Missing earthquake data reconstruction in the space-time-magnitude domain

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November 30, 2022

Abstract

Short term aftershock incompleteness (STAI) can strongly bias any analysis built on the assumption that seismic catalogs have a complete record of events. Despite several attempts to tackle this issue, we are far from trusting any dataset in the immediate future of a large shock occurrence. Here we introduce RESTORE (REal catalogs STOchastic REplenishment), a Python toolbox implementing a stochastic gap-filling method, which automatically detects the STAI gaps and reconstructs the missing events in the space-time-magnitude domain. The algorithm is based on empirical earthquake properties and relies on a minimal number of assumptions about the data. Through a numerical test, we show that RESTORE returns an accurate estimation of the number of missed events and correctly reconstructs their magnitude, location and occurrence time. We also conduct a real-case test, by applying the algorithm to the Mw 6.2 Amatrice aftershocks sequence. The STAI-induced gaps are filled and missed earthquakes are restored in a way which is consistent with data. RESTORE, which is made freely available, is a powerful tool to tackle the STAI issue, and will hopefully help to implement more robust analyses for advancing operational earthquake forecasting and seismic hazard assessment.

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5 Key Points:

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| 6 | A new Python too | lbox for the replenishmen | t of incomplete seismic | catalogs is de- |
|---|------------------|---------------------------|-------------------------|-----------------|
| 7 | veloped | | | |

- The code is freely-available, data-driven and minimizes the users' inputs
- Numerical and real-case tests are provided

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10 Abstract

Short term aftershock incompleteness (STAI) can strongly bias any analysis built on the 11 assumption that seismic catalogs have a complete record of events. Despite several at-12 tempts to tackle this issue, we are far from trusting any dataset in the immediate future 13 of a large shock occurrence. Here we introduce RESTORE (REal catalogs STOchastic 14 REplenishment), a Python toolbox implementing a stochastic gap-filling method, which 15 automatically detects the STAI gaps and reconstructs the missing events in the space-16 time-magnitude domain. The algorithm is based on empirical earthquake properties and 17 relies on a minimal number of assumptions about the data. Through a numerical test, 18 we show that RESTORE returns an accurate estimation of the number of missed events 19 and correctly reconstructs their magnitude, location and occurrence time. We also con-20 duct a real-case test, by applying the algorithm to the M_W 6.2 Amatrice aftershocks se-21 quence. The STAI-induced gaps are filled and missed earthquakes are restored in a way 22 which is consistent with data. RESTORE, which is made freely available, is a power-23 ful tool to tackle the STAI issue, and will hopefully help to implement more robust anal-24 yses for advancing operational earthquake forecasting and seismic hazard assessment. 25

²⁶ 1 Introduction

It is well known that analyzing an incomplete seismic catalog could severely bias 27 studies aimed to: 1) estimate the Gutenberg-Richter parameters, their uncertainty, to-28 gether with their variation in space and/or time (e.g. Knopoff et al., 1982; Schorlem-29 mer et al., 2003; Woessner & Wiemer, 2005; Mignan & Woessner, 2012b; Marzocchi et 30 al., 2020); 2) estimate the Epidemic-Type Aftershock Sequence (ETAS model: Ogata, 31 1988, 1998) parameters by maximum-likelihood techniques (Helmstetter et al., 2005, 2006; 32 Hainzl et al., 2013; Omi et al., 2014; Seif et al., 2017; Zhuang et al., 2017); 3) perform 33 a statistical analysis of earthquake data (e.g. Helmstetter et al., 2006; Christophersen 34 & Smith, 2008; Iwata, 2008; Brodsky, 2011; Felzer et al., 2015; Stallone & Marzocchi, 35 2019). The first two types of studies, in particular, have application in operational earth-36 quake forecasting and seismic hazard assessment (Woessner et al., 2015), this implying 37 that complete recording of seismic events is of primary importance in any analysis of this 38 kind. Unfortunately, a careful estimation of the magnitude of completeness M_c is a nec-39 essary but not sufficient condition for a robust seismicity analysis. As a matter of fact, 40 temporal changes in M_c can occur, mainly due to short term aftershock incompleteness 41 (STAI from now on) (Ogata & Katsura, 1993; Kagan, 2004; Mignan & Woessner, 2012b; 42 Omi et al., 2013), which arise from the under-reporting of small events after large earth-43 quakes. These fluctuations, although transient, can severely alter the final results. For 44 instance, (Zhuang et al., 2017) demonstrate how severe can be the influence of short-term 45 missing aftershocks on the estimation of the ETAS parameter α (which is linked to earth-46 quakes triggering capability). A solution to this issue would be improving the detection 47 of early aftershocks of a large earthquake. This is possible by implementing waveform-48 based techniques (Peng et al., 2006, 2007; Enescu et al., 2007, 2009; Peng & Zhao, 2009). 49 However, even in these cases, the detection capability of the missing events is far from 50 being optimal. A quick fix could be to draw out earthquakes occurred after a large shock, 51 for as long as the time required to the magnitude of completeness to return to the av-52 erage value estimated for the whole catalog. Alternatively, one could model the magni-53 tude of completeness as a function of time $M_c(t)$ and keep only those events whose mag-54 nitude is $\geq M_c(t)$ (e.g. Helmstetter et al., 2006; Lippiello et al., 2012). However, these 55 approaches are not trivial, since they rely on user-defined criteria for identifying the crit-56 ical events to be removed. Furthermore, a cut-and-run strategy could yield to a severe 57 diminishment of the analyzed data, which is not always desirable. More recently, (Zhuang 58 et al., 2017, 2019) have proposed a stochastic algorithm to replenish the portions of a 59 seismic catalog where smaller events are missing. This approach is based on empirical 60 distribution functions that approximately describe the time-magnitude range of data where 61 the catalog is assumed to be complete. Furthermore, it cannot be easily extended to the 62

spatial domain and the detection of the area where the record is incomplete is based on
visual inspection. Here we present RESTORE, a Python toolbox based on a stochastic
gap-filling method, which reconstructs missing events in the space-time-magnitude domain and implements an automatized recognition of the critical regions with missing events
(no input required from the user). RESTORE is built on well-known empirical properties of earthquake data and relies on a fully data-driven approach, which severely minimizes the number of assumptions and approximations about the data.

⁷⁰ 2 The algorithm

RESTORE (REal catalogs STOchastic REplenishment) allows to generate time, 71 location and magnitude of those earthquakes that have not been detected by the seis-72 mic network due to the overlap of earthquake signals in seismic records after the occur-73 rence of a large earthquake. Given the transient characteristic of STAI, the replenish-74 ment of missing data only pertains to limited portions of the catalog, i.e. those being 75 affected by the occurrence of a large event. First, the temporal variability of M_c is as-76 sessed by means of a sliding overlapping windows approach, which collects estimates of 77 M_c at the end of each window. Since the window has a fixed number of events k and its 78 shift δk is constant, estimates of M_c are elapsed by δk events. The algorithm implements 79 a statistic-based approach to pinpoint those time intervals where a threshold value for 80 the magnitude of completeness M_c^* is significantly exceeded ("STAI gaps" from now on). 81 For each interval, fluctuations in the completeness magnitude, represented by the δk -shifted 82 moving-window estimates of M_c , are accounted for to reconstruct the missing earthquakes: 83 the higher the estimated M_c , the higher the number of earthquakes to be replenished. 84 It follows that the moving-window approach is functional for both the identification of 85 STAI gaps and for their discretization. The latter is essential for a high-resolution tem-86 poral reconstruction of M_c inside the STAI gaps. The algorithm evaluates, for each mag-87 nitude bin in each step, the difference between the observed counts and the counts pre-88 dicted by the Gutenberg-Richter relationship. This approach returns the simultaneous 89 estimation of both the number and magnitudes of missing events at the bin level: the 90 first is derived from the difference between observed and estimated counts, whereas the 91 second is derived from the magnitude value in the bin. Occurrence time and location of 92 the simulated events are reconstructed implementing Monte Carlo sampling techniques 93 (inverse method, (Devroye, 1986)). More specifically, occurrence times are simulated from 94 an uniform distribution whose support are the time limits of the δk -step. The latter is 95 based on the assumption that earthquake detection rate can be assumed constant within intervals including few events, i.e within very short time intervals. In other words, the 97 probability of missing events within a δk -step can be considered time-independent if the 98 step width is much shorter than the whole STAI gap width. As regards the spatial in-99 formation, latitude and longitude of missing events are assigned with a probability that 100 increases as the average rate of earthquake increases, the latter being derived from a Gaus-101 sian smoothing kernel. In the following, we examine the algorithm steps in more detail. 102

2.1 Query user inputs

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The user is required to load the catalog as a csv file, in ZMAP format (i.e., Lon-104 gitude, Latitude, Year, Month, Day, Magnitude, Depth, Hour, Minute, Second). Alter-105 natively, he/she can download it from web services based on FDSN specification, by pro-106 viding the parameters listed in Table 1, left column. There are two main requirements 107 for the correct implementation of RESTORE. First, the magnitude type in the seismic 108 catalog must be the moment magnitude Mw (a bin size of 0.1 is assumed by default). 109 This is required since magnitude scales other than the moment magnitude are inappro-110 priate for rigorous statistical analyses (Kagan, 2013). Second, the catalog should include 111 a period of seismic quiescence before the onset of one or more relatively strong seismic 112 sequences. This is necessary for the estimation of the reference value for the magnitude 113

| CATALOG PARAMETERS (optional) | INPUT PARAMETERS |
|-------------------------------|----------------------------|
| Minimum magnitude | Moving-window size |
| Minimum longitude | Moving-window step |
| Maximum longitude | Spatial map domain limits |
| Minimum latitude | t_{seq} |
| Maximum latitude | <i>b</i> -value |
| Maximum depth | α (Lilliefors test) |
| t_{start} | |
| t_{end} | |

Table 1. RESTORE input parameters^a.

^aLeft: catalog parameters (to be provided only when downloading the catalog from web services based on FDSN specification) - t_{start} : string representing the start time of the catalog in a recognizably valid format; t_{end} : string representing the end time of the catalog in a recognizably valid format.

Right: RESTORE parameters - t_{seq} : starting time of the seismic sequence (i.e., end of the seismic quiescent period).

of completeness (M_c^*) , which must not be affected by STAI. The parameters that need to be set for running RESTORE are reported in Table 1, right column. They will be explained in more detail in the subsequent sections.

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2.2 Reference value for the magnitude of completeness

The reference value M_c^* must be evaluated for the seismically quiescent period pre-118 ceding the onset of one or more relatively strong seismic sequences. By default, it is es-119 timated as the first magnitude value such that the hypothesis of exponentially-distributed 120 data cannot be rejected at a significance level α (Lilliefors test, Lilliefors, 1969; Clauset 121 et al., 2009). Alternatively, the user could input his/her own value for M_c^* , based on a 122 priori information. RESTORE relies on *Mc-Lilliefors*, a Python routine which returns 123 a robust and rigorous estimation of the magnitude of completeness by the Lilliefors test 124 (Herrmann & Marzocchi, 2020b, 2020a). From now on, we always mean that the mag-125 nitude of completeness estimation has been performed by the *Mc-Lilliefors* routine. 126

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2.3 Temporal variations in M_c

RESTORE implements a moving window approach to analyze the variation of the 128 magnitude of completeness as a function of time. By default, the window size is k = 1000129 events (following Mignan & Woessner, 2012a), but it could be increased or decreased, 130 depending on both the catalog size and the resolution the user needs to achieve. Intu-131 itively, a small window highlights short-term variation in M_c , but it could return a bi-132 ased estimate of M_c if the sample size is too small (due to the decreased power of the 133 Lilliefors test); on the contrary, a larger window returns a faster and more robust esti-134 mate of M_c , but it is less sensitive to its transient fluctuations. The window is shifted 135 by a step of δk events. By default, $\delta k = 250$ (following Mignan & Woessner, 2012a). 136 The same considerations made for a larger/smaller window apply for a larger/smaller 137 step. M_c is estimated and its values are collected at the end of each window. Since the 138 window has a fixed number of events k and its shift δk is constant, estimates of M_c are 139 elapsed by δk events. 140

¹⁴¹ 2.4 Automatic detection of STAI gaps

STAI gaps are identified as those where $M_c \ge M_c^* + 2\sigma$, i.e. where M_c is signifi-142 cantly larger than the reference value. The bootstrap method (Efron, 1992) is implemented 143 to estimate the uncertainty σ about the estimate of M_c^* returned by the Lilliefors test. 144 Specifically, σ is obtained from 200 bootstrap samples, as suggested in (Woessner & Wiemer, 145 2005). The onset time of each gap is set equal to the time of the largest earthquake in 146 the first step. Intuitively, it is the one responsible for the raise of the magnitude of com-147 pleteness among the δk events. The end time of each gap is coincident with the occur-148 rence time of the last event in the last step. In order to account for statistical fluctua-149 tions of the magnitude of completeness, small gaps - defined as those with a number of 150 events $< 2 * \delta k$ - are removed. 151

152 2.5 Simulation of missing earthquakes

RESTORE implements a multi-scale approach for addressing the inherent problem of multidimensionality of the seismic process:

- Small scale: magnitude bin-level estimation of the number and magnitudes of missing events (Section 2.5.1);
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 - tion 2.5.2);Coarse scale: STAI gap-level simulation of missing events epicenters (Section 2.5.3).
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2.5.1 Simulation of number of missing events and magnitudes

For a given STAI gap, the algorithm stores as many M_c estimates as the number of δk -steps in the gap. This information is used to evaluate the number of expected events at the magnitude bin level by means of the following equation, which relies on the Gutenberg-Richter frequency-magnitude relationship (we refer to Appendix 1 for its derivation):

• Medium scale: step-level estimation of the occurrence times of missing events (Sec-

$$N(M \ge M_{LB}) = N(M \ge M_{UB}) \cdot 10^{b \cdot mbin},\tag{1}$$

where: 1) M_{LB} (M_{UB}) is the lower (upper) bound of the magnitude bin mbin, with mbin = 0.1 by default; 2) $N(M \ge M_{LB})$ is the expected number of events with magnitude $M \ge M_{LB}$; 3) $N(M \ge M_{UB})$ is the observed number of events with magnitude $M \ge M_{UB}$. Equation 1 allows to extrapolate the expected number of events with magnitude $M \ge M_{LB}$, given the complete recording of events at magnitudes $M \ge M_{UB}$. It is then straightforward to retrieve, for each bin, the expected number of events with magnitudes $M = M_{LB}$ ($M_{LB} \le M < M_{UB}$), given the complete recording of events at magnitudes $M \ge M_{UB}$.

$$N(M = M_{LB}) = N(M \ge M_{LB}) - N(M \ge M_{UB})$$

= $N(M \ge M_{UB}) \cdot 10^{b \cdot mbin} - N(M \ge M_{UB})$ (2)

Finally, the number of missing events in the bin is derived from the difference between 161 the expected number of events in the bin, $N(M = M_{LB})$, and the observed number of 162 events in the bin. RESTORE recursively implements Equation 1 and Equation 2 in or-163 der to estimate the number and magnitudes of missing events for all the bins between 164 M_c^* - the reference value for the magnitude of completeness - and M_c^S , the magnitude 165 of completeness estimated within the step. The algorithm starts at the bin whose up-166 per bound is M_c^S : since magnitudes in the step are complete above M_c^S , Equation 1 and 167 Equation 2 are implemented for the estimation of the number of missing events in the 168 preceding bin. Then, variables are updated and the algorithm proceeds with the next 169 preceding bin, following the recursive approach explained in Algorithm 1. 170

| | Algo | orithm 1: Magnitude simulation |
|---|------|--|
| | 1 fo | \mathbf{r} each STAI gap \mathbf{do} |
| | 2 | for each step in the gap do |
| | 3 | $M_{UB} = M_c^*;$ |
| | 4 | $M_{LB} = M_{UB} - mbin;$ |
| | 5 | $N(M \ge M_{UB}) \longrightarrow \text{Counts of } M \ge M_{UB} \text{ in the step}$ |
| | 6 | for each bin in the step do |
| | 7 | $N(M \ge M_{LB}) = N(M \ge M_{UB}) \cdot 10^{b \cdot mbin}$ |
| | | $N(M = M_{LB}) = N(M \ge M_{LB}) - N(M \ge M_{UB}) \longrightarrow \text{Expected}$ |
| | | number of magnitudes in the bin |
| | 8 | $n(M = M_{LB}) \longrightarrow \text{Observed number of magnitudes in the bin}$ |
| | 9 | $N_{ghost} = N(M = M_{LB}) - n(M = M_{LB}) \longrightarrow$ Number of missing events |
| | | in the bin |
| 1 | 10 | $M = [M_{LB}] * N_{ghost} \longrightarrow$ Vector of missing magnitudes in the bin |
| 1 | L1 | Update variables: |
| 1 | 12 | $M_{UB} = M_{LB};$ |
| 1 | 13 | $M_{LB} = M_{UB} - mbin;$ |
| 1 | 14 | $N(M \ge M_{UB}) = N(M \ge M_{LB})$ |
| 1 | 15 | end |
| 1 | 6 | end |
| 1 | 7 er | ıd |

172 2.5.2 Simulation of occurrence times

¹⁷³ Occurrence times are simulated from an uniform distribution whose support are ¹⁷⁴ the time limits of the δk -step. As already discussed, earthquake detection rate can be ¹⁷⁵ assumed constant within intervals including few events, i.e within very short time inter-¹⁷⁶ vals. The main steps are summarized in Algorithm 2.

| Algorithm 2: Occurrence times simulation | | | |
|--|---|--|--|
| 1 for each STAI gap do | | | |
| 2 | 2 for each step in the gap do | | |
| 3 | while $count \leq Number$ of earthquakes missing in the step do | | |
| 4 | $t_{i-1} = $ start time of the step; | | |
| 5 | $t_i = $ end time of the step; | | |
| 6 | $\mathbf{U} = \mathrm{RAND}(0,1);$ | | |
| 7 | $T = t_{i-1} + U \cdot (t_i - t_{i-1});$ | | |
| 8 | $\operatorname{count} + = 1;$ | | |
| 9 | end | | |
| 10 | end | | |
| 11 e | 11 end | | |

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2.5.3 Simulation of epicenter coordinates

Latitude and longitude of missing events are assigned with a probability that in-179 creases as the rate of earthquakes increases, i.e. as the distance from the large event di-180 minishes. The rationale is based on kernel smoothing techniques, commonly implemented 181 to forecast the density of future seismicity given the spatial distribution of past events 182 (e.g. Frankel, 1995; Helmstetter et al., 2006; Zechar & Jordan, 2010). Specifically, a Gaus-183 sian kernel (Zechar & Jordan, 2010) is used, which is a function of the smoothing dis-184 tance σ only. For each STAI gap, RESTORE extracts the pertaining subset from the cat-185 alog, that is all the events meeting the following two criteria: 1) their occurrence times 186 range between the start and end time of the STAI gap; 2) their epicenter coordinates fall 187

within a rectangular grid representing the large shock "influence area". As a proxy for
 the latter, the algorithm uses the estimation of the subsurface rupture length through
 the relation proposed by (Mai & Beroza, 2000):

$$M_o = 10^{\frac{3}{2}(M_w + 10.7)} \cdot 10^{-7}; \tag{3}$$

$$R_l = 10^{-5.20 + 0.35 \cdot log(M_o)} \tag{4}$$

The grid is discretized in cells, whose width depends on the bin in the latitude and longitude direction sbin (sbin = 0.01 deg in both the directions, by default). The smoothing kernel is defined as follow:

$$K_{\sigma} = \frac{1}{2\pi\sigma^2} exp\left(\frac{-R^2}{2\sigma^2}\right),\tag{5}$$

where σ is the smoothing distance (set to 1 by default) and R is the distance of a given 191 earthquake from a given grid node. The kernel smoothing technique offers an intuitive 192 representation of seismicity clustering in space: as a matter of fact, events that are close 193 in space will mainly contribute to the same (few) nodes in the grid. The events count 194 at each grid node is estimated by summing up the contributions from all the events in 195 the grid to that specific point. Normalizing the smoothed rate by the total rate yields 196 the expected earthquake density over all the grid nodes. The latter is used as the ba-197 sis for assigning epicenter locations to a given grid point, i.e. with a probability that is 198 proportional to the expected earthquake rate at that location. This is achieved by sim-199 ply applying the discrete version of the inverse method to the cumulative distribution 200 of the normalized smoothed rate. Once an epicenter has been linked to a specific grid 201 point XY, its latitude (longitude) is simulated from an uniform distribution whose sup-202 port is ([lat(XY) - sbin, lat(XY) + sbin] [lon(XY) - sbin, lon(XY) + sbin]). Main 203 steps are summarized in Algorithm 3: 204

| Alg | orithm 3: Epicenters latitude and longitudes simulation | | |
|------|---|--|--|
| iı | input: CUMSUM: Cumulative sum of the (sorted) smoothed rate | | |
| 1 fe | or each STAI gap do | | |
| 2 | while $count \leq Number$ of earthquakes missing in the STAI gap do | | |
| 3 | U = RAND(0,1); | | |
| 4 | for each grid point XY do | | |
| 5 | if $CUMSUM(XY - 1) \le U < CUMSUM(XY)$ then | | |
| 6 | U2 = RAND(0,1); | | |
| 7 | $LON = LON(XY - 1) + U2 \cdot sbin;$ | | |
| 8 | $LAT = LAT(XY - 1) + U2 \cdot sbin;$ | | |
| 9 | end | | |
| 10 | end | | |
| 11 | $\operatorname{count} + = 1;$ | | |
| 12 | end | | |
| 13 e | nd | | |

206 **2.6 Output**

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RESTORE replenishes the original catalog with the reconstructed events, by properly taking into account the occurrence time of the latter. The resulting catalog is saved in ZMAP format and differs from the original one only for two aspects: 1) the depth column is now a zeros vector, as this information has not been taken into account for the spatial simulation of missing earthquakes; 2) there is an additional column which flags events to 0 or 1, depending on whether they belong to the original catalog or they have been simulated. Additionally, several graphical outputs are returned:

- Time evolution of magnitude of completeness, with highlighted all detected STAI gaps (the plot neglects the seismic quiescent period);
 Magnitudes versus sequential numbers for the original and replenished catalogs: this is a great, tough qualitative, tool to highlight STAI issues which could possible affect earthquake magnitudes through time;
- Magnitude versus time for 1) the original catalog and the reconstructed events; 220 2) the original catalog only;
 - Spatial map of the original events with overlapping reconstructed events;
- Magnitude-frequency distribution (MFD) for both the original and the replenished catalogs.

Finally, the magnitude of completeness is estimated for both the original and the replen-224 ished catalogs. This provides an additional test for validating the outputs by RESTORE: 225 intuitively, we expect the M_c estimated for the replenished catalog to be very close to 226 the pre-sequence value M_c^* . As for all the previous cases, this is done by means of the 227 Lilliefors test. However, the user should keep in mind that the statistical power of the 228 Lillieforst test (and, more in general, of the Kolmogorov-Smirnov test) greatly increases 229 with the sample size (Stallone, 2018; Marzocchi et al., 2020). It follows that for a large 230 number of events, which can be the case for the replenished catalog, the Lilliefors test 231 becomes very sensitive to even slight deviations from an exponential distribution. This 232 is not necessary ideal, since the detected departures could actually arise from magnitude 233 errors. We therefore strongly recommend to inspect the magnitude of completeness of 234 the replenished catalog by alternative methods as well, as those implemented in the ZMAP 235 software (Wiemer, 2001). 236

²³⁷ **3** Synthetic test

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As a validation test, we implement numerical modeling, which enables us to control the number of missing events and their collocation in the magnitude-time-space domain. The goal is to check whether the algorithm is capable of reconstructing this information with an acceptable degree of accuracy. First, we simulate a seismic catalog by implementing the stochastic program described in (Felzer et al., 2002), which simulates the ETAS model (Ogata, 1988) as a branching process. In the original code, earthquakes with a magnitude larger than 6.5 are modeled as planar sources. We change that by modeling all the events as point sources. We use the program to simulate a 2-yearslong catalog in Southern California, with magnitudes ranging from 2 to 6.9. We leave unchanged the remaining parameters needed for the simulation as indicated in the code. The *b*-value is set equal to 1. Since our next step is to simulate incompleteness of aftershocks following the largest earthquake in the catalog, we select a subset of the simulated dataset, which ranges from 1 year before to 3 months after the occurrence of the largest earthquake (M = 6.9). After this step, the original catalog includes 11,169 events. We simulate the STAI issue for the largest event by following the approach described in (Ogata & Katsura, 2006). Specifically, earthquakes are filtered out at a magnitude-dependent rate, according to the cumulative normal distribution:

$$F(M|\mu,\sigma) = \int_{-\infty}^{M} \frac{1}{\sqrt{2\pi\sigma}} e^{-\frac{(x-\mu)^2}{2\sigma^2}} dx,$$
(6)

where μ and σ are constant: the first is the magnitude with a detection rate of 50%; the 238 latter is the standard deviation of the normal distribution. $F(M|\mu,\sigma)$ is the probabil-239 ity of detection at magnitude M. See (Stallone, 2018) for more details. For our simu-240 lations, we set $\mu = 3$ and $\sigma = 0.2$; we assume that the magnitude of completeness is 241 restored to the reference value 3 days after the occurrence of the large event. The cat-242 alog after STAI modeling includes 7,744 events. Figure 1 shows the frequency-magnitude 243 distribution for the original (white circles) and incomplete (yellow circles) catalog, for 244 which the STAI issue has been modeled. Figure 2 plots the magnitude of events as a func-245



Figure 1. Frequency-magnitude distribution for the original synthetic catalog before STAI modeling (white circles) and after STAI modeling (yellow circles).

tion of time (over a period of 0-3 days from the mainshock) for the original (white circles) and incomplete (yellow circles) catalog.

As a next step, we implement RESTORE for reconstructing the missing events in 248 the magnitude-time-space domain. We leave the default values for the window size (1000 249 events) and the step (250). The reference value for the magnitude of completeness equals 250 the minimum magnitude in the synthetic catalog, i.e. 2.0. We set the b-value for the Gutenberg-251 Richter law to 1. Figure 3 shows some of the graphical outputs returned by the algorithm. 252 We observe that occurrence times, magnitude range and locations of missing events have 253 been correctly reconstructed. The replenished catalog includes 11, 199 events, i.e. 30 events 254 more than the original synthetic catalog. The magnitude of completeness estimated by 255 the Lilliefors test is 2.8 and 2.1 for the incomplete and replenished catalog, respectively. 256 In order to further inspect the algorithm performance, we compare the frequency-magnitude 257 distribution for 1) the original synthetic catalog before STAI modeling; 2) the original 258 synthetic catalog after STAI modeling; 3) the replenished catalog. Results are shown in 259 Figure 4. This comparison further proves the good performance of the algorithm when 260 reconstructing missing events in the magnitude-time-space domain. 261

²⁶² 4 Real-case test (Amatrice earthquake)

We apply RESTORE to the 24 August 2016 Mw 6.2 Amatrice earthquake. The downloaded catalog covers the period from 1^{st} January 2016 to 30 September 2016 and includes 18,623 events. We leave the default values for the window size (1000 events) and the step (250). The seismically quiescent period ranges from 1^{st} January 2016 to 24 August 2016 and includes 2,351 events. We estimate the reference value for the magnitude of completeness M_c^* with the Lilliefors test provided by the algorithm, which returns $M_c^* =$



Figure 2. Magnitude-time plot for events occurred within 3 days from the large shock. White circles: before STAI modeling. Yellow circles: after STAI modeling.



Figure 3. Main graphical outputs of the algorithm. Top Left: Magnitudes versus sequential numbers for the original (synthetic) catalog; Top Right: Magnitudes versus sequential numbers for the replenished catalog; Bottom Left: Magnitude versus time for 1) the original catalog and the reconstructed events 2) the original catalog only; Bottom Right: Spatial map of the original events with overlapping reconstructed events.



Figure 4. Frequency-magnitude distribution. From left to right: original synthetic catalog before STAI modeling, original synthetic catalog after STAI modeling, replenished catalog.

1.3. This leaves 11,429 earthquakes with $M \ge M_c^*$. Finally, we set the *b*-value for the 269 Gutenberg-Richter law equal to 1. The replenished catalog includes 17,428 events. Fig-270 ure 5 plots the magnitude of completeness as a function of time, with highlighted the 271 detected STAI gaps (four in this case). The magnitude of completeness is recovered to 272 the reference value M_c^* after about 1 month. Figure 6 shows the other graphical outputs 273 returned by the algorithm. While the ground truth is not known in the real-case test, 274 we observe that the missing events are correctly reconstructed in a way which is consis-275 tent with data. 276

277 5 Conclusions

We have presented RESTORE, a new Python toolbox for the reconstruction of mag-278 nitude, time and location of events missed in the coda of large shocks. It relies on very 279 few assumptions - e.g. the detection rate of events can be assumed to be constant within 280 periods of time that are much shorter than the STAI extent. It also relies on a data-driven 281 approach, which is built on well-known empirical properties of earthquake data, such as 282 the Gutenberg-Richter law for the frequency-magnitude distribution and the aftershocks 283 clustering in space. The critical subsets of the catalog that are affected by STAI are au-284 tomatically detected through a moving-window approach, which identifies statistically 285 significant departures of the magnitude of completeness with respect to a reference value. 286 We demonstrate the robustness of the algorithm by means of a numerical and a real-case 287 test. In the first case, the ground truth is accurately recovered: not only the number of 288 missing earthquakes is correctly retrieved, but their space-time-magnitude stochastic dis-289 tribution is correctly resolved as well. The real-test case, which applies to the Mw 6.2 290 Amatrice earthquake, further proves the good performance of the algorithm, which re-291 constructs the missed events in a way that is consistent with the data. The main advan-292 tage of RESTORE lies in its fully data-driven approach. However, this could also rep-293 resent a drawback if the following aspects are not carefully taken into consideration: 294



Figure 5. Temporal evolution of the magnitude of completeness, with highlighted the detected STAI gaps. The moving-window includes 1000 events and is shifted by 250 events.

| 295 | • the quality of the seismic catalog: strong uncertainties about the earthquake pa- |
|-----|---|
| 296 | rameters (epicenter coordinates, magnitude, occurrence time) will affect the prop- |
| 297 | erties of the simulated events; |
| 298 | • the seismic quiescent period: it must be carefully selected for an accurate estima- |
| 299 | tion of the reference value of the magnitude of completeness. Furthermore, it must |
| 300 | be long enough to include a number of events which must be substantially higher |
| 301 | than the chosen window size; for an unbiased estimation of M_c^* , the user is required |
| 302 | to select the quiescent period so to include a number of events N which is a mul- |
| 303 | tiple of the selected window size k (we recommend at least $N \simeq 4 * k$); |
| 304 | • spatial map domain: the same reasoning for the seismic quiescent period applies |
| 305 | here as well; the selected area should obviously include the large shock/s and, at |
| 306 | the same time, enough events in the seismic quiescent period; |
| 307 | • the window size and step: the output provided by RESTORE will be affected by |
| 308 | the values provided for these parameters; we recommend to test several alterna- |
| 309 | tives and opt for those assuring the best replenishment. As detailed in the text, |
| 310 | too small values for the size and step will likely bias the M_c estimate, whereas too |
| 311 | large values will shadow short-term fluctuations of M_c . |
| | |
| 210 | RESTORE is made freely available and can be downloaded at the link provided in the |

RESTORE is made freely available and can be downloaded at the link provided in the Acknowledgments. It promises to become a valuable research tool to tackle the STAI issue, which can severely bias any study based on the analysis of real seismic catalogs. Hopefully, it will help reducing these sources of bias, thus leading to better operational earthquake forecasting and seismic hazard assessment.

6 Data Availability Statement

The algorithm RESTORE is available at the following Zenodo repository: https:// doi.org/10.5281/zenodo.3952182, and can also be downloaded from GitHub at this



Figure 6. Main graphical outputs of the algorithm. Top Left: Magnitudes versus sequential numbers for the original catalog; Top Right: Magnitudes versus sequential numbers for the replenished catalog; Bottom Left: Magnitude versus time for 1) the original catalog and the reconstructed events 2) the original catalog only; Bottom Right: Spatial map of the original events with overlapping reconstructed events.

link: https://github.com/angystallone/RESTORE. The repository includes the dataset
used for the synthetic test as well. The seismic catalog used for the real-case test (Amatrice earthquake) is the HOmogenized instRUmental Seismic catalog (HORUS) of Italy
(Lolli et al., 2020) and it can be downloaded at this link: https://horus.bo.ingv.it/.
The routine *Mc-Lilliefors* implemented in RESTORE for the magnitude of completeness estimation is available at the following Zenodo repository: https://doi.org/10
.5281/zenodo.4162496.

327 Acknowledgments

This project has been founded by the Seismic Hazard Center (Centro di Pericolosità Sismica, CPS, at the Istituto Nazionale di Geofisica e Vulcanologia, INGV).

³³⁰ Appendix A Calculation of number of missing events

Here we derive Equation 1 in the text. The frequency-magnitude distribution of earthquakes is typically described by the Gutenberg-Richter (G-R) exponential law (Gutenberg & Richter, 1944):

$$N(M) = 10^{a-bM},\tag{A1}$$

where N(M) is the number of events with magnitude above M (M >= Mmin, i.e. the minimum magnitude in the earthquake catalog), a is a constant related to the total seismic rate and b is the *b*-value, controlling the relative number of large earthquakes in the catalog. Let us consider the case where $M_2 \ge M_1$. We have:

$$N(M \ge M_1) = 10^{a-bM_1}$$

 $N(M > M_2) = 10^{a-bM_2}$

We start by expressing $N(M \ge M_1)$ as a function of $N(M \ge M_2)$ and b only, by calculating the ratio:

$$\frac{N(M \ge M_1)}{N(M \ge M_2) = 10^{-b(M_1 - M_2)}}$$
(A2)

This simple trick enables us to rescale the problem, i.e. to get rid of the term 10^a , which is related to the total seismic rate:

$$N(M \ge M_1) = N(M \ge M_2) \cdot 10^{-b(M_1 - M_2)}$$
(A3)

We observe that $M_2 = M_1 + n \cdot mbin$, where mbin is the magnitude binning (usually equal to 0.1). It follows that:

$$N(M \ge M_1) = N(M \ge M_2) \cdot 10^{b \cdot n \cdot mbin} \tag{A4}$$

For one bin only (i.e., n = 1):

$$N(M > M_1) = N(M > M_2) \cdot 10^{b \cdot mbin}$$
(A5)

This equation allows to retrieve the number of expected events with magnitude $M \ge$

 M_1 as a function of the number of events with magnitude $M \ge M_2$. In other words,

we can extrapolate the frequency of earthquakes above a given magnitude to any lower magnitude cutoff. Note that we implicitly assume the *b*-value is constant for any subset of the whole catalog.

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