Sea Surface Salinity Seasonal Variability in the Tropics from Satellites, in situ compilations and Mooring Observations

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Abstract

Satellite observations of sea surface salinity (SSS) have been validated in a number of instances using different forms of in situ data, including Argo floats, moorings and gridded in situ products. Since one of the most energetic timescales of variability of SSS is the seasonal, it is important to know if satellites and in situ gridded products are observing the seasonal variability correctly. In this study we validate the seasonal SSS from satellite and in situ products using observations from moorings in the global tropical moored buoy array. We utilize 6 different satellite products, and two different in situ gridded products. For each product we have computed seasonal harmonics, including amplitude, phase and fraction of variance (R2). These quantities are mapped for each product and for the moorings. We also do comparisons of amplitude, phase and R2 between moorings and all the satellite and in situ products. Taking the mooring observations as ground truth, we find general good agreement between them and the satellite and in situ products, with near zero bias in phase and amplitude and small root mean square differences. Tables are presented with these quantities for each product quantifying the degree of agreement.





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12 Abstract: Satellite observations of sea surface salinity (SSS) have been validated in a number of 13 instances using different forms of in situ data, including Argo floats, moorings and gridded in situ 14 products. Since one of the most energetic timescales of variability of SSS is the seasonal, it is 15 important to know if satellites and in situ gridded products are observing the seasonal variability 16 correctly. In this study we validate the seasonal SSS from satellite and in situ products using 17 observations from moorings in the global tropical moored buoy array. We utilize 6 different satellite 18 products, and two different in situ gridded products. For each product we have computed seasonal 19 harmonics, including amplitude, phase and fraction of variance (\mathbb{R}^2). These quantities are mapped 20 for each product and for the moorings. We also do comparisons of amplitude, phase and R² between 21 moorings and all the satellite and in situ products. Taking the mooring observations as ground truth, 22 we find general good agreement between them and the satellite and in situ products, with near zero 23 bias in phase and amplitude and small root mean square differences. Tables are presented with 24 these quantities for each product quantifying the degree of agreement.

Keywords: sea surface salinity, seasonal variability, satellite validation, harmonic analysis, mooring observations

27 28

29 1. Introduction

30 Sea surface salinity (SSS) has been observed by satellite for over 10 years since the launch of the 31 SMOS (Soil Moisture and Ocean Salinity; [1]) instrument in 2009. Since then two other satellites have 32 been launched by NASA that have measured SSS from space, Aquarius [2] and SMAP (Soil Moisture 33 Active Passive) [3]. Validation of these datasets has occurred in a number of contexts by comparison 34 with in situ data [4-13]. Typically, individual satellite measurements are compared with nearby in 35 situ measurements such as individual Argo floats [4], or more commonly with gridded Argo 36 products such as that of [14] or the global HYCOM (Hybrid Coordinate Ocean Model) [3]. Problems 37 exist with this type of comparison, however. Individual float measurements are usually made at 5 m 38 depth, as compared to 1-2 cm for the satellites [15], and are spatially and temporally sparse compared 39 to the satellite measurements. Gridded Argo products have their own uncertainty related to the 40 sparse sampling and the gridding process [16].

In many regions of the ocean, the most important time scale is seasonal [17-22]. This is especially true in the tropics where the intertropical convergence zone (ITCZ) migrates seasonally in the meridional direction [23-25] bringing with it increased precipitation [26] and the seasonal translation of the North Equatorial Countercurrent front. Thus, SSS has been observed to have large seasonal 45 variations in the tropics, especially north of the equator in the Pacific and Atlantic basins [17, 18, 22,

46 27, 28] where the ITCZ is present and as a result of strong river discharge into the tropical Atlantic.
47 The global tropical moored buoy array (GTMBA) is a vast network of moorings stretching across

48 all the ocean basins (Figure 1). It was set up starting in the 1980's to measure variations related to El 49 Niño in the Pacific, but has since expanded to the Indian and Atlantic basins. (See 50 https://www.pmel.noaa.gov/gtmba/ and [29] for a history of the program in the three different basins, 51 and www.tpos2020.org for a discussion on the future of the Pacific portion of the array.) These 52 moorings measure quantities such as wind, precipitation, humidity, currents, sea surface 53 temperature, subsurface temperature, and, most importantly for the current study, SSS. The high 54 quality standards, long record duration (some over 20 years - Figure 1) and location of the buoys in 55 this array make them ideal platforms for validating satellite SSS measurements. Several groups have 56 been making use of the GTMBA for this purpose [4, 5, 7, 12, 13, 30]. However, to date there has been 57 little explicit comparison of mooring and satellite SSS data at the seasonal time scale. [17] used the 58 mooring data to compute annual harmonics, but made no comparison to satellites as such data did

- 59 not exist at the time.
- 60
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Figure 1. The Global Tropical Moored Buoy Array. (a) The array is called "TAO" in the eastern and
central Pacific, "TRITON" in the western Pacific, (b) "PIRATA" in the Atlantic and "RAMA" in the
Indian ocean. Note, some sites are not currently operational, especially in the western Pacific. Symbol
colors correspond to the length of the record in years, with a scale at the bottom. The record length
refers to the total number of hourly measurements regardless of gaps.

69 [21] found that a decorrelation scale of 80-100 days, corresponding to the seasonal time scale, 70 was the most important one for about 1/3 of the global ocean, and that it was concentrated in the 71 tropics. [17,18] using sparse historic and early Argo data found large amplitude seasonal harmonics 72 in the tropical oceans. This result was verified by comparison to GTMBA data from the Pacific basin 73 available at the time. Such large amplitude seasonal harmonics were also found by [19] and [20]. The 74 most recent estimates of [20] using multiple satellite datasets found typical seasonal amplitudes of 75 up to 0.5 in the tropics, with higher values in regions such as the Amazon and Congo River plumes. 76 We use data from the three satellites mentioned above: SMOS, SMAP and Aquarius. Although 77 they use the same frequency of radiation to make their estimate, the satellites have very different 78 configurations and ways of forming an image to retrieve values of SSS (see references in Table 1 and 79 [31] for a summary). Thus, we use two different level 3 (L3) SMOS products, SMOS BEC (Barcelona 80 Expert Center) and SMOS CATDS (Centre Aval de Traitment des Donées), one L4 synthesis product, 81 CCI (Climate Change Initiative), one L3 Aquarius product, and two L3 SMAP products, SMAP JPL 82 (Jet Propulsion Lab) and SMAP RSS (Remote Sensing Systems). The various products have different 83 ways of averaging or interpolating to get to a final version. Finally, we also examine two commonly 84 used in situ gridded products, SIO (Scripps Institution of Oceanography) and EN4 [32]. These 85 compilations serve as calibration points or first guess fields used in the retrieval process for some of 86 the satellite products [33]. In this paper we directly compare all of these products to the mooring data 87 at the seasonal time scale, and inter-compare the two SMOS and two SMAP products using the same 88 methods. In an operational sense, the intent of this paper is to provide a guide to the user as to the 89 advantages and disadvantages of different products when studying seasonal variability of SSS. In 90 some products we will find that the seasonal time scale is suppressed relative to the moorings as 91 ground truth. In others, the seasonal time scale is enhanced due to the way the measurement is 92 generated or computed.

93 This paper is closely related to [20], and has a similar motivation. That paper is a revisit of [19] 94 and similar works using the more modern datasets now available. There are several distinctions 95 between the work here and that of [20]. [20] is done using the 2018 World Ocean Atlas data as the 96 "truth", whereas here we use the GTMBA moorings. [20] use only 3 years of record for computing 97 harmonics, whereas we use all the satellite data and mooring data available, with up to 20+ year 98 record lengths for the moorings and up to 9 years for the satellites (Table 1). We explicitly compare 99 amplitudes, phases and fractions of variance between the moorings and satellite/in situ products in 100 a more detailed way than is done in [20]. Our focus is on individual moorings as opposed to the 101 basin-scale patterns examined in [20]. Despite all of these differences, it should be noted that we use 102 many of the same satellite datasets that are found in [20], and that the results we find here are similar 103 to the ones found by [20].

104 The structure of the paper is as follows. In Section 2 we introduce the datasets we use, and the 105 harmonic analysis method. In section 3 we present maps of annual amplitude and phase derived 106 from the moorings and a couple of the satellite products, and compare amplitudes, phases and 107 fractions of variance in a set of scatterplots. We also compute deviations of each product from the 108 mooring-derived values. In Section 4 we discuss these results in the context of previous studies, and 109 in Section 5 we conclude.

110 2. Data and Methods

111 All values of salinity in this paper are in practical salinity using the 1978 practical salinity scale. 112 Practical salinity is unitless, and, following [34], we do not use terms such as "psu". The terms 113 "annual" and "seasonal" are used synonymously in this paper and refer to quantities that vary with 114 a period of one year.

115 2.1 Datasets Used

116 As stated above, we make use of 9 main SSS datasets, two in situ gridded (EN4 and SIO), one in 117 situ moored and 6 L3 and L4 satellite (Table 1). Table S1 extends Table 1 to give information for 118 accessing all datasets. Time series of SSS were extracted from the different products at the grid node 119 closest to the location of each mooring. These grid nodes are not located exactly at the sites of the 120 moorings. For the SMAP and SMOS products, the mean distance from grid node to mooring location 121 is about 0.17°. For the Aquarius and SIO products the mean distance is 0.70°. For the EN4 product it 122 is 0.04°. In most cases, the mooring location lies within the footprint of the satellite or the averaging

123 area of the in situ product.

124	Table 1. A list of the datasets used in this study showing the time resolution, spatial grid and time
125	span.

Dataset	Time resolution	Spatial grid	Time span	References
Moorings	Hourly	N/A	various	[35]
SMOS	Daily values with a 9-	0.25°	2011-2019	[9, 10, 36]
BEC	day running mean			
SMOS	4-day values with a 9-	Lon: 0.2594°	2010-2019	[37-39]
CATDS	day running mean	Lat: varies from		
		0.1962° to 1.5341°		
CCI	Daily values with a 7-	Lon: 0.2594°	2010-2018	[40]
	day running mean	Lat: varies from		
		0.1962° to 1.5341°		
SMAP	8-day running mean	0.25°	2015-2020	[41]
JPL				
SMAP	8-day running mean	0.25°	2015-2020	[3, 33]
RSS (70				
km)				
Aquarius	Daily values with a 7-	1°	2011-2015	[2, 8]
	day running mean			
EN4	Monthly	1°	2000-2018	[32]
SIO	Monthly	1°	2004-2020	[14]

127

128 An overview of the methods used to produce the L3 estimates for the satellite datasets from raw 129 brightness temperatures is given by [31]. This reference also describes such things as the repeat period 130 and spatial resolution.

131The vertical sampling of the three data types is different. The salinity sensors on the moorings are132at ~1m depth [35]. Argo floats, which make up the bulk of the observations used in the EN4 and SIO133datasets, are sampled about 5 m depth. Satellite SSS sensors sample the upper 1-2 cm [15].

134 The computations detailed below using the moorings were repeated with only data from 2010 and 135 after to match the time period when the satellites were operating. The results were very similar, only 136 with less precision due to the use of shorter time series.

137 2.2 Harmonic Analysis

Using a standard harmonic analysis, annual and semiannual harmonic fits were computed for each mooring time series following [17, 19, 22 and 42], and for each of the other products at the closest gid node to each mooring site. These computations yield amplitudes, phases (month of maximum SSS) and fractions of variance (R²) associated with both annual and semiannual. We show results for the annual harmonics only in this paper. Semiannual harmonic amplitudes were generally smaller and we omit those results for brevity here, but include some of them in the supplemental materials for completeness (Tables S5-S7).

145 Harmonic analysis involves fitting each salinity time series to

146
$$S = S_0 + A_1 \cos(\omega_1 t + \varphi_1) + A_2 \cos(\omega_2 t + \varphi_2) + \epsilon$$

147 (1)

148 ω_1 is the annual frequency, i.e. $2\pi radians/year$. ω_2 is the semiannual frequency, $4\pi radians/$ 149 *year*. A₁ (A₂) is the amplitude of the (semi)annual harmonic. φ_1 (φ_2) is the phase of the (semi) annual 150 harmonic. t is the time. S₀ is the mean value of salinity at each location. ε is a residual to be minimized

151 in the least squares sense by determination of A₁, A₂, φ_1 and φ_2 .

152 Significance tests for the harmonic fits were carried out for the first and second harmonics 153 separately. The R² value of each harmonic was calculated with the standard formula

$$R^{2}=1-\frac{variance(data-fit)}{variance(data)}.$$
(2)

154 The f-statistic was then calculated from R² using the equation

$$\mathbf{f} = \left(\frac{R^2}{1-R^2}\right) \cdot \left(\frac{n-k-1}{k}\right),\tag{3}$$

Where n is the number of observations (non-null data points in the time series at that location) and k is the number of independent variables, two in the case of looking at the annual and semiannual harmonics individually. Then the cumulative F-distribution function was used on the given f-statistic, n, and k, and fits with values greater than 0.95 were considered significant. The significance was calculated as if all the data points were independent observations. In addition to filtering by significance, we only considered locations where we had at least one year total of data points for a given data set.

In comparing the amplitudes, phases and R² values between mooring and products, we used the entirety of each dataset, including possibly non-overlapping periods. This was done because: 1) the computed amplitudes and phases seemed stable as described below, 2) we wanted to increase the significance of the computed fits, and 3) many of the moorings were sampled sporadically (e.g. Figure 2a) making determination of overlapping periods computationally cumbersome.

167 As an illustration of the method, we show the mooring data, harmonic fit, SIO data and its fit at 168 the mooring site at (0°N, 0°E). Although there are large gaps in the mooring record (Figure 2a), a major 169 advantage of the harmonic method is that it can make use of such time series. A possible problem with 170 the method is if the amplitude or phase of the seasonal variability changes over time. The SIO data 171 indicate that for this location this is not an issue (Figure 2b). The seasonal maximum or minimum does 172 vary from year to year, but not in a systematic or interannual way. The signal appears phase-locked to 173 the calendar year. The harmonic fits we have done do not depict some of the extreme events in the 174 mooring record (Figure 2c), so in this sense it acts as a low pass filter. These low SSS events may indicate 175 real events (e.g. [43]). The way they are displayed in the figure tends to exaggerate their importance 176 however, as they generally consist of only a small number of hourly observations. The amplitudes of 177 the two records in Figure 2a-c are similar. The peak-to-peak amplitude of the SIO fit is about 0.8 (Figure 178 2b), whereas that for the mooring is a little larger, about 1.0 (Figure 2c).

We also show data from a different location in the eastern tropical North Pacific (Figure 2d; 10°N,
95°W). There is no fit displayed, but it is clear there is a large annual cycle in all the datasets. The
amplitude and phase of that annual cycle is relatively stable, except for the 2015-2016 and 2019-2020
period.





184Figure 2. Harmonic fits and observations of SSS at (0°N, 0°E). (a) Mooring (red) and SIO (blue)185observations. (b) SIO anomaly (red) and its harmonic fit (blue). (c) Mooring (red) and its harmonic fit186(blue). (d) SSS data from 10°N, 95°W. Source of data is indicated in the legend at the bottom left.

187 **3. Results**

188 *3.1 Amplitude and phase maps*

189 The annual harmonics for the moorings (Figure 3) indicate a variety of amplitudes and phases. 190 The largest amplitude, ~1.0, is near the west coast of Africa in the vicinity of the outlet of the Congo 191 River. Other areas with large amplitude are in the Amazon River outflow in the western Atlantic, the 192 western tropical Indian Ocean south of the equator, and along 10°N in the North Pacific. The sizes of 193 the harmonics shown match well with the values reported by [17-20] among others. Phases show 194 maximum SSS in the northern hemisphere mostly in February-May and in the southern hemisphere 195 in July-December (This will be shown more clearly below). There are some exceptions to this general 196 pattern. The Bay of Bengal for example, has maximum SSS in October, and some far eastern North 197 Pacific moorings have maximum SSS also in October.









201 Figure 3. Amplitude and phase of the first harmonic from the moorings. Each symbol is for one 202 mooring at its given location. The amplitude is indicated by the area of the symbol, with scale in dark 203 blue near the top middle of each figure. The color of each symbol indicates the phase, as the month of 204 maximum SSS, with color scale in months (January-January) at the bottom. Symbols with a black "X" 205 were either found not to have a significant fit to the annual harmonic, or contained less than one year 206 of observations. The maps use an equal area conic projection. This means that though the symbols 207 change in shape from north to south, the relative areas are depicted correctly in relation to the dark 208 blue scale. (a) Pacific basin. (b) Atlantic and Indian basins. For completeness, we include maps of 209 amplitude and phase for all products for both annual (Table S2) and semi-annual (Table S5) 210 harmonics.

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Next, we show maps of fraction of variance, R², explained by the harmonic fit (Figure 4). In the Pacific basin, the numbers tend to be larger, over 0.5, in the ITCZ, in the western Pacific and south of the equator in the eastern Pacific, whereas they are small along the equator. In the Atlantic most of the values are large, especially near the coast of Africa. In the Indian basin, the values get very large, approaching 1 in the western South Indian. All of these results indicate that in many parts of the tropical ocean, the seasonal time scale represents a large fraction of the total signal [20, 21].

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Figure 4. As in Figure 3, but for fraction of variance, R², explained by the annual harmonic fit. For completeness, we include maps of R² for all products for both annual (Table S3) and semi-annual (Table S6) harmonics.

The results presented in Figures 3 and 4 for the GTMBA are consistent with previous such calculations [17-19] using different datasets or [20] using mostly the same datasets. What we do differently here is to compare the various datasets against the moorings as ground truth, and to some extent each other. Analyses such as those of Figures 3 and 4 were carried out for all the different datasets mentioned in section 2. We present a couple of examples similar to Figure 3 here and a more complete set of them in the supplemental materials.

230 The RSS SMAP amplitude and phase (Figure 5) are similar to the moorings with a few minor 231 differences. In the western Pacific along the equator, the SMAP RSS data show phase with maximum 232 SSS in June, whereas in the mooring data those maxima are in March or so. The amplitudes are not 233 large which may explain the difference. More of the SMAP RSS locations are below significance level 234 than the moorings, especially off the equator in the central Pacific, likely due to the shorter record 235 length. In the Atlantic and Indian basins, the results are also similar to the moorings. The results for 236 R^2 are also very similar, and are not included here for brevity, but are in the supplemental materials 237 (Table S3).





First Harmonic Amplitude and Phase for SMAP RSS

Figure 5. As in Figure 3, but for the SMAP RSS data.

The similarity of the mooring and SMAP RSS results is striking, and is repeated for most of the other datasets we analyzed (Tables S3 and S4). One exception is the SMOS BEC results shown in Figure 6. In this case there are major differences between these and the mooring data. The amplitudes are in general much smaller in the SMOS BEC data throughout the tropical ocean. Detailed

245 comparison of the amplitudes and phases between the products and the moorings is presented below

as a set of scatter plots and root mean square (RMS) differences.



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6

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8

Phase (months)

10

11

В

12

247

248



2

З

Δ

250 3.2 Amplitude and phase comparisons

251 Comparison between mooring phases and the other datasets (Figure 7) show they mostly match 252 well. Maximum SSS along the equator and at the southern hemisphere moorings is later in the year, 253 July-December, while for the northern hemisphere moorings it is in February-May. There is some 254 tendency for small amplitude locations to be further off the one-to-one correspondence line than large 255 amplitude ones. RMS differences (RMSD) between mooring and product phase range from 0.5 to 1.5 256 months, all significantly different from zero (Table 2). Median differences are all less than or equal to 257 0.1 in absolute value and none of them are significantly different from zero. The datasets with the 258 largest scatter are the two from SMAP (Figure 7a,b; 1.3 months RMSD), Aquarius (Figure 7c; also 1.3 259 months) and SMOS BEC (Figure 7f; 1.5 months). A bit less is the one from CCI (Figure 7d; 1.2 months) 260 and the smallest are the two in situ datasets (Figure 7g,h; 0.5 months).





262	Figure 7. Scatterplots of first harmonic comparison product phase (month of maximum SSS) vs.
263	mooring phase. Each symbol is for one mooring, with symbols plotted only where there is a significant
264	annual fit for both the moorings and the given product. The number of symbols in each plot is given
265	for each product in Table S7. Colors of symbols indicate latitude of mooring with scale at bottom.
266	Sizes of symbols indicate mooring amplitude with scale at right in solid blue in each panel. A light
267	black line shows a one-to-one correspondence. Boxes in each panel show RMSD and median
268	difference (mooring - comparison) in months. Products compared are: a) SMAP RSS, b) SMAP JPL,
269	c) Aquarius, d) CCI, e) SMOS CATDS, f) SMOS BEC, g) EN4, h) SIO.

Table 2. Columns 2-5: Amplitude and phase discrepancies between mooring and satellite or in situ
products. Median differences are mooring – product. Column 6: Median difference in R² between
mooring and satellite or in situ product for the annual harmonic. Positive number means mooring R²
is greater.

Product	Amplitude RMSD	Phase RMSD	Amplitude median	Phase median difference	R ² median difference
		(months)	difference	(months)	
SMOS BEC	0.128±0.002	1.48 ± 0.04	0.06 ± 0.01	-0.1±0.2	0.03
SMOS	0.085 ± 0.004	1.02±0.05	0.01±0.01	0.1±0.1	0.05
CATDS					
CCI	0.074±0.002	1.2 ± 0.04	0.02±0.01	-0.04±0.15	0.01
SMAP JPL	0.074±0.003	1.27±0.04	-0.013±0.009	0.0±0.2	0.01
SMAP RSS	0.070±0.003	1.30 ± 0.04	0.003±0.009	0.1±0.2	0.01
Aquarius	0.108±0.003	1.33±0.04	-0.02±0.01	0.1±0.2	-0.12
EN4	0.082±0.008	0.53±0.05	0.01±0.01	0.08±0.08	-0.14
SIO	0.080±0.008	0.55 ± 0.04	0.01±0.01	0.05 ± 0.08	-0.14

²⁷⁵

In a couple of cases we can compare two products whose underlying measurement is the same. There are two different L3 SMAP products and two L3 SMOS products (Figure 8). So, in making these comparisons, all of the difference between them is due to the processing algorithm and not the measurement platform. The SMAP products compare very well, with an RMSD of about 0.7 months and median difference not significantly different from zero (Figure 8a). The one outlier point is at (15°N, 65°E) in the Arabian Sea. (This harmonic is not included in any mooring plot because there are too few data at this location.) The two SMOS products do show some differences, with the SMOS 284 SMOS BEC leading, which is significantly different from zero.

285



Figure 8. As in Figure 7. Comparisons are a) SMAP RSS vs. SMAP JPL and b) SMOS CATDS vs. SMOS
BEC.

With the first harmonic amplitudes, we find that most satellite and in situ products compare well with the moorings (Figure 9 and Table 2). RMSDs are typically 0.07-0.08 and median differences of about 0.01-0.03. The two exceptions are Aquarius, with RMSD of 0.11 and SMOS BEC with RMSD of 0.13. For the SMOS BEC dataset, the mooring amplitudes are generally larger than in the satellite data, with median difference of about 0.06.





Figure 9. Scatterplots of first harmonic amplitude vs. mooring amplitude. A light black line shows a one-to-one correspondence. Boxes in each panel show RMSD and median difference (mooring – comparison). Comparison amplitudes are: a) SMOS BEC, b) SMAP RSS, c) SIO, d) Aquarius. For completeness, all first harmonic amplitude comparisons are shown in the supplemental materials (Table S4).

Table 2 shows the median of the difference between R² values for the mooring and that of the various products. In other words, for each dot in Figure 9, one can subtract the mooring value from the comparison product value, to obtain the degree to which those dots depart from the one-to-one line. One can then compute the median of those differences, to get the numbers displayed in Table 2. Table 3 shows the median over the dots for, say, the moorings or SMAP RSS. These values show which products tend to have large or small values of R².

305 An example set of R^2 comparisons are shown (Figure 10; Table 3). These examples were chosen 306 to illustrate each of the satellites and one in situ product. The value of R² is a function of the temporal 307 sampling of each dataset, and the footprint of the satellite or grid size of the in situ product. Overall, 308 in the tropics the annual harmonic only comprises about 20% of the total variance of SSS for the 309 moorings (Table 3). The moorings, one assumes as they are sampled hourly, capture all or almost all 310 of the temporal variance in nature. The in situ datasets (EN4 and SIO) are averaged monthly and over 311 a 1°X1° area, so any variance with smaller time and space scales is not present in those datasets. Thus, 312 one would expect R² in the annual harmonic would be larger for these than for the moorings, which 313 it is (Figure 10c; Table 3). For Aquarius, the issue is the same. It has a footprint similar in size to the 314 in situ products' grids, generating an average over about a 100 km area. Thus, it does not sample 315 most of the variability at less than 100 km in size. As much of ocean SSS variance is at sizes less than 316 50 km [44], the Aquarius dataset cannot resolve it, and therefore, the annual harmonic constitutes a 317 larger fraction of the variance than for the moorings (Figure 10d; Table 3). As we have seen, the SMOS 318 BEC data underestimate the size of the annual harmonic, and so the fraction of variance captured in 319 that dataset is less than for the moorings (Figure 10a; Table 3). Finally, the SMAP RSS product (Figure 320 10b; Table 3) has a smaller footprint than Aquarius, and more frequent sampling than SIO. The 321 fraction of variance depicted in that dataset is comparable to that of the moorings. The datasets not 322 plotted in Figure 10, SMOS CATDS, SMAP JPL, EN4 and CCI, all show similar patterns as SMAP RSS 323 (Table 2).

324

Table 3. Median R² over all the mooring locations for all the products for the annual harmonic. The number of mooring locations used in each of these values is listed in Table S7.

	Median R ²
Moorings	0.190
SMOS BEC	0.103
SMOS	0.187
CATDS	
CCI	0.1842
SMAP JPL	0.198
SMAP RSS	0.203
Aquarius	0.316
EN4	0.351
SIO	0.430





Figure 10. Scatterplots of fraction of variance, R², in the annual harmonic captured by four products,
compared to that captured by the moorings. R² values are based on the entirety of each dataset,
including possibly non-overlapping periods. Products are (a) SMOS BEC, (b) SMAP RSS, (c) SIO and
(d) Aquarius.

335 4. Discussion

336 We have done comparisons of some various SSS datasets at the annual time scale. These 337 comparisons are congruent with those of [17-20] among others. The advantage to our analysis is that 338 it was done with the very long high-quality records of SSS at the moorings, and that these mooring 339 data are largely independent of the products being evaluated. We have done more detailed 340 comparisons of amplitude (Figure 9) and phase (Figure 7) in the discrete locations defined by the 341 moorings (Figure 1) than was done by [20] or any previous studies. The disadvantage is the limited 342 geographical expanse of the mooring array – most are equatorward of 10° especially in the Pacific-343 and the limited coverage of a point measurement from a mooring relative to the spatial averages from 344 a satellite or gridded in situ product [45].

Most of the datasets record the phase of the annual cycle in a way that is reasonably consistent with the mooring data. Median phase differences between moorings and the products studied all include zero in their uncertainty range (Table 2, column 5 and Figure 7). The RMSD for phase between the moorings and the different products varies between 0.5 and 1.5 (Table 2, column 3 and Figure 7), giving an idea of the spread of phase values inherent in the data. Most of the products studied also give a reasonable value for the amplitude. Amplitude median differences are as high as 0.06 (Table 2, column 4), with some within the uncertainty range of zero.

352 It's difficult to track what exactly might be causing differences in products quantified in Table 2 353 given the variety of different processing algorithms, hardware configurations, antenna patterns, 354 ancillary input data, etc. detailed in the references shown in Table 1 and in [31]. Are differences 355 related to the conversion from L2 to L3? Is the annual cycle the same or similar in the L2 version of 356 each of these as in the L3? Are any differences inherent in the hardware that is in orbit or are they 357 part of the processing algorithm that converts engineering measurements within the satellite to 358 geophysical measurements (L1 to L2)? Are they related to the footprint of the satellite or its antenna 359 pattern? Its method of correcting for sea state, Faraday rotation within the atmosphere, galaxy 360 brightness, radio frequency interference filtering, etc.? We get some hint of the answers to these 361 questions in the comparison of SMAP RSS and SMAP JPL (Figure 8a) and comparison of SMOS BEC 362 and SMOS CATDS (Figure 8b). As these datasets originate from the same basic L1 observations, any 363 differences must be related to the L1 to L2 or L2 to L3 conversion. In the case of SMAP, it appears 364 that very little difference is introduced in the gridding and processing, but the opposite is the case 365 with the SMOS datasets. Clearly answers to the questions posed in this paragraph will require more 366 analysis.

367 Another issue to consider when interpreting the results presented here is the depth dependence 368 of upper ocean salinity, and how it is measured. There is a mismatch of sampling between these three 369 measurement systems in depth. Satellites measure the skin surface value, the upper 1-2 cm. Argo 370 floats, from which the EN4 and SIO products are mainly derived, usually do not measure above 5 m 371 depth [15]. The topmost salinity sensors on the GTMBA buoys are positioned much closer to the 372 surface, at a depth of ~1m [35]. The issue of depth dependence of upper ocean salinity has been 373 explored in many previous papers [15, 46-50]. What impact might this different sampling have had 374 on the results presented here? The moorings, having sensors close to the surface, give a better 375 estimate of near surface values than Argo floats would. Studies like [43] have shown that rain 376 anomalies do tend to get concentrated in the upper meter of the ocean surface. Such anomalies are 377 present in the mooring time series like those displayed in Figure 2a. On the other hand, the large 378 footprint of SSS satellites would tend to suppress short time scale rain-induced SSS anomalies. So, 379 one would guess that the mooring time series will be able to capture very low values during rain 380 events that might not be present in the footprint-averaged satellite values or the gridded in situ data. 381 This effect is quite visible in Figure 2d. This could potentially lead to the mooring data having larger 382 seasonal amplitudes than the other two types of data as low outliers during rainy seasons influence 383 the harmonic analysis we have done here. However, this does not seem to be the case, at least for 384 most of the products (Figure 9b-d and Table 2).

385 Satellite SSS is usually validated against one of the common gridded in situ products [5, 7, 20,
 386 30], of which we utilized two for our work here. As the seasonal time scale is one of the most energetic

in terms of variability [21], it is important to make sure these products themselves are validated. We
have done some of that here for a limited geographical extent and a very limited time scale – i.e.
annual.

390 Most important for the process of validation is the different fractions of temporal variance 391 captured in the annual time scale by the in situ products vs. the various satellite products (Table 3 392 and Figure 10). Given the fact that in situ products are mostly generated from sparse Argo data, it's 393 expected that the seasonal time scale would be more heavily represented than anything shorter. Our 394 results show however, that if validation is done using gridded products, important parts of the 395 temporal spectrum of variability are missing. Do the satellite products get the balance correct 396 between seasonal and shorter-term variability? Our results from Table 3 and Figure 10 show that this 397 varies from one product to another.

varies from one product to another.
As the moorings are a directly-measured, in situ dataset, the value of R² presented in Table 3
(0.19) likely is a good estimate of what fraction of variance the annual cycle represents in the real
ocean – though Figure 10 indicates that this has a large degree of variation, from near-zero to almost
80%. A further extension on this study would be to use the mooring data to generate power spectra
for each location to see how prominent the peaks are, and how those spectra compare with ones from

403 the satellite data. A major difference between the satellite data and the moorings is the fact that the

- 404 satellites measure over a footprint rather than at a point. One would expect this difference to reduce
- 405 the variance in individual estimates of SSS and thus make spectral peaks, including a seasonal peak
- 406 if present, more prominent. Table 3 shows that the fraction of variance in the mooring data is larger
- 407 than one of the satellite datasets (SMOS BEC), comparable to most, and smaller than one (Aquarius).
- 408 This seems a hopeful sign, that the satellite datasets are mostly doing well at capturing the seasonal
- 409 cycle, or at least giving it the correct weight among the other time scales present in the ocean.

410 5. Conclusions

We have compared a variety of satellite and in situ products with SSS data from the GTMBA at the seasonal time scale. A summary of the important results of this paper is shown in Table 2, which gives RMSD and median difference (i.e. bias) relative to the GTMBA for each product. The annual cycle is generally well-represented in all the products, though some discrepancies have been highlighted in the text. RMSD in amplitude (phase) has a range of 0.07-0.13 (0.5-1.5 months). Bias has a range of -0.02 - 0.06 (-0.1 - 0.1 months) in amplitude (phase). All values of phase difference include

417 zero in their uncertainty range. The different products have different characteristics with regards to

- 418 the fraction of variance in the annual cycle (Table 3). Aquarius and the two in situ products have the 410
- 419 largest fraction (up to 43%) and the SMOS BEC product the smallest (10%).
- 420 **Supplementary Materials:** The following are available online at [www.mdpi.com/xxx/s1], Tables S1-S7.
- 421 Author Contributions: Conceptualization: F.B. and L.Y.; formal analysis: S.B.; funding acquisition: F.B.; project
 422 administration: F.B.; software: F.B. and S.B.; supervision: F.B.; visualization: S.B.; writing original draft: F.B.
 423 and S.B.; writing review & editing: F.B. and L.Y. All authors have read and agreed to the published version of
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- 428 salinity data are produced by Remote Sensing Systems and sponsored by the NASA Ocean Salinity Science
- 429 Team. They are available at <u>www.remss.com</u>. Color scales are taken from the "cmocean" package [51].
- 430 **Conflicts of Interest:** The authors declare no conflict of interest.
- 431

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