Seasonality of the Energy Transfers in the Azores Current

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Abstract

The seasonal variability of the Azores Current energy transfers is studied using the output from a regional ocean model of the Eastern Central North Atlantic, forced by climatological surface fluxes and open ocean boundary conditions. The results show a stable Azores Current with baroclinic energy transfers supporting the current's energetics. Inverse barotropic energy transfers that feed the mean flow are several orders of magnitude smaller but this mechanism is active all year due to the Reynolds Stress convergence. These results support the findings of a stable Azores Current all year round.

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11	Key Points:
12 13	• A climatological simulation of the Eastern Central North Atlantic and the Azores Current is performed
14 15	• Azores Current is stable throughout the year due to constant reservoir of available potential energy
16 17 18	• Mean current is maintained by inverse barotropic energy transfers by Reynolds stresses

19 Abstract

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27 Plain Language Summary28 The Azores Current is a permanent zonal

The Azores Current is a permanent zonal current in the Eastern North Atlantic that exhibits strong 29 meandering about its mean position. The meanders grow until they detach from the main current, forming mesoscale cyclonic and anticyclonic eddies that travel westward. The cycle of meander 30 formation, growth and dettachment has been so far studied in simplified settings. In our study, we 31 32 analyze the seasonal cycle of energy transformations in the Azores Current that supports the meandering and eddy formation from a realistic point of view using a climatological simulation of 33 the ocean dynamics in the Eastern North Atlantic. We found that the cycle occurs throughout the 34 35 year with small seasonal changes. The stability of the cycle is related to the year-round uplifted constant density surfaces that creates a permanent reservoir of potential energy that is transformed 36 in kinetic energy by baroclinic instability. 37

38 **1 Introduction**

The Azores Current (AzC) is a permanent eastward zonal jet located in the northern limit of the subtropical gyre of the North Atlantic, extending from west of the Mid-Atlantic Ridge (Klein & Siedler, 1989; Richardson, 1983) to the vicinity of the African Coast near the Gulf of Cadiz (Martins et al., 2002), where it turns south and joins the subtropical gyre circulation (Brügge, 1995; Klein & Siedler, 1989; Maillard & Käse, 1989)

44 The AzC has its origin at about 40°N 45°W, where the Gulf Stream branches into the northern branch of the North Atlantic Current and the southern branch that feeds the AzC (Brügge, 45 1995; Klein & Siedler, 1989; Krauss & Käse, 1984). Notwithstanding the AzC source region, 46 modeling studies have found that the AzC owes much of its existence to the beta plume mechanism 47 due to the mixing of the light North Atlantic Central Water with the underlying heavy 48 49 Mediterranean Outflow Water in the Gulf of Cadiz (Jia, 2000; Kida et al., 2008; Özgökmen et al., 50 2001; A. Peliz et al., 2007). The AzC jet lies south of the Azores Archipelago between 32° and 36° N (Brügge, 1995; Klein & Siedler, 1989; Stramma & Müller, 1989) and transports circa 10 Sv (1 51 $Sv = 10^6 \text{ m3/s}$) in the top 800 m at 35°-33° W with surface velocities above 10 cm/s (Klein & 52 Siedler, 1989; Stramma & Müller, 1989). Transport and velocities in the AzC decrease eastward, 53 as observed by Stramma and Müller (1989), who found 8 Sv (0 - 800 m) and surface speeds below 54 55 9 cm/s at 26° 30'W. The vertical structure of the AzC can penetrate to 2000 m (Alves & Verdière, 1999; Gould, 1985) with an e-folding depth of 600 m (Käse et al., 1985) and with transports 56 concentrated in the upper water column (40% of transport at 33°W above 200 m), (Klein & Siedler, 57 58 1989). The AzC is a permanent feature of the circulation in the Eastern North Atlantic but displays clear, albeit small, seasonal changes in position and strength. In its western part, the AzC is 59 connected to the source region by a quasi-uniform current in the winter, that branches in two in 60 the summer, with the southern branch performing a cyclonic meander (Klein & Siedler, 1989). In 61

the winter, the AzC is displaced to the north of the thermal front while in the summer the current axis is displaced to the south (Stramma & Müller, 1989; Stramma & Siedler, 1988). The structure of the surface circulation shows enhanced meandering and southward branching in the winter (Martins et al., 2002; Traon & Mey, 1994) while the AzC transports increase suddenly and the current deepens from winter to spring (Alves & Verdière, 1999)

The AzC is marked by strong meandering and pinching off of mesoscale eddies (Gould, 67 1985). The typical meander length scale is 200 - 400 km with an eastward phase speed of roughly 68 1.5 km day⁻¹ and time scales of 20 - 120 days (Maillard & Käse, 1989; Traon & Mey, 1994). Cold-69 core cyclonic (CC) eddies form as far east as 25° W as plumes of northern cold water and propagate 70 westward at 2-3 km day⁻¹, increasing their intensity (Gould, 1985; Pingree et al., 1999). The 71 mechanism of eddy formation in the AzC forms CC cold-core eddies to the south of the AzC axis 72 and warm-core anticyclonic (AC) eddies to the north, by the nonlinear growth of meander 73 amplitude that eventually causes the wavy form to break into isolated, closed, rotating features 74 with a definite relative vorticity sign, enclosed in waters with ambient vorticity of opposite sign 75 (Alves et al., 2002). Thus, a CC eddy is formed when a meander grows southward creating a plume 76 of cold water south of the current axis, and breaks; an AC eddy forms when a meander grows 77 northward, bringing warm water plume north of the current axis, and breaks. Analysis of altimetry 78 and drifter records of Aguiar et al. (2011) shows that CCs are more numerous than ACs and that 79 they form at a faster rate (1.4 - 2.4 year-1 vs. 1.2 - 1.7 year-1). 80

Meander growth and eddy detachment in the AzC is the result of the baroclinic instability of the AzC jet (Alves & Verdière, 1999; Kielmann & Käse, 1987), as a baroclinically unstable eastward flowing jet will grow sufficiently large meanders for eddy detachment to occur as potential vorticity conservation implies large changes in relative vorticity along the path of a fluid element (Ikeda, 1981). Meander growth rates and phase speeds decrease with an increasing amplitude as nonlinearity and dissipation arrest the meander growth (Kielmann & Käse, 1987; Orlanski & Cox, 1972; Wood, 1988).

The analysis of the energetics of ocean currents has been fruitful in explaining the 88 mesoscale structure of the currents and their evolution. Early numerical and field studies of the 89 90 Gulf Stream, e.g. Orlanski and Cox (1972), Rossby (1987), showed the importance of the baroclinic energy transfer in initiating and sustaining the mesoscale meander and eddy fields. In 91 the Gulf Stream region, mean available potential energy (MAPE) is the major energy reservoir 92 (Kang & Curchitser, 2015), and the main energy transfers are barotropic, from mean to eddy 93 94 kinetic energy directly (MKE \rightarrow EKE) and from MKE to EKE via MAPE and eddy available 95 potential energy (MKE \rightarrow MAPE \rightarrow EAPE \rightarrow EKE), through Ekman pumping (Kang &

In the open North Atlantic Ocean, the situation is different: although the main energy 97 reservoir is still MAPE, the main eddy energy supply path is a baroclinic transfer from EAPE to 98 EKE, and an inverse barotropic transfer from EKE to MKE can be observed (Beckmann et al., 99 1994). Incidentally, the same configuration of energy transfers is also found for the Gulf Stream 100 in the open ocean (Kang & Curchitser, 2015). For the AzC, idealized model studies have unveiled 101 an energy cycle in general agreement with the open ocean results of Beckmann et al (1994): EKE 102 103 fed mainly by baroclinic energy transfer and an inverse barotropic transfer by which the eddy field sustains the mean flow (Alves & Verdière, 1999; Kielmann & Käse, 1987). 104

⁹⁶ Curchitser, 2015).

In these primitive equation model studies instabilities are triggered in a baroclinically 105 unstable zonal base flow (Alves & Verdière, 1999), and in a first phase an increase of EKE at the 106 expense of EAPE occurs. In this phase, peaks in EKE coincide with the detachment of AC eddies. 107 A second phase ensues where strong Reynolds stress convergence feed the main flow (MKE) at 108 the expense of EKE; MKE is maximum half-way in the second phase (Alves & Verdière, 1999). 109 Superimposed on this cycle is a weak and intermittent barotropic energy transfer from MKE to 110 EKE due to the shear instability of the generated mean flow (Alves & Verdière, 1999; Wood, 111 1988). The energy cycle has time scales of ~200 days, but without restoration of the MAPE 112 reservoir only the first cycle will occur and the instabilities will dye-off (Alves & Verdière, 1999). 113

114 Although the energetics cycle of the AzC is relatively well established, some questions remain regarding its seasonality and recurrence with time. Does the cycle occur all year round? 115 Are there any reversals in the energy flows during the year in response to seasonal variations of, 116 say, atmospheric forcing, or density stratifications? In this paper, we try to answer these questions 117 using a primitive equation simulation of the AzC in the regional setting of the Eastern Central 118 North Atlantic. In section 2 the numerical model and the simulation setup are described; in section 119 3 the results of the simulation are presented and an analysis of the AzC energetics is made. Section 120 121 4 concludes the paper.

122 **2 Materials and Methods**

123 2.1 Circulation model

124 The model used in this work is the Regional Ocean Modelling System (ROMS, 125 (Shchepetkin & McWilliams, 2003, 2005). ROMS is a free-surface terrain-following model that 126 solves the primitive equations using the Boussinesq and hydrostatic approximations. In the 127 primitive equation framework, of the 3d velocity $\vec{U} = (u, v, w)$ only the zonal and meridional 128 velocity components (u, v) belong to the prognostic variables set, the other member being the free 129 surface elevation ζ . The momentum equations in Cartesian coordinates are (Haidvogel et al., 130 2008):

$$132 \qquad \frac{\partial(H_z u)}{\partial t} + \frac{\partial(uH_z u)}{\partial x} + \frac{\partial(vH_z u)}{\partial y} + \frac{\partial(\Omega H_z u)}{\partial s} - fH_z v = -\frac{H_z}{\rho_0} \frac{\partial p}{\partial x} - H_z g \frac{\partial \zeta}{\partial s} - \frac{\partial}{\partial s} \left(\overline{u'w'} - \frac{v}{H_z} \frac{\partial u}{\partial s} \right), \tag{1}$$

133
$$\frac{\partial(H_zv)}{\partial t} + \frac{\partial(uH_zv)}{\partial x} + \frac{\partial(vH_zv)}{\partial y} + \frac{\partial(\Omega H_zv)}{\partial s} + fH_z u = -\frac{H_z}{\rho_0}\frac{\partial p}{\partial y} - H_z g\frac{\partial \zeta}{\partial s} - \frac{\partial}{\partial s} \left(\overline{v'w'} - \frac{v}{H_z}\frac{\partial v}{\partial s}\right), \tag{2}$$

134
$$0 = -\frac{l}{\rho_0} \frac{\partial p}{\partial s} - \frac{g}{\rho_0} H_z \rho, \qquad (3)$$

135 where (3) is the vertical momentum equation, which in the hydrostatic approximation is a simple 136 relationship between the vertical pressure gradient and the weight of the fluid column. The 137 continuity equation is:

139
$$\frac{\partial\zeta}{\partial t} + \frac{\partial(H_z u)}{\partial x} + \frac{\partial(H_z v)}{\partial y} + \frac{\partial(H_z \Omega)}{\partial s} = 0,$$
 (4)

140 and the scalar transport equation is:

142
$$\frac{\partial(H_zC)}{\partial t} + \frac{\partial(uH_zC)}{\partial x} + \frac{\partial(vH_zC)}{\partial y} + \frac{\partial(\Omega H_zC)}{\partial s} = -\frac{\partial}{\partial s} \left(\overline{c'w'} - \frac{v}{H_z} \frac{\partial C}{\partial s} \right) + C_{source}.$$
 (5)

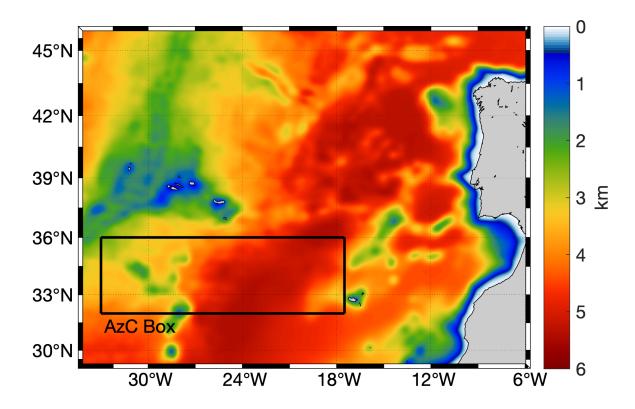
In (1-5), *s* is a vertical stretched coordinate that varies from s=-1 (bottom) to s=0 (surface). The vertical grid stretching parameter is $H_z = \partial z/\partial s$ and Ω is the vertical velocity in the *s* coordinate. The Coriolis parameter is f, p is the hydrostatic pressure and g is the acceleration of gravity. An overbar denotes averaged quantities, primed (') variables are departures from the average and v is molecular diffusivity (momentum or scalar). Vertical turbulent momentum and tracer fluxes are:

148
$$\overline{u'w'} = K_M \frac{\partial u}{\partial z}; \ \overline{v'w'} = -K_M \frac{\partial v}{\partial z}; \ \overline{c'w'} = -K_H \frac{\partial \rho}{\partial z},$$
 (6)

149 where K_M and K_H are momentum and tracer eddy diffusivities. The equation of state for seawater 150 is given by $\rho = f(C,p)$. C_{source} is the tracer source/sink term.

151 ROMS is highly configurable for realistic applications and has been applied to a wide 152 variety of space and time scales across the globe (Haidvogel et al., 2008).





154

Figure 1. Domain of the ROMS simulation. The AzC box is the region used in the analysis of the AzC energy transfers. Box limits are 33° to 17.5°W and 32° to 36° N.

157

The model domain (Figure 1) is part of the Eastern Central North Atlantic and covers the western Iberian margin extending to the Azores and Madeira archipelagos (34.4° to 5.7°W and 29° to 46°N). The average horizontal resolution is 4.2 km in the meridional direction and 4.4 km in the zonal direction. The vertical discretization used 20 sigma layers, stretched to increase the resolution near the surface and bottom. The bathymetry is interpolated from ETOPO and smoothed to satisfy a topographic stiffness-ratio of 0.2 (Haidvogel & Beckmann, 1999). The minimum depth used is 10 m. The model configuration uses a third-order upstream advection scheme for momentum and tracers, a fourth-order centred scheme for vertical advection of momentum and tracers, and the KPP scheme for vertical mixing (Large et al., 1994). Explicit horizontal momentum and tracer diffusion is set to zero. Bottom drag uses a quadratic law with drag coefficient of 0.003.

The model is run in climatological mode where a yearly cycle is repeated for 20 years. The 169 model is forced by surface monthly climatological momentum, heat, freshwater and shortwaye 170 radiation fluxes from the Comprehensive Ocean-Atmosphere Data Set (Woodruff et al., 1998), 171 that collects global weather observations taken near the ocean's surface since 1854, primarily from 172 merchant ships. At the open boundaries, values of 2D (barotropic) and 3D (baroclinic) velocities, 173 and active tracers (potential temperature and salinity) are nudged to climatological values. The 174 offline nesting procedure employed here uses a nudging region of 40 km along the model 175 boundaries. In this layer, the 3-D model variables (temperature, salinity, and currents) are pushed 176 toward their climatological values. The nudging time scale is set to 5 days at the boundaries, 177 decaying linearly to zero inside the nudging layer. At the boundaries, outgoing radiation conditions 178 are used for the baroclinic variables (Marchesiello et al., 2001). Climatological sea surface height 179 and barotropic currents were imposed at the boundaries using Chapman boundary conditions 180 181 (Chapman, 1985).

182 2.2 Energetics formalism

In this work the formalism¹ of Kang and Curchitser(2015) is used to analyze the energetics 183 of the AzC. The total density is $\rho(x, y, z, t) = \rho_r(z) + \rho_a(x, y, z, t)$ where $\rho_r(z)$ is the reference 184 density and ρ_a is the perturbation density. The reference density is defined as the density of an 185 globally static, stably stratified state of the ocean, obtained from its actual state by an adiabatic 186 rearrangement of the fluid, conserving salt and mass (Lorenz, 1955; Saenz et al., 2015). The choice 187 of $\rho_r(z)$ fell on the global reference stratification obtained by Saenz et al. (2015) from the annually 188 averaged temperature and salinity fields of the World Ocean Atlas 2009. Arguably, this choice is 189 consistent with the definition of $\rho_r(z)$ as a global state of rest; choosing a local $\rho_r(z)$, as is more 190 usual (Kang & Curchitser, 2015) would imply that there exist horizontal reference density 191 gradients, in contradiction to the definition of the reference density. The pressure is $p = p_r + p_a$, 192 where p_r is the pressure associated to ρ_r by the hidrostatic relation and p_a the perturbation pressure 193 associated with the perturbation density ρ_a by the same relation. The density transport equation is 194 195 thus

196
$$\frac{\partial \rho_a}{\partial t} + \vec{U}_H \cdot \nabla \rho_a = \frac{\rho_0}{g} N^2 w + F_p + D_p, \tag{7}$$

197 where $\vec{U}_H = (u, v)$ and N^2 is the buoyancy frequency:

$$N^2 = \frac{g}{\rho_0} \frac{d\rho_r}{dz}$$

and Fp and Dp are buoyancy forcing and dissipation, respectively. The mean and perturbation (or eddy) energy equations are obtained by decomposing the relevant fields into its mean and fluctuating parts. Here, the mean is taken as the zonal average of the field in the AzC box:

¹ The formalism is here introduced in a implementation-independent notation. The actual expressions used in the calculations are adapted to the ROMS curvilinear fractional coordinate system.

202
$$\overline{()} = \frac{1}{L} \int_0^L () dx,$$

where *L* is the length of the AzC box. This choice is consistent with earlier studies of the energetics of the AzC, e.g Alves and Verdière (1999), and is chosen over, say a temporal mean, because of the stable zonal character of the mean AzC and because, in the presence of strong meandering, time fluctuations are more a result of the meandering itself than of time fluctuations (Rossby, 1987). The total field ϕ is then the sum of its mean part $\overline{\phi}$ and its fluctuating part ϕ' . For density it is $\overline{\rho} = \rho_r + \overline{\rho_a}$ and $\rho' = \rho'_a$. The horizontal kinetic energy density (KE, energy per unit volume) is decomposed in mean (MKE) and fluctuating (EKE) parts:

The available potential energy (APE) is computed with the linear expression of Gill (1982):

213
$$APE = \frac{g^2 \rho_a^2}{2\rho_0 N^{2^2}}$$

that is the leading term of the Taylor series expansion of the exact APE expression (Kang &
Fringer, 2010). The consequences of this choice of PE formulation are considered in section 4.
The APE density is also decomposed in mean (MAPE) and fluctuating (EAPE) parts:

217 APE=MAPE+EAPE=
$$\frac{g^2 \overline{\rho}_a^2}{2\rho_0 N^2} + \frac{g^2 \overline{\rho}_a^2}{2\rho_0 N^2}$$
. (9)

The equation for MKE is obtained multiplying the momentum equations (1) and (2) by $\rho_0 \bar{u}$ and $\rho_0 \bar{v}$ respectively, and averaging their sum:

$$221 \qquad \frac{\partial MKE}{\partial t} + \nabla \cdot \left(\overline{\vec{U}}_H MKE\right) + \nabla \cdot \left(\overline{\vec{U}}_H \overline{p}_a\right) = -g\overline{\rho_a}\overline{w} - \rho_0 \left[\overline{u}\nabla \cdot \left(\overline{\vec{U'}u'}\right) + \overline{v}\nabla \cdot \left(\overline{\vec{U'}v'}\right)\right] + 220 \qquad \overline{\vec{U}} \cdot \overline{\vec{F}} + \overline{\vec{U}} \cdot \overline{\vec{D}}.$$

$$(10)$$

The 2nd (*cv*₀) and 3rd terms of the left-hand side (lhs) of (10) represent the divergence of the MKE flux into the domain. The first term of the right-hand side (rhs), *cm*₀, is the acceleration of the mean flow due to mean buoyancy work; the second term, *ck*₀, is the Reynolds stress work that transfers energy from the eddy to the mean flow. The third and fourth terms are the MKE forcing by mean surface fluxes \vec{F} and the dissipation of MKE by mean viscous work \vec{D} .

227 The EKE is obtained in a similar fashion by multiplying the momentum equations (1) and 228 (2) by $\rho_0 u'$ and $\rho_0 v'$ and averaging their sum:

$$230 \qquad \frac{\partial EKE}{\partial t} + \nabla \cdot \left(\overline{\vec{U}_H EKE}\right) + \nabla \cdot \left(\overline{\vec{U}'_H p'}\right) = -g\overline{\rho'_a w'} - \rho_0 \left[\overline{u'\overline{U'}} \cdot \nabla \overline{u} + \overline{v'\overline{U'}} \cdot \nabla \overline{v}\right] + 229 \qquad \overline{\vec{U'} \cdot \vec{F'}} + \overline{\vec{U'} \cdot \vec{D'}}.$$
(11)

The $2^{nd}(cv)$ and 3^{rd} term (cp) of the lhs of (11) are analogous to those of the MKE equation (10). The first and second terms, *cm* and *ck*, of the rhs represent EKE production by baroclinic and

- barotropic instabilities. The last two terms are the mean forcing of EKE by fluctuating wind stress
 and mean dissipation of EKE by fluctuating viscous work.
- The equations for MAPE and EAPE are obtained by multiplying the density equation (7) by $\frac{g^2 \overline{\rho_a}}{2\rho_0 N^2}$ and $\frac{g^2 \rho' a}{2\rho_0 N^2}$ respectively, and averaging the result. The MAPE equation is:

237
$$\frac{\partial MAPE}{\partial t} + \nabla \cdot \left(\overline{\vec{U}_H}MAPE\right) = g\overline{\rho_a}\overline{w} - \frac{g^2\overline{\rho_a}}{2\rho_0 N^2}\nabla \cdot \left(\overline{\vec{U'}\rho'}_a\right) + \frac{g^2\overline{\rho_a}}{2\rho_0 N^2}(\overline{F_r} + \overline{D_r}).$$
(12)

The second term of the lhs of (12), gv_0 , is the flux divergence of MAPE. The first term of the rhs of (12) is $-cm_0$ and the second term, gp0, is the EAPE \rightarrow MAPE energy transfer. The last two terms are the forcing and dissipation of MAPE. The EAPE equation is:

241
$$\frac{\partial EAPE}{\partial t} + \nabla \cdot \left(\overline{\vec{U}_{H}EAPE}\right) = g\overline{\rho'_{a}w'} - \frac{g^{2}}{2\rho_{0}N^{2}}\overline{\vec{U'}\rho'_{a}} \cdot \nabla(\overline{\rho_{a}}) +$$

242
$$\frac{g^2}{2\rho_0 N^2} \left(\overline{\rho'_a F'_r} + \overline{\rho'_a D'_r} \right), \tag{13}$$

where the first term of the rhs of (13) is -*cm* and the second term, *gp*, is the MAPE \rightarrow EAPE energy transfer. The last terms are the forcing and dissipation of EAPE. The *gp*₀ and *gp* terms exchange energy between MAPE and EAPE due to the action of fluctuating density fluxes. As pointed out in Kang and Curchitser (2015), the terms in the EAPE and MAPE equations depend on the choice of $\rho_r(z)$, except for *cm*. The sensitivity of this dependence was examined by Kang and Curchitser (2015) that found that it mostly affects MAPE, while the *cm*₀, *gp*₀ and *gp* are only slightly affected by the choice of $\rho_r(z)$.

250 **3 Results**

251 3.1 Circulation

The model achieved equilibrium after a spin up period of 4 model years, after which the volume average total kinetic energy density $1/V \cdot J_V 0.5\rho(u^2+v^2) dV$ (Figure 2a) reaches a plateau and then fluctuates around 1.2 kg m⁻¹ s⁻² until the end of the simulation. Domain averaged temperature levels (Figure 2b) show a strong seasonal signal, superposed to a declining trend from year 4 onward. Domain averaged salinity (Figure 2c) shows a declining trend without clear seasonality.

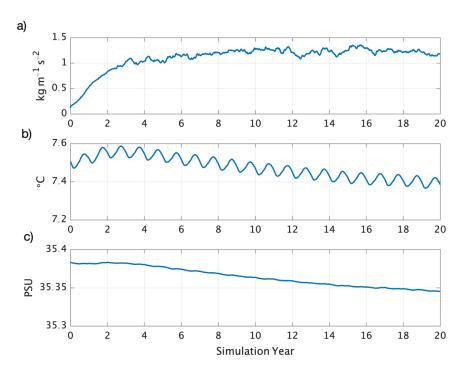


Figure 2. Time series of domain averaged a) kinetic energy density; b) temperature; c) salinity. PSU is
 Practical Salinity Units.

The average surface velocity field from the ROMS simulation (Figure 3a) shows the 261 characteristic surface circulation patterns in the region. North of 36°N the circulation is mainly 262 south-eastward due to the southward branches of the Gulf Current that separate approximately at 263 54°W, leaving the North Atlantic Drift and the southward PC between 18° and 12°W (Reverdin et 264 265 al., 2003). East of the PC the circulation is influenced by the MO and the WIbUS. The main features of the average coastal circulation in the western Iberian shelf are the Cape São Vicente 266 westward jet that flows along the slope of Gulf of Cadiz and the western Iberia coastal counter-267 flows. The poleward flow along the Iberian margin matches descriptions of the Portugal Coastal 268 Counter-Current, that is known to bend anticyclonically when passing the north-western corner of 269 the Iberian peninsula (Álvarez-Salgado et al., 2003), and of other coastal poleward counter-flows 270 271 reported in the literature (Peliz et al., 2002, 2005).

Below 36°N, the AzC appears as the eastward jet between 33° and 36° N, clearly visible in Figure 3a until the Gulf of Cadiz, with maximum velocities of the order of 10 cm s⁻¹. The AzC partially turns south and joins the general westward and southward drift of the West Africa and the subtropical gyre. The eastward jet's location agrees with the well-known AzC location, and it can be seen reaching the Gulf of Cadiz.

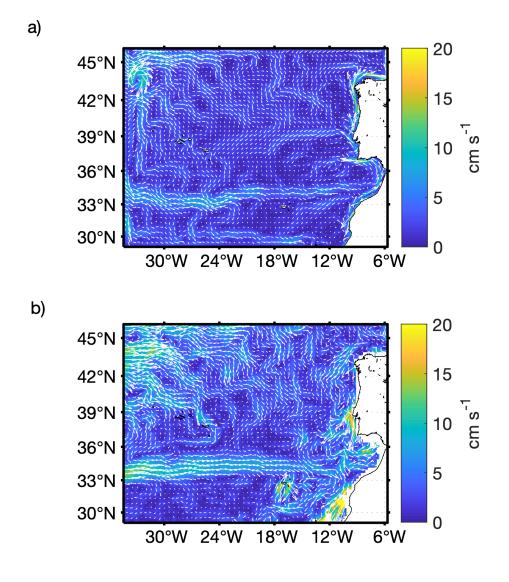


Figure 3. Mean surface horizontal velocity field. a) ROMS simulations; b) SVP climatology.

The comparison of the model average surface velocity field with the Surface Velocity 279 Program climatology (Laurindo et al., 2017) (Figure 3b) shows that the model velocities are 280 generally lower than those of the SVP climatology. However, the position of the main features is 281 well reproduced, especially the position of the AzC and the general south-eastward velocity field 282 in the northern part of the domain. The discrepancies highlight the limitations of the limited area 283 modelling approach and the use of a climatological forcing. The first factor introduces errors at 284 the boundaries e.g, the velocity imposed on the boundary is computed from geostrophy only while 285 the SVP dataset is computed from real drifter velocities. The climatological forcing on the other 286 hand limits the model response only to an annual cycle while the SVP dataset contains also 287 interannual forcing effects. 288

289 3.2 The Azores Current

The average surface velocity field (Figure 3a) shows the AzC as a quasi-zonal eastward 290 jet. However, the instantaneous velocity field for 4 of March of simulation year (S.Y.) 18 (Figure 291 4a) shows the AzC, the predominant circulation feature in the region, as a strongly meandering jet 292 with instantaneous velocities up to 50 cm/s in the current axis. The axis itself is severely deformed, 293 forming a cyclonic meander centred at approximately 32°W. South of the current axis three closed 294 cyclonic circulations can be observed between the western boundary and 30°W. These cyclones 295 have length scales on the order of 100 - 300 km and are present due to the pinching off of cyclonic 296 vortices formed northward of the jet axis (Alves et al., 2002). In a purely zonal jet, positive 297 298 (cyclonic) vorticity is found northward of the jet axis and negative (anticyclonic) vorticity is found south of the jet axis. 299

300 Although the idealization is far from being verified in this simulation, the situation depicted 301 in Figure 4a conforms to this model. Indeed, just under the meander a weak anticyclonic circulation is found. The stirring (Abraham & Bowen, 2002) of the sea surface temperature (SST) field by the 302 303 mesoscale circulation is visible in the SST map for 4 March S.Y. 18 (Figure 4b), superposed on the gyre scale SST North-South gradient. The association of the AzC with the SST front is clearly 304 observed as the position of the AzC meander coincides with the position of a strong change in 305 SST. Additionally, the position of the large cyclone south of the current axis matches the position 306 of a pool of cooler water, indicating that the cyclone had its origin north of the current axis and, as 307 it moved south, carried with it the colder waters found north of the jet axis. The seasonality of the 308 309 AzC is pictured in Figure 5. The winter average AzC core is displaced north of the thermal front (18° C isotherm, Figure 5, panel a), while in the Summer a well-developd seasonal thermocline 310 appears (Figure 5, panel c) The AzC is appears as a surface intensified deep jet, with the Azores 311 Counter-Current flowing northward of the AzC jet, centred at 600 m depth. From Spring (Figure 312 5 panel b) through Summer (Figure 5 panel c) we observe the broadning and weakening of the 313 average AzC core. In the Autumn (Figure 5 panel d), the AzC starts to strengthen and deepening 314 again. As could be expected from the permanence of the AzC the annual variation of meander size 315 is limited (Figure 6), showing an increase from Winter to Spring and a decrease from Summer to 316 Autumn and Winter. 317

318 3.3 Seasonal energy budgets in the AzC

Seasonal energy reservoirs and internal energy transfers are shown in Figure 7. The energy 319 reservoirs are fairly constant during the whole year, in agreement with previous observations of 320 the seasonality of the AzC. The largest reservoir is by far MAPE, followed by EAPE. The fact that 321 the AzC lies in the northern limit of the subtropical gyre, in the frontal region that separates warm 322 subtropical from cold subpolar mode waters guarantees the existence of uplifted isopycnals 323 throughout the year (Pingree et al., 1999; Volkov & Fu, 2011), providing thereby a permanent 324 displacement of the constant density surfaces with respect to the reference state $\rho_r(z)$ and therefore 325 a constant reservoir of APE. The average stratification in the AzC box for each season (winter: 326 DJF; spring: MAM; summer: JJA; autumn: SON) are always less stable than $\rho_r(z)$ (Figure 8) so 327 there is a permanent pool of APE available for conversion. It should be noted that APE reservoir 328 329 is fed by the atmospheric forcing of the mean currents, through Ekman dynamics, hence it should be interpreted as a representation of the energy input in to the ocean from the atmospheric 330 circulation. EAPE is the second largest energy reservoir and is larger in spring, after being supplied 331 332 during winter by the APE reservoir at the largest seasonal transfer rate (~81 MW).

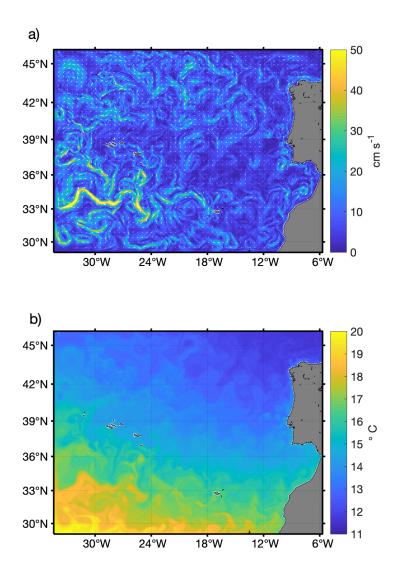


Figure 4. Velocity and temperature surface fields. a) Surface horizontal velocity field for the 4 March
 S.Y.18; b) Sea Surface Temperature map for the same day.

During Spring, the EAPE reservoir is nearly in balance between the APE input (+17.6 MW) and baroclinic energy flow to EKE (-19.2 MW), with weak net decrease of 1.3 MW. EAPE increases from winter to spring and decresses from spring through autumn, although the summer net energy transfer to EAPE due to fluctuating density fluxes and baroclinicity is positive. Therefore, other energy transfers must account for the decrease in EAPE during summer. The APE reservoir seasonality shows that it attains its increases from autumn through winter to spring and decreases through summer and autumn (Figure 7).

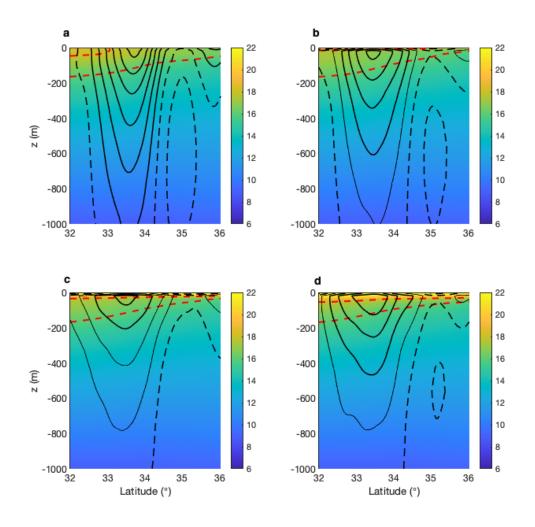


Figure 5. Seasonal average temperature (colormap) and eastward velocity (contours) in the AzC
box. a) Winter (DJF); b) Spring (MAM); c) Summer (JJA); d) Autumn (SON). Full contours: u>
0 m/s at 1 cm/s interval; Dashed contours: u<0 m/s at 1 cm/s intervals. Red dashed lines: 16° C
and 18°C isotherms.

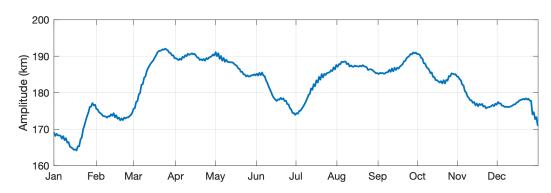
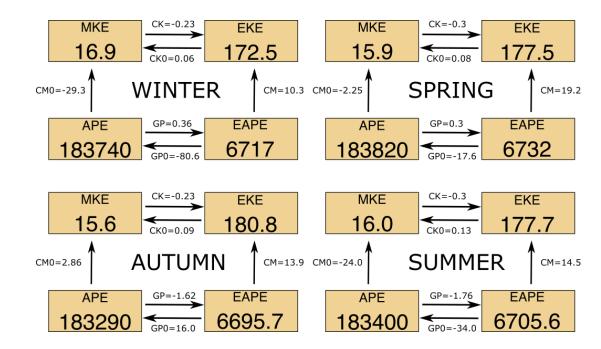


Figure 6. Annual cycle of meander length scale in the AzC. Meander length scale computed as the zonally average distance between positive (northward) and negative (southward) AzC core

351 excursions from the mean AzC core position.

EKE is lowest during winter and increases through Spring, Summer until its maximum in autumn. The yearly change in EKE is 8.3 GJ with a sharp decrease from autumn to winter.Baroclinic energy transfers are always two orders of magnitude larger than the inverse barotropic transfer from EKE to MKE.





357

Figure 7. Seasonal mean energy reservoirs and internal transfers. Winter: top left block diagram; Spring: 358 top right block diagram; Summer: bottom right block diagram; Autumn: bottom left block diagram. MKE: 359 Mean Kinetic Energy; EKE: Eddy Kinetic Energy; EAPE: Eddy Available Kinetic Energy; APE: Available 360 Potential Energy. CM(0): baroclinic (eddy) energy transfer; CK(0) is barotropic (eddy) energy transfer. 361 GP(0): potential (eddy) energy transfer. Energy reservoirs are in units of GJ (10⁹ Joules) and energy 362 transfers in MW (10^6 Watts). Arrows indicate the direction of the net energy transfers. Values are seasonal 363 364 mean per unit zonal length. The seasonal means were computed from the 16-year time series of zonal 365 means.

The energy cycle EAPE \rightarrow EKE \rightarrow MKE identified in early idealized studies (Alves & 366 Verdière, 1999; Kielmann & Käse, 1987; Wood, 1988) of the AzC is active during the whole year, 367 is strongest in spring (net 19.6 MW) and weakest in winter (net 10.6 MW) and so the results show 368 that throughout the year the mean AzC is fed by the mesoscale circulation. The smallest reservoir 369 is MKE, approximately one order of magnitude smaller than EKE, in agreement with observations 370 of the AzC (Brügge, 1995; Martins et al., 2002) that show that the current's kinetic energy is in 371 large part dominated by eddies. In terms of seasonal means, MKE is continuously supplied by 372 inverse barotropic energy transfers from EKE that are stronger in the summer (0.43 MW) and 373 weaker in the winter (0.29 MW). There is a strong internal energy transfer from MKE to APE in 374 the winter and summer due to Ekmann pumping (Kang & Curchitser, 2015; Volkov & Fu, 2010). 375

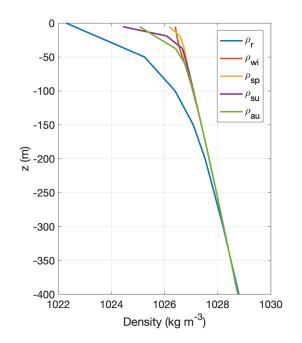
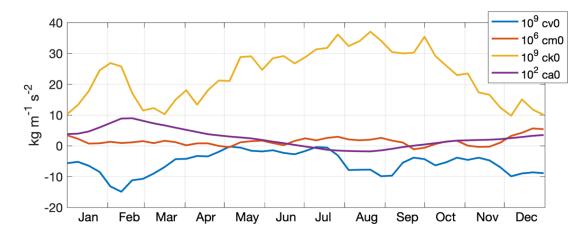


Figure 8. Reference and seasonal stratification profiles averaged in the AzC box. Reference stratification: ρ_r ; Winter stratification: ρ_{wi} ; Spring stratification: ρ_{sp} ; Summer stratification: ρ_{su} ; Autumn stratification: ρ_{au} . APE is proportional to the area between the reference and the seasonal stratifications

381 3.4 Seasonal energy transfer cycles

The weekly averaged annual cycle of MKE density transfer terms is shown in Figure 9, where the different terms were scaled to fit a common range. The atmospheric forcing ca_0 follows an annual cycle where it is maximum in late winter and minimum in summer.

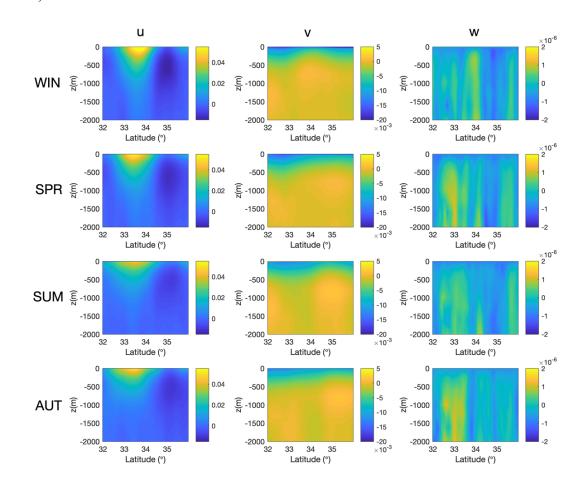


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Figure 9. Annual cycle of volume averaged MKE transfer terms. Cv_0 : advective flux of MKE; cm_0 : Mean buoyancy work; ck_0 : Reynolds stress (barotropic) work; ca_0 : wind stress work. All terms were scaled to fit the same range. Scaling factors are shown next to the color key. Terms with larger scaling factors are smaller than terms with smaller scaling factors.

The variation of the atmospheric forcing in the AzC is largely due to the motion of the large scale atmospheric systems: from January to July in the AzC region the winds change from westerlies to

trades (Hellerman and Rosenstein, 1983). Since \bar{u} of the surface AzC core jet is always positive 392 (Fig 8, left panels), the zonal mean wind power input will change from positive in winter to 393 negative in summer as the zonal wind stress component (Fig 9, top panel). The AzC's \bar{v} at the 394 surface is always negative which, combined with negative wind meridional stress (Figure 11, 2nd 395 panel), produces a positive meridional wind power input. The advective term cv_{θ} measures the net 396 flux of MKE into the domain. This term is always negative, with minimum in winter and maximum 397 in late spring and summer, when it approaches zero. The sign of this term is likely a result of the 398 negative zonal gradient of MKE, as the mean AzC is weaker in the eastern part of the domain, 399 with measured MKE values of 70 $cm^2 s^{-2}$ at 32°W decreasing to 28 $cm^2 s^{-2}$ at 16°W (Aguiar et al., 400 2011). 401

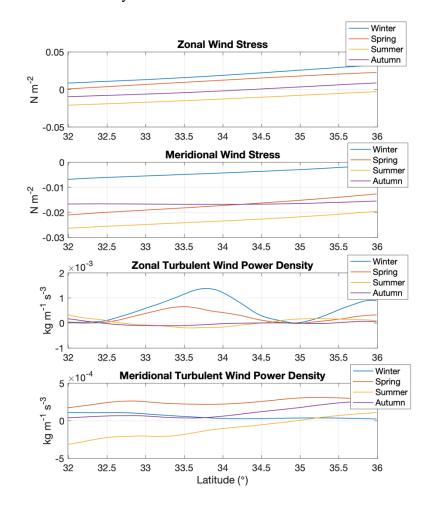


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Figure 10. Seasonal average of mean velocity components in the AzC box. WIN: winter (DJF); SPR:
 spring (MAM); SUM: summer (JJA); AUT: autumn (SON).

The MPE \rightarrow MKE transfer term cm₀ is fairly constant throughout the year, only rising conspicuously in early winter, as the stratification starts to weaken, since \overline{w} does not show noticeable variations (Fig 8, right panels). The ck₀ term, which measures part of the barotropic transfer, is positive throughout the year with a local peak in mid-winter and then a slow rise during spring to a plateau in summer. This term isn't analyzed further since it is small compared to the other term in the barotropic energy transfer (*ck*).EKE terms are shown in Figure 12. The atmospheric forcing of EKE (*ca*) is negative for most of the year, exhibiting a positive phase during

winter, due to the increase in the zonal turbulent wind power input (Figure 11, 3rd panel). The 412 meridional counterpart is one order of magnitude smaller (Figure 11, 4th panel). The advective 413 flux term cv is largely positive but shows an important negative dip in mid-winter, followed by a 414 sharp rise in late winter/early spring. This term involves averages of products of velocity 415 components by EKE gradients. These are largely similar to MKE gradients (Aguiar et al., 2011): 416 a negative zonal component and a meridional component that changes sign from positive 417 southward to negative northward of the jet's core. Discarding the vertical, the negative phase of 418 *cv* in the winter could be caused by the intensification of \bar{u} in this season. 419



420

421 Figure 11. Seasonal variations of mean wind stress and turbulent wind power input in the AzC box.

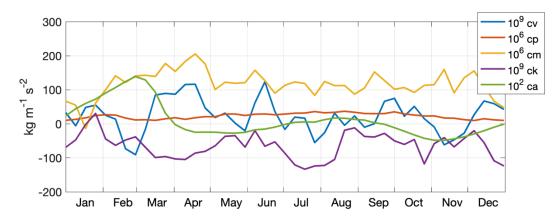
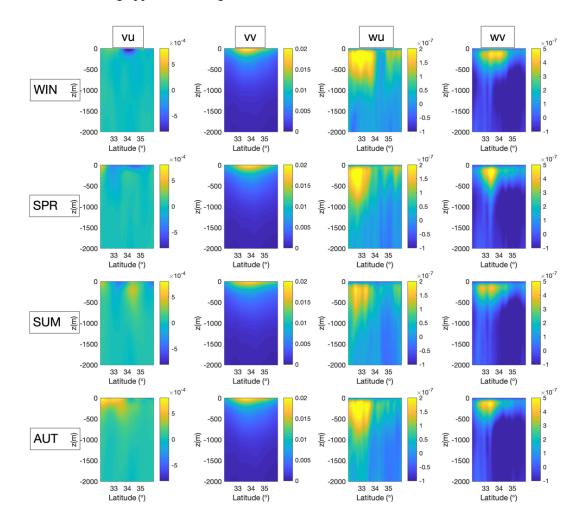


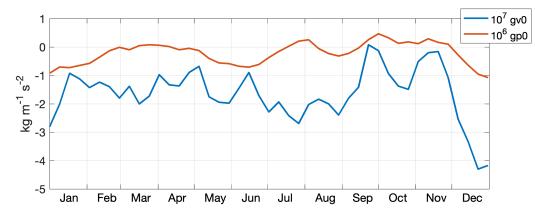
Figure 12. Annual cycle of volume averaged EKE density transfer terms. Cv: advective flux of MKE;
 cp:turbulent pressure work; cm: Mean buoyancy work; ck: Reynolds stress (barotropic) work; ca:
 wind stress work. Scaling applied as in Figure 9.



427

428 Figure 13. Seasonal maps of Reynolds stress terms. Season keys as in Figure 11.

The EPE \rightarrow EKE transfer term *cm* is constant and positive along the year, which means that 430 baroclinic instability is active throughout the year. The other component of the barotropic energy 431 transfer (ck) is largely negative, adding to the reverse barotropic energy transfer that feeds MKE 432 at the expense of EKE. The autocorrelation of the meridional velocity fluctuation $\overline{v'v'}$ is positive 433 and concentrated just below the surface (Figure 13, 2^{nd} column), where $\partial \bar{v} / \partial y$ is positive also 434 (Figure 10, middle panels). The Revnolds stress $\overline{v'u'}$ is negative north of the AzC core, where 435 $\partial \bar{u} / \partial v$ is also negative (Figure 10, left panels). Since these two are the dominant terms of ck, they 436 explain the behavior of the seasonal evolution of the barotropic energy transfer term. The 437 annual cycle of MPE density transfer terms (Figure 14) shows that advective fluxes of buoyancy 438 (gv_0) are one order of magnitude smaller than MPE \rightarrow EPE transfers (gp_0) . The term shows an 439 important increase (towards more negative values) in winter that, given that the main density 440 441 gradients in the area are latitudinal, could be the result of the tilting of the axis of the mean AzC.



442

443 **Figure 14**. Annual cycle of volume averaged MAPE density transfer terms. gv_0 : advective flux of MAPE; 444 gp_0 :MAPE to EAPE transfer. Scaling applied as in Figure 9.

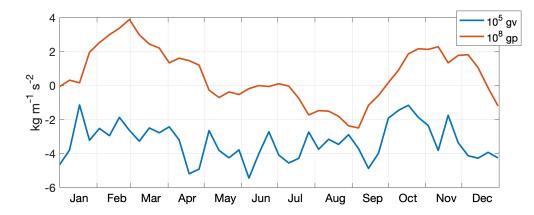




Figure 15. Annual cycle of volume averaged EAPE transfer terms. gv: advective flux of EAPE;
 gp:EAPE to MAPE transfer. All terms were scaled to fit the same range. Scaling applied as in Figure 9.

The EAPE density transfer terms gv and gp are shown in Figure 15. The EAPE \rightarrow MAPE transfer gp is positive in later winter and spring and becomes negative afterward in summer, to rise to positive levels afterwards. If it is assumed that $\nabla \overline{\rho_a}$ is positive due to the upsloping of isopycnals at the edge of the subtropical gyre, then the dip in gp, that is $\propto -\overline{U'\rho'_a} \cdot \nabla \overline{\rho_a}$, in the summer must be due to positive correlations between fluctuating density anomalies and fluctuating velocities. The other term in the EAPE cycle is the advective flux of fluctuating density, gv, that is always negative and three orders of magnitude larger than gp, indicating that the mesoscale circulation is the dominant source of buoyancy flux, drawing buoyancy out of the AzC region throughout the year.

457 **4 Discussion and conclusions**

Although the AzC is stable throughout the year, seasonal variations in the quantities involved in its energetics are found. The main energy reservoir is the mean available potential energy, that is considerably larger than all the others. Energy from this reservoir is transformed in eddy kinetic energy by baroclinic instability, that is then transferred to mean kinetic energy by inverse barotropic energy transfer. This flow of energy occurs throughout the year and is well known from earlier studies of the energetics of baroclinically unstable ocean currents.

In spite of these internal dynamics, for MKE the dominant factor explaining its seasonality is atmospheric forcing (*ca0*; Figure 9) by several orders of magnitude. For EKE, atmospheric forcing (*ca*; Figure 12) also appears as the dominant factor and further investigation of the atmospheric influence on the dynamics of the AzC is needed to clarify its role. For MAPE and EAPE the dominant factors are, respectively, eddy to mean potential energy transfers (*gp0*; Figure 14) and the advective flux of EAPE (*gv*; Figure 15).

In the energetics formulation of Kang and Curchitser (2015), the APE expression is a linearized version of the full APE expression, and therefore it is valid only in the case of linear stratification. To understand the effect of this simplification in the energetics cycle of the AzC, selected terms of the energy reservoirs and transfers were computed using the formulation of Aiki et al. (2016), that considers the full PE expression (Table 1).

475	Table 1. Compa	rison of potential ener _s	gy reservoirs and transfe	er rates computed with th	he formulations of Kang &
476	Curchitser (2015	i) and Aiki et al. (2016). Energy reservoirs in T	[J; Energy transfer rates	in MW.

	Winter		Spring		Summer		Autumn	
	KC15	AI16	KC15	AI16	KC15	AI16	KC15	AI16
MAPE	183.74	849.37	183.82	849.29	183.40	849.02	183.29	849.01
EAPE	6.72	41.38	6.73	41.30	6.71	41.40	6.70	41.52
cm0	<i>cm0</i> -29.29		-2.26		-24.02		2.86	
ст	10.34	-22.37	19.27	-53.24	14.55	-38.14	13.98	-29.48
gv0	-15167	370690	-6029	180020	-13288	288170	-3989	-13464
gv	-2040	384.38	-1860	44.521	-2162	40.012	-1746	54.189
gp0	96.48 -17.6	85.95	-34.04	33.06	16.03	53.55		
gp^a	0.356	90.48	0.304	05.95	-1.763	55.00	1.624	55.55

477 Note. ^aIn the formulation of Aiki et al (2016) $gp = gp\theta$.

Regarding the potential energy reservoirs, both the mean and the eddy available PE of Aiki et al. 478 (2016) are one order of magnitude larger than those of Kang and Curchitser (2015), while being 479 both quite stable thoughout the year. The larger value of MAPE for Aiki et al (2016) comes from 480 the inclusion of the term $g(\rho - \rho_r)z$, which has been argued to be a misrepresentation of the local 481 exact value of APE (Kang & Fringer, 2010). This term is also responsible for the large difference 482 in the value of gv0 in both formulations. The EAPE reservoir is larger for Aiki et al (2016) 483 becausee it relies on the actual stratification and not on the reference one as for Kang and 484 Curchitser (2015). Indeed, Figure 8 shows that the actual stratification is weaker than the reference 485

one, resulting in a larger APE. The MAPE \rightarrow MKE transfer term *cm0* is the same for both 486 formulations, whereas the EAPE \rightarrow MKE transfer term *cm* is higher for Aiki et al (2016) due to 487 the use of the actual stratification anomaly and not the anomaly with respect to the reference 488 stratification; however the seasonal variation is the same for both formulations. The EAPE 489 advective flux is lower and of opposite sign for Aiki et al (2016). The reason for this difference is 490 not completely clear but we note that this latter formulation considers also the vertical advection 491 of EAPE. For the MAPE \rightarrow EAPE exchange terms, Aiki et al (2016) gp0 and gp are equal, while 492 this is not so for Kang and Curchitser (2015), due to the presence of the cross APE term in their 493 formulation. While further study of the effect of the different available formulations is certainly 494 warranted, it is out of the scope of this paper but will be addressed in future work. 495

Baroclinic energy transfers is the main internal energy transfer mechanism supporting the 496 AzC energetics and it is stronger in early Spring, at the end of the winter mixing phase. As an open 497 ocean current, baroclinic energy transfers are several order of magnitude larger than inverse 498 barotropic energy transfers that feed the mean flow. This latter mechanism is active all year due to 499 the Reynolds stress convergence northward of the AzC core, that can be understood through the 500 meridional radiation of Rossby waves (Thompson, 1971), with a up-gradient momentum flux that 501 sharpens the jet. The mean flow was observed to transfer energy to the available potential energy 502 reservoir in all seasons except the autumn, with emphasis in the winter and summer. 503

These results support and extend the notion that the AzC is stable on yearly time scales. However, there are indications that interannually, the AzC may experience larger fluctuations due to large scale atmospheric forcing (Volkov & Fu, 2011). In addition, the timing of the energy cycle's several phases still needs to be identified in multi-year simulations of the AzC, to understand how it responds to interannual forcing.

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517 The reservoir and energy transfer rates data used in the study are available at PANGEA 518 repository via https://issues.pangaea.de/browse/PDI-29386 with CC-BY: Creative Commons 519 Attribution 4.0 International license.

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