Experimental Investigation of Droplet Distributions from a Plunging Breaker with Different Wind Conditions

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Abstract

Understanding the droplet cloud and spray dynamics is important on the study of the ocean surface and marine boundary layer. Several of the relevant phenomena depend highly on the characteristics of the spray produced by waves. Nonetheless, the role that the wave energy and the type of wave breaking plays in the resulting distribution and dynamics of droplets is yet to be understood. The aim of this work was to generate violent plunging breakers in the laboratory, quantify the produced droplets, obtaining their sizes and dynamics and to analyze the effect of the different wind speeds on the droplet production. It was found that the mean radius increases with the wave energy and the shape of the initial distribution of droplet sizes does not change with the presence of wind. Also, indications of turbulence affecting the droplet dynamics at wind speeds of 5m/s were found. The amount of large droplets (radius > 1mm) found in this work was larger than expected from the literature. An improved estimation of the initial distribution of large droplets can largely affect the evolution of the Sea Spray Generation Function, and therefore the estimation of energy and mass transport in the marine boundary layer.

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6 Key Points:

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- Initial Droplet Size Distribution
- ⁸ Focusing Wave Train
 - Spray Generation

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10 Abstract

Understanding the droplet cloud and spray dynamics is important on the study of the 11 ocean surface and marine boundary layer. The role that the wave energy and the type 12 of wave breaking plays in the resulting distribution and dynamics of droplets is yet to 13 be understood. The aim of this work was to generate violent plunging breakers in the 14 laboratory and analyze the spray production by the crest of the wave when it impacts 15 in the free surface. The droplet sizes and their dynamics were measured and the effect 16 of different wind speeds on the droplet production was also considered. It was found that 17 the mean radius increases with the wave energy and the presence of larger droplets (ra-18 $dius > 2 \,\mathrm{mm}$ in the vertical direction increases with the presence of wind. Furthermore, 19 the normalized distribution of droplet sizes is consistent with the distribution of ligament-20 mediated spray formation. Also, indications of turbulence affecting the droplet dynam-21 ics at wind speeds of 5 ms^{-1} were found. The amount of large droplets (radius > 1 mm) 22 found in this work was larger than reported in the literature. An improved estimation 23 of the initial distribution of large droplets can largely affect the evolution of the Sea Spray 24 Generation Function, and therefore the estimation of energy and mass transport in the 25 marine boundary layer. 26

27 Plain Language Summary

When ocean waves break, a large amount of bubbles and droplets is produced. The 28 created droplets can travel very far distance and very long times depending on their sizes 29 and the wind conditions. These droplets are an important factor for the changes in the 30 weather close to the water surface but also to changes in the global atmosphere. Tem-31 perature, humidity, salinity are only some of the examples of the weather conditions that 32 depend on the droplet presence and movement through the atmosphere. In our study, 33 we try to estimate how many droplets detach from the waves when they break and which 34 sizes do they have. We also try to understand the role of wind in these initial instants. 35 We found that the sizes of the produced droplets are larger than presented in previous 36 research. We also found that the presence of wind is not as important as the wave en-37 ergy in the production of the different sizes. The characteristics of the droplets produced 38 from wave breaking are very important to understand their evolution through time and 39 their transportation through the atmosphere. Further study of these characteristics will 40 help to produce more accurate models to predict changes in the atmosphere. 41

42 **1** Introduction

At the ocean surface, a large range of complex two phase flow interactions gener-43 ate aeration in the ocean and aerosol transport through the air. In the present study, 44 we are interested in wave breaking and marine icing processes. For example, in the Arc-45 tic environment the droplets produced after wave breaking are transported by the wind 46 and generate thick layers of ice over the surface of ships and structures in short time. 47 These ice-layers represent a life hazard for the inhabitants of these vessels. Field stud-48 ies and simulations has been used to address this phenomenon (Rashid et al., 2016; De-49 hghani et al., 2016; Bodaghkhani et al., 2016; Ryerson, 1990), but its complexity has shown 50 that a deeper understanding of the droplet generation is necessary. The study of droplet 51 size distribution and dynamics becomes important to understand the transport through 52 the marine boundary layer and above. To make models of this phenomena, there is need 53 of more information about the size and velocity distributions of the particles. These type 54 of measurements or estimations can be useful when developing numerical models for ma-55 rine icing, for example. Small droplets can be transported over long distances and re-56 main in the atmosphere for several days, while large droplets remain close to the ocean 57 surface and return to the ocean in shorter time scales but still affect the air-sea fluxes 58 of momentum and enthalpy (Veron, 2015). 59

Some of the relevant articles for this study were Fairall et al. (2009), Stokes et al. 60 (2013) and Veron et al. (2012) which presented experimental results on spray size dis-61 tributions and Sea Spray Generation Function (SSGF) produced by different setups: wind 62 generated waves, mechanical generated waves with wind, plunging planar jet, etc. More 63 recently Ortiz-Suslow et al. (2016) conducted an experimental study with mechanical 64 waves and winds up to 54 ms⁻¹. The findings of Ortiz-Suslow et al. (2016) showed a sim-65 ilar power law as the proposed before with an important dependence on the wind veloc-66 ity. Nonetheless, for droplets with radii $\sim 1 \,\mathrm{mm}$, the production rates were several or-67 ders of magnitude higher than the rates expected from previous investigations (Fairall 68 et al., 2009; Veron, 2015). The droplets were measured at locations between 2 and 6 times 69 the local significant amplitude, and for the highest wind speeds droplets with radius \sim 70 1 mm were observed in relatively high quantities at 3–4 times the significant wave height. 71 Furthermore, field measurements have been conducted, where the concentration of aerosol 72 numbers in the atmospheric boundary layer were obtained (Lenain & Melville, 2017). 73 Droplets sizes ranging from 0.1 to 200 microns were considered. It was found that droplets 74 with radii larger than 40 microns can reach heights higher than 400 m above mean sea 75 level. These findings may suggest that large droplets have a longer lifetime in the atmo-76 spheric boundary layer than previously expected. Therefore, the processes from where 77 these larger droplets are created need to be better understood. 78

In the review, Veron (2015), previous findings and emerging consensus on sea spray 79 generation were summarized. Three types of sea spray production processes are thor-80 oughly analyzed: Film, Jet and Spume produced droplets with radius up to 1mm. These 81 small droplets (radius $< 1 \,\mathrm{mm}$) have residence times in the atmospheres from minutes 82 to several days, or even weeks –when the radius is only a few nanometers. The long res-83 idence times allow to make direct estimations of the spray size dependence on the wind 84 velocities by measuring the drop concentration average profile through time. The review 85 also summarizes thoroughly the studies over direct and indirect methods to estimate a 86 SSGF. It is pointed out, that indirect estimations of the SSGF have the common assump-87 tion of a universal source function, and that the change on number density for a partic-88 ular size range is considered to depend only on other controlling parameters, such as wind 89 speed, fetch, surface stress, etc. The review closes by highlighting that one of the main 90 issues to study in the future is the large spume droplets (radius larger than 1 mm), their 91 generation mechanism, initial velocity and dynamic behaviour through the airflow. More-92 over, field studies of droplet distribution on vessels showed that the sizes distributions 93 extend to several millimeters (Bodaghkhani et al., 2016; Rverson, 1990). The study of 94 larger droplets generation, their trajectories and velocities is relevant for the understand-95 ing of phenomena that occurs close to the ocean surface. For example, the main source 96 of marine icing on ships and offshore structures is the sea spray generated by breaking 97 waves and waves impacting in the same structures (Bodaghkhani et al., 2016; Rashid et al., 2016). The present study is an attempt to contribute to the understanding of the 99 large droplets behaviour. In particular the generation mechanism, initial size distribu-100 tion, or so called source function, and the dynamic behaviour through the airflow. 101

There are several studies of droplet size distribution and SSGF available, proba-102 bly the most relevant for this study were Mueller and Veron (2009) and Villermaux et 103 al. (2004) where the importance on the initial distribution or source function to estimate 104 the shape of the SSGF was addressed. Villermaux et al. (2004) proposed a Γ -distribution 105 to fit the droplets created after the break-up and coalescence of what they called *liqa*-106 *ments* that detached from the main water bulk. They show the dependence of the droplet 107 distribution on the volume and diameter of these ligaments independently of the shape 108 of the liquid bulk. Then, Mueller and Veron (2009) used the proposed Γ -distribution as 109 the initial distribution to calculate the shape of the SSFG. They found their proposed 110 function implied considerably larger energy fluxes at low and moderate winds. These find-111 ings remark the importance of the individual processes of production and suspension of 112

droplets and point towards the complexity of the initial size distributions due to the variety of such processes.

The importance of the dynamics of the droplet generation and transport has also 115 been studied. The description of dispersion and transport of droplets has been done by 116 examining the motion of a single drop and quantifying the influence of the airflow and 117 turbulence over the droplet. Equations for terminal velocities and drag coefficients have 118 been obtained and related to Particle Reynolds numbers (Re), Stokes numbers (St) and 119 the Kolmogorov time scaling (Clift et al., 2005; Andreas et al., 2010; Crowe et al., 2011). 120 121 But when dealing with large numbers of droplets, it is also important to consider the statistics of the phenomena. In general, particles moving in a fully developed turbulent flow 122 have velocity components that are Gaussian distributed and the speed follows the Maxwell-123 Boltzman distribution, similarly to the Brownian motion (Pope, 1994). Also, it has been 124 found that the acceleration components has a stretched exponential shape with largely 125 extended tails compared to a Gaussian distribution (La Porta et al., 2001). This is a phe-126 nomenological function for flows with 200 $\leq R_{\lambda} \leq 970$, where R_{λ} is the Taylor mi-127 croscale Reynolds number defined in terms of Reynolds number of the flow Re_{flow} as 128 $R_{\lambda} = (15 Re_{flow})^{1/2}$. This function has been experimentally confirmed by different ar-129 ticles through out different fluid dynamics applications (Voth et al., 2001; Mordant et 130 al., 2004; Shnapp et al., 2019; Kim & Chamorro, 2019). 131

In this study we present experimental results for medium and large droplets $(0.25 \,\mathrm{mm} \leq$ 132 $r \leq 5.5 \,\mathrm{mm}$) generated by plunging breakers. When the crest of the plunging break-133 ers impact the free surface, a large quantity of spray is produced. Cases without wind 134 and with the presence of low wind $(<7 \,\mathrm{ms}^{-1})$ have been studied. We attempt to find the 135 shape of the initial size distribution, or source function, and relate the conditions at the 136 source (like wave energy content and wind) to the dynamics of the droplets. Our work 137 is structured as follows. In section 2, the experimental setup is presented thoroughly. First, 138 the generation of wind and its resultant profiles are detailed. Then, the generation of the 139 focusing wave train and its development in the presence of a beach and wind are pre-140 sented. In section 3, we present the resultant dynamics and sizes obtained by the use of 141 Three Dimensional Particle Tracking Velocimetry (3DPTV), these results are further an-142 alyzed to obtain statistical distributions of initial droplet diameter, vertical reach, ve-143 locity and accelerations. 144

¹⁴⁵ 2 Experimental Methods

The experiments were conducted in the Hydrodynamics Laboratory at the Univer-146 sity of Oslo, in the wave tank with dimensions $25 \times 0.52 \times 1$ m where the mean water 147 level for all experiments was 0.5 m. In this work violent plunging breakers are made and 148 the produced droplets are quantified, obtaining their sizes and dynamics and analyzing 149 the effect of the different wind speeds on the droplet production. To produce breaking 150 waves, a focusing wave train is used, where long waves overtake short waves, further de-151 tails can be found in Section 2.2. Then the breaking was made more violent by adding 152 a slope which caused the already focused waves to steepen and overturn. The overturn-153 ing crest of the wave splashed at the free surface releasing a large number of droplets. 154 The experiment consisted of three main measuring techniques: the generation and anal-155 ysis of a focusing wave train that steepens by the effects of a slope, the wind velocity pro-156 files produced on top of the waves and the detection of the droplet cloud created after 157 the break. Hereafter, the different analysis tools are described in detail. 158

159 2.1 Wind Profiles

The wind profiles, without the influence of mechanically generated waves, were measured using particle image velocimetry (PIV). The center of the field of view (FOV) is 10.75 meters from the wave paddle in the location "PIV FOV", indicated in figure 1. Two



Figure 1. Schematic drawing of the wave tank in the Hydrodynamics Laboratory where the experiments were developed



Figure 2. Example recorded velocity profile (blue lines), data points used for fit with equation 1 (blue circles) and resulting log-profile (black line). Illustrated with linear (left) and semilogarithmic (right) axis.

Photron WX100 (2048x2048 pixels) cameras with 50 mm lenses are used, each provid-163 ing a FOV of approximately 18x18 cm. The cameras were positioned in a vertical arrange-164 ment, as indicated in figure 1. The air phase was seeded with small ($\approx 6 \ \mu m$) water droplets 165 generated from a high pressure atomizer. The centerplane was illuminated by a 147 mJ 166 ND:YAG double pulsed laser. The cameras were set to acquire images at a rate of 30 167 fps, and a frame straddling technique was employed to control the effective Δt between 168 an image pairs used for PIV. Hence, 15 velocity fields were acquired per second. Δt was 169 varied between 150 and 350 μs depending on the air velocity in the flume. The images 170 (800 per experimental case) were processed in Digiflow by Dalziel Research partners (Dalziel, 171 2017), with a final subwindow size of 80×80 pixels, and 50 % overlap. 172

The lower part of the velocity profiles (some distance above the waves) were found to be well represented by a logarithmic velocity profile:

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$$u = \frac{u_*}{\kappa} \ln(y/y_0),\tag{1}$$

where u_* is the wind friction velocity, κ is the Von Karman constant (set equal to 0.41) and y_0 is the roughness height. Equation 1 was fitted to a part of the velocity profile exhibiting a logarithmic profile, deducing u_* and y_0 , as shown in figure 2. The logarithmic profile was then used to estimate an equivalent U_{10} (mean velocity evaluated 10 meters above the surface). Results are presented in table 1, together with the peak horizontal velocity recorded (U_{max}) .

Wind case	U_{max} [m/s]	$u_* [{\rm m/s}]$	$y_0 \; [\mathrm{mm}]$	$U_{10} \mathrm{[m/s]}$
1	3.41	0.151	0.0185	5.14
2	3.91	0.201	0.0403	6.09
3	5.09	0.286	0.0984	8.03
4	5.45	0.308	0.1015	8.64
5	6.16	0.341	0.0864	9.70

Table 1. Results from the wind profile analysis.

Table 2. Maximum wave amplitude for the envelope at the focal point x_f , for the different voltage inputs in the wave paddle and maximum steepness ak considering all wave trains have k = 7.59 rad/m

Wave case	a_{max} [m]	ak
1	0.062	0.47
2	0.075	0.57
3	0.087	0.66

2.2 Generation of Focusing Wave Trains

The mechanically generated waves were created by a horizontal displacement wave paddle, shown in Figure 1. Focusing wave packets were created, the focal region is produced by generating waves whose period increased with increasing time. To modify the wave energy, different wave amplitudes were generated by varying the maximum voltage input V_m , the maximum amplitude a_{max} is shown in table 2. A group of focusing waves is created using this input voltage time history (Brown & Jensen, 2001):

$$V(t) = b(t)\sin\Phi(t) \tag{2}$$

190 for $0 \le t \le t_s$ with

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$$b(t) = \frac{256}{27} \frac{t^3(t_s - t)}{t_s^4} V_m \tag{3}$$

$$\Phi(t) = 2\pi f_0 t \left(1 - \alpha \frac{t}{t_s} \right) \tag{4}$$

¹⁹⁴ where the instantaneous wave frequency is approximately

$$\omega(t) = \frac{d\Phi}{dt} = 2\pi f_0 \left(1 - 2\alpha \frac{t}{t_s} \right) \tag{5}$$

¹⁹⁶ Under deep water conditions, $\omega(t)$ produces a perfect focus at

$$x_f = \frac{gt_s}{8\pi\alpha f_0} \tag{6}$$

therefore, to define x_f , the parameters α , t_s and f_0 should be constant. In these experiments, the parameters were defined as:

$$\alpha = 0.30, \quad t_s = 18 \,\mathrm{s}, \quad f_0 = 2Hz,$$

- which defined the focal point at $x_f = 11.69 \,\mathrm{m}$, approximately the edge of the sloping
- beach. It is important to notice that the wave number k is only dependent of $\omega(t)$ in eq.
- 5; therefore, using the dispersion relation for intermediate depth: $\omega^2 = gk \tanh(kh)$,
- values of k can be calculated numerically for each instant in the wave packet. k = 7.59rad/m at the breaking point for all cases.



Figure 3. Phase space of focusing wave train, the beach position is limited by the dotted line and the position of the original focusing point x_f is shown with the red line.

By using this focusing method, we obtain breaking waves when we reach steepness 203 ak > 0.44. But the breaking created by the selected amplitudes only generated spilling 204 breakers and small overturning. It is worth mentioning, that larger amplitudes cannot 205 be used, because the wave packets break before reaching the focal point. Therefore, a 206 shoaling was added to steepen the waves even more as they approach the focusing point. 207 In this way, the waves are forced to overturn as the toe of the wave decelerates and the 208 crest accelerates. Nonetheless, it was expected that the presence of the beach affected 209 the focus position as we approach to shallow waters, so the effect of the shoaling at the 210 breaking point should be studied. The phase space in figure 3, shows the effects of the 211 sloping beach at the focal point. This diagram shows that some of the frequencies will 212 reach x_f faster due to the presence of the beach. Nonetheless, most of the frequencies 213 preserve the original x_f . 214

Additionally, the surface elevation at x_f for the wave groups with and without beach 215 can be compared (figure 4, to the left). It is visible that the caustic or envelope suffers 216 some modifications. In this figure ak = 0.57 has been selected as example. The solid 217 line shows the packet previous to the presence of the beach ("no-beach" label in figure) 218 and the dashed line shows the wave packet when the beach is added. The steepening ef-219 fect is visible in the second case. But the central high component remains and produces 220 a violent plunger breaker that can be studied. The energy content of the wave group can 221 be quantified by means of the power spectrum. Figure 4(right) shows the power spec-222 trum of the wave groups at x_f for the example case (ak = 0.57). It is obvious that both 223 cases have the same peak frequency, but the beach case shows evidence of energy dis-224 persion, which was expected. Over all, the presence of the beach affects the energy and 225 spectrum of the group but not the position of the breaking point. 226

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2.3 Wind Generated Waves and their Influence in the Focusing Wave Train

When introducing wind in the air phase, it was expected to obtain a field of wind 229 generated waves. Their characteristics will depend on the wind velocity U_{max} and the 230 fetch, as has been studied in wave theory. The wind wave field will disturb the focus-231 ing packet and modify the frequencies and the energy present at the impact. Therefore 232 it was important to quantify the influence of this field in the impact zone. Using the wave 233 gauges, one minute time series of the surface elevation were taken for different wind speeds 234 U_{max} without the presence of the focused packet. The power spectrum of these series 235 is presented in figure 5. The spectra show that the peak frequency of the wave filed changes 236 with the wind speed. For larger wind speed, the peak frequency decreases and the en-237 ergy content increases. These frequencies are higher than those for the mechanical gen-238



Figure 4. Surface elevation and Power Spectrum at the focal point. Comparison of the same wave amplitude before the beach is situated and after the beach is situated. With the beach presence the wave steepens and overturns to generate a plunger.



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Figure 5. Power spectrum of the wind waves field without the presence of mechanically generated waves.

erated waves and the magnitude of the coefficients is at most of the same order. From
these results, it could be interpreted that the wind could modify the energy content of
the wave packet but the influence over the shape and focusing point of the mechanical
waves could be minor. To confirm or refute this premise we can use again the surface
elevation and the power spectrum to investigate the wave packet while wind is produced.

Figure 6 shows an example of the surface elevation and the power spectrum for the 244 example steepness (ak = 0.57, same as figure 4). The graph shows the cases with wind 245 velocity $U_{max} = 6.2 \,\mathrm{ms}^{-1}$ (and beach) compared to the no-wind case (with beach) and 246 the no-beach case. Both graphs show similar results to figure 4, the envelope shape has 247 small changes and we can see a slight phase change for the highest components when wind 248 is applied. The steepening of the wave from the no-wind case to the wind case is minor 249 compared to the steepening from the beach case to the no-beach case. The frequency 250 domain is also similar in all cases, they have the same peak frequency and the wind cases 251 have dispersion that is indistinguishable from the dispersion created only by effects of 252 the beach. In conclusion, the beach and wind presence affects the energy content of the 253 wave group and therefore the energy of the breaker. To quantify the change in energy 254 content of the different cases, we can use the mean power as defined by statistics: 255

$$R(0) = \int_{-\infty}^{\infty} S(f) df,$$
(7)

R(0) is the area under the spectral curve, which can be interpreted as the energy content of the wave as $R(0) \propto a^2$ which is also proportional to the energy. Figure 7 shows the calculated R(0) compared to the different maximum wave steepness: ak and wind



Figure 6. Surface elevation and Power Spectrum at the focal point for cases with wind. Comparison of the same wave amplitude before the beach is situated and after the beach is situated with addition of wind. With the beach, the wave steepens and overturns to generate a plunger. The presence of wind also affects the steepening but less significantly.



Figure 7. Mean power of the wave series against wind velocity. The different markers represents different wave amplitudes.

velocities: U_{max} used for this work. The graph shows the effect of wind over the wave 260 energy. In all cases the energy increases with ak. But, it is interesting to see that for $U_{max} < d_{max}$ 261 4.5 the total energy of the packet is less than the energy of the packet without the pres-262 ence of wind. Table 3 shows the breaking type for all the cases analyzed. The difference 263 between a small plunger and a plunger is the plunge distance. The plunge distance is 264 defined as the distance from the break point to the crest touchdown point. We call the 265 breaking type "small plunger" if the plunge distance is smaller than $a_{max}/2$. For the case 266 of ak = 0.47 with wind velocities $U_{max} < 4.5 \,\mathrm{ms}^{-1}$ do not generate a plunging breaker, 267 therefore this data is not accounted in the study. The estimated energy will be compared 268 to the results of the droplet clouds. 269

2.4 3DPTV

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When the wave breaks, the crest accelerates and curls over the front. Then the crest 271 impacts the free surface and it splashes creating a cloud of droplets. After the wave breaks, 272 the disintegrating wave keeps moving forward and ejecting droplets from its surface. We 273 analyze all the droplets that were visible in the selected FOV(fig. 1 and fig. 8), mainly 274 the droplets generated by the splashing crest. The trajectories, velocities and acceler-275 ations of the droplets are obtained using 3DPTV. PTV uses the Langrangian approach 276 to follow the droplets. 3-D coordinates can be obtained by using stereoscopic imaging 277 and synchronous recording of the motion. The 3-D particle positions are tracked in the 278

Conditions	ak = 0.47	ak = 0.57	ak = 0.66
no beach	spilling	spilling	spilling
beach, $U_{max} = 0$	spilling	small plunger	small plunger
beach, $U_{max} = 3.41$	spilling	small plunger	plunger
beach, $U_{max} = 3.91$	spilling	plunger	plunger
beach, $U_{max} = 5.14$	small plunger	plunger	plunger
beach, $U_{max} = 5.45$	plunger	plunger	plunger
beach, $U_{max} = 6.22$	plunger	plunger	plunger

Table 3. Type of breaking before and after adding the sloping beach and under different wind conditions.



Figure 8. Schematic drawing of the 4-camera setup for 3DPTV.



Figure 9. Qualitative comparison of the trajectories obtained for experiments with wind and experiment without wind

 Table 4.
 Total number of droplets analyzed

	$U_{max} = 0 \mathrm{ms}^{-1}$	$U_{max} = 5.2 \mathrm{ms}^{\text{-}1}$	$U_{max} = 6.2 \mathrm{ms}^{-1}$
ak = 0.47	1518	10455	17310
ak = 0.57	8046	11001	10650
ak = 0.66	9154	13292	12272

time domain to derive the velocities and accelerations. A 4-camera system is used to per-279 form 3DPTV, using the open source software OpenPTV (Consortium et al., 2012). Im-280 ages of the cloud are taken by 4 Monochromatic AOS Promon cameras with 50mm lenses. 281 The frame rate is 167 fps and the image resolution is 1920×1080 pixels. The FOV right 282 side is located on the breaking point to obtain all the splashing occurred in front of the 283 wave, as shown in figure 1. The three-dimensional FOV is approximately $0.25 \times 0.15 \times$ 284 0.20 m, as shown in Figure 8 where the FOV is represented by the gray outlined area. 285 The gray plain represents the focal plain of the cameras which corresponds to the plain 286 z = 0. It is important to mention that the direction of the waves and the wind is in 287 the negative direction of the x-axis. A sequence of 2 seconds during and after the break-288 ing is recorded. From the post processing we can also obtain size distributions of the droplet 289 cloud. A set of 5 repetitions was developed for each wave amplitude and wind speed. 290

The breaking and spray generation process happens in a span of less than one sec-291 ond, and the physical event has an inherent randomness. Therefore the results of the 5 292 experiments are used as an ensemble in statistics. For each droplet we collect the results 293 of the PTV processing (size, position, velocity and acceleration) in each time step. Ev-294 ery time step is also consider in the analysis. As it was expected, we observed the droplets 295 are not always spherical and their deformation increases with the size. The equivalent 296 diameter D_e is commonly used to classify droplet sizes with one unique parameter and 297 is commonly defined as $D_e = \sqrt{ab}$, where a and b are the major and minor axis of the 298 ellipsoid. In addition, to calculate the values of a and b we use an averaged value from 299 the 4 images obtain by the camera array. 300

3³⁰¹ **3** Results and Discussion

A sample of 3D trajectories are presented in Figure 9, the trajectories have parabolic shape when $U_{max} = 0$, but with the increasing wind speed the shape tends to be more



Figure 10. Equivalent Diameter D_e distribution versus height distribution for the different steepness ak and wind velocities U_{max} . The red dotted line represents the maximum wave height before breaking.

skewed. The total number of analyzed particles can be found in Table 4. From the ta-304 ble, the number of droplets produced in the impact appears to grow with presence of wind, 305 but there is no apparent relation between the wave steepness and the number of droplets. 306 This might be a consequence of the different breaking presented on each case, as presented 307 in table 3. For example, ak = 0.47 is only spilling when $U_{max} = 0$ and ak = 0.57 is 308 considered to be only a small plunger for the same case. Figure 10 shows the equivalent 309 diameter D_e and height distributions of droplets for different cases, the vertical panels 310 shows different wind speeds U_{max} and the different horizontal panels show different wave 311 steepness ak. The maximum wave amplitude a_{max} is depicted with a red line. In all cases, 312 higher concentrations of larger particles are presented when the wind is applied. When 313 $U_{max} = 0$ the particles with $D_e > 2mm$ are clearly found only under a_{max} . In con-314 trast, larger concentrations of these particles are found over a_{max} for the wind cases. This 315 result agrees with the hypothesis that more droplets will be transported further by the 316 wind. When ak = 0.57 the presence of large droplets is small compared to the other 317 cases, this might be a consequence of the different types of breaking mechanisms. In this 318 case the small plunger seems to produce less droplets than the spilling breaker. By vi-319 sual inspection, the amount of spray is different, but it is difficult to quantify the dif-320 ference in the breaking process. 321



Figure 11. Probability Distribution of D_e compared to distribution proposed by Villermaux et al. (2004) and used in Mueller and Veron (2009)

Figure 11 shows the Probability Distribution for D_e , the solid lines correspond to the Γ -distributions as proposed by Villermaux et al. (2004):

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$$\Gamma(x;n) = \frac{n^n x^{n-1} e^{-nx}}{\Gamma(n)} \tag{8}$$

where n^{-1} is the variance and $x = D_e/\overline{D_e}$ is the diameter normalized by the mean. Values of *n* lie between 3.5 and 7 and are similar to those in Villermaux et al. (2004). Another relation, proposed by in the mentioned study, was between *n* and the ratio $\overline{D_e}/\xi$, where ξ is the average diameter of a ligament. In a general, this relation can be expressed as:

$$N\frac{\overline{D_e}}{\xi} \simeq e^{\frac{n}{3}} \tag{9}$$

with N being a normalization factor that depends on the initial length of the ligament. 331 Mueller and Veron (2009) presents the simplified relation: $n = 0.4(D_e/\xi) + 2$. In our 332 experiments ligaments are also created during the splash and after the wave breaking, 333 ligaments with diameters between 1-5 mm have been found by manual inspection of the 334 obtained images. Although the mechanism from which ligaments are generated may not 335 be the same as for that presented in the mentioned study, the mechanism that forms droplets 336 from the breakup of ligaments is suspected to be similar. Assuming that most of the droplets 337 were generated by ligament breaking, and considering that the relation obtained by Mueller 338 and Veron (2009) holds for this study, we can assume that the droplets with mean di-339 ameter $\overline{D_e}$ come from ligaments of diameter $\xi \approx 0.4 \,\mathrm{mm}$. With a resolution of 0.15 mm 340 per pixel in the images acquired, most of this ligaments would be barely detectable in 341 the images. 342

Figure 12 shows $\overline{D_e}$ for the different cases. From the figure, it is observed that $\overline{D_e}$ 343 increases with R(0) of the wave, a simple first order polynomial fit can be made which 344 results in the relation: $\overline{D_e} = 7.65R(0) + 0.001$ with a coefficient of determination of 345 $R^2 = 0.36$. According to Mueller and Veron (2009): $D_0 \approx 2.5 D_e$, where D_0 is the di-346 ameter of a sphere with the equivalent volume as the average ligament, assuming that 347 this relation is sustainable in the present study, then $D_0 \approx 2.5 D_e = 2.5(7.65R(0) +$ 348 0.001) this means that the D_0 increases with R(0), the energy of the wave packet. Pre-349 viously, it has been found that the mean size of droplets decreases with the presence of 350 high winds (Mueller & Veron, 2009; Ortiz-Suslow et al., 2016; Fairall et al., 2009). Our 351 findings suggest that it is the break-up of larger droplets in the turbulent flows that con-352 tributes to the generation of smaller droplets. Therefore the study of large droplets breakup 353 in high wind could be of interest. 354



Figure 12. R(0) against mean equivalent diameter. A linear fit is shown with the dotted line: $\overline{D_e} = 7.65R(0) + 0.001$ with $R^2 = 0.36$. The mean diameter seems to increase with the energy content of the wave packet.

3.1 Velocity Distributions

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Figure 13 shows the probability distributions of the velocity components for all the 356 droplets analyzed in the different cases. Each vertical panel shows the same U_{max} and 357 each horizontal panel shows one of the velocity components. The different ak are shown 358 with distinct markers and a solid line shows the Gaussian distribution with the same mean 359 and standard deviation as the data. Only the cases with $U_{max} > 0$ exhibit similarity 360 with the Gaussian distribution. Mean values for each velocity component has been cal-361 culated over the different sets corresponding to the same ak and U_{max} . The mean value 362 is different for each velocity component, but consistent through the same U_{max} and in-363 dependent of ak as shown in table 5. When $U_{max} = 0$ the probability for droplets with 364 the mean velocity is larger than the estimated by the Gaussian distribution, especially 365 in the u and w components which refer to the horizontal components. On the other hand, 366 v the vertical component presents a larger probability for extreme cases when there is 367 no wind. This means that the largest vertical velocity is dampened by the presence of 368 wind. For all components, the standard deviation increases with U_{max} , which is more 369 likely an indication of the forcing applied on the droplets by the wind, the forcing could 370 be responsible of increasing the variability of the instantaneous velocities, creating larger 371 deviations from the mean. 372

In this order, we could consider the flow regime in which the experiments were de-373 veloped. The Reynolds number of the airflow can be estimated as $Re_{flow} = LU_{max}/\nu$, 374 where L is the length of the section of the tank which contains air and ν is the kinematic 375 viscosity of air. For the case were $U_{max} = 0$, Re_{flow} is zero, but instead we consider 376 the maximum particle Reynolds number $Re = D_{max} |\vec{u}|_{max} / \nu$, where D_{max} is the max-377 imum diameter of found droplets and $|\vec{u}|_{max}$ is the maximum speed for the droplets. These 378 values are presented in Figure 13. From these values of Re_{flow} , both wind cases could 379 be consider as turbulent flows. However, it might not be expected to see the effect of tur-380 bulent flow onto large droplets. Nonetheless, the results found for the velocity compo-381 nents resembles those found for tracer particles in turbulent flows (Voth et al., 2001; Ouel-382 lette et al., 2006). 383

From the velocity components, the speed $|\vec{u}|$ can be calculated and the distributions obtained are presented in Figure 14. The different ak are represented by different markers and the solid line represents the Maxwell-Boltzman(M-B) distribution with the same mean value. M-B distribution represents the speed of particles moving in three dimensions with Gaussian distributed velocity components. The top graphs show the distribution for $U_{max} = 0$ and the bottom graphs summarizes the results for the other cases.

	$U_{max} = 0 \mathrm{ms}^{\text{-}1}$	$U_{max} = 5.2 \mathrm{ms}^{\text{-}1}$	$U_{max} = 6.2 \mathrm{ms}^{\text{-}1}$
u	-0.39 m/s	-0.14 m/s	-0.29 m/s
v	-0.20 m/s	-0.17 m/s	-0.20 m/s
w	$0.00 \mathrm{~m/s}$	$0.00 \mathrm{~m/s}$	$0.00 \mathrm{~m/s}$

Table 5. Mean of velocity components by U_{max} and velocity component



Figure 13. Probability distribution for the different velocity components for the different wind cases. Maximum values of Re are $Re_0 = 120$, $Re_{5.2} = 2700$ and $Re_{6.2} = 3200$; where the subscript refers to the correspondent U_{max}



Figure 14. Probability distribution for the speed for the different wind cases.

In general, it is visible that the speed distributions for $U_{max} = 0$ are dependent on the 390 values of ak and differs largely from the *M-B* distribution, while the other cases become 391 independent of ak and follow closely the M-B distribution. When there is no wind, the 392 data distributions present larger probability for extreme values, both towards zero and 393 the maximum speed. This is just a confirmation that the velocity components do not 394 present a Gaussian shape, which is a reasonable assumption as most droplet trajecto-395 ries are parabolic where the velocity components would be statistically dependent. On 396 the other hand, when wind is introduced, the speed distribution resembles closely the 397 *M-B* distribution, therefore we can confirm the components of the velocity have Gaus-398 sian behaviour. Physically, this is a significant finding, because the Gaussian and M-B 399 distributions of the velocity can be indicators of random processes, or in some cases of 400 turbulent processes (Batchelor, 1953; Mouri et al., 2002; Vincent & Meneguzzi, 1991). 401

Additionally, we could consider the effect that the wind conditions have on the drag 402 forces of the droplets. Independently of the wind conditions, droplets moving through 403 air will be affected by different factors, specifically the drag force is dependent on the 404 velocity, the size of the droplet and their deformation through the air. Small droplets 405 $D_e < 1 \text{ mm}$ will have considerable drag because of the small Re, they follow the drag-Reynolds relation for rigid spheres $(C_D = \frac{24}{Re}[1+0.1935Re^{0.6305}])$. For larger droplets 406 407 (> 1 mm), the deformation of the droplet is also important, in general, it has been found 408 that the drag coefficient is larger for an oblate spheroid than for a sphere. On top of the 409 drag coefficient variations due to the drop and its own dynamics, the effect of an exter-410 nal flow, like wind, should be consider also. The drag-Reynolds relation becomes fairly 411 complicated because of all the parameters and their variations. Therefore we cannot as-412 sume that large droplets will be less affected by drag than small droplets. For example, 413



Figure 15. Probability distribution for the acceleration components for the different wind cases. Maximum values of Re_{λ} are $Re_{\lambda,0} = 42$, $Re_{\lambda,5.2} = 201$ and $Re_{\lambda,6.2} = 218$; where the subscript refers to the correspondent U_{max}

experimental data of water droplets falling in turbulent flows (Laws, 1941) has shown
that for large drops the average drag coefficient is higher than in non turbulent flows.
In addition, when studying large particles as flow tracers in Lagrangian methods (Xu
& Bodenschatz, 2008), it was found that the acceleration PDF's where quite similar to
those of the tracer particles, with the tails being weakly suppressed. This will suppose
that the large particles also follow the turbulent flow at a certain degree. Analysis of the
acceleration distributions can also be done to compare to this result.

3.2 Acceleration Distributions

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Figure 15 shows the probability distribution of the acceleration components normalized by their standard deviation $a_i/ < a_i^2 >^{1/2}$ in the wind direction a_x and in the vertical direction a_y . The different ak are shown with different markers and each vertical panel shows a different cases of U_{max} . The dashed line represents the Gaussian distribution with the same standard deviation and the solid line shows the exponential distribution proposed by La Porta et al. (2001) and defined by:

$$C \exp\left(-\frac{a^2}{(1+|a\beta/\sigma|^{\gamma})\sigma^2}\right)$$
(10)

with
$$\beta = 0.539$$
, $\sigma = 0.508$, $\gamma = 1.588$, for the results presented here the constant

C = 0.67. In all cases the probability for extreme cases is larger than the expected in

a Gaussian distribution, but only for $U_{max} = 6.2 \,\mathrm{ms}^{-1}$ the data resembles closely the 431 distribution suggested by La Porta et al. (2001) where the extreme cases have the largest 432 probability. In the graphs, it is visible that the tail values for the experimental data are 433 not as high as those proposed by the distribution. This effect could be related to the level 434 of turbulence in the flow and/or the size of the droplets. The level of turbulence is re-435 lated to the values of Re_{λ} , which were calculated to be $Re_{\lambda} \leq 220$ for all the experi-436 ments. This values are in the lower limit of those studied by La Porta et al. (2001), where 437 $Re_{\lambda} \geq 200$. On the other hand, the size of the droplets suggests that the droplets do 438 not follow the flow, as passive tracers do, and therefore we do not expect them to be-439 have in the same way. As mentioned before, Xu and Bodenschatz (2008) have also seen 440 a similar effect on particles of large size compared to tracers, where the tail of the ac-441 celeration distributions is weakly suppressed when using large particles. Overall, we can 442 confirm that the dynamics of the droplets produced after the wave splash is affected by 443 the presence of wind even from velocities as low as 5 ms⁻¹. The Lagrangian approach to 444 the dynamics of particles shows a particular value in the measurement of accelerations. 445 The Lagrangian approach also allows the study of several particles statistics and the statis-446 tics of turbulent flows, which is vital for the understanding of dispersion, the study of 447 inertial particles and the development of the statistical models and simulations. 448

449 4 Conclusions

The initial distribution of droplets after a wave breaking event has been studied 450 for droplets between $0.5 \text{ mm} \le D_e \le 11 \text{ mm}$. The influence of wind on this initial dis-451 tributions has been addressed by comparing cases without wind and low wind velocities. 452 The analysis shows that the distribution of droplets in all cases is in agreement with the 453 Probability Distribution Function presented in previous studies for ligament-mediated 454 spray formation. A shift of the mean diameter is found and correlated to the energy con-455 tent of the breaking wave which could point out to a relation between the wave energy 456 and the volume of the mean ligament created during breaking. 457

As for the velocities and accelerations, the distributions show noticeable differences 458 between the cases without wind and the cases with wind. The presence of wind creates 459 a turbulent flow that affect the movement of the droplets from its separation of the liq-460 uid bulk. When there is wind, the velocity components are normal distributed and the 461 speed follows the M-B distribution as predicted by the theory of statistics in turbulent 462 flows. On the other hand, the velocity components differs from the Gaussian shape when 463 there is no wind, specially the speed has a very distinct shape from the M-B distribu-464 tion and larger probability for extreme values. The findings are similar for the acceler-465 ation components where the distribution for the largest wind velocity has a more extended 466 exponential tail, similar to experimental and numerical studies developed for Lagrangian 467 trajectories in turbulent flows (Choi et al., 2004; Gerashchenko et al., 2008; Voth et al., 468 2001; Toschi & Bodenschatz, 2009). 469

Over all we have shown that the initial size distribution of droplets, or source func-470 tion, for a wave impacting on the free surface, can be described by the proposed Γ -distribution. 471 The mean and variance of this distribution is subjected to the properties of the breaker 472 such as breaking type and energy content. The different mechanisms of droplet gener-473 ation that can be present during the splashing of a breaking wave, need to be further 474 studied individually and collectively, as in the nature these mechanisms are always com-475 bined and rarely isolated from each other. The influence of the flow surrounding the droplets 476 is not negligible for the wind cases as it is obvious from the statistics. Furthermore, it 477 can potentially be an important parameter in the droplet phenomenology, such as their 478 vertical reach, their coalescence rates or even their residence times in the atmospheric 479 boundary layers. Further studies should be directed to the understanding of these in-480 teractions. 481

The role of the large droplets is yet to be understood. The presented results shows 482 that the generation of large droplets $(D_e > 1 \text{ mm})$ during wave splashing is larger than 483 proposed in previous studies. This could be consider in agreement with recent research 484 that shows the production rates of these large droplets was higher than previously ex-485 pected (Ortiz-Suslow et al., 2016). It is the largest droplets that can more easily breakup 486 and generate more droplets when considering time evolution or increasing wind condi-487 tions. Therefore, their presence in the early stages of wave breaking and spray forma-488 tion needs to be further studied. Furthermore, there is evidence of the influence of the 489 flow in the large droplets in this study. Together with recent field studies (Lenain & Melville, 490 2017), this could suggest that large droplets have a longer lifetime in the atmospheric 491 boundary layer than previously expected. Therefore, the processes from where these larger 492 droplets are created and transported need to be better understood. 493

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