Regionalizing the impacts of wind and wave-induced currents on surface ocean dynamics: a long-term variability analysis in the Mediterranean Sea

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Abstract

Effects of wind and waves on the surface dynamics of the Mediterranean Sea are assessed using a modified Ekman model including a Stokes-Coriolis force in the momentum equation. Using 25 years of observations, we documented intermittent but recurrent episodes during which Ekman and Stokes currents substantially modulate the total mesoscale dynamics by two non-exclusive mechanisms: (i) by providing a vigorous input of momentum (e.g. where regional winds are stronger) and/or (ii) by opposing forces to the main direction of the geostrophic component. To properly characterize the occurrence and variability of these dynamical regimes we perform an objective classification combining self-organizing maps (SOM) and wavelet coherence analyses. It allows proposing a new regional classification of the Mediterranean Sea based on the respective contributions of wind, wave and geostrophic components to the total mesoscale surface dynamics. We found that the effects of wind and waves are more prominent in the northwestern Mediterranean, while the southwestern and eastern basins are mainly dominated by the geostrophic component. The resulting temporal variability patterns show a strong seasonal signal and cycles of 5 - 6 years in the total kinetic energy arising from both geostrophic and ageostrophic components. Moreover, the whole basin, specially the regions characterized by strong wind- and wave- induced currents, shows a characteristic period of variability at 55 years. That can be related with climate modes of variability. Regional trends in the geostrophic and ageostrophic currents shows an intensification of $0.058 + 1.43 \ 10^{\circ}-5 \ cm/s$ per year.









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Key Points:

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11	•	Dynamically coherent regions in the Mediterranean are defined based on the Ek-
12		man, Stokes and geostrophic components variability.
13	•	Ageostrophy dominates surface circulation short-term variability and exceed geostro-
14		phy over Northwest Mediterranean Sea in winter.
15	•	Variations in the kinetic energy correlate well with the main Mediterranean cli-
16		mate modes in regions dominated by Ekman and Stokes.

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17 Abstract

Effects of wind and waves on the surface dynamics of the Mediterranean Sea are assessed 18 using a modified Ekman model including a Stokes-Coriolis force in the momentum equa-19 tion. Using 25 years of observations, we documented intermittent but recurrent episodes 20 during which Ekman and Stokes currents substantially modulate the total mesoscale dy-21 namics by two non-exclusive mechanisms: (i) by providing a vigorous input of momen-22 tum (e.g. where regional winds are stronger) and/or (ii) by opposing forces to the main 23 direction of the geostrophic component. To properly characterize the occurrence and vari-24 ability of these dynamical regimes we perform an objective classification combining self-25 organizing maps (SOM) and wavelet coherence analyses. It allows proposing a new re-26 gional classification of the Mediterranean Sea based on the respective contributions of 27 wind, wave and geostrophic components to the total mesoscale surface dynamics. We 28 found that the effects of wind and waves are more prominent in the northwestern Mediter-29 ranean, while the southwestern and eastern basins are mainly dominated by the geostrophic 30 component. The resulting temporal variability patterns show a strong seasonal signal 31 and cycles of 5 - 6 years in the total kinetic energy arising from both geostrophic and 32 ageostrophic components. Moreover, the whole basin, specially the regions character-33 ized by strong wind- and wave- induced currents, shows a characteristic period of vari-34 ability at 5 years. That can be related with climate modes of variability. Regional trends 35 in the geostrophic and ageostrophic currents shows an intensification of $0.058 \pm 1.43 \ 10^{-5}$ 36 cm/s per year. 37

³⁸ Plain Language Summary

The ocean dynamics plays a decisive role in the global balance of essential variables, 39 such as heat, CO2 or primary production, as well as in the dispersion of pollutants. How-40 ever, the physical processes that control the mesoscale dynamics and its variability in 41 the surface of the Mediterranean sea is not fully understood. Therefore, we have ana-42 lyzed the regional contribution of the geostrophic and the wind and waves induced cur-43 rents using a classification method based on a machine learning algorithm. We find that 44 the effect of wind and waves is stronger over regions of the northwestern Mediterranean, 45 while the southwestern and eastern basin is mainly dominated by geostrophy. We ob-46 serve that regions where wind and wave dominate the dynamics co-vary with the main 47 Mediterranean climate modes of variability. The geostrophic currents show an intensi-48 fication with a clear shift in 2002, which suggests that this positive trend could be a part 49 of a large decadal oscillation. 50

51 **1** Introduction

Ocean currents are of crucial importance for the transport of physical, chemical and 52 biological variables across the world oceans. They are the main responsible for the hor-53 izontal redistribution of energy, salt and heat, playing an important role in the climate 54 system (Covey & Barron, 1988). In particular, the sea surface is a key transitional layer 55 where most biological and biogeochemical activities concentrate and tightly interact with 56 vigorous physical features (e.g. Hernández-Carrasco et al., 2014) ultimately affecting ma-57 rine biodiversity patterns (e.g. Villarino et al., 2018) and atmosphere-ocean coupled pro-58 cesses (e.g. Bronselaer & Zanna, 2020). Hence, a precise knowledge of the circulation 59 in the upper oceanic boundary layer and of its variability is key to many issues of broad 60 scientific and practical importance, ranging from ecosystem and fisheries management 61 (e.g. Dubois et al., 2016; Futch & Allen, 2019), the tracking of marine pollution includ-62 ing microplastic (e.g. Van Sebille et al., 2015) to marine safety such as search and res-63 cue operations (e.g. Sayol et al., 2014). 64

Oceanic circulation results from movements of fluid in response to internal forces (pressure gradients and Coriolis forces) and external forces (gravity forces and frictional

forces, such as wind stress and waves at the surface and drag at the bottom and lateral 67 boundary layers). At the ocean surface, total currents result from several energy inputs 68 from diverse sources occurring at multiple scales. In particular, wind and waves inter-69 act with the ocean general circulation, giving rise to a highly variable multi-scale envi-70 ronment. During the last decade or so, mesoscale surface currents have traditionally been 71 interpreted as dominated by the geostrophy. This simplifying assumption, together with 72 the advances in satellite altimetry, have led the oceanographic community to estimate 73 surface horizontal currents from the balance between the pressure gradient and the Cori-74 olis forces. However, although geostrophy provides a reasonable view of the low frequency/large-75 scale motion of the ocean, it has limitations. As such, previous studies aimed at express-76 ing total currents as a sum of both geostrophic and Ekman components (Sudre et al., 77 2013; Rio et al., 2014). Despite relative improvements, our description of the upper oceanic 78 layer dynamics is still incomplete as it is also necessary to account for the high frequency 79 and ageostrophic motions caused by both wind- and wave-driven currents. Indeed, there 80 is growing evidence that the mesoscale ageostrophic flow plays an important role in the 81 transport and mixing processes, affecting the distribution patterns of transported ma-82 terials (Dobler et al., 2019) such as, the fate of marine debris (Onink et al., 2019). More-83 over, Fraser et al. (2018) have shown that wave-induced currents enhance ocean connec-84 tivity around Antarctica, potentially affecting the local ecosystems. 85

Although great advances have been made in the last decades for measuring geostro-86 phy at meso and larger scales or wind stress over the ocean surface, such as satellite scat-87 terometers like QuikSCAT or ASCAT (Bourassa et al., 2019), wave and wind-wave com-88 bined measurements are still limited to specific sites (mooring, stations and buoys) or 89 interpolated from radar radiometers (Ardhuin et al., 2018). However, the availability of 90 global forecasting systems both for wave and surface winds, allows the inclusion of these 91 high frequency velocities in recently developed models of the ocean circulation, by merg-92 ing the different sources to obtain improved velocity products (Breivik et al., 2016; Onink 93 et al., 2019). 94

The wind-driven currents at the sea surface were initially studied by Ekman's sem-95 inal work (Ekman, 1905). He proposed that the momentum balance between the tur-96 bulence stress caused by the wind and Coriolis force can be modeled as a classical dif-97 fusion problem but with a kinematic viscosity. Besides, gravity waves have an associated 98 current, the Stokes velocity resulting from the non-linearity of the wave orbital veloc-99 ities (Stokes, 1847). From the Eulerian standpoint, the Stokes-drift-induced-current com-100 ponent acts as an additive term that interacts with the mean ageostrophic current, ap-101 pearing in the momentum equations as an external force such as, a vortex force or as the 102 Coriolis-Stokes force (McWilliams & Restrepo, 1999; Polton et al., 2005). The low and 103 high frequency velocities can be of the same order of magnitude depending on the in-104 tensity of the local wind and wave fields (Polton et al., 2005; Breivik et al., 2016; Fraser 105 et al., 2018). 106

Despite substantial efforts in studying the effects of wind and waves on surface cur-107 rents around the world (Kaiser, 1994; Polton et al., 2005; Ardhuin et al., 2009; Hui & 108 Xu, 2016; Onink et al., 2019), our knowledge of these ageostrophic currents and of their 109 impacts on the upper layer dynamics of the Mediterranean Sea is still poor. The Mediter-110 ranean Sea is a semi-enclosed basin with large spatial and seasonal variability of both 111 winds and wave fields, making it an excellent laboratory to study the effects of the in-112 teraction of the wind and wave induced currents in the general circulation. Sayol et al. 113 (2016) studied the energy and mass fluxes generated by wind-wave interactions in the 114 western part of the Mediterranean Sea and showed, that the induced surface transport 115 has a seasonal character, peaking during winter seasons. Recently, Morales-Márquez et 116 al. (2020) showed that this variability is largely controlled by large-scale climatic pat-117 terns. The atmospheric circulation over the Mediterranean Sea can be indeed charac-118 terized by specific modes of variability related to atmospheric teleconnections (Wallace 119

¹²⁰ & Gutzler, 1981). The main climatic patterns influencing the Mediterranean dynamics ¹²¹ are the North Atlantic Oscillation (NAO), the East Atlantic pattern (EA), the Scandi-¹²² navia pattern (SCAND) and the East Atlantic/Western Russia (EA/WR) (Barnston & ¹²³ Livezey, 1987; Morales-Márquez et al., 2020).

In this paper, we first derive analytical expressions to estimate the total oceanic 124 surface currents as a sum of a geostrophic term and another ageostrophic one, taking into 125 account wind and waves forcings. We then apply our expressions to altimetric and re-126 analyses datasets in order to compute surface currents over the whole Mediterranean Sea 127 for the last 25 years. It allows investigating the relative contributions, which vary in space 128 and time, of both geostrophic and ageostrophic components to the total kinetic energy. 129 In order to identify the regions where the Ekman- and Stokes-induced flows affect sub-130 stantially the upper ocean dynamics, we perform an objective regionalization of the Mediter-131 ranean Sea. Homogeneous dynamical regions are unveiled using a machine-learning al-132 gorithm applied to an artificial neural network. Previous studies have proposed diverse 133 objective regionalizations of the Mediterranean Sea (Ayata et al., 2018), using different 134 statistical techniques, and based on different oceanic variables, e.g. climatological av-135 erages of temperature, salinity, nutrients concentrations (Reygondeau et al., 2017), trans-136 port properties of surface waters (Rossi et al., 2014) or phytoplankton variability (d'Ortenzio 137 & d'Alcalà, 2009; Nieblas et al., 2014). By doing so, we analyze the regional variability 138 of the dynamical impacts of both winds and waves on the surface circulation in the Mediter-139 ranean Sea. In each homogeneous dynamical region, we further extract the dominant tem-140 poral scales and study their relationships with the main climatic modes to assess the in-141 terannual variability of the currents field. 142

¹⁴³ 2 Sea Surface Currents

Total current at the sea surface (\mathbf{U}_t) can be expressed as the sum of the geostrophy, $\mathbf{U}_{\mathbf{g}} = u_g + iv_g$, and an ageostrophic velocity, $\mathbf{U}_{\mathbf{a}} = u_a + iv_a$ which is associated with the wind and non linear wave-induced momentum along their direction of propagation:

$$\mathbf{U}_{\mathbf{t}} = \mathbf{U}_{\mathbf{g}} + \mathbf{U}_{\mathbf{a}}.\tag{1}$$

¹⁴⁸ 2.1 Geostrophic currents

¹⁴⁹ Considering a steady and Boussinesq flow, the geostrophic term can be obtained
 ¹⁵⁰ from the equilibrium between Coriolis and pressure gradient forces in the momentum equa ¹⁵¹ tion:

$$if \mathbf{U}_{\mathbf{g}} = -\frac{1}{\rho_w} \nabla P, \tag{2}$$

where $\nabla = \frac{\partial}{\partial x} + i \frac{\partial}{\partial y}$ and *P* is the pressure. Using the hydrostatic balance in homogeneous ocean, an expression of the geostrophic velocities can readily be obtained from the Sea Surface Height (SSH) as:

$$u_g = -\frac{g}{f} \frac{\partial(\text{SSH})}{\partial y}, \quad v_g = \frac{g}{f} \frac{\partial(\text{SSH})}{\partial x},$$
 (3)

where g is the acceleration of gravity and $f = 2\Omega sin\phi$ is the Coriolis parameter with Ω the angular Earth velocity and ϕ the latitude.

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2.2 Ageostrophic currents: wind and wave driven components

The wind- and wave-induced ageostrophic currents in the upper boundary layer are obtained from the horizontal Ekman-wave induced momentum equation for a steady and Boussinesq flow (Lewis & Belcher, 2004; Huang, 1979; Polton et al., 2005):

$$if \mathbf{U}_{\mathbf{a}} = \frac{\partial}{\partial z} \left(A_z \frac{\partial \mathbf{U}_{\mathbf{a}}}{\partial z} \right) - if \mathbf{U}_{\mathbf{s}},\tag{4}$$

where $\mathbf{U}_{\mathbf{a}} = u_a + iv_a$ denotes the horizontal ageostrophic velocity in complex notation, 161 $\mathbf{U}_{\mathbf{s}} = u_s + iv_s$ is the wave-induced Stokes velocity, resulting $if\mathbf{U}_{\mathbf{s}}$ the term from the 162 Coriolis-Stokes force (rotation acting on the Stokes drift), and A_z is the vertical eddy 163 viscosity of sea water. Previous works (Huang, 1979; Polton et al., 2005) have shown that 164 the flow is significantly modified by the Coriolis–Stokes force not only at the near-surface 165 layer but throughout the entire Ekman layer. We assume that the vertical viscosity is 166 constant and equal to $A_z = 1.0710^{-2} \text{m}^2 \text{s}^{-1}$ (McWilliams et al., 1997). While other ap-167 proaches considered a vertical parametrization of A_z (Wenegrat & McPhaden, 2016; Polton 168 et al., 2005), we use a constant value since: (i) it would only affect the estimation at the 169 surface boundary condition and, (ii) the wave-induced circulation changes are indepen-170 dent of the vertical mixing parametrization when the typical depth scale of the waves 171 effect is smaller than the typical Ekman layer. 172

Assuming a monochromatic wave field propagating in deep water with a wavenumber $\mathbf{k} = (k_x, k_y)$, the Stokes drift velocity, $\mathbf{U_s} = U_s \hat{\mathbf{k}}$, is related to the wave as (Phillips, 175 1966):

$$U_s = a^2 \omega k e^{2kz},\tag{5}$$

being *a* the wave amplitude, $\omega = \sqrt{gk}$ the wave frequency at deep waters, $k = |\mathbf{k}|$ and the wave number unit vector:

$$\mathbf{k} = \cos\left(\theta_{\rm w}\right) + \mathrm{i}\sin\left(\theta_{\rm w}\right),\tag{6}$$

- with θ_w the mean direction of propagation waves, which is not necessarily parallel to the wind stress.
- Both boundary conditions required by the second-order ordinary differential equation (Eq. 4) are given at the free surface and at the vanishing boundary as:

$$A_{z}\frac{\partial \mathbf{U}_{\mathbf{a}}}{\partial z} = \frac{1}{\rho_{w}}\left(\boldsymbol{\tau} + \frac{\partial \boldsymbol{S}}{\partial \boldsymbol{X}}\right), \quad \text{at} \quad z = 0, \tag{7}$$

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$$\mathbf{U}_{\mathbf{a}} \to 0, \qquad \text{as} \qquad z \to -\infty,$$
 (8)

where ρ_w the sea water density and τ is the wind stress at the sea surface, $\tau = \rho_a C_D u_{10} \mathbf{u_{10}}$, where ρ_a is the air density (1.2 kg/m³, u_{10} is the 10-m wind speed and C_D is the neutral drag coefficient taken as, $C_D = (2.7/u_{10}+0.142+0.0764u_{10})/1000$ following Large et al. (1994). S_{ij} are the components of the radiation stress provided at the surface by:

$$\frac{\partial \mathbf{S}}{\partial \mathbf{X}} = \left(\frac{\partial S_{xx}}{\partial x} + \frac{\partial S_{yx}}{\partial y}\right) + i\left(\frac{\partial S_{xy}}{\partial x} + \frac{\partial S_{yy}}{\partial y}\right),$$
$$S_{xx} = \frac{E}{2}\cos^2\theta_{\rm w}, \quad S_{xy} = S_{yx} = \frac{E}{2}\sin\theta_{\rm w}\cos\theta_{\rm w}, \quad S_{yy} = \frac{E}{2}\sin^2\theta_{\rm w},$$

187 with $E = \rho g a^2 / 2$.

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The steady-state solution of Eq. 4 subjected to boundary conditions (Eq.
$$7-8$$
) is

$$\mathbf{U}_{\mathbf{a}}(z) = \frac{\boldsymbol{\tau}}{\rho_w \mathbf{A}_z m} e^{mz} + \frac{\frac{\partial \boldsymbol{S}}{\partial \boldsymbol{X}}}{\rho_w \mathbf{A}_z m} e^{mz} + \frac{m^2 \mathbf{U}_{\mathbf{s}\mathbf{0}}}{4k^2 - m^2} e^{2kz} - \frac{2km \mathbf{U}_{\mathbf{s}\mathbf{0}}}{4k^2 - m^2} e^{mz},\tag{9}$$

with $\mathbf{U_{s0}} = \mathbf{U_{s(z=0)}}, m = \sqrt{if/A_z} = (1+i)\lambda$ and $\lambda = \sqrt{f/(2A_z)}$. The characteris-

tic depth of the Ekman layer is defined as $\delta_e = 1/m$ and the characteristic Stokes depth scale as $\delta_s = 1/2k$. To clarify the importance Coriolis-Stokes interaction, Eq.9 is rewritten as,

$$\mathbf{U}_{\mathbf{a}}(z) = \mathbf{U}_{\mathbf{E}}(z) + \mathbf{U}_{\tau_{\mathbf{w}}}(z) + \mathbf{U}_{\mathbf{S}}(z) + \mathbf{U}_{\mathbf{ES}}(z).$$
(10)

Each term constituting Eq. 10 corresponds to the different components of the ageostrophic 193 velocity. $\mathbf{U}_{\mathbf{E}}(z)$ represents the classical Ekman component. $\mathbf{U}_{\tau_{\mathbf{w}}}(z)$ accounts for the surface current induced by the wave radiation stress, which will not be analyzed sepa-195 rately in the following sections because its value is small compared to the other compo-196 nents, $\mathbf{U}_{\mathbf{S}}(z)$ is the Stokes component, that decreases over the Stokes depth scale, be-197 ing much shallower than the Ekman layer ($\delta_s \ll \delta_e$). The latter component is corre-198 lated with the dynamical response to the Coriolis–Stokes force, being different than the 199 Lagrangian Stokes drift U_s given by Eq. 5. The last term, $\mathbf{U}_{\mathbf{ES}}(z)$ is the Ekman-Stokes 200 component that accounts for the non-linear interaction between wind and waves acting 201 over the entire Ekman layer (Polton et al., 2005). 202

Here, **U**_a is integrated over 1 meter depth since the mean Stokes layer depth is generally smaller than 2m in the Mediterranean Sea (Sayol et al., 2016). Note that the Stokes and Ekman contributions on the total velocity fields below 1 m-depth are not significant.

206 **3 Data**

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3.1 Wave and atmospheric data

Gridded wave and sea surface wind data can be obtained from remote sensing equipped 208 with scatterometer (Bourassa et al., 2019) and from model outputs. However, while satel-209 lites collect indirect observations of wind and waves (Ardhuin et al., 2018), data are ac-210 quired along tracks, generating maps with an effective resolution of approximately 40-211 50km and one week. Since the wave field changes at high frequency, that is for periods 212 spanning a few hours, remote-sensed winds are not the most suitable dataset in order 213 to study the wave effect on surface circulation. Concurrently, there exist nowadays con-214 sistent and global database about the wave field, also providing high-resolution wind ve-215 locities, that are generated by model reanalyses. Such model reanalyses have been ex-216 tensively validated with different in-situ observations (Berrisford et al., 2011) and have 217 already been used to study transport in the ocean (Breivik et al., 2016). 218

Surface waves and 10-m above the sea surface wind velocities are provided by the 219 ERA-Interim reanalysis (Dee et al., 2011). Wave fields are obtained using the WAM wave 220 model with the assimilation of available global measurements of ERS1 wave height data 221 (Janssen et al., 1997). These reanalysis data are provided by local GRIB code of the Eu-222 ropean Centre for Medium-Range Weather Forecasts (ECMWF) covering the period be-223 tween 1979 and 2019 with a temporal resolution of 6 hours and a spatial resolution of 224 0.125° both in latitude and longitude in the Mediterranean Sea (Fig. 1). For a detailed 225 description of these products the reader is referred to Berrisford et al. (2011). 226

The leading climatic modes of variability in the Mediterranean Sea, NAO, EA, EA/WR 227 and SCAND have been downloaded from the NOAA Climate Prediction Centre (https:// 228 www.cpc.ncep.noaa.gov/data/teledoc/telecontents.shtml; last access on: 27 Febru-229 ary 2020). NAO is usually defined as the sea level pressure difference between the Ice-230 land Low and the Azores High (Hurrell et al., 2003). The EA index consists of a north-231 south dipole of anomaly over the North Atlantic, with a strong multidecadal variabil-232 ity. The EA/WR is represented with four main anomaly centers; positive phase is as-233 sociated with positive wave height anomalies located over Europe and negative wave height 234 anomalies over the central North Atlantic. Finally the SCAND pattern is composed with 235 a primary circulation center over Scandinavia, with weaker centers of opposite sign over 236 western Europe. Climate indices are constructed through a rotated principal component 237 analysis of the monthly mean standardized 500-mb height anomalies in the Northern Hemi-238 sphere, ensuring the independence between modes at a monthly scale due to orthogo-239 nality (Barnston & Livezey, 1987). 240



Figure 1. Topography of the Mediterranean basin and naming convention of the main geographical locations used in the paper.

3.2 Geostrophic velocity field

Geostrophic currents are derived from the Sea Level Anomaly (SLA) provided by 242 the Copernicus Marine Environment Monitoring Service (CMEMS) through the prod-243 uct Mediterranean Sea Gridded L4 Sea Surface Heights and derived variables reprocessed 244 (1993-ongoing) (https://resources.marine.copernicus.eu/?option=com_csw&view= 245 details&product_id=SEALEVEL_MED_PHY_L4_REP_OBSERVATIONS_008_051; last access on: 246 7 February 2019). This product merges the different altimeter missions available (Jason-247 3, Sentinel-3A, Haiyang-2A, Saral/AltiKa, Cryosat-2, Jason-2, Jason-1, TOPEX/Poseidon, 248 ENVISAT, GFO, ERS1/2). SLA data are homogenized by the DUACS multimission al-249 timeter data processing system in order to generate gridded L4 absolute geostrophic ve-250 locities and optimal reprocessed products for long-term analysis, including the robust 251 estimation of regional mean sea levels trends (Pujol et al., 2016). This data set has a daily 252 temporal resolution and is provided over a regular mesh of 0.125° over the entire Mediter-253 ranean Sea. 254

²⁵⁵ Velocity fields \mathbf{U}_g and \mathbf{U}_a are computed every 6 hours for 25 years from 1993 to ²⁵⁶ 2018. For the geostrophic component, daily data are linearly interpolated to 6-hourly ²⁵⁷ time step, while for the ageostrofic component, each of the terms are computed for each ²⁵⁸ model output.

4 Statistical Methods

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4.1 Self Organizing Maps

Self-Organizing Maps (SOMs) is a statistical method using unsupervised learning
neuronal network which is especially suited to extract patterns in large datasets (Kohonen,
1982). SOM is a nonlinear mapping tool that reduces the high-dimensional feature space
of the input data to a lower dimensional (usually 2D) network of units called neurons.
Through the machine learning algorithm, SOMs are able to compress the information
contained in large and complex dataset into a single set of patterns. Similar neurons are

mapped adjacent on the network, since SOM preserves topology. This helps to improve
 the visualization of the patterns, being one of the advantages of this technique.

SOM learning process algorithm inserts the input velocity fields into a neural net-269 work which is modified along an iterative procedure. Each neuron is represented by a 270 weight vector containing as many components as the dimension of the input sample data. 271 At each iteration, the neuron whose weight vector is closest (as measured by minimum 272 Eulerian distance) to input data vector is retrofitted together with its topological neigh-273 bors towards the input sample according to a neighborhood relationship specified with 274 275 a given mathematical function. At the end of the training process, SOM approximates the probability density function of the input data associating each neuron with a refer-276 ence pattern. 277

The SOM technique is able to be applied both in the spatial and temporal domains. 278 Since we are interested in classifying the regions in the Mediterranean Sea according to 279 the temporal variability of each of the velocity components, we implement SOM anal-280 ysis in the time domain. The input data set is constituted not only by the total veloc-281 ity time-series $(\mathbf{U}_{\mathbf{T}})$ at each grid point, but also by coupling the geostrophic $(\mathbf{U}_{\mathbf{g}})$, Ek-282 man $(\mathbf{U}_{\mathbf{E}})$ and Stokes $(\mathbf{U}_{\mathbf{S}})$ velocities at the same grid point; as such, it allows analyz-283 ing the simultaneous variations of these terms. The resulting time-series are normalized 284 before starting the learning process. At its completion, each neuron will correspond to 285 a specific velocity temporal pattern for U_T , U_g , U_E and U_S . Then, the time-series of 286 the velocity components at each grid point are classified in accordance with the SOM 287 temporal patterns, providing a map of different sub-regions characterized with a partic-288 ular temporal variability. To compromise the levels of the regionalization and its inter-289 pretability, we retain 6 neurons (2x3 SOM) for the temporal analysis. Preliminary tests 290 using larger numbers of neurons returned more detailed temporal patterns for numer-291 ous sub-regions which are, however, difficult to clearly distinguish by their dynamical be-292 haviors (see the supplementary material Fig. A1, Fig. A2 and Hernández-Carrasco and 293 Orfila (2018)). We use a hexagonal map lattice in order to have equidistant neighbors and do not introduce artificial anisotropy. We opted for a linear mode for the initializa-295 tion, a batch algorithm for the training process, and an 'ep' type of neighborhood func-296 tion since this parameter configuration produces lower quantitative and topological er-297 rors and a minimize computational cost (Liu et al., 2006). 298

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4.2 Wavelet power spectral method

Wavelet transform of a time-series x_n ($W^X(s)$) performs a time-frequency domain 300 decomposition of the time-series by varying the wavelet scale s and by estimating its spec-301 tral characteristics as a function of time (Torrence & Compo, 1998). Wavelet is able to 302 extract local-frequency information from a temporal signal in order to extract the dom-303 inant modes of variability and detect changes over time (Torrence & Compo, 1998). Wavelet 304 uses a Fourier transform approach on a sliding temporal window returning frequencies 305 at each time step, therefore being well suited for identifying periodic phenomena with 306 changing spectra (Kaiser, 1994). This tool facilitates the study of time-series that con-307 tain non-stationary power at many different frequencies (Daubechies, 1990), as is the case 308 here. We used a Morlet wavelet transform, which is a plane wave of wavevector ω_0 mod-309 ulated by a Gaussian of unit width with an adimensional frequency $\omega_0=6$ (i.e. it con-310 tains 6 complete cycles of the temporal scale that is being analyzed). This wavelet base 311 function is adequate to be localized in both time and frequency spaces and therefore to 312 properly assess changes in the wavelet amplitude over time (Torrence & Compo, 1998). 313 To distinguish the signal from the underlying noise, a threshold above the 95% confidence 314 interval of a red-noise spectrum was used. The ability of wavelets to extract significant 315 frequencies in localized time periods provides a powerful tool to characterize the patterns 316 resulting from the previously-described SOMs analysis in the time domain. 317

4.3 Combined SOM-Wavelet coherence analysis

To assess the response of the sub-regions identified by the SOMs to large-scale forc-319 ing, we use an approach based on the Wavelet Coherence Analysis (WCA) between two 320 time-series (Grinsted et al., 2004). WCA characterizes cross-correlations by identifying 321 the main frequencies, phase differences and time periods over which the relationships be-322 tween the variability of the currents components (geostrophy, Ekman and Stokes) and 323 the main relevant large-scale forcing (e.g. NAO, EA, EA/WR and SCAND indices) are 324 tight in each region. To do so, we first analyze the variability in both frequency and time 325 of each velocity components characteristic time and the time series of the climate indices, 326 using the continuous wavelet transform. 327

Using the cross-Wavelet Transform (XWT), we determine the cyclic changes of the velocity components and their relationship with the climatic indices described above, in each of the sub-regions. The XWT of two time-series x_n and y_n indicates common power and relative phase in the frequency-time domain, given by $W^{XY}(s) = W^X(s)W^{Y^*}(s)$, where * represents the complex conjugate. $|W^{XY}(s)|$ is the cross-wavelet power and the complex argument $\arg(W^{XY}(s))$ is the relative phase between both time-series (shown in the Fig. 8 as arrows).

Finally the degree of coherence of the XWT at each time point is obtained by computing the coefficient R^2 given by the squared absolute value of the smoothed cross-wavelet spectrum, normalized by the product of the smoothed wavelet squared individual spectra, for each scale (Torrence & Compo, 1998; Grinsted et al., 2004), as:

$$R_n^2 = \frac{|S(s^{-1}W_n^{XY}(s))|^2}{S(s^{-1}|W_n^X(s)|^2)S(s^{-1}|W_n^Y(s)|^2)},\tag{11}$$

whose values range from 0 (no correlation) to 1 (perfect correlation) and where S denotes the smoothing operator along the wavelet scale axis and along time. R^2 can be interpreted as a localized correlation coefficient in the frequency-time domain. It should be noted that while cross-wavelet analysis does not establish causative relationships, still allows identifying possible linkages between variables through the synchrony of their timeseries.

Last but not least, wavelet coherent analysis is particularly suited to unveil regional relationships between global forcing (climate modes of variability) and the temporal velocity patterns obtained from the SOM given its ability to extract the frequencies and time periods when two time-series are correlated, wavelet coherent analysis is particularly suited to unveil regional relationships between global forcings (climate modes of variability) and the temporal velocity patterns obtained from the SOM.

5 Results and discussion

The overall picture of the mesoscale dynamics at the upper layer is mainly dom-352 inated by the geostrophic component for most space and time windows considered (not 353 shown). However we found time periods where the ageostrophic velocities associated with 354 wind and waves effects largely govern the main circulation over different regions of the 355 Mediterranean Sea. As an example, Fig. 2 shows the total surface current and its respec-356 tive components for the 19th of January 2005 at 12 : 00 UTC. It exemplifies a dynam-357 ical situation characterized by the net prevalence of Stokes and Ekman-induced veloc-358 ities compared to the geostrophic component. At the geographical coordinate N38° E7°, 359 37', 30'' (i.e. central location of the south-western Mediterranean basin), the maximum 360 values of Stokes velocity reaches 15cm/s, being the Ekman velocity of 78cm/s which is 361 largely exceeding the geostrophic velocity of 18 cm/s. The contributions of \mathbf{U}_S and \mathbf{U}_E 362 to the total velocity at this location for that particular date are 16.7% and 85.18%, re-363 spectively. As shown in Fig. 2, the spatial distributions of the ageostrophic velocities be-364 tween the eastern and western basins clearly differ. While in the western Mediterranean, 365



Figure 2. a) Total, b) Geostrophic, c) Ekman, d) Stokes and e) Ekman-Stokes velocity fields for January, 19th of 2005 at 12 : 00 UTC. The magnitudes (module, in cm/s) of each velocity component are displayed as background colors according to the color-scale. The black arrows represent the direction of the velocity fields. Only 1 of each 5 data points have been plotted for clarity.

the total velocity is mainly governed by the Ekman component (i.e. intense winds blowing in the Gulf of Lion towards the center of the basin and modifying the Northern Current), the eastern Mediterranean basin is mainly governed by geostrophy (see Fig. 1 for the distinct hydrodynamical features).

The relevance of both Ekman and Stokes components on the total current is not 370 only restricted to the situations where they reach maximum values, as shown by the pre-371 vious exemplary case (Fig. 2), since they can also have a noticeable impacts on the dy-372 namics with relatively small values. Indeed, the relative differences of direction between 373 the wind stress and wave propagation on one hand, and the geostrophic component on 374 the other hand, affect the total surface circulation. Fig. 3 displays an example correspond-375 ing to the 5^{th} of February 2014 at 6:00 UTC where, even though the geostrophy rep-376 resents the main contribution on the total velocity, both Ekman and Stokes components 377 suppress the Algerian Current and the Liguro-Provençal Current. This suppressive ef-378



Figure 3. a) Total, b) Geostrophic, c) Ekman, d) Stokes and e) Ekman-Stokes velocity fields for February the 5th of 2014 at 6 : 00 UTC. The magnitudes (module, in cm/s) of each velocity component are displayed as background colors according to the color-scale. The black arrows represent the direction of the velocity fields. Only 1 of each 5 data points have been plotted for clarity.

fect of the Ekman component is not caused by its intensity, $(|\mathbf{U}_{\mathbf{E}}|$ is similar to $|\mathbf{U}_{\mathbf{g}}|)$, but because its direction is opposite to the geostrophic current direction.

It is worth noting that U_{ES} ensures that the total velocity satisfies the wind stress 381 boundary condition at the sea surface. Thus, it removes the sea surface stress caused by 382 the Stokes component $(\mathbf{U}_{\mathbf{S}})$ (Polton et al., 2005; Pearson, 2018). For this reason, $\mathbf{U}_{\mathbf{S}}$ and 383 $\mathbf{U}_{\mathbf{ES}}$ usually have opposite direction with the same order of magnitude, with a minor 384 impacts on the total current. This is particularly appreciable when the Ekman layer is 385 deeper than the Coriolis-Stokes depth ($\delta_s \ll \delta_e$), i.e. under short wave periods, where 386 the effect on the current profile resembles the traditional pure Ekman solution (Polton 387 et al., 2005). 388

These dynamical conditions associated with a large contribution of the wind and waves induced currents are not isolated cases since these ageostrophic circulation patterns occur frequently over different Mediterranean regions. 392 393

5.1 Regionalizing the impacts of wind and waves on the total surface kinetic energy

To further characterize the regions and time periods for which the total surface dynamics are governed by the Ekman and Stokes components, we perform a coupled SOMs analysis between the absolute value of \mathbf{U}_T , \mathbf{U}_g , \mathbf{U}_E and \mathbf{U}_S . Note that these magnitudes are closely related to the root-squared Kinetic Energy (henceforth referred as to KE) given by $\mathrm{KE}=(u^2+v^2)^{1/2}$. We first apply the SOM algorithm to the 6-hour velocities for 2005, since this year presents maximum averaged values for the ageostrophic velocities and the areas influenced by each velocity component can be more clearly delimited.

The different temporal pattern extracted from the SOM analysis using a 2x3 neu-401 ral network in the time domain are shown in Fig. 4 for each of the velocity components. 402 As expected, geostrophy dominates the low frequency variations while the Ekman and 403 Stokes components modulate the high frequency signal of the total velocity, including the sub-daily variability (Onink et al., 2019). This high frequency signal shows the highly 405 variable response of the upper layer dynamics to the rapidly evolving waves and wind 406 forcing. In general, U_g is of the same order of magnitude as U_T , whereas U_E is about 407 half (or smaller) of $\mathbf{U}_{\mathbf{T}}$'s intensity while $\mathbf{U}_{\mathbf{S}}$ is one order of magnitude smaller than $\mathbf{U}_{\mathbf{T}}$. 408 As observed in Fig. 4, due to the preservation of the topology, the SOM method orga-409 nizes the patterns in the neural network according to the similarity in the intensity and 410 variability of each velocity components. Patterns showing high contribution of geostro-411 phy are located around the right top corner of the neuronal network (P2 and P3 in Fig. 412 4), while patterns where the contribution of Ekman and Stokes velocities is large are found 413 at the left-hand side of the neural network (P1 and P4). And between them, there are 414 some intermediary patterns (P5 and P6). As revealed by some patterns, the wind and 415 waves induced currents are more intense during winters, exceeding the value of the geostrophic 416 component in some patterns (i.e. P1, P4 and P5). This suggests a strong seasonal vari-417 ability in the ageostrophic signal which is further analyzed in section 5.2.1. 418

Fig. 5 shows the objective classification of the Mediterranean Sea in sub-regions 419 based on the combined variability of total, geostrophic, Ekman and Stokes velocity com-420 ponents given by the temporal patterns described previously (Fig. 4). The region where 421 the Ekman and Stokes components have the largest values (R1) corresponds to the tem-422 poral pattern P1. It identifies the northern and central sub-basins of the western Mediter-423 ranean as a region whose surface dynamics is largely affected by the wind and waves induced currents. It is indeed dominated by strong regional winds (i.e. 'mistral' and 'tra-425 montane') blowing southward with the marine origin in the Gulf of Lion (Zecchetto & 426 De Biasio, 2007; Obermann et al., 2018), where waves can be developed through the large 427 fetch (Sayol et al., 2016; Morales-Márquez et al., 2020). This kind of winds although are 428 stronger with longer duration and more frequent in winter, they also take place in sum-429 mer (Soukissian et al., 2018). Surprisingly, we found in P1 the events with the larger val-430 ues of U_T with velocities up to 40 cm/s during the 19th of January, the 14th of Febru-431 ary, the 11th of April and the 17th of December (although not easily appreciable in Fig. 432 4 since the original temporal pattern has been smoothed). Regarding the eastern and 433 central parts of the basin, the influence of the Ekman and Stokes components is higher 434 in the regions R4, R5 and R6, characterized by patterns P4, P5 and P6 (see green, yel-435 low and orange regions in Fig. 5). These patterns can be associated with local winds such 436 as, etcsian and bora (Zecchetto & De Biasio, 2007), that although they do not have enough 437 distance without any obstacle in order to the waves to be developed, they are able to cause 438 an large Ekman velocity. Comparing the amplitude of Ekman and Stokes components over all the regions, we can observe that western basin is the region most impacted by 440 wind and waves of the Mediterranean Sea, since there is a larger fetch. 441

Regions where the dynamics is mainly modulated by the geostrophy (low frequency signal) are characterized by P2 and P3 (Fig. 4) and shown by R2 and R3 in Fig. 5. They identify the well-known geostrophic circulation features in the Mediterranean Sea includ-



Figure 4. Temporal patterns of the absolute value of the total (black line), geostrophic (cyan line), Ekman (blue line) and Stokes (red line) velocity component fields extracted from the coupled SOMs technique for 2005. Patterns have been smoothed using a moving window of 3.5 days in order to facilitate comparison. The means and the standard deviations of each temporal pattern reported within each panel.



Figure 5. Regions unveiled from the SOM analysis according to the coupled variability of the absolute value of each velocity field component. R1 is dominated by the ageostrophic component; R2/R3, by the geostrophic one and R4/R5/R6 are intermediate patterns.

ing the Alboran gyres, Levantine gyres and the detachment of eddies from the Algerian 445 current through baroclinic instability (R2). Indeed, the Algerian current, which flows 446 along the northern African shelf and then crosses the Strait of Sicily towards the south-447 ern Ionian Sea, is clearly identified by R3. It is also remarkable how the main Mediter-448 ranean gyres are well characterized within the same region (R2), showing a similar vari-449 ability in the total kinetic energy of this geostrophic features between the western and 450 eastern basins. These temporal patterns also identify the Liguro-Provençal current that 451 is interrupted in the Gulf of Lions due to the effect of the Ekman and Stokes components 452 (R1). Pattern P3 shows an increase of $\mathbf{U}_{\mathbf{T}}$ during August and September, likely due to 453 the importance of the geostrophic component (in contrast to the weakening of wind and 454 waves). P4 characterizes the regions R4 (green areas in Fig. 5) associated with lower to-455 tal kinetic energy (small values of $\mathbf{U}_{\mathbf{T}}$) and where the Ekman component is relative large, 456 dominating the total velocity during winter season. This pattern identifies broad areas 457 across the western and central parts of the Mediterranean Sea (Thyrrhenian, Adriatic, 458 northern Ionian, Gulf of Gabes and Ebro shelf), as well as small regions around Cyprus 459 (eastern basin). P5 and P6 are exclusive for the central and eastern Mediterranean, re-460 spectively; exhibiting intermediate values of Ekman and Stokes velocities, being higher 461 the contribution of the geostrophy and the total kinetic energy in the easter region (R6). 462 It is worth mentioning that, the characteristic map of regions shown in Fig. 5 is in agree-463 ment with the main features of the surface dynamics in the Mediterranean Sea outlined in Millot (2005). 465

466

5.2 Regional assessment of the temporal variability

In this Section, we extend the analysis to the 25 years of data to assess the role of 467 wind and waves at the inter-annual scale. Each velocity component is spatially averaged 468 every 6-h from 1993 to 2018 over each region identified by the previous SOM analysis 469 (Fig. 5) to obtain the time-series reported in Fig. 6. The time series have been smoothed 470 with a moving window of 45 days to improve readability. The different components ex-471 hibit similar variability than previously analysed for 2005, with geostrophy clearly dom-472 inating in patterns P2 and P3, and with the wind and wave induced velocities being promi-473 nent in pattern P1. The geostrophic component appears as the main contributor describ-474 ing the large scale variability while Ekman and Stokes components incorporate the high 475 frequency and a clear seasonal signal to the total velocity. Despite the fact that the val-476 ues of Ekman and Stokes velocities are high during short time periods, they impact sig-477 nificantly on the total kinetic energy throughout the entire period analyzed. As seen in 478 pattern P1, the Ekman component surpasses the geostrophy during winter. A similar 479 situation occurs in R4, where the P4 presents smaller total kinetic energy with a large 480 impact of the Ekman component in winter. In the central (R5) and eastern (R6) regions, 481 the geostrophic velocities are larger than the Ekman and Stokes components except for 482 a few occasional events, when the two latter are higher than the former. In general, the 483 contribution of the Ekman component to the total velocity is larger in the central part 484 (P5) than in the eastern one (P6). The effect of Ekman and Stokes components at the 485 eastern part, P6, is particularly significant during 2002, 2012 and 2015 winters (see Fig. 486 6).487

488

5.2.1 Short-term variability: annual and semiannual cycles

An assessment of the temporal variability (i.e. dominant frequency bands as a function of time) of the different velocity components in each of the SOM regions identified in Fig. 5 is here performed applying a wavelet analysis to their corresponding temporal patterns (Fig. 7). All the regions show a strong seasonal signal (1 year characteristic period) for all the velocities except for the geostrophic component in R1. This strong intra-annual variability is mainly fueled by the ageostrophic components. While in regions R1, R2, R5 and R6 the annual geostrophic signal is interrupted, the Ekman and



Figure 6. Mean total (black line), geostrophic (cyan line), Ekman (blue line) and Stokes (red line) velocity component module fields from 1993 to 2018 in the regions of the temporal SOMs of 2005.

Stokes components contribute largely to the short term variability (annual cycle) of the total kinetic energy during these 25 years, as indicated by the marked seasonality of Ekman and Stokes components for the entire Mediterranean Sea (Fig. 6). It should be noted that U_T also exhibits an important semi-annual cycle in R6, and in R3 to a lesser extent, during almost all 25 years except 1999. This characteristic period is also present in geostrophy but more discontinued than in the ageostrophic velocity. Note that the semiannual signal in the geostrophic current in R1 from 2000 to 2008 is removed in the total velocity.

504 505

5.2.2 Long-term variability: relation with climatic modes of variability

Long-term oscillations are found in the total velocity with characteristics periods 506 of around 2, 3 and 5 - 6 years over the whole basin. The long term variability on the to-507 tal velocity field is modulated by the geostrophic component in all the regions. However, 508 Ekman and Stokes components increase the spectrum power of these characteristics pe-509 riods in some regions. In the western Mediterranean (R1), additional significant peri-510 ods are identified around 2 and 3 years from 2010 to 2017, from 1999 to 2006 and from 511 2008 to 2013, respectively, as a result from the combination of the geostrophic and ageostrophic 512 variability. As already suggested in Fig. 6, the Ekman component dominates the vari-513 ability in this region during the 25 years period. In R2, there are significant signals with 514 periods of 1.5 - 2 years and 2 - 4 years over 2013 - 2018 and 2001 - 2015, respectively, 515 also due to the combined influences of the different velocity components. On the other 516 hand, U_g in R3 is practically the main contributor to the 1.5 - 2 years and 4 - 6 years 517 cycles in the total velocity. Therefore $\mathbf{U}_{\mathbf{a}}$ has poor relevance in explaining the long-term 518 variability in this region. Regions R4 and R5 present a 4 - 6 year-period well defined and 519 a 1.5 years period in some specific years (see Fig. 7, R4 and R5). In R5, the annual sig-520 nal is intermittent in $\mathbf{U}_{\mathbf{g}}$ being present during the 25 years in $\mathbf{U}_{\mathbf{a}}$. Finally, $\mathbf{U}_{\mathbf{T}}$ in R6 reg-521 isters cycles of 1 - 2.5 years and 1.5 years during 1997 - 2003 and 2013 - 2018, respec-522 tively. Periods ranging 5 to 6 years coincide with the characteristic periods of the dom-523 inant climatic patterns of variability acting over the Mediterranean Sea (Morales-Márquez 524 et al., 2020). 525

In order to get insights about the regional influence of the modes of atmospheric variability on the upper layer dynamics in the Mediterranean Sea, we perform a wavelet coherence analysis between the NAO, EA, EA/WR and SCAND indices and the U_T in the dynamical regions previously identified (Fig. 8). This method allows identifying the frequency bands within which time series of KE for each SOMs region and the large scale atmospheric forcings co-vary.

NAO is correlated with the total velocity with signals of around 1 year during 2014 532 to 2018 in all the SOM regions (see Fig. 8, NAO). For periods spanning 5 - 7 years the 533 total velocity signal is anticorrelated with the NAO in all regions except R1 where the 534 negative correlation is around 2.5 years. Note that R1 corresponds to the region where 535 wind and waves are most relevant for the modulation of the high frequency variability 536 of the total currents. This is in agreement with the results obtained by Morales-Márquez 537 et al. (2020) where a strongly significant anticorrelation between extreme waves and the 538 NAO was obtained in the Mediterranean Sea. NAO has a significant influence in R2 with 539 a negative correlation at 2-5 years during the period of analysis, and in R5 with neg-540 ative correlation around 2 - 4 years from 1993 to 2002. In addition, NAO has an effect 541 on the semiannual variability in all regions during 1996, 2003 and 2008 being less vis-542 ible in R3 and R5 (see Fig. 8, NAO). 543

The influence of EA on the variability of the total current in R1 and R4 is associated with 1.5 and 4 - 5 years signals after 2000 (see Fig 8, EA). In R1, correlation occurs between 2003 to 2016 with a 4 year-period and for the 25 years period around 7 years



Figure 7. Wavelet power spectrum of the 6-hours time series of the spatially-averaged (over the SOM regions shown in Fig. 6) Total, Geostrophic and Ageostrophic velocity components from 1993 to 2018. Contours in black indicates the 95% significant levels. Lighter shades show the cone of influence (COI) where the edge effects may distort the Fourier analysis.



Figure 8. Wavelet coherence between the mean total velocity module for temporal 2005 SOMs patterns and the monthly values of NAO, EA, EA/WR and SCAND climatic indices from 1993 to 2018. The arrows determine the phase between both series. Arrows pointing to the right represent positive correlation (signals in phase) and when they point to the left, anti-correlation (signals in anti-phase). Contours indicate wavelet squared coherence.

(see Fig. 8, R1, EA). Similar but less intense atmospheric influence is found in R5 and
R6. In all regions a strong anticorrelation is shown around 1 year from 2002 to 2005 and
from 2016 to 2018. EA also affects R4 and R5 with a 2 years signal between 2009 to 2012.
Note that EA does not affect R3, that is where mesoscale surface dynamics is mainly controlled by the geostrophic component. R6 shows a positive correlation with the EA 3 yearperiod from 1993 to 2002.

The signature of the 1 year signal, associated with EA/WR, is clearly seen in all the Mediterranean surface dynamics between 2003 to 2005. The western Mediterranean (R1 and R2) shows an anticorrelation with EA/WR signals at 4 year-period from 2010 to 2018, and around 5-year period in R3 from 1993 to 2007, in agreement with the relationships documented for extreme waves by Morales-Márquez et al. (2020).

The influence of SCAND index on total surface currents manifests itself with a positive correlation at 1 - 2-year period for the whole basin after 2006. The impact of SCAND climate mode is more intense in Eastern Mediterranean as shown by the negative/positive correlation in the 1.5 - 3 years band between 1993 and 2006 in R3/R5 and by the strong negative correlation around 3 - 5 year-period during 2000 - 2018 in R6.

563

5.2.3 Trends in the Kinetic Energy

To analyze linear trends in the geostrophic and total velocity modules, the resid-564 ual of $\mathbf{U}_{\mathbf{T}}$ and $\mathbf{U}_{\mathbf{g}}$ are fitted by a linear regression in time at each spatial point (see Fig. 565 9). The significance level is set at 90% with a t-value adjusted of N-2 degrees of free-566 dom (Pastor et al., 2018). The estimated global Mediterranean trend of total speed is 567 positive with a value of $0.058 \pm 1.43 \ 10^{-5} \text{ cm/s}$ per year, being the geostrophic one higher 568 with a value of $0.063 \pm 1.20 \ 10^{-5}$ cm/s per year (see Fig. 9). It suggests that surface ve-569 locities, and associated KE, are increasing over this 25 years period. While regions where 570 the wind- and wave-induced velocities have the largest impacts (R1 and R4) do not ex-571 hibit clear and significant trends in the total velocity module (not shown), the geostrophic 572 dominated regions (R3 and R2) show positive trends with a shift in 2003 (0.59 ± 8.15 573 10^{-5} and 0.37 ± 6.56 10^{-5} cm/s per year), see Fig. 10. These results are consistent with 574 the KE increase presented in Ser-Giacomi et al. (2020), they explain this rise as a po-575 tential relation to an increment of a baroclinic instability since they show a decrease of 576 the wind stress across the most of the western basin. While such mechanism could also 577 explain the rising trend evidenced here, further analyses are needed to ascertain which 578 mechanism is at play. Note however that the clear positive trend from 1993 to 2002 seems 579 to slow down after 2003. It could indicate that this is not a proper trend but rather part 580 of a longer oscillation or an artifact due to the inconsistency in the SLA dataset of 25 581 years. However the altimeter product used in this study (see section 3) is the result of 582 homogenization procedure among several altimeter satellite observations and is thus con-583 sidered suitable for trend analysis (Pujol et al., 2016). U_T and U_g present similar trends 584 during the 25 years analyzed (see Fig. 9, a and b) with an increment in the eastern Mediter-585 ranean Sea and a decrease in the western basin. The global trend is generally positive 586 in regions where the geostrophy is dominant, except in the Lybian Sea where both $\mathbf{U}_{\mathbf{T}}$ 587 and U_g tendencies are negative, in good agreement with Fig. 6. The maximum trend of $0.72 \pm 2.44 \ 10^{-5}$ cm/s per year for U_T is found in the eastern part of the Mediterranean 589 basin. In contrast, the minimum value in the Lybian Sea is $-0.81 \pm 2.42 \ 10^{-5}$ cm/s per 590 year (Fig.9, a). The maximum and minimum trends for $\mathbf{U}_{\mathbf{g}}$ are found in the same re-591 gions with slightly smaller values, $0.73 \pm 2.20 \ 10^{-5}$ and $-0.77 \pm 2.85 \ 10^{-5}$ cm/s per year, 592 (Fig.9, b). The ageostrophic input on the trend of the total velocity module is evaluated 593 through the difference between both tendencies, $\mathbf{U}_{\mathbf{T}}$ and $\mathbf{U}_{\mathbf{g}}$). Most values are close to 594 zero in the whole Mediterranean (see Fig.9, c), except in the region with the minimum 595 trend of U_T where the difference of trends is ~ 0.2 ±9.89 10⁻⁷ cm/s per year. In the 596 western region, there are some areas with a small positive differences of trend of 0.05 ± 6.33 597



Figure 9. Trend of the a) Total velocity module and b) Geostrophic component module from 1993 to 2018 in cm/s per year. c) Difference between a) and b). No significant values at the 90% confidence interval are dotted.

 10^{-7} cm/s per year, corresponding to R1 of Fig. 5 and also to the regions of the main regional winds.

Positive global trends of other oceanic variables have also been observed for the Mediterranean. (Pujol & Larnicol, 2005) reported a trend in the root squared Eddy Kinetic Energy of 0.7 cm/s per year, between 1993 and 2003, and (Pastor et al., 2018) showed a linear trend for Sea Surface Temperature from 1982 to 2016 of 0.03 ± 0.003 °C per year.

604 6 Conclusions

This study analyzes the effect of Ekman and Stokes velocities on the total kinetic energy in the upper layer of Mediterranean Sea. By solving the momentum equation (Eq. 4) we include the interaction between Ekman and Stokes drift on the geostrophic velocity. Total velocity is decomposed into different components: the geostrophic, Ekman, Stokes and the interaction between Ekman and Stokes. The regional relevance of these differ-



Figure 10. Trend of the a) Total velocity module and b) Geostrophic component module from 1993 to 2002 in cm/s per year. c) Difference between a) and b). No significant values at the 90% confidence interval are dotted.

ent components is evaluated through SOM decomposition, and their variability through wavelet analysis.

Once the velocity components are obtained, a dynamical regionalization of the Mediter-612 ranean Sea has been performed based on the local impacts of waves and wind on the to-613 tal velocity variability. Ekman currents account for the short-term variability (seasonal, 614 semi-seasonal and smaller time scales) of the surface circulation, especially during win-615 ter when the Ekman component occasionally exceeds geostrophy due to strong regional 616 winds. The regionalization shows that the effects of Ekman and Stokes are more marked 617 in the western than in the eastern Mediterranean basin. This is the result of the larger 618 fetch in the western basin, allowing the development of larger swells (Mao & Heron, 2008). 619 Regionalization of velocity components identifies two regions (associated with the main 620 Mediterranean gyres and the Algerian current) where the geostrophy modulates the to-621 tal kinetic energy variability. These regions are characterized by a positive trend of the 622 module velocity of 0.14 $\pm 2.15 \ 10^{-5}$ cm/s per year during the 25 years, with stronger in-623 crements during 1993-2002. The dominant periods of the total currents in the entire 624 Mediterranean Sea, essentially dominated by geostrophy, are 1 and 5 - 6 years. In regions 625 where the inclusion of both Ekman and Stokes velocities returns a significantly differ-626 ent flow field than the one obtained by geostrophic approximation, intermediate peri-627 odicity values between 1 and 5 years are found. These signals of variability are related 628 with the principal climatic modes typical of the Mediterranean basin: the NAO, EA EA/WR 629 and SCAND patterns. NAO dominates, with a negative correlation, the large-scale, around 630 5 - 7 years in the whole basin except in the western Mediterranean, which was already 631 noticed by Morales-Márquez et al. (2020). Furthermore, NAO is correlated with the an-632 nual variability during 2014-2018 and with the semiannual variability at the whole basin, 633 although these connections are weaker for geostrophy-dominated region. The EA index 634 has a positive large-scale correlation in the Mediterranean Sea (4 - 7 years), with the ex-635 ception of the geostrophic modulated region. The long-term variability effect of EA/WR 636 on the currents velocity is negative and between periods of 4 to 5 years, in particular in 637 the Western Mediterranean. Finally, the SCAND mode of variability has a negative ef-638 fect in periods of 3 -5 years in the eastern basin. 639

The methodology presented in this work can be used to better understand the physical, biological and chemical processes occurring at the upper layers of any ocean region using only observations with a low computational cost. Next step is devoted to extend this analysis to study transport properties from the Lagrangian point of view. Thus, several applications (e.g. floating debris, oil spill, Search and Rescue, jellyfish tracking, etc.) could benefit from this approach to obtain reliable nowcast.

646 Appendix A Supplementary material

647 Acknowledgments

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Figure A1. Temporal patterns of the absolute value of the total (black line), geostrophic (cyan line), Ekman (blue line) and Stokes (red line) velocity component fields extracted from the coupled SOMs technique for 2005 with 9 neurons.



Figure A2. Regions unveiled from the SOM analysis, with 9 neurons, according to the coupled variability of the absolute value of each velocity field component.

⁶⁶¹ University through a Ministerio de Ciencia, Innovación y Universidades fellowship (PRX18/00218).

- All data are accessible from https://apps.ecmwf.int/datasets/data/interim-full
- -daily/levtype=sfc/, fromhttps://www.cpc.ncep.noaa.gov/data/teledoc/telecontents
- .shtml and from https://resources.marine.copernicus.eu/?option=com_csw&view=
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