Trends in the Frozen Ground Temperature on the Tibetan Plateau Simulated by RegCM4.7-CLM4.5

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Abstract

The changing characteristics of the frozen ground (FG) are essential indicators of climate change. The soil temperature (ST) on the Tibetan Plateau (TP) during 1987- 2018 was simulated using the coupled model of RegCM4.7-CLM4.5. The results show that there is a significant warming trend in the ST on the TP, and the warming trend is higher in October-May (0.040 [?]decade⁻¹) than in June-September (0.026 [?]decade⁻¹), with the maximum value in February (0.058 [?]decade⁻¹). Spatially, the warming is most significant in the Three River Source Region (0.15 $^{\circ}$ 0.20 [?]decade⁻¹) and near the Himalayas and Kunlun Mountains (0.20 $^{\circ}$ 25 [?]decade⁻¹), with the warming trend greater in winter and spring than in summer and autumn. Air temperature (AT), total precipitation (TPR), maximum snow depth (MSD), and maximum frozen ground depth (MFD) can significantly affect the ST variation. The AT (R=0.851) and TPR (R=0.411) can accelerate the soil warming, while the MSD (R=-0.381) and the MFD (R=-0.770) can decelerate the soil warming. The AT has a strong influence on the ST in all four seasons, while the effect of the TPR is strongest in autumn (R=0.836). The retarding effects of the MSD and the MFD are strongest in summer (R=-0.772 and -0.35 respectively). Both the observation data and numerical simulation analyses indicate that the FG on the TP shows a degradation trend, and the consequent hydrological, ecological, and climatic effects deserve sufficient attention.

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4

Abstract: The changing characteristics of the frozen ground (FG) are essential 5 indicators of climate change. The soil temperature (ST) on the Tibetan Plateau (TP) 6 during 1987- 2018 was simulated using the coupled model of RegCM4.7-CLM4.5. 7 The results show that there is a significant warming trend in the ST on the TP, and the 8 warming trend is higher in October-May (0.040 $^{\circ}$ C·decade⁻¹) than in June-September 9 $(0.026 \text{ °C} \cdot \text{decade}^{-1})$, with the maximum value in February $(0.058 \text{ °C} \cdot \text{decade}^{-1})$. 10 Spatially, the warming is most significant in the Three River Source Region 11 $(0.15 \sim 0.20 \text{ °C} \cdot \text{decade}^{-1})$ and near the Himalayas and Kunlun Mountains 12 $(0.20 \sim 25 \text{ °C} \cdot \text{decade}^{-1})$, with the warming trend greater in winter and spring than in 13 summer and autumn. Air temperature (AT), total precipitation (TPR), maximum snow 14 15 depth (MSD), and maximum frozen ground depth (MFD) can significantly affect the 16 ST variation. The AT (R=0.851) and TPR (R=0.411) can accelerate the soil warming, while the MSD (R=-0.381) and the MFD (R=-0.770) can decelerate the soil warming. 17 The AT has a strong influence on the ST in all the four seasons, while the effect of the 18 TPR is strongest in autumn (R=0.836). The retarding effects of the MSD and the 19 MFD are strongest in summer (R=-0.772 and -0.35 respectively). Both the 20 observation data and numerical simulation analyses indicate that the FG on the TP 21 22 shows a degradation trend, and the consequent hydrological, ecological, and climatic 23 effects deserve sufficient attention.

24

25 **1. Introduction**

26 Frozen ground (FG) refers to all kinds of rocks and soils that are below 0°C and contain ice. According to the freezing time length, FG is divided into two categories: 27 seasonally frozen ground (SFG) and permafrost. Permafrost is defined as an area 28 covered by perennially frozen soil where the deep soil temperature (ST) remains at or 29 below 0°C for two or more consecutive years. Permafrost is mainly found in colder 30 31 regions at high latitudes and high altitudes where the average annual air temperature 32 (AAT) is below 0°C. The soil partially melts near the surface in summer and refreezes 33 in winter until the entire soil column is completely frozen. SFG is distributed in areas where the AAT is higher than 0°C. The soil freezes in winter, starts to melt in spring 34 35 and thaws completely in summer (Gao 2017). The FG acts as a buffer for the land-air interaction, which releases and absorbs soil heat capacity slowly and may have a 36 lasting effect on atmospheric circulations (Wang et al. 2001, Mackay 2008). 37

38 The Tibetan Plateau (TP) is known as the "third pole of the world" and the 39 "water tower of Asia". Due to the widely distributed permafrost and SFG, and its

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40 unique geographic location and altitude, it greatly influences the climate characteristics of China and Asia. The FG area on the TP accounts for 70% of the total 41 FG area in China, and the total FG area accounts for 22.3% of the total land area 42 43 (Zhou et al. 1982). The hydrothermal changes caused by seasonal freeze-thaw 44 processes on the TP is an important exogenous source of the climate change in East 45 Asia, and the maximum frozen ground depth (MFD) on the TP can serve as a signal for the summer precipitation in China (Wang et al. 2003, Zhang et al. 2004, Zhao and 46 Moore 2004). 47

48 The soil heat fluxes are generally positive in most FG areas on the TP, resulting 49 in the permafrost in a degradation process, and it is difficult to capture these changes in reanalysis data (Chen et al. 2006, Yang et al. 2014). Changes in the freeze-thaw 50 51 cycle of the near-surface soils have significant impacts on the hydrological processes, 52 ecosystems, and engineering operations on the TP. Changes in the permafrost thickness are negatively correlated with the ecological carrying capacity of grasslands, 53 with one unit of increase in the former corresponding to 0.1 unit of decrease in the 54 latter (Fang and Zhu 2019). With the melting of FG, more carbon may be emitted into 55 56 the atmosphere in the future, and the sequestration capacity of vegetation ecosystems 57 will be reduced. The analysis of the causes of FG changes shows that the increase in annual minimum and winter air temperature (AT) leads to earlier thawing and later 58 59 freezing of FG, as well as longer duration of thawing, and shorter duration of freezing. The most significant changes occur in the northeast and the southwest of the TP 60 61 (Wang et al. 2017, Li et al. 2012). Global warming will lead to more severe FG degradation. Statistical analysis of the FG at the observation stations along the 62 63 Qinghai-Tibet Railway (QTR) shows that the thickness of the active layer increases at an average rate of 7.5 cm \cdot year⁻¹ from 1995 to 2007, which is mainly caused by the 64 increase in summer AT, while the changes in winter AT and snow cover have less 65 effect (Wu et al. 2010). Numerical experiments show that the permafrost at altitudes 66 of 3500~3900 m on the TP decreases by about 500km² from 1971 to 2013, with a 67 decrease rate of 3.2 cm decade⁻¹ for the maximum freezing depth of SFG and an 68 increase rate of 4.3 cm·decade⁻¹ for the active layer thickness in the permafrost zone 69 70 (Gao et al. 2018). The study of the FG on the TP, the critical water-supply area for the 71 Yellow River, the Yangtze River and the Lancang River, is directly related to the protection of water resources and ecosystems in China, as well as to climate 72 73 prediction in Asia.

74 ST is an important indicator of FG change, and the definitions of freezing and 75 thawing processes, freezing depth and active layer thickness in the existing studies are 76 based on ST (Cuo et al. 2015, Gao et al. 2018, Guo et al. 2011). In this paper, a 77 coupled numerical model was utilized to simulate the TP land surface processes for 32 years and the climate change characteristics of the FG and the ST are discussed. In 78 79 addition, the meteorological factors influencing the FG changes are analyzed, which can provide a scientific basis for the climate prediction in Asia and the protection of 80 81 water resources and ecological environment in China.

82 **2. Experimental design**

The unfrozen water scheme designed in the Community Land Model version 4.5 83 (CLM4.5) enables the model to simulate the liquid water remaining in the soil when 84 the soil freezes in winter, and to effectively simulate the hydrothermal changes in the 85 soil during freeze-thaw periods (Li et al. 2018, Xie et al. 2017). The Regional Climate 86 87 Model (RegCM) can capture the weather processes caused by small-scale 88 perturbations and has a good ability to simulate the summer precipitation in eastern China and the spatial distribution of the FG on the TP (Gao et al. 2008, Li 2013, Yu 89 2011, Kong et al. 2019, Zhou 2007). The CLM4.5 has been coupled into the 90 RegCM4.7, and users can enable the CLM4.5 module when installing the RegCM4.7 91 for a better analysis of land-air interactions. Luo et al. (2020) have verified that the 92 RegCM4.7-CLM4.5 has an excellent capability to simulate the hydrothermal changes 93 94 of FG during freeze-thaw periods on the TP.

95 By using the RegCM4.7 and the land surface component in the CLM4.5, we performed a numerical simulation on the TP and its surroundings, as shown in Figure 96 1. The simulation period was set from January 1, 1982 to December 31, 2018, with 97 the first 5 years as the spin-up and the following 32 years (January 1, 1987 to 98 December 31, 2018) for the analysis. The model configuration is determined after 99 100 several sensitivity tests. The Lambert projection is applied, with the center point at 33° N, 87° E, and the simulation grids of 80 (meridional) \times 120 (zonal). The number of 101 102 vertical layers is 18, with the top pressure of 50 hPa and the horizontal resolution of 30km. The time integration step is 60 seconds. The European Centre for 103 104 Medium-Range Weather Forecasts (ECMWF) Interim Re-Analysis data, with the horizontal resolution of $1.5^{\circ} \times 1.5^{\circ}$ (EIN15), is utilized as the initial field, and the 105 weekly optimum interpolation (OI_WK) sea surface temperature (SST) is applied. 106 107 The land surface module outputs data 24-hourly. The other parameterization schemes 108 are listed in Table 1.



Lateral Boundary conditions scheme	Relaxation, linear technique
Boundary layer scheme	Holsting PBL (Holtslag, 1990)
Cumulus convection scheme overland	Emanuel (1991)
Large-scale precipitation	SUBEX (Beheng, 1994; Giorgi, 1990)
Ocean flux scheme	Zeng (1998)
IPCC scenario	A1B

113 Furthermore, two non-parametric methods, the Modified Mann-Kendall trend 114 (MMK) test (Mann 1945, Kendall 1955, Hamed & Rao 1998) and Sen's slope estimator (Sen 1968) were used to analyze the trends in the ST and other 115 116 meteorological variables on the monthly, seasonal and annual scales based on the daily data from the CLM4.5. The four seasons of spring (March, April, and May), 117 118 summer (June, July, and August), autumn (September, October, and November), and 119 winter (December, January, and February) were defined. These two methods have both been widely validated in practical applications (Fang et al. 2019, Luo et al. 2016). 120 In cases with the sample size n greater than 10, the standard normal test statistic Z for 121 the MMK is computed with Eq. (1-3): 122

123
$$Z = \begin{cases} \frac{S-1}{\sqrt{Var^*(S)}}, & \text{if } S > 0\\ 0, & \text{if } S = 0\\ \frac{S+1}{\sqrt{Var^*(S)}}, & \text{if } S < 0 \end{cases}$$
(1)

124
$$S = \sum_{i=1}^{n-1} \sum_{j=i+1}^{n} sgn(x_j - x_i)$$
(2)

125
$$sgn(x_j - x_i) = \begin{cases} +1, & \text{if } x_j - x_i > 0\\ 0, & \text{if } x_j - x_i = 0\\ -1, & \text{if } x_j - x_i < 0 \end{cases}$$
(3)

126 Where S represents the sum of the deviation characteristics between the values at two 127 moments i and j (j>i) in a single time series. The autocorrelation effective values have 128 been removed from the time series. The significance test for the trend is done at a 129 specific significance level. If $|Z|>Z_{1-\alpha}$, the null hypothesis is rejected and there is a 130 significant trend in the time series. In Eq. (1), positive values of Z indicate increasing 131 trends of the time series while negative values indicate decreasing trends.

132 The Sen's slope estimator is used to estimate the median slope Q_{med} for a time 133 series, computed as Eq. (4-5):

134
$$Q_{med} = \begin{cases} Q_{[(N+1)/2]}, & \text{if } N \text{ is odd} \\ \frac{Q_{[N/2]} + Q_{[(N+2)/2]}}{2}, & \text{if } N \text{ is even} \end{cases}$$
(4)
135
$$Q_{i} = \frac{x_j - x_k}{2} \quad \text{for } i = 1 \qquad N \qquad (5)$$

135
$$Q_i = \frac{N_j N_k}{j-k} \quad for \ i = 1, ..., N$$
 (5)

136 Where x_j and x_k denote data values at time j and k (j>k), respectively. The detailed 137 computational procedures for Z and Q, as well as the significance test for Q_{med} , can be 138 found in Luo et al. (2016).

139 **3. Results**

140 **3.1 Soil temperature climatology**

141 In CLM4.5, the soil column is divided into 15 layers, with the soil depths of 0.7 cm, 2.8 cm, 6.2 cm, 11.9 cm, 21.2 cm, 36.6 cm, 62.0 cm, 103.8 cm, 172.8 cm, 286.5 142 cm, 473.9 cm, 783.0 cm, 1293.5 cm, 2132.7 cm and 3517.8 cm, respectively. In this 143 144 paper, we chose the soil depths of 0.7~286.5 cm for analysis. After the ST on the TP was extracted from the boundary file, the monthly averages from 1987-2018 were 145 calculated and plotted as a line graph in Figure 2. The STs in the 0.7~21.2 cm layers 146 reach the maximum values of 18.486 °C, 18.394 °C, 18.240 °C, 17.962 °C and 147 17.459 °C in July and the minimum values of -2.205 °C, -2.091 °C, -1.933 °C, -1.703 °C 148 and -1.320 °C in January. However, the STs in the 36.6~103.8 cm layers demonstrate 149 150 the peak values of 16.654 °C, 15.695 °C and 13.991 °C in August. The minimum STs at 36.6 cm and 62.0 cm appear in January, with the values of -0.639 °C and 0.562 °C, 151 and that at 103.8 cm appears in February (2.072 °C). In the layers of 172.8 cm and 152 286.5 cm, the STs maximize in September (11.786 °C) and October (9.368 °C), 153 respectively, and minimize in February (3.773 °C) and March (5.193 °C), respectively. 154



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Figure 2. Monthly variations of the average soil temperature on the TP.

The ST is periodically influenced by the AT, with a certain lag in both warming
and colling as the soil depth increases. It makes the deep ST higher than the shallow
ST in the cold seasons (December to February) and lower in the warm seasons (June
to August).

161 **3.2 Soil temperature trends**

162 The trends in the monthly mean STs on the TP at $0.7\sim286.5$ cm during 163 1987-2018 are shown in Table 2~3. The ST increases at all the layers in each month, 164 and both Z and Q are consistently positive. The trends are greatest in February, with 165 an average of $0.058 \, ^\circ C \cdot decade^{-1}$, and lowest in September, with an average of 166 $0.024 \, ^\circ C \cdot decade^{-1}$. The increase in the ST is greater in the cold seasons 167 (October-May), averaged above $0.03 \, ^\circ C \cdot decade^{-1}$, while relatively smaller in the warm seasons (June-September), with the trend of 0.019~0.037 °C·decade⁻¹. However,
the soil warming trends are more statistically significant in the relatively warm
seasons, with the trends in all the layers passing the 0.01 significance test in
March-August. On the contrary, the warming trends in the 0.7~36.6 cm layers failed
the 0.05 significance test in December-January, and the failure is common in
November and February in the shallow and middle layers.

174 175

Table 2. Modified Mann-Kendall test statistic (Z) for the monthly mean soil temperature (°C·decade⁻¹) during 1987-2018. Significance levels: * α =0.05, ** α =0.01.

Month	0.7cm	2.8cm	6.2cm	11.9cm	21.2cm	36.6cm	62.0cm	103.8cm	172.8cm	286.5cm
Jan	0.341	0.470	0.470	1.171	0.632	1.461	1.897	5.052**	5.433**	12.758 ^{**}
Feb	2.105^{*}	1.346	1.476	1.638	3.564**	1.897	2.027^*	3.227**	8.453**	12.735***
Mar	3.616**	3.714**	3.746***	3.876***	3.973**	4.038**	4.168**	4.622**	5.303**	6.860**
Apr	3.292**	3.357**	3.454**	5.649**	3.908**	5.969**	8.090**	5.076**	7.799***	5.652**
May	2.903**	3.448**	3.033**	4.286**	4.711***	4.330**	6.544**	5.660**	6.114**	6.827**
Jun	8.492**	6.738 ^{**}	5.619**	3.195**	6.116***	4.135**	4.654**	11.643**	16.270***	9.861**
Jul	2.514**	2.546**	2.708**	3.000**	3.389**	3.746**	4.417**	8.946**	10.438**	13.448**
Aug	3.616**	3.681**	4.985**	5.523**	4.265**	5.640**	6.602**	6.049**	6.892**	7.443**
Sep	1.800	2.273^{*}	1.768	3.201**	2.157^{*}	3.860**	4.883**	5.887**	9.395**	7.541**
Oct	2.611**	2.676**	2.708**	2.708**	2.870**	2.903**	3.941**	5.206**	6.827**	7.703**
Nov	1.957	3.287**	1.800	2.011*	1.995*	2.449^{*}	3.519**	5.043**	6.503**	7.541**
Dec	0.025	0.016	0.380	0.470	0.762	1.541	2.935**	8.781^{**}	6.114**	9.358**

In terms of vertical soil stratification, the ST warming trends decrease with the 176 177 increasing soil depth. However, the ST trends of deep soil are more significant than those in the shallow and diddle layers in December-February. Besides, the 178 179 inter-monthly ST variation of the deep soil also lags slightly behind those in the 180 shallow and middle layers. The warming trends in the 0.7~36.6 cm soil layers are smaller in August than in September, and the trends in the 62.0~286.5 cm layers are 181 higher in August than in September. This is related to the fact that the ST transfer 182 183 from shallow to deep layers requires a certain time. The effects of other 184 meteorological factors on the ST warming trends will be discussed in section 3.3. 185 **Table 3.** Same as Table 2, but for the Sen's slope estimator (*Q*).

Month	0.7cm	2.8cm	6.2cm	11.9cm	21.2cm	36.6cm	62.0cm	103.8cm	172.8cm	286.5cm
Jan	0.063	0.062	0.060	0.058	0.054	0.047	0.040	0.030**	0.023**	0.016**
Feb	0.075^{*}	0.076	0.075	0.072	0.067**	0.060	0.053^*	0.044^{**}	0.031**	0.021**
Mar	0.052**	0.052**	0.051**	0.050**	0.048**	0.046**	0.041**	0.036**	0.028^{**}	0.022^{**}
Apr	0.048**	0.048**	0.047**	0.045**	0.044**	0.041**	0.037**	0.031**	0.024**	0.021**
May	0.043**	0.042**	0.041**	0.039**	0.037**	0.033**	0.029**	0.025^{**}	0.024**	0.022^{**}
Jun	0.037**	0.036**	0.036**	0.034**	0.032**	0.029**	0.025**	0.022^{**}	0.020^{**}	0.021**

Jul	0.031**	0.031**	0.030**	0.030**	0.029**	0.028**	0.027**	0.024**	0.020^{**}	0.020**
Aug	0.029**	0.028**	0.028**	0.027**	0.026**	0.024**	0.023**	0.021**	0.020^{**}	0.019**
Sep	0.031	0.030^{*}	0.030	0.029**	0.027^{*}	0.025**	0.020**	0.016^{**}	0.016^{**}	0.019**
Oct	0.042**	0.041**	0.040**	0.038**	0.036**	0.032**	0.026**	0.018^{**}	0.014**	0.017**
Nov	0.046	0.044**	0.043	0.041*	0.039*	0.035^{*}	0.028**	0.021**	0.015^{**}	0.017**
Dec	0.052	0.052	0.050	0.049	0.047	0.043	0.036**	0.027^{**}	0.014**	0.017**

The annual or seasonal trends were calculated by averaging the STs in the 186 shallow (0.7 cm), middle (2.8~21.2 cm) and deep (36.6~286.5 cm) layers separately. 187 Regional shaded maps were also created. For the shallow soil layers (Figure 3), both 188 the seasonal and annual mean STs increased significantly with the trends generally 189 passing the 0.05 significance test. The increasing trends are mainly in the 30°N~35°N 190 region, with the annual trends of $0.05 \sim 0.10 \text{ °C} \cdot \text{decade}^{-1}$. Among the four seasons, the 191 increasing trends in winter and spring are relatively large, especially in the Three 192 River Source Region (TRSR) (0.15~0.20 °C·decade⁻¹) and over the Himalayas and 193 Kunlun Mountains ($0.20 \sim 0.25 \text{ °C} \cdot \text{decade}^{-1}$). In summer, the large increasing trends 194 are mainly found in the western permafrost region (0.10~0.20 °C·decade⁻¹). In 195 196 autumn, the increasing trends are distributed near the Himalayas $(0.10 \sim 0.15 \text{ °C} \cdot \text{decade}^{-1})$ and the Kunlun Mountains $(0.10 \sim 0.20 \text{ °C} \cdot \text{decade}^{-1})$, with the 197 trends in fewer areas passing the significance test. 198

For the middle soil layers (Figure 4), the spatial distribution of the warming trend 199 is almost similar to that of the shallow layers, but the annual and seasonal warming 200 trends are about 0.05 °C·decade⁻¹ lower than that of the shallow layers. In winter, the 201 warming trends are still greatest in the TRSR and over the southern Himalayas. As the 202 soil layer deepens (Figure 5), the soil warming trend decreases by another 203 $0.05 \, {}^{\circ}\text{C} \cdot \text{decade}^{-1}$, and the trend over the whole TP passes the 0.05 significance test. In 204 205 winter and spring, the warming trends are still significant in the TRSR and near the Himalayas and Kunlun Mountains. 206

207 By analyzing the STs at nine observation stations in the TRSR from 1980 to 208 2014, Luo et al. (2016) found that the STs at all the nine stations show significant warming trends, with an average of 0.533 °C·decade⁻¹, and all the trends pass the 0.01 209 significance test. The maximum warming of the surface (0 cm) soil layer occurs in 210 winter, while that in the shallow (5 cm, 10 cm, 15 cm and 20 cm) and deep (40 cm, 80 211 cm, 160 cm and 320 cm) layers occurs in summer. Besides, the month with the 212 213 maximum warming differs significantly among sites and soil layers. Fang et al. (2019) 214 analyzed the STs at 50 sites on the east-central TP from 1960 to 2014 and found that the greatest warming in the surface layer, the shallow layer and the deep layer on the 215 TP occurs in winter (January, about 0.67° C·decade⁻¹), spring (April, about 216 0.49° C·decade⁻¹) and summer (June, about 0.58 °C·decade⁻¹), respectively. The ST 217 warming trends at all the layers analyzed in this paper are consistent on annual, 218 seasonal and monthly scales, and the month with the maximum warming does not 219 220 show a certain lag with the increasing soil depth. Moreover, the trend values are 221 smaller than those in Fang et al. (2019) and Luo et al. (2016), which may need to be

222 further verified with multiple numerical experiments.



Figure 3. Trends in seasonal and annual mean soil temperature ($^{\circ}C \cdot decade^{-1}$) in the shallow layer (0.7 cm). Dotted areas indicate the trends passing the 0.05 significance test.







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(36.6 cm, 62.0 cm, 103.8 cm, 172.8 cm and 286.5 cm)

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3.3 Relationship between soil temperature and other climate characteristics

The depth where the 0°C isotherm is located in the soil layer is defined as the 232 frozen depth (Wu et al. 2010). The relationships between the ST and the AT, the total 233 234 precipitation (TPR), the maximum snow depth (MSD) and the maximum frozen ground depth (MFD) are shown in Table 4. The ST is significantly correlated with the 235 AT, with the correlation coefficients (R) averaged above 0.85 and generally passing 236 the 0.01 significance test. The influence of the AT on the ST is most significant in 237 spring, with an average R of 0.928, which is followed by autumn, summer and winter, 238 239 with the average R of 0.892, 0.857 and 0.560, respectively. Additionally, the correlation decreases with the increasing soil depth. The R between the ST and the AT 240 241 in the shallow and middle layers generally reaches 0.99 and above in spring, summer and autumn, and the R in winter is lowest, with an average of 0.90. Due to the lagging 242 effect of temperature transfer from shallow to deep soil layers, the STs at 103.8 cm, 243 172.8 cm, and 286.5 cm reach the highest value in August, September and October, 244 respectively. As a result, the R between the ST at 103.8 cm and the AT is only 0.082, 245 246 and the correlations at 172.8 cm and 286.5 cm are even negative.

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248 Table 4. Correlation coefficients between the soil temperature and the seasonal and annual air 249 temperature, the total precipitation, the maximum snow depth and the maximum frozen ground

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depth. Significance levels: $\alpha = 0.05$, $\alpha = 0.01$.

	0.7 cm	2.8 cm	6.2 cm	11.9 cm	21.2 cm	36.6 cm	62.0 cm	103.8 cm	172.8 cm	286.5 cm
Spring										
AT	0.998**	0.998**	0.998***	0.998**	0.997^{**}	0.995***	0.991**	0.978^{**}	0.912**	0.419**
TPR	0.866***	0.867^{**}	0.867^{**}	0.868^{**}	0.870^{**}	0.873**	0.877^{**}	0.879^{**}	0.846**	0.427***
MSD	0.161	0.160	0.159	0.158	0.155	0.150	0.139	0.114	0.026	-0.256
MFD	-0.133	-0.135	-0.138	-0.146	-0.158	-0.176	-0.205*	-0.265***	-0.447***	-0.905***
Summer										
AT	0.987^{**}	0.991**	0.993**	0.989 ^{**}	0.963**	0.901**	0.806***	0.707^{**}	0.653**	0.581**
TPR	0.646***	0.651**	0.656**	0.659 ^{**}	0.650^{**}	0.615***	0.556^{**}	0.489**	0.446***	0.379 ^{**}
MSD	-0.576***	-0.606***	-0.651***	-0.718***	-0.802**	-0.881**	-0.928**	-0.935***	-0.897***	-0.730***
MFD	-0.289**	-0.286***	-0.288 ^{**}	-0.295***	-0.299***	-0.294**	-0.284**	-0.312**	-0.442**	-0.715***
Autumn										
AT	0.998 ^{**}	0.998 ^{**}	0.998 ^{**}	0.998 ^{**}	0.998 ^{**}	0.997^{**}	0.995 ^{**}	0.983**	0.858^{**}	0.100
TPR	0.950^{**}	0.949 ^{**}	0.949 ^{**}	0.948^{**}	0.945^{**}	0.942^{**}	0.935 ^{**}	0.915 ^{**}	0.770^{**}	0.055
MSD	-0.833***	-0.834**	-0.834**	-0.833***	-0.832***	-0.830***	-0.826***	-0.815***	-0.703***	-0.085
MFD	-0.037	-0.038	-0.039	-0.040	-0.043	-0.052	-0.080	-0.166	-0.484***	-0.973***
Winter										
AT	0.911***	0.918**	0.924 ^{**}	0.928^{**}	0.909^{**}	0.785^{**}	0.443**	0.082	-0.131	-0.174
TPR	0.461**	0.441^{**}	0.411**	0.358^{**}	0.248^{*}	0.016	-0.315***	-0.537***	-0.628**	-0.600***
MSD	0.465***	0.441**	0.405***	0.342***	0.215*	-0.043	-0.394**	-0.614**	-0.700***	-0.681**
MFD	-0.044	-0.054	-0.068	-0.085	-0.114	-0.161	-0.210*	-0.247*	-0.329***	-0.619**
Annual										
AT	0.932**	0.932**	0.931**	0.927^{**}	0.917^{**}	0.899^{**}	0.862^{**}	0.794 ^{**}	0.700^{**}	0.612**
TPR	0.366*	0.371^{*}	0.379^{*}	0.388*	0.400^{*}	0.414*	0.431*	0.447^{*}	0.456**	0.454**
MSD	-0.353*	-0.357*	-0.362*	-0.368*	-0.375*	-0.385*	-0.397*	-0.406*	-0.407*	-0.397*
MFD	-0.631***	-0.638**	-0.653***	-0.677***	-0.709***	-0.756***	-0.818**	-0.890***	-0.949***	-0.981**

In the four seasons, the TPR and the ST are also significantly correlated, with all 251 the Rs passing the 0.01 significance test except that at 21.2 cm and 36.6 cm in winter. 252 253 In winter and spring, the precipitation on the TP is mainly from snow, which covers the ground to hinder the land-air interaction and reduce the soil heat loss, resulting in 254 higher ST in shallow layers (Bian et al. 2017; Fu et al. 2018; Luo et al. 2020). 255 However, the snow cover in winter favors the transport of soil heat flux from deep to 256 shallow layers, making the deep soil temperature lower (Wang et al. 2019), so the 257 258 TPR is negatively correlated with the ST. In summer and autumn, the liquid 259 precipitation can increase the soil water content, thus increasing the heat capacity of the soil (Qian et al. 2010; Zhang et al. 2004), therefore, the TPR is generally 260 positively correlated with the ST. The influence of the total precipitation on the ST is 261 strongest in autumn with an average R of 0.836, followed by spring (average R of 262 0.824), summer (average R of 0.575), and winter (average Rs of 0.301 and -0.413 in 263 the shallow-middle layers and the deep layer, respectively). The effect of precipitation 264 265 on the ST is quite complex. The Rs increase gradually with soil depth in the 266 0.7~103.8 cm layers in spring and the 0.7~11.9 cm layers in summer. In autumn, the Rs decrease with soil depth through the entire soil column, and the annual mean Rs 267

increase with soil depth, with the significance increasing as well. It is mainly
attributed to the freeze-thaw process of the soil, in which the freezing of the soil
prevents the infiltration of liquid water (Gao et al. 2018; Guo et al. 2011).

The inhibitory effect of snow cover on the land-air heat exchange depends on the 271 272 timing, duration, accumulation, and melting processes of snow cover (Zhang 2005; 273 Wang 2017). In spring, the R between the ST and the MSD fails the 0.05 significance test. In winter, the snow cover significantly increases the ST in the shallow and 274 275 middle layers (average R of 0.374) but decreases the ST in the deep layers (average R of -0.486). This confirms that the snow cover can promote the soil heat flux transport 276 from the deep to the shallow layers. In summer and autumn, the snow cover mainly 277 remains near the Kunlun Mountains in the western TP, which restrains the ST increase, 278 279 with Rs of -0.772 and -0.743, respectively. The absolute values of the Rs increase 280 with soil depth in summer (0.7~103.8 cm soil layers) and autumn (0.7~6.2 cm soil layers). For the annual average, the snow cover also inhibits soil warming, and the 281 absolute values of the Rs between the MSD and the ST increase with soil depth in the 282 283 0.7~172.8 cm layers.

The MFD is negatively correlated with the ST, and the annual average Rs pass 284 285 the 0.01 significance test in all the layers. In addition, the absolute value of the Rs increases with soil depth, reaching -0.981 at the 286.5 cm layer. However, the Rs 286 287 between the ST and the MFD fail the 0.05 significance test in the 0.7~36.6 cm layers in spring and winter and in the 0.7~103.8 cm layers in autumn. In summer, the Rs 288 289 pass the 0.01 significance test in all the layers. The absolute values of the Rs are the largest among the four seasons, which increase with soil depth in the 2.8~21.2 cm 290 291 layers and 62.0~286.5 cm layers. It can be revealed that the MFD has the strongest 292 inhibitory effect on the soil warming in summer, and the effect strength increases with 293 soil depth.

294 In summary, the AT, the TPR, the MSD and the MFD are all significantly correlated with the ST, with the influence degree of AT, MFD, TPR and MSD in 295 descending order. In addition, the influence degree varies significantly with season 296 and soil layer. The AT and the TPR promote soil warming, while the MSD and the 297 MFD inhibit it. The contribution of AT is significant in all seasons and decreases with 298 the increasing soil depth. The contribution of TPR to the ST is more complex, with 299 the strongest effect in autumn, and the effect intensity mainly increases with the 300 301 increasing soil depth. The MSD inhibits the soil warming mainly in summer and 302 autumn, while winter snow cover has an insulating effect on the shallow and the 303 middle soil layers. The inhibitory effect of MFD on the soil warming is mainly observed in summer, and the effect intensity increases with soil depth. 304

305 **4. Summary and discussion**

A coupled regional climate model was utilized to simulate the land surface processes on the TP for 32 years. The monthly ST variation, the climatic trend of the ST on annual, seasonal and monthly scales and the correlations between the ST variation and the AT, the TPR, the MSD and the MFD are analyzed. The main conclusions are as follows.

The ST has an evident interannual variation. Since temperature transfer in the 311 soil requires a certain time, the monthly variation of deep soil temperature lags 312 slightly behind that in the shallow and middle layers. The STs in 0.7~286.5 cm layers 313 increase from 1987 to 2018, and the warming trends are slightly higher in 314 October-May (0.040 °C·decade⁻¹) than in June-September (0.026 °C·decade⁻¹), with 315 the maximum warming trend occurring in February (0.058 °C·decade⁻¹). In terms of 316 317 distribution, the warming is more significant in the spatial TRSR $(0.15 \sim 0.20 \text{ °C} \cdot \text{decade}^{-1})$ located in the southeast part of the TP and near the 318 Himalayas and the Kunlun Mountains $(0.20 \sim 0.25 \text{ °C} \cdot \text{decade}^{-1})$. In addition, the 319 warming trends in winter and spring are higher than those in summer and autumn. 320 The soil warming trend decreases with the increase of soil depth, while the sign of the 321 322 trend keeps consistent.

323 The AT, the TPR, the MSD, the MFD can all significantly affect the ST. The AT 324 and the TPR can accelerate the soil warming, while the MSD and the MFD can slow down it. The influence of AT on the ST warming is intense in all seasons ($R \ge 0.85$), 325 while it is greater in spring (R=0.928) than in autumn (R=0.892), summer (R=0.957) 326 and winter (R=0.560), and the influence intensity decreases with the increasing soil 327 328 depth. The effect of TPR on the ST is relatively complex because the freeze-thaw 329 process of the soil prevents the infiltration of liquid water, and its main effect occurs 330 in autumn (R=0.836). The snow cover in winter causes the shallow and middle STs to increase and deep STs to decrease. The residual snow cover in summer slows down 331 332 the soil warming trend, and the effect of MSD on the ST increases with the increasing soil depth. Except for AT, the change in MFD in summer has the greatest effect on the 333 334 ST (R=-0.77), and the effect intensity increases with the increasing soil depth.

335 By using the numerical model, this paper systematically explores the ST trends on the TP from 1987 to 2018, with the warming trend of FG on the TP and the 336 influences of multiple factors on it further verified. It makes up for the lack of 337 observational data on the western TP, however, the investigation is still limited. The 338 freeze-thaw process in the permafrost and the SFG are quite different. The SFG 339 completely melts in summer, while the deep soil in the permafrost regions remains 340 341 frozen. This makes their responses to climate change potentially different. More scientific conclusions can be achieved by accurately determining the distribution of 342 different FG on the TP and then analyzing the effects of AT, TPR, MSD, MFD, etc. on 343 344 FG specifically.

345 The FG warming trend varies with altitudes (Li et al. 2012, Gao et al. 2018). The 346 annual average soil warming is slightly weaker at higher altitudes in the western part 347 of TP than in the southern and east-central parts (Figure 3~5). It has also been pointed out that the freeze-thaw process of FG causes energy imbalance (Guo et al. 2011), and 348 the rapid warming on the TP also enhances radiative cooling and surface evaporation 349 (Yang et al. 2014). Thus, change in the radiative transfer is also an important 350 exogenous factor of FG changes on the TP. Both numerical experiments (Cuo et al. 351 352 2015, Gao et al. 2018, Guo and Wang 2013) and observational data analyses (Luo and Wang 2020, Wang et al. 2020) have shown that the FG on the TP is in an obvious 353 degradation process. Therefore, it's the consequent hydrological, ecological, and 354

climatic effects deserve sufficient attention. Further simulation validation can be done
using multiple numerical models in future work, which can also provide a scientific
basis for the improvement of the freeze-thaw parameterization scheme.

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