

Impact of soil freezing-thawing processes on August rainfall over southern China

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Abstract

In this study, the impact of soil freezing–thawing processes on August rainfall in southern China (SC) during 1979–2008 and related physical mechanisms are investigated using the Grid-Point Atmospheric Model version 2.0 (GAMIL2.0). This model with considering the supercooled water in soil freezing-thawing scheme reproduces the climatology and trends of August precipitation in the SC region. Moisture-budget analysis is employed to quantify the contributions of different factors to the change of precipitation in SC. The results indicate that evaporation contributes significantly to the climatologically August rainfall in SC. The dynamic component of vertical moisture advection, which is related to changes in atmospheric circulation, plays an important role in August precipitation trends. The possible physical mechanism is that the GAMIL2.0 considering the supercooled water simulated much higher soil/air temperature on August especially in the north of 40°N, weakened the meridional thermal contrast, decreased the 200hpa zonal winds, strengthened 850hpa northerly wind, which is more beneficial to the convergence in SC and lead to the precipitation increased. This study provides a new interpretation of the ‘southern flooding’ during 1979–2008 from the point of frozen soil changing.

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25 southern China (SC) during 1979–2008 and related physical mechanisms are
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27 model with considering the supercooled water in soil freezing-thawing scheme
28 reproduces the climatology and trends of August precipitation in the SC region.
29 Moisture-budget analysis is employed to quantify the contributions of different factors
30 to the change of precipitation in SC. The results indicate that evaporation contributes
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32 vertical moisture advection, which is related to changes in atmospheric circulation,
33 plays an important role in August precipitation trends. The possible physical
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36 meridional thermal contrast, decreased the 200hpa zonal winds, strengthened 850hpa
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39 flooding’ during 1979–2008 from the point of frozen soil changing.

40 **1 Introduction**

41 Southern China (SC), including regions south of the Yangtze River and east of the
42 Tibetan Plateau (TP), is greatly affected by droughts and floods due to the long flood
43 season and large interannual and interdecadal variations in rainfall. During 1951–2010,
44 most extreme precipitation events occurred south of 34°N, and resulted in huge
45 economic losses (Chen & Zhai, 2013). In addition, the number of records of flood
46 disaster has an increasing trend at a rate of 77.4 times per decade from 1984 to 2010
47 (Shi et al., 2020). Improved our understanding of summer rainfall in SC should not

48 only enhance our ability to predict rainfall in flood seasons, but also increase capacity
49 for preventing floods, controlling drought, and mitigating natural disasters.

50 Precipitation in SC occurs from April to September or early October. The April–June
51 period is the ‘pre-rainy’ season and July–September the ‘second flood’ season.
52 Monthly rainfall is variable during May–August, but the total for this period exceeds
53 half the total annual rainfall (Su et al., 2014). Previous studies have suggested
54 dividing the summer rainy season into early and late periods or treating each month
55 separately when investigating variations in precipitation in SC (Wang et al., 2009;
56 Yuan et al., 2019). August has the highest (and variable) precipitation during the
57 second flood season, but most previous studies have focused on early summer rainfall
58 (Chan et al., 2005; Li et al., 2017). This study therefore focuses mainly on August
59 rainfall in SC.

60 The summer rainfall in SC has undergone a significant interdecadal increase since the
61 mid-1990s, with a ‘dipole’ pattern—the so-called ‘southern flood and northern
62 drought’ since the late 1970s, by using the reanalysis and observational precipitation
63 data of the China Meteorological Administration (Ding et al., 2008; Kwon et al.,
64 2007; Wu et al., 2010; Yao et al., 2008; Zhang et al., 2008; Zhu et al., 2011).

65 External forcing factors have contributed to the interdecadal variation and long-term
66 trend. Previous studies have highlighted the role of sea surface temperature (SST)
67 anomalies in different key regions (Wu et al., 2010; Liu et al., 2019), including the
68 Atlantic Multidecadal Oscillation and the Pacific Decadal Oscillation (Zhu et al.,
69 2015; Si & Ding, 2016; Wu & Mao, 2017; Yuan et al., 2019). In addition, tropical
70 cyclones have contributed appreciably to precipitation in SC (Ren et al., 2006; Su et
71 al., 2014). The increasing incidence of typhoons has been largely responsible for the

72 increased precipitation in southeastern China since the mid-1990s (Kwon et al., 2008).
73 Recently, the impact on rainfall of external forcing by human activity is attracting
74 attention, including land-use changes and anthropogenic aerosol emissions (Li et al.,
75 2019). Modeling studies have shown that anthropogenic aerosols such as black carbon
76 may contribute to the increased incidence of summer floods in SC (Menon et al., 2002;
77 Jiang et al., 2017), while large-scale urbanization over eastern China may lead to
78 weakened precipitation over southern East Asia in the late summer (Ma et al., 2015;
79 Chen et al., 2016).

80 Other studies have shown that variations of snow cover in spring and winter over the
81 TP and Eurasia play an important role in the East Asia precipitation during the
82 following summer (Wu et al., 2009; Zhang et al., 2013). Preceding winter and spring
83 snow anomalies over the TP affect summer precipitation in eastern China, with
84 correlation between winter snow cover and subsequent summer rainfall being
85 negative in areas of southern and far-northern China, and positive in the middle–lower
86 reaches of the Yangtze River. Spring snow cover anomalies in the TP are therefore
87 considered important predictors of summer rainfall in China (Chen & Wu, 2000; Wu
88 et al., 2010; Zhang et al., 2008; Zhang et al., 2017).

89 Apart from snow cover, frozen soil is distributed widely over mid–high latitudes in
90 the Northern Hemisphere. Transitions between freezing and thawing processes may
91 cause changes in diabatic surface heating. Some studies have reported that the
92 inclusion of soil freeze–thaw processes in land-surface and climate models simulate
93 realistic soil temperatures, soil water contents, surface energy/moisture fluxes, and
94 runoff during the spring melt season (Cox et al., 1999; Koren et al., 1999; Viterbo et
95 al., 1999; Schlosser et al., 2000; Niu & Yang, 2006). Others have indicated that the
96 Eurasian regional climate is sensitive to frozen soil variability by comparing

97 simulations whether include the soil freezing parameterizations or with different
98 complexity soil freezing-thawing schemes in land surface model (Poutou et al., 2004;
99 Takata & Kimoto, 2000; Xin et al., 2012). Until now, however, there has been little
100 study of the specific effects of frozen-soil changes on August rainfall in SC. Seasonal
101 frozen soil at mid–high latitudes in the Northern Hemisphere has shown a clearly
102 decreasing trend over recent decades, as indicated by using different data, such as
103 observational soil freeze depth, freezing/thawing index and so on (Frauenfeld et al.,
104 2007; Frauenfeld & Zhang, 2011; Peng et al., 2017; Xia & Wang, 2020). This study
105 aimed to investigate whether changes in frozen soil affect August rainfall in SC, and
106 further investigate how the frozen soil variability affects the August rainfall over SC,
107 and what the mechanisms behind it. The remainder of this paper is organized as
108 follows. Section 2 describes the model and related experiments, validated data, and
109 methods used in this study. Section 3 presents the main results. Conclusions are given
110 in Section 4.

111 **2 Data and Methods**

112 2.1 Model and experimental design

113 The Grid-Point Atmospheric Model version 2.0 (GAMIL2.0), developed by the State
114 Key Laboratory for Numerical Modeling of Atmospheric Sciences and Geophysical
115 Fluid Dynamics (LASG), Institute of Atmospheric Physics_(IAP), Chinese Academy
116 of Sciences (CAS), was applied with a horizontal resolution of about $2.8^\circ \times 2.8^\circ$, with
117 high-latitude and polar regions having a weighted equal-area grid and other regions a
118 Gaussian grid, and with 26- σ levels of vertical resolution with the topmost level at
119 2.194 hPa. The dynamic core of the model is based on a finite-difference scheme
120 developed by Wang et al. (2004) and Wang & Ji (2006). Physical processes included

121 in the older version (GAMIL1.0) were mainly from the CAM2.0 model (Collins et al.,
 122 2003), but GAMIL2.0 includes improvements related to deep convection, cumulus
 123 clouds, and cloud microphysical schemes, among others (Li et al., 2013). GAMIL2.0
 124 takes part in the Atmospheric Model Intercomparison Project (AMIP II) and CMIP5
 125 as the atmospheric component of the Flexible Global Ocean–Atmosphere–Land
 126 System Model, Grid-Point version 2 (FGOALS-g2).

127 GAMIL2.0 is coupled with the Community Land Model version 3.0 (CLM3.0)
 128 (Oleson et al., 2004) developed by NCAR and is used as the land component in most
 129 CMIP5 climate system models. In CLM3.0, the critical temperature of soil
 130 freezing/thawing is 0°C; soil ice begins to melt at soil temperatures above 0°C and
 131 liquid water freezes at soil temperatures below 0°C in each soil layer. However, in
 132 fact, when the soil temperature is below the freezing point, ice and liquid water could
 133 coexist in the soil layer where excess liquid water freezes only when the liquid water
 134 content is above the maximum water content of the soil layer, as derived from the
 135 freezing-point-depression equation (Niu & Yang, 2006). Therefore, the concept of
 136 supercooled soil water (i.e., liquid water coexisting with ice over a wide range of
 137 temperatures below the freezing point) is introduced in phase-change processes in
 138 subsequent versions of CLM (e.g. CLM 4.0) Conditions under which a phase change
 139 occurs in CLM3.0 is considered the ‘A’ scheme, and considering supercooled soil
 140 water is the ‘B’ scheme, as described in Table 1.

141 **Table 1.** The two criteria for soil freezing and thawing.

Scheme	Thawing conditions	Freezing conditions
A	$T > T_{\text{frz}}$ and $\theta_{\text{ice}} > 0$	$T < T_{\text{frz}}$ and $\theta_{\text{liq}} > 0$

B	$T > T_{frz}$ and $\theta_{ice} > 0$	$T < T_{frz}$ and $\theta_{liq} > \theta_{liq,max}$
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142 θ_{liq} and θ_{ice} are the soil liquid-water and ice content, respectively; T_{frz} is the freezing temperature

143 of water (273.16 K); $\theta_{liq,max}$ is the maximum liquid-water content at $T < T_{frz}$.

144 The maximum soil liquid-water content, $\theta_{liq,max}$, is calculated using equation (1):

$$145 \quad \theta_{liq,max} = \theta_{sat} \left\{ \frac{10^3 L_f (T - T_{frz})}{gT\psi_{sat}} \right\}^{-1/b} \quad (1)$$

146 where L_f is the latent heat of fusion ($J\ kg^{-1}$); g is gravitational acceleration ($m\ s^{-2}$);

147 ψ_{sat} and b are the soil-texture-dependent saturated matric potential (mm) and Clapp

148 and Hornberger (1978) exponent, respectively; T is temperature (K); and T_{frz} is the

149 freezing temperature of water (273.16 K).

150 To exclude the effect of sea temperature, we adopted climatological SST data as the

151 sea boundary. Two simulations were performed with GAMIL2.0 using the different

152 freezing/melting schemes (Table 1) during 1974–2008, with the control experiment

153 (CTRL) using the A scheme and the other (NEW) the B scheme. The first five years

154 were a spin-up, with the 30 yrs (1979–2008) being analyzed. The CMAP (Xie &

155 Arkin, 1997) and GPCP datasets (Adler et al., 2003), which were provided by the

156 NOAA/OAR/ESRL Physical Sciences Laboratory (PSL)

157 (<https://psl.noaa.gov/data/gridded/index.html>), were used to validate the simulations.

158 2.2 Methods

159 Moisture-budget analysis is widely used to separate dynamic and thermal

160 contributions to precipitation variability (Seager et al., 2010; Chou & Lan, 2012; Li et

161 al., 2018; Akinsanola & Zhou, 2019).

162 The moisture-budget equation is as follows:

$$163 \quad P = -\left\langle \frac{\partial q}{\partial t} \right\rangle - \left\langle \nabla_h \cdot q \bar{V}_h \right\rangle - \left\langle \frac{\partial q \omega}{\partial p} \right\rangle + E + \delta \quad (2)$$

164 where P, E, and q represent precipitation, evaporation, and specific humidity, respectively;
 165 and δ is the residual term reflecting the influence of all nonlinear terms. The second and
 166 third term on the right of equation (2) represent the horizontal and vertical convergences of
 167 moisture flux, and ' $\langle \rangle$ ' represents the vertical mass integration from surface to tropopause

168 (100hPa), which can be expressed as: $\langle Variable \rangle = \frac{1}{g} \int_{P_s}^{100} (Variable) dP$. The tendency

169 term, $-\left\langle \frac{\partial q}{\partial t} \right\rangle$, is small and can be neglected, with equation (2) being rewritten as:

$$170 \quad P = -\left\langle \bar{V}_h \cdot \nabla_h q \right\rangle - \left\langle \frac{\omega \partial q}{\partial p} \right\rangle + E + \delta \quad (3)$$

171 and with changes in the precipitation being expressed as:

$$172 \quad P' = -\left\langle \bar{V}_h \cdot \nabla_h q \right\rangle' - \left\langle \frac{\omega \partial q}{\partial p} \right\rangle' + E' + \delta' \quad (4)$$

173 where ' $\langle \rangle$ ' indicates the linear trend from 1979 to 2008 over area to be studied. The first and
 174 second terms on the right of equation (4) represent horizontal and vertical moisture advection,

175 respectively. The $-\left\langle \frac{\omega \partial q}{\partial p} \right\rangle'$ term may be separated into three terms, as follows:

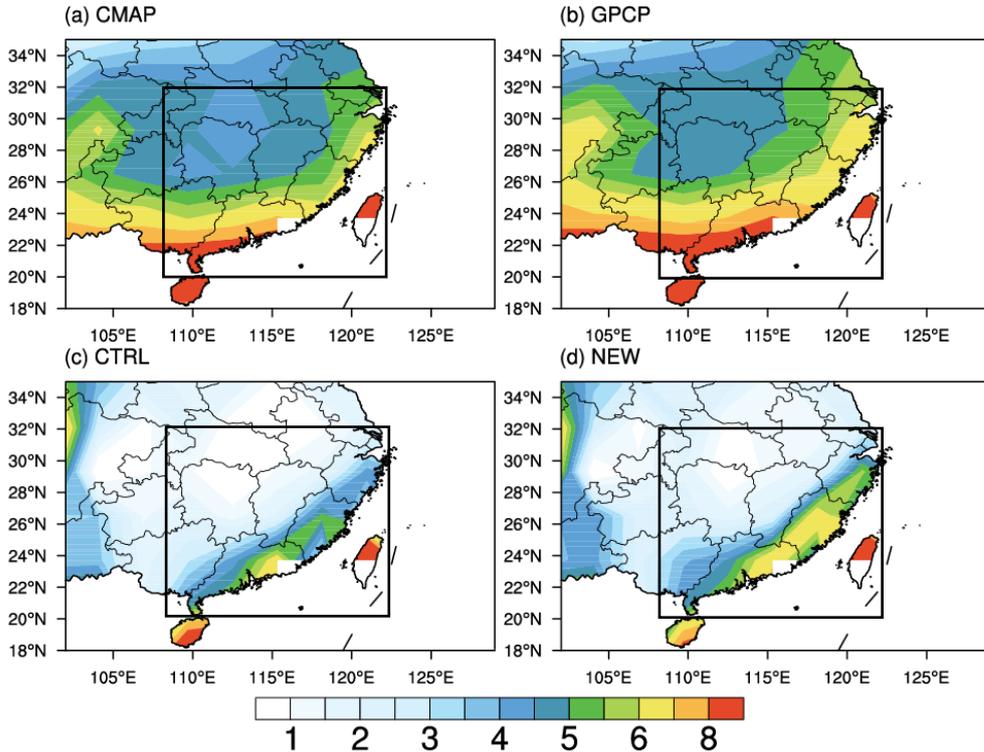
$$176 \quad -\left\langle \frac{\omega \partial q}{\partial p} \right\rangle' = -\left\langle \frac{\omega' \partial \bar{q}}{\partial p} \right\rangle - \left\langle \frac{\bar{\omega} \partial q'}{\partial p} \right\rangle - \left\langle \frac{\omega' \partial q'}{\partial p} \right\rangle \quad (5)$$

177 where ' $\langle \bar{\ } \rangle$ ' indicates the climatology during 1979–2008. The first and second terms on the
 178 right of equation (5) are usually considered the dynamic and thermal components of vertical
 179 moisture advection, respectively. The dynamic component is associated with atmospheric

180 circulation changes while specific humidity is unchanged; the thermal component reflects the
 181 influence of specific humidity changes on precipitation. The third term on the right of
 182 equation (5) is a nonlinear term and is much smaller than the dynamic/thermal components,
 183 so is neglected here. To simplify the equations, ‘vdq’ and ‘wdq’ represent $\langle \bar{\mathbf{V}}_h \cdot \nabla_h q \rangle$ and
 184 $\langle \frac{\omega \partial q}{\partial p} \rangle$, respectively, and $(vdq)'$ and $(wdq)'$ their trends; $(wdq)'$ and $(w'dq)$ denote the
 185 $\frac{\bar{\omega} \partial q'}{\partial p}$ and $\frac{\omega' \partial \bar{q}}{\partial p}$ terms, respectively.

186 3 Results

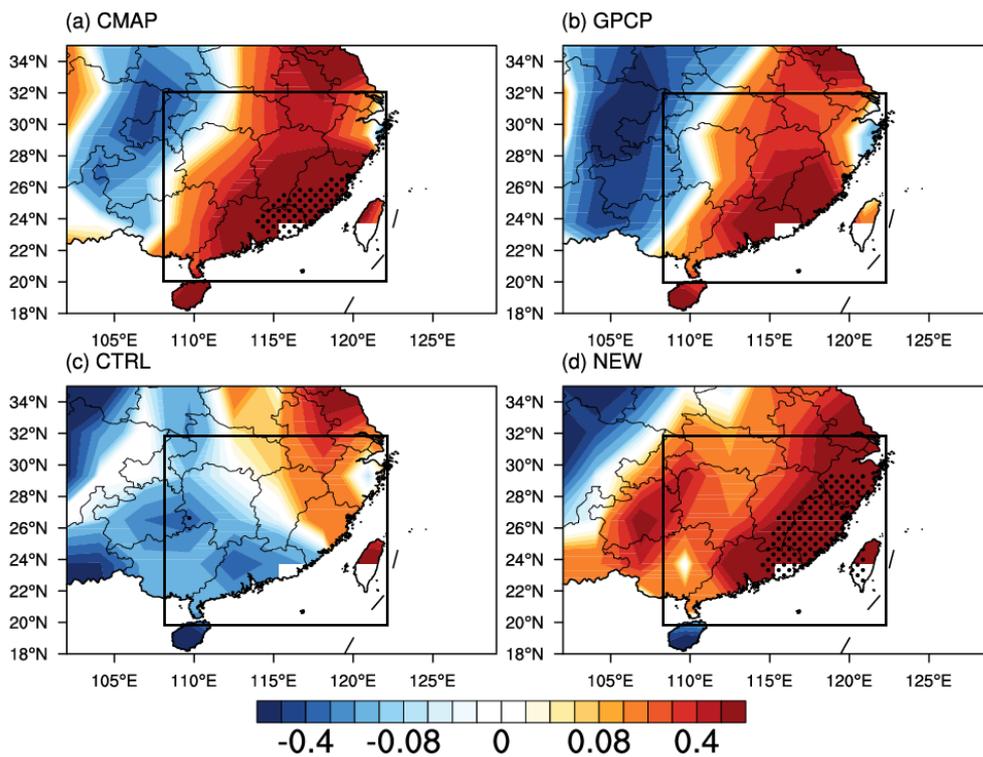
187 3.1 Evaluation of GAMIL2 simulations



188

189 **Figure 1.** Mean August precipitation (mm d⁻¹) in southern China during 1979–2008:
 190 observational data of (a) CMAP and (b) GPCP, and results of simulations (c) CTRL
 191 and (d) NEW. Rectangles represent the study area.

192 The mean August precipitation over SC during 1979–2008 is illustrated in Figure 1.
 193 The gridded CMAP and GPCP observations indicate that precipitation increases
 194 gradually from north to south, with the main precipitation center being located in
 195 southeastern China (Figure 1a, b). The CTRL simulation reasonably reproduces the
 196 spatial rainfall distribution with a pattern correlation coefficient (r) of 0.91 with
 197 CMAP and GPCP over the main precipitation region (20° – 32° N, 108° – 122° E)
 198 (Figure 1c), but underestimates the actual rainfall with a mean bias between CTRL
 199 and CMAP and GPCP of -2.55 mm d^{-1} and -3.04 mm d^{-1} , respectively. The NEW
 200 simulation has a lower mean CMAP and GPCP biases of -1.82 mm d^{-1} and -2.31 mm
 201 d^{-1} , respectively, although it has a dry bias in northern areas (Figure 1d).

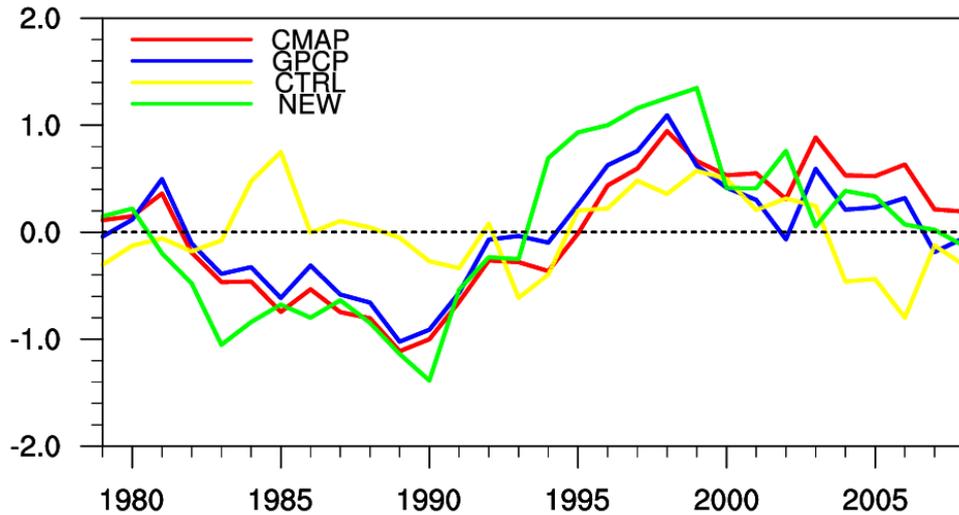


202

203 **Figure 2.** Spatial distribution of long-term trends of August rainfall ($\text{mm d}^{-1}\text{decade}^{-1}$)
 204 over southern China, 1979–2008: observational data of (a) CMAP and (b) GPCP; and
 205 the (c) CTRL and (d) NEW simulations. Stippling indicates trends significant at the
 206 90% confidence level. The rectangle indicates the main study area.

207 The CMAP and GPCP data exhibit increasing long-term trends in August rainfall over
 208 the main precipitation region (Figure 2a, b). The CTRL simulation was almost

209 impossible to simulate the increasing trend (Figure 2c), but the NEW simulation
 210 captured this increasing trend well (Figure 2d).



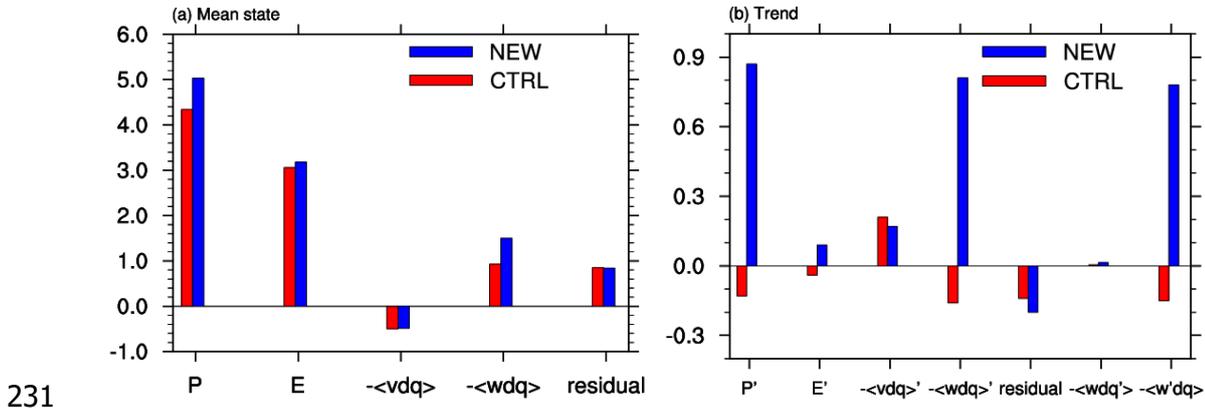
211

212 **Figure 3.** Time series of August precipitation departures (mm d^{-1}) from
 213 climatological means (1979–2008) for the region 20° – 32° N, 108° – 122° E, based on
 214 CMAP (red), GPCP (blue), CTRL test (yellow) and NEW test (green) data. The lines
 215 represent nine-year running averages.

216 The ability of the CTRL and NEW simulations to reproduce area-average
 217 characteristics is demonstrated in the time series plots for August rainfall anomalies in
 218 the main precipitation region (Figure 3). Previous studies have shown interdecadal
 219 changes around 1992/93 for SC rainfall in the summer and in the individual months of
 220 June, July, and August (Ding et al., 2008; Wu et al., 2010; Su et al., 2014). The
 221 CMAP and GPCP observations exhibit obvious interdecadal rainfall variation around
 222 1994, with pre-1994 summer rainfall being persistently lower than normal, and an
 223 abrupt post-1994 change to above normal (Figure 3). The observations are consistent,
 224 with correlation coefficients of up to 0.93, and are statistically significant at the 95%
 225 confidence level. The NEW simulation captures rainfall variations around 1993 well,
 226 with the highest correlation with CMAP ($r = 0.80$) and GPCP ($r = 0.85$). However,
 227 the CTRL simulation fails to describe the abrupt changing process around 1993/94,

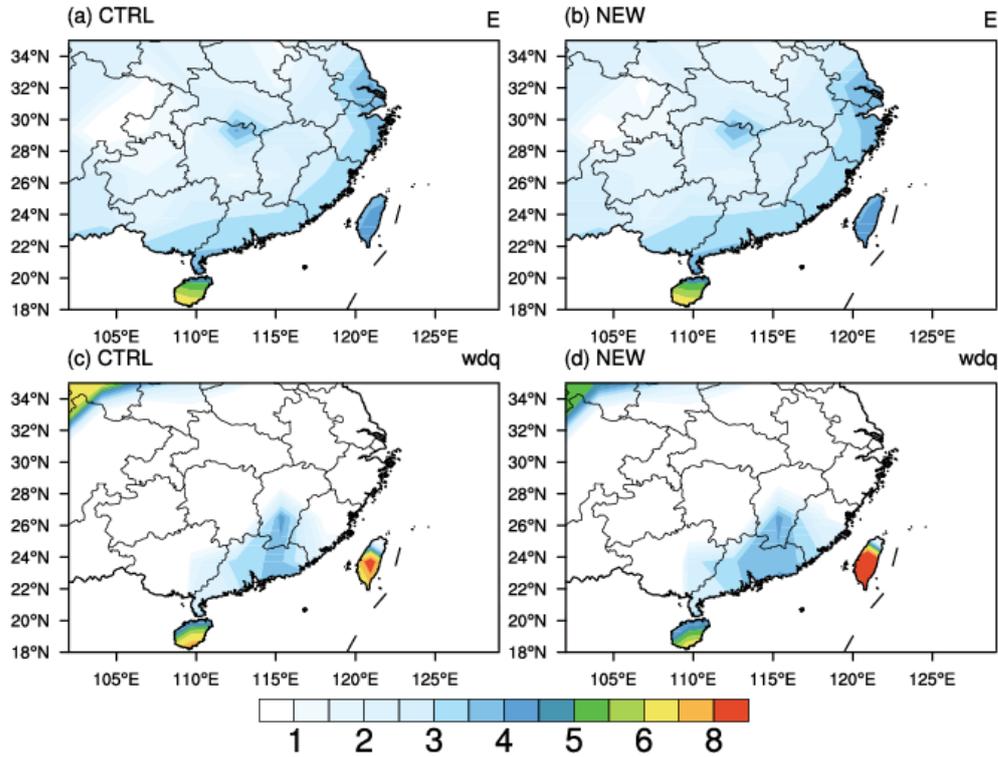
228 showing only weak correlation with observations ($r = 0.11$ for CMAP and 0.21 for
 229 GPCP).

230 3.2 Moisture-budget analysis



232 Figure 4. Regional area-averaged moisture-budget components in the main rainfall
 233 regions: (a) Mean state (mm d^{-1}) and (b) linear trend ($\text{mm d}^{-1} \text{decade}^{-1}$).

234 A moisture-budget analysis was undertaken for the main rainfall regions (20° – 32° N,
 235 108° – 122° E) to elucidate why the NEW test simulated the August mean rainfall and
 236 long-term trends so well. Area-averaged moisture-budget components of precipitation
 237 in the main rainfall regions are shown in Figure 4. It is clear that the climatology of
 238 August rainfall is mainly contributed by local evaporation, indicating the importance
 239 of land–atmosphere interaction in the region. Vertical moisture advection is the
 240 second-ranked contributor, with horizontal moisture advection contributing little to
 241 the total precipitation (Figure 4a). The spatial distributions of evaporation and vertical
 242 moisture advection are shown in Figure 5. The patterns of evaporation simulated in
 243 the CTRL and NEW simulations (Figure 5a, b) are consistent with the rainfall pattern
 244 (Figure 1c, d), with rainfall increasing from northwest to southeast in SC. The
 245 difference in vertical moisture advection between the CTRL and NEW simulations
 246 (Figure 5c, d) is greatest in the north of GuangZhou provinces and south of FuJian
 247 provinces, respectively, consistent with their simulated mean August rainfalls.

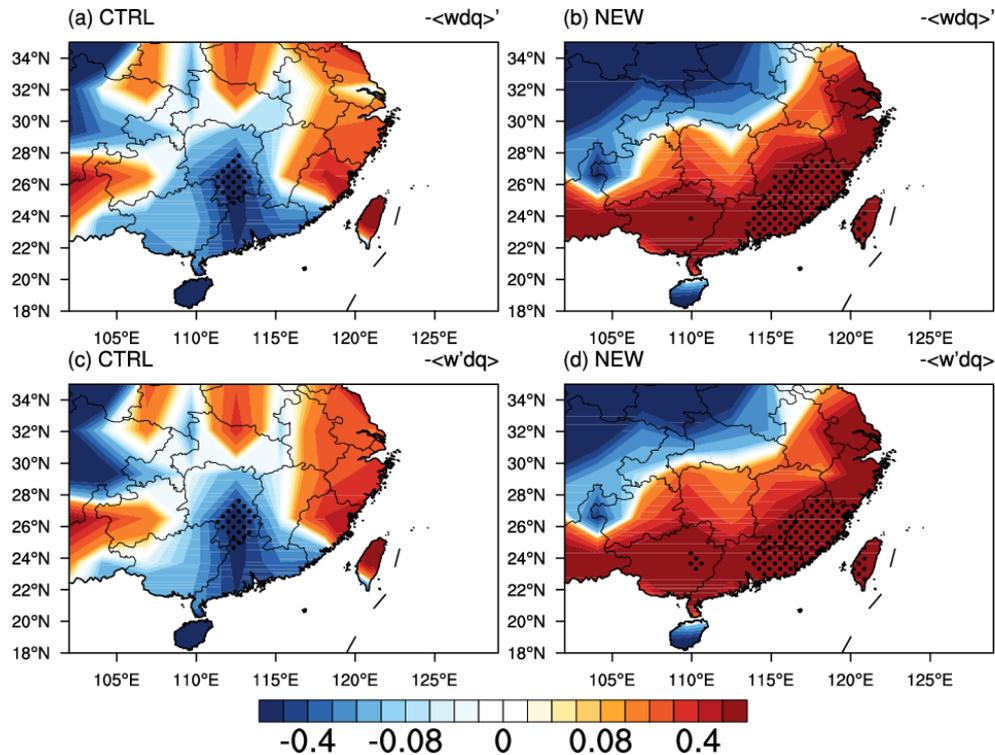


248

249 **Figure 5.** August mean evaporation (mm d^{-1}) for the (a) CTRL and (b) NEW
 250 simulations and vertical moisture advection (mm d^{-1}) for (c) CTRL and (d) NEW
 251 over the main rainfall regions during 1979–2008.

252 The linear trend (Figure 4b) in moisture-balance components indicates that the
 253 increasing trend in August rainfall over the main rainfall region, as described by the
 254 NEW simulation, is caused predominantly by enhanced vertical moisture advection
 255 (statistically significant at the 95% confidence level), whereas horizontal moisture
 256 advection and local evaporation make little contribution to the rainfall trend (Figure
 257 4b). The difference in rainfall trends between the CTRL and NEW simulations is
 258 caused by the difference in vertical moisture advection. Vertical moisture advection
 259 was further decomposed into two components: the dynamic term, $-\langle w'dq \rangle$, and the
 260 thermodynamic component, $-\langle wdq' \rangle$, with the former predominating (Figure 4b).
 261 Spatial patterns of the linear trend in the dynamic term (Figure 6c, d) are consistent
 262 with those of vertical moisture advection (Figure 6a, b) and rainfall (Figure 2c, d).
 263 The differences in long-term trends for rainfall in the CTRL and NEW simulations

264 over the main rainfall regions are thus induced by dynamic component of vertical
 265 moisture advection, relating with changing of atmospheric circulation.

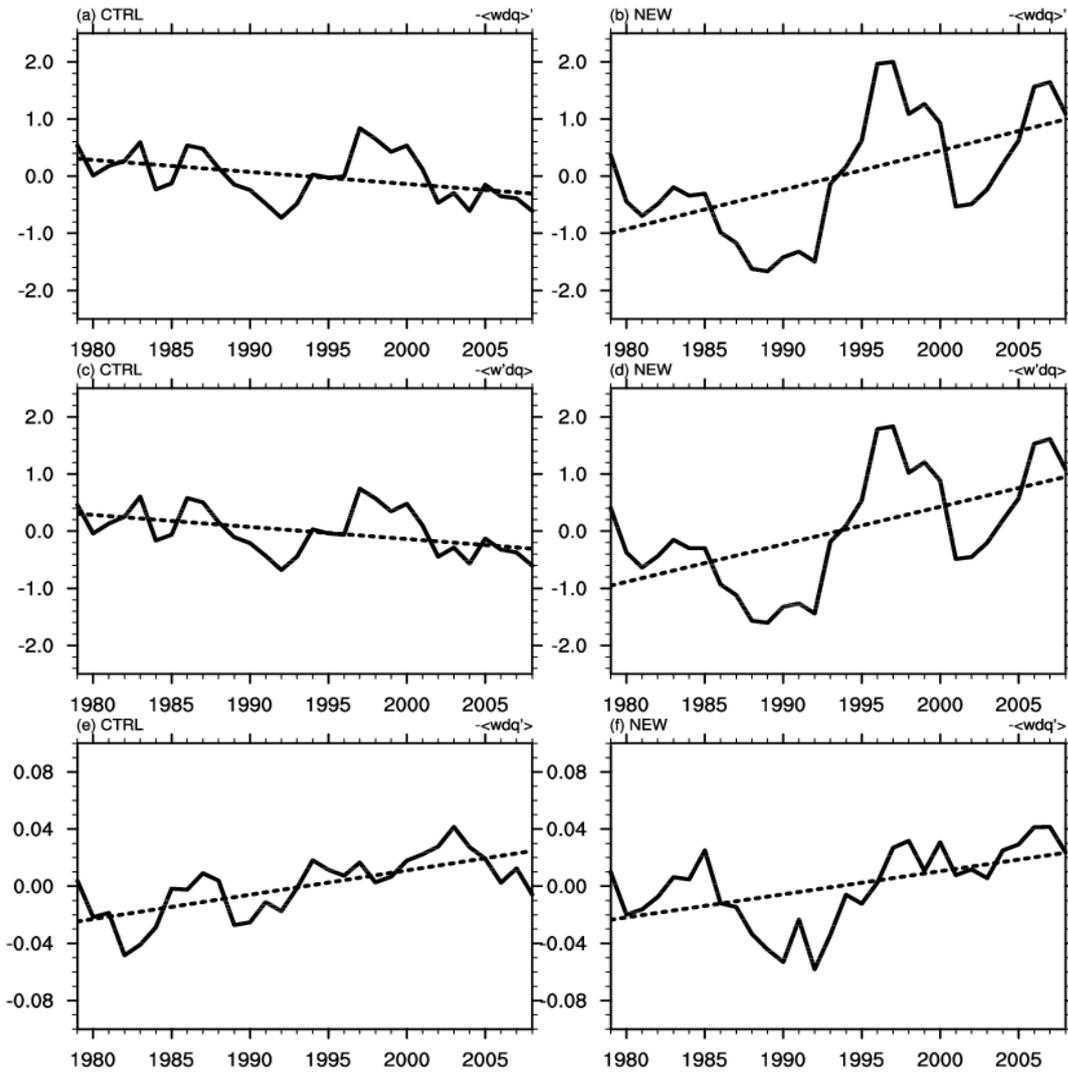


266

267 **Figure 6.** Spatial distributions of the long-term trend in (a) vertical moisture
 268 advection ($-\langle w dq \rangle'$) and (c) the dynamic component ($-\langle w' dq \rangle$) over the main
 269 rainfall regions during 1979–2008 for CTRL and NEW (b, d) simulations, mm d^{-1}
 270 decade^{-1} . Dotted areas indicate trends significant at the 90% confidence level.

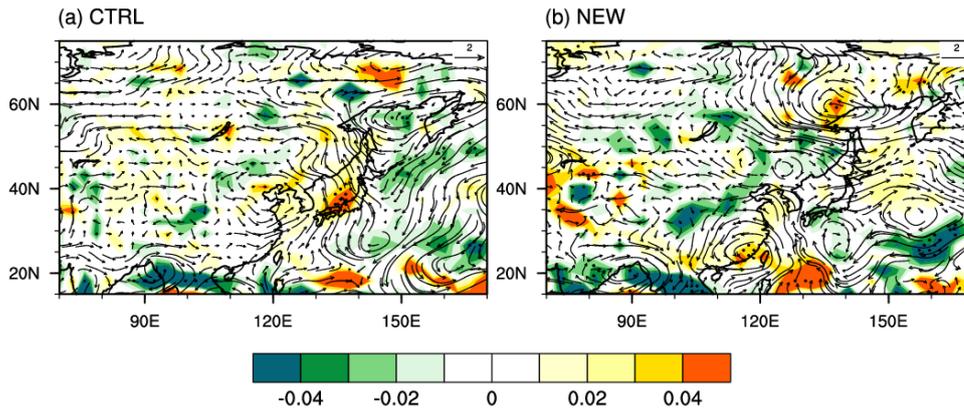
271 Time series of vertical moisture advection anomalies and its thermodynamic and
 272 dynamic components in the main rainfall regions are shown in Figure 7. The
 273 anomalies exhibit negative and positive trends of $-0.18 \text{ mm d}^{-1} \text{ decade}^{-1}$ and 0.68
 274 $\text{mm d}^{-1} \text{ decade}^{-1}$ for 1979–2008 for the CTRL (Figure 7a) and NEW (Figure 7b)
 275 simulations, respectively (statistically significant at the 95% confidence level).
 276 Regarding long-term trends in the dynamic and thermodynamic components, the
 277 former has significantly decreasing and increasing trends of $-0.18 \text{ mm d}^{-1} \text{ decade}^{-1}$
 278 and $0.64 \text{ mm d}^{-1} \text{ decade}^{-1}$ for the CTRL and NEW simulations (Figure 7c, d),
 279 respectively, with almost the same magnitude as that of vertical moisture advection,

280 whereas the latter displays a weakly increasing trend of $0.02 \text{ mm d}^{-1} \text{ decade}^{-1}$ for both
 281 the CTRL and NEW simulations (Figure 7e, f). Thus, the discrepancies between the
 282 two simulations of long-term rainfall are also caused by changes in dynamic
 283 component of vertical moisture advection.



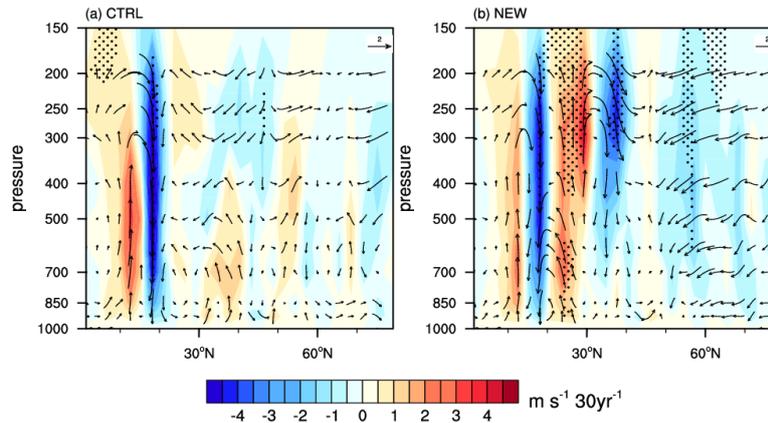
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285 **Figure 7.** Time series (1979–2008) of (a) vertical moisture advection anomalies ($-\langle w dq \rangle$), (c) the dynamic ($-\langle w' dq \rangle$), and (e) thermal ($-\langle w dq \rangle$) components
 286 averaged over the main rainfall regions (mm d^{-1}) for the CTRL simulation; with (b, d,
 287 f) being likewise for the NEW simulation.
 288



289

290 **Figure 8.** Spatial distributions of the linear trend for vertical velocity at 500hPa (ω_{500}
 291 shaded area, $-\text{Pa s}^{-1} 30\text{-yr}^{-1}$) and their associated large-scale circulation, as indicated
 292 by the 850hPa wind (vectors, $\text{m s}^{-1} 30\text{-yr}^{-1}$). (a) and (b) indicates the CTRL and NEW
 293 simulations, separately. The dotted areas are statistically significant at the $\geq 90\%$
 294 confidence level.



295

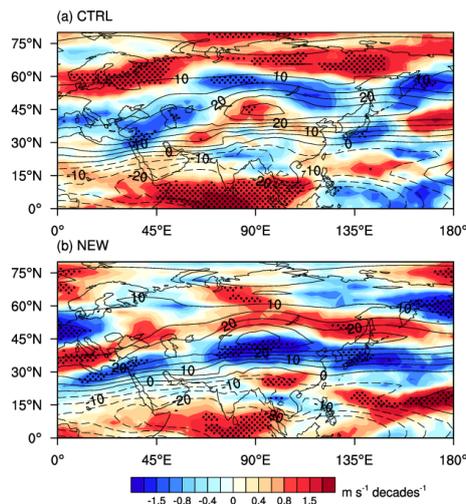
296 **Figure 9.** Zonal height cross-section of vertical velocity trends (shaded, ω , $\text{Pa s}^{-1} 30$
 297 yr^{-1}) and the trend in vertical velocity and meridional winds (vectors) at $90^\circ\text{--}125^\circ\text{E}$
 298 during 1979–2008 for the CTRL (a) and NEW (b) simulations. Dotted areas indicate
 299 the trend of vertical velocity at the 90% confidence level.

300 Based on the continuity equation

301
$$\nabla_h \cdot \vec{V}_h + \partial_p \omega = 0 \quad (6)$$

302 Which the first term in the left of the equation (6) indicates the horizontal
 303 convergence, and ω is the vertical velocity at the pressure coordination. If ω is zero at
 304 the surface, then its value at 500hPa (ω_{500}) approximates the convergence between the
 305 middle and lower troposphere. Spatial distributions of the linear trend in ω_{500} and

306 associated large-scale circulation at 850hPa are shown in Figure 8. Compared with the
 307 CTRL simulation, the NEW simulation indicates a significantly increasing trend in
 308 ω_{500} in the main rainfall region, accompanied by obvious convergence in the lower
 309 atmosphere (Figure 8b). In addition, there is a significantly strengthened ascending
 310 motion over 20°–30°N from the surface to the upper troposphere (Figure 9b), which is
 311 consistent with the area of increasing precipitation (Figure 2d) and with a northerly
 312 anomaly, especially in the upper–middle troposphere (Figure 9b). Correspondingly,
 313 wind at 850hPa exhibits a northerly anomaly in northern China, whereas the CTRL
 314 simulation indicates a southerly wind anomaly, implying that monsoon circulation
 315 weakened in the NEW simulation.



316

317 **Figure 10.** Linear (contours) and climatological (solid lines, westerly; dashed lines,
 318 easterly) trends in zonal wind at 200 hPa in August during 1979–2008. Dots represent
 319 significance of the trend in 200 hPa zonal wind at the 90% confidence level

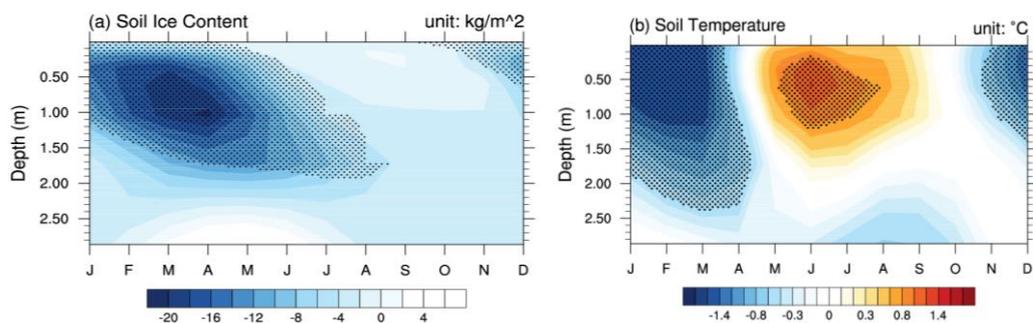
320 Linear trend and climatological in 200hPa zonal winds in August during 1979–2008,
 321 as described by the two simulations, are shown in Figure 10. Both two tests simulate
 322 similar centers of climatological zonal wind at 200hPa at 45°N, although westerly
 323 winds at 200hPa exhibit respective weakening and strengthening trends in the NEW
 324 and CTRL simulations. Previous studies have found correlations between 200hPa

325 winds and summer precipitation in SC. For example, Kwon et al. (2007) reported that
326 the mean June–August zonal wind speed at 200hPa has displayed a decreasing trend
327 over East Asia since the mid-1990s, with a negative correlation with summer
328 precipitation in southeastern China. Chen et al. (2014) reported that increased rainfall
329 in SC around the mid-1990s coincided with decadal warming over northeastern Asia
330 in association with a weakened subtropical westerly jet stream, which occurs only in
331 summer (June, July, August). These findings are consistent with results of the NEW
332 simulation.

333 3.3 Physical mechanisms

334 To further understand what causes the circulation changes between CTRL and NEW
335 simulations, we compare the differences in two tests, and analyze how the differences
336 affect the atmosphere circulation.

337 The CTRL and NEW simulations use different criteria for estimating soil freezing or
338 melting (Table 1). At soil temperatures below 0°C, all liquid water in the soil layer
339 would freeze gradually in the CTRL simulation, whereas the NEW simulation
340 considers the effect of supercooled water with liquid water and ice coexisting over a
341 wide range of temperatures below 0°C. This leads to differences in soil ice content
342 between the two simulations, which reflect the decreasing of frozen soil at the
343 background of global warming to a certain extent. Soil freeze–thaw processes are
344 generally accompanied by phase transitions of soil water and result in the respective
345 release and absorption of latent heat (Yang et al., 2007). Differences in soil ice
346 content thus lead to soil temperature disparities between the two simulations.



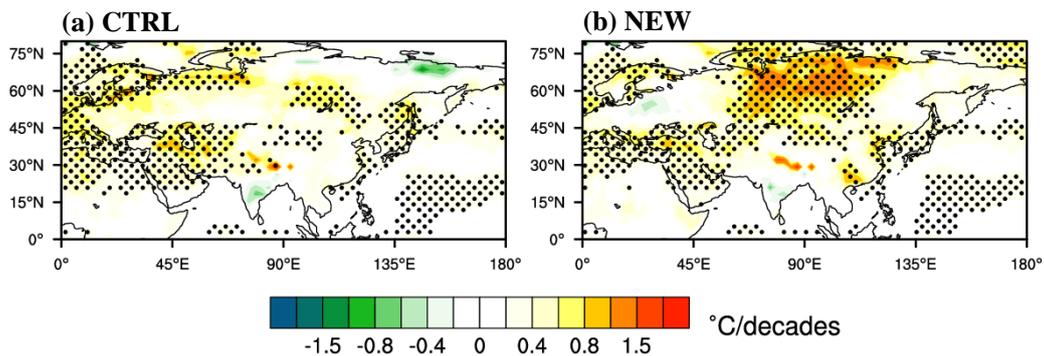
347

348 **Figure 11.** Time (month)–depth plots of differences in (a) soil water content (kg m^{-2})
 349 and (b) soil temperature ($^{\circ}\text{C}$) between NEW and CTRL simulations at mid–high
 350 latitudes in Eurasia (45° – 60°N , 0° – 180°E). The dotted areas indicate differences
 351 significant at the 95% confidence level.

352 Typical frozen-soil regions of Eurasia at mid–high latitudes (45° – 60°N , 0° – 180°E)
 353 were considered here in examining the local impacts of the two simulations. The
 354 figure 11 shows the seasonal variations in the differences of soil ice content (kg m^{-2})
 355 and soil temperature ($^{\circ}\text{C}$) between NEW and CTRL simulation in each soil layers
 356 over the Eurasia during 1979–2008. As air temperatures fall in autumn, soil
 357 temperature drops gradually to below 0°C and liquid water freezes from surface to the
 358 deeper soil layer. There is a negative difference of soil ice content between two
 359 simulations near the surface on October and the large negative differences appear in
 360 the deeper soil layer of 1 meter on March–April, because the soil temperature in the
 361 deeper soil layer has time-lag compared with that in the shallower soil layer.
 362 Meanwhile, CLM3.0 discretizes the soil column into 10 layers based on an
 363 exponential function, with the thickness of layers decreasing as depth increases; i.e.,
 364 layers are thinner near the surface. Differences in simulated soil ice contents therefore
 365 increase with depth (Figure 11a).

366 A significantly negative soil temperature difference appears after November and
 367 spreads to deeper layers, reaching the 2.3 meter level in April, before a positive
 368 difference develops and reaches a maximum in June–July. The soil temperature

369 difference between the two simulations arises mainly because of the latent heat
 370 release or absorption during the respective freezing or melting processes. During
 371 autumn and winter, soil liquid water freezes and releases the latent heat of
 372 condensation, restraining the soil temperature decrease. The CTRL simulation thus
 373 provides a higher soil temperature than the NEW simulation in the cold season, when
 374 the soil ice content is higher. However, in the CTRL simulation, a higher soil ice
 375 content during the cold season would consume more energy during melting, resulting
 376 in less energy being absorbed by the soil, with the CTRL-simulated soil temperature
 377 therefore being slightly lower during May–August than that of the NEW simulation.
 378 Ice has a high thermal conductivity; therefore, during cold seasons the difference in
 379 soil temperature could spread further into the soil than the ice content (Figure 11b).



380

381 **Figure 12.** Spatial distributions of the linear trend for 2 m air temperature (shaded
 382 areas, $^{\circ}\text{C decade}^{-1}$) in August for the (a) CTRL and (b) NEW simulations during
 383 1979-2008. The dotted areas are statistically significant at the 90% confidence level.

384 The response of the atmosphere to the soil thermal state was compared between the
 385 two simulations. Spatial distributions of the linear trend for 2 m air temperatures in
 386 August are shown in Figure 12, with both two simulations indicating spatially non-
 387 uniform warming trends. The NEW simulation indicates a warming trend north of
 388 40°N relative to that of the CTRL simulation, especially in western–central Siberia
 389 (Figure 12), weakening the meridional thermal contrast and leading to a decrease in

390 the 200hPa westerly jet stream according to the thermal wind balance. This is
391 consistent with the results shown in Figure 10.

392 To summarize, compared with the CTRL simulation, the NEW simulation have
393 relatively little soil ice during autumn and winter due to the existence of supercooled
394 water at temperatures below 0°C in seasonal frozen-soil regions. Because the phase
395 change between water and ice is accompanied by the release and absorption of energy,
396 the NEW simulation indicates a higher warming trend in 2 m air temperature in
397 August, especially in western–central Siberia, producing a north–south temperature
398 gradient opposing the original gradient produced by solar forcing and weakening the
399 meridional thermal contrast. Based on thermal wind equations for a pressure surface,
400 the 200hPa zonal winds weakened in the NEW simulation, with the blocking effect of
401 the jet stream being reduced and the interaction between low- and high-latitude
402 atmospheres strengthened (Zhu et al., 2011), and at 850hPa there is a northerly
403 anomaly in northern China in August, with a weakening of the East Asian summer
404 monsoon. Zhu et al. (2012) reported that this weakening is due mainly to the
405 increasing surface air temperature over the Baikal region (45°–65°N, 80°–130°E)
406 induced by greenhouse gases. The suppression of northerly winds to the south of
407 China leads to convergence in the lower atmosphere and increasing vertical velocity
408 in southeastern China, with precipitation increasing in that region in the NEW
409 simulation.

410 **4 Discussions and Conclusions**

411 This study investigated the effects of soil freeze–thaw processes on August rainfall in
412 SC by applying the GAMIL2.0 model with two different soil freeze–thaw schemes
413 (the CTRL and NEW simulations) under the same climatological SST forcing.

414 Moisture-budget analysis was applied to elucidate rainfall differences between the
415 two simulations.

416 The intrinsic difference between two soil freeze–thaw schemes was the diversity of
417 the simulated soil ice content in soil layers, reflecting the response of frozen soil to
418 the global warming to some extent. The NEW simulation demonstrated that inclusion
419 of supercooled water in the soil freeze–thaw scheme reproduced the climatology and
420 trends of August precipitation in SC, indicating that the variability of soil freeze–thaw
421 processes influences August precipitation in SC. Furthermore, results indicate that the
422 climatological August rainfall in SC is largely attributable to evaporation, and
423 indicates the importance of land–atmosphere interaction over this region. The
424 dynamic component of vertical moisture advection ($-\langle w'dq \rangle$), which is related to
425 atmospheric circulation changes, has a significant influence on the August
426 precipitation trend, with the differences of simulated rainfall between two simulations
427 also being associated with $-\langle w'dq \rangle$.

428 The physical mechanisms were further explored to provide a simply explanations for
429 how the changing freeze–thaw processes during autumn and winter affect the August
430 rainfall in SC. The NEW simulation modeled the higher soil/air temperatures in
431 August especially in the north of 40°N, for simulating lower soil ice contents during
432 cold seasons, lead to the meridional thermal contrast weakened, and result in the
433 200hPa zonal winds decreased, indicating the blocking effect of the jet stream
434 reduced and the interaction between low- and high-latitude atmospheres strengthened,
435 therefore the northerly wind at 850hpa strengthened and was suppressed to the south
436 of China, which is more beneficial to the convergence of the lower atmosphere, and
437 thus causing vertical velocity increased and more precipitation. However, the
438 changing of freeze–thaw processes likely induced a Rossby wave-like pattern, thus

439 affecting August rainfall in SC. Previous studies have shown that the extension of
440 stationary Rossby waves in the upper troposphere at mid–high latitudes from Europe
441 to East China leads to a negative teleconnection between rainfall in SC and the East
442 Europe Plain in July and August (Su & Lu, 2014). The Eurasian spring snow anomaly
443 is associated with East Asian summer precipitation through the triggering of an
444 anomalous mid-latitude Eurasian wave train propagating eastward (Zhang et al.,
445 2017). Further analysis of the mechanism related to the wave-train propagating is
446 beyond the scope of this study.

447 To conclude, this study provides a new interpretation for the ‘southern flooding’
448 during 1979-2008 from the view of the changing in frozen soil, meanwhile, attention
449 should be paid to the frozen soil variability in the mid-high latitude at the background
450 of global warming, for their significant impact on the precipitation in SC regions, and
451 take effective measures to slow down the effect of the frozen soil changing.

452

453

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