Equitable Exchange: A framework for diversity and inclusion in the geosciences

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Abstract

We highlight a mechanism for the co-production of research with local communities as a means of elevating the social relevance of the geosciences, increasing the potential for broader and more diverse participation. We outline the concept of an "equitable exchange" as an ethical framework guiding these interactions. This principled research model emphasizes that "currencies"- the rewards and value from participating in research - may differ between local communities and geoscientists. For those engaged in this work, an equitable exchange emboddens boundary spanning geoscientists to bring their whole selves to the work, providing a means for inclusive climates and rewarding cultural competency.

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34 Key Points:

- We need new mechanisms to broaden participation in the geosciences
- Co-production of science with local underrepresented communities may improve societal
 relevance and diversify and extend the geosciences
- The Equitable Exchange creates an ethical framework for co-production and inculcates
- skills related to cultural competency and attention to inclusive practices into thegeosciences

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more diverse participation. We outline the concept of an "equitable exchange" as an ethical 44

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means for inclusive climates and rewarding cultural competency. 49

Plain Language Summary 50

This paper expands on prior work to outline an ethical framework to guide research co-created 51 with local communities. We propose appreciation for the differing perspectives geoscientists and 52 local community members bring to problem-solving and to creating knowledge around questions 53 and issues pertinent to geoscience. A respectful and "Equitable Exchange" between individuals 54 working together in these contexts can foster greater scientific creativity and societal relevance, 55 and may ultimately broaden and diversify participation in the geosciences. 56

1 Introduction 57

58 Despite growing demographic diversity in the U.S. population at large, in the 50 years that the National Science Foundation has been keeping demographic statistics, there has been a 59 continuing lack of diversification in the Science, Technology, Engineering and Mathematics 60 (STEM) workforce, leading to growing frustration and a compelling need for both equity and 61

inclusion (Bernard & Cooperdock, 2018). 62

Within the geosciences (Earth, Atmosphere, Ocean and Polar Sciences), there is a current 63 wave of energy and attention to issues of equity and social justice in geoscience spaces that is 64 long overdue. Calls to action (Morris et al., 2020; Ali et al., 2020), publications (e.g. Marín-65

Spiotta et al., 2020; Chen et al., 2020), personal stories (#BlackAndStem¹ twitter feed), new 66

centers (e.g. AGU Ethics and Equity Center), and emerging movements (URGE: 67

https://urgeoscience.org/) are pushing the edges and reforming approaches to broadening 68

participation. This is encouraging, as past strategies to accelerate demographic and ethno-69

cultural representation have not succeeded as hoped. Many existing approaches portray the lack 70

of diversity as a problem of unequal access (e.g., via affordability or as a consequence of 71

structural racism), and/or one of unequal interest, with evidence existing for both perspectives 72 (Dutt, 2020; Posselt, 2020). One mechanism to broaden participation in the geosciences is to

73 actively engage individuals who are outside of the scientific mainstream to integrate inclusion 74

into the definition of geoscience research. 75

76 Here, we hope to contribute to this conversation by illuminating a mechanism for change focused on expanding the geoscience research space that necessarily requires a coincident focus 77

on inclusion. In particular, we describe the value in identifying how gains may be made around 78 79 justice, equity, diversity, and inclusion via work in the realms of open public science,

community-based research, participatory research, and place-based research. By definition, these 80

research approaches invite a broader membership in the geoscience endeavor, and require 81

attention to both engagement and cultural competency. Because there is a deep history of doing 82

this work across the whole of science, we argue that there is great potential for rapid 83

transformation by elevating, championing, rewarding and expanding existing efforts rather than 84

85 building from the ground-up.

¹#BlackAndStem was created by Stephanie Page, PhD (twitter: @ThePurplePage) 1

Mainstream science in the tradition of the Academy invokes those with scientific 86 credentials - degrees, research jobs - as those with permission to conduct science and add to the 87 scientific knowledge base. Approaches that engage a wider range of the public will require a 88 89 broadening of the definition and pursuit of the geosciences. Knowledge co-production² offers a framework that shifts knowledge creation away from a uni-directional transfer of information 90 developed by scientific experts to end users in society, towards a broader exchange of 91 knowledge, skills and interpretation between mainstream researchers and a wide range of 92 invested publics. Place-based research that is inclusive of local communities, and equally values 93 local and traditional knowledge and knowledge-holders alongside mainstream science, is one 94 form of co-production. We argue here that emboldening this kind of contextualized research that 95 is place-based, tied to community, and addresses societal issues expressed locally, can increase 96 the sense of belonging for underrepresented groups in the geosciences in terms of interest, self-97 efficacy, and identity (see also Callahan et al., 2018). 98

In fact, the nature of current research challenges facing geosciences can enable this 99 expansion. Global biophysical change now rapidly occurring within the Earth system affects 100 billions of people and cannot be separated from human behavior, economics and equity (Leach et 101 al., 2018; Steffen et al., 2015). The resulting research challenges are transdisciplinary, even 102 convergent, and require innovation beyond the sole perspective of mainstream science (e.g. 103 Riedlinger and Berkes, 2001). Thus, the geosciences could expand through consideration of 104 social and societal relevance when gauging the importance and urgency of questions, 105 incorporation of public science and other forms of public inclusion, and a robust ethical 106 framework for engaging with geographic, ethnographic and "of practice" communities. 107

Here we propose *Equitable Exchange* (EE) as a process of co-production that is 108 grounded in ethical considerations about power, that incorporates voices and approaches beyond 109 mainstream science, and that expects cross-cultural competency of its adherents. A basic tenet of 110 EE is that a variety of currencies, or the information and accolades of value to participants, will 111 be exchanged in the course of the work. Here we use "currencies" intentionally to signal a 112 medium of exchange, and where each member and each social structure - local community, 113 mainstream geoscience - both pays and is paid. Some currencies will be knowledge-based, such 114 as publication authorship, educational opportunities, or acknowledgment of knowledge-holder 115 status. Others will include financial and/or resource-based exchange. Centering co-production in 116 equity³ requires participants to ask who will benefit, and how, from a given interaction; to move 117 118 beyond a sole focus on the transactional to incorporating the value of relationships and trust, and to consider the collective good to balance pre-existing disparities. 119

^{2 &}lt;sup>2</sup> A number of terms have been used to describe community-engaged science, including co-

³ production or co-creation of knowledge, as well as community-based, place-based, and

⁴ participatory action research. There is an extensive literature in these approaches (e.g. Haraway,

^{5 1988;} Lazarus et al., 2016; Strasser et al., 2019). Brunson & Baker (2015) also expand a

⁶ definition of "translational ecology," emphasizing new training platforms for competencies

⁷ needed by scientists to engage in boundary spanning research in the environmental sciences.

^{8 &}lt;sup>3</sup> How equity is understood has significant consequences for what actions and changes may be

⁹ deemed necessary. We define equity as "reconfiguring structures, cultures, and systems to close

¹⁰ disparities and empower marginalized groups" (Posselt, 2020, p. 3).

We posit that the practice of EE fosters greater diversity and inclusion in the geosciences by enabling a wider range of publics to be valued as co-creators, empowering individuals to step into science while maintaining strong, central membership in their community.

123 2 Geoscience Research at the Intersection of Place and Community

A common paradigm for geoscience research is discovery emanating from wonder: 124 curiosity-driven data collection and analysis centered on discovering how the natural world 125 works. In mainstream geoscience, this emphasis on the role of wonder and awe can be 126 connected to 18th century European philosophers (Kant, 1790 (translation 2000), Steffens, 1977) 127 a tradition that continues to influence research praxis today (Berling et al., 2019). Historically, 128 mainstream discovery science has largely been implemented by testing and advancing discipline-129 specific theory, which has made and will continue to make important contributions to human 130 knowledge (e.g. Steffens, 1977). 131

However, mainstream discovery science and the institutional structures that have 132 sustained and celebrated this approach have a poor record of inclusivity. Too often, people who 133 134 seek to incorporate different approaches, ideas or end goals; as well as those who look and act different, espouse different traditions of knowledge-gathering, and/or elevate non-degree holders 135 as experts, are eschewed relative to those who conform to mainstream scientific norms. For 136 example, Weissmann et al. (2019) highlight the prevalence of "low-context" training culture in 137 U.S. university science programs, which focuses on individual work and linear learning not 138 situated in place, issue or problem - even as many underrepresented students are motivated by 139 high-context work associated with localized problem-solving. 140

Solutions science, also known as actionable science (Theobald et al., 2015; Palmer, 2012) 141 is another paradigm in geosciences, emerging not as a replacement, but as a complement to the 142 discovery approach. While not devoid of theory, solutions science follows from a broader 143 context of sustainability (Stewart, 2016), and emanates from the very real and often short-term 144 need to address particular place-based problems, and/or tackle issues resulting from inequities 145 including those defining environmental justice (e.g. Ramirez-Andreotta et al., 2016). Because 146 these issues are by definition place-based, and often affect disenfranchised communities, 147 embracing solutions science may provide a framework for increasing the societal relevance of 148 geoscience, if an honestly place-based, authentically inclusive and equitable approach can be 149 adopted. 150

There are notable examples of successful geoscience education initiatives that have demonstrated the value of place-based learning (e.g. Cajete, 1999; DeFelice et al., 2014; Johnson et al., 2014), reinforcing the value of culturally responsive contexts and solutions-based experiences in motivating students to engage in the geosciences (e.g. Apple et al. 2014; Ward et al. 2014). However, the lack of progress in translating these initiatives into gains in representation in the geosciences indicates a disconnect, or at least long lag, between education and research spheres.

We note that historically disenfranchised groups may view even solutions-based research with suspicion and distrust when it is led by scientists and managers from institutions external to the community and/or from majority demographics (Pandya, 2012). Histories of exploitation and colonialism have legacies in many mainstream geoscientists' work: some fail to consider local values, cultures and knowledge; others fail to involve community members directly in the research process (Cuker, 2001; David-Chavez & Gavin, 2019; Stefanoudis et al., 2021), even

164 when engaging in place-based work. Within communities that continue to experience loss of

165 land, rights, jobs, culture or traditions, problem-based approaches to science learning are likely

to fall short of inclusion because they are rooted in the assimilation of indigenous uniqueness
 into a larger (i.e. mainstream science) whole (Deloria & Wildcat, 2001). More authentic forms of

168 co-creating knowledge which do not by necessity begin only with the mainstream science

tradition, could help bridge social and symbolic boundaries between communities and

geoscience professionals and educators, expanding both the discovery and solutions science

171 space.

Place-based research focused on a compelling location based on its environmental 172 conditions is not new to the geosciences (Berkes et al., 1994; Semken, 2005; Londono et al., 173 2016). The iconic direct record of rising atmospheric CO_2 concentrations used worldwide comes 174 from the Mauna Loa Observatory, a facility intentionally situated high on an island volcano in 175 the middle of the Pacific Ocean to maximize distance from continental land masses (Keeling & 176 Whorf, 2005), albeit without attention to the socio-cultural values of the site, or incorporation of 177 the indigenous community into the science (see no mention in Keeling, 1998). Site selection for 178 these measurements is comparable (in geoscience) to the location of a suite of telescopes on top 179 of neighboring Mauna Kea because of the quality of observations possible there. Both of these 180 examples underscore the problems with place-based research driven only by scientific goals and 181 constraints, without consideration of community values and goals (Alegado, 2019). The summit 182 of Mauna Kea is sacred to Indigenous Hawaiians, and astronomers' insistence on continuing to 183 build telescopes there has led to increasing conflict that further marginalizes the Indigenous 184 community and also threatens the continuity of astronomical observations (Kahanamoku et al., 185 2020; Borrelle et al., 2020; Spencer et al., 2020). By contrast, recent research on the flanks of 186 Mauna Kea (among other places in Hawai'i) makes use of both the special features of the island 187 188 and Indigenous knowledge of traditional agriculture to evaluate landscape-ecosystem interactions based on community needs (Lincoln et al., 2018). The He'eia National Estuary 189 Research Reserve exemplifies a contemporary Indigenous Community and Conserved Area of 190 reciprocal research and management collaboration with the Indigenous people and local 191 community (Winter et al., 2020). David-Chavez & Gavin (2019) refer to these latter examples as 192 a "collegial" approach, where co-creation grants community members the authority to lead, 193 thereby disrupting colonial legacies of power within the academy. 194

Although co-production, co-creation, and community-based, place-based science may be 195 relatively new to the geosciences, it is not new to the research endeavor. The work of Freire 196 (1968) and Smith (1999) challenged mainstream pedagogies and methodologies in general, 197 pushing for democratization and decolonization of academic endeavors. Kimmerer (2013) and 198 Venkatesan et al., (2019) offer case studies in botany, ecology, and astronomy where indigenous 199 knowledge and mainstream science are held together in ways that are transformational. 200 Additional scientific fields such as public health (e.g. Wallerstein and Durban, 2010) and 201 fisheries research (Lepore et al., 2020) have similarly deep experience in community 202 203 engagement that can inform and illuminate a path forward for the geosciences.

3 Research as an Equitable Exchange

To advance and link the scholarship and impact of discovery and of application (Boyer, 1990), we propose a vision for geoscience research distinguished by scientists and local community members co-constructing an "Equitable Exchange" (EE) of knowledge, values, andcultural reciprocity.

What is exchanged? For engagement with communities who have historically lacked 209 access to power, self-determination and/or decision-making regarding land and resources, the 210 exchange requires conscious consideration of equity and even reparation. If one goal in 211 community-based research is to create, at a minimum, a collaborative or collegial approach 212 rather than one that is extractive, we propose starting with an understanding of what currencies 213 could be exchanged as a way to foster equity and agency, avoid assimilation, and maintain 214 culture and tradition. Within the sciences, currencies include published manuscripts, grant 215 awards, peer recognition and awards, and promotion and tenure. From the perspective of a place-216 based and/or ethnographic community member, currencies may include resources to address 217 local human health and/or environmental management issues; recognition of knowledge, 218 knowledge-holders and knowledge systems; data sovereignty; funding; and linkage to and 219 advancement of K-16 educational opportunities. A failure to recognize and/or translate across 220 currency systems can limit or even derail collaboration. Thus a successful EE must include 221 efforts to ensure that all parties are rewarded in culturally-relevant currencies - ones discovered 222 through dialogue and transparent processes aimed at developing mutual understanding and, more 223 fundamentally, trust. 224

Co-production with underrepresented communities with a shared goal of facilitating their 225 empowerment also necessitates that community members experience greater benefit and 226 authority in these collaborations than has historically been the case. This underscores our 227 emphasis on equity, which involves recalibrating scales of power and privilege. Implementing 228 this approach within geoscience will require careful attention to project design, project teams, 229 funding amounts and allocations, expectations for project deliverables, recognition of a diversity 230 of knowledge, and training for all team members in cultural competencies. We note that these 231 issues are not easy, and will require tenacity, courage, follow-through and time. 232

Knowledge co-constructions within an EE can be abstract, in the form of collaborative brainstorming or development of conceptual models. However, it is also likely that the exchange will be explicit, for instance: local community members contributing knowledge that informs research site selection; mainstream geoscientists contributing expertise in data collection and/or analysis to address a particular environmental issue; or the realization of multiple information collection schemes flowing simultaneously from traditional knowledge and environmental science. In each of these cases, it is vital to consider what distinguishes an exchange as equitable.

Consistent with other models of critical participatory research, participants should ensure 240 that the terms of involvement for community members are transparent, mutually beneficial, and 241 co-constructed. Central to critical participatory and decolonial paradigms, broadly, is a 242 reorientation of conventional power relationships, so that researchers ultimately answer to 243 community (Mosurka & Ford, 2020; Patel, 2015). Within an equitable exchange, community 244 members should have significant influence in deciding who owns, interprets, and communicates 245 the data and the science — and to what ends. Similarly, who is paid, who learns, and who gets 246 credit must be carefully designed to avoid co-optation or exploitation. In addition to these forms 247 of compensation, scientists in an EE participate in several specific activities of co-construction: 248 cultural translation across the languages of science and place-based, communities; incorporating 249 traditional and local knowledge into the development, process and interpretation of research 250

research at the behest of, and with permission from, local knowledge-holders; and creating and reinforcing mechanisms that allow all participants to be heard and respected.

The EE embraces the fact that the scientific process and its outcomes are mutually, communally, held, and with this plurality comes moral and ethical responsibilities that all parties must co-create, acknowledge and navigate. Envisioned as a long-term commitment, an EE should, over time, build trust between parties who wish to span discovery-and-solutions spaces (Quigley et al., 2000). This trust is generative, such that future scientific work is enabled, as is the creation of a more positive image of mainstream science for younger generations within the community; those who may participate as boundary spanners in the future.

Who is involved? Developing a geoscience-focused EE begins with people coming 260 together to articulate and work on a challenge or question that is of mutual interest, which may 261 stem from curiosity and/or concern. From the outset, the project team must include both 262 mainstream geoscientists and key community members. As a consequence, the process holds 263 space for multiple ways of knowing, including traditional cultural wisdom, traditional 264 disciplinary knowledge, and practical experience (Basso, 1996). We emphasize that this work is 265 aided by the support and cultivation of "boundary spanners" - individuals with the unique 266 leadership skills and interests to traverse cultures and guard against extractive practices (e.g. 267 Safford et al., 2017). Ideally, boundary spanners possess dual membership in, and/or permission 268 to act within, geoscience and the local community, and are therefore able to understand the rules 269 defining each institutional structure, and facilitate cultural translation between them (Meyer et 270 al., 2016). An EE may also include: community leaders (who may be boundary spanners 271 themselves) who facilitate access to communities; content experts who possess relevant local, 272 cultural, and/or traditional knowledge; researchers with project-relevant expertise; and students 273 and other learners who are entrained as part of the social contract inherent both in the academy 274 and the community to empower future generations. 275

Although boundary spanners are often the fulcrum of exchanges between 276 277 underrepresented communities and mainstream science, in the geosciences they are currently rare. One reason may be that working in-community on local, place-based issues that may be 278 actionable but do not count as discovery in the senses of publishable theory construction or 279 knowledge acquisition, simply does not pay enough of the currencies that academia requires of 280 scientists to be successful. A second reason is that underrepresented scientists are continually 281 asked to code-switch, a mentally and socially exhausting exercise that may result in success in 282 both worlds, or potentially rejection by both as not authentic. These reasons point to fundamental 283 challenges for boundary spanners who experience implicit and explicit messages that erode a 284 285 sense of belonging in the geosciences (e.g. Pickrell, 2020). In our vision, exercising the EE broadly should elevate new currencies and rewards for co-produced research across the 286 geosciences, elevating the status of boundary spanners and their skillsets while providing a 287 ground-up mechanism for raising expectations for cultural competencies and the creation of an 288 inclusive research climate for everyone. We acknowledge that this model places a great 289 responsibility on boundary spanners and are hopeful that additional models for this work evolve 290 as it is valued. For example, the American Geophysical Union's Thriving Earth Exchange, a 291 group focused on nurturing co-production in community, supports boundary spanners who 292 operate as an additional member of the community-geoscience relationship supporting and 293 liaising without directly executing the geoscience research. We are also encouraged that 294 initiatives such as those outlined by Brunson and Baker (2015) encourage a reworking of our 295

296 graduate educational programs in the environmental sciences to cultivate these skills for all297 students, regardless of cultural or ethnographic identity.

Without downplaying other functions and partners in an EE, we propose that supporting the development of mainstream|community boundary spanners will increase the success of community-based research, with a secondary impact of enhancing the relevance of geoscience to underrepresented populations. Because geoscience boundary spanners are - by definition geoscientists, their leadership can also increase the visibility of geoscience career paths. As such, elevating the opportunities and status of boundary spanners may provide a mechanism for more diverse representation in geoscience fields.

The challenge of boundary-spanning inherent in EE is one of collaboration across 305 difference. By encouraging boundary spanners as skilled and knowledgeable agents to 306 implement an EE, a supportive framework for inclusive research in the geosciences can be 307 designed and refined, effectively extending the science of geoscience. In transforming the rules 308 309 about who has influence on science and on what basis, as well as whose interests' scientific activity ultimately serves, the EE could advance structural change in geoscience disciplines to 310 confront issues of power and systemic racism, and inform other fields where place-based and/or 311 community-based research can occur. 312

313 4 A Way Forward

We acknowledge that this framework will require new focus on compensating and investing in 314 communities alongside training of geoscientists, collaboration with social scientists, and 315 elevation of those who are already engaged in this work to higher status positions. It will require 316 grappling with social dynamics of research that are often taken for granted, and negotiating 317 incentive structures that are currently less supportive of research with long timelines and 318 unconventional products. The contribution of different ways of knowing - local and indigenous 319 knowledge - will similarly warrant recognition, compensation, and the capacity of the research 320 endeavor to incorporate these needs. Already, however, community- and place-based work is 321 gaining credence within the geosciences. In-practice professorships in environmental science 322 (e.g., Professors-of-Practice within the Julie Ann Wrigley Global Institute for Sustainability at 323 Arizona State University) have elevated community-based work as a position requirement. 324 Scientific societies have created clearinghouses that connect communities and geoscientists (e.g., 325 Thriving Earth Exchange), and recognize exemplary in-community work (e.g., American Society 326 of Limnology & Oceanography's Ruth Patrick award). An emphasis on convergence research 327 and diversity at the National Science Foundation has resulted in initiatives such as Coastlines and 328 People. We feel hopeful that there is much potential to encourage, support, and expand these 329 efforts to an emphasis on broadening participation and spaces that can support the tenets of an 330 EE. 331

332 5 Conclusions

Understanding the ongoing changes, emerging risks, and local-to-global hazards associated with 333 334 the Anthropocene (Steffen et al., 2007) is clearly within the purview of the geosciences. These issues have community implications and require community wisdom. A demographically 335 homogenous population of geoscientists limits the likelihood that these challenges will be met 336 and decreases the likelihood that findings will be accepted by the full diversity of humanity at a 337 time when the public trust in science is in crisis (Oreskes, 2019) Given the rapid shift in the 338 demographics of the United States (Garza, 2015), it is imperative that the geosciences explore 339 strategies for engaging historically underrepresented groups--strategies that resonate both with 340 the sensibilities of scientists, and with those of the communities who have traditionally been 341 excluded or have elected not to join. In advancing ethical and inclusive approaches to geoscience 342 research that celebrate its societal relevance, we can broaden participation, raise the public 343 profile of the geosciences, and increase the creativity and innovation needed to navigate modern 344 environmental challenges. 345

346

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355

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