Scale-independent relationship between permeability and resistivity in mated fractures with natural rough surfaces

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Abstract

Geothermal systems consisting of fractures in impermeable rocks are difficult to characterize by in situ methods. In an effort to link characteristics of small-scale and large-scale fractures, this study investigated possible relations between their geophysical parameters. We upscaled the relationship between fracture permeability and formation factor in a laboratory specimen to larger fracture dimensions. Microscopic flow characteristics indicate that this relationship is related to the tortuosity of flow paths. We derived an empirical formula that directly predicts changes in fracture permeability from changes in formation factor. This relation may make it possible to monitor subsurface hydraulic activities through resistivity observations.

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19	Highlights							
20	• Numerical flow simulations reproduce experimental transport properties in fractures							
21	• Fracture permeability and formation factor are scale-independent versus aperture							
22	• Formulates a scale-independent relation between permeability and formation factor							
23	• Empirical parameters of this relation correspond to microstructures of the fracture							
24	• Connectivity of flow paths subdivides the permeability–formation factor relation							

25 Abstract

26 Geothermal systems consisting of fractures in impermeable rocks are difficult to characterize by in 27 situ methods. In an effort to link characteristics of small-scale and large-scale fractures, this study 28 investigated possible relations between their geophysical parameters. We upscaled the relationship 29 between fracture permeability and formation factor in a laboratory specimen to larger fracture 30 dimensions. Microscopic flow characteristics indicate that this relationship is related to the tortuosity of 31 flow paths. We derived an empirical formula that directly predicts changes in fracture permeability from 32 changes in formation factor. This relation may make it possible to monitor subsurface hydraulic 33 activities through resistivity observations.

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35 Keywords: permeability–formation factor relation, resistivity, fracture permeability, tortuosity, lattice
 36 Boltzmann method, enhanced geothermal system

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38 **1. Introduction**

39 High-enthalpy geothermal systems generally consist of fracture systems developed in impermeable 40 host rocks (e.g., volcanic rocks, hard pyroclastic rocks, and plutonic rocks). Because fractures in an 41 impermeable rock mass effectively control the bulk flow of a system (Kranz et al., 1979), the behavior 42 of fluid flow in fractures and its temporal changes need to be closely examined and well characterized 43 for sustainable development of geothermal resources. Hydrothermal systems are typically imaged and 44 monitored by geophysical methods such as seismic, electric, and electromagnetic observations. For 45 example, magnetotelluric observations have made it feasible to image hydrothermal systems (Hata et 46 al., 2015; Maithya and Fujimitsu, 2019; Mogi and Nakama, 1993; Tsukamoto et al., 2018) and to detect 47 changes in electrical resistivity associated with hydrothermal activity or hydraulic stimulation of 48 enhanced geothermal systems (EGS) (Abdelfettah et al., 2018; Aizawa et al., 2011; Didana et al., 2017; 49 Jackson et al., 1985; Peacock et al., 2012, 2013). Geothermal developments would benefit if changes in 50 hydraulic properties could be linked to geophysical properties that can be remotely monitored; thus,

investigating these geophysical properties of fractures should lead to better understanding of geothermal
systems.

53 The electric current or fluid flow in fractures can be modeled by a small aperture between parallel 54 plates. Assuming that only an electrolyte in a single fracture carries electric current, the associated 55 electric current *I* can be described by the linear relation (e.g., Brown, 1989)

$$I = -\frac{Wd_e}{\rho} \,\nabla V,\tag{1}$$

where *W* is the fracture width, d_e is the electric aperture, ρ is the resistivity, and ∇V is the potential gradient. In contrast, the fluid flow in a fracture is commonly described by the cubic law (e.g., Witherspoon et al., 1980)

$$Q = -\frac{Wd_h^3}{12\mu} \,\nabla P,\tag{2}$$

59 where Q is the flow rate, d_h is the hydraulic aperture, μ is viscosity, and ∇P is the pressure gradient. 60 Because both electrical and hydraulic properties vary with aperture changes, changes in electrical 61 properties could be correlated with changes in hydraulic properties. Electrical properties of rocks often 62 have a linear relationship with permeability in logarithmic coordinates (Archie, 1942; Walsh and Brace, 63 1984). The electrical conductivity in saw-cut fractures also has a linear relationship with permeability 64 in logarithmic coordinates (Stesky, 1986). In contrast, studies based on single synthetic rough-walled 65 fractures have reported that resistivity and permeability have a nonlinear relationship in logarithmic 66 coordinates (Brown, 1989; Kirkby et al., 2016). This nonlinearity has also been confirmed in numerical 67 simulations of digitized natural rock fractures (Sawayama et al., 2020). The cause of this discrepancy 68 may be the heterogeneous distribution of fracture apertures (or asperities) due to the roughness of 69 fracture surfaces, which causes hydraulic properties to deviate from predictions based on the parallel-70 plate model, especially under high normal stress (Jager et al., 2007; Pyrak-Nolte et al., 1988; Raven and 71 Gale, 1985; Tsang and Witherspoon, 1981). Because high-enthalpy geothermal systems generally 72 include subsurface fracture systems at depths of 1 km or greater, it is necessary to investigate the 73 hydraulic and electrical properties of rough-walled fractures subjected to high normal stress. Moreover, subsurface stress perturbations induce aperture changes of subsurface fractures, and hence changes in these relationships with aperture closure also need to be understood to monitor transient changes in subsurface hydraulic properties.

77 The heterogeneous distribution of apertures influences the formation of preferential flow paths 78 within fractures, known as the channeling flow (Brown et al., 1998; Ishibashi et al., 2015; Tsang and 79 Tsang, 1987; Vogler et al., 2018; Watanabe et al., 2008). Channeling flow has also been revealed 80 through field observations (Ishibashi et al., 2015; Tsang and Neretnieks, 1998). In geothermal systems, 81 the channeling flow controls the heat-exchange performance of the hot rock mass and the fluid-rock 82 chemical interactions of dissolution and precipitation (Hawkins et al., 2018; Neuville et al., 2010; 83 Okoroafor and Horne, 2019; Sausse, 2002; Singurindy and Berkowitz, 2005). Thus, in addition to 84 fracture permeability, changes in the channeling flow with changes in fracture aperture need to be 85 clarified for a better understanding of hydraulic activities in geothermal systems.

86 Another important issue in interpreting results from field observations is validation of scaling laws 87 between fracture length scales and geophysical properties, because the scaling effect appears in the 88 distribution of apertures in natural rock fractures (Brown, 1995; Brown and Scholz, 1985; Schultz et al., 89 2008). The aperture structure can be modeled as the contact of two surfaces (footwall and hanging wall) 90 with random surface heights (Brown, 1995). In examinations of the power spectrum density (PSD) of 91 modeled apertures by means of Fourier transforms, the log-log relationship between PSD and aperture 92 wavelengths shows a fractal characteristic at short wavelengths whereby the PSD increases linearly with 93 increasing spatial wavelength, whereas the PSD of the aperture remains constant at wavelengths longer 94 than a particular threshold wavelength because the two surfaces are mated at larger wavelengths. The 95 degree of matedness gradually increases with increasing aperture wavelength (Brown et al., 1986; 96 Glover et al., 1997, 1998a; Ogilvie et al., 2006; Olsson and Brown, 1993). For interpreting field 97 observations, it is thus essential to clarify how this long-wavelength matedness affects the hydraulic and 98 electrical transports. Some studies based on fluid flow experiments and field investigations have 99 suggested that fracture permeability of mated fractures (joints) is scale-dependent (Raven and Gale, 100 1985; Witherspoon et al., 1979), whereas more recent studies based on experiments and numerical 101 simulations of synthetic fractures (Ishibashi et al., 2015; Matsuki et al., 2006) have concluded that it is 102 scale-independent. Because no study has yet clarified a scaling law of fracture resistivity and the 103 relationship between resistivity and permeability, the application of laboratory-scale results to larger 104 fractures in natural settings requires that the scaling effect be validated by changing length scales of 105 fractures that are mated at longer wavelengths.

106 In this study, we conducted a laboratory experiment and numerical simulations to investigate the 107 relationship between hydraulic and electrical properties. We first made laboratory observations of the 108 simultaneous changes in fracture permeability and resistivity in a natural fracture in a geothermal rock 109 sample under increasing normal stresses. The experimental data were used for the validation of our 110 digital fracture simulation. In simulations of synthetic fractures of increasing scale, we then explored 111 the scaling effect on fracture permeability, flow area, electrical resistivity, and their respective 112 relationships. The simulations integrated the lattice Boltzmann method for the fluid flow within fractures 113 and the finite-element method for the resistivity calculation (Sawayama et al., 2020). We also evaluated 114 the local behavior of the fluid flow and electric current within fractures to investigate their differences 115 and associated changes in the tortuosity of both flow paths. Our simulations revealed transport behavior, 116 including the 3D flow channels in models of realistic rough-walled fractures, that mimics the hydraulic 117 flow in field fractures. From our results, we propose a scale-independent empirical formula that can 118 predict the changes in fracture permeability directly from the changes in formation factor (the ratio of 119 saturated rock resistivity to fluid resistivity), by which geothermal reservoirs can be better monitored 120 from field geophysical observations.

121

122 **2. Method**

123 **2.1 Laboratory observations**

Our initial laboratory experiment measured permeability and electrical resistivity under confining
 pressures in a rock sample of pyroxene andesite from a fractured core retrieved at a geothermal area in

southern Kyushu, Japan. The rock contained a single natural fracture and a matrix consisting of finegrained quartz, plagioclase, calcite, and chlorite (Sawayama et al., 2018b). The bulk and matrix porosities of the sample were 2.5% and 0.9%, respectively, at atmospheric pressure, as determined by a pycnometer and the difference between the sample's dry and water-saturated mass.

130 The sample was prepared as a cylindrical specimen (35 mm in diameter and 70 mm long) with its 131 flat end surfaces ground parallel to within 0.01 mm, in which the fracture plane was parallel to the central 132 axis. Before the experiment, we used a 3D-measuring microscope (Kevence, VR-3050) to map the 133 topography of the separated fracture walls with a grid of cells 23.433 µm square. We obtained an initial 134 aperture distribution by numerically pairing the fracture surfaces such that they contacted at single points 135 (Fig. 1). The mapped surfaces of the footwall and hanging wall are displayed in Fig. 2. The fracture 136 surfaces mostly mated with a small aperture, except where a few debris particles might represent 137 contamination during sample preparation. These areas were excluded from the spectral analysis of the 138 surface roughness by restricting the analysis to the area within the red outline in Fig. 1. From the PSD 139 slopes of the surface height of the footwall and hanging wall, the fractal dimension D was determined 140 as 2.4 (Figure A1 in Appendix A). The threshold wavelength that separates mismatched and mated 141 wavelengths, the mismatch length scale λ_c , was determined as 0.57 mm from the ratio of the PSDs of 142 the fracture surface height and initial aperture (Glover et al., 1998b; Matsuki et al., 2006). Surface 143 roughness σ , defined as the standard deviation of the surface height, was 0.49 mm. These three 144 parameters-the fractal dimension, mismatch length scale, and surface roughness-are generally 145 consistent with previous studies of various types of rock fractures (Table A1 in Appendix A). These 146 parameters were used to generate synthetic fracture models in our numerical simulations.

147 After mapping the fracture surfaces, the specimen was restored to its original state. The fluid flow 148 experiment was then performed under a range of eight confining pressures, P_c , between 6 and 20 MPa. 149 The inlet and outlet pore pressures, P_{in} and P_{out} , were 5 and 4 MPa, respectively. Under each effective 150 normal stress condition ($P_c - (P_{in} + P_{out})/2$), we calculated the fracture permeability k based on

$$k = \frac{d_h^2}{12},\tag{3}$$

151 where d_h is the hydraulic aperture, estimated by Eq. (2) from the observed flow rates by assuming Darcy 152 flow and neglecting the matrix permeability of the sample, which was less than 10^{-20} m².

153 At each value of normal stress, the electrical impedance was measured along the fluid flow direction 154 employing a four-electrode method and an impedance meter (Solartron Analytical, SI 1260A). The 155 current and voltage electrodes, made of narrow silver net ribbon with AgCl baked coating, were wound 156 around the cylindrical surface of the rock sample. Impedance measurements were performed at a 157 constant AC voltage of 30 mV. We obtained resistivity changes under the eight stress conditions by 158 impedance measurements at the frequency of 10 mHz with the sample's geometric factor (21 mm in 159 length between electrodes and 960 mm² in cross-sectional area). The fluid medium was saturated brine 160 (1 wt.% KCl, 1.75 S/m), which had a conductance high enough that surface conduction of the rock 161 matrix could be neglected. Details of the experimental setup were described in Sawayama et al. (2018a).

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163 **2.2 Upscaled synthetic fracture model**

164 To apply the results of laboratory-scale investigations to field-scale predictions, the scaling effect in 165 rock properties and their relationships must be clarified. For this purpose, we modeled synthetic fractures 166 with isotropic surfaces that incorporated the fractal characteristics of real rock fracture surfaces and the 167 scaling law of surface roughness (Matsuki et al., 2006). We used the values of fractal dimension D, 168 roughness σ , and mismatch length scale λ_c determined on our natural rock fracture. We first generated 169 a pair of correlated fractal surfaces by inverse Fourier transform of the Fourier components based on 170 fractional Brownian motion (Brown, 1995).

171 The Fourier components of the footwall $a_{f,xy}$ are a function of the spatial frequency $f = \sqrt{(x^2 + y^2)/L^2}$ in an arbitrary direction according to

$$a_{f,xy} \propto (x^2 + y^2)^{\frac{4-D}{2}} \exp(2\pi i R_1),$$
(4)

173 where x and y are the node numbers in the x- and y-direction, respectively, and R_1 is a series of 174 uniform random numbers ($0 < R_1 < 1$). Similarly, the Fourier components of the hanging wall $a_{h,xy}$ 175 are

$$a_{h,xy} \propto (x^2 + y^2)^{-\frac{4-D}{2}} \exp(2\pi i (R_1 + \gamma(f)R_2)),$$
(5)

where $\gamma(f)$ expresses the frequency-dependent matedness between the two surfaces and R_2 is a series of uniform random numbers that is independent of R_1 . This relation can reproduce a self-similar fracture surface that has the same amplitude as the footwall and a different relative phase, providing a matedness at larger wavelengths and a mismatch at smaller wavelengths. The function $\gamma(f)$ (Matsuki et al., 2006) is equal to 1 (i.e., each surface is totally mismatched) at frequencies at or above the mismatch cutoff frequency f_c (the inverse of λ_c) and takes a value between 0 and 1 (i.e., each surface is partially mated) at frequencies lower than f_c to satisfy

$$2\left(1 - \frac{\sin 2\pi\gamma(f)}{2\pi\gamma(f)}\right) = R(f),\tag{6}$$

183 where R(f) is the ratio of the PSDs of the linear profiles between the initial aperture and the surface 184 height of the fracture surface. We determined the function $\gamma(f)$ by solving Eq. (6) with the Newton-185 Raphson method, using R(f) calculated from the profiles of our natural rock fracture (Appendix A):

$$R(f) = \exp(-0.021 \cdot \ln(f)^3 - 0.59 \cdot \ln(f)^2 + 0.72 \cdot \ln(f) - 2.9).$$

As reconstructing the surface roughness of a fracture from the observed spectra is a stochastic process, we needed to examine stochastic fluctuations in models created by different random seeds. We used five different random seeds to validate the repeatability of our simulation results.

After taking the inverse Fourier transform of each Fourier component $(a_{f,xy} \text{ and } a_{h,xy})$, the surface height is adjusted with an arbitrary proportional constant so that the surface roughness σ satisfies the scaling law

$$\sigma = \sigma_0 \left(\frac{L}{L_0}\right)^{3-D},\tag{7}$$

192 where σ_0 is the standard deviation of the surface height along a linear profile of length L_0 on a fracture 193 surface and σ is the standard deviation of the surface height of an arbitrary fracture of length scale *L*. In this study, we used $L_0 = 24$ mm and $\sigma_0 = 0.49$ mm from our results for a natural rock fracture (Fig. 1) and generated four different fracture length scales (L = 24 mm, 48 mm, 96 mm, 144 mm). After preparing the digital footwall and hanging wall, we created digital fracture models by numerically pairing the fracture walls with different values of aperture closure.

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199 **2.3 Digital fracture simulation**

200 We prepared 3D digital fracture models with a grid size of 0.1 mm, based on the surface topography 201 of the natural fracture walls (Fig. 1), for a series of numerical simulations. The aperture between the two 202 fracture surfaces was adjusted so that the model had a simulated permeability equivalent to that 203 measured in the real fracture (Ishibashi et al., 2015; Sawayama et al., 2020; Watanabe et al., 2008). After 204 the validation of our numerical approach with experimental results, we performed hydraulic and 205 electrical simulations with a series of upscaled synthetic fractures. Using fracture models at four 206 different fracture length scales, we prepared different wall separations with five different random seeds 207 by uniformly reducing the local apertures. The overlapping areas of the fracture surfaces were assumed 208 to be contacting asperities without considering deformation, because the effect of deformation on 209 transport properties is small (Brown, 1989; Ishibashi et al., 2015; Matsuki et al., 2006). The resulting 210 models can mimic both elastic and permanent deformations of contacting asperities to some extent 211 (Brown, 1987; Nemoto et al., 2009; Power and Durham, 1997; Watanabe et al., 2008). Although the 212 voxel size potentially affects the absolute values of hydraulic and electrical properties, we confirmed 213 that results with 0.1 mm and 0.05 mm voxels are similar in our models (Appendix B). We thus concluded 214 that a 0.1 mm voxel system is sufficiently fine for the present study.

Our numerical approach combined lattice Boltzmann fluid flow simulation and finite-element analysis of electrical properties. In lattice Boltzmann modeling, the fluid is modeled by a group of particles, and local fluid flow can be simulated as streaming and collision of these particles. It has a remarkable ability to simulate three-dimensional local flow with complex boundaries, i.e., 219 heterogeneous fracture surfaces (He and Luo, 1997; Jiang et al., 2014). This study employed a multi-

220 relaxation-time D3Q19 model (Ahrenholz et al., 2008), of which the governing equation is

$$\boldsymbol{g}_{i}(\boldsymbol{x} + \boldsymbol{e}_{i}\,\Delta t,\,t + \Delta t) = \boldsymbol{g}_{i}(\boldsymbol{x},\,t) + \boldsymbol{\Omega}_{i}, \quad i = 0,\,\cdots\,18, \tag{8}$$

221 where Δt is the time step and $g_i(x, t)$ is the particle distribution function that represents the 222 probability of finding a particle at node x and time t with velocity e_i . Collision operators Ω are 223 defined based on equilibrium moments and relaxation rates (Jiang et al., 2014). Three-dimensional water 224 flow is driven by a constant body force from the inlet boundary into the outlet boundary (Fig. 2). Bounce-225 back boundaries (no-slip scheme at the fluid-solid interfaces) are implemented at the fracture surface, 226 and a periodic boundary is applied along the fracture plane. Lattice Boltzmann simulations enabled us 227 to explore the changes of both microscopic and macroscopic flow with aperture closure. We evaluated 228 the channeling flow using flow area, defined as the ratio of the area of preferential flow paths to the area 229 of the fracture plane (Sawayama et al., 2020; Watanabe et al., 2009), and fracture permeability from the 230 macroscopic flow rate based on Eqs. (2) and (3).

231 Subsequently, we evaluated the resistivity and the local field of electric currents through the finite-232 element modeling (Andrä et al., 2013; Garboczi, 1998; Saxena and Mavko, 2016). The local field of 233 electric currents was simulated from the potential difference between the inlet and outlet boundaries in 234 the direction parallel to the fracture plane (and fluid flow direction). In this analysis, fluid and solid, respectively, were modeled with conductivities of 1.75 S/m and 10⁻⁴ S/m based on the experiment in 235 236 this study and previous experiments on the same formation under dry conditions (Sawayama et al., 237 2019). From the electric current field, we then calculated the resistivity associated with an applied 238 voltage parallel to the fluid flow direction based on Ohm's law. We evaluated the bulk resistivity of the 239 specimen with a constant number of grid cells in the z-direction (n_z) to take the resistivity of the matrix 240 rock into account. This evaluation confirmed that n_z does not have a significant effect on the bulk 241 resistivity ρ_b because the rock resistivity is much greater than the fracture resistivity. We then calculated the formation factor F by dividing the bulk resistivity by the fluid resistivity ρ_w , (F = 242

243 ρ_b / ρ_w) so that both effects of the temperature and salinity on fluid resistivity can be neglected. We 244 compared values of *F* for models with different fracture length scales.

245

246 **3. Results**

247 **3.1 Validation with experiments and numerical simulations**

Figure 3 shows the experimental and numerical determinations of changes in fracture permeability and resistivity with increasing effective normal stress. For both fracture permeability and resistivity with elevated stress, the experimental and the numerical results show similar trends and fit well with each other. Since our digital fracture simulations reproduce experimental results, the fracture size can be extended to approach a fracture scale in a natural setting. To examine the scaling effect on permeability and resistivity, we upscaled fracture sizes by using synthetic fractures modeled on the natural rock fracture.

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256 **3.2 Upscaled digital fracture simulations**

257 We conducted a series of digital fracture simulations based on synthetic fracture models having four 258 different fracture length scales (24 mm, 48 mm, 96 mm, and 144 mm) and five different random seeds 259 (Appendix C). Figure 4 shows representative simulation results for flow rates through fractures of 260 different length scales. In models with larger mean aperture (Figs. 4a, d, g, j), the network of dominant 261 flow paths covers most of the area with open spaces (non-zero aperture), indicating that contacting 262 asperities (zero aperture points) trigger the formation of the channeling flow. As the fracture closes, 263 larger fractions of its surfaces are in contact, and hence the dominant flow paths decrease in number. 264 Moreover, non-zero apertures with stagnant flow also form, and these increase in number as contacting 265 asperities surround them (Figs. 4b, e, h, k). When the fracture significantly closes, the flow paths appear 266 to be disconnected (Figs. 4c, f, i, l). Although the number of flow paths and the flow length both increase 267 as the fracture is upscaled, their flow paths within the same unit area (40 mm²), shown as red outlines 268 in Fig. 4, have similar appearances.

The dominant paths of the electric current are similarly displayed in Fig. 5. As in the case of fluid flow, preferential path networks form that decrease in number with aperture closure. The scaleindependent appearance in 40 mm² unit areas can also be seen. Electric currents appear to be more diffuse over the fracture than the fluid flow, and this difference becomes significant at maximum aperture closure (Figs. 5c, f, i, 1).

274 Figure 6a shows the vectors of hydraulic flow (in blue) and electrical flow (in red) overlaid at the 275 same position so that the distributions of their respective paths can be compared. These two-dimensional 276 vectors represent summations of the vectors in all cross sections in the z-direction (normal to the fracture 277 plane) and are displayed on the aperture structure (in grayscale). Although hydraulic flow and electric 278 current mostly pass through areas of non-zero aperture, the electric current has a larger number of paths 279 than the hydraulic flow. This indicates that hydraulic flow is affected more strongly than electric current 280 by contacting asperities. The differing sensitivities of hydraulic and electric processes to changes in 281 aperture are the cause of their discrepant streamlines. According to Eqs. (1) and (2), the electric current 282 is linearly dependent on the aperture and the flow rate is proportional to the cube of the aperture. 283 However, cross sections of flow rate (Fig. 6b) and electric current (Fig. 6c) along line X-X' in Fig. 284 6a show that our model, in some places, produces smaller fluxes in a larger aperture and larger fluxes 285 in a smaller aperture. This result implies that local apertures are not the sole control of local hydraulic 286 and electrical transport processes in rough-walled fractures. We infer that the connectivity of the path 287 network also has a significant effect on local transport phenomena, as discussed in section 4.1. This is a 288 characteristic that does not appear in the local parallel-plate model of electrical conductance and local 289 flow rate as calculated by Eqs. (1) and (2), respectively.

290

291 **3.3 Fracture permeability, formation factor, and flow area**

The three panels of Fig. 7 show the changes of fracture permeability, formation factor, and flow categories as functions of fracture mean aperture. The three flow categories are the flow area (grid cells occupied by fluid flow as in Fig. 4), the conductive area (cells occupied by electric current as in Fig. 5), and the stagnant area (the rest of the non-contact area in a fracture); the summation of the flow and stagnant areas is not 100% because contacting asperities are also present. These plots indicate no significant differences resulting from the changes of random seeds and scale length, demonstrating both the repeatability of the simulations and the scale-independent characteristics of transport behavior in our simulated fractures. Stochastic fluctuations are small, as we confirmed by using 100 different random seeds (Appendix D).

301 Fracture permeability has a nonlinear relationship with the mean aperture (Fig. 7a). At smaller 302 apertures, permeability deviates from proportionality to the square of the aperture in a parallel-plate 303 model toward smaller values, starting around a mean aperture of 0.15 mm. This result implies that the 304 parallel-plate model overestimates permeability at small apertures because it ignores the roughness 305 effect (Ishibashi et al., 2015; Thompson and Brown, 1991; Zimmerman et al., 1991). The formation 306 factor also has a nonlinear relationship with the mean aperture, increasing as the mean aperture decreases 307 (Fig. 7b). Its rate of increase is smaller than the rate of decrease of fracture permeability, reflecting the 308 different sensitivities of hydraulic and electrical flow to the mean aperture.

The flow area decreases with aperture closure as the stagnant area increases correspondingly (Fig. 7c). This result demonstrates that regions of streaming and stagnant flow develop at all fracture length scales. The stagnant area reaches a peak when the aperture is small, reflecting the disconnection of flow paths (see Figs. 4c, f, i, l). The conductive area is slightly greater than the flow area at a given aperture, and the gap between them increases with aperture closure. This indicates that the electrical flow is not as strongly affected by the growth of the stagnant area as contacting asperities increase; thus, electrical flow is less strongly dependent on the local aperture.

316

317 4. Discussion

318 **4.1 Local transport behavior**

Our study found that both hydraulic and electrical flows become highly channelized with the growth
 of contacting asperities (Figs. 4, 5). These flow paths become fewer with aperture closure as the contact

321 area and isolated apertures increase. It is notable that electric current paths are more evenly distributed 322 in the fracture than the fluid flow paths, as discussed in section 3.2 (Fig. 6a). Accordingly, the area of 323 electric current is larger than the area of hydraulic flow (Fig. 7c). This discrepancy arises from the 324 different sensitivity of the electrical and hydraulic flows to aperture, the first as a linear function in Eq. 325 (1) and the second as a cubic function in Eq. (2) (Brown, 1989). Whereas flow rate decreases more 326 strongly with aperture closure and local flow directions show more deviations from the global flow 327 direction (i.e., high tortuosity), local current directions deviate less from the global flow direction 328 because the electric current is less sensitive to the aperture (Fig. 6a).

329 To evaluate the degree of these path deviations, we calculated a weighted average of the local 330 tortuosity τ^2 from the local flow directions (Gueguen and Palciauskas, 1994):

$$\tau^2 = \left(\frac{\delta L}{\delta L}\right)^2 = \frac{1}{\cos^2\theta},\tag{9}$$

where δL and $\delta L'$ are the actual path length and apparent path length along the global flow direction, 331 332 respectively, and the path angle θ is the deviation of the local flow vector from the global flow direction 333 (y-direction); $\theta = 90 \pm 0.1^{\circ}$ is neglected to eliminate the local error. We calculated the path angle in all 334 grid cells (0.1 mm square), and the overall tortuosity was defined as the average path angle as weighted 335 by the local flow rate or electric current. Figure 8 shows the changes in tortuosity with respect to the 336 mean aperture. The tortuosities of hydraulic and electrical flow paths (hydraulic tortuosity and electric 337 tortuosity hereafter) indicate that hydraulic and electrical flow have different winding characteristics; 338 hydraulic tortuosity is always higher than electrical tortuosity at mean apertures greater than 0.1 mm. 339 The figure also indicates that both tortuosities are scale-independent with respect to the mean aperture. 340 We validated the results of hydraulic and electrical tortuosities by comparing the simulated results 341 of fracture permeability and formation factor. Considering the equivalent channel model of the parallel-342 plate (Paterson, 1983; Walsh and Brace, 1984), permeability k and the formation factor F can be 343 rendered as functions of the tortuosity:

$$k = \frac{d^2\varphi}{12\tau_h^2},\tag{10}$$

$$F = \frac{\tau_e^2}{\varphi},\tag{11}$$

where d is the aperture, τ_h^2 is hydraulic tortuosity, τ_e^2 is electrical tortuosity, and φ is porosity. 344 345 Porosity can be assumed to equal 1 in the calculation of the fracture permeability, and it equals d/n_z 346 in the calculation of the formation factor under the assumption of a very small matrix porosity. We used 347 the mean aperture and calculated tortuosities to predict the fracture permeability from Eq. (10) and the 348 formation factor from Eq. (11), which are plotted in Fig. 9 along with their simulated values. The 349 simulated and predicted values of fracture permeability are in good agreement for mean apertures larger 350 than 0.1 mm (Fig. 9a). The slight difference between simulated and predicted permeability may arise 351 from the discrepancy between the mean aperture and the actual hydraulic flow width, i.e., hydraulic 352 aperture (Brown, 1989). The predicted and simulated values of formation factor also match for mean 353 apertures larger than 0.1 mm (Fig. 9b), if the predicted value is divided by 7 to adjust the offset. This 354 offset may represent the difference between the mean aperture and the actual width of the electrical 355 conduction channel (i.e., electric aperture). As pointed out by Brown (1989), the use of mean aperture 356 would not reproduce the absolute value of the electric current, which is affected by higher values of the 357 local electric current in smaller local apertures (Fig. 6c). Even a weighted harmonic mean of the local 358 aperture over the cell cannot explain this discrepancy (Fig. E1 in Appendix E); therefore, the difference 359 between the mean aperture and electric aperture (i.e., the predicted and simulated formation factors) is 360 an inherent reflection of the local connectivity of electric paths, which cannot be characterized from the 361 aperture alone (Appendix E).

The agreement between simulated and predicted results for mean apertures greater than 0.1 mm indicates that the equivalent channel model enables us to consider the roughness effect by taking tortuosity into account, a capability lacking in the parallel-plate model. However, both predicted results by Eqs. (10) and (11) deviate from the simulation results at mean apertures smaller than 0.1 mm. These features may be unrealistic and a consequence of the smaller number of flow paths at small apertures (Figs. 4i–l and 6i–l). Simulations with higher resolution might resolve these discrepancies; in fact, when the simulation uses smaller voxel sizes, hydraulic tortuosity continuously increases as the aperture decreases, and the permeability predicted from tortuosity is closely consistent with simulation results (Fig. B2b) above a percolation threshold, as discussed in section 4.2. However, the computational cost is prohibitive at present. Given the strong trend of the hydraulic and electrical tortuosities with apertures greater than 0.1 mm, we conclude that these unrealistic results at smaller mean apertures do not affect the overall discussion. We note that the permeability and formation factor are successfully calculated with high repeatability; hence, the results for these global transport properties are reliable.

375

4.2 Relationship between hydraulic and electrical properties

377 We have established that changes in hydraulic properties (fracture permeability and flow area) and 378 electrical properties (formation factor or resistivity) with respect to the mean aperture are both 379 independent of fracture length scales at various ranges of contact area (10-65%) and mean aperture 380 (0.05–0.18 mm) (Fig. 7). These scale-independent characteristics arise from the scaleless changes of the 381 tortuosity (Fig. 8), which may be a key factor controlling those scaleless properties. Although the 382 decrease of the mean aperture mimics the aperture closure caused by stress increase (Fig. 3), these 383 changes in transport properties with mean aperture might not precisely match the corresponding changes 384 with stress increase. However, because scale-independent changes of fracture permeability and flow 385 area with stress changes were also reported by Ishibashi et al. (2015) in laboratory experiments with 386 mated fractures, our observed scale-independent characteristics should not have significant differences 387 from those with stress changes. Note that even if their scale dependence with aperture changes differs 388 from their scale dependence with stress changes, their respective relationships with hydraulic and 389 electrical properties should have identical trends, as we discuss below. It should also be noted that these 390 features of scale independence are only confirmed at the present model scales, i.e., in mated fractures 391 with lengths of 24–144 mm.

Having explored the scale dependence of each transport property, we then examined the respectivecorrelations of fracture permeability and flow area with formation factor (Fig. 10). The relationship

between fracture permeability and formation factor (k-F relationship hereafter) plotted in Fig. 10a is

395 scale-independent. The k-F relationship can be empirically formulated as

$$\log k = -\alpha \cdot \log F - \beta, \tag{12}$$

where α and β are empirical parameters depending on ranges of permeability, for example, $\alpha = 1.0$ and $\beta = 8.0$ when $k < 10^{-10.8}$ m², whereas $\alpha = 1.8$ and $\beta = 5.7$ when $k > 10^{-10.8}$ m² (Fig. 10). Although the stochastic process of the fracture modeling produces small fluctuations of the *k*–*F* relationships when the aperture is small (up to 16% relative error), the values of α and β are not changed significantly by stochastic fluctuations (Fig. D3 in Appendix D). The slope α is related to the sensitivity to the tortuosity from the equivalent channel model (Appendix F), expressed by the slope ε of the log-log relationship between the tortuosity and the mean aperture in Fig. 8:

$$\alpha = \frac{2-\varepsilon}{1-\varepsilon}.$$
(13)

403 It appears that α is bounded between values of 1 and 2. The tortuosity change is very sensitive to 404 aperture change at higher values of α and insensitive to aperture closure at lower values (Brace, 1989). 405 As the present results are based on mated single fractures, let us consider the case of multiple 406 fractures, which is more realistic in geothermal fields. Assuming a vertical series of single fractures 407 having the same fractal surfaces in a unit volume, the resultant permeability and formation factor are 408 characterized by the simple summation of the aperture (multiplication of the number of fractures and 409 mean aperture) based on Eqs. (10) and (11). In the case of 10 fractures, permeability is higher than the 410 present results by two orders of magnitude, whereas the formation factor decreases by one order. The 411 empirical parameter β is thus influenced by the number of fractures in a unit volume (i.e., fracture 412 density), whereas the slope α remains constant regardless of the fracture density. The offset β can be 413 neglected for monitoring purposes as

$$\frac{k}{k'} = \frac{F}{F'}^{-\alpha},\tag{14}$$

414 where k' and F' are arbitrary reference values of the permeability and formation factor, 415 respectively. Overall, the *k*–*F* relationship is scale-independent whereas the slope α remains constant 416 regardless of the fracture length scale and roughness.

417 The relationship between the flow area and the formation factor also displays scale independence 418 (Fig. 10b). This potentially arises from the scale independence of flow area and permeability (Fig. 7) 419 and the k-F relationship (Fig. 10a).

420 The k-F relationship shows a transition at a specific fracture permeability, indicating that the 421 empirical parameters α and β are not constant at all permeability values. Because the decrease of 422 permeability is associated with the evolution of stagnant flow and high tortuosity due to aperture closure, 423 changes in the k-F relationship may be related to changes in local transport properties. Figure 11 424 schematically summarizes the changes in the formation factor, tortuosity, mean aperture, and stagnant area against fracture permeability. Note that only the tortuosities for $k < 10^{-10}$ were simulated (Fig. 8), 425 whereas tortuosities for $k > 10^{-10}$ were calculated by using the corresponding mean apertures and α 426 427 from Eq. (13) as a reference value. The three panels at the bottom of Fig. 11 illustrate the associated 428 changes of the hydraulic and electrical paths, composed by overlaying the flow channels selected from 429 Figs. 4a–c and 5a–c. The trends of the k-F relationships are divided on the basis of the flow channels 430 into two stages: the connected region and the less-connected region. In the connected region ($k > 10^{-10.8}$ 431 in this study), decreasing aperture (or the increase of contacting asperities) affects the local tortuosity 432 which in turn controls the effect of roughness on fracture permeability and formation factor (Fig. 9). As 433 the tortuosity constantly increases with aperture closure (Fig. 8), the trend of the k-F relationship 434 remains constant (Fig. 10). The stagnant area gradually increases as long as the flow channels are connected (Figs. 4b, e, h, k). In the less-connected region ($k < 10^{-10.8}$), the k-F relationship shows a 435 436 steeper slope (Fig. 10), indicating that tortuosity has nearly stopped increasing. The stagnant area also 437 reaches a peak at this stage because of the disconnection of the flow paths (Figs. 4c, f, i, l). Because the 438 formation factor mainly depends on the tortuosity (Eq. (11)), its rate of change slightly decreases. In 439 contrast, the fracture permeability responds to the combination of the tortuosity and aperture changes by continuously decreasing (Eq. (10)). Thus, the division of the k-F relationship may reflect the changes in local flow behavior associated with changes in the tortuosity and connectivity of path channels. This inflection point of the k-F relationship may correspond to a percolation threshold at which the flow paths become disconnected (Fig. 11).

444

445 **4.3 Implications for geothermal field monitoring**

446 The different trends of the k-F relationships in the connected and less-connected regions indicate 447 the difference in the sensitivity of resistivity to changes in permeability in response to the connectivity 448 within subsurface fractures. This means that resistivity monitoring should be able to more readily detect 449 permeability changes in less-connected fractures than in connected fractures. In practice, resistivity 450 observations in Australian EGS projects have detected changes in resistivity of around 5% (Didana et 451 al., 2017) and 10% (Peacock et al., 2013) associated with hydraulic stimulation of pre-existing fault 452 systems. Assuming $\alpha = -1.8$ in Eq. (14), these resistivity changes correspond to 11% and 21% 453 increases in permeability, respectively. Although α is potentially different in rock fracture surfaces 454 with different fractal characteristics, it will take values between 1 and 2 according to Eq. (13); indeed, 455 permeability changes are always larger than observed resistivity changes. However, because our model 456 assumes a simple vertical series of single fractures, the changes in transport properties in intersecting 457 fracture networks (e.g., Kirkby and Heinson, 2017) should be further investigated. Moreover, it should 458 be noted that permeability enhancement by hydraulic stimulation is triggered not only by joint openings 459 but also by shear slips (e.g., Rinaldi and Rutqvist, 2019). Because our digital fracture models are mated 460 fractures based on isotropic surfaces, future work should employ natural rock fractures with anisotropic 461 characteristics (e.g., sheared fractures) to confirm the limitations of our proposed model. We found that 462 empirical parameters in our proposed formula are constant in fractures with the same fractal 463 characteristics, regardless of their length scales. This finding implies that investigations of small-scale 464 fractures are sufficient to study the k-F relationship, such that laboratory-scale relationships can be 465 seamlessly extrapolated to fractures in natural settings, even for differing empirical parameters of 466 fractures at different locations. Because our experimental fracture was much smaller than natural 467 fractures, which may reach the kilometer scale (Didana et al., 2017), future research needs to investigate 468 further scale dependencies and consider the use of more efficient grid systems, such as the multigrid 469 approach.

470

471 **5.** Conclusion

472 We investigated the changes with aperture closure in the fracture permeability, flow area, and 473 formation factor of mated fractures and used upscaled digital fracture simulations to clarify their scaling 474 behavior. We confirmed that fracture permeability, formation factor, and the relationship between them 475 are scale-independent with respect to the mean aperture. Our digital fracture simulations revealed that 476 hydraulic and electrical properties have slightly different local transport behaviors because of their cubic 477 and linear dependences, respectively, on the aperture. Both hydraulic and electrical properties 478 demonstrate scale-independent behaviors that reflect the scaleless changes of path tortuosity. Notably, 479 we found scale-independent relationships between permeability and formation factor (the k-F480 relationship) and between flow area and formation factor. Our digital fractures with isotropic fractal 481 surfaces successfully reproduced nonlinear k-F relationships comparable to those previously observed 482 in natural rock fractures. We demonstrated that the k-F relationship could be determined from 483 empirically determined properties regardless of fracture length scale. The k-F relationship reflects the 484 changes in the local flow behavior that can be determined from the changes in tortuosity and connectivity 485 of path channels. Although further study is needed to confirm these empirical parameters, our finding 486 of scale independence in the k-F relationship indicates that laboratory-scale fracture properties can be 487 confidently used for interpreting field data and for fracture flow monitoring by utilizing data for 488 resistivity, which is remotely observed with geophysical methods.

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- 490

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697 Figures and Tables.



Fig. 1 Image of the fracture surface of the experimental specimen showing the observed initial
fracture aperture and a corresponding cross section of the fracture at the location of the black
solid line. The white grid cells in the cross section represent the local aperture *d*. The area
inside the red outline was used for spectral analysis, excluding the contaminated debris at the
edges of the specimen.

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- Fig. 2 Three-dimensional digital model of the experimental rock fracture (top) and model setup of
 the numerical simulation (lower right). The fluid flow and applied voltage are parallel to the
 fracture plane. A periodic boundary condition was adopted for both the lattice Boltzmann
 simulation and finite-element modeling. The black points in the model represent grid points;
 blue arrows are representative flow vectors calculated in each grid cell.





Fig. 3 Experimental and simulated (a) fracture permeability and (b) resistivity with increasing
effective normal stress. Solid and open symbols represent experimental and simulated results,
respectively.

Aperture closure



716Fig. 4Simulated fluid flow channels (colors) projected on maps of simulated fracture roughness717(grayscale) at three different degrees of aperture closure and four different sample scales: (a-718c) 24 mm, (d-f) 48 mm, (g-i) 96 mm, and (j-l) 144 mm. The normalized flow rate represents719the vertical summation of flow rates in every z-direction (perpendicular to the fracture plane),720normalized by the maximum value in each plot. Colorless regions have flow rates less than7211% of the maximum. Mean apertures are 0.12 mm, 0.085–0.087 mm, and 0.058–0.062 mm722in the left, middle, and right columns, respectively.

Aperture closure



Fig. 5 Simulated electric current channels (color) projected on maps of simulated fracture roughness (grayscale) at three different degrees of aperture closure and four different sample scales: (a–c) 24 mm, (d–f) 48 mm, (g–i) 96 mm, and (j–l) 144 mm. The normalized electric current represents the vertical summation of the current in every z-direction normalized by the maximum value in each plot. Mean apertures are the same as in Fig. 4.



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Fig. 6 (a) Map of the local fracture aperture d (grayscale) showing streamlines for hydraulic flow (blue) and electrical flow (red). The map is 24 mm square and the mean aperture is 0.11 mm. (b) Cross-sectional profile on line X–X′ showing the hydraulic flow in the fracture. (c) Cross-sectional profile on line X–X′ showing the electrical flow in the fracture. Note that some high-flux channels in both flow rate and electric current appear in narrow apertures, and some low-flux channels appear in wide apertures.





Fig. 7 Changes of (a) fracture permeability, (b) formation factor, and (c) flow, stagnant, and conductive areas as functions of the mean aperture. Symbol shapes correspond to the different random seeds, and their colors correspond to the different fracture length scales. The dashed reference line in (a) denotes a slope of $d^2/12$.





Fig. 8 Tortuosity of hydraulic flow paths (blue) and electrical flow paths (orange) as a function of
mean aperture. Symbol shapes denote different fracture length scales.





Fig. 9 Simulated and predicted (a) fracture permeability and (b) formation factor as a function of
mean aperture. Symbol shapes represent different fracture length scales; colored and open
symbols denote simulated and predicted results, respectively. The predicted formation
factor is divided by 7 so that the resultant offset can be adjusted to the simulated results.



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Fig. 10 Graphs of (a) fracture permeability versus formation factor and (b) flow area versus formation factor. Symbol shapes represent different fracture length scales. *N* indicates the number of fractures in a unit volume, and associated changes of permeability and resistivity are illustrated by blue and orange dashed arrows, respectively. Red lines in (a) represent the prediction lines based on Eq. (12) in which $\alpha = 1.0$ and $\beta = 8.0$ for the solid line and $\alpha =$ 1.8 and $\beta = 5.7$ for the dashed line.



- Fig. 11 Schematic plot showing changes in parameters relevant to flow channels as functions of
 fracture permeability. Points a, b and c are represented by the bottom panels illustrating
 typical flow channel networks of hydraulic paths (blue) and electrical paths (orange) for the
 connected and less-connected regions (see text for discussion).

765 Appendix A. Fractal characteristics of fracture surfaces

Figure A1 shows a power spectrum density (PSD) of the footwall, hanging wall, and the initial aperture
profiles produced by the surface measurements. From the PSD slopes of the footwall and hanging wall,
the fractal dimension *D* is determined from following equations (Power et al., 1987; Power and Durham,
1997):

$$D = 7 - 2a,\tag{A.1}$$

where *a* is the PSD slope of the surface height. In Fig. 1b, the dashed line denotes the mismatch length scale λ_c , which is determined from the curvature of the PSD ratio of the surface height to initial aperture; for the wavelength lower than the threshold wavelength, the PSD ratio begins to decrease with a decreasing spatial frequency (Glover et al, 1998b; Matsuki et al., 2006). Table A1 summarizes the previous studies about surface roughness, fractal dimension, and mismatch length scale.



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Figure A1 Power spectral density of the footwall, hanging wall, and initial aperture against the spatial frequency (or wavenumber). D and λ_c denote the fractal dimension and the mismatch wavelength that divide mated and unmated spectral regions, respectively.

- 780 Table A1 Summary of the fractal characteristics of fracture surfaces in various rock types. L, σ , D, and
- 781

 λ_c represent the fracture length scale, surface roughness, fractal dimension, and mismatch

782

length scale, respectively.

Rock type*	<i>L</i> (mm)	σ (mm)	D	λ_c (mm)	Reference
Andesite (N)	24	0.49	2.4	0.57	This study
Granite (I)	48	1.3	2.5	0.53	Sawayama et al. (2020)
Granite (I)	48	1.7	2.4	0.57	Sawayama et al. (2020)
Granite (I)	20	1.3	2.3	0.7	Ishibashi et al. (2015)
Granite (I)	20	1.966	2.297	0.568	Matsuki et al. (2006)
Granite (I)	95.9	2.02	2.21	4.5	Ogilvie et al. (2006)
Syenite (I)	96.8	1.96	2.18	2.5	Ogilvie et al. (2006)
Gabbro (I)	100	1.945	2.24	2.3	Ogilvie et al. (2006)
Sandstone (I)	100	3.03	2.28	8	Ogilvie et al. (2006)
Granodiorite (I)	97	3.21	2.20	3	Ogilvie et al. (2006)
Tuff (I)	40.96	1.2985	2.305	0.25	Glover et al. (1987; 1998a)
Tuff (N)	13	0.0978	2.41	0.183	Brown (1995)
Tuff (N)	13	0.0682	2.45	0.506	Brown (1995)
Granodiorite (N)	13	0.111	2.32	0.131	Brown (1995)
Sandstone (N)	13	0.156	2.52	0.894	Brown (1995)
metasediment (N)	13	0.085	2.36	0.653	Brown (1995)
Rhyolitic dike (N)	13	0.0985	2.34	0.274	Brown (1995)
Rhyolitic dike (N)	13	0.204	2.4	0.2666	Brown (1995)
Rhyolitic dike (N)	13	0.0864	2.36	0.18	Brown (1995)
Rhyolitic dike (N)	13	0.0668	2.34	1.81	Brown (1995)
Rhyolitic dike (N)	13	0.0894	2.35	0.381	Brown (1995)
Tuff (N)	26	0.189	2.25	0.293	Brown (1995)
Tuff (N)	26	0.147	2.23	0.466	Brown (1995)
Siltstone (N)	13	0.0454	2.48	0.769	Brown (1995)
Chalk (N)	26	0.104	2.41	0.175	Olsson and Brown (1993)
Granite (I)	13	0.201	2.51	1.3	Chen and Spetzler (1993)
Granite (I)	13	0.188	2.49	0.693	Chen and Spetzler (1993)
Granite (I)	13	0.204	2.21	0.714	Chen and Spetzler (1993)
Granodiorite (N)	13	0.222	2.09	0.18	Brown et al. (1986)
Tuff (N)	52	0.758	2.3	3.3	Spengler and Chornak (1984)
Tuff (N)	52	0.702	2.17	0.97	Spengler and Chornak (1984)
Tuff (N)	52	0.962	2.27	0.748	Spengler and Chornak (1984)
Sandstone (I)	42	N/A	N/A	0.45	Glover et al. (1998b)
Granite (I)	42	N/A	N/A	1.40	Glover et al. (1998b)
Granite (I)	42	N/A	N/A	1.10	Glover et al. (1998b)
Diabase (N)	N/A	N/A	2.20	N/A	Brown and Scholz (1985)
Diabase (N)	N/A	N/A	2.19	N/A	Brown and Scholz (1985)
Siltstone (N)	N/A	N/A	2.20	N/A	Brown and Scholz (1985)
Siltstone (N)	N/A	N/A	2.23	N/A	Brown and Scholz (1985)
Siltstone (N)	N/A	N/A	2.20	N/A	Brown and Scholz (1985)
Siltstone (N)	N/A	N/A	2.41	N/A	Bahat and Engelder (1984)
Siltstone (N)	N/A	N/A	2.52	N/A	Bahat and Engelder (1984)

783 *Fracture types are denoted in the brackets: N: natural joint; I: induced fracture.

784 Appendix B. Resolution tests

785 The voxel size potentially affects the absolute value of permeability and formation factor because these 786 quantities are sensitive to the connectivity of the local aperture (Sawayama et al., 2020). To verify this 787 possible effect of voxel size, we analyzed these properties of models with different voxel sizes, preparing 788 $24 \text{ mm} \times 24 \text{ mm}$ fracture models using cubic systems with 0.05 mm, 0.1 mm, and 0.2 mm voxels. Figure 789 B1 plots the permeability and formation factor against the mean aperture from the models of each voxel 790 size. At apertures greater than 0.07 mm, both permeability and resistivity in the models with 0.05 mm 791 and 0.1 mm voxels are in good agreement. Although voxel size affects estimates of permeability and 792 formation factor to some degree at apertures smaller than 0.07 mm, the maximum difference in 793 permeability between results with 0.05 mm and 0.1 mm voxels is less than half an order of magnitude 794 (Fig. B1a), and the difference in formation factor is much smaller (Fig. B1b). Because the computational 795 cost is prohibitive at the largest fracture size (144 mm \times 144 mm) in a 0.1 mm cubic system, we conclude 796 that the 0.1 mm voxel size is suitable for our discussions of scale dependencies of permeability and 797 formation factor.

Figure B2(a) plots hydraulic tortuosity against mean aperture from the models of each voxel size. The results from the models with 0.05 mm and 0.1 mm voxels show good agreement for mean apertures greater than 0.09 mm. The tortuosity of the smallest voxel size continuously increases with aperture decrease until around a mean aperture of 0.06 mm, which represents a percolation threshold (Fig. 12). Consequently, permeability predicted from the tortuosity and simulated permeability match closely above this threshold (Fig. B2b).



Figure B1 Graphs showing (a) fracture permeability and (b) formation factor with different sizes of voxel as a function of mean aperture. Open diamonds, solid diamonds, and open circles represent the results from 0.2, 0.1, and 0.05 mm voxel sizes, respectively.

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Figure B2 Graphs showing (a) hydraulic tortuosity with different sizes of voxel and (b) simulated and predicted permeability as a function of mean aperture. Open diamonds, solid diamonds, and open circles in Fig. B2(a) represent the results from 0.2, 0.1, and 0.05 mm voxel sizes, respectively. Open and solid circles in Fig. B2(b) denote simulated and predicted results, respectively.

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817 Appendix C. Supplementary data

818 Supplementary material of all the simulation results can be found, in the online version.

819 Appendix D. Verification of stochastic fluctuations with 100 different random seeds

820 Our study used five different random seeds to create fracture models. Although the effect of random 821 seeds is small (Fig. 8), we ran further simulations to better estimate the possible error of the stochastic 822 process. We created and ran simulations in additional fracture models 24 mm in length by using 100 823 different random seeds with 16 different values of aperture closure in each (1600 models in total). Figure 824 D1, plotting permeability and formation factor against the mean aperture from these models, shows that 825 their fluctuations are negligible at mean apertures greater than 0.1 mm, whereas they show some scatter 826 at smaller mean apertures. Figure D2 shows the relative error of these properties, calculated from the 827 standard deviation of each property at the same mean apertures. Although the error at mean apertures 828 smaller than 0.1 mm is greater than those at larger mean apertures, all results have relative errors smaller 829 than 16%. These fluctuations produce a little scatter in the relationships between permeability and 830 formation factor at smaller apertures, i.e., in less connected regions (Fig. D3); however, note that the 831 empirical parameters α and β in Eq. (12) are not significantly affected by these stochastic fluctuations. 832



Figure D1 Graphs showing (a) fracture permeability and (b) formation factor of the models withdifferent random seeds as a function of mean aperture.



Figure D2 Relative errors of (a) fracture permeability and (b) formation factor with respect to thestochastic process in each mean aperture.



840

Figure D3 Graphs of fracture permeability versus formation factor from the models with 100 different random seeds. Each solid line represents the results of different random seeds. Red lines denote the prediction lines based on Eq. (12) in which $\alpha = 1.0$ and $\beta = 8.0$ for the solid line and $\alpha = 1.8$ and $\beta = 5.7$ for the dashed line.

846 Appendix E. Local distribution of electric aperture

We observed the offset between the formation factor predicted from the aperture and the simulated formation factor (Fig. 9b), which may arise from the discrepancy between the local aperture and actual electrical flow paths. To verify this discrepancy, we visualized the distributions of local apertures and electric apertures at a mean aperture of 0.11 mm. The electric aperture is calculated from the local electric current based on Eq. (1). The simulated electric aperture is much greater than the aperture where electrical flow paths are highly connected (Fig. E1a and b). This locally larger electric aperture raises the mean value of the electric aperture above the mean aperture.

One possible concern is that the local aperture in a finite grid cell does not adequately represent the aperture in the case where the aperture significantly changes across a grid cell. In an attempt to reduce this effect, we also calculated the effective electric aperture from a weighted harmonic mean of the local aperture over the cell (Kirkby et al., 2016). The effective electric aperture $d_{e,WE}$ between two adjacent cells is calculated by

$$\frac{1}{d_{e,WE}} = \frac{1}{s_{WC}d_{e,WC}} + \frac{1}{s_{CE}d_{e,CE}},$$
(E.1)

where effective apertures of two adjacent cells ($d_{e,W}$ and $d_{e,E}$) are obtained from the apertures at the midpoint of each cell $d_{e,W}$ or $d_{e,E}$, and the aperture at the common end of the two cells $d_{e,C}$

$$d_{e,WC} = \frac{d_{e,C} - d_{e,W}}{\ln (d_{e,C}) - \ln (d_{e,W})}$$
(E.2a)

$$d_{e,CE} = \frac{d_{e,C} - d_{e,E}}{\ln (d_{e,C}) - \ln (d_{e,E})}$$
(E.2b)

861 The weighting factor s is defined by

$$s_{WC} = \frac{\eta_z}{|\boldsymbol{r}_C - \boldsymbol{r}_W|} \tag{E.3a}$$

$$s_{CE} = \frac{\eta_z}{|\boldsymbol{r}_C - \boldsymbol{r}_E|} \tag{E.3b}$$

where η_z is the z component of the unit normal vector to the midpoint between the two surfaces and ris the position vector (Brush and Thomson, 2003). Figure E1c shows the distribution of the calculated effective electric apertures. Although the effective electric apertures are slightly greater than the local apertures, our simulated electric apertures are greater still. The difference between the mean aperture and electric aperture thus is a characteristic reflecting the local connectivity of electrical flow paths,

867 which cannot be characterized from the aperture alone.

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Figure E1 Local distribution of (a) aperture, (b) simulated electric aperture, and (c) effective electric
aperture of the model that have 0.11 mm of mean aperture.

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874 Appendix F. Derivation of Equation (14)

875 The equation (15) represents that changes in the k-F relationship with aperture closure can only be 876 characterized by the coefficient α . Based on Eqs. (11) and (12), permeability is the function of the 877 aperture, tortuosity, and porosity, whereas the formation factor is the function of the tortuosity and 878 porosity. Taking them into account, the equation (15) can be rewritten as:

$$\alpha = -\log\frac{k}{k_o} \cdot \left(\log\frac{F}{F_o}\right)^{-1} = -\log\frac{d^2\varphi/\tau^2}{d_o^2\varphi_o/\tau_o^2} \cdot \left(\log\frac{\tau^2/\varphi}{\tau_o^2/\varphi_o}\right)^{-1},$$
(C.1)

where the subscript zero indicates an arbitrary reference value. Considering the bulk properties including the fracture and matrix with a negligibly small porosity, the fracture porosity φ can be given by the ratio of the aperture *d* and the model thickness n_z , as $\varphi = d/n_z$. Then substitution of φ into Eq. (C.1) yields:

$$\alpha = -(3\log\frac{d}{d_o} - \log\frac{\tau^2}{\tau_o^2}) \cdot (\log\frac{\tau^2}{\tau_o^2} - \log\frac{d}{d_o})^{-1}$$
(C.2)

$$\log \frac{\tau^2}{\tau_o^2} = \frac{3-\alpha}{1-\alpha} \log \frac{d}{d_o}$$
(C.3)

883 where the slope $(3 - \alpha) / (1 - \alpha)$ represents the slope ε in the log-log relationship between tortuosity 884 and aperture (Fig. 8), $\varepsilon = (3 - \alpha) / (1 - \alpha)$. This is the same form in the Walsh and Brace (1984). 885 In contrast, for the case of fracture permeability where only the fracture aperture is involved, the porosity 886 φ can be assumed to be one, thereby the equation (C.3) should be rewritten as the following form.

$$\log \frac{\tau^2}{\tau_o^2} = \frac{2-\alpha}{1-\alpha} \log \frac{d}{d_o} \tag{C.4}$$

Because the slope $(2 - \alpha) / (1 - \alpha)$ is equal to the slope ε , the coefficient α is given as: $\alpha = (2 - \varepsilon) / (1 - \varepsilon)$, which is the specific form for the relationship between fracture permeability and formation factor.