# Impact of drought and airborne pollutants on pediatric asthma emergency department visits in Imperial County, California, USA

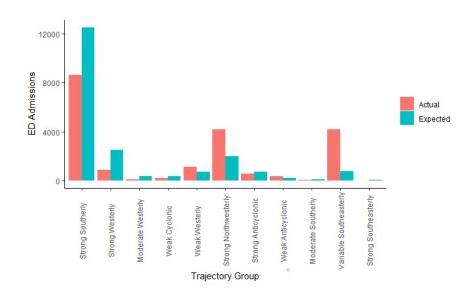
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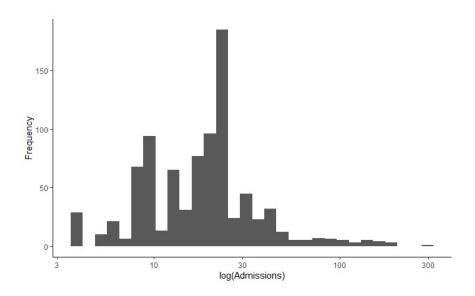
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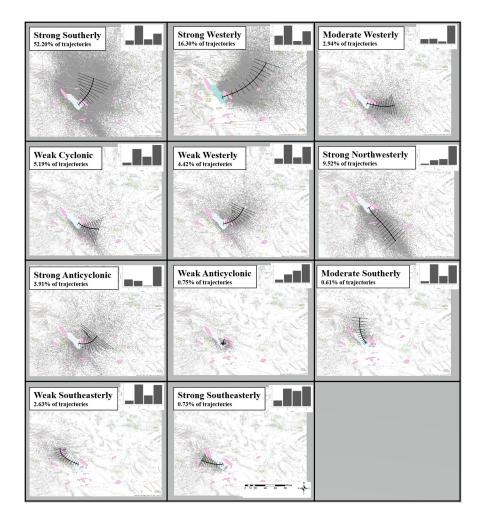
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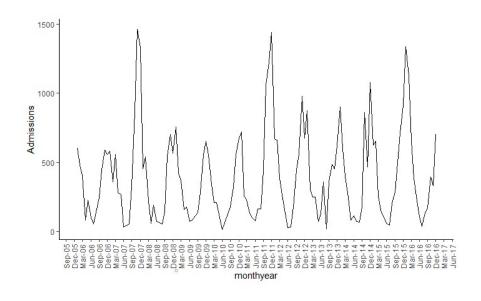
#### Abstract

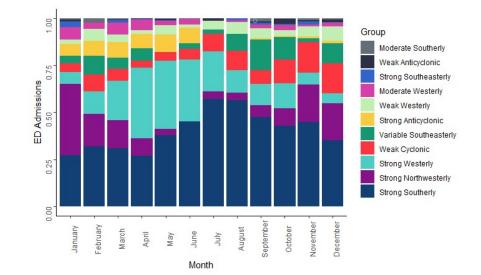
The pediatric population is at a unique and increased risk of immediate and long-term health effects of asthma from air pollution. The years 2012-16 marked the worst drought in California, USA, in over a century. Imperial County's landlocked Salton Sea is almost entirely dependent on agricultural runoff, where the water level has receded with drought conditions. Lakebed soil exposure may cause increased airborne particulate matter (PM), exacerbating asthma. Emergency department admissions and diagnosis codes for asthma were obtained for children ages 2-18, alongside population data to create population-weighted ZIP code buffers. Trajectory analysis, dispersion modeling, and meteorological data were used to determine likely PM exposure days. Drought severity data were used to establish a relationship between drought, exposure, and admissions. Conditional Poisson regression was used to determine the risk of Salton Sea dust exposure to asthma and moderating effects of drought. There is a significant relationship between exposure from the Salton Sea and admissions on exposure days (ERR 18.70%, p=0.012, 95%CI=3.936-35.623). Moderation analysis for drought indicated no significant effect from two indicators (ERR 1.005%, 95%CI =-0.0.084-1.111, p=0.714; ERR 104.44%, 95%CI=8.44-285.426, p=0.316), pointing to the possibility that particulates from the Salton Sea influence pediatric asthma. The large confidence interval is notable, suggesting the influence of additional pollutant sources, which is consistent with the study area, where a variety of factors may contribute to air quality. Drought severity was not a significant moderator between exposure and admissions, possibly due to the slow-response impact of drought that was not captured.











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2	Department Visits in Imperial County, California, USA			
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#### 25 Abstract

The pediatric population is at a unique and increased risk of immediate and long-term 26 health effects of asthma from air pollution. The years 2012-16 marked the worst drought 27 in California, USA, in over a century. Imperial County's landlocked Salton Sea is almost 28 entirely dependent on agricultural runoff, where the water level has receded with 29 30 drought conditions. Lakebed soil exposure may cause increased airborne particulate matter (PM), exacerbating asthma. Emergency department admissions and diagnosis 31 codes for asthma were obtained for children ages 2-18, alongside population data to 32 33 create population-weighted ZIP code buffers. Trajectory analysis, dispersion modeling, and meteorological data were used to determine likely PM exposure days. Drought 34 severity data were used to establish a relationship between drought, exposure, and 35 admissions. Conditional Poisson regression was used to determine the risk of Salton 36 Sea dust exposure to asthma and moderating effects of drought. There is a significant 37 38 relationship between exposure from the Salton Sea and admissions on exposure days (ERR 18.70%, p=0.012, 95%CI=3.936–35.623). Moderation analysis for drought 39 indicated no significant effect from two indicators (ERR 1.005%, 95%CI =-0.0.084-40 41 1.111, p=0.714; ERR 104.44%, 95%CI=8.44–285.426, p=0.316), pointing to the possibility that particulates from the Salton Sea influence pediatric asthma. The large 42 confidence interval is notable, suggesting the influence of additional pollutant sources, 43 44 which is consistent with the study area, where a variety of factors may contribute to air guality. Drought severity was not a significant moderator between exposure and 45 admissions, possibly due to the slow-response impact of drought that was not captured. 46 47 **Keywords:** Pollution; exposure; asthma; respiratory disease; pediatric

# 48 Key Points

- Imperial County, CA, has a disproportionately high pediatric asthma rate,
- 50 possibly exacerbated by airborne particulates from the Salton Sea.
- A significant relationship was found between exposure to wind from the Salton
- 52 Sea and pediatric asthma, compared to day with no exposure.
- Drought severity was not a significant moderator between exposure and
   admissions.

#### 56 Abbreviations

- 57 AHRQ Agency for Healthcare Research and Quality
- 58 DSCI Drought Severity and Coverage Index
- 59 ED Emergency Department
- 60 HYSPLIT Hybrid Single-Particle Lagrangian Integrated Trajectory
- 61 ICD International Classification of Disease
- 62 OSHPD California Office of Statewide Hospital Planning and Development
- 63 USDM United States Drought Monitor
- 64 PM Particulate Matter
- 65

# 66 Funding

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- and the University of Virginia Environmental Resilience Institute Graduate
- 69 Summer Fellowship.

70

## 71 Human Subjects Research

- 72 Institutional Review Board protocols were approved by the University of Virginia Social
- and Behavioral Sciences IRB (Project # 2018-0334-00) and the California Office of
- 74 Statewide Hospital Planning and Development (Protocol ID 2018-278).

76 **1.1. Introduction** 

The pediatric population is at a unique and increased risk of negative health 77 effects from asthma. Not only are children at an increased lifetime risk for lung health 78 and related diseases; children who are unable to participate in school or physical 79 activities due to asthma are also at increased risk for secondary health and 80 81 developmental consequences related to mental health, education, and obesity and related illnesses (Kohen, 2010; Oland, Booster, & Bender, 2017). In children and 82 adolescents with asthma, exposure to particulate matter (PM) in urban areas - in 83 84 conjunction with ground heating, land degradation, and rising temperatures – has resulted in greater disease morbidity (Bayram et al., 2016; D'Amato & Cecchi, 2008; 85 Ghio, Smith, & Madden, 2012), including increased emergency department (ED) 86 admissions related to asthma and other cardiopulmonary diseases (Bayram et al., 87 2016). Compounding this exposure risk are the long-term health effects of poor air 88 guality on lung development and function, which have been shown to continue into 89 adulthood (Gauderman et al., 2004, 2002). Although there is a gap in current evidence 90 that children in rural areas experience similar effects as their urban counterparts, there 91 92 is evidence that the responsible compounds in urban pollutants also exist near agricultural areas (Gomez, Parker, Dosman, & McDuffie, 1992; O'Hara, Wiggs, 93 Mamedov, Davidson, & Hubbard, 2000). 94 95 Given the relationship between asthma and PM, one might hypothesize a linkage between asthma and drought conditions. Drought in Southern California may increase 96

97 asthma-related morbidity in children. California's most severe drought took place

between 2012 and 2017 (Barreau et al., 2017; Griffin & Anchukaitis, 2014), of which

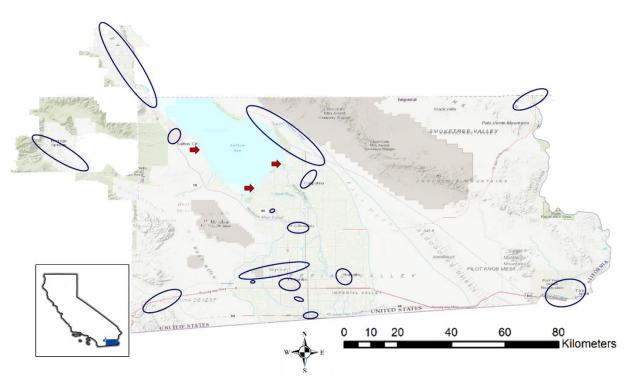
four years were declared a government state of emergency. During a drought or other 99 environmental event, individuals impacted by health inequities are disproportionately 100 impacted due to health disparities and the financial burdens of health care. These 101 inequities extend to the Imperial County, one of the most medically underserved 102 counties in California (Arballo et al., 2014). In 2011-2012, while the California drought 103 104 was approaching peak severity (Griffin & Anchukaitis, 2014), Imperial County's rate of asthma-related ED admissions for children was among the highest in California and 105 twice the rate of emergency department visits for California overall (Arballo et al., 2014), 106 107 putting nearly 52,000 children in the area at risk of health consequences from dry and dusty air (Bureau, 2015). 108

Economic inequities have long been a determining factor in individuals' abilities 109 to seek and obtain health care. The 2013 median family income of Imperial County was 110 over 25% below the median national family income, and 23.3% of families were below 111 the federal poverty level, compared to 15.9% in the United States (Arballo et al., 2014). 112 As a result, families may be unable to afford medication in order to adhere to asthma 113 guidelines or move away from the area in order to reduce children's exposure to poor 114 115 air quality (Bureau, 2015). Given the long-term respiratory complications in children as a result of air pollution, in addition to the area's substantial agricultural industry that has 116 been affected by an abnormally dry climate, it is important to focus research efforts on 117 118 the pediatric population, which is most at risk from a lifespan, geographic, and healthdisparities perspective prior to the next environmental event. 119

120 The Imperial Valley region, contained within Southern California's Imperial 121 County, includes the cities of El Centro and Calexico in addition to smaller,

agriculturally-based towns (Figure 1). Imperial Valley's air guality is considered to be 122 marginal with respect to ozone levels (O'Connor et al., 2014), a factor that, in addition to 123 airborne particulate matter, previously has been found to be correlated with asthma-124 related hospital visits in urban areas (Moore et al., 2008). Additionally, the impact of 125 anthropogenic climate change and drought on farmers in the Central Valley (north of 126 Imperial Valley) has been mentioned in government reports (O'Connor et al., 2014), and 127 a link has been suggested between asthma and agricultural chemicals such as 128 pesticides (Nordgren & Bailey, 2016). However, there has yet to be any direct 129 130 investigation into the impact of drought on respiratory disease in agricultural areas, and no academic studies have investigated the impact of this particular region's 131 environmental exposures on human health. 132

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Figure 1. Imperial County, including the Salton Sea, surrounding cities and towns, and elliptical
 ZIP code population buffers. Created with ArcMap.

Despite its naturally arid climate, the Imperial Valley has become one of the most 138 productive agricultural regions in California through the import of water for irrigation from 139 the Colorado River<sup>20</sup>. A unique feature of this region is the Salton Sea, located near the 140 center of the Imperial Valley, which is a landlocked geologic depression without natural 141 feeding rivers. As a result of the arid climate, most water inflow originates from irrigation 142 143 used for the 475,000 acres of farmland in Imperial Valley via two southern drainage streams within Imperial County and one northern stream, originating in Riverside 144 County. Consequently, the water level of the Salton Sea is almost entirely dependent on 145 146 agricultural irrigation runoff (Orlando, Smalling, & Kuivila, 2006), with 75% originating from agricultural drainage from Imperial Valley (Xu, Bui, Lamerdin, & Schlenk, 2016). 147 Presently, the water level has been diminishing as a result of evaporation in the setting 148 of decreased precipitation and river flow. Contamination with nitrogen compounds from 149 farming, in addition to the increased area of exposed dry lake bed, have the potential to 150 contribute to worsened asthma symptoms (Bloudoff-Indelicato, 2012). 151

The purpose of this research is to determine how exposure to wind patterns originating from the Salton Sea may impact rates of pediatric asthma emergency department visits in Imperial County. In addition, the mediating effect of drought conditions on this relationship is explored.

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#### 161 **2.1. Methods**

#### 162 **2.2. Ethical Considerations**

Institutional Review Board protocols were approved by the University of Virginia
 Social and Behavioral Sciences IRB and the California Office of Statewide Hospital
 Planning and Development (OSHPD).

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#### 167 **2.3. Data Source**

Daily de-identified ED admission data were obtained from the California OSHPD 168 169 for children from ages two to 18 years old. Data were not collected for children under two because of documented difficulties in properly diagnosing asthma in infants (Wright, 170 2002). The impact of drought and pollutants on younger children versus older children 171 and adolescents was assessed by dividing patient ages into categories of early 172 childhood (age 2-5), middle childhood (age 6-11), and adolescence (age 12-18). 173 The data requested included all pediatric patients with a listed residency within 174 Imperial County. This included ED admissions at hospitals outside the county, as 175 emergent cases are transported via helicopter to San Diego. Data for each ED 176 177 admission included age of the patient, ED admission diagnosis code(s), and the ZIP code of the patient's residency, assumed to be the location of exposure and onset of 178 symptoms. As the purpose of this study was to evaluate the potential effects of drought 179 180 on pediatric health, ED admission data were requested for the years 2006 through 2016 with the goal of encompassing the years during and surrounding the recent California 181 drought. 182

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184 **2.4. Data Extraction** 

International Classification of Disease (ICD) diagnosis codes to be included in 185 the study were taken from the most recent Agency for Healthcare Research and Quality 186 (AHRQ) Pediatric Quality Indicator Specification for Asthma. An additional eight 187 diagnosis codes were included as well, following the methods of Szyskowicz et al. 188 189 (2018) to encompass other presentations or complications resulting from acute respiratory distress or disease, including acute bronchitis, allergic alveolitis, and acute 190 respiratory failure. Diagnosis codes in ICD-9 format were used for entries before the 191 192 fourth quarter of 2015, when the ICD-10 system came into use, after which point the ICD-10 codes reported were converted to ICD-9 format. 193

The data request from the California OSHPD returned 28,667 records for ED visits matching the diagnosis criteria. Secondary diagnosis codes present in the record were considered for inclusion; however, no secondary diagnosis codes for this patient population were relevant to the inclusion criteria for asthma and related respiratory disease. Therefore, only the primary diagnoses were applicable to the inclusion criteria.

200 **2.5. Population Characteristics** 

The Imperial Valley region of Southern California has a primarily Hispanic population, and children and adolescents in this community who experience asthma and other respiratory disease may experience health disparities in the form of access to healthcare or heightened exposure to environmental triggers. Individuals who identify as Hispanic or Latino comprise the country's largest minority group, and the disproportionate number of childhood asthma cases in this population has contributed to

health disparities, including a 21% increase in country-wide hospital charges due to
asthma compared to any other ethnic group (Carter-Pokras, Zambrana, Poppell, Logie,
& Guerrero-Preston, 2007).

However, due to established inconsistencies in self-reported race and ethnicity data and the known ethnic and genetic complexities of those who identify as Hispanic or Latino (Salari & Burchard, 2007), the decision has been made to omit the variable of race/ethnicity from data collection here. Patient age groups are the only demographic characteristic addressed in this research.

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#### 216 **2.6. Population Distribution**

This study was limited by the granularity of patient information, which contained 217 only ZIP code-level information about a patient's residence. California's Imperial County 218 contains some ZIP codes that are sparsely populated and portions of ZIP codes that are 219 either sparsely populated or not inhabited. Therefore, the establishment of exposure by 220 airborne particles required a more precise estimation of the likelihood of a child's 221 location within the ZIP code. The 2010 US Census Block population data were used to 222 223 create a population-weighted geographic buffer for each of the county's ZIP codes using the Directional Distribution (Standard Deviational Ellipse) tool in ESRI's ArcMap 224 (Environmental Systems Research Institute, Inc., Redlands, California). This allowed 225 226 the central tendency and dispersion of the population to be mapped as a one standard deviation ellipse polygon. Due to the concentration of population centers in cities and 227 towns within the county and the lack of populated areas in the surrounding desert areas, 228 229 it is believed that the majority of the population's likely location was accounted for within

these buffers without including sparsely or non-populated areas as areas of exposure.
Because of the small population sizes of some ZIP codes and consequent lack of
statistical power, no differentiation was made between ZIP codes in the final analysis.

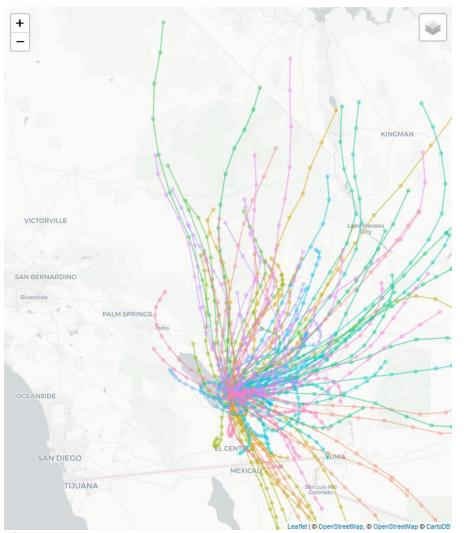
#### 234 2.7. Exposure Model for Airborne Pollutants

235 The methods used to model exposure from airborne pollutant trajectories have been described at length in a separate publication (Doede, Davis, & DeGuzman, 2020) 236 and therefore are only summarized here. The Hybrid Single-Particle Lagrangian 237 238 Integrated Trajectory (HYSPLIT) model was used in conjunction with dispersion modeling and meteorological data to determine the days on which exposure from wind-239 blown particles, originating from the Salton Sea, were likely to occur (Figure 2). This 240 allowed the tracking of a theoretical parcel of air from its origin point over the course of 241 a twelve-hour period. Trajectory runs were repeated every six hours for each day in the 242 study period. When the plume associated with a modeled trajectory crossed an 243 established geographic population buffer in the area, the day on which the 12-hour 244 trajectory began was recorded as an exposure day for that ZIP code and was matched 245 to ED admissions for that ZIP code. 246

Using methods previously described (Doede et al., 2020), each trajectory for the study period was assigned to one of eleven categories, each of which describes the shape, direction, and strength of the wind pattern, using a classification and discrimination approach (Figure 3). The resulting daily patterns were then linked to ED admissions to determine if certain climatological conditions were associated with days of high ED admissions. The presence of a dispersion plume within a ZIP code buffer

was noted to account for the likely presence of particles around each HYSPLIT

- trajectory: if a point along a trajectory did not qualify as an exposure but the average
- 255 plume for the assigned trajectory group was, in fact, shown to cross a ZIP code buffer,
- the associated day was counted as an exposure day.



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- **Figure 2.** Example trajectory output representing air parcel movement from the Salton Sea
- during April, 2012. Each individual trajectory contains points indicating hourly positions of air
- 260 parcels. Created using the SplitR package for R.

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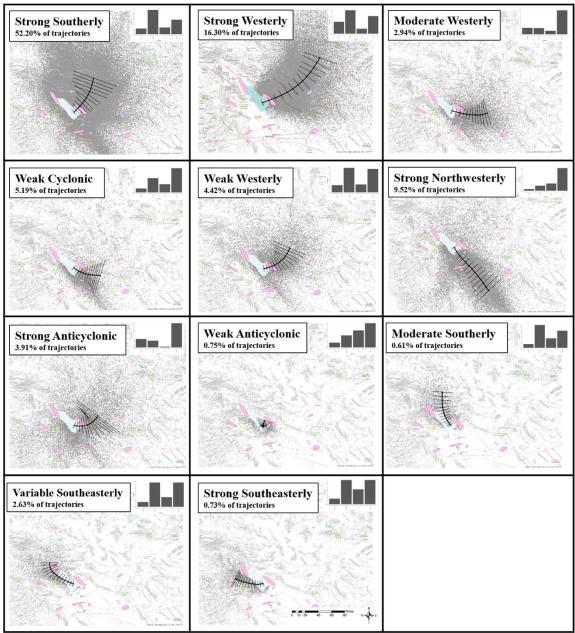
In addition to the establishment of exposure vs. non-exposure days using latitude 264 and longitude coordinates, a daily mixing depth and ventilation coefficient was 265 calculated to take into account regional atmospheric stability. The afternoon mixing 266 depth, or the extent of the atmospheric layer to which turbulence and convection lead to 267 the mixing of air pollutants, was extracted from the North American Regional Reanalysis 268 269 data set for the study time period. The mixing depth was multiplied by the daily mean wind speed to calculate the ventilation coefficient for each day in the study period. As a 270 ventilation coefficient of less than 6,000m<sup>2</sup>/s is generally accepted to indicate a potential 271 for pollution exposure (Madany, 1974), trajectory events from the spatial points data 272 frame that qualified as exposure days based on latitude and longitude were filtered out if 273 the ventilation coefficient for that day was over 6,000m<sup>2</sup>/s. This adjustment ensured that 274 only those days when the atmospheric stability in the region could have allowed for 275 particulate matter from the origin point to reach the population were classified as 276 potential exposure days. All days that did not fit this criterion for exposure were 277 classified as non-exposure days. 278

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280 **2.8. Drought Intensity Measures** 

Drought severity data were used to establish a relationship between drought, air trajectory exposure, and the effect of these factors on lung health and healthcare utilization. The United States Drought Monitor (USDM) is widely used and accepted within the field of environmental science as well as public health (Berman, Ebisu, Peng, Dominici, & Bell, 2017). The USDM is an amalgam of several established drought indices, each of which incorporate various drought severity indicators (National Drought

Mitigation Center, 2017b). Drought severity, characterized as a Drought Severity and
Coverage Index (DSCI), was calculated weekly. Areas in the region of interest were
categorized by drought severity, from D0 (abnormally dry) to D4 (exceptional drought).
The DSCI was then calculated as the weighted sum of the percent of an area that has
been categorized as equal to or worse than one of the five categories (National Drought
Mitigation Center, 2017a)



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**Figure 3.** Dispersion points and mean hourly trajectory positions for each trajectory group originating from the Salton Sea with error bars of the mean hourly distance of all trajectories from the overall mean hourly positions. Histograms represent the relative frequencies of each trajectory group by season, beginning with spring. Created with Google Earth and NOAA HYSPLIT-Web.

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In addition to the DSCI as a regional measure of drought severity, the elevation 303 of the Salton Sea water level was used in the analysis. It is known that the water level of 304 the Salton Sea has been diminishing, and as the Salton Sea is a water body with no 305 natural feeding rivers and depends solely on agricultural runoff, this measure was used 306 to create a more proximal indicator for drought severity in Imperial County that might 307 308 better reflect the impact of drought on the population's exposure to dust from the Salton Sea. The USGS Water Resources data set (USGS, 2020) was used to obtain the Salton 309 Sea daily mean lake surface elevation. Surface elevation values were used as an 310 311 indicator of exposed lakebed that might be susceptible to becoming airborne.

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#### 313 **2.9. Data Analysis**

Data analysis was conducted using R Studio software version 1.1.383. 314 Conditional Poisson regression was carried out to determine the risk of Salton Sea dust 315 exposure to pediatric asthma. The daily count of ED admissions was measured against 316 exposure from trajectory and dispersion runs crossing each ZIP code buffer in addition 317 to the moderating effect of drought in this relationship. As is common in datasets of 318 319 daily admissions, counts of zero or one are quite frequent. The conditional Poisson was chosen for its ability to handle the presence of over-dispersion and zero occurrences as 320 well as the stratification of data across month, year, and day of week to account for 321 322 time-dependent variations. The stratification aspect of the conditional Poisson model is also capable of adjusting for seasonality of the ED admission data (Armstrong, 323 Gasparrini, & Tobias, 2014), which was an important consideration for this study region 324 325 and patient population. Descriptive statistics were calculated to examine the presence

of over-dispersion and zero occurrences. Results are reported in terms of excess
relative risk (ERR), defined as the ratio of the excess incidence rate to the background
incidence rate. A p-value of <0.05 with a 95% confidence interval was considered</li>
statistically significant. The frequency of ED admissions compared across trajectory
groupings was also examined with a Kruskal-Wallis Chi-square test using ED admission
frequency, adjusted for the overall frequency of each trajectory group.

#### **3.1. Results**

#### **3.2. Descriptive Statistics**

The analysis provided 133,967 individual trajectory observations from three origin points across eleven years and 16 ZIP codes. Of these, 50,070 qualified as a positive exposure by generating some concentration of particulates in one or more population buffers. ED admissions were cross-referenced with the ZIP codes over which these trajectory observations passed. This includes multiple counts for trajectories that were capable of crossing more than one ZIP code buffer (i.e., a single trajectory has the capability of causing an exposure event over multiple ZIP codes, and as exposures are measured based on a single ZIP code buffer crossing, one trajectory in this case will be counted multiple times). 

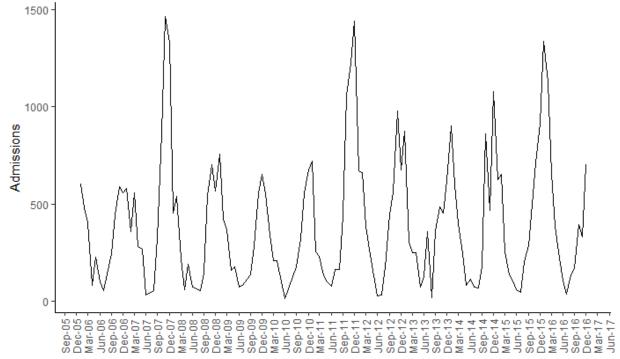
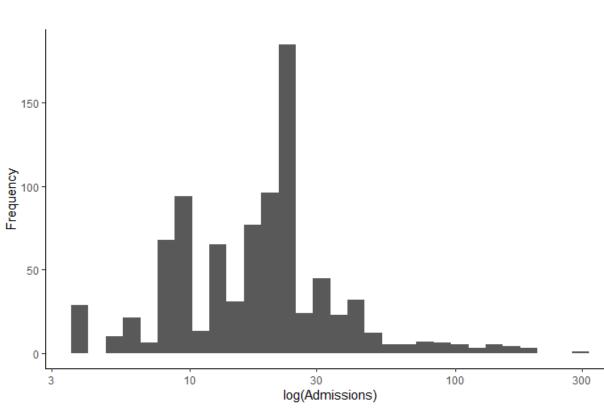
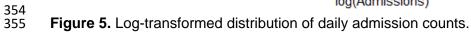


Figure 4. Time series of total monthly pediatric ED admissions for exposure days over the study
 area, 2006–2016.





The ED admission data yielded 19,889 total admissions for the study period, with

the majority of the days in the study period containing one or zero admissions per day,

per ZIP code (Figure 5). The age (mean +/- std. dev.) of patients was 7.68 +/- 4.80.

359 When stratified by age, a Kruskal-Wallis Chi-square test showed no significant

360 difference in ED admission frequency between the age groups.

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362	Table 1. Descriptive statistics of emergency department admissions, by age group.
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	Admissions (n)	Percent of admissions	Mean (age, years)	Standard Deviation (age, years)
Early childhood (ages 2-5)	12,017	43.62	3.50	1.29
Middle childhood (ages 6-11)	8,914	32.36	8.50	1.87
Adolescent (ages 12-18)	6,618	24.02	15.00	2.16

**Table 2.** Descriptive statistics of emergency department admissions, by ICD-9 code (n=28,817).

364 \*=AHRQ Pediatric Quality Indicator Specification for Asthma.

Diagnosis and ICD-9 Code*	Frequency (n)	Relative Frequency (%)
465.0, Acute laryngopharyngitis	3	0.0104
465.8, Acute upper respiratory infections of other multiple sites	3795	13.169
465.9, Acute upper respiratory infections of unspecified site	13246	45.966
466.0, Acute bronchitis	4066	14.110
466.1, Acute bronchiolitis	0	0.000
493.00, Extrinsic asthma, unspecified	81	0.281
*493.01, Extrinsic asthma with status asthmaticus	5	0.0174
*493.02, Extrinsic asthma with acute exacerbation	99	0.344
493.10, Intrinsic asthma, unspecified	4	0.0139
*493.11, Intrinsic asthma with status asthmaticus	2	0.00694
*493.12, Intrinsic asthma with acute exacerbation	0	0.000
493.22, Chronic obstructive asthma with acute exacerbation	3	0.0104
*493.81, Exercise induced bronchospasm	19	0.0659
*493.82, Cough variant asthma	28	0.0972
*493.90, Asthma, unspecified type	2456	8.523
*493.91, Asthma, unspecified type, with status asthmaticus	124	0.430
*493.92, Asthma, unspecified type, with acute exacerbation	4860	16.865
495.0-9, Extrinsic allergic alveolitis	2	0.00694
506.0-9, Respiratory conditions from chemical fumes & vapors	5	0.0174
518.81, Acute respiratory failure	19	0.0659
518.84, Acute and chronic respiratory failure	0	0.000

# 366 3.3. Effect of Exposure from the Salton Sea on Pediatric Emergency Department 367 Visits

There is a statistically significant relationship between exposure from wind or dust originating from the Salton Sea and the likelihood of pediatric ED admissions on days experiencing exposure (Table 3). Allowing for first-order autocorrelation of the conditional Poisson regression using Brumback's method, the estimated ERR for ED admission was 18.70% (p = 0.012, 95% CI = 3.936 – 35.623), i.e., there was an 18.70% higher risk of ED admission on days classified as exposure days compared with nonexposure days.

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#### **376 3.4. Moderating Effect of Drought on Pediatric Emergency Department Visits**

The moderating effect of drought is summarized in Table 3. The addition of the DSCI drought indicator indicated that there is no statistically significant moderating effect of drought on the relationship between exposure and pediatric ED visits (ERR 1.005%, 95% CI = -0.0.084 - 1.111, p = 0.714). The substitution of Salton Sea water elevation as a proxy indicator for drought yielded a positive relationship between lakebed exposure and ED visits, though it was also a non-statistically significant modifier for this relationship (ERR 104.44 %, 95% 8.44 – 285.426, p = 0.316).

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Table 3. Analysis of Salton Sea dust exposure and moderating effects of drought on emergency
 department visits for pediatric asthma. (ERR=excess relative risk; Cl=confidence interval;

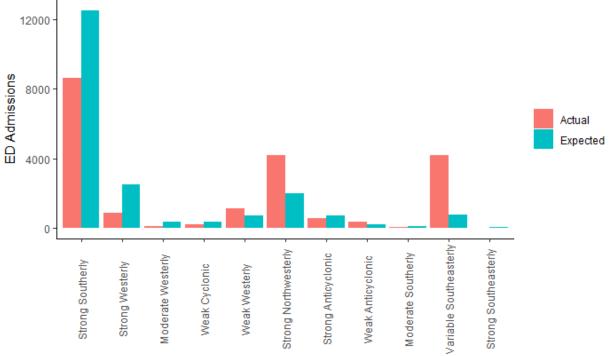
ED=emergency department, DSCI=drought seventy coverage index)						X)	
	Model/	Coefficient	Exponentiated	ERR	Std. Error	95% CI	p-
	outcome	(exposure	coefficient	(%)	(%)		value
	variable	day)					
	Exposure vs. ED visits Exposure	0.171	1.187	18.696	7.023	3.911 – 35.584	0.012
	Moderating effect of drought DSCI Water level	0.00510 0.715	1.005 2.044	0.512 104.44	0.414 43.505	-0.0.084 – 1.111 8.44 – 285.426	0.714 0.316

395 ED=emergency department; DSCI=drought severity coverage index)

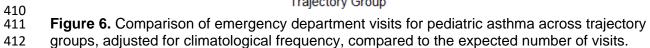
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#### **397 3.5. Effect of Meteorological Patterns on Pediatric Emergency Department Visits**

A comparison of ED admissions across trajectory group assignments indicated 398 399 that there were three trajectory types most strongly associated with ED admissions during exposure events. When stratifying ED admission counts by trajectory grouping, 400 one-way ANOVA showed a significant difference between trajectory groupings and 401 402 counts of ED admission frequency (F=2128, p<0.001). Most notably, while the Strong Southerly group was most common throughout the study period, the Strong 403 Northwesterly and Variable Southeasterly groups were both among the most frequent 404 groups and more common than would have been expected based on their climatological 405 frequency (Figure 6). The Variable Southeasterly group is the pattern most likely to 406 expose the majority of the study population to airborne contaminants from the Salton 407 Sea, given the location of the largest population centers to its south and west. 408 409

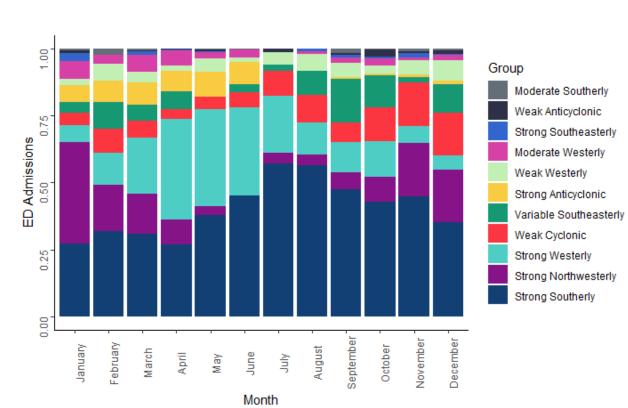


Trajectory Group



groups, adjusted for climatological frequency, compared to the expected number of visits.





415 416 Figure 7. Monthly ED visit frequency by trajectory group.

#### 417 **3.6. Ventilation Coefficient**

The ventilation coefficient, calculated as the maximum mixing depth multiplied by 418 the mean daily wind speed, was used as an indication of atmospheric stability and the 419 potential for the exposure of humans to airborne pollutants. In the analysis of the effect 420 of pollution exposure on ED admissions, only days with a ventilation coefficient that was 421 less than 6,000 m<sup>2</sup>/s were included for this reason. It has been previously established 422 that the ventilation coefficient in this region carries a seasonal component, with 423 ventilation coefficients tending to be most commonly below this threshold during the fall 424 and winter months and higher during the spring and summer (Doede et al., 2020), 425 facilitating human exposure to pollutants. To verify the ventilation coefficient's effect on 426 ED admissions at the chosen threshold, a one-way ANOVA demonstrated a statistically 427 significant difference in the mean daily admissions between days with a high compared 428 with a low ventilation coefficient. (F=2064.7, p<0.001) with a significant difference 429 between seasons. At the p<0.001 level, post-hoc comparisons with Tukey HSD 430 indicated that the mean daily admission rate was significantly different between all 431 seasons, with the exception of spring vs. summer, with ED admissions peaking in the 432 433 fall and winter months.

434



- Figure 8. Mean daily ED admissions, by ventilation coefficient and month.
- 438

435

#### 439 **4.1. Discussion**

The presence of a statistically significant excess relative risk of ED admissions 440 on days with exposure events indicates the strong possibility that airborne particles 441 originating from the Salton Sea contribute to pediatric asthma in the area. The ERR for 442 ED admissions vs. exposure indicates that on days with exposure events from the 443 Salton Sea, there is an 18.70% increased risk of a child visiting the hospital for asthma 444 or other respiratory disease compared with days with no exposure event. The width of 445 446 the 95% confidence interval (3.936% - 35.623%), however, is notable, suggesting that in addition to small daily sample sizes, other, unmeasured variables or pollutant sources 447 may be involved. Although future studies may benefit from increasing the study time 448

period or extending the sample population to include adults, the current findings are
consistent with the study area, where several factors may contribute to poor air quality.
This may indicate, for example, the local pollutants from the agriculture industry or
factory pollution to the south of the United States-Mexico border are contributing to ED
admissions as well.

The seasonality of these variable is important, with many more ED admissions occurring in the fall and winter months when the area's ventilation coefficient was lower and the atmosphere more stable. There are almost no exposures from April through September, which is also consistent with observed declines in ED admissions during this time of year.

459

#### 460 **4.2. Effect of Trajectory Groupings**

While one of the most common trajectory grouping includes a dispersion pattern that would both cause exposure to the population centers in the study area and originate from the Salton Sea, two trajectory groupings, including the most common Variable Southeasterly type, indicate a contribution to asthma cases originating from the south. This is consistent with the uncertainty surrounding the excess relative risk of ED admissions associated with exposures and the suggestion that more than one exposure source may contribute to ED admissions.

As with the overall effect of exposure on ED admissions, the physical attributes of the study area may explain the presence of more than one trajectory group that contribute more frequently to ED admissions. When adjusting for trajectory frequency, the Strong Northwesterly current, which is theoretically most associated with exposure

from the Salton Sea, is among the trajectory groups most likely to be associated with elevated risk of ED admissions. However, the group most commonly associated with high admissions is the Variable Southeasterly type. Although the Kruskal-Wallis test indicated no statistically significant difference between trajectory groups in relation to ED admissions, it is notable that visually, the most common trajectory group might be associated with pollutants from south of the Imperial Valley, for example, possibly emanating from south of the US-Mexico border.

In addition, it is notable that the Variable Southeasterly type occurs most 479 480 frequently during the summer months, when ED admissions are least common. On the other hand, the Strong Northwesterly wind, which would be most associated with wind 481 originating from or near the Salton Sea, occurs most commonly during the fall and 482 winter months, during which time ED admissions are more common. Nevertheless, 483 these results indicate a possibility of the Salton Sea's influence on pediatric respiratory 484 health or, potentially, desert dust originating from north of the Salton Sea. There are, 485 however, no large cities north of the Salton Sea, though desert dust may play a role. 486

487

#### 488 **4.3. Moderating Effect of Drought**

Drought severity using the DSCI as an indicator was not a statistically significant moderator in the relationship between exposure from the Salton Sea and ED visits. This may be due to the fact that this drought index covers a large area and may not be indicative of the local conditions that may affect patients in the study area. This led to the introduction of the Salton Sea water level, with the idea that previously submerged particles would become increasingly exposed to the air as drought severity, and

therefore the area of exposed lake bed, increased. However, the Salton Sea's water
level also did not show any statistically significant impact on the relationship between
exposures and ED admissions. While this may indicate that drought does not
significantly contribute to ED admissions or moderate the relationships examined in this
study, the possibility also remains that any impact of drought has a slow-response
effect, which could not be captured using our approach and should be further examined
in the future.

502

#### 503 **4.4. Study Limitations**

A known obstacle in calculating community-level characteristics such as 504 environmental exposures or individual health is the obligation to use area-level 505 estimations as proxies for the individual while controlling for known information about 506 the patient or family (Barry & Breen, 2005). The ecological model also introduces the 507 possibility that confounding variables, known or unknown, may exist in the surrounding 508 environment. The current study only analyzes data that reflects the potential for outdoor 509 air pollution. Therefore, effects of indoor air pollution, such as household dust and 510 511 tobacco smoke, are not considered in this research.

In addition, the purpose of this study was to assess the influence of the Salton Sea on airborne pollutants and respiratory health. Therefore, other outdoor pollutant sources are possible in this area but are not explored here. For example, particularly for a retrospective study, it is not possible to ascertain whether local sources of air pollution, such as chemical fumes from agricultural activity, may contribute to exposure and health outcomes. Finally, the factories in Mexicali, Mexico, immediately south of the

518 United States-Mexico border, are a source of air pollution that may partially account for 519 Imperial County's air quality issues.

Finally, additional measures of pollution such as air quality data from local monitoring stations, should be included in the analysis. However, the location of air quality monitoring stations in the study area were not conducive to assessing whether distant sources, such as the Salton Sea or Mexicali, Mexico, had the potential to cause poor air quality at the locations of the monitoring stations. Air quality monitors tend to be located for regulatory purposes near local sources of air pollution, such as highways and factories.

527 From the perspective of available patient records from emergency departments, 528 it should be noted that only those patients included in the analysis were those 529 associated with a ZIP code of residence within Imperial County, California. It is 530 commonly known that residents of Mexico commute to Imperial County, and these 531 individuals are not be included in these data.

532

### 533 **5.1. Conclusion**

To our knowledge, this is the first study to address the possible source of pediatric respiratory disease in Imperial County. Despite some unavoidable data limitations inherent to this study, the results described here offer a model for determining and differentiating between the possible environmental factors that contribute to respiratory disease. Although other sources must be examined as well, such as local farming and pollution from nearby Mexicali, Mexico, our results suggest

that the Salton Sea may be a significant contributor to ED visits in pediatric patients forrespiratory complications such as asthma.

Further research into the impacts of poor respiratory health in drought areas will 542 provide a perspective on environmental challenges in a region not previously studied at 543 the local and regional levels. Not only are children at an increased lifetime risk for lung 544 545 health and related diseases; children who are unable to participate in school or physical activities due to asthma are also at increased risk for secondary health and 546 developmental consequences related to mental health, education, and obesity and 547 548 related illnesses (Kohen, 2010; Oland et al., 2017). As airborne PM has the ability to cause systemic as well as local inflammation (Ghio et al., 2012), findings from this study 549 may have implications for other health issues, such as cardiovascular disease (Berman 550 et al., 2017; Powell, Krall, Wang, Bell, & Peng, 2015) and cancer (Nelson et al., 2017). 551 The future of health will require a more robust integration with environmental science 552 research and policy (Cook, Smerdon, Seager, & Cook, 2014). 553

554

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All data, with the exception of protected health data, is publicly available and
downloadable. These datasets are the North American Regional Reanalysis
(climatological data), provided by the National Oceanic and Atmospheric Adminstration;
the United States Drought Monitor (drought severity data), jointly produced by the
United States Department of Agriculture, The National Drought Mitigation Center, The

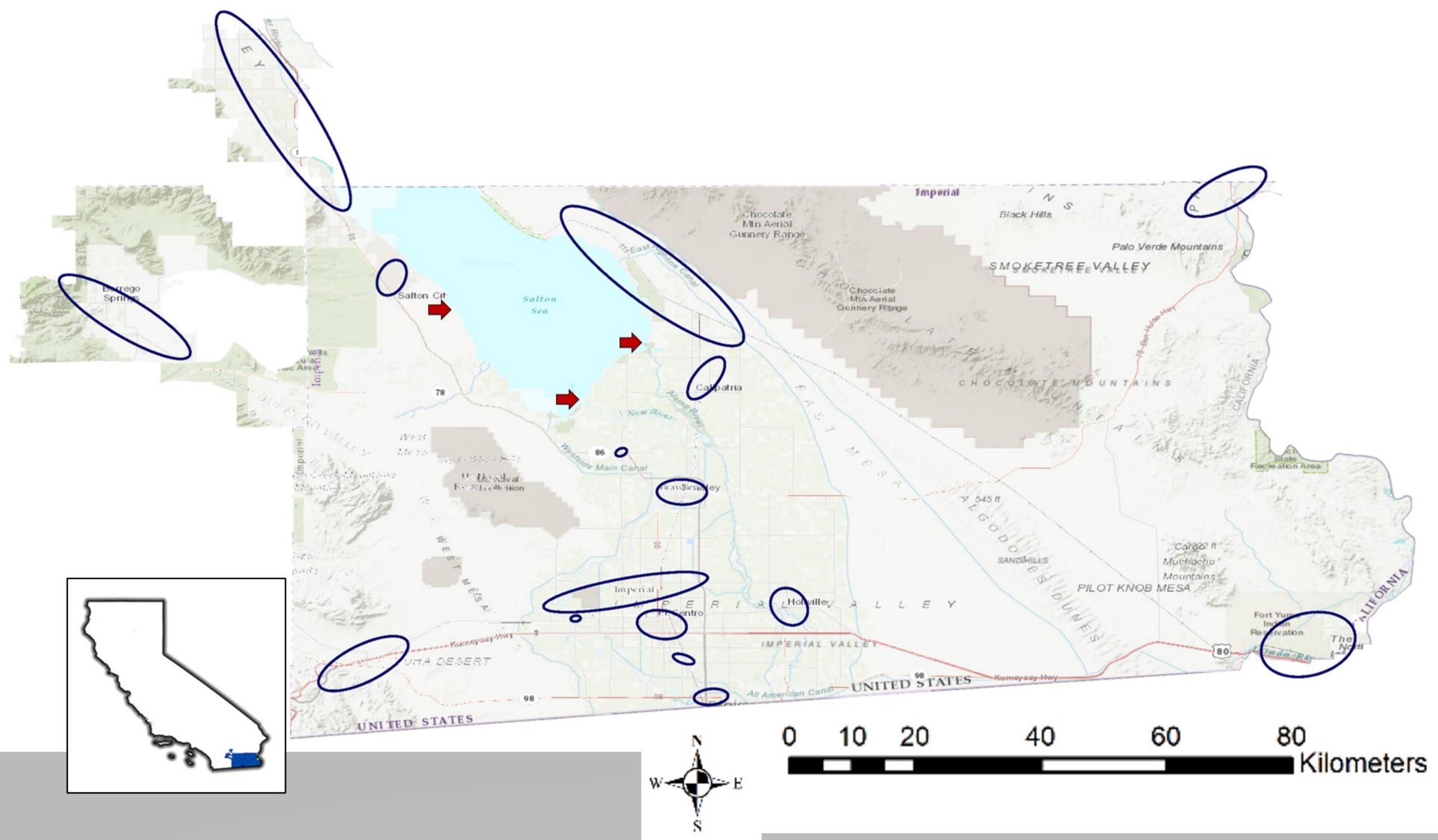
- 563 United States Department of Commerce, and the National Oceanic and Atmospheric
- Administration; and the United States Geological Survey (Salton Sea water level data).
- 565 These datasets may be publicly accessed from the resources below:
- 566 North American Regional Reanalysis:
- 567 https://www.ncdc.noaa.gov/data-access/model-data/model-datasets/north-american-
- 568 regional-reanalysis-narr
- 569 United States Drought Monitor:
- 570 https://droughtmonitor.unl.edu/Data.aspx
- 571 United States Geological Survey:
- 572 https://waterdata.usgs.gov/ca/nwis/dv?referred\_module=sw&site\_no=10254005
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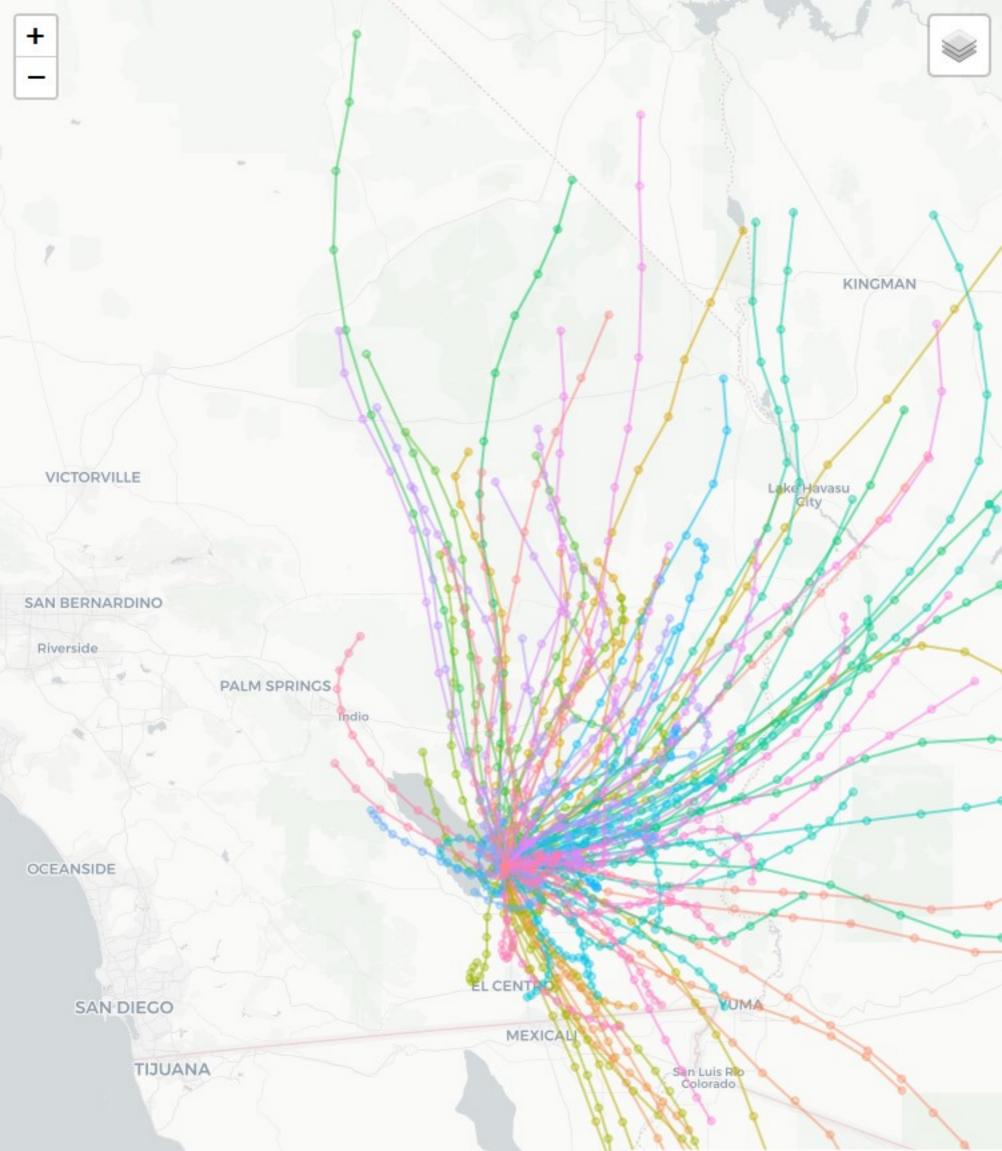
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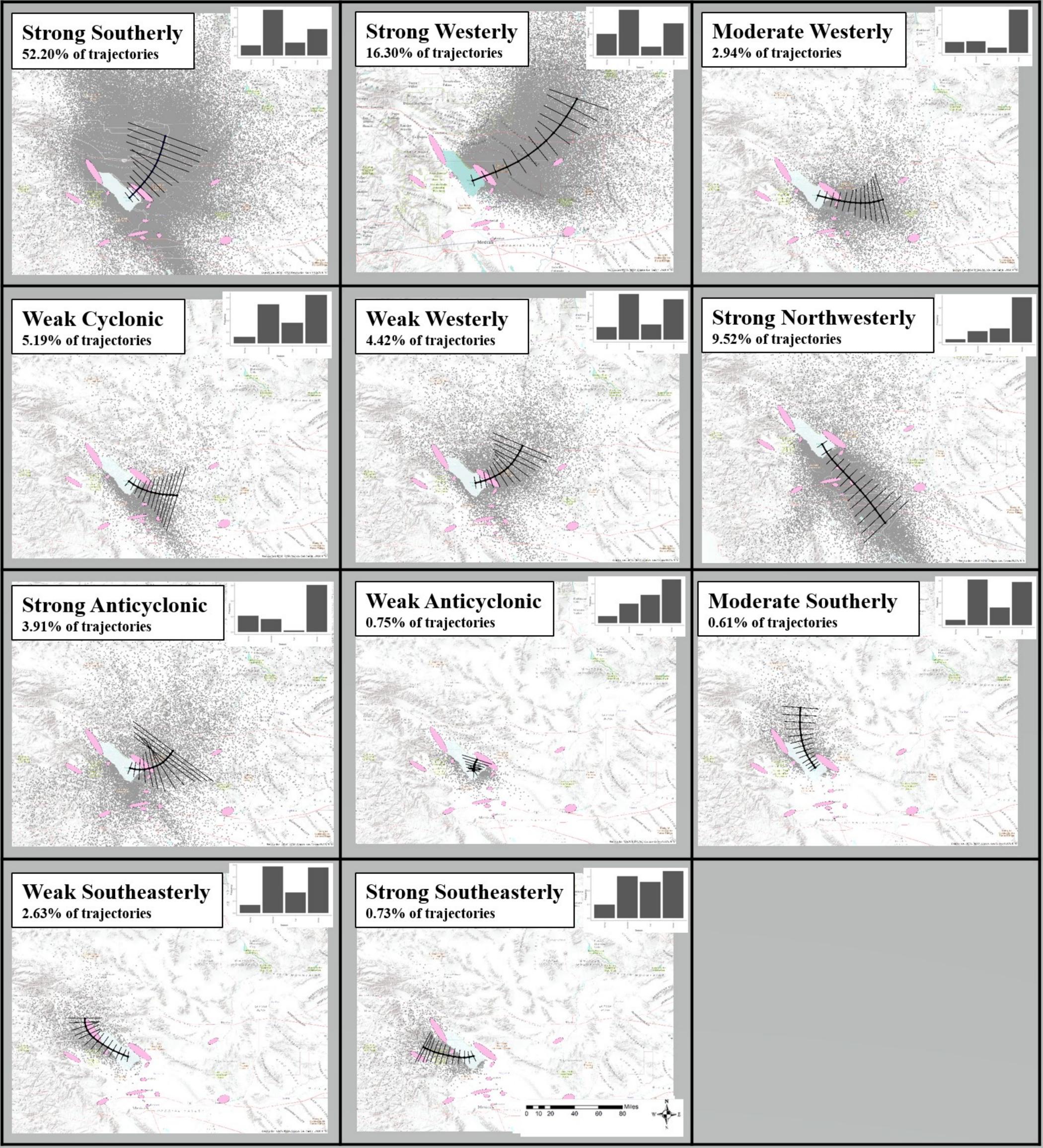
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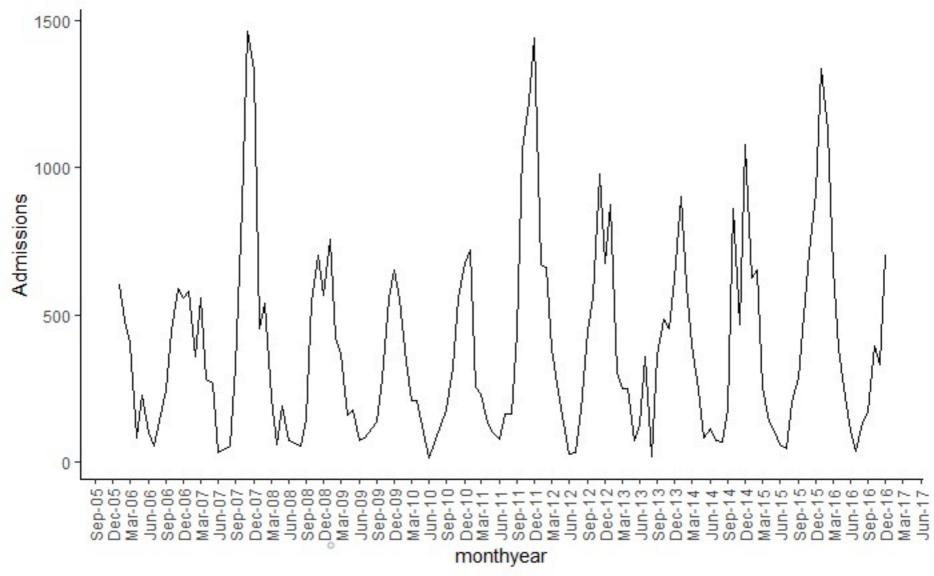
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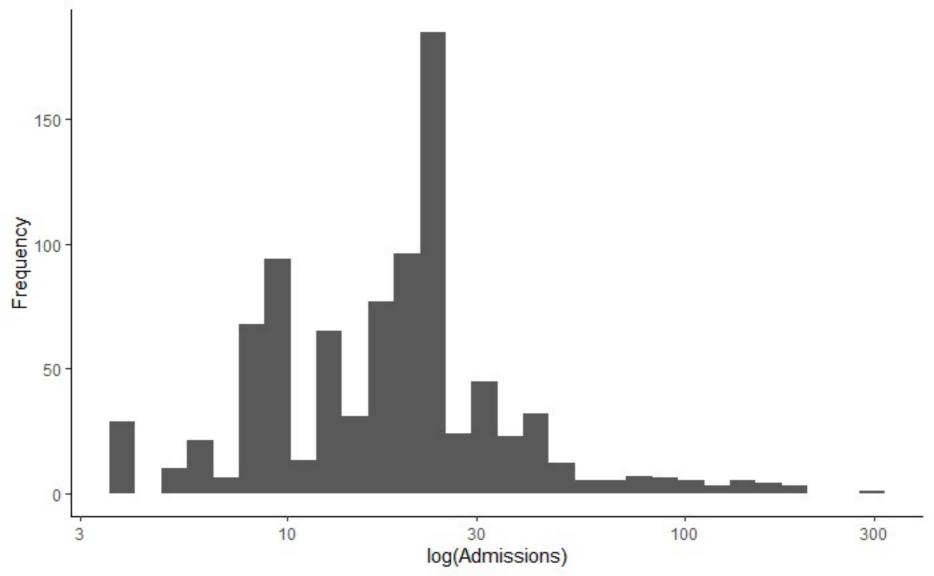
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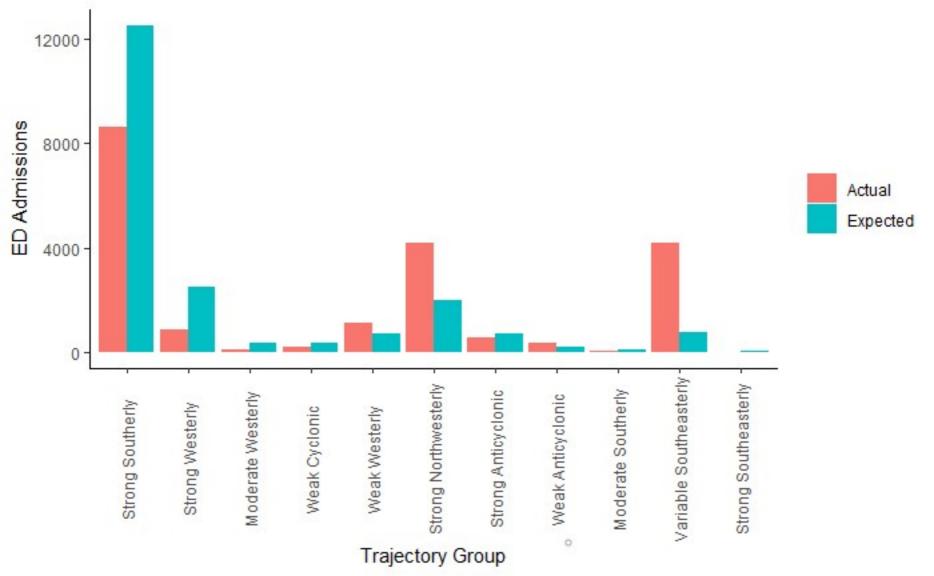


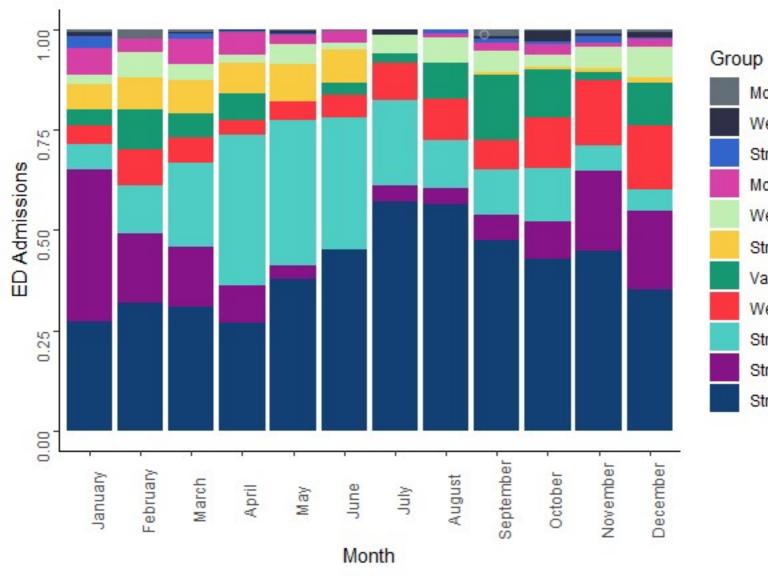












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