetan Plateau and Guangdong
 405 and Guangxi regions, where that temperature was reduced by 0–0.5°C. LST in most of northern
 406 China was increased by 1–1.5°C, with the increase in some areas reaching 3°C (Fig. 11b).

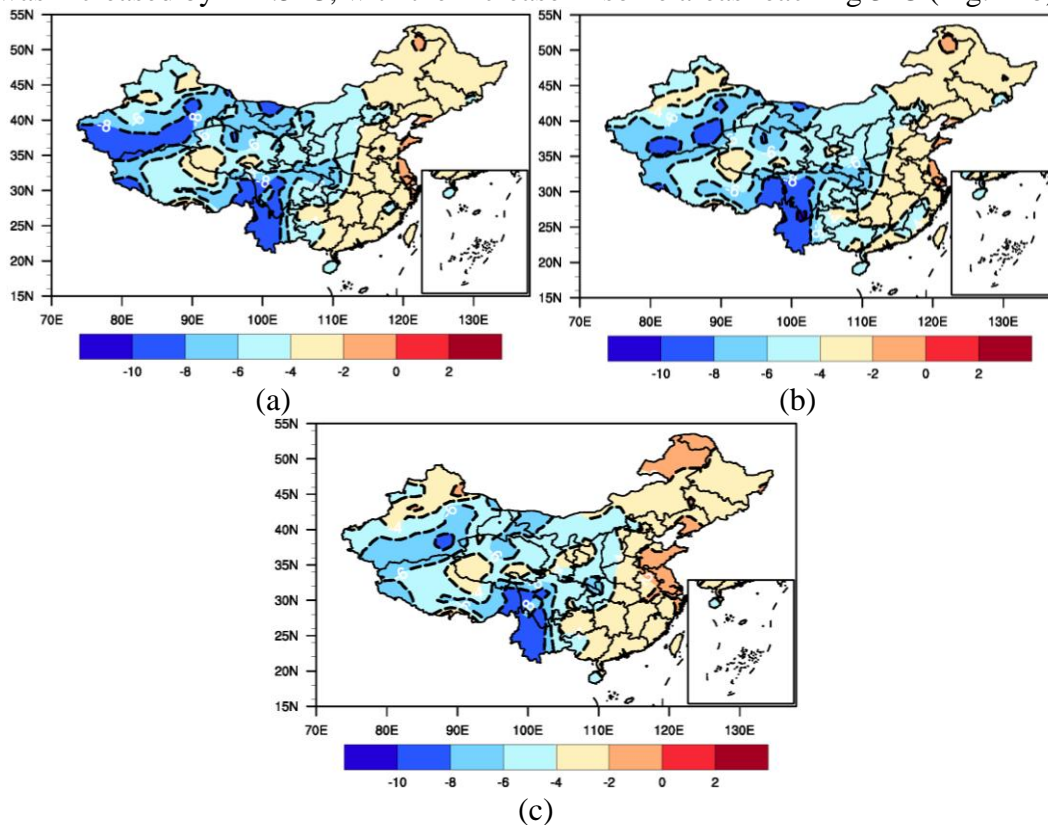
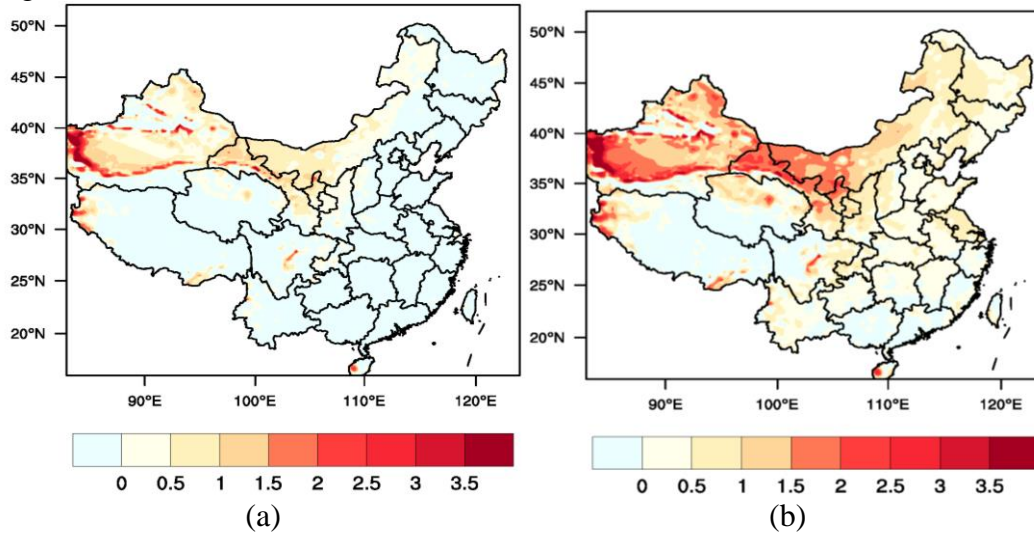


Fig 11. Bias of LST values simulated by the Côté-Konrad (2005) and Lu-Ren (2006) schemes from the values simulated by the Johansen (1975) scheme; unit: °C.

414 According to the bias of the three schemes, there is little difference between the Johansen and the
 415 Côté-Konrad scheme (Fig. 12a). While the Lu-Ren scheme can significantly reduce the cold
 416 deviation in most regions. Therefore, it is more suitable for Chinese mainland LST
 417 simulation (Fig. 12b).

418
 419 On the other hand, the bias in summer and autumn was greater than in other seasons. Because of
 420 the heavy rainfall, the soil moisture in these two seasons is higher than that in other periods.
 421 While the soil moisture change had an important effect on the simulation of LST, especially in
 422 arid and semiarid areas of northern China, where evaporation at the soil surface is intense.
 423 However, the isothermal model used in CLM4.5 did not consider the effect of soil moisture

424 change on soil temperature, maybe resulting in a large simulated bias in northern and
 425 northwestern regions with low soil moisture and large soil moisture variation. Therefore,
 426 developing a new λ calculation scheme and considering the role of water vapor in the calculation
 427 model of soil temperature are effective means for improving the performance of the model in
 428 simulating LST in mainland China.



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Fig 12. Bias of LST values simulated by the Côté-Konrad (2005) and Lu-Ren (2006) schemes, unit: °C.

433 5 Conclusions

434 We ran the CLM4.5 in a land–atmosphere coupling approach. And the longest and latest
 435 observed LST dataset for mainland China was used for the first time to comprehensive assess
 436 LST in that region as simulated by CLM4.5. The results show that there are systematic cold
 437 deviations in the simulation of land surface temperature in mainland China by CLM4.5. The
 438 RMSE in mainland China increased gradually from southeast to northwest, with the smallest
 439 value in the south (1–3°C) and largest in the southern Xinjiang Basin (9–11°C). Summer and
 440 autumn had the smallest RMSEs for each region in a year. Correlation coefficients between
 441 simulation and observation for all stations in China were between 0.75 and 0.9 ($P < 0.001$). The
 442 strongest correlation was observed in summer, with the correlation coefficient from 0.70 to 0.85
 443 ($P < 0.001$). In winter, that coefficient was the smallest, 0.35 to 0.65 ($P < 0.05$). As a result, the
 444 observed annual and seasonal average LSTs in mainland China had strong linear relationships
 445 with those simulated by CLM4.5. In the past 30 years, the LST of mainland China increased at
 446 the rate 0.058°C/a, with that of the plateau and northwestern regions increasing fastest (0.077
 447 and 0.071°C/a, respectively) and that in the northern region changing the least (0.033°C/a). The
 448 LST simulated by CLM4.5 also increased, but that increase was smaller than observed.

449

450 The STC sensitivity numerical tests show that STC had a major influence on the reduction of
 451 simulated LST. However, the simulated cold bias from the Lu-Ren scheme remained large, and
 452 there was an increasing trend of the bias for the Tibetan Plateau. In addition, the bias in summer
 453 and autumn was greater than in other seasons, which shows that soil moisture change had an
 454 important effect on the simulation of LST, especially in arid and semiarid areas of northern
 455 China, where evaporation at the soil surface is intense. However, the isothermal model used in
 456 CLM4.5 did not consider the effect of soil moisture change on soil temperature, resulting in a

457 large simulated bias in northern and northwestern regions with low soil moisture and large soil
 458 moisture variation. Therefore, developing a new λ calculation scheme and considering the role of
 459 water vapor in the calculation model of soil temperature are effective means for improving the
 460 performance of the model in simulating LST in mainland China.

461
 462 Overall, although CLM4.5 can effectively simulated the spatial distribution and the variation of
 463 LST in the region.there exists larger cold bias for all of mainland China. The introduction of soil
 464 thermal conductivity model considering soil moisture may reduce the simulation deviation.

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- 471 • Climate data were downloaded from <http://data.cma.cn/user/toLogin.html>, ERA-5 data
 472 were download from <https://software.ecmwf.int/wiki/display/WEBAPI/>.

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