GLOBAL COVID-19 TRANSMISSION AND MORTALITY -INFLUENCE OF HUMAN DEVELOPMENT, CLIMATE AND CLIMATE CHANGE ON EARLY PHASE OF THE PANDEMIC.

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Abstract

Many of the respiratory pathogens show seasonal patterns and association with environmental factors. In this article, we conducted a cross-sectional analysis of the influence of environmental factors, including climate change along with development indicators on the differential global spread and fatality of COVID-19 during its early phase. We used the published COVID-19 data by the WHO for April. Global climate data we used are monthly averaged gridded datasets of Temperature, Humidity and Temperature Anomaly. We used the HDI to account for all other socioeconomic factors that can affect the disease spread and mortality and build a negative binomial regression model. The temperature has a negative association with COVID-19 mortality. However, HDI is shown to confound the effect of temperature on the reporting of the disease. Temperature anomaly, which is being regarded as a global warming indicator, is positively associated with the pandemic's spread and mortality. Viewing newer infectious diseases like SARS-CoV-2 in the perspective of climate change has a lot of public health implications, and it necessitates further research.

1 **TITLE:**

- 2 GLOBAL COVID-19 TRANSMISSION AND MORTALITY INFLUENCE OF HUMAN
- 3 DEVELOPMENT, CLIMATE AND CLIMATE CHANGE ON EARLY PHASE OF THE
- 4 PANDEMIC.
- 5

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55		Key points
56	•	Temperature is associated with COVID-19 mortality, though the relationship is weak.
57	•	Human & National development has a significant influence on case detection and
58		reporting of COVID-19, hence can confound the effect of environmental variables.
59	•	Climate change has a significant association with COVID-19 transmission and
60		mortality.
61	•	Specific humidity does not affect COVID-19 transmission and mortality.
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ABSTRACT

75	Many of the respiratory pathogens show seasonal patterns and association with
76	environmental factors. In this article, we conducted a cross-sectional analysis of the influence
77	of environmental factors, including climate change along with development indicators on the
78	differential global spread and fatality of COVID-19 during its early phase. We used the
79	published COVID-19 data by the WHO for April. Global climate data we used are monthly
80	averaged gridded datasets of Temperature, Humidity and Temperature Anomaly. We used the
81	HDI to account for all other socioeconomic factors that can affect the disease spread and
82	mortality and build a negative binomial regression model. The temperature has a negative
83	association with COVID-19 mortality. However, HDI is shown to confound the effect of
84	temperature on the reporting of the disease. Temperature anomaly, which is being regarded as
85	a global warming indicator, is positively associated with the pandemic's spread and mortality.
86	Viewing newer infectious diseases like SARS-CoV-2 in the perspective of climate change
87	has a lot of public health implications, and it necessitates further research.
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94	KEYWORDS:
95	COVID-19, Environmental factors, Climate change, Temperature, Humidity, Temperature
96	anomaly, Human Development Index
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99	Abbreviations
100	SAT: Surface Air Temperature, SH: Specific humidity, SATAn: Surface Air Temperature
101	Anomaly, GLM: Generalized Linear Modelling, K: Kelvin
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<u>1.</u> INTRODUCTION:

112	Since its origin in Wuhan, Hubei province, China, the coronavirus disease has spread to more
113	than a hundred and fifty countries across the globe, with a case-fatality ratio of above seven
114	per cent in April, globally. Countries that were known to have a robust health system are now
115	facing several hurdles not only in containing the disease but also in saving their affected
116	population. Although the same pathogen has affected all these countries, there exists a
117	significant variation in the pattern and magnitude of spread, the proportion of patients who
118	require critical care, and fatality among the confirmed cases. This difference can be attributed
119	mostly to the unlikeness among characteristics of either the host or the environment or both,
120	which include general health and well-being of the population, dissimilarities in
121	demographic, environmental & socio-political factors.
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123	Environmental factors are well known to influence infectious disease epidemiology. In
124	diseases of respiratory origin, low temperature, and dry weather favour the survival of
125	droplets and promote rapid transmission (Davis et al., 2016; Yang & Marr, 2012; Mäkinen et
126	al., 2009). Studies have also found that the absolute and relative humidity can modulate
127	influenza virus transmission, survival, and seasonality (Lowen et al., 2007; Shaman & Kohn,
128	2009). The perceivable relationship between environmental factors and several infectious
129	diseases has also shifted our attention to the problem of climate change. The temperature has
130	been rising on a global perspective, with an accelerated soar of 0.18°C/decade in the past 30

131	years (Climate Change: Global Temperature NOAA Climate.Gov, n.d.; Doc_num.Pdf, n.d
132	a). The global warming can adversely affect all fields of life; hampered food production,
133	increased occurrences of natural calamities, economic setbacks, impact on key and iconic
134	ecosystems and emerging & re-emerging infectious diseases being only a few among them.
135	WHO had warned the governments that climate change is likely to cause approximately 2.5
136	Lakhs additional deaths per year, on account of infectious causes and heat stroke. Parameters
137	like monthly surface air temperature anomaly, global annual average temperature anomalies,
138	and global temperature trends are widely being used as indicators of climate change
139	(Doc_num.Pdf, n.db; WMO, n.d.). Research papers which discusses the prospects of
140	infectious disease burden to increase with climate change are many. We found previous
141	studies that identify regions with risk of ENSO, a large-scale ocean surface temperature
142	anomaly related phenomenon, associated with infectious diseases(Fisman et al., 2016; WHO
143	El Niño Southern Oscillation (ENSO) and Health, n.d.). Climate anomalies, apparently have
144	an effect on the occurrence of outbreaks of diseases like dengue, chikungunya, zika, rift
145	valley fever, cholera & plague(Kovats et al., 2003; Patz et al., 1996; Redding et al., 2017).
146	Hence it is essential to look for any possible association of Covid19 with climatic factors,
147	whatever the result is, and have many public health implications. We used the monthly
148	Surface air temperature anomaly (SATAn) as an indicator of climate change.
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Besides all these environmental and climatic variegations, there exist dissimilarities in social 150 factors, economic well-being and national development across the globe which can determine 151 the capacity for case detection, voluntary endorsement of preventive like self-quarantining 152 and self-reporting to the health system and the travel and contact patterns of the citizens. 153 Human Development Index (HDI) is a comprehensive measure of development, used to 154 compare countries worldwide. It gave weightage on three basic dimensions of human 155 development, viz Life expectancy at birth, mean years of schooling and expected years of 156 schooling, and the gross national income per capita. All these factors can have a significant 157 stake in the occurrence and reporting of COVID-19 cases. The proportion of people in older 158 age segments will be higher in countries with high HDI and hence the impact of COVID-19. 159 160 Similarly, if more and more people are knowledgeable and aware of the pandemic, the reporting will be higher. The knowledge about ways of spreading and strategies to prevent it 161 will help the communities contain the outbreak. The factors contributing to the spread of the 162 pandemic, like international travel, will be more in countries with higher income. In contrast, 163 164 scarcity of personal protective equipment can be a threat to low-income countries. The ability of a country to purchase test kits can limit the reporting of COVID-19. All the factors 165 explained above should be considered while studying the environmental impact on the 166 disease's spread. So in the current analysis, we believe the HDI of the country as the 167 surrogate measure of all confounders as mentioned heretofore. The study aims to assess the 168 impact of country-specific environmental factors like atmosphere temperature, humidity, and 169

the anomaly of air temperature on reported COVID-19 cases and deaths during the early
phase of the pandemic, in countries with significant coronavirus disease transmission.

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<u>2.</u> MATERIAL AND METHODS:

The effect of externalities, like meteorological and development factors in the transmission 174 of SARS-CoV2, was explored in our cross-sectional analysis. By April 2020, the pandemic 175 had reached most of the world. We assumed that country-specific disease control strategies 176 have not yet been undertaken widely, since the communities are nascent to the disease. Hence 177 we decided to use the data pertaining to April in our analysis. Our outcome variables are the 178 number of reported cases of and confirmed deaths due to COVID-19 in April 2020. We used 179 the WHO's COVID-19 data and included all countries with a minimum reported caseload of 180 ten coronavirus disease cases in April. We adjusted the number of reported cases and deaths 181 to the country's population. For demographic & developmental characteristics, we resorted to 182 the UNDP & world bank database. 183

184

Our environmental explanatory variables are the monthly averaged values of Surface Air
Temperature (SAT), Specific Humidity (SH), and Surface Air Temperature anomaly
(SATAn) for every country reported in this study. The relative humidity is highly dependent
on atmospheric temperature and can vary considerably among the indoor and outdoor
environment. Absolute humidity is the fraction of water vapour over the air volume, therefore

190	relies on the atmospheric temperature as the air volume changes with temperature. To avoid
191	much of the collinearity between humidity and temperature, we used the specific humidity in
192	our analysis. The SATAn is the difference between the observed temperature of a region and
193	its long-term average reference value. A positive anomaly indicates that the measured
194	temperature is warmer than predicted. In contrast, a negative anomaly means the actual
195	temperature is less than the expected.
196	
197	We extracted the explanatory environmental variables using a gridded product dataset,
198	simulated from the Noah 3.6.1 model in the Famine Earth Warning Systems Network Land
199	Data Assimilation System, available from the National Aeronautics and Space
200	Administration Goddard Earth Sciences Data and Information Systems – Giovanni Version
201	4.34 (GES DISC Dataset: FLDAS Noah Land Surface Model L4 Global Monthly Anomaly
202	0.1 x 0.1 Degree (MERRA-2 and CHIRPS) (FLDAS_NOAH01_C_GL_MA 001), n.d.). The
203	data range from January 1982 to present with global spatial coverage (60S, 180W, 90N,
204	180E) and 0.10-degree resolution. The LDAS systems (NLDAS and FLDAS) use optimal
205	inputs to produce estimates of water balance and energy balance. We used the global SAT
206	and SH data set, averaged for April, simulated from the FLDAS_Noah Land Surface Model
207	L4 (Fig: 1). The monthly averaged SATan for April, simulated from the same Noah 3.6.1
208	model in the FLDAS system, describes how the month compares to the 35-year monthly
209	climatology from 1982 to 2016, based on monthly data (Fig: 1). We imported the gridded

210	data set into a GIS platform (QGIS Desktop 3.12.0) and then randomly plotted fourteen lakhs
211	of points across the model. This procedure ensures representation from every 100 - 110Km2
212	of land area and can account the variabilities present inside a country. We averaged all
213	random data points at the country level, which is the unit of our analysis to arrive at the
214	nation wise mean and standard deviation of all our explanatory variables. The HDI was used
215	in the analysis to adjust confounders reported elsewhere in this article. We used the HDI
216	ranking 2018 and extracted the data from the UNDP's web portal (Human Development Data
217	(1990-2018) Human Development Reports, n.d.).

Descriptive statistical analyses performed at the baseline. Correlation between the
explanatory variables and population-adjusted number of cases and deaths were studied. We
used generalized linear modelling (GLM), negative binomial regression with system
estimated dispersion parameter to model the number of confirmed cases and deaths due to
coronavirus disease. We used IBM SPSS statistics (Trial version 26.0) and R (version 3.6.3)
to conduct all statistical analysis.



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Fig:1 – Monthly Averaged - Surface Air Temperature, Specific Humidity and Anomaly of Surface Air Temperature For April. FLDAS Model - Data source: National Aeronautics and Space Administration Goddard Earth Sciences Data and Information Systems – Giovanni Version 4.34

226 <u>3.</u> <u>RESULTS:</u>

227 Among the countries whose HDI data is available in the public domain, one hundred and 228 sixty-eight reported their COVID-19 statistics to WHO. An aggregate of 23,45,549 coronavirus cases and 1,83,313 deaths were reported in April 2020. One 229 hundred fifty-seven countries were eligible to be included in our analysis as they have a 230 reported caseload of ten or more. The population-adjusted cases and deaths yielded a highly 231 positively skewed- distribution. All the explanatory variables (SAT, SH and SATAn) show 232 233 significant (p = 0.00 for all correlations) bivariate correlation with the population-adjusted number of cases and deaths. SAT and SH negatively correlate with country-specific 234 population-adjusted COVID-19 cases and deaths, whereas the HDI values and SATAn show 235 a positive correlation. However, according to the multivariate analysis (GLM), the mean 236 237 SATAn and the HDI have a statistically significant association with both the COVID-19 caseload and deaths. The mean SAT has a significant association with the fatality, not with 238 the caseload. Humidity was associated neither to the caseload nor to the deaths 239 (Table: 1). The Incidence Risk Ratio (IRR) for SATAn is 1.31 (1.07, 1.62), and 1.75 (1.41, 240 2.16) for reported cases and confirmed deaths, respectively which translates into 310 (70, 241 620) additional cases for every 1000 reported cases and 750 (410, 1160) more deaths for 242 243 every 1000 confirmed deaths over one month for each 1K positive SATAn. Likewise, the IRR of SAT for confirmed deaths, 0.94 (0.90, 0.99) may be comprehended as 60 (100, 10) 244 fewer deaths per 1000 confirmed deaths for every 1K rise in mean SAT 245

Explanatory	Reported cases/m		Confirmed deaths/10m	
variables	Coefficient (95%CI)	Statistical	Coefficient (95%CI)	Statistical
		significance		significance
		(p-value)		(p-value)
SAT Mean	-0.03 (-0.06, 0.006)	0.108	-0.06 (-0.11, -0.02)	0.009
SH Mean	0.04 (-0.03, 0.10)	0.271	0.003 (-0.06, 0.07)	0.925
SATAn Mean	0.27 (0.07, 0.48)	0.010	0.56 (0.34, 0.77)	0.000
HDI	6.9 (5.3, 8.5)	0.000	7.90 (5.83, 9.97)	0.000

Table: 1 Results of GLM – Modelling Population adjusted number of reported

cases/deaths - Regression coefficient (95% confidence interval) and their statistical significances are shown in this table.

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248 **3.1 HDI**:

- 249 The impact of HDI on COVID-19 transmission and fatality is found substantial. An
- increment in the index by 0.01 can result in 71 (54, 89) more cases per 1000 cases and 82 (60,
- 251 105) more deaths per 1000 deaths over one month. HDI category-wise (UNDP
- categorization) distribution of cases and deaths is shown in Fig: 2. We did a univariate
- analysis of HDI on reported cases and deaths. There is only a single nation with an HDI of
- less than 0.8 in the top twenty countries with the highest number of cases per million. HDI

values show a reasonably good correlation with the number of reported cases and confirmed





Figure: 2. Distribution of cases and deaths among countries categorized based on

HDI - Violin plot showing the distribution of population-adjusted cases and deaths due to COVID-19. Countries were categorized according to UNDP classification based on the Human development index. Natural logarithm of cases and deaths is used for the plot.

259 **3.2 Surface air temperature:**

Even though correlated to the number of reported cases ($\rho = -0.48$), the mean SAT fails to elicit a statistically significant association in the presence of other environmental and development variables. In contrast, it elicits a protective effect in the model for COVID-19 deaths [IRR = 0.94 (0.90, 0.99)]. Most countries with a high caseload have lesser mean SAT and a higher HDI (Fig: 3). As most of the countries with a high HDI are located in temperate regions, and proportionately low HDI countries are in the tropical region, the higher incidence of cases in countries with cold climate is the impact of HDI.



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Figure: 3. Scatterplot of population-adjusted COVID-19 cases and SAT mean

showing the distribution of HDI. The scatterplot shows a negative correlation between mean

surface air temperature and the natural logarithm of population-adjusted COVID-19 cases. Almost all

270 of the countries with high caseload and low temperature have high HDI values.

271 **3.3 Temperature anomaly:**

Correlation plot of SATAn with population-adjusted caseload and fatality in COVID-19 portrays a statistically significant positive association for both outcomes (Fig:4). Based on the SATan data, we grouped the countries into two: those with a positive anomaly and a negative anomaly. A positive anomaly is associated with an IRR of 2.71 [(1.63, 4.50), (p = 0.00)] for cases and 5.15 [(2.76, 9.61), (p = 0.00)] for deaths when compared to a negative anomaly. No specific pattern of HDI or temperature couldn't we make out in the distribution of SATAn against reported cases or deaths in stratified analysis.

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Fig: 4. Scatterplot showing the distribution of SAT anomaly and COVID-19 Cases & deaths in natural logarithm - The scatterplot shows the population-adjusted number of

COVID-19 cases and deaths are increasing in countries with the increasing temperature anomaly.

281

4. DISCUSSION:

282	It is evident from this analysis that the overall social and economic development is a
283	powerful determinant of the reported morbidity and mortality due to SARS-Co-V-2 infection.
284	It may be due to either the better case detection & reporting efforts taken by these countries
285	or a higher rate of infection in these societies because of the higher degree of social
286	interactions among their citizens exhibiting a contact culture with very close interpersonal
287	distances.
288	
289	4.1 Temperature:
290	Many studies have analyzed and reported the impact of meteorological factors on the
291	transmission and fatality of respiratory viruses, including Covid19, the most commonly
292	studied being the influenza virus and RSV(Bloom-Feshbach et al., 2013; Shaman & Kohn,
293	2009). A Hong Kong-based study on SARS-CoV-1 proves that a higher risk of the SARS
294	epidemic is linked to a lower temperature(Lin et al., 2006). Thermal inactivation of viruses at
295	a higher temperature is a known fact(Polozov et al., 2008; Woese, 1960). Host innate
296	immunity and adaptive immunity can get impaired at a low temperature. (Abram et al., 2017;
297	Foxman et al., 2015; Kokolus et al., 2013). Even though a cold predominance hypothesis is
298	statistically proven, the closeness to the null value of the coefficient's confidence interval
299	may also recount that the effect of temperature on COVID-19 mortality compared to other
300	factors is relatively less.

301 **4.2 Humidity**

In addition to altering host viral defence mechanisms, humidity can affect the survival of 302 many viruses(Harper, 1961; Tang, 2009). A study conducted in the US reports that a drop in 303 absolute humidity precedes seasonal outbreaks of influenza(Shaman et al., 2010). Since we 304 used SH, which is the mass of water vapour over the mass of air, to avoid its dependency on 305 atmospheric temperature, the effect of water vapour content of air alone on disease 306 characteristics uninfluenced by atmospheric temperature, is modelled in our analysis. We 307 could not establish a significant association of specific humidity with COVID-19 308 transmission or mortality. 309 310 311 **4.3** Temperature anomaly Climate changes and its relation to infectious diseases have become an essential point of 312 debate for ecologists, climate scientists, and epidemiologists worldwide. This article is the 313 first of its kind that has studied the association between SATAn and COVID -19. Climate 314 315 extremes were believed as essential contributors to evolution. They are shown to cause genetic disquietudes in lower animals. Exposure to artificial heatwaves simulating global 316 warming could result in differed gene expressions(Bergmann et al., 2010). Rodri'guez-317 Trelles et al. demonstrated that the extent of the genetic anomaly was analogous with the 318 temperature anomalies(Rodríguez-Trelles et al., 2013). Roberts et al. studied the effect of 319 temperature on species susceptibility to RNA virus and found out that temperature may cause 320

321	host shifts for viruses(Roberts et al., 2018) and increases the susceptibility of more
322	susceptible species. Curtailing the likelihood of survival of an infectious agent, caused by a
323	reduction in biodiversity, changing phenology including the geographic expansion of living
324	organisms triggered by the changing climates, and ever-increasing human-wildlife
325	interactions may act as potentiating contributors for such a host-species jump. Many of the
326	emerging infectious diseases including Ebola, viral influenza, Nipah and the SARS-Cov are
327	considered to originate from an animal host. Novel approaches in this regard, like modelling
328	the likelihood of host - human spillover of Lassa virus infections attributes a large extent of
329	such events to the climate change(Redding et al., 2016). Changes in the agent and host
330	behaviours can result in unpredictable outcomes like epidemics and pandemics. We could
331	make out from our study, a positive association for SATAn with the number of confirmed
332	cases and deaths due to COVID-19 contrasted to the protective effect by Surface air
333	temperature on coronavirus mortality. We were not able to make out any specific pattern of
334	distribution of SAT in its anomaly. Hence we think, it is not unwise to assume that climate
335	change has diverse causal pathways on the differential spread of and mortality due to
336	COVID-19. The human costs and economic costs of emerging infectious events, being on the
337	rise point towards better reasoning of the present scenario.
338	

341 **4.4 HDI**:

Latitude specific spread of coronavirus disease pandemic across the temperate countries seem 342 to have followed the pattern of other respiratory infections. However, It is an observable fact 343 that temperate countries where the mean temperature is less when compared to tropical 344 countries are mostly developed nations. As discussed earlier, HDI is a composite measure 345 counting development in a couple of areas. The association between development parameters 346 and coronavirus disease transmission is not astounding. Nations with better per capita income 347 have robust surveillance systems. Only a capable surveillance system can distinguish a 348 significant proportion of the undetected cases, primarily mildly symptomatic and 349 asymptomatic, which get reflected in the number of reported cases. Identifying all those 350 351 persons who had contacted a confirmed patient, strict observance of them under the health system for any COVID-19 related symptoms, and time-bound testing for the virus's presence 352 depends heavily on the robustness of the surveillance system and the economic well-being of 353 the state. The disease transmission is also determined by the population awareness of the 354 355 disease, modes of transmission, and its symptoms, where literacy has a principal role. Those countries with a higher life expectancy at birth are expected to harbour a higher proportion of 356 older adults, thus causing the reporting of cases and deaths on the more upper side. Hence it 357 is essential to say that the rampant spread across a few geopolitical areas, in pandemic 358 proportions cannot be accredited solely to the environmental factors. 359

361 <u>5.</u> <u>CONCLUSION</u>

362	Human development, differential across the globe, is a determinant of detecting and reporting
363	cases and deaths due to COVID-19. This fact should be considered while quantifying the
364	impact of climate factors on any infectious disease with a cross-border spread.
365	A good understanding of how the climate anomalies are associated to and interact with other
366	contributing factors resulting in the emergence of newer infectious diseases and their
367	propagation is necessary to use them for improved and sustainable health outcomes, which
368	warrants the need for further research in this arena.
369	
370	Limitations:
371	Our analysis, based on country-wise data, could have missed some of the microclimate
372	variegations and regional spread of the disease.
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