

# Effects of surface and top wind shear on the spatial organization of marine Stratocumulus-topped boundary layers

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## Abstract

The convective nature of Stratocumulus topped boundary layers (STBL) involves the motion of updrafts and downdrafts, driven by surface fluxes and radiative cooling, respectively. The balance between shear and buoyant forcings at the surface can determine the organization of updrafts between cellular and roll structures. We investigate the effect of varying shear at the surface and top of the STBL using LES simulations, taking DYCOMS II RF01 as a base case. We focus on spatial identification of the following features: coherent updrafts, downdrafts, and wet updrafts, and observe how they are affected by varying shear. Stronger surface shear organizes the updrafts in rolls, causes less well-mixed thermodynamic profiles, and decreases cloud fraction and LWP. Stronger top shear also decreases cloud fraction and LWP more than surface shear, by thinning the cloud from the top. Features with stronger top than surface shear are associated with a net downward momentum transport and show early signs of decoupling. Classifying updrafts and downdrafts based on their vertical span and horizontal size confirms the dominance of large objects spanning the whole STBL. Large objects occupy 14% of the volume in the STBL while smaller ones occupy less than 1%. For updraft and downdraft fluxes these large objects explain 33% of the vertical velocity variance and 53% of the buoyancy flux, on average. Stronger top shear also weakens the contribution of downdrafts to the turbulent fluxes and tilts the otherwise vertical development of updrafts.



## Abstract

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## Plain Language Summary

Stratocumulus clouds form in the atmospheric boundary layer, close to the Earth's surface. Turbulence in this boundary layer causes large circulation composed of strong motions going up and down called updrafts and downdrafts. At the surface and top of the boundary layer, wind speed can change abruptly, generating wind shear. We investigate the effect of changes in wind shear on the organization of the clouds, updrafts, and downdrafts. By simulating atmospheric flow, we observe that stronger surface wind reduces the amount of clouds, strong top shear modifies the shape of cloud tops, and only when shear is strong both at the surface and top, clouds are elongated in the wind direction. Of all the updrafts and downdrafts found, the largest ones dominate in volume occupied and in the transport of momentum, heat, and moisture in the boundary layer.

## 1 Introduction

Stratocumulus (Sc) clouds cover 23 percent of the Earth's ocean surface (Wood, 2012) and are important for the global climate because of their high albedo (Zelinka et al., 2017), as well as for solar power generation due to their presence over coastal land (Clemesha et al., 2017). Both the albedo and solar variability are directly linked to the spatial organization of the cloud field. At large scales (tenths of kilometers), mesoscale shallow convection organizes in rolls, open cells, and closed cells with different cloud fractions (Atkinson & Zhang, 1996), while at smaller scales, boundary layer processes can also impact the physics and organization of Sc.

The Stratocumulus Topped Boundary Layer (STBL) forcings act at both the surface and the BL top and determine the temporal and spatial evolution of the cloud layer. The balance between surface buoyancy flux and surface wind shear affects the turbulence and organization within the STBL. In shear-dominated convective boundary layers (CBL) streaky structures develop near the surface, in buoyancy-dominated CBLs coherent updrafts form near the surface and transport momentum throughout the CBL (Salesky et al., 2017); when both shear and buoyancy are important, coherent updraft rolls develop in the lower half of a STBL (Moeng & Sullivan, 1994) and – in the case of shallow cumulus clouds – lead to a increased cloud fraction (Park et al., 2017).

63 Near the top of the STBL, radiative cooling promotes a sharp temperature inver-  
 64 sion, and there is entrainment of air from the free troposphere, and wind shear across  
 65 the interface. The top of the STBL represents a sheared stratified layer with updrafts  
 66 and downdrafts underneath. Radiative cooling is believed to drive downdrafts in the STBL  
 67 (Wood, 2012), although recent studies question that causal relationship (Matheou & Teix-  
 68 eira, 2019). Wind shear across the inversion can dilute the cloud top by enhancing tur-  
 69 bulent mixing (McMichael et al., 2019; Kopec et al., 2016; Mellado et al., 2014; Wang  
 70 et al., 2012, 2008), caused by the development of Kelvin-Helmholtz waves (Wang et al.,  
 71 2012; Kim et al., 2003).

72 The main coherent structures in STBLs are updrafts and downdrafts, and their or-  
 73 ganization is tightly linked to the turbulence and spatial features of the cloud field. The  
 74 strong mixing by updrafts and downdrafts promotes the well-mixed profiles that are char-  
 75 acteristic of STBLs (Lilly, 1968). Their importance in non-local mixing has led to the  
 76 development of mass flux parameterizations with consideration of downdrafts to improve  
 77 turbulent parameterizations in NWP models (Han & Bretherton, 2019; Wu et al., 2020).  
 78 Recent studies have focused more closely on the identification of updrafts and downdrafts  
 79 in the STBL (Davini et al., 2017; Chinita et al., 2018; Brient et al., 2019), finding that  
 80 these structures are responsible for nearly 80% of the heat and moisture fluxes in the STBL  
 81 (Brient et al., 2019). Another recent study has found that the horizontal scales of STBL  
 82 convection tend to grow in time, a phenomenon that is enhanced by spatial perturba-  
 83 tions of radiative cooling, i.e. more variability of cloudiness (Zhou & Bretherton, 2019).  
 84 A better understanding of the dynamics and sensitivity of these structures to physical  
 85 processes could further improve turbulence parameterizations tailored for STBLs.

86 In summary, surface and top conditions cause spatial differences in the organiza-  
 87 tion of Sc clouds as well as of updrafts and downdrafts. The literature survey above re-  
 88 veals several open research questions: (i) For surface shear, it is unknown if the devel-  
 89 opment of rolls increases Sc cloud fraction, as is the case for shallow cumulus clouds. (ii)  
 90 For top shear, it is unknown if the decreased cloud fraction has an impact on STBL spa-  
 91 tial features and if gravity waves affect the cloud field. (iii) While it is known that up-  
 92 drafts and downdrafts can change their structure from cells to rolls with increasing sur-  
 93 face shear (Moeng & Sullivan, 1994), we do not know how their contribution to the tur-  
 94 bulent fluxes in the STBL is affected by the relative magnitudes of surface and top shear,  
 95 and how their contribution depends on the size of the structures.

96 In this work, we study the effects of varying the surface and top wind shear on the  
 97 spatial structure of STBLs, which touches on all three open questions mentioned above.  
 98 Using LES, we analyze the differences in cloud fraction and cloud shape, the changes in  
 99 the organization of coherent structures, and their contributions to the turbulent fluxes  
 100 of the STBL. Section 2 describes the LES simulations, the method to identify the co-  
 101 herent structures, and the geometric classification of coherent structures based on size  
 102 and location. Section 3 present the results and discussion, including the effect of wind  
 103 shear on the thermodynamic profiles and turbulent fluxes (Section 3a), the spatial or-  
 104 ganization of the cloud fields and structures (Section 3b), and the contributions of the  
 105 classes of coherent structures on the total turbulent fluxes in the STBL (Section 3c). Sec-  
 106 tion 4 contains the conclusions.

## 107 2 Methods

### 108 2.1 Simulation setup

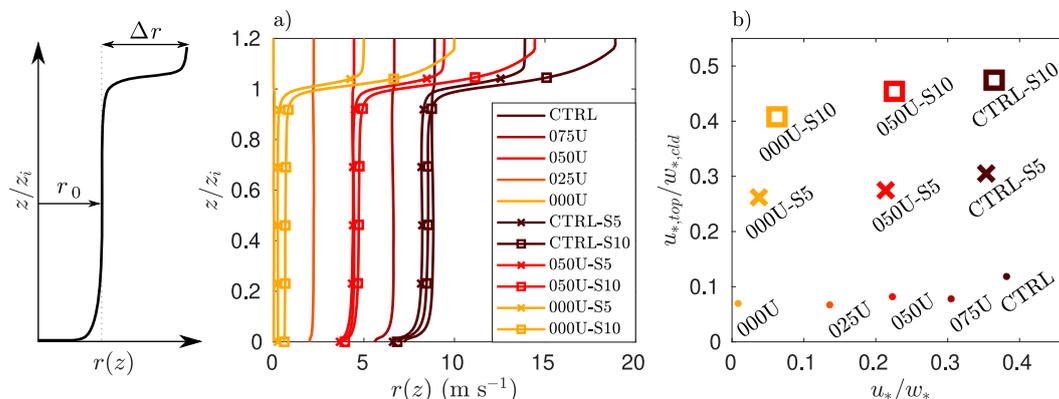
109 We vary the surface and top wind speed profiles to create combined variations of  
 110 shear around a reference case. The first research flight of the DYCOMS II field campaign  
 111 (RF01, Stevens et al. (2005)) is the reference case for our simulations, since it is a Sc case  
 112 that has been extensively studied.

113 To control the influence of the surface wind shear, we consider five progressions of  
 114 the initial wind profile in the STBL ( $u_0, v_0$ ) from the reference RF01 case (CTRL) to  
 115 a case with zero mean wind speed (000U). All of these cases have no wind shear at the  
 116 top of the STBL. To minimize the development of top shear during the simulation (as  
 117 remarked by Fedorovich and Conzemius (2008), who argued that it can artificially en-  
 118 hance entrainment in LES), we nudge the wind velocity field in the whole domain with  
 119 a timescale of 0.5 h. Nudging, also known as Newtonian relaxation, adds a term to the  
 120 horizontal flow component equations ( $u$  and  $v$ ), with the goal of enforcing the mean wind  
 121 speed to follow the reference values. For  $u$ , the nudging term is

$$\left( \frac{\partial u}{\partial t} \right)_{\text{nudge}} = \frac{u_0 - u}{\tau} \quad (1)$$

123 where  $u_0$  is the reference initial wind speed component and  $\tau$  is the nudging timescale.  
 124 The wind speed magnitude  $r(z) = \sqrt{u^2 + v^2}$  in Fig. 1-a confirms that the top shear for  
 125 these cases is minimal.

126 We also vary the wind shear at the top of the STBL in the following three base cases:  
 127 the reference case (CTRL) and the cases with half (050U) and no wind speed (000U).  
 128 We impose an initial top shear of  $|\Delta r| = \{5, 10\} \text{ m s}^{-1}$  (denoted as cases S5 and S10,  
 129 respectively), where  $\Delta r = r_{\text{FT}} - r$  is the jump between the tropospheric and STBL  
 130 wind speed magnitudes. No nudging is imposed on the top-wind sheared cases. The val-  
 131 ues of  $|\Delta r| = \{5, 10\} \text{ m s}^{-1}$  agree with the referential wind speed shear reviewed by Wang  
 132 et al. (2012) from VOCALS-REx. Refer to Table S1 in the supporting information for  
 133 further details on the initial wind profile.



**Figure 1.** Wind speed variations for all the cases considered: (a) profiles of wind speed mag-  
 nitude  $r(z)$ , and (b) case description in the parameter space defined by non dimensional measures  
 of surface shear ( $u_*/w_*$ ) and top shear ( $u_{*,\text{top}}/w_{*,\text{cld}}$ ). Values presented are 15 minute averages  
 at hour 4.

134 The flow is resolved using the UCLA-LES code following the setup by Stevens et  
 135 al. (2005) in a larger domain size of  $14 \text{ km} \times 14 \text{ km}$  to allow for the development of stronger  
 136 updraft and downdraft motions (Pedersen et al., 2016). We use a horizontal resolution  
 137 of  $\Delta x = \Delta y = 35 \text{ m}$  and a vertical resolution of  $\Delta z = 10 \text{ m}$  in the lower part of the  
 138 STBL, reduced to a minimum  $\Delta z = 5 \text{ m}$  near the STBL top, with a total of  $400 \times 400 \times$   
 139  $131$  points. Surface fluxes are fixed throughout the simulation, with SHF =  $15 \text{ W m}^{-2}$   
 140 and LHF =  $115 \text{ W m}^{-2}$ .

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## 2.2 A parameter space for wind shear variations

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Previous studies have shown that the organization of the updrafts in the STBL depends on the balance between surface shear and buoyancy, and more precisely on the stability parameter  $\eta = -z_i/L_{ob}$ , where  $z_i$  is the height of the STBL (defined here as the height of the maximum gradient of liquid water potential temperature,  $\theta_l$ ), and  $L_{ob}$  is the Obukhov length,

$$L_{ob} = \frac{-z_i}{\kappa} \left( \frac{u_*}{w_*} \right)^3, \quad (2)$$

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where  $\kappa$  is the von Kármán constant,  $u_*$  is the friction velocity, and  $w_*$  is the convective velocity scale:  $w_* = gz_i \overline{w'\theta'_{v0}}/\theta_{v0}$ , where  $\theta_{v0}$  is a reference surface virtual potential temperature, and  $\overline{w'\theta'_{v0}}$  is the surface virtual potential temperature flux.

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Since all cases have a similar STBL height,  $z_i$ , we can represent surface shear by measuring  $u_*/w_*$  instead of  $L_{ob}$ . In a similar fashion, we can represent top shear with appropriate shear and buoyancy scales near the top of the STBL. We choose an in-cloud buoyancy reference convective velocity (Ghonima et al., 2016),

$$w_{*,\text{cld}} = \frac{g}{\theta_{v,0}} \int_{z_b}^{z_i} \overline{w'\theta'_v} dz, \quad (3)$$

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and compute a top friction velocity based on the momentum flux at the top of the STBL,  $u_{*,\text{top}} = (\overline{u'w'^2}_{\text{top}} + \overline{v'w'^2}_{\text{top}})^{1/4}$ . With this set of scaling parameters, the variations of surface and top shear can be presented in the parameter space defined by  $u_*/w_*$  and  $u_{*,\text{top}}/w_{*,\text{cld}}$ , as shown in Fig. 1b.

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## 2.3 Structure identification and classification

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Updrafts and downdrafts are loosely defined as coherent strong upward and downward motions, and previous works have used different approaches for their detection: thresholds of flow properties (Moeng & Sullivan, 1994), tracers (Brient et al., 2019; Couvreux et al., 2010), both flow properties and tracers (Davini et al., 2017; Park et al., 2016), or joint probability density functions (Chinita et al., 2018). While thresholds of flow properties will result in identifying the strongest motions in the STBL, tracers identify the strongest effective transport in the vertical direction. Both methods are sensitive to parameters choices such as thresholds or tracer timescales. Couvreux et al. (2010) compared a tracer method to a threshold method, finding differences in the points that compose each identified structure, although these differences were more expressed in the surface and top regions. The coherent structures then intrinsically depend on the detection method and their parameters (timescales and thresholds). We opt for a threshold approach due to its simplicity and because it is not clear if the tracer approach is able to capture the dynamics of decoupled STBLs, which may develop due to top wind shear (Wang et al., 2012).

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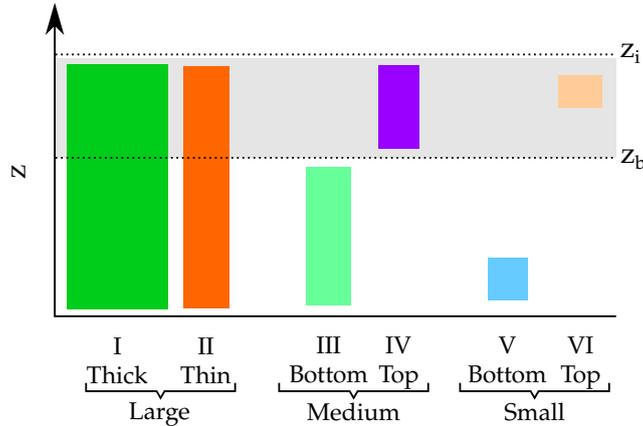
We consider two different sets of structures, one related to flow properties and one that is motivated by our interest in clouds. The first set consists of updrafts and downdrafts, defined by the vertical velocity anomalies  $w'(x, y, z) = w(x, y, z) - \overline{w}(z)$ , where  $\overline{w}(z)$  is the horizontal average of  $w(x, y, z)$  at height  $z$ . The second set corresponds to moister portions of the clouds, which we will call wet updrafts. These structures are defined by the profiles of liquid water content anomalies  $q'_l(x, y, z) = q_l(x, y, z) - \overline{q_l^c}(z)$ , constructed with the average  $\overline{q_l^c}$  using only the cloudy points (where  $q_l(x, y, z) > 0$ ); therefore,  $q'_l(x, y, z)$  is only defined for cloudy points. Even though the detection rule does not consider  $w$ , Section 3.5 will confirm that the vast majority of these structures are in fact updrafts. We choose the name “wet updrafts” instead of e.g. “cloud centers” as “wet updrafts” is more descriptive of the physical processes.

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To identify coherent structures, we first apply a spatial Gaussian filter. Per Text S1 in the the supporting information, the number of objects stabilizes for larger filter

189 radii. The chosen radii are 2 voxels for updrafts and downdrafts and 3 voxels for wet up-  
190 draft. For a generic filtered variable  $\phi = \{w, q_l\}$ , the points that belong to a partic-  
191 ular structure satisfy  $\phi' > \sigma_\phi(z)$  (or  $\phi' \leq -\sigma_\phi(z)$  in the case of downdrafts for  $w$ ),  
192 where  $\sigma_\phi(z)$  is the standard deviation of  $\phi$  at each vertical level (per Text S2 in support-  
193 ing information, a reduction of 10% in the threshold results in 5% higher contributions  
194 of large updrafts and downdrafts to the vertical velocity variance). For  $q_l'$ ,  $\sigma_{q_l}$  is com-  
195 puted using only the cloudy points. We detect wet updrafts rather than the whole cloud  
196 because the high cloud fraction fields that are characteristic of STBL would otherwise  
197 result in only one cloud object and would not represent the spatial “texture” of the cloud  
198 field. An object is composed of the detected points that are contiguous in any direction  
199 (if either faces, edges, or corners are connected). We also account for the periodicity of  
200 the LES domain by joining objects that are adjacent at the horizontal sides of the do-  
201 main.



**Figure 2.** Visual representation of the proposed object classification. The gray area represents the cloud layer.

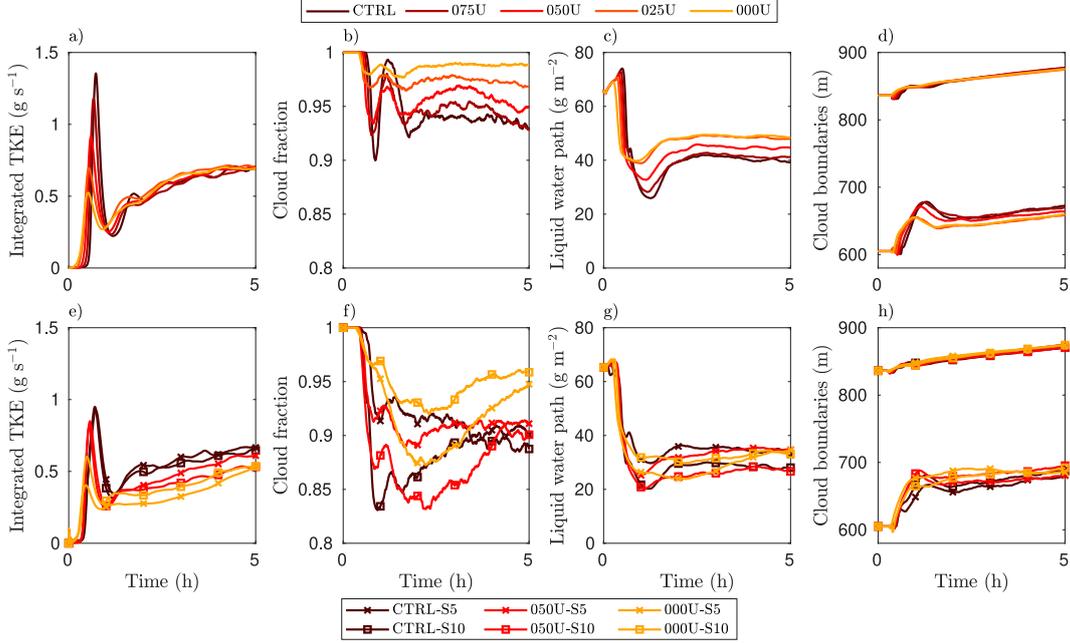
202 We classify the identified updraft and downdraft objects based on their geomet-  
203 rical properties as shown in Fig. 2. For each object, we compute its lowest height  $z_0$ , height  
204 span in the STBL  $\Delta z$ , total volume  $V$ , and an equivalent horizontal length scale  $L_h =$   
205  $\sqrt{V/\Delta z}$ . For updrafts and downdrafts, we find structures that span the whole STBL height,  
206  $z_i$ . We also find smaller structures occupying the subcloud or cloud regions. Therefore,  
207 we classify the structures as large ( $\Delta z > 0.5z_i$ ), medium ( $0.2z_i < \Delta z \leq 0.5z_i$ ), or  
208 small ( $\Delta z \leq 0.2z_i$ ). Furthermore, large structures are sub-classified by their hori-  
209 zontal size  $L_h$  as either thick ( $L_h > \overline{L}_h$ , category I) or thin structures ( $L_h \leq \overline{L}_h$ , cate-  
210 gory II), where  $\overline{L}_h$  is the average horizontal length of the large objects. Medium and small  
211 objects are sub-classified by their position in the STBL: bottom objects occupy the sub-  
212 cloud region ( $z_0 < z_b$ , categories III and V, where  $z_b$  is the mean cloud base height) and  
213 top objects occupy the cloudy region ( $z_0 \geq z_b$ , categories IV and VI), where  $z_b$  is the  
214 cloud base height. In summary, we have six geometric categories for the identified ob-  
215 jects that form updrafts and downdrafts, denoted as  $\{UD^I, \dots, UD^{VI}\}$  and  $\{DD^I, \dots, DD^{VI}\}$ .

### 216 3 Results and discussion

217 We first describe the effects of the different shear configurations on the general prop-  
218 erties of the STBL, and then analyze the spatial features and turbulent contributions  
219 of the updrafts, downdrafts, and wet updrafts using the proposed object identification  
220 method. We choose to keep the physical variables instead of non-dimensionalizing to pre-  
221 serve physical insights and due to an unclear choice of normalization variable. Other stud-

222 ies such as Schulz and Mellado (2018) or Salesky et al. (2017) only examine shear at the  
 223 top or the bottom and then the normalization approach is dictated based on the respec-  
 224 tive scales. But in our study both top and surface conditions are varied.

225 **3.1 Time evolution**



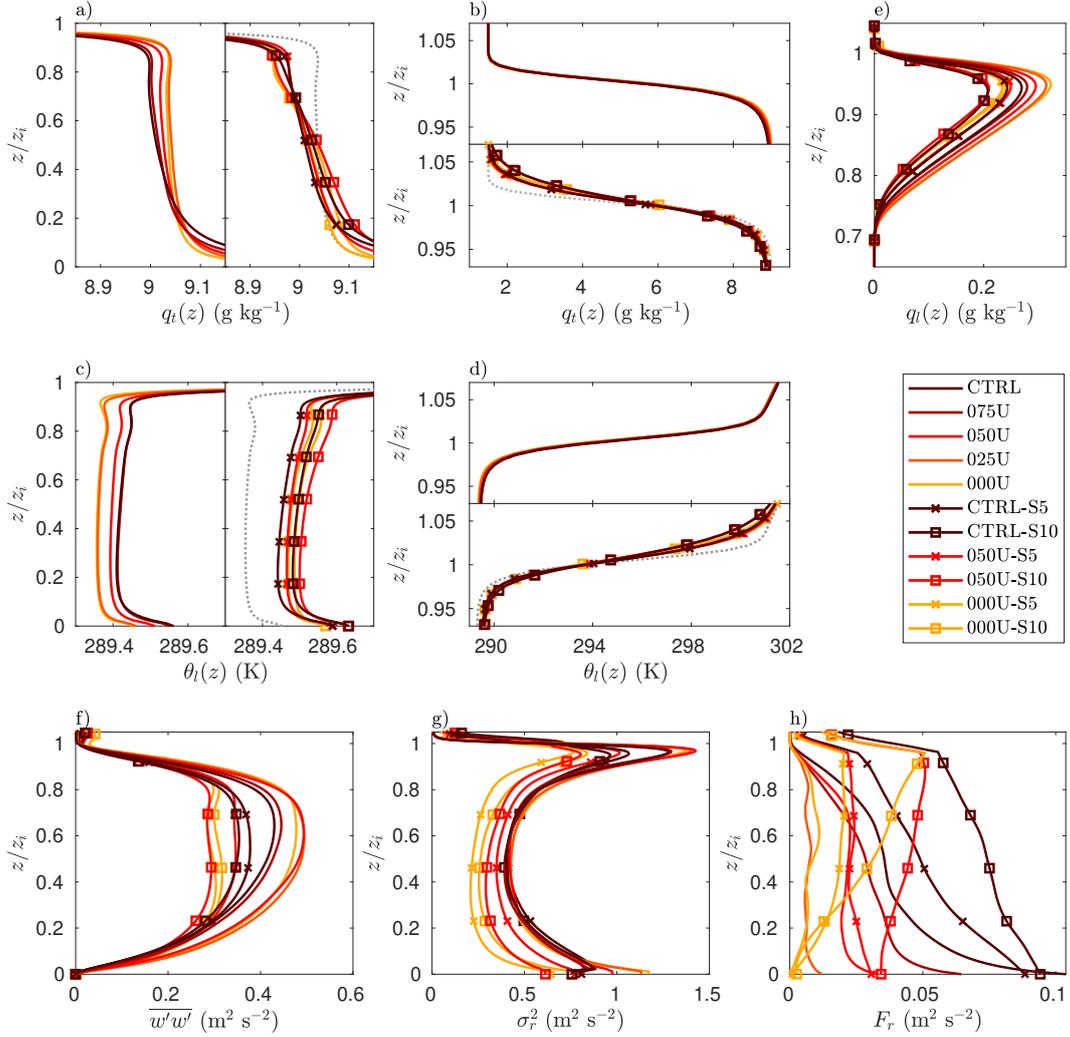
**Figure 3.** Time evolution of domain properties for all cases with a time resolution of 15 s, including (a,e) vertically integrated TKE, (b,f) cloud fraction, (c,g) LWP, and (d,h) mean cloud base and top heights.

226 For most cases, the evolution of vertically integrated TKE, cloud fraction and liq-  
 227 uid water path (LWP) reaches a stable state around hour 3, after the spin-up period (Fig. 3).  
 228 Only for the top sheared cases, cloud fraction still evolves at hour 3, stabilizing between  
 229 hours 4 and 5. Therefore, we perform the rest of the analyses at either a snapshot at hour  
 230 4 (for the structure detection) or the 15 minutes ending at hour 4 (representing 1 eddy  
 231 turnover time for turbulence statistics).

232 Shear has a strong effect on aggregated cloud properties. The surface shear cases  
 233 all show a link between stronger surface shear and reduced cloud fraction, liquid water  
 234 path (LWP), and cloud thickness (Fig. 3b-d,f-h). The top sheared cases show a similar  
 235 tendency where the presence of top shear reduces cloud fraction and LWP for the 050U-  
 236 S5,S10 and CTRL-S5,S10 cases. For the 000U-S5,S10 cases the results are inconsistent;  
 237 LWP is similar and cloud fraction slightly increases for stronger top shear.

238 **3.2 Thermodynamic profiles and turbulent fluxes**

239 We observe slight differences in the average thermodynamic profiles that help to  
 240 explain the differences in LWP, cloud thickness, and cloud fraction. The profiles of to-  
 241 tal water mixing ratio  $q_t(z)$  and liquid water potential temperature  $\theta_l(z)$  seem similar  
 242 for all cases but closer inspection reveals different slopes within the STBL and different  
 243 transitions in the inversion region. The 000U case has the most well-mixed profiles (Fig. 4a,c),  
 244 the coldest STBL (lowest  $\theta_l(z)$ ), and a sharp inversion (Fig. 4b,d). The well-mixedness



**Figure 4.** Vertical domain-averaged profiles of total water mixing ratio  $q_t(z)$  with a closer look at (a) the boundary layer and (b) the inversion region; liquid water potential temperature  $\theta_l(z)$  with a closer look at (c) the boundary layer and (d) the inversion region; and (e) liquid water mixing ratio  $q_l(z)$ . Turbulence statistics of (f) vertical velocity variance  $\overline{w'w'}$ , (g) net horizontal velocity variance  $\sigma_r^2$ , and (h) net horizontal momentum flux  $F_r$ . All profiles are 15 minute averages at hour 4. Gray dashed lines display the 000U for ease of comparison to top-sheared cases.

245 leads to a more adiabatic profile of liquid water mixing ratio  $q_l(z)$  (Fig. 4e), explaining  
 246 the greatest LWP in Fig. 3c.

247 All cases with only surface shear show a consistent sharp inversion region. Stronger  
 248 surface sheared cases display a warmer STBL, which could be caused by the reduced cool-  
 249 ing of a thinner cloud layer. The cases with top shear all display less well-mixed profiles  
 250 than the surface-sheared cases. This is an interesting result, as it shows that the top shear  
 251 indeed affects the rest of the STBL. The dilution of the inversion region (i.e. a less sharp  
 252 inversion) for the top sheared cases has been extensively reported and linked to reduced  
 253 cloud fraction due to increased mixing atop and entrainment into the STBL (McMichael  
 254 et al., 2019; Kopec et al., 2016; Mellado et al., 2014; Wang et al., 2012, 2008).

255 The turbulent fluxes also show distinct features of surface and top shear. Stronger  
 256 surface shear weakens  $\overline{w'w'}$  but not the sum of horizontal velocity variances  $\sigma_r^2 = \overline{u'u'^2} +$   
 257  $\overline{v'v'^2}$ , resulting in a similar TKE profile (Fig. 4f,g). Stronger top shear reduces both  $\overline{w'w'}$   
 258 and  $\sigma_r^2$ , and shows early signs of decoupling in the 000U-S5, 000U-S10, 050U-S5, and 050U-  
 259 S10 cases, as evidenced by the reduced vertical velocity variance in the center of the STBL  
 260 surrounded by two local maxima. The sum of horizontal momentum fluxes  $F_r = (\overline{u'w'^2} +$   
 261  $\overline{v'w'^2})^{1/2}$  as computed by Lin et al. (1996) exhibits signatures of both surface and top  
 262 shear, in that – considering the gradient of  $F_r$  – an increased downward momentum trans-  
 263 port develops for the 000U-S5, 000U-S10, and 050U-S10 cases. The buoyancy and heat  
 264 fluxes (not shown) are consistent with the differences in cloud thickness and LWP, with  
 265 stronger surface and top shear developing weaker in-cloud and subcloud buoyancy fluxes.

266 Finally, while the enhanced turbulent mixing for increased top shear is evident in  
 267 the thickening of the inversion region, we also compute the entrainment velocity as

$$268 \quad w_e = \frac{dz_i}{dt} + Dz_i \quad (4)$$

269 where the large scale divergence  $D = 3.75 \times 10^{-6} \text{ s}^{-1}$  (Stevens et al., 2005). Table 1  
 270 shows that the average entrainment rate is nearly identical for the cases without top shear,  
 271 in agreement with Fedorovich and Conzemius (2008), who argued that entrainment for  
 272 larger bottom shear was artificially enhanced by the development of top shear, which we  
 273 deliberately avoided by nudging the velocity in the whole domain. Top shear does not  
 274 show a clear effect on  $w_e$ : CTRL-S10 and 000U-S10 entrain stronger but CTRL-S5 and  
 275 050U-S5,S10 entrain weaker than the cases without top shear. Since the entrainment rate  
 276 is sensitive to the definition of  $z_i$  (Schulz & Mellado, 2018) (here: where the gradient of  
 277  $\theta_l$  is maximum), as well as to the cloud content in each case due to the cloud-radiation  
 278 feedback (Matheou & Teixeira, 2019), and since we cannot isolate this feedback, we can-  
 279 not confirm the expected increased entrainment velocity with stronger top shear (Schulz  
 280 & Mellado, 2018; Wang et al., 2012).

281 According to Schulz and Mellado (2018), who used the same base case in a DNS  
 282 study on top shear, a critical  $\Delta r \simeq 4w_{*,\text{cld}}$  marks the transition from convection-dominated  
 283 to shear-dominated flow in the top stratification layer. This criterion places all of our  
 284 S5 and S10 cases in the shear-dominated regime (Table 1), where buoyancy reversal could  
 285 occur and destabilize the cloud layer. Implications of the dominant physics regime for  
 286 the spatial arrangement of coherent structures are not obvious. Schulz and Mellado (2018)  
 287 also derived that a velocity jump of  $10 \text{ m s}^{-1}$  would achieve “critical depletion”, i.e. a  
 288 level of thinning that reduces the radiative cooling flux by 5%. In our simulations, all  
 289 the top-sheared cases reduce the LWP and weaken the radiative flux more than 5% when  
 290 compared to the 000U case (see Table 1, where we report the difference of  $\Delta F_{\text{rad}} = F_{\text{rad}}(z_i) -$   
 291  $F_{\text{rad}}(z_b)$ , the net longwave radiative flux divergence between the STBL top and mean  
 292 cloud base). A possible cause of depletion occurring below the proposed threshold of  $10 \text{ m s}^{-1}$   
 293 is that Schulz and Mellado (2018) assumed an adiabatic profile for  $q_l(z)$  for the deriva-  
 294 tion of the threshold, while in fact our simulations show that top shear causes the  $q_l(z)$   
 295 profile to be sub-adiabatic (Fig. 4e).

### 296 3.3 Spatial organization of the STBL

297 A first glimpse at the behavior of LWP and vertical velocity in the domain shows  
 298 a distinct spatial structure and features when varying surface and top wind shear (Figs.  
 299 5 and 6). In the 000U case without surface and top shear, updrafts develop as plumes,  
 300 displaying a network-like cellular organization in the regions where they form and a solid  
 301 cohesive form in the regions where they fully develop and terminate. In contrast, in the  
 302 strongly sheared CTRL case, subcloud roll structures appear (white aligned areas). We  
 303 will see that this behavior is captured in the updraft and downdraft object identifica-  
 304 tion in the next Section. In a CBL, the transition between cells and rolls occurs for  $\eta \simeq$

**Table 1.** Resulting parameters for the different cases averaged from 3:45-4:00 h

	Units	CTRL	075U	050U	025U	000U	CTRL -S5	CTRL -S10	050U -S5	050U -S10	000U -S5	000U -S10
$z_i$	m	870	871	870	868	869	867	864	868	864	868	868
$z_b$	m	666	665	658	651	653	672	680	675	686	686	685
$h$	m	205	206	212	217	216	196	184	193	177	183	182
$w_e$	mm/s	4.82	4.99	4.87	4.86	4.83	4.19	5.11	4.36	4.42	4.95	5.24
$w_*$	m/s	0.84	0.83	0.82	0.81	0.81	0.84	0.84	0.82	0.82	0.81	0.81
$w_{*,\text{cld}}$	m/s	0.57	0.59	0.62	0.63	0.64	0.52	0.50	0.54	0.49	0.55	0.55
$u_*$	m/s	0.32	0.25	0.18	0.11	0.01	0.30	0.31	0.17	0.19	0.03	0.05
$u_{*,\text{top}}$	m/s	0.07	0.05	0.05	0.04	0.04	0.16	0.24	0.15	0.22	0.14	0.22
$\Delta r$	m/s	0.10	0.06	0.02	0.002	0.02	5.7	10.4	5.0	9.7	4.8	9.3
$\Delta r/w_{*,\text{cld}}$	-	0.18	0.09	0.03	0.004	0.03	10.9	20.8	9.4	19.9	8.7	16.9
$L_{\text{ob}}$	m	-121	-61.7	-24.1	-5.45	-0.001	-95.8	-105	-21.2	-24.8	-0.11	-0.53
$\eta$	-	7.18	14.1	36	159	653,850	9.05	8.25	40.9	34.7	7,679	1,630
$\frac{\Delta F_{\text{rad}}}{\Delta F_{\text{rad}, 000U}}$	%	90.2	92.3	95.1	97.0	100	86.1	83.8	88.1	82.0	87.7	91.0

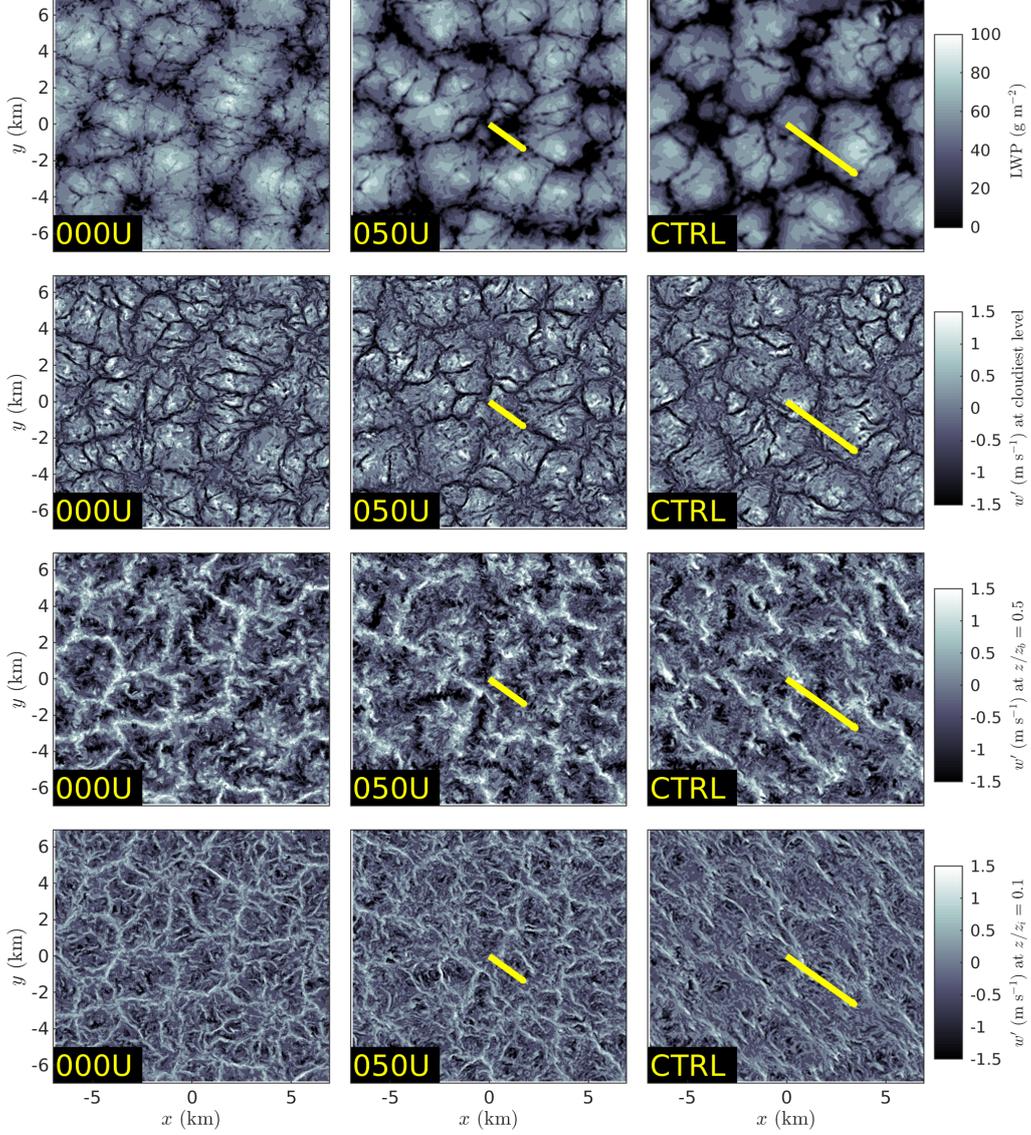
15–20 (Salesky et al., 2017), which is in agreement with our simulations: only the CTRL ( $\eta = 7.2$ ) and 075U ( $\eta = 14.1$ ) cases show evidence of rolls (Fig. 5 and Table 1). The flow near the top does not develop streaky structures when the top shear is strong, since the stratified shear layer acts differently than a wall. The cellular shape near the top shows weaker negative velocities when the top shear is strong and some alignment in the direction of the wind shear. This is also reflected in the shape of the clouds when looking at LWP (top row), with a regular cellular pattern in most cases and cloud elements aligning in the direction of the wind only for the CTRL-S10 case. This suggests that both strong surface and top shear are necessary to influence the shape of the cloud field. Downdraft regions display a network-like structure near the top of the ABL, but upon entering the subcloud region downdraft regions transition into occupying the free spaces left by the updrafts.

Fig. 7 shows the cloud top height  $z_t$  field (the last height at which we encounter liquid water), where the strongly top-sheared cases (bottom row) present signs of gravity waves. The observed wavelength is of the order of 1 km, which matches the Kelvin-Helmholtz instability theory for combined buoyancy and shear (Drazin, 2002): the theoretical critical wavelength is  $\lambda = \frac{\pi \theta_{v0} \Delta r^2}{g \Delta \theta_v}$ , where  $\Delta r$  and  $\Delta \theta_v$  are the initial values of the wind speed magnitude and virtual potential temperature jump across the inversion region. For strong top shear (the S10 cases),  $\lambda = 1.28$  km (yellow pattern in Fig. 7), agrees with the pattern seen at  $z_t$ . Meanwhile, for weaker top shear (S5 cases), the expected  $\lambda = 312$  m is not observed in  $z_t$  for our simulations. The chosen LES grid spacing should be able to capture the gravity waves, although not with great resolution;  $\Delta x = 35$  m places 6 points within a wave period of 300 m oriented at a  $45^\circ$  angle, while the observed shear layer of thickness  $O(30$  m) would also be resolved by 6 points in the vertical. There could also be a critical length scale for waves to affect the cloud top; more top-shear cases would need to be analyzed to confirm this hypothesis. Nevertheless, gravity waves shaping the cloud top enhance the variability of LWP.

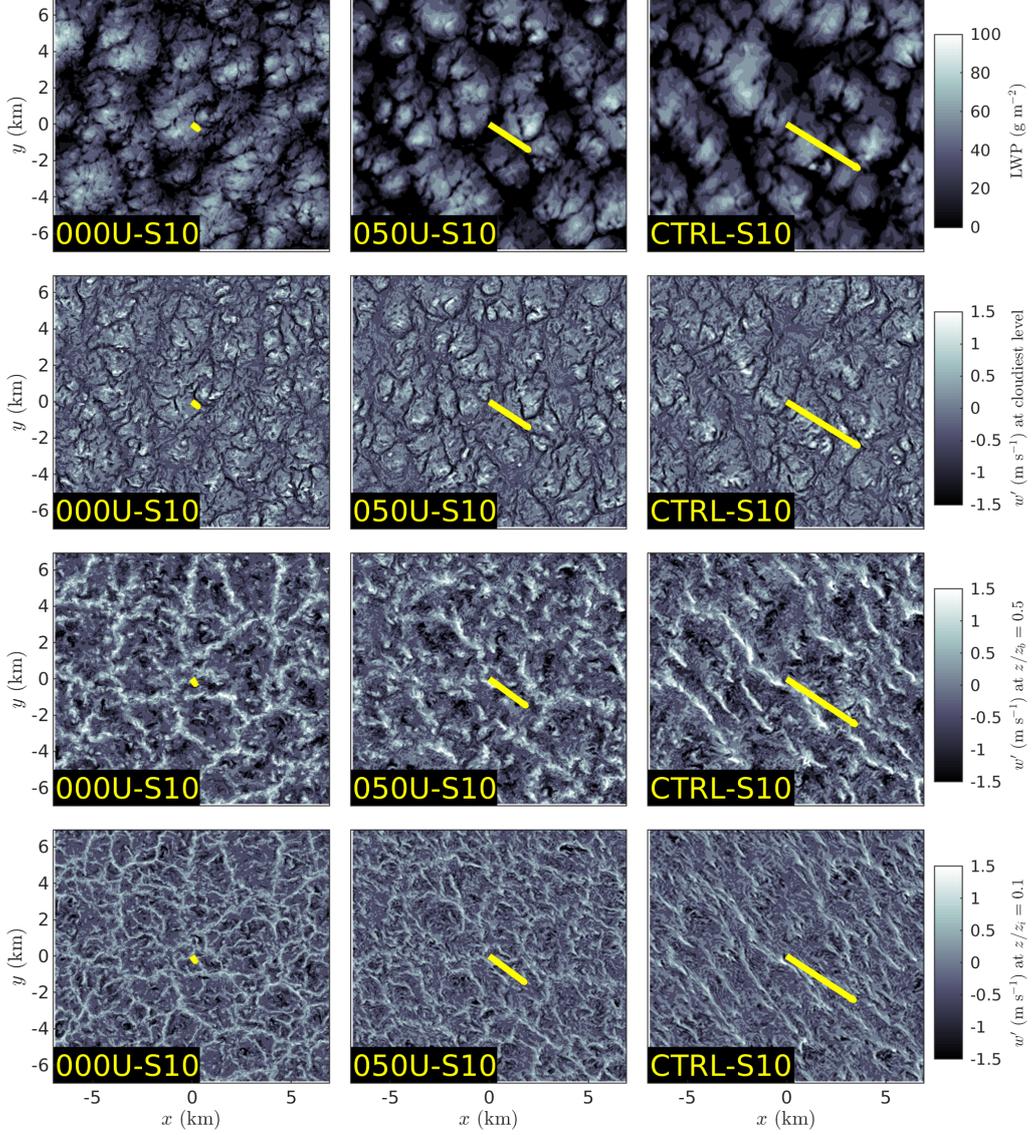
### 3.4 Updraft and downdraft objects

#### 3.4.1 Spatial distribution and geometric properties

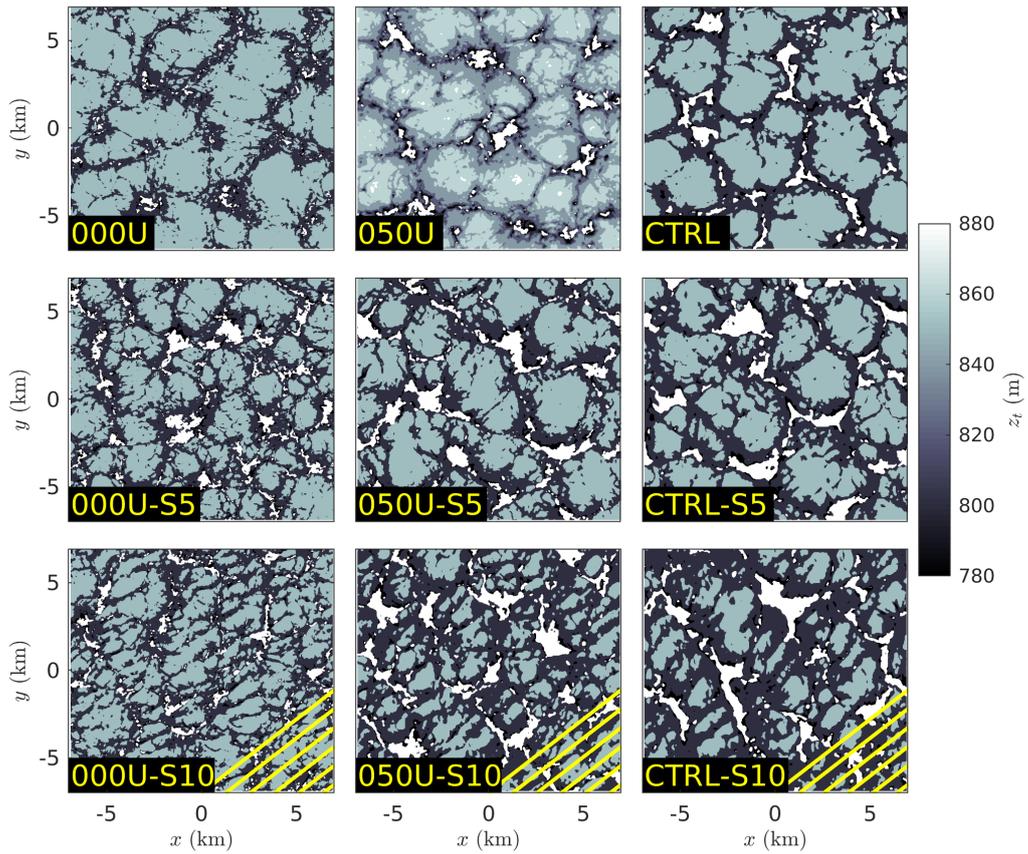
Fig. 8 shows a three-dimensional visualization of the objects identified as large (categories I and II) for the cases CTRL, 000U, CTRL-S10, and 000U-S10, with detailed views of portions of updraft and downdraft objects for each case. A clear feature of surface shear



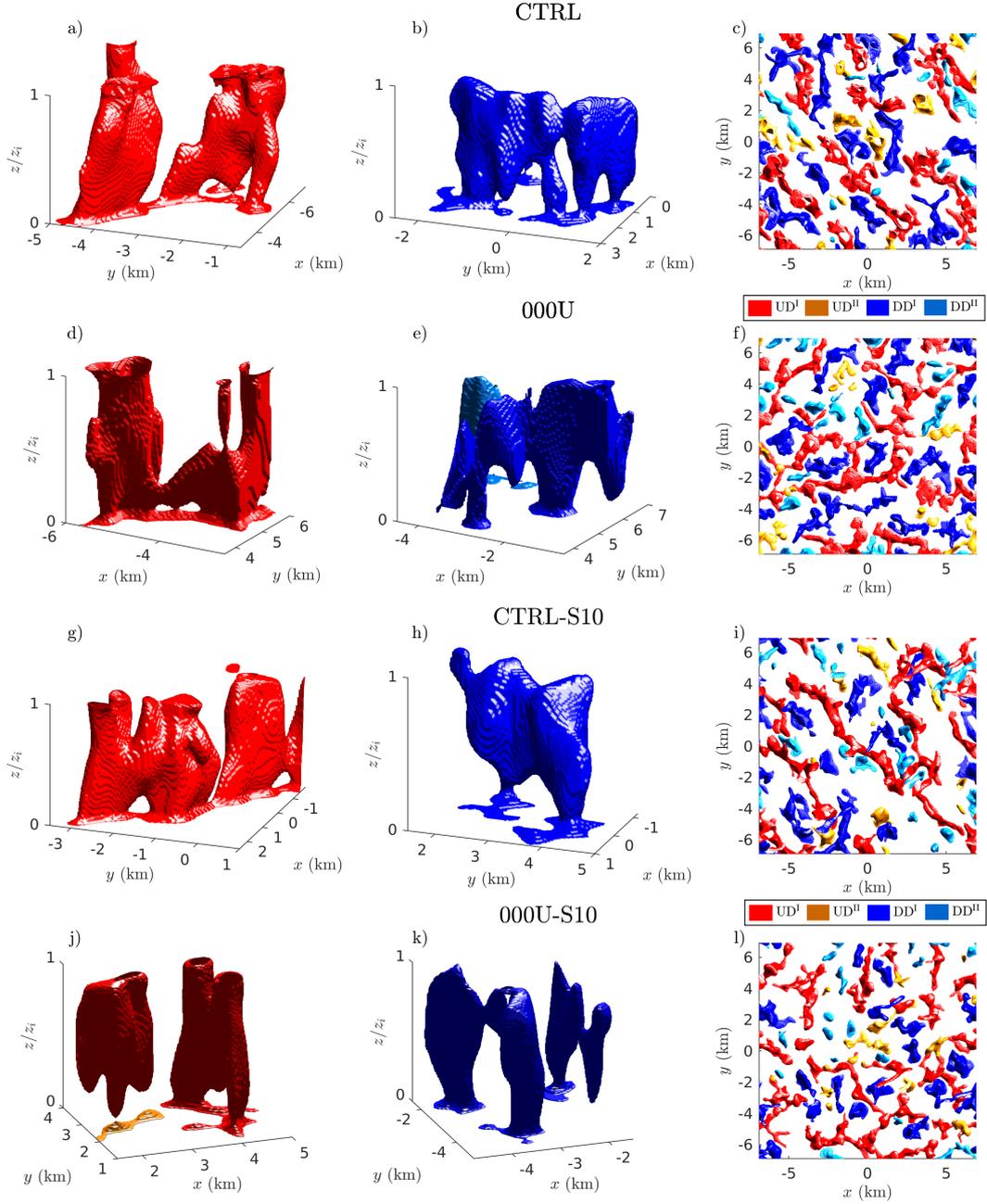
**Figure 5.** Spatial snapshots at hour 4 for the cases with no top shear. LWP (top) and vertical velocity near the surface ( $z = 0.1z_i$ , bottom), in the subcloud region ( $z = 0.5z_b$ , middle bottom), and in the cloud region (at the height of maximum cloud fraction, middle top). Yellow lines show the velocity vector.



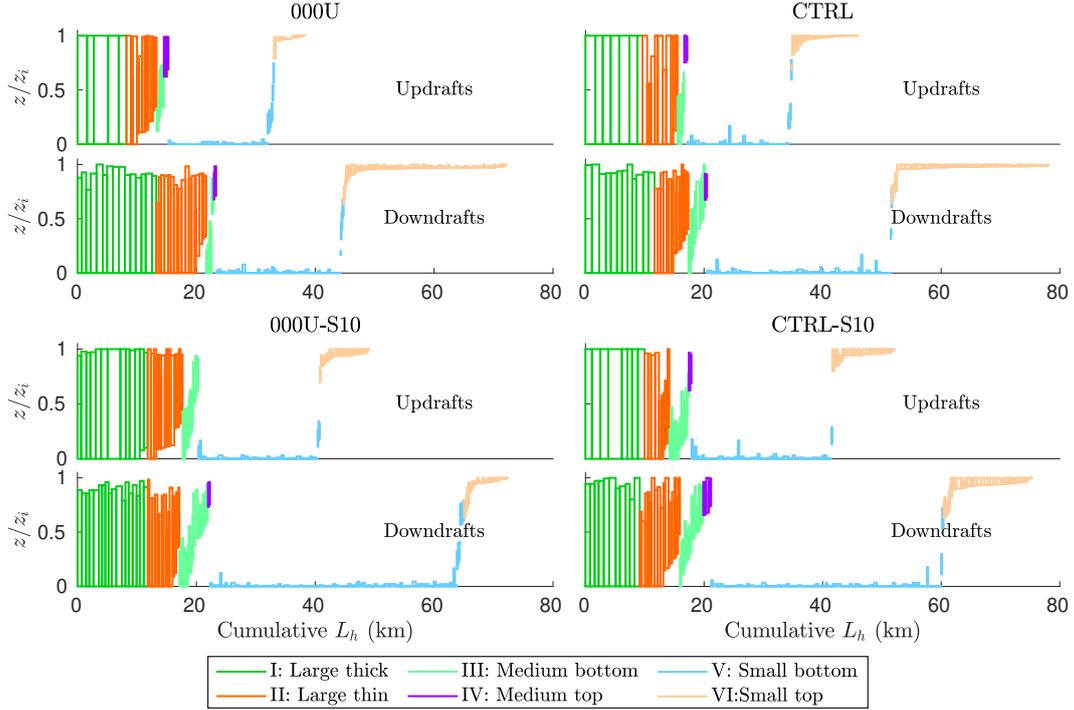
**Figure 6.** Spatial snapshots at hour 4 for strongly top sheared cases. LWP (top) and vertical velocity near the surface ( $z = 0.1z_i$ , bottom), in the subcloud region ( $z = 0.5z_b$ , middle bottom), and in the cloud region (at the height of maximum cloud fraction, middle top). Yellow lines show the velocity vector.



**Figure 7.** Spatial snapshot of cloud top heights (last height with liquid water content) for different cases at hour 4. Yellow lines mark the theoretical wavelength pattern expected for the strongly sheared cases. Colormap limits do not cover the full range of observed values to enhance the visual contrast.



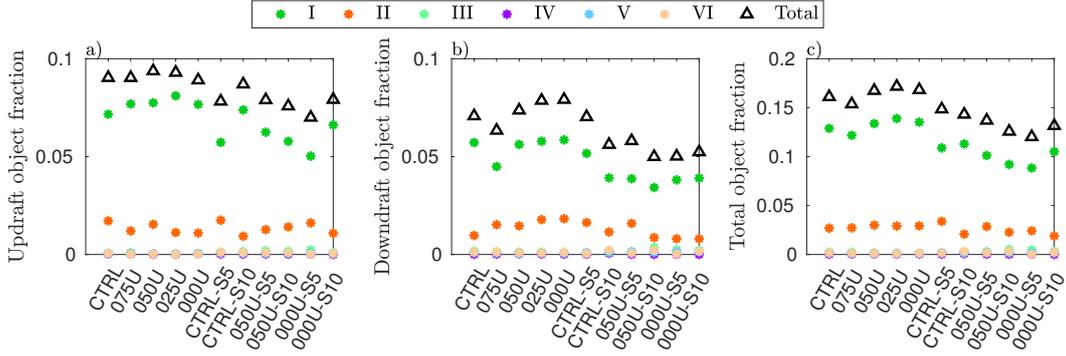
**Figure 8.** Three-dimensional visualization of identified large updraft and downdraft objects in the CTRL (top row), 000U (second row), CTRL-S10 (third row), and 000U-S10 (bottom row) cases: a,d,g,j) show portions of the domain including a large updraft object, b,e,h,k) a large downdraft object, and c,f,i,l) show a top view of the large updraft and downdraft objects identified in the full domain.



**Figure 9.** Distribution of updrafts and downdraft objects by case. The different object categories are labeled by color, and their distribution in space is described by their vertical position and cumulative horizontal length  $L_h$ . Objects I and II correspond to large objects spanning the whole STBL separated into thicker and thinner objects, III and IV are medium objects separated by their origin into bottom and top objects, and V and VI are small objects also separated into bottom and top objects.

337 is the change in vertical inclination of the updraft objects but the downdraft objects are  
 338 not inclined. Meanwhile, top shear modifies the top region of updraft objects, with a more  
 339 rounded shape likely to be caused by the enhanced mixing at the top. Updrafts objects  
 340 can split into several vertical branches in the upper part of the STBL that are typically  
 341 connected in the surface and subcloud regions while downdrafts tend to be connected  
 342 near cloud top. The network structure mentioned in the previous section is not observed  
 343 in the large objects. Large updraft objects organize in the direction of the wind for the  
 344 CTRL case, which gives rise to the overall roll structure in the STBL.

345 Fig. 9 shows the distribution of the different objects within the STBL by their assigned  
 346 category. The general distribution of the objects is similar for all cases. A key differ-  
 347 ence is the development of more medium sized updraft objects (III and IV) with stronger  
 348 surface shear, as well as the reduction of top small objects (VI) for downdrafts with stronger  
 349 top shear, similarly to the change in the top of the updraft objects (Fig. 8g,j), likely due  
 350 to enhanced mixing. Large updraft objects (I and II) tend to always reach the top of the  
 351 STBL, and the thickest large objects (I) are connected to the surface in all cases, while  
 352 some thinner large objects (II) terminate or start around  $z/z_i$  of 0.1 to 0.3. Similarly,  
 353 large downdraft objects (I and II) tend to reach the surface, but originate at varying heights  
 354 near the STBL top, mostly around  $z/z_i = 0.9$ . Even though we observed early signs  
 355 of decoupling in Fig. 4, there is no manifestation of decoupling in the updraft and down-  
 356 draft objects.



**Figure 10.** Volume fractions per object type in the STBL for (a) updrafts, (b) downdrafts, and (c) total of updrafts and downdrafts.

357 The actual volume occupied by updrafts and downdrafts combined does not sur-  
 358 pass 20% of the total STBL (Fig. 10c), with only the large objects (I and II) having a  
 359 significant volume fraction. Updrafts always occupy more space than downdrafts, and  
 360 both updraft and downdraft volume fractions decrease with top shear. We find that the  
 361 total volume fraction of updrafts and downdrafts is strongly correlated to both LWP and  
 362 the maximum vertical velocity variance in the profile, with correlation coefficients of 89.4%  
 363 and 96.4%, respectively.

### 3.4.2 Contribution to turbulent fluxes

364  
 365 Updrafts and downdrafts contribute significantly to turbulent fluxes. We compute  
 366 the contributions by conditionally sampling the covariance contained in each of the dif-  
 367 ferent categories of objects (I to VI), finding that the large objects (I and II) contribute  
 368 the most, as expected because of their larger volume fraction. Fig. 11h-l shows the mean  
 369 ratios  $R_{w'w'}$ ,  $R_{w'\theta'_v}$ ,  $R_{w'q'_t}$ ,  $R_{w'\theta'_t}$ , and  $R_{F_r}$ , computed as vertical averages of the portion  
 370 of total turbulent fluxes explained by the large objects (I and II), for all cases. On av-  
 371 erage, 33% of the total vertical velocity variance  $\overline{w'w'}$ , 53% of the total buoyancy flux,  
 372 and 52% of the net momentum flux are contained in the large objects (I and II). The  
 373 contribution of large downdrafts (I and II) to  $\overline{w'w'}$  weakens with stronger top-shear (Fig. 11h),  
 374 which can be explained by the reduced cloud fraction and radiative cooling caused by  
 375 the enhanced top mixing. This is demonstrated when comparing the CTRL, CTRL-S5  
 376 and CTRL-S10 cases: while the updraft contribution remains identical, the downdraft  
 377 portion decreases with stronger top shear, causing an overall reduction of  $\overline{w'w'}$ . The mean  
 378 vertical velocity of the UD<sup>I</sup> and DD<sup>I</sup> objects also decreases with top-shear (not shown),  
 379 with downdraft velocities weakening more than updrafts. For the total  $\overline{w'q'_t}$  the large ob-  
 380 jects (I and II) account for 42% of the fluxes, on average, and for  $\overline{w'\theta'_t}$ , large objects ac-  
 381 count for 53%.

382 Some of these ratios can be misleading, as (i) they are vertical averages; (ii) the  
 383  $\overline{w'\theta'_t}$  and  $\overline{w'\theta'_v}$  profiles change sign; (iii) ratios of small values are not accurate and have  
 384 been omitted in the vertical averaging; and (iv) the  $\overline{w'q'_t}$  profile does not change sign but  
 385 can have positive or negative contributions. Instead the complete picture of the contri-  
 386 butions should be used for interpretation (see Figure S3 for detailed profiles). The chal-  
 387 lenges in computing the large object contributions may explain why we obtain different  
 388 values than Brient et al. (2019), who reported 75% for moisture and 79% for heat fluxes.  
 389 Other reasons include the use of a different base case, and intrinsic differences in their  
 390 tracer methodology and parameter selection. While there are known differences in the  
 391 detection of updraft and downdraft regions between tracer and field variable methods

(Couvreur et al., 2010), these differences are expected to be greater in the surface and top regions. Even in the middle of the STBL, the updraft and downdraft contribution is smaller in our case.

A summary of the effect of wind shear conditions on different properties of the STBL is shown in Fig. 11. First, cloud fraction and LWP are sensitive to both surface and top wind shear (Fig. 11a,b), with a general trend of less clouds with increased surface and top wind shear. As discussed previously, the trend of entrainment rate is not clear in the cases studied (Fig. 11c) even though there is evidence of increased mixing in the growth of the shear layer. We also saw a decrease in vertical velocity variance with surface and top shear (Fig. 11d), where interestingly the maximum velocity variance  $\overline{w'w'}_{\max}$  is strongly correlated to LWP (correlation coefficient of 95%). Well-mixedness was also strongly affected by shear; Fig. 11e,f shows the mean slope of  $q_t$  and  $\theta_t$  calculated between  $0.2z_i$  and  $0.8z_i$ , with well-mixed conditions prevailing for weaker surface and weaker top shear. Another interesting finding is that the total volume fraction of all the updraft and downdraft objects is sensitive to shear conditions; moreover, this dependence strongly correlates to  $\overline{w'w'}_{\max}$  due to their definition depending on vertical velocity (corr. coef. of 97%). Lastly, the contributions of the large (I and II) updraft and downdraft objects to the total turbulent fluxes in the STBL are also affected by both surface and top wind shear in different ways, with only the mean ratio  $R_{w'q'_t}$  seeming independent of top wind shear (Fig. 11h-l).

### 3.5 Wet updrafts

Lastly, we analyze the position of the identified wet updrafts as shown in Fig. 12. For the weakly top-sheared cases, the cloud centers are more uniformly distributed in height and size than in the strongly top-sheared cases. One possible cause is that the enhanced mixing caused by top shear inhibits the development of smaller cloud centers near the top of the STBL, similarly to updrafts changing their upper shape with top shear (Fig. 8a,d,g,j).

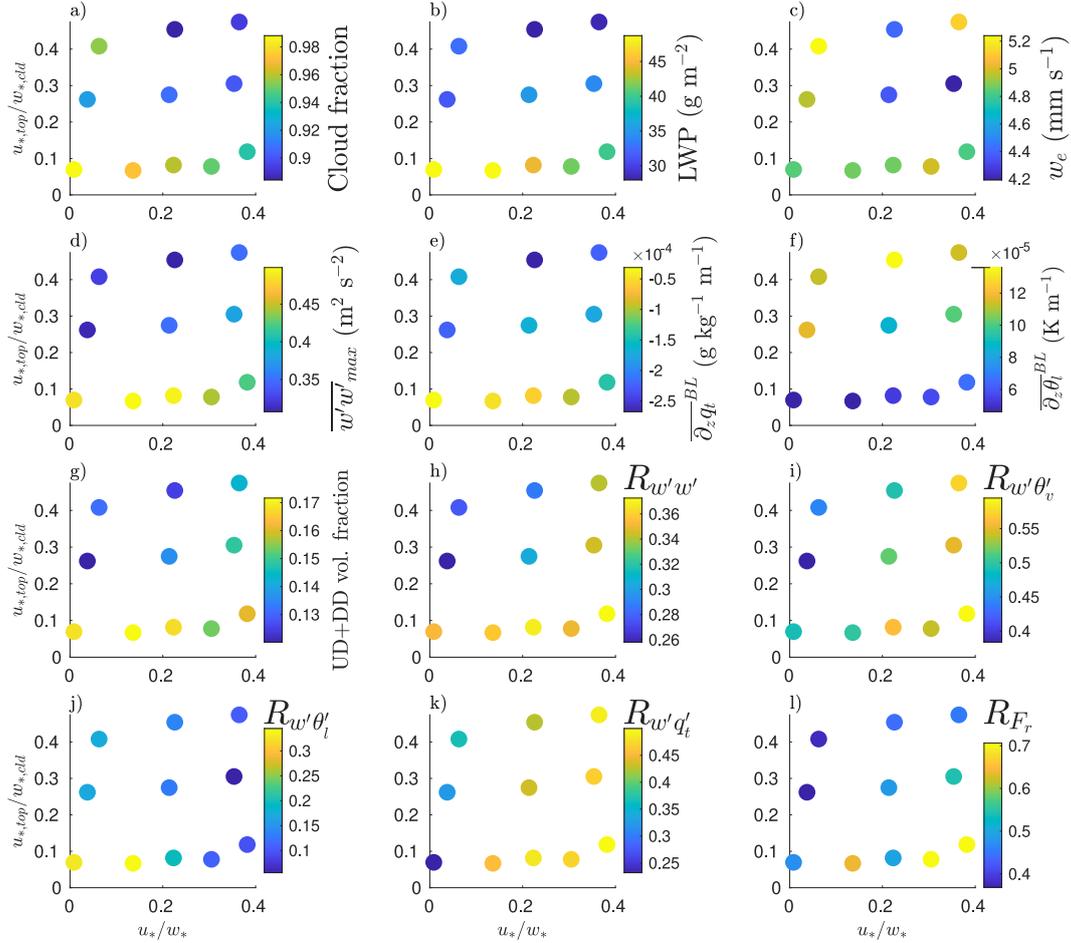
Fig. 13 confirms that the identified wet updrafts are collocated with updrafts: between 50% and 60% of the cloud centers volume is contained in updraft objects, while nearly none is contained in downdraft objects. This is not obvious as the definition of cloud center objects is solely based on LWP and does not contain any condition for vertical velocity.

## 4 Conclusions

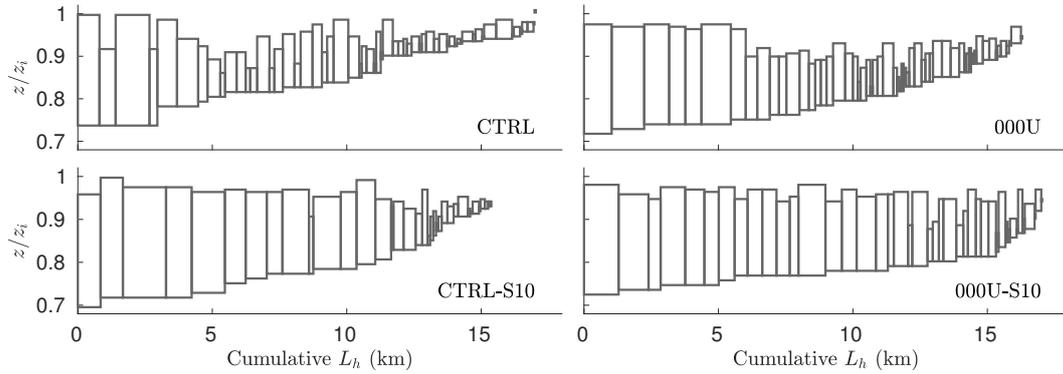
We analyzed the effect of surface and top wind shear on the spatial organization of a Stratocumulus-topped boundary layer. We used LES simulations of the DYCOMS II RF01 base case with wind profile variations. We also performed a spatial identification of coherent updrafts, downdrafts, and wet updraft objects, classified them by location and size distribution, and described how they are affected by shear.

Surface shear affects the spatial organization of the clouds as well as the vertical profiles that characterize the STBL. Weak surface shear organizes the updrafts in plume-like structures while strong surface shear creates rolls. The former causes strongly well-mixed thermodynamic profiles that result in an increased cloud fraction and LWP. Rolls are observed for values of  $-z_i/L_{ob} < 15$ , in agreement with the transition for CBLs (Salesky et al., 2017). The effect of weaker surface shear is opposite to the reduced cloud fraction for shallow cumulus clouds (Park et al., 2017).

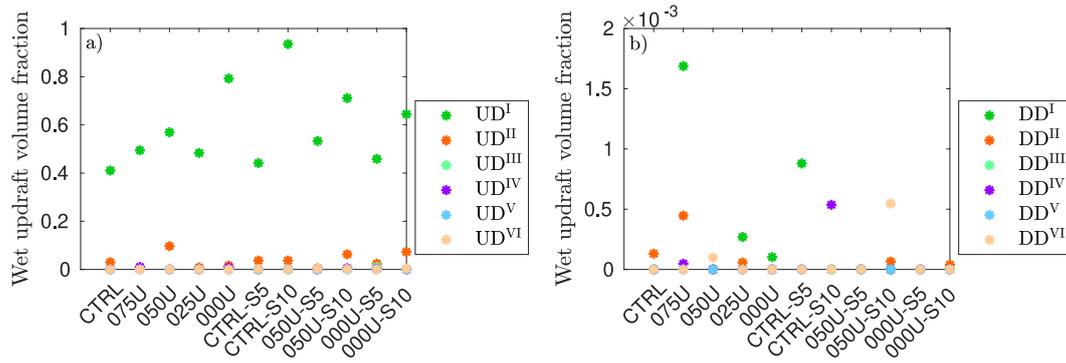
Stronger top shear also decreases cloud fraction and LWP by thinning the cloud from the top, as expected from previous studies (Wang et al., 2012; Schulz & Mellado, 2018). Cloud thinning also weakens the downdraft contribution to the turbulent fluxes,



**Figure 11.** Summarized results at hour 4, presented in the parameter space of surface wind shear  $u_*/w_*$  and top wind shear  $u_{*,top}/w_{*,cld}$  for (a) cloud fraction, (b) LWP, (c) entrainment rate  $w_e$ , (d) maximum velocity variance  $\overline{w'w'}_{max}$ , (e) the mean slope of  $q_t$  between  $0.2z_i$  and  $0.8z_i$ ,  $\overline{\partial_z q_t}^{BL}$ , (f) the mean slope of  $\theta_l$  between  $0.2z_i$  and  $0.8z_i$ ,  $\overline{\partial_z \theta_l}^{BL}$ , (g) updraft object volume fraction in the STBL; mean contributions of large objects to the (h) vertical velocity variance  $R_{w'w'}$ , (i) buoyancy flux  $R_{w'\theta'_v}$ , (j) heat flux  $R_{w'\theta'_l}$ , (k) moisture flux  $R_{w'q'_t}$ , and (l) net horizontal momentum flux  $R_{F_r}$ .



**Figure 12.** Distribution of wet updraft object properties by case. The wet updraft properties are described by their vertical span and cumulative horizontal length  $L_h$ . Objects are ordered by their base height.



**Figure 13.** Volume fraction of cloud centers contained in each of the different classes of a) updrafts and b) downdrafts.

440 with indications of early decoupling observed for the cases with stronger top than sur-  
 441 face shear. Gravity waves were also observed for strong top shear, shaping the top of the  
 442 cloud layer and flow near the inversion region. Combined strong surface and top wind  
 443 shear caused the clouds to be elongated in the direction of the mean wind.

444 Classifying the updraft and downdraft objects by size and position shows that ob-  
 445 jects that span the whole STBL dominate in terms of volume and are responsible for a  
 446 large portion of the turbulent fluxes, explaining –on average for all cases– 33% of the to-  
 447 tal vertical velocity variance and 53% of the total buoyancy flux. This confirms a clas-  
 448 sic assumption used in the development of turbulence parameterizations. When surface  
 449 shear is strong, updrafts tilt in the direction of the wind, and updrafts and downdrafts  
 450 connect in the mean wind direction. When top shear is strong, the enhanced mixing changes  
 451 the shape of the top of updrafts and inhibits the presence of wet updrafts near the top  
 452 of the STBL.

453 Future work should examine other definitions of updrafts and downdrafts, other  
 454 realistic conditions that can affect the organization of coherent structures in the STBL,  
 455 as well as understanding the dynamics of these coherent structures during the course of  
 456 the day or along Lagrangian trajectories.

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 463 10.5281/zenodo.4116253](https://doi.org/10.5281/zenodo.4116253). The authors declare no conflict of interest.

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Figure 1.

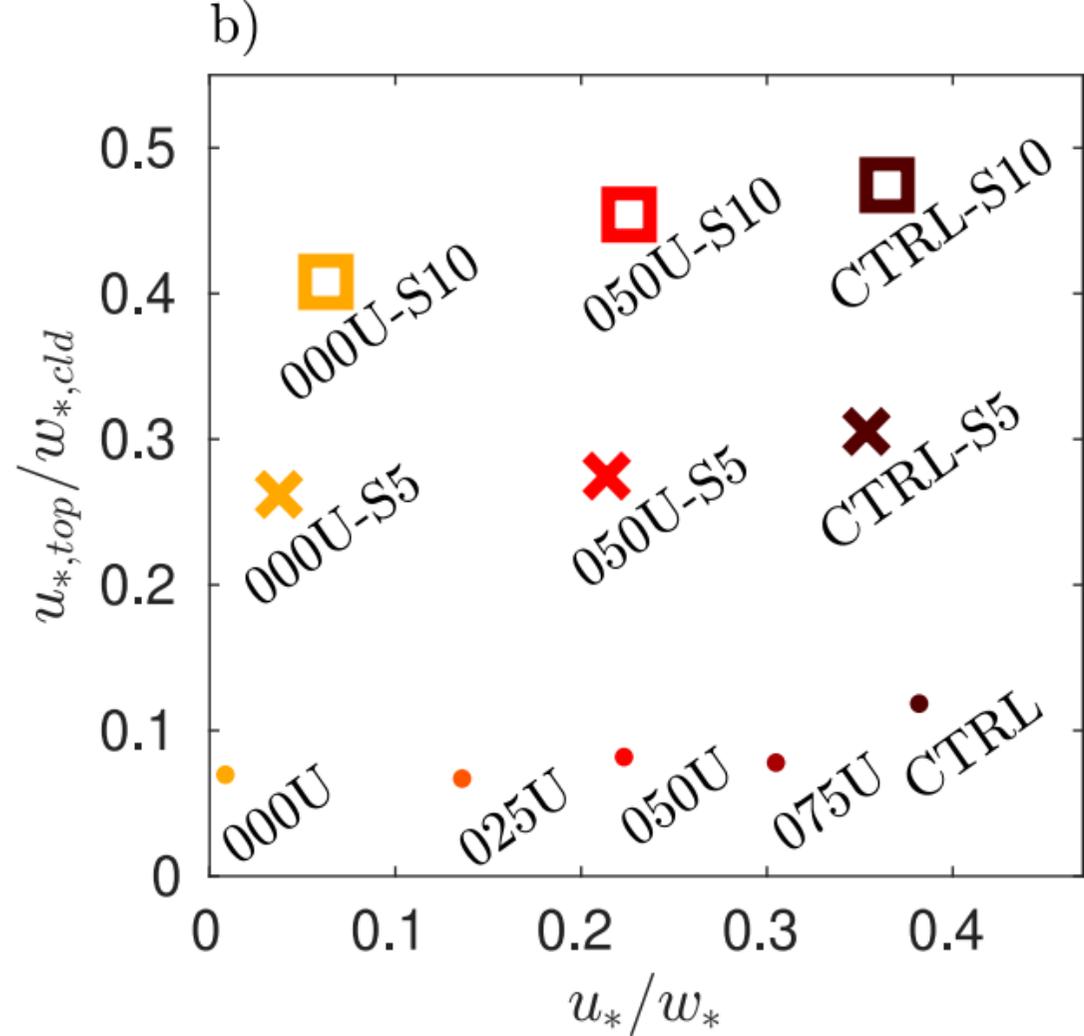
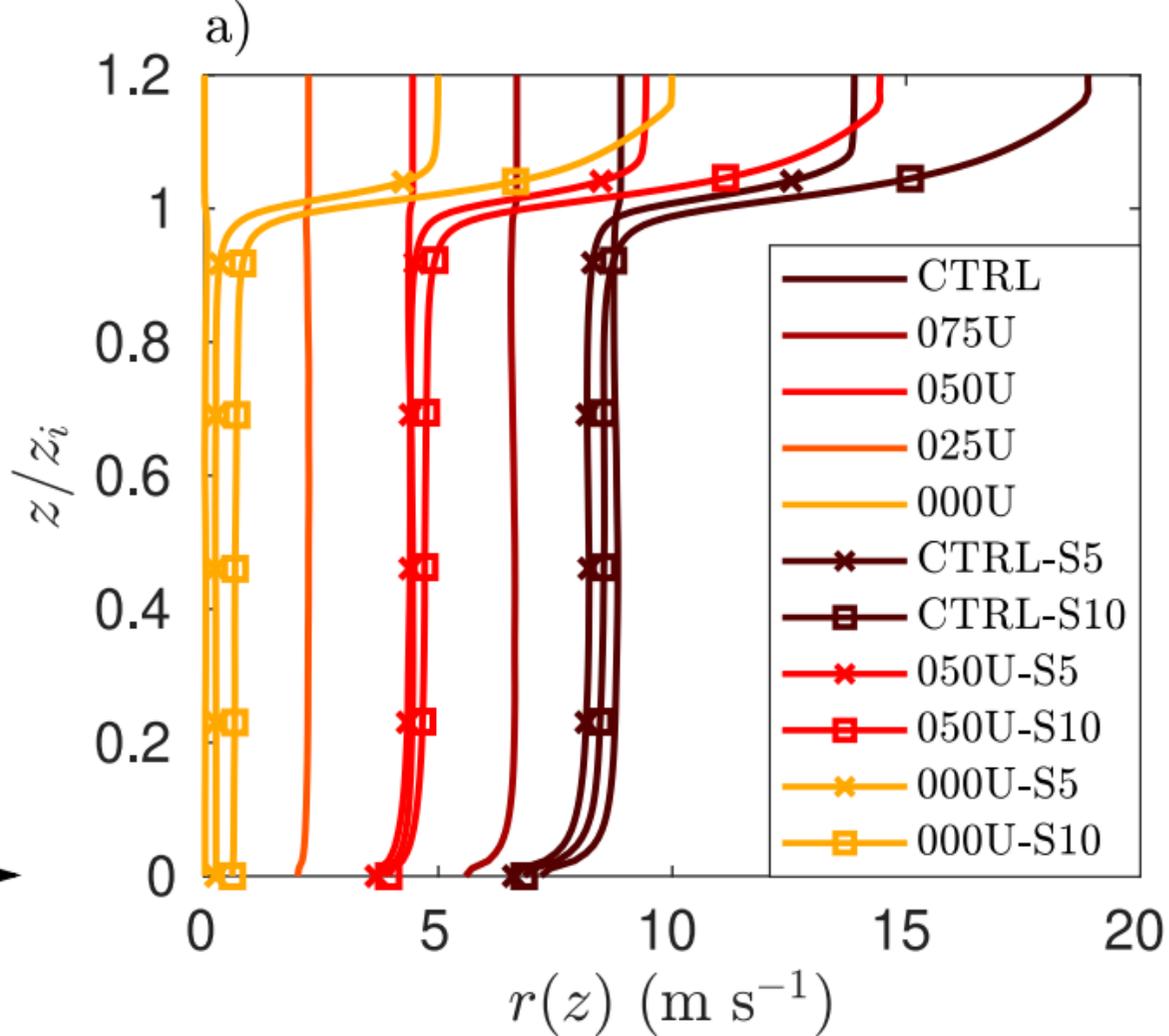
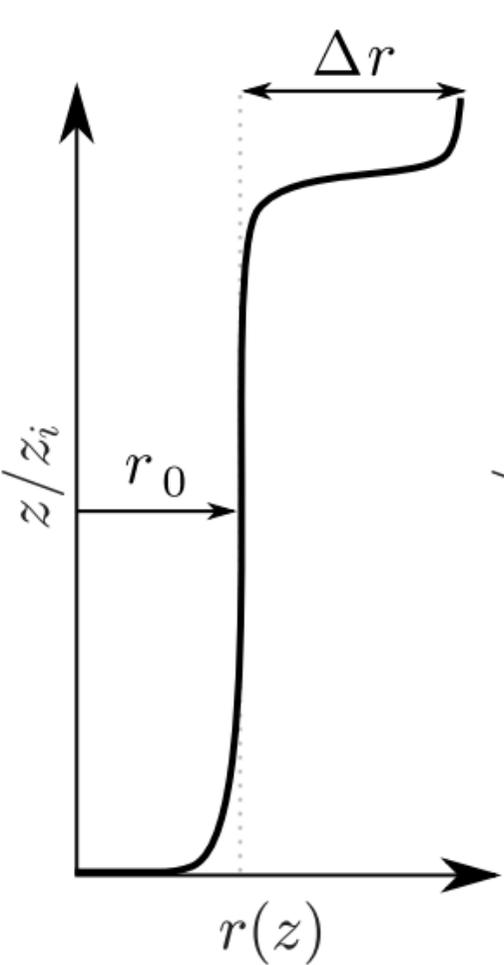


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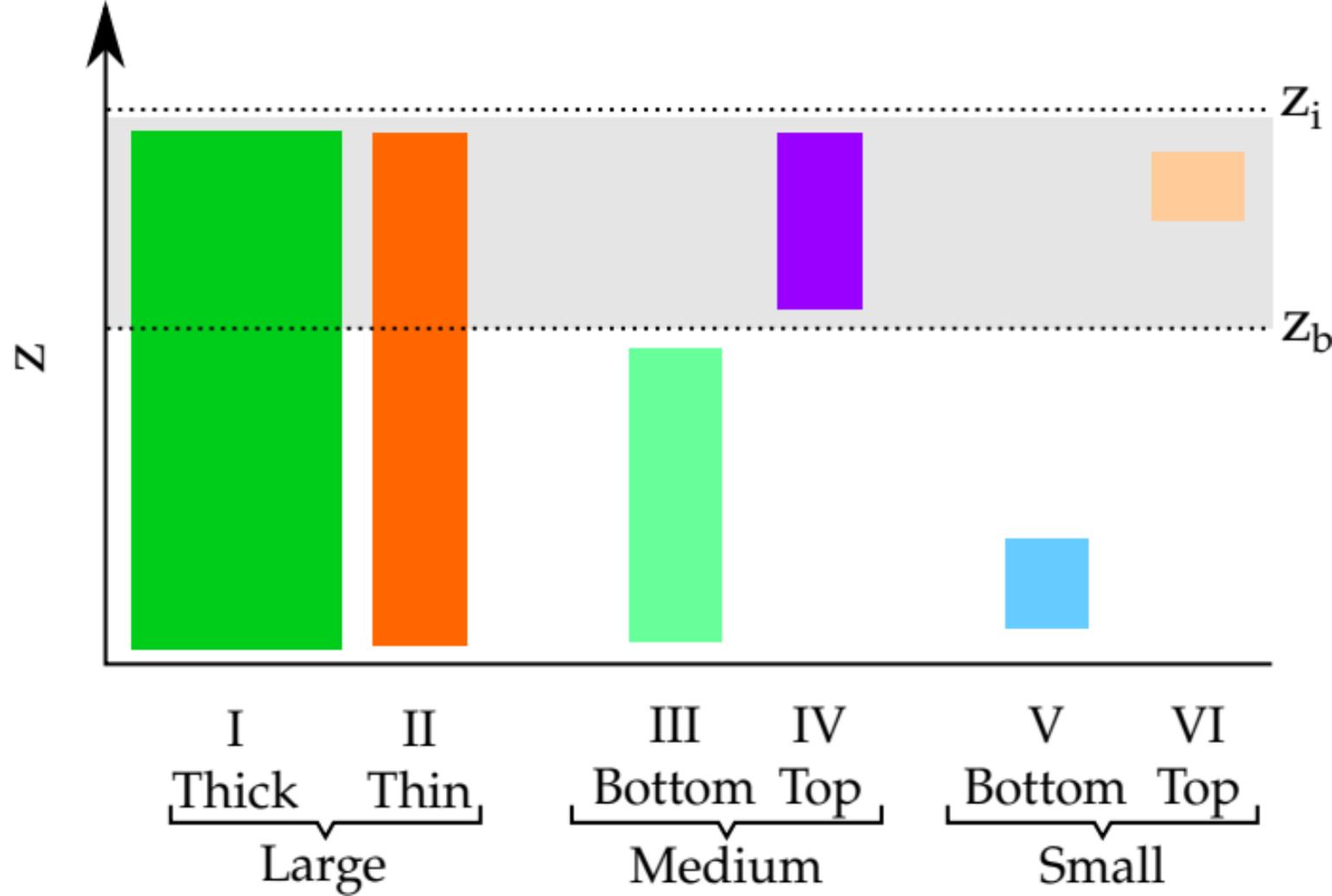


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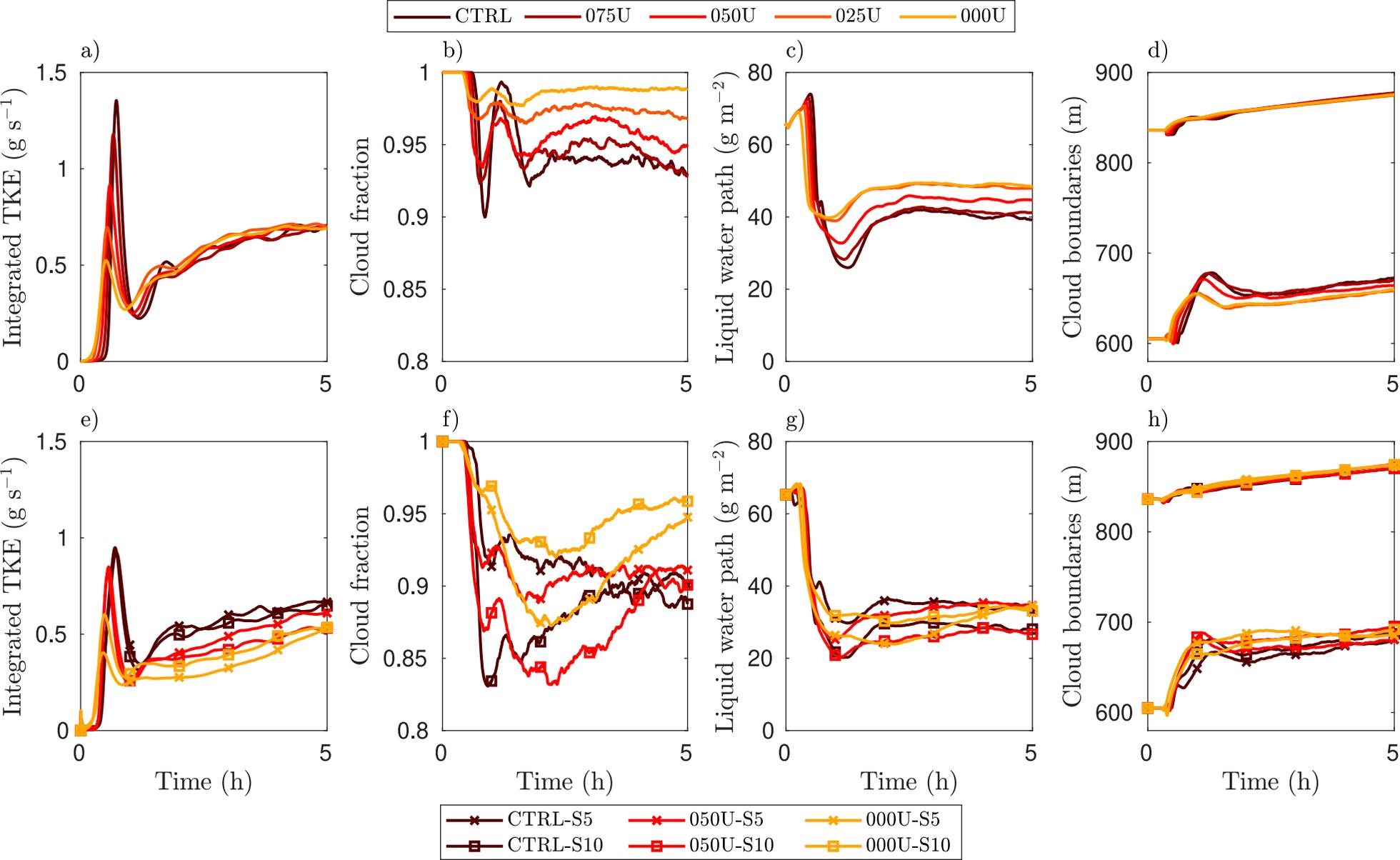


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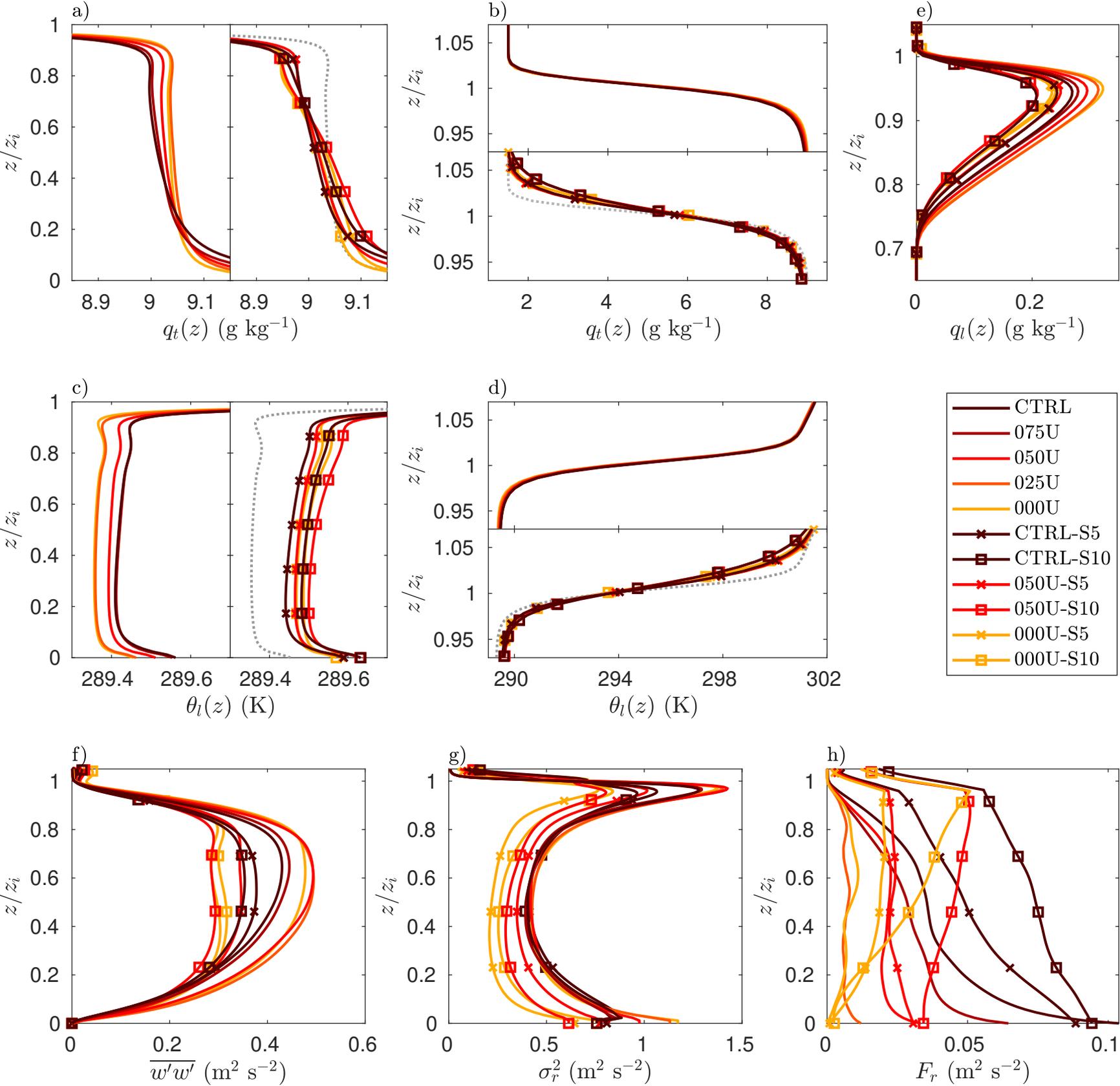


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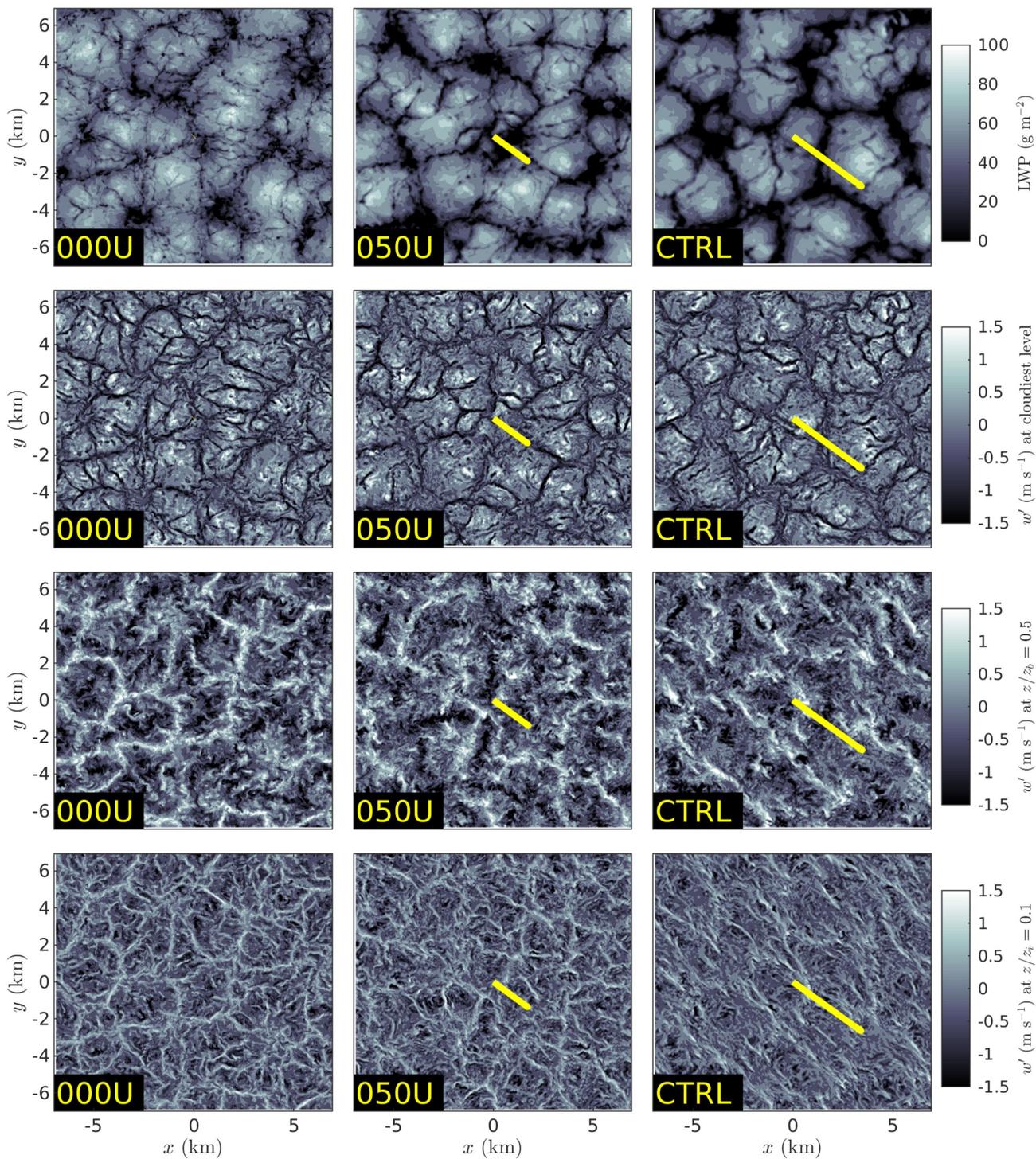
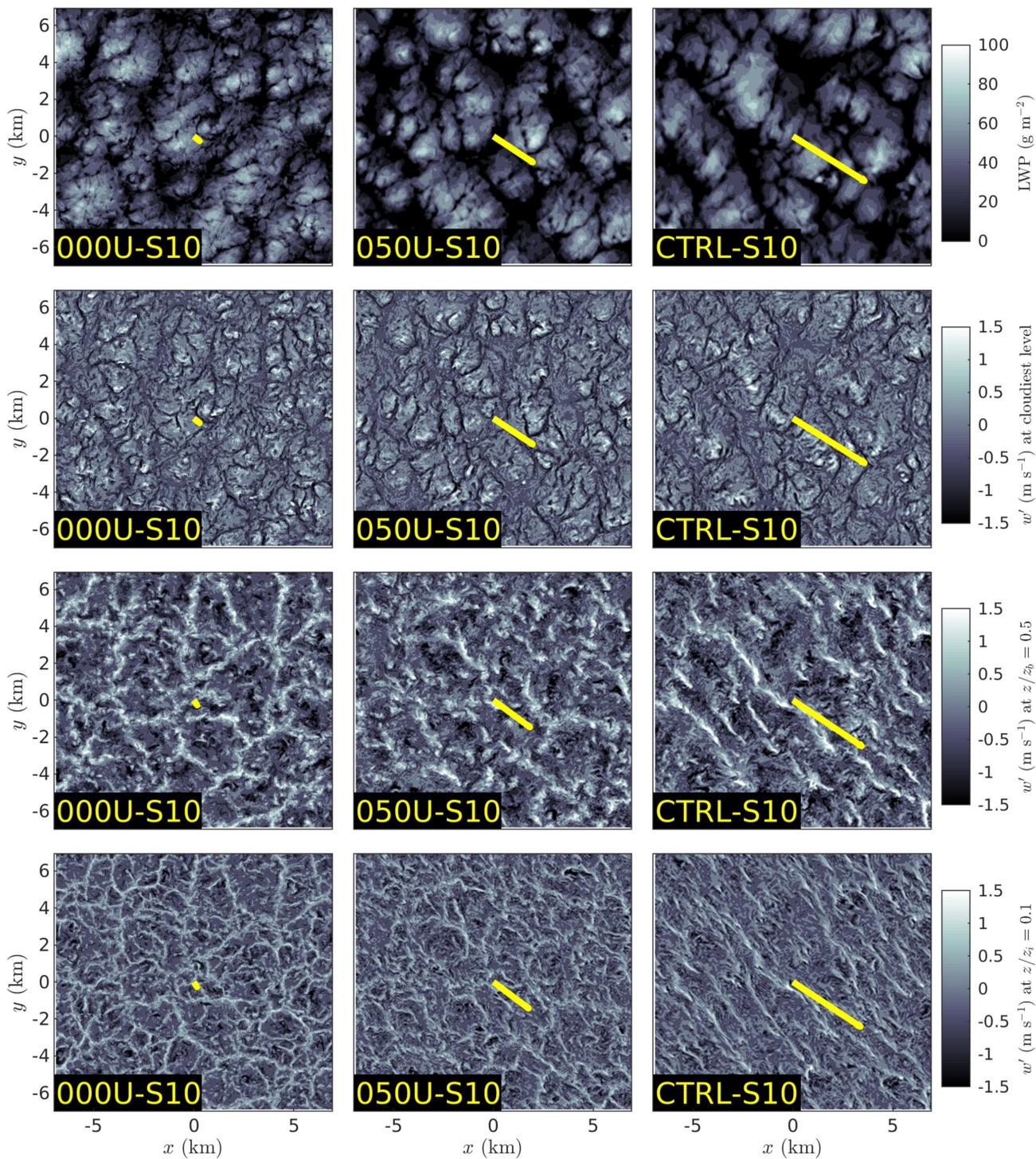


Figure 6.



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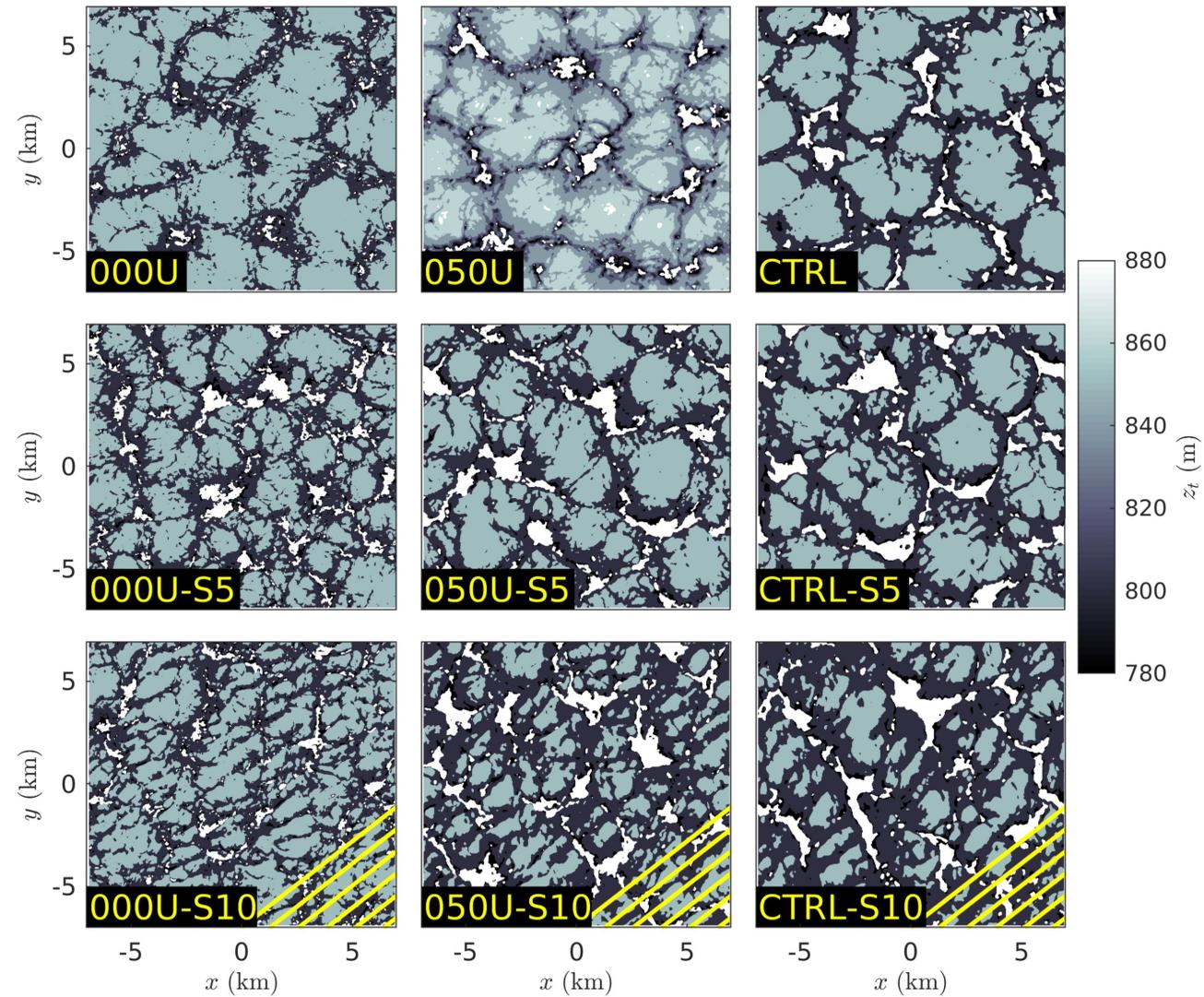
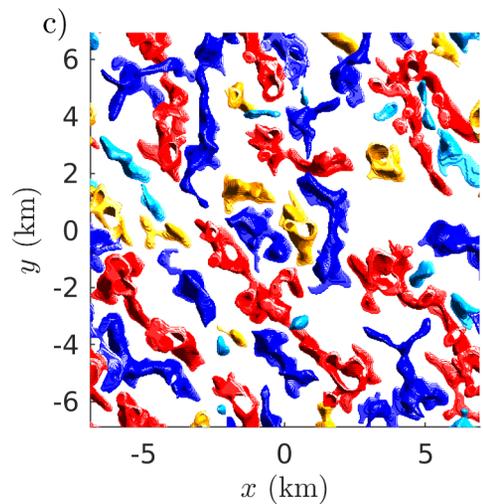
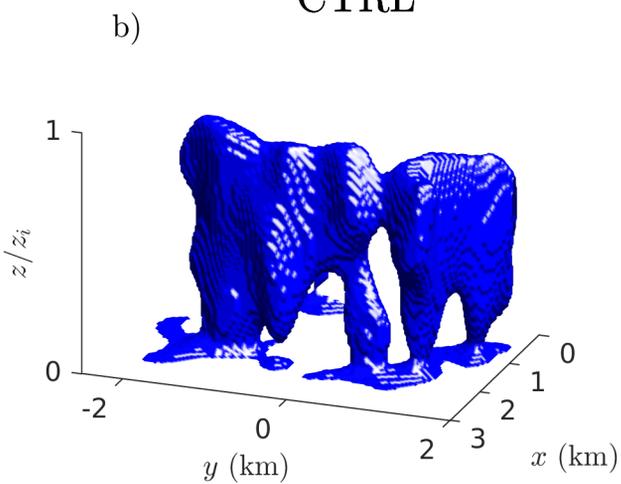
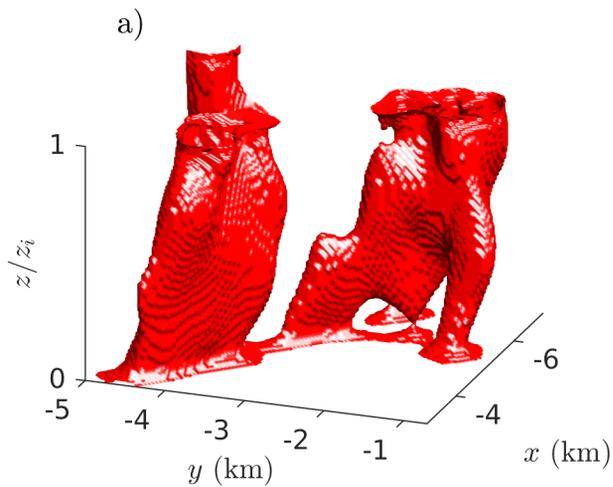
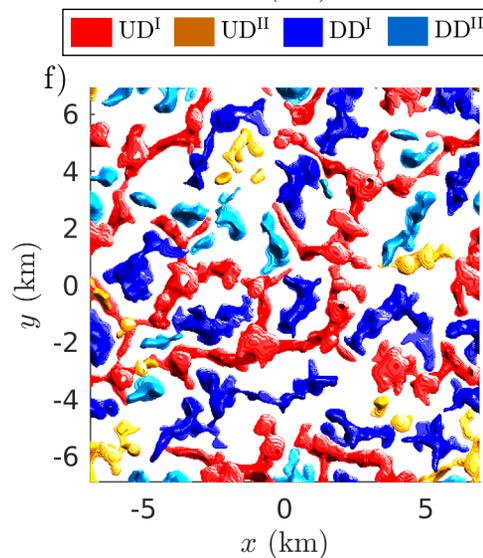
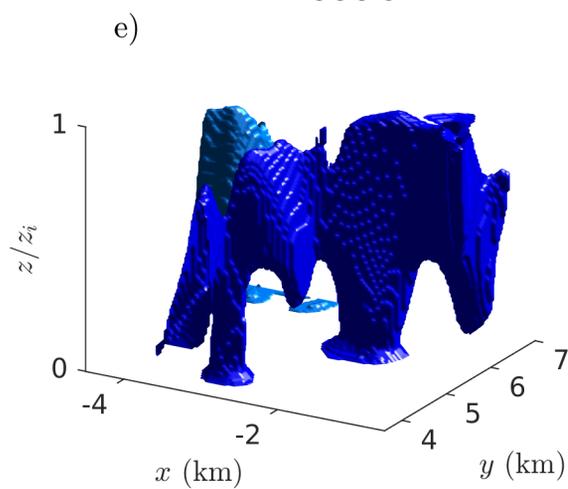
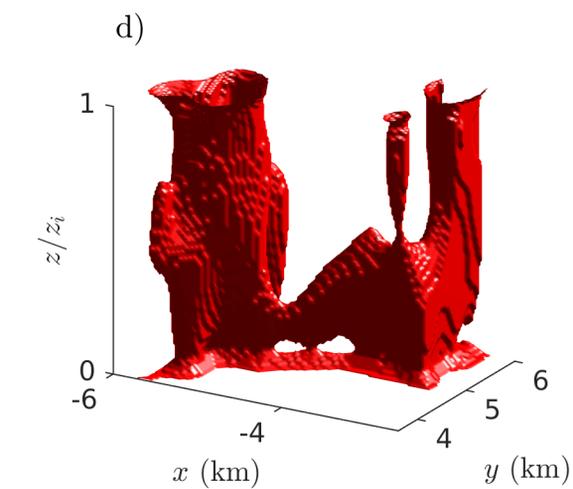


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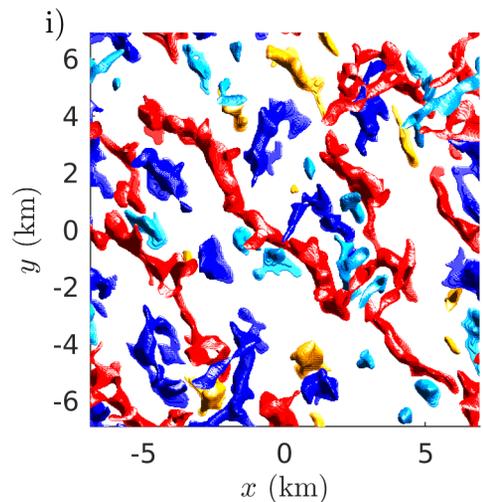
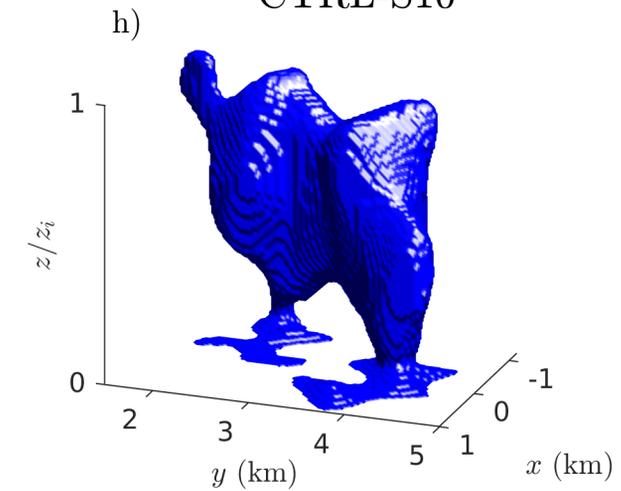
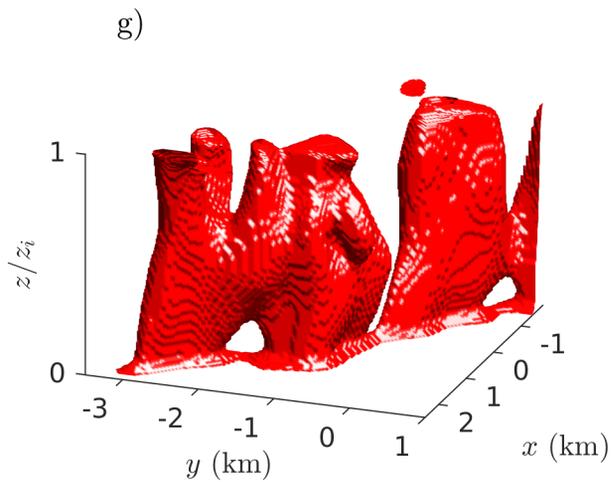
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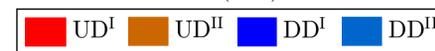
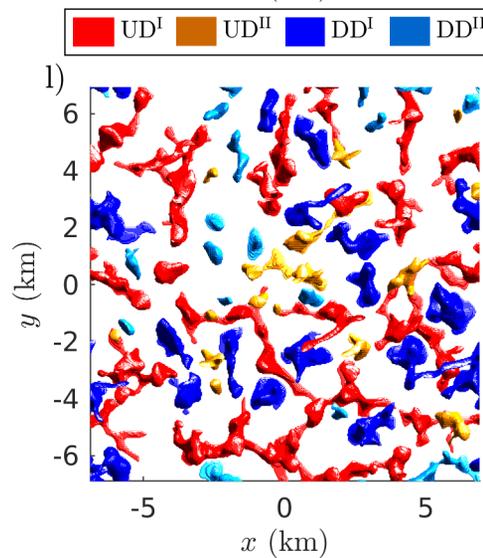
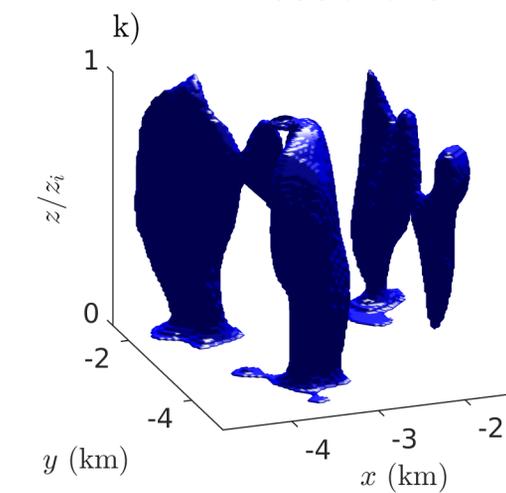
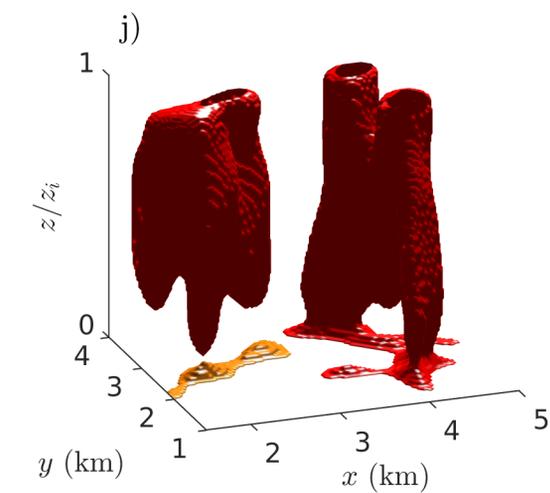


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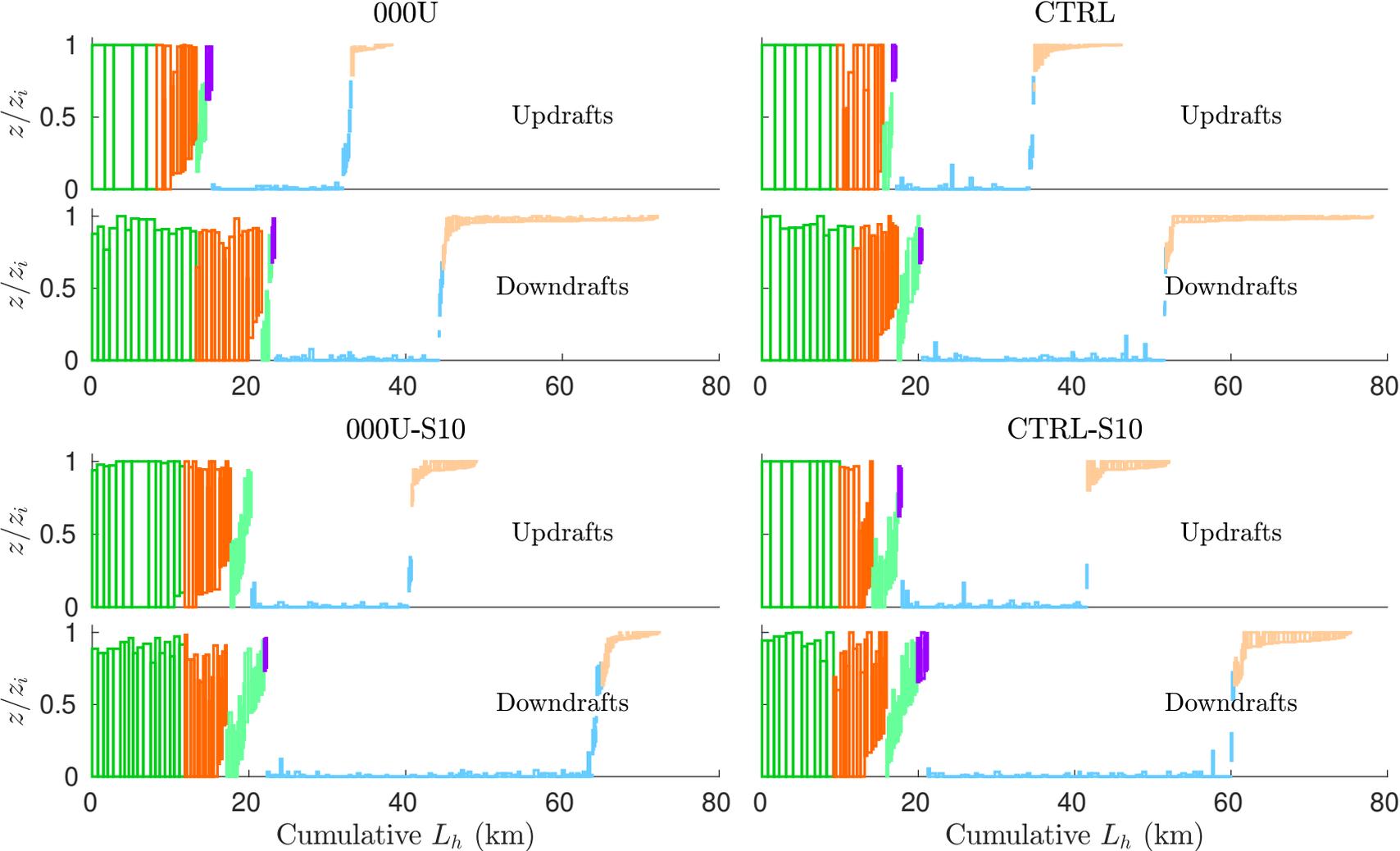
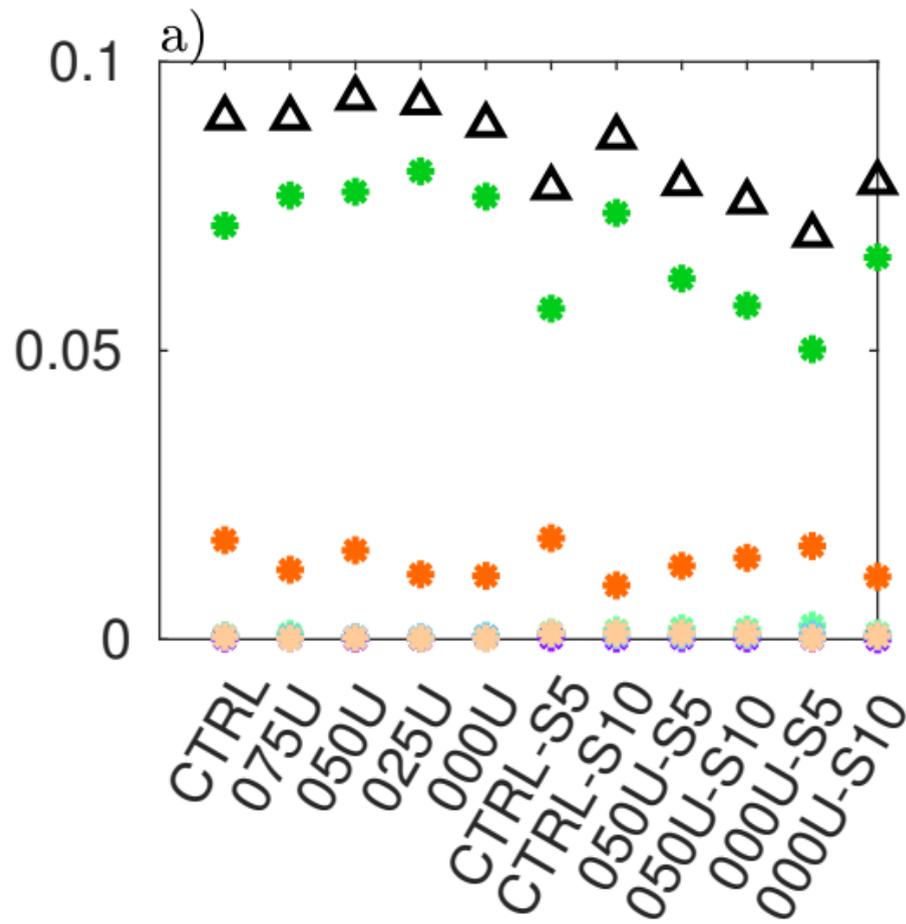
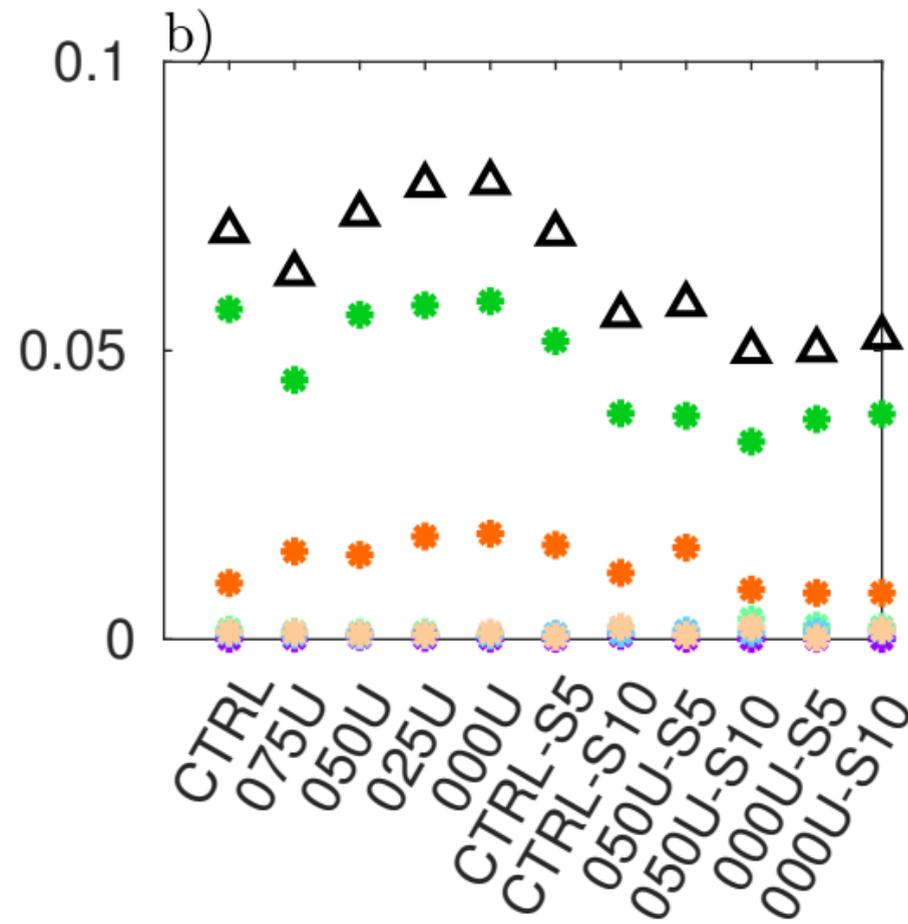


Figure 10.

Updraft object fraction



Downdraft object fraction



Total object fraction

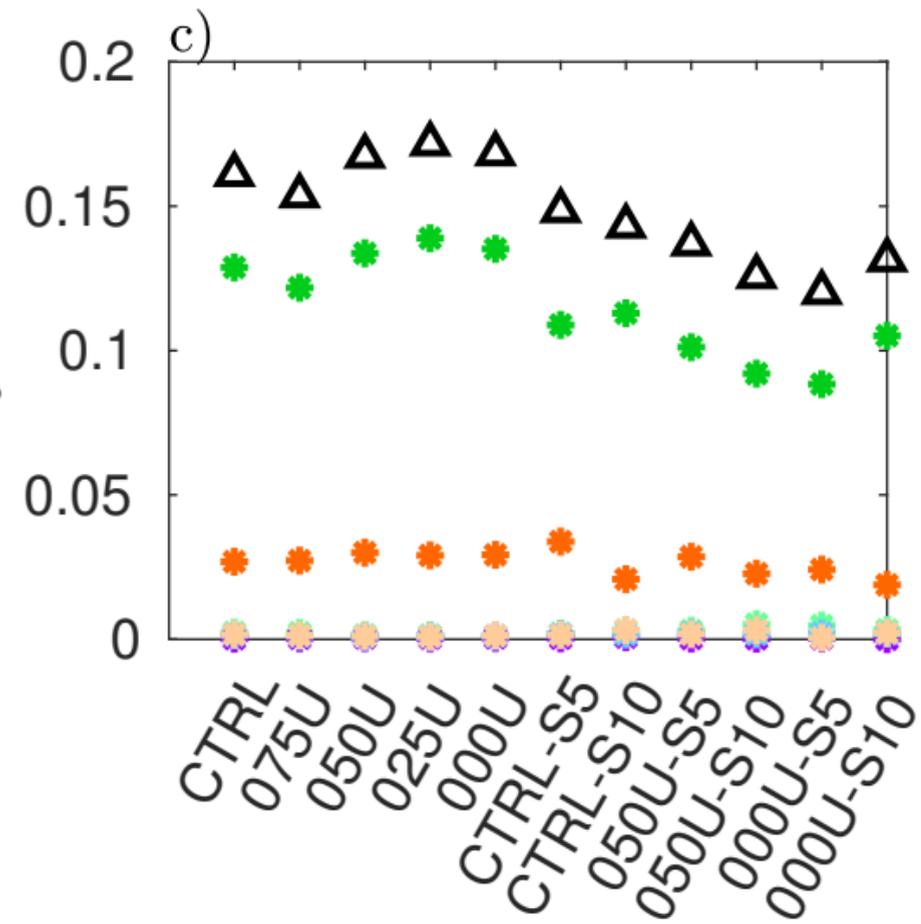


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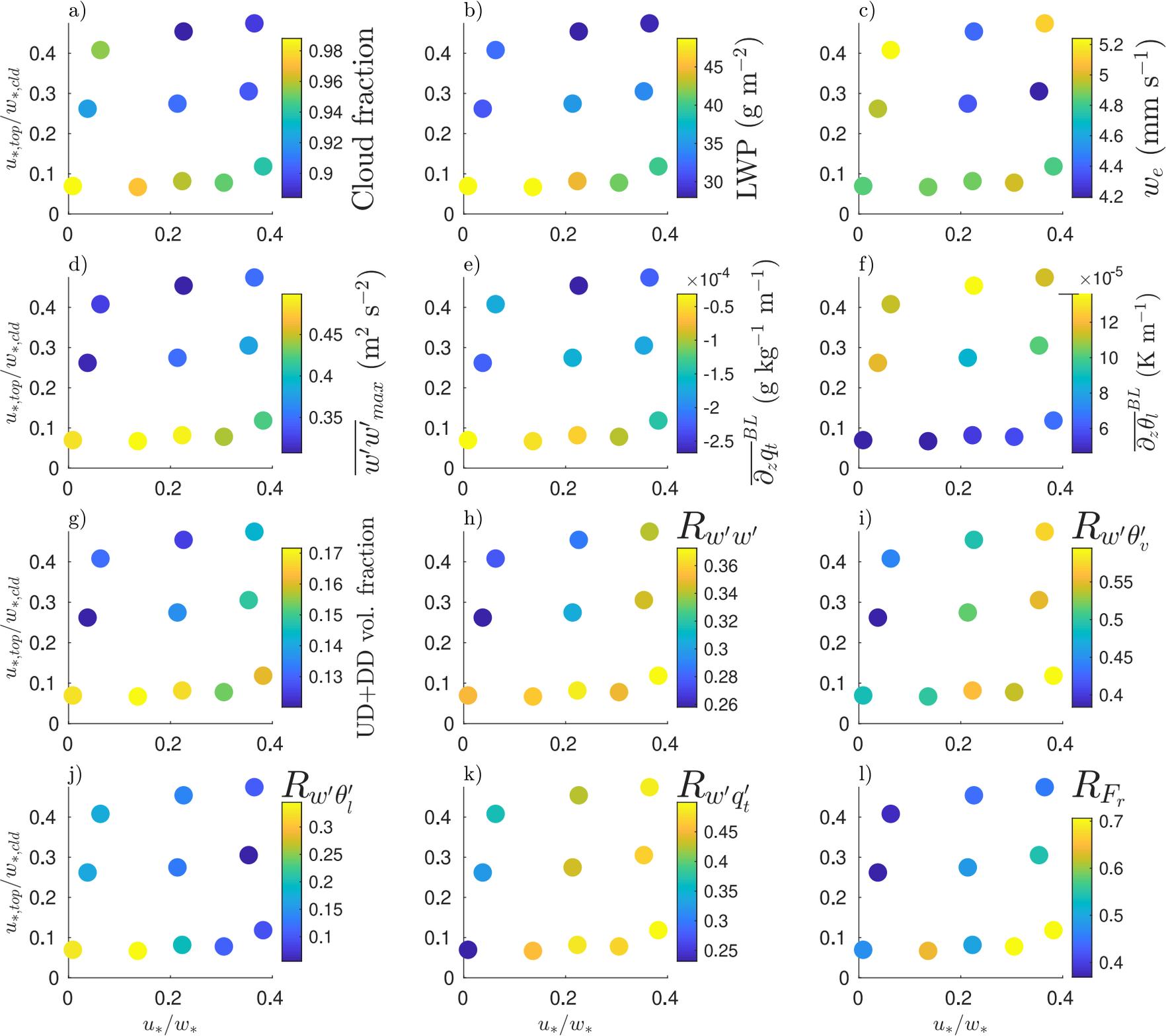


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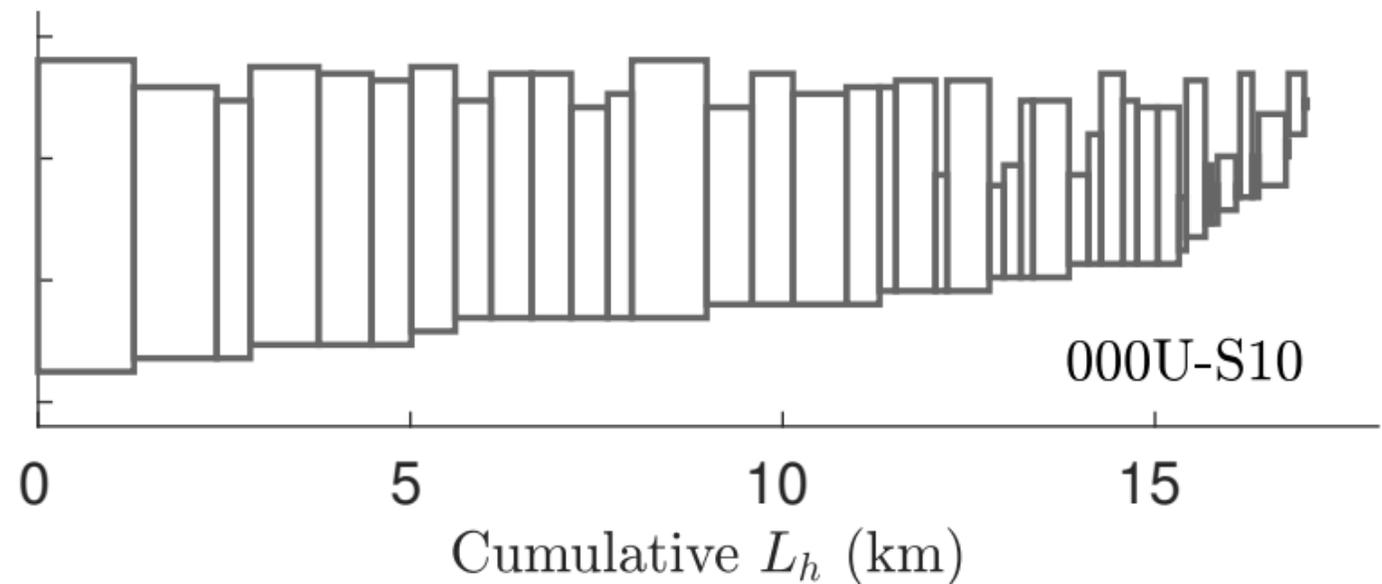
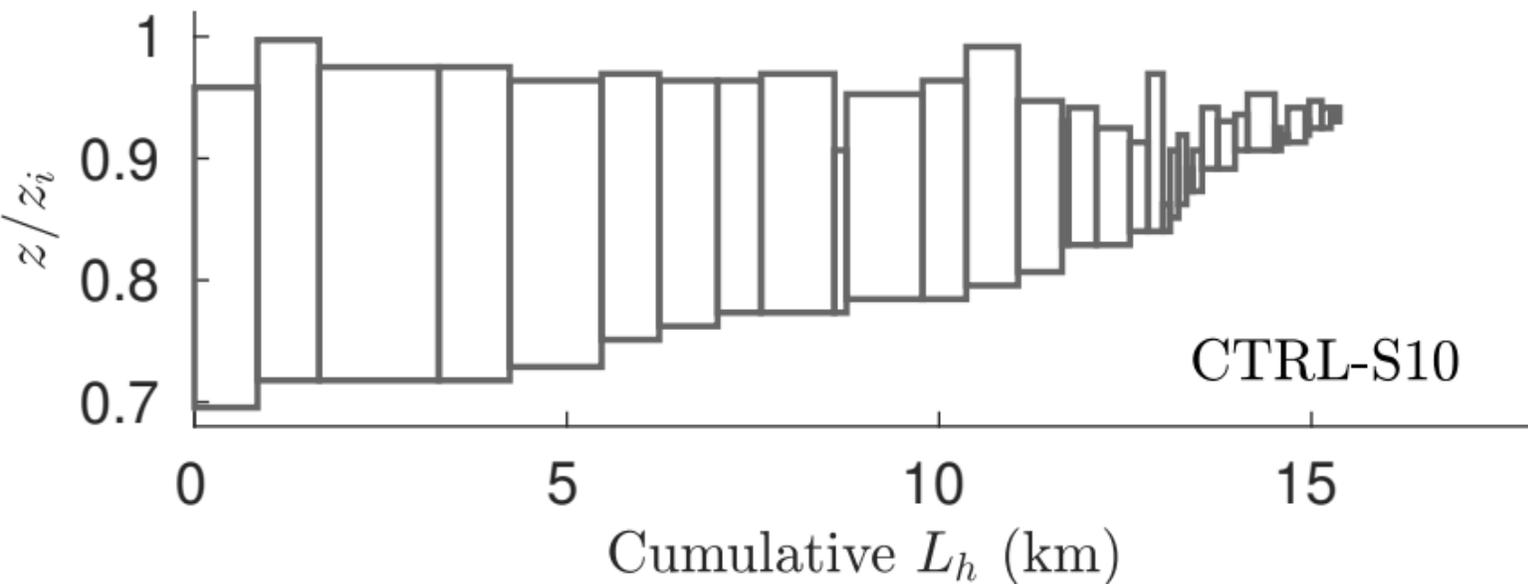
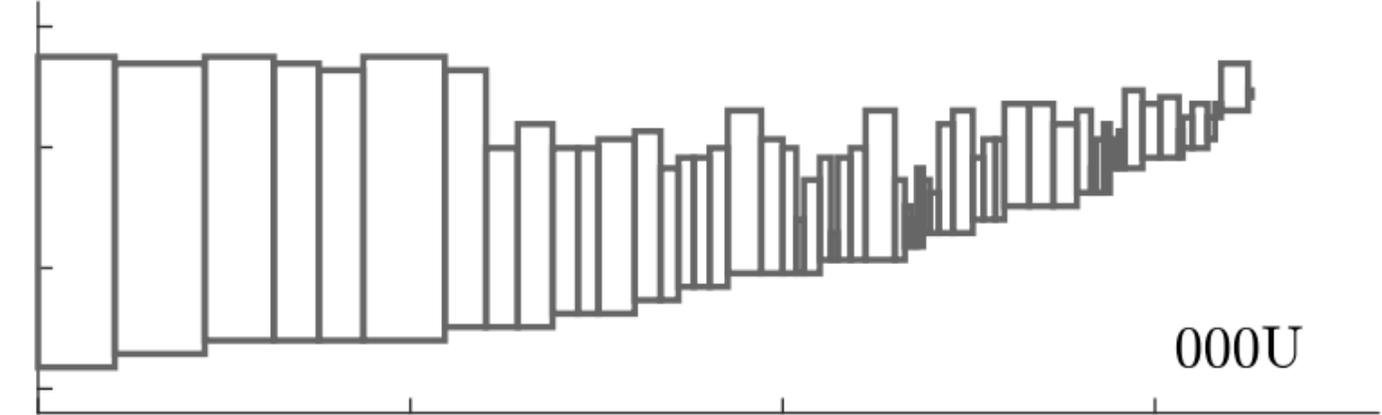
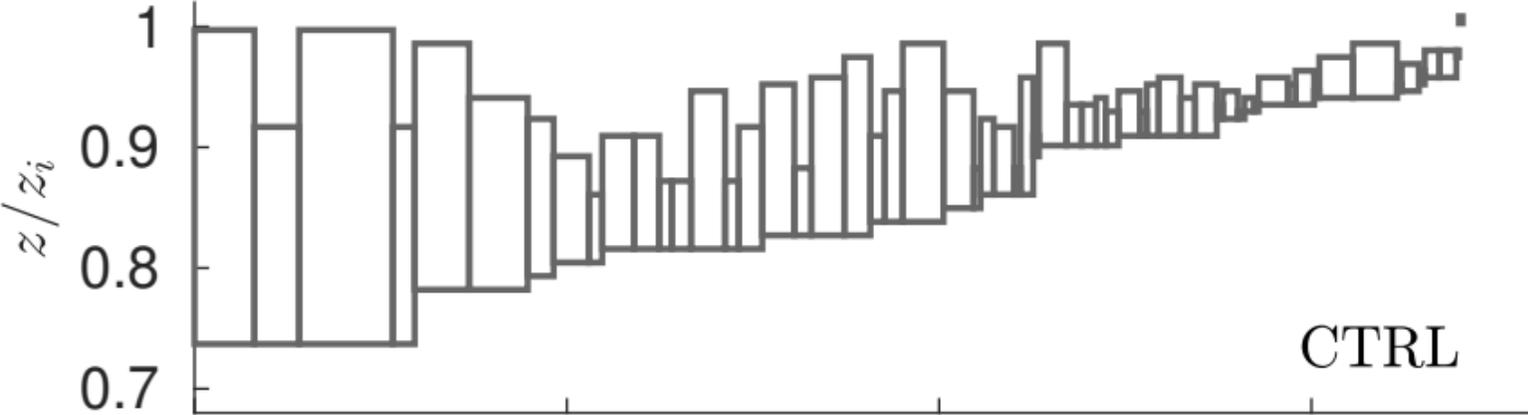
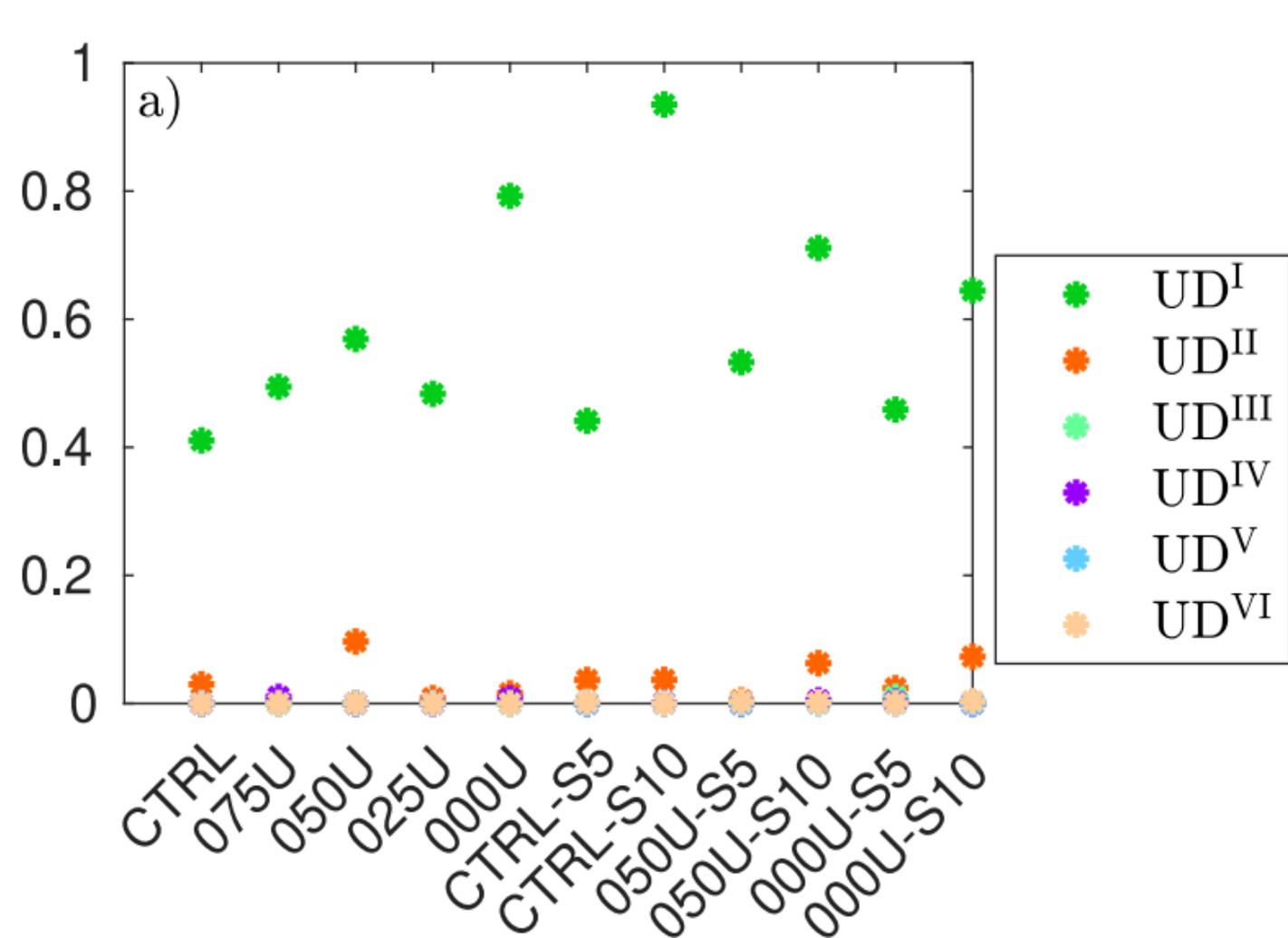


Figure 13.

Wet updraft volume fraction



Wet updraft volume fraction

