# Radar characterization of ice crystal orientation fabric and anisotropic rheology within an Antarctic ice stream

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#### Abstract

We use polarimetric radar sounding to investigate variation in ice crystal orientation fabric within the near-surface (top 40-300 m) of Rutford Ice Stream, West Antarctica. To assess the influence of the fabric on ice flow, we use an analytical model to derive anisotropic enhancements of the flow law from the fabric measurements. In the shallowest ice (40-100 m) the azimuthal fabric orientation is consistent with flow-induced development and correlates with the surface strain field. Notably, toward the ice-stream margins, both the horizontal compression angle and fabric orientation tend toward 45 degrees relative to ice flow. This result is consistent with theoretical predictions of flow-induced fabric under simple shear, but to our knowledge has never been observed. The fabric orientation in deeper ice (100-300 m) is significantly misaligned with shallower ice in some locations, and therefore inconsistent with the local surface strain field. This result represents a new challenge for ice flow. Our technique retrieves azimuthal variations in fabric but is insensitive to vertical variation, and we therefore constrain the fabric and rheology within two end-members: a vertical girdle or a horizontal pole. Our hypotheses are that fabric near the center of the ice-stream tends to a vertical girdle that enhances horizontal compression, and near the ice-stream margins tends to a horizontal pole that enhances lateral shear.

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10	Key Points:
11	• Variability of ice crystal orientation fabric is inferred from radar in the near-
12	surface of Rutford Ice Stream.
13	• In the shallowest ice the fabric is consistent with local surface strain whereas in
14	deeper ice this is not always the case.
15	- The fabric can result in enhancement of horizontal compression in the ice-stream
16	center and lateral shear in the margins.

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#### 17 Abstract

We use polarimetric radar sounding to investigate variation in ice crystal orientation 18 fabric within the near-surface (top 40-300 m) of Rutford Ice Stream, West Antarc-19 tica. To assess the influence of the fabric on ice flow, we use an analytical model to 20 derive anisotropic enhancements of the flow law from the fabric measurements. In 21 the shallowest ice (40-100 m) the azimuthal fabric orientation is consistent with flow-22 induced development and correlates with the surface strain field. Notably, toward the 23 ice-stream margins, both the horizontal compression angle and fabric orientation tend 24 toward 45 degrees relative to ice flow. This result is consistent with theoretical predic-25 tions of flow-induced fabric under simple shear, but to our knowledge has never been 26 observed. The fabric orientation in deeper ice (100-300 m) is significantly misaligned 27 with shallower ice in some locations, and therefore inconsistent with the local surface 28 strain field. This result represents a new challenge for ice flow models which typically 29 infer basal properties from the surface conditions assuming simplified vertical variation 30 of ice flow. Our technique retrieves azimuthal variations in fabric but is insensitive 31 to vertical variation, and we therefore constrain the fabric and rheology within two 32 end-members: a vertical girdle or a horizontal pole. Our hypotheses are that fabric 33 near the center of the ice-stream tends to a vertical girdle that enhances horizontal 34 compression, and near the ice-stream margins tends to a horizontal pole that enhances 35 lateral shear. 36

## 37 Plain Language Summary

The softness of glacier ice is dependent on the direction which ice crystals are 38 pointing relative to an applied load. This 'ice crystal orientation fabric' also contains 39 information about past ice flow. Compared with the interior of ice sheets, the rela-40 tionship between fabric and ice flow is relatively unexplored in the ice streams and 41 outlet glaciers which drain the Antarctic Ice Sheet. We use a ground-based geophys-42 ical method to investigate how ice fabric varies spatially within Rutford Ice Stream, 43 West Antarctica. We then input the measurements into an ice-flow model to calculate 44 the relative softness of ice for deformation in different directions. Our results reveal 45 rapidly varying fabric orientation within the flow unit. In the shallowest ice, the fabric 46 is consistent with what would be expected from the surface deformation, whereas in 47 deeper ice this is not always true. We then show that the fabric is likely to make the 48 ice softer to horizontal compression in the center of the ice stream and lateral shear in 49 the margins. 50

#### 51 **1** Introduction

The flow of glacier ice is controlled by its rheology which determines how ice 52 deforms under an applied stress. A range of factors influence the rheology of ice 53 including temperature, microstructural properties such as ice crystal orientation fabric 54 and grain size, damage to the ice, and the character of the underlying stress regime 55 (Cuffey & Paterson, 2010). Ice crystal orientation fabric, from herein referred to 56 as 'fabric', describes the distribution of the orientation of individual crystals. Ice 57 crystallizes in layers, often referred to as basal planes, which have their orientation 58 referenced by a normal vector known as the crystallographic axis (c-axis). The ice 59 fabric is the primary control on anisotropic rheology (i.e. when ice is softer or harder 60 for different stress components). In addition to influencing present-day deformation, 61 ice fabric encodes strain history due to there being a rotation of the c-axes toward the 62 compressive strain axis (direction of least-extension) (Azuma & Higashi, 1985; Alley, 63 1988; Wang et al., 2002). 64

To model the influence of fabric on ice flow a range of anisotropic flow-laws for 65 polycrystalline ice have been developed. These flow-laws incorporate either a tensorial 66 relationship for bulk ice viscosity (or its inverse, fluidity) based on the fabric mi-67 crostructure (Azuma & Goto-Azuma, 1996; Godert, 2003; Gillet-chaulet et al., 2005; 68 Gagliardini et al., 2009; Budd et al., 2013; Faria et al., 2014) or an empirical parame-69 terization based on the stress field (Budd et al., 2013; Graham et al., 2018). In both 70 formulations, anisotropic flow-laws demonstrate that fabric can have a pronounced 71 effect on large-scale ice-sheet flow (Ma et al., 2010; Graham et al., 2018). However, 72 primarily due to the scarcity of measurements, it is often unclear how ice fabric, and 73 its spatial variability impact on ice flow and stability across the ice sheets. 74

The effects of ice fabric and anisotropic rheology on ice-sheet flow are best charac-75 terized at slow-flowing divides and domes where there are often direct fabric measure-76 ments available from ice cores (e.g. Montagnat et al. (2014); Kluskiewicz et al. (2017)). 77 At ice domes, deformation is dominated by vertical compression which induces a fabric 78 where the c-axes cluster in the vertical direction, which is often referred to as a vertical 79 pole or single maximum fabric. A vertical pole fabric results in anisotropic ice being 80 softer to horizontal shearing (vertical gradients in horizontal velocity) and harder to 81 vertical compression than isotropic ice (Azuma & Goto-Azuma, 1996; Thorsteinsson 82

et al., 1997), the latter property impacting on the age-depth relationship (Pettit et 83 al., 2007; Martin et al., 2009). As horizontal shearing dominates the deformation of 84 grounded ice, pole-like fabrics are predicted to result in significant enhancement of ice 85 flow across an ice sheet (Ma et al., 2010). At ice divides, where there is horizontal 86 extension present, vertical girdle fabrics (c-axes orientated in a plane perpendicular to 87 the extension direction) develop at moderate ice-depths (Wang et al., 2002; Montagnat 88 et al., 2014; Kluskiewicz et al., 2017). Vertical girdle fabrics are predicted to soften 89 and harden ice to uniaxial strain (compression and extension) in different directions 90 (van der Veen & Whillans, 1994; Ma et al., 2010). 91

In fast-flowing ice streams there are fewer direct measurements of ice fabric avail-92 able and geophysical techniques, including passive seismics (E. C. Smith et al., 2017), 93 active seismics (Picotti et al., 2015), radar sounding (Jordan, Schroeder, et al., 2020), 94 provide an alternative means to measure fabric. Taken together, ice stream fabric 95 measurements demonstrate distinct variability, with single-pole, multiple-pole, verti-96 cal girdle, and random fabrics all present in different geophysical surveys (Jackson & 97 Kamb, 1997; Horgan et al., 2011; Picotti et al., 2015; E. C. Smith et al., 2017; Jor-98 dan, Schroeder, et al., 2020). We typically expect lateral shear (horizontal gradients qq in horizontal velocity) to dominate the near-surface deformation at ice stream mar-100 gins, with along-flow extension becoming important in the center of the ice stream. 101 However, this picture is an oversimplification and ice streams also exhibit 'ice-flow 102 complexity' with alternating bands of flow-convergence and divergence (Ng, 2015) and 103 along-flow compression (Minchew et al., 2016) often present. In correspondence with 104 variable deformation behavior, anisotropic rheology is also anticipated to vary within 105 ice streams. For example, Minchew et al. (2018) inferred that ice fabric has a softening 106 effect on lateral shear within the margins of Rutford Ice Stream. Additionally, within 107 the same ice stream, E. C. Smith et al. (2017) showed that a combination of vertical 108 and horizontal c-axis alignment leads to enhanced horizontal shearing in a vertical 109 plane aligned with the ice flow direction. 110

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Here we build upon the previous characterization of ice fabric and its impact on rheology within Rutford Ice Stream using polarimetric radar sounding. This technique 112 is sensitive to crystallographic preferred orientation in the horizontal plane, perpen-113 dicular to the radar propagation direction, that we will refer to as 'azimuthal fabric 114 anisotropy'. Specifically, we characterize how crystal fabric orientation varies spa-115

tially within the near-surface of the ice stream (top 300 m) and compare with the 116 ice-surface strain field. The fabric estimation uses a recently-developed polarimetric 117 coherence (phase-based) method (Dall, 2010; Jordan et al., 2019; Jordan, Schroeder, 118 et al., 2020). This method exploits analogous principles to radar interferometry, using 119 the polarimetric coherence to place precise constraints on the azimuthal fabric orien-120 tation and azimuthal strength. Radar fabric estimates have typically been used to 121 investigate ice-flow history (Fujita et al., 2006; K. Matsuoka et al., 2012; Brisbourne 122 et al., 2019), but have not been used to constrain anisotropic rheology. To address this 123 deficiency we develop a new framework where radar fabric measurements are used to 124 parameterize an anisotropic flow-law (Godert, 2003; Gillet-chaulet et al., 2005; Martin 125 et al., 2009). 126

This paper is organized as follows. In Section 2 we describe the survey region, 127 data acquisition, and computation of the ice-surface strain field. In Section 3 we outline 128 a representation of ice fabric that is specific to the azimuthal anisotropy that can be 129 measured with radar sounding. In Section 4 we present the polarimetric coherence 130 method used to estimate the fabric, detailing ongoing improvements to the technique. 131 In Section 5 we develop a scheme to parameterize an anisotropic flow law and hence 132 constrain anisotropic rheology. In Section 6 we present results for spatial development 133 in ice fabric and associated anisotropic rheology within the Rutford Ice Stream. In 134 Section 7 we discuss the implications of the study, with a focus on fabric development 135 and fabric enhancement of deformation within ice streams. 136

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# 2 Survey region, data acquisition, and calculating ice-surface strain

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# 2.1 Survey region

Rutford Ice Stream, West Antarctica, flows approximately southwards into the Filchner-Ronne Ice Shelf and is bounded the Fletcher Promontory and Ellsworth Mountains to the east and west respectively, Figure 1a. The survey region, Figures 1b and 1c, is located approximately 40-80 km upstream of the grounding line where the ice stream is approximately 25 km wide, with ice-flow speed approximately 340 m  $a^{-1}$ (Rignot et al., 2011, 2017). The ice thickness within the survey region is approximately 2.2 km (King et al., 2016).



Figure 1. Glaciological setting and radar measurement sites. (a) Location of Rutford Ice Stream and survey region (red box). (b) Survey transects (thick black lines), measurement sites (black circles), and ice-flow streamlines (thin black lines) underlain by ice surface speed, |v|. (c) Zoom to Transect A (indicated by pink box in (b)). The maps in (b) and (c) were generated using Antarctic Mapping Tools (Greene et al., 2017) and assume a reference meridian 83.8°W.

The ground-based radar survey consists of two separate transects. Transect A, 146 collected on January 20th 2017, is orientated perpendicular to the ice flow direction. It 147 consists of 10 sites (labeled A1-A10 from west to east) between the center streamline 148 and the ice-stream margin and is of total length 8.5 km with the inter-site spacing 149 decreasing toward the ice-stream margin. Transect B, collected on December 5th 150 2019, is orientated parallel to the central flowline. It consists of 10 sites (labeled B1-151 B10 from south to north) with the first site 4 km upstream of site A1 and the inter-site 152 distance spacing fixed at 4 km. 153

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#### 2.2 Polarimetric data acquisition

At each survey site, polarimetric radar-sounding measurements were made using an autonomous phase-sensitive radio-echo sounder (ApRES), a frequency-modulated continuous-wave radar. The ApRES has a center frequency of 300 MHz and a bandwidth of 200 MHz which results in an in-ice range resolution of approximately 40 cm. Further radar system details are provided by Brennan et al. (2014) and Nicholls et al. (2015).

The material anisotropy in the horizontal plane, perpendicular to vertical prop-161 agation of the radar is determined from the differences in the returns from four sets of 162 transmit and receive antenna orientations. These are referred to as 'quad-polarized' ac-163 quisitions and are obtained by sequentially rotating the transmit and receive antennas 164 (horizontally separated by  $\approx 8$  m) by 90°. We notate the quad-polarized measure-165 ments in an HV basis, where H and V notate that the polarization plane is parallel 166 to true west/east and north/south respectively. The data were recorded with respect 167 to magnetic north and subsequently corrected to geographic coordinates by applying 168 a declination of 40°E (assumed constant for the survey). 169

To range process the raw data we follow Brennan et al. (2014) and obtain a set of four complex amplitudes  $s_{HH}$ ,  $s_{VH}$ ,  $s_{HV}$ ,  $s_{VH}$ , where the first and second subscripts indicates the transmit and receive polarization states respectively. The complex amplitudes constitute the scattering matrix,  $S_{HV} = [s_{HH}, s_{HV}; s_{VH}, s_{VV}]$ , which relates the phase and magnitude of the transmitted and received electric field for each polarization state (Boerner, 1992; Doake et al., 2003).

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#### 2.3 Calculating the ice-surface strain field

Of interest in this study is the relationship between the horizontal part of the ice-177 surface strain-rate tensor,  $\mathbf{D}$ , and the fabric and rheology estimates (also formulated as 178 the horizontal part of their respective tensors). In the data analysis we express  $\mathbf{D}$  in the 179 principal coordinate system (the maximum and minimum strain axes,  $x_{max}$  and  $x_{min}$ ) 180 and a local ice-flow coordinate system (x axis parallel and y axis perpendicular to ice 181 flow). The principal coordinates are appropriate to understand fabric development, 182 and the ice-flow coordinates are appropriate to understand the effects of anisotropic 183 rheology on ice deformation. 184

<sup>185</sup> **D** was initially computed in polarstereographic coordinates by differentiating <sup>186</sup> horizontal ice-surface velocity components from the MEaSUREs data product (Rignot <sup>187</sup> et al., 2011, 2017) which is supplied at an approximately 440 m grid resolution. The <sup>188</sup> velocity derivative procedure follows Jordan, Schroeder, et al. (2020), and uses a convo-<sup>189</sup> lution derivative with Gaussian kernel and standard deviation  $\approx 1.8$  km. The principal <sup>190</sup> strain rates,  $D_{max}$  and  $D_{min}$ , corresponding to the strain along  $x_{max}$  and  $x_{min}$ , were <sup>191</sup> then obtained by solving the eigenvalue problem. The strain rates in the ice-stream coordinates,  $D_{xx}$  (uniaxial strain along-flow),  $D_{yy}$  (uniaxial strain across-flow) and  $D_{xy}$  (lateral shear in the ice-flow coordinates) were obtained via an azimuthal rotation transform of **D**.

The strain-rate uncertainty was estimated via propagation of the standard error on the velocity components (Rignot et al., 2011, 2017). This was done numerically by generating an ensemble of velocities uniformly distributed within their provided uncertainty, and then computing spatial velocity derivatives. The strain rate estimates and their uncertainty were then derived from the mean and standard deviation of the velocity derivative ensemble.

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#### 3.1 The second-order orientation tensor

Representation of ice crystal orientation fabric

Following previous polarimetric radar-sounding studies (Fujita et al., 2006; K. Mat-203 suoka et al., 2012; Brisbourne et al., 2019; Jordan et al., 2019; Jordan, Schroeder, et 204 al., 2020), we model the ice crystal orientation fabric (c-axis orientation distribution) 205 using the second-order orientation tensor, **a** (Woodcock, 1977). The tensor eigenvalues, 206  $a_1, a_2, a_3$  represent the relative c-axis concentration along each principal coordinate 207 direction  $x_1, x_2, x_3$  (from herein referred to as 'fabric eigenvalues' and 'fabric eigenvec-208 tors'). The fabric eigenvalues have the properties  $a_1 + a_2 + a_3 = 1$ , and  $a_3 > a_2 > a_1$ . 209 The principal coordinates correspond to a base system where the orientation tensor is 210 diagonal and therefore easier to interpret. The principal coordinates are generally not 211 aligned with ice flow. 212

The second-order orientation tensor is a simplified representation of the fabric that, in general, can be represented as an expansion of even-order orientation tensors (Gillet-chaulet et al., 2005). Only the second-order tensor can be measured using radar methods, which means that higher-order features (e.g. multiple poles) cannot be discriminated. Under the second order tensor representation, ice fabrics can be categorized using the following end-members: 'random/isotropic'  $(a_1 \approx a_2 \approx a_3 \approx \frac{1}{3})$ , 'single-pole'  $(a_1 \approx a_2 \approx 0, a_3 \approx 1)$  and 'girdle'  $(a_1 \approx 0, a_2 \approx a_3 \approx \frac{1}{2})$ .

In this study we decompose the strength of the ice crystal orientation fabric into two degrees of freedom: the girdle strength  $G = 2(a_2 - a_1)$  and the pole strength P = $(a_3 - a_2)$ , which both range from zero to unity (Kluskiewicz et al., 2017). The fabric strength is used instead of the eigenvalues, as the radar method measures eigenvalue

- differences rather than absolute values.
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# 3.2 Assumptions about the fabric orientation in polarimetric radar sounding

In downward-looking radar sounding, the radio wave polarizations are parallel 227 to the ice surface. Consequently, as the radio polarizations are sensitive to material 228 properties in the direction which they oscillate, the technique detects fabric anisotropy, 229 at a given depth, in a horizontal plane parallel to the ice surface. From herein, we will 230 refer to this as 'azimuthal fabric anisotropy'. Previous radar-sounding studies have all 231 assumed that the  $x_3$  axis (direction of greatest c-axis alignment) is vertical, with the 232  $x_1$  and  $x_2$  axes in the horizontal (e.g. Fujita et al. (2006); K. Matsuoka et al. (2012); 233 Brisbourne et al. (2019); Jordan et al. (2019). This assumption is valid in slow-flow 234 regions such as ice divides and domes, where vertical compression is the dominant 235 stress component. Under this assumption, the radar enables characterization of the 236 'vertical girdle' aspects of the fabric: the orientation of the  $x_1$  and  $x_2$  eigenvectors and 237 girdle strength,  $G=2(a_2-a_1)$ . In parts of fast-flowing ice-streams, where horizontal 238 stresses are dominant, seismic fabric measurements are consistent with the  $x_3$  and  $x_2$ 239 axes being horizontal and the  $x_1$  axis vertical (E. C. Smith et al., 2017). Under this 240 assumption, the radar enables characterization of the 'horizontal pole' aspects of the 241 fabric: the orientation of the  $x_3$  and  $x_2$  eigenvectors and pole strength,  $P=(a_3-a_2)$ . 242 Modelling radio propagation where one of the fabric eigenvectors is not aligned with 243 the vertical is considerably more complex (K. Matsuoka et al., 2009; Jordan, Besson, 244 et al., 2020), and is further unconstrained from downward-looking radar sounding. 245

In this study, we consider both vertical girdles  $(x_3 \text{ vertical})$  and horizontal poles 246  $(x_1 \text{ vertical})$  as sources of azimuthal fabric anisotropy. In general, these descriptions 247 refer to 'non-ideal' fabrics where G and P can be significantly less than 1. The ori-248 entation of the vertical girdle is quantified using  $\theta_G$  (the azimuthal angle of the  $x_2$ 249 axis assuming  $x_3$  is vertical) and the orientation of the horizontal pole is quantified 250 using  $\theta_P$  (the azimuthal angle of the  $x_3$  axis assuming  $x_1$  is vertical). For simplicity, 251 in the polarimetric methods (Section 4), we make the default assumption that we are 252 measuring a vertical girdle, and refer to  $\theta_G$  and G as the measured degrees of freedom. 253

#### 3.3 Representing the girdle-pole space

We now consider how a measurement of either G or P (under the assumption 255 either  $x_3$  or  $x_1$  is vertical) constrains the set of three eigenvalues,  $a_3$ ,  $a_2$ ,  $a_1$ . Due to 256 the additional constraint  $a_3 + a_2 + a_1 = 1$ , a pair of G and P values uniquely define 257  $a_1, a_2, a_3$ . The dependence of  $a_1, a_2$  and  $a_3$  on G and P is shown in Figure 2. 258 The upper left corner (G=0, P=1) is a single pole fabric, the lower left corner is a 259 random fabric (G=0, P=0) and the lower right corner is a vertical girdle fabric (G=1, P=0)260 P=0). The 'GP decomposition' is analogous to the 'Woodcock K value decomposition' 261 (Woodcock, 1977) but is formulated for eigenvalue differences rather than log-ratios. 262

For illustrative purposes, we assume that G is measured by radar and P is unconstrained ( $x_3$  vertical). Due to the triangular shape of the GP space (which arises from the inequality  $a_3 > a_2 > a_1$ ) the range of possible values for P (and therefore  $a_3$ ,  $a_2, a_1$ ) is better constrained for higher values of G. This dependency is illustrated by considering minimum and maximum pole bounds,  $P_{min} = 0$  and  $P_{max}$ , for two radar measurements of differing girdle strengths: G=0.2 and G=0.8 (points I-IV in Figure 2a). The respective c-axis distributions are simulated in Figure 2d.

In slow-flow regions, where the vertical girdle assumption holds and G is measured by radar, ice-core fabric data (e.g. Montagnat et al. (2014); Kluskiewicz et al. (2017)) gives a good indication of how P is likely to vary with ice depth. In particular, P generally increases with ice depth, with deeper ice being significantly closer to  $P_{max}$ than shallower ice. However, even in relatively shallow ice P is likely to be significantly greater than  $P_{min} = 0$ . For example, the fabric at the Greenland ice cores corresponds to  $P \approx 0.25$  at  $z \approx 40$  m (Montagnat et al., 2014).

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# 4 Polarimetric data analysis

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#### 4.1 Overview of method

The procedure to estimate ice fabric from the polarimetric radar data is based on a previously-developed polarimetric coherence method (Dall, 2009, 2010; Jordan et al., 2019; Jordan, Schroeder, et al., 2020). The method exploits the fact that azimuthal fabric anisotropy results in a bulk ice birefringence, a dielectric material property which results in the radio wave phase velocity being a function of polarization. The term 'polarimetric coherence' refers to a phase-correlation method that is



Figure 2. Top row: Fabric eigenvalues as a function of girdle and pole parameters: (a)  $a_3$ , (b)  $a_2$ , (c)  $a_1$ . Bottom row: (d) Examples of synthetic c-axis distributions for four points in GP space, illustrating pole bounds for a measured girdle strength. Points I and II correspond to the lower  $(P_{min})$  and upper  $(P_{max})$  pole bounds for G=0.2 and points III and IV corresponds to  $P_{min}$  and  $P_{max}$  for G=0.8. The c-axis distributions assume an azimuthal equal-area projection where grains in the center of the circle correspond to vertical c-axes and grains on the edge correspond to horizontal c-axes. The sampling procedure used to generate the plots is described by Rongen (2019).

then used to measure the polarimetric phase difference. The measured form of the polarimetric phase difference, the *hhvv* coherence phase  $(\phi_{hhvv})$ , is analogous to the interferometric phase in radar interferometry, but relates to material anisotropy due to the fabric rather than a physical displacement. The polarimetric coherence method reduces ambiguities from using radar power to estimate ice fabric, and better enables measurement uncertainty to be incorporated (Jordan et al., 2019; Jordan, Schroeder, et al., 2020).

In Section 4.2 we outline the key principles in the coherence data analysis. In Sec-292 tion 4.3 we describe new method development that improves automation of the fabric 293 estimates. The coherence method is underpinned by a polarimetric backscatter model 294 of the ice-sheet which relates the ice fabric parameters to the measured scattering ma-295 trix and derived quantities (Fujita et al., 2006; Jordan et al., 2019). A reader requiring 296 a full electromagnetic treatment of the backscatter model is referred to Fujita et al. 297 (2006). A reader requiring a presentation of how the coherence methodology relates to 298 the backscatter model is referred to Jordan et al. (2019) with initial proof-of-concept 299 of the technique by Dall (2009, 2010). 300

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#### 4.2 Polarimetric coherence: key principles

The polarimetric coherence analysis is formulated in a 'multi-polarization plane' 302 basis (co-polarized complex amplitude data as a function of azimuthal angle). Follow-303 ing Jordan et al. (2019), the multi-polarization data are notated by h and v where 304 the orientation of h and v is a function of the bearing angle  $\theta$ , measured in a counter-305 clockwise direction from true east, Figure 3a. When  $\theta=0^{\circ}$  and  $180^{\circ}$ , h is aligned with 306 H (true east/west) and v is aligned with V (true north/south). To generate the multi-307 polarization data from the quad-polarized acquisition, a rotation basis transformation 308 was applied to the scattering matrix and validated by checking for conserved quantities 309 (Boerner, 1992). The azimuthal angle of the fabric, for vertical girdle and horizontal 310 pole assumptions, is referenced to the polarizations in Figures 3b and 3c. From herein, 311 we described the measurements in terms of the vertical girdle end-member. 312



Figure 3. (a) Orientation convention for radar polarization planes in quad- and multi-pol bases. The data analysis is performed in geographical coordinates by applying a prior magnetic declination correction of 40°E. The azimuthal angle,  $\theta$ , is by convention measured positive in a counter-clockwise direction from true east. (b,c) Orientation convention for fabric eigenvectors assuming the measured fabric is either a non-ideal vertical girdle or a non-ideal horizontal pole.

The polarimetric (hhvv) coherence is calculated as a function of azimuthal angle and ice depth by windowing data in the range direction using

$$c_{hhvv}(\theta, z) = \frac{\sum_{j=1}^{N} s_{hh,j} \cdot s_{vv,j}^*}{\sqrt{\sum_{j=1}^{N} |s_{hh,j}|^2} \sqrt{\sum_{j=1}^{N} |s_{vv,j}|^2}},$$
(1)

where j is the range bin index and represents a depth, N is the number of independent range pixels, and \* indicates complex conjugate. In the data analysis we assume a sliding range window of 40 m, corresponding to N = 96 (refer to the Supporting Information, Figures S7 and S8, for sensitivity experiments).  $c_{hhvv}$  is a complex number where the magnitude,  $|c_{hhvv}|$ , describes the correlation between  $s_{hh}$  and  $s_{vv}$  and ranges from zero to unity. The complex argument

$$\arg(c_{hhvv}) = \phi_{hhvv} = \phi_{hh} - \phi_{vv}, \qquad (2)$$

referred to as the *hhvv* coherence phase, defines the relative polarimetric phase shift between co-polarized acquisitions that are offset by 90° azimuth to each other. The Cramer-Rao bound (Touzi & Lopes, 1999) can be used to estimate the phase error from  $|c_{hhvv}|$  via

$$\sigma_{\phi_{hhvv}} \approx \frac{1}{|c_{hhvv}|} \sqrt{\frac{1 - |c_{hhvv}|^2}{2N}}.$$
(3)

The central equation which connects  $\phi_{hhvv}$  to the ice fabric is given by

$$\left(\frac{d\phi_{hhvv}}{dz}\right)_{\theta=\theta_G,\theta=\theta_G+90^{\circ}} = \mp \frac{4\pi f_c}{c} \frac{\Delta \epsilon' G}{\sqrt{\overline{\epsilon}}},\tag{4}$$

where  $f_c$  is the radar center frequency, c is the radio wave speed,  $\bar{\epsilon}$  is the mean (polariza-313 tion averaged) permittivity,  $\Delta \epsilon' = (\epsilon_{\parallel c} - \epsilon_{\perp c})$  is the birefringence of an ice crystal with 314  $\epsilon_{\parallel c}$  and  $\epsilon_{\perp c}$  the permittivity parallel and perpendicular to the c axis. The temperature-315 and frequency-dependence of the ice permittivity is summarized by Fujita et al. (2000), 316 T. Matsuoka et al. (1996) and Fujita et al. (2006). Here we assume commonly-used 317 values within radar-sounding of  $\Delta \epsilon' = 0.034$  and  $\bar{\epsilon} = 3.15$ . A negative phase gradient, 318  $\frac{d\phi_{hhvv}}{dz} < 0$ , occurs when  $\theta = \theta_G$  as the h polarization is aligned with a higher per-319 mittivity than the v polarization, and therefore has a lower phase velocity. In turn, 320 the higher permittivity is associated with a greater azimuthal c-axis alignment (the 321  $x_2$  axis for a vertical girdle). For a measurement of a horizontal pole, G is replaced 322 by  $\frac{P}{2}$  in equation (4). In the data analysis,  $\frac{d\phi_{hhvv}}{dz}$  was computed using a convolution 323 derivative (analogous to the surface strain derivative in Section 2.3) with the Gaussian 324 kernel standard deviation size matching the coherence bin size. 325

Following Jordan, Schroeder, et al. (2020), we take into account the effects of phase de-ramping in the ApRES processing (Brennan et al., 2014) by taking the complex conjugate of  $c_{hhvv}$ , but do not notate this explicitly in the data analysis.

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#### 4.3 Automated extraction of ice fabric

To demonstrate how the fabric estimation is automated we input synthetic data 330 (depth profiles for  $\theta_G(z)$  and G(z)) into the polarimetric backscatter model (Fujita 331 et al., 2006; Jordan et al., 2019) and compare with the retrieved data-fits. In the 332 fitting, we incorporate two sources of uncertainty. First, we incorporate uncertainty 333 in the antenna/polarization plane alignment by assuming an alignment uncertainty of 334  $\pm$  5° for each HV acquisition pair. Second, we incorporate uncertainty due to phase 335 decoherence  $(|c_{hvvv}| < 1)$  by evaluating, equation (3), for measured values of  $|c_{hvvv}|$ . 336 Further details of how this uncertainty is propagated within the processing chain are 337 given in the Supporting Information (Figure S1). 338

We illustrate the approach using three examples of increasing complexity. In the synthetic examples,  $|c_{hvvv}|$  is modeled using a linearly decreasing ramp function with ice depth, which approximates the decoherence of the ice-stream data in Section 6.2. The first example, Figure 4a, considers depth-invariant girdle orientation and increasing girdle strength with ice depth. The second example, Figure 4b, considers

 $90^{\circ}$  azimuthal rotation within the ice column. The third example, Figure 4c, considers 344 a gradual (non- $90^{\circ}$ ) girdle rotation with ice-depth. Fabric estimation which approxi-345 mates Case 1 has been validated using ice core fabric data and comparative analysis 346 between different radar systems (Dall, 2010; Li et al., 2018; Jordan et al., 2019). 347

The data-fitting first solves for  $\theta_G(z)$  then G(z). To fit for  $\theta_G(z)$  we exploit 348 the fact that  $\frac{d\phi_{hhvv}}{dz}$  has either exact, Figures 4a and 4b, or approximate, Figure 4c, 349 azimuthal reflection symmetry about  $\theta_G$ . To implement the constraint, and solve for 350  $\theta_G(z)$  numerically, we minimized a cost function at each ice depth (see Supporting 351 Information, Section 1). Once  $\theta_G(z)$  is established, G(z) is obtained by substituting 352  $\left(\frac{d\phi_{hhvv}}{dz}\right)_{\theta=\theta_G}$  into equation (4). 353

The examples in Figure 4(a) and (b) illustrate agreement between the synthetic 354 and fitted values of  $\theta_G$ . In the deeper ice, the accuracy of the estimates decreases with 355  $|c_{hhvv}|$  due to the related coherence phase error, equation (3). Additionally, at the 356 depth when  $\theta_G$  rotates by 90° in Figure 4b the estimates for G(z) are impacted by the 357 assumed 40 m window size. The third example illustrates that non- $90^{\circ}$  rotations can 358 result in biases in the data-fits. The sense of rotation is, however, correctly accounted 359 for. The examples show that the fits for G(z) are generally less robust than  $\theta_G$  in the 360 presence of phase decoherence. In the data analysis, Section 6.2, we demonstrate that 361 the fabric is well-approximated by the first and second examples, and example 3 is 362 intended to guide method development that may be required in future studies. 363

The backscatter model simulations in Figure 4 all assume isotropic reflection 364 from the englacial layers. However, due to preserved azimuthal symmetry properties 365 (Jordan et al., 2019), the fitting approach also generalizes to anisotropic reflectors. 366 Isotropic reflection encompasses reflection from conductivity, density, and some classes 367 of fabric reflectors, whereas anisotropic reflection arises purely from fabric reflectors 368 (Fujita et al., 1999). 369

370

All the analysis in this section can be interpreted in terms of a horizontal pole fabric with  $\theta_G$  replaced by  $\theta_P$  and G replaced by  $\frac{P}{2}$ . 371



Figure 4. Illustration of fabric estimation using the polarimetric backscatter model with synthetic data. (a) Case 1: Depth-invariant fabric orientation. (b) Case 2: Sharp (90°) azimuthal rotation. (c) Case 3: Gradual azimuthal rotation. In the plot legends 'Model' refers to synthetic fabric data and 'Data-fit' refers to fabric estimates made using an azimuthal reflection symmetry constraint, as applied in the data analysis.  $\theta_G$  corresponds to the azimuthal angle of the  $x_2$  axis and the model and data-fits for the  $x_1$  axis are indicated by the yellow dashed and solid red lines respectively.

372

# 5 Characterization of anisotropic ice rheology

5.1 Overview of anisotropic flow-law and fluidity tensor

The aim of the rheological modeling is to provide a scheme where the radar fabric 374 measurements can be input into an anisotropic flow-law Gagliardini et al. (2009). 375 The flow-law is formulated in terms of a fluidity tensor which quantifies how the 376 fabric results in a different softness of ice for different stress components. The new 377 contribution here is to consider how the azimuthal cross-section of the fabric which is 378 measured by the radar (expressed an azimuthal orientation,  $\theta_G$  or  $\theta_P$ , and strength, 379 G or P, parameter) acts to bound the tensor elements. We consider a full-range of 380 possible rheology that can be measured by the radar, which extends beyond the data 381 set in this paper. 382

A variety of approaches have been developed to model the anisotropic rheology of 383 polycrystalline ice and are reviewed by Gagliardini et al. (2009). In the tensorial model 384 used here, the polar ice is assumed to behave as linearly viscous orthotropic material 385 (a class of anisotropic material where the mechanical properties are symmetrical with 386 respect to three orthogonal planes) (Gagliardini & Meyssonnier, 1999; Gillet-chaulet 387 et al., 2005; Martin et al., 2009). Anisotropy in the bulk rheology arises due to a 388 combination of mechanical anisotropy at crystal scale (assumed model parameters) 389 and anisotropy due to the ice fabric (the radar measurements). The crystal-scale 390 anisotropy is parameterized via two ratios: (1) the viscosity of the grain for shear 391 parallel to the basal plane to that in the basal plane, and (2) the ratio of the viscosity 392 in compression or tension along the *c*-axis to that in the basal plane. We assume, 393 following (Martin et al., 2009), that  $\beta = 10^{-2}$  and  $\gamma = 1$ . 394

We follow the presentation of the model in the Appendix of Martin et al. (2009), based on (Gillet-chaulet et al., 2005) and (Gagliardini & Meyssonnier, 1999). In the orthotropic reference frame (the eigenvectors  $x_1, x_2, x_3$  of **a**) the strain, **D**, and deviatoric stress,  $\bar{\mathbf{S}}$ , tensors can be written as 6-component vectors which are connected

#### via the matrix equation

$$\begin{pmatrix} D_{11} \\ D_{22} \\ D_{33} \\ D_{12} \\ D_{13} \\ D_{23} \end{pmatrix} = \psi_0 \begin{pmatrix} \psi_{1111} & \psi_{1122} & \psi_{1133} & 0 & 0 & 0 \\ \psi_{1122} & \psi_{2222} & \psi_{2233} & 0 & 0 & 0 \\ \psi_{1133} & \psi_{2233} & \psi_{3333} & 0 & 0 & 0 \\ 0 & 0 & 0 & \psi_{1212} & 0 & 0 \\ 0 & 0 & 0 & 0 & \psi_{1313} & 0 \\ 0 & 0 & 0 & 0 & 0 & \psi_{2323} \end{pmatrix} \begin{pmatrix} \bar{S}_{11} \\ \bar{S}_{22} \\ \bar{S}_{33} \\ \bar{S}_{12} \\ \bar{S}_{13} \\ \bar{S}_{23} \end{pmatrix},$$
(5)

where  $\psi$  is the fourth-order fluidity tensor (inverse of the fourth-order viscosity tensor) and  $\psi_0$  is a constant. The elements of  $\psi$  represent the relative softness of each deformation mode with respect to a random/isotropic fabric, whereby values greater than one indicate anisotropic ice that is softer than isotropic ice to an applied stress component.

In the general case,  $\boldsymbol{\psi}$  is a function of  $\beta$ ,  $\gamma$ , the second-order orientation tensor **a** and a fourth-order fabric orientation tensor,  $\mathbf{a}^4$  (see Martin et al. (2009) equation (C1)). We cannot, however, uniquely measure the elements of  $\mathbf{a}^4$  with radar. Following (Gillet-chaulet et al., 2005) we use a polynomial expansion to express  $\mathbf{a}^4$  in terms of the eigenvalues  $a_1$ ,  $a_2$  and  $a_3$ . We then use the GP decomposition outlined in Section 3.3 to model the elements of  $\boldsymbol{\psi}$  as a function of the two degrees of freedom G and P.

As described in Section 3.2, nadir radar-sounding may be used to estimate either G (vertical girdle strength where  $x_3$  is assumed vertical) or P (horizontal pole strength where  $x_1$  is assumed vertical). The radar can therefore constrain whatever elements of the fluidity tensor are assumed to be horizontal. Under the girdle assumption  $\psi_{1111}$ ,  $\psi_{2222}$ , and  $\psi_{1212}$  are the horizontal uniaxial and lateral shear elements. Under the pole assumption  $\psi_{2222}$ ,  $\psi_{3333}$ , and  $\psi_{2323}$  are the horizontal uniaxial and lateral shear elements.

A non-linear extension of equation (5) is considered by Martin et al. (2009) which mimics the n=3 power-law dependence of the commonly-used Glen's flow-law (Glen, 1954). Consequently, whilst we focus on a linear anisotropic rheology in this study, the radar measurements could also be used to parameterize a non-linear anisotropic flow law.

#### 418

#### 5.2 Anisotropic rheology for a non-ideal vertical girdle ( $x_3$ vertical)

We first consider the anisotropic rheology of a vertical girdle fabric in the princi-419 pal coordinate system (which, in general, is not aligned with the ice-flow coordinates). 420 Fluidity elements  $\psi_{1111}$ ,  $\psi_{2222}$ , and  $\psi_{1212}$  are shown as a function of P and G in 421 Figures 5a and 5b. The uniaxial elements have approximately vertical contours with 422  $\psi_{1111}$  decreasing as G increases (i.e. girdle ice is harder than isotropic ice for compres-423 sion/extension orthogonal to the girdle plane) and  $\psi_{2222}$  increasing as G increases (i.e. 424 girdle ice is softer than isotropic ice for compression/extension parallel to the girdle 425 plane). The lateral shear element,  $\psi_{1212}$ , Figure 5c has horizontal contours (i.e. it is a 426 function of P and therefore cannot be constrained with the radar if it is assumed that 427 a vertical girdle is being measured). For a given measurement of G, the pole strength 428 bounds,  $P_{min}$  and  $P_{max}$  (Figure 2), are used as bounds for each element of  $\psi$ . 429

To model the fluidity tensor in the ice-flow coordinates we use the following azimuthal rotation transformation

$$\boldsymbol{\psi}_{xyz} = K^{-T} (\theta_G - \theta_x) \boldsymbol{\psi}_{123} K^{-1} (\theta_G - \theta_x), \tag{6}$$

where  $\psi_{xyz}$  and  $\psi_{123}$  notate the fluidity tensor in the ice-flow and principal coordinate 430 systems, K is a  $6 \times 6$  rotation matrix, with  $K^{-1}$  the inverse matrix and  $K^{-T}$  the inverse-431 transpose matrix (refer to Ting (1996) for derivation and definitions). The rotation 432 angle is defined such that when  $(\theta_G - \theta_x) = 0^\circ$ ,  $x_2$  is aligned with x (i.e. the girdle 433 plane is aligned with ice flow). Figures 5d-f show the uniaxial strain elements in the 434 ice-flow coordinates,  $\psi_{xxxx}$  and  $\psi_{yyyy}$ , for a series of azimuthal girdle rotations. The 435 rotation results in the hard  $(x_1)$  and soft  $(x_2)$  strain directions changing with respect 436 to ice-flow direction. We do not show results for  $\psi_{xyxy}$  as there is only minor azimuthal 437 variation from  $\psi_{1212}$  (Figure 5c). 438

The result that vertical girdle fabrics lead to anisotropic rheology for horizontal 439 uniaxial strain is important for regions of the ice stream where extension and com-440 pression dominate over shear. Using the same flow-law as this study, Ma et al. (2010) 441 demonstrated that extensional ice-shelf flow (where a girdle is anticipated to develop 442 transverse to the flow-direction) leads to a relative hardening of the ice in the flow 443 direction, consistent with Figure 5a. Additionally, the previous rheological model by 444 van der Veen and Whillans (1994) predicts that girdle ice is softer than isotropic ice 445 for compression in the girdle plane, consistent with Figure 5b. In the data analysis, 446



**Figure 5.** Top row: Horizontal elements of principal fluidity tensor for a non-ideal vertical girdle fabric ( $x_3$  vertical). (a)  $\psi_{1111}$ , (b)  $\psi_{2222}$ , (c)  $\psi_{1212}$ . Bottom row: Horizontal uniaxial elements of fluidity tensor in ice-flow coordinates. (d)  $\psi_{xxxx}((\theta_G - \theta_x) = 22.5^\circ)$ , (e)  $\psi_{xxxx}((\theta_G - \theta_x) = 45^\circ)$ , (f)  $\psi_{xxxx}((\theta_G - \theta_x) = 67.5^\circ)$ . Fluidity values greater than one indicate that anisotropic ice is softer than isotropic ice. When  $(\theta_G - \theta_x) = 0^\circ$ ,  $\psi_{2222} = \psi_{xxxx}$ .

anisotropic rheology is quantified using fluidity tensor element ratios in the ice-flow 447 coordinates (E. C. Smith et al., 2017). Specifically, we consider  $\psi_{xxxx}/\psi_{yyyy}$  (relative 448 anisotropy of along-flow to across-flow uniaxial deformation),  $\psi_{xxxx}/\psi_{xyxy}$  (relative 449 anisotropy of along-flow to lateral shear deformation). The ratios are computed by in-450 putting the estimates and uncertainties for  $(\theta_G - \theta_x)$  and G into the rheological model 451 and then evaluating for the upper and lower pole bounds,  $P_{max}$  and  $P_{min}$  as described 452 in Section 3.3. For an ideal vertical girdle (G=1, P=0) aligned with the flow direction 453  $(\theta_G - \theta_x = 0^\circ)$ , the element ratios are given by  $\psi_{xxxx}/\psi_{yyyy} = \psi_{2222}/\psi_{1111} \approx 1.61$  and 454  $\psi_{xxxx}/\psi_{xyxy} = \psi_{2222}/\psi_{1212} \approx 2.11.$ 455

456

#### 5.3 Anisotropic rheology for a non-ideal horizontal pole ( $x_1$ vertical)

Horizontal fluidity tensor elements in the principal coordinates for a horizontal 457 pole are shown in Figure 6 (top row). Both  $\psi_{3333}$  and  $\psi_{2323}$  (now considered as 458 horizontal elements) have a tendency toward vertical contours in GP space, and can 459 therefore be constrained by measurements of P. Of particular note, the lateral shear 460 element  $\psi_{2323}$  is softer relative to isotropic ice. The strong enhancement of  $\psi_{2323}$ 461 for the single pole fabric (P=1, G=0) is better-known in the context of enhancing 462 horizontal shear for a vertical single pole (Azuma & Goto-Azuma, 1996; Ma et al., 463 2010). Similarly, evaluating  $\psi_{3333}$  for (P=1, G=0) reproduces a standard result that 464 single-pole ice becomes harder to uniaxial strain in the direction of the c-axes (Azuma 465 & Goto-Azuma, 1996; Thorsteinsson et al., 1997). 466

To reference the pole to ice flow we use the angle  $(\theta_P - \theta_x)$  which equals  $0^\circ$  when 467  $x_3$  is aligned with ice-flow. An analogous rotation transformation to equation (6) can 468 then be applied to calculate horizontal fluidity tensor elements for the horizontal pole 469 in the ice-flow coordinates. The lateral shear element exhibits the greatest angular 470 sensitivity, and Figure 6 (bottom row) shows  $\psi_{xyxy}$  as a function of  $(\theta_P - \theta_x)$ . Of 471 particular note, is the result that a non-ideal horizontal pole ( $P \approx 0.5$  or less) becomes 472 softer to lateral shear as the  $x_3$  axis rotates from  $0^{\circ}$  to  $45^{\circ}$  to flow. This result is 473 crucial to understand the fabric estimates in the ice-stream margin region, and to the 474 best of our knowledge has not previously been described. 475

To quantify the anisotropic rheology for the horizontal pole, fluidity tensor element ratios were evaluated for estimates of  $(\theta_P - \theta_x)$  and P for upper and lower girdle



Horizontal fluidity tensor elements in principal coordinates for a horizontal pole

Figure 6. Top row: Horizontal elements of principal fluidity tensor for a horizontal pole fabric  $(x_1 \text{ vertical})$ : (a)  $\psi_{3333}$ , (b)  $\psi_{2323}$ . Bottom row: lateral shear elements of fluidity tensor in iceflow coordinates. (c)  $\psi_{xyxy}((\theta_P - \theta_x) = 22.5^\circ)$ . (d)  $\psi_{xyxy}((\theta_P - \theta_x) = 45^\circ)$ . When  $(\theta_P - \theta_x) = 0^\circ$ ,  $\psi_{2323} = \psi_{xyxy}$ .  $\psi_{2222}$  is shown in Figure 5b.

Girdle strength: G=2(a2-a1)

bounds,  $G_{max}$  and  $G_{min}$ . These bounds correspond to the girdle strengths which 478 maximize and minimize the anisotropy, which at lower values of  $(\theta_P - \theta_x)$  can differ 479 from the maximum and minimum girdle strengths due to the shape of the contours 480 in GP space (eg. Figure 6b). The maximal shear-enhancement at 45° corresponds 481 to  $\psi_{xyxy}/\psi_{xxxx} \approx 1.60$ , which occurs for the  $G_{max}$  bound (in this case the maximum 482 girdle strength) of a non-ideal pole ( $P \approx 0.2$ -0.5). 483

#### 6 Results 484

485

#### 6.1 Characterization of the ice-surface strain field

To place the ice fabric measurements in the context of ice deformation, we first 486 characterize the ice-surface strain field of Rutford Ice Stream. The strain rates in the 487 ice-flow coordinates,  $D_{xx}, D_{yy}, D_{xy}$  are shown in Figure 7a-c. The log-ratio,  $\log_{10} \frac{|D_{xx}|}{|D_{xy}|}$ , 488 is used to quantify the magnitude of along-flow strain to lateral shear strain, Figure 7d. 489 Lateral shear dominates over horizontal uniaxial strain toward the ice-stream margin 490 whereas toward the center of the ice stream, along-flow uniaxial strain typically dom-491 inates over lateral shear. In the center of the ice stream there is a transition between 492 compression and extension directions. Specifically, the 'downstream central region' 493 (sites A1-A3 and sites B1-B2) corresponds to weak along-flow compression/across-494 flow extension, whereas the 'upstream central region' (sites B6-B10) corresponds to 495 along-flow compression/across-flow extension. 496

The minimum horizontal strain rate (principal compression),  $D_{min}$  increases in magnitude toward the ice-stream margins, Figure 7e. The angle at which this principal compression acts is referenced to ice flow using  $(\theta_{min} - \theta_x)$  where  $\theta_{min}$  and  $\theta_x$  are azimuthal bearing angles of the compression and flow axes, Figure 7f. (An upstream convention is assumed so that  $0 \le \theta_x < 180^\circ$ ).  $(\theta_{min} - \theta_x) = 0^\circ$  corresponds to alongflow compression (approximately the case for the downstream region in the ice-stream center) and  $(\theta_{min} - \theta_x) = \pm 90^\circ$  corresponds to across-flow compression (approximately for the upstream region). When the ice flow is dominated by lateral shear it is a general result that  $|\theta_{min} - \theta_x| \longrightarrow 45^\circ$ . This tendency can be understood from evaluating the principal (Mohr) angle formula

$$\theta_{min} = \frac{1}{2} \arctan\left(\frac{2D_{xy}}{(D_{xx} - D_{yy})}\right),\tag{7}$$

when  $2|D_{xy}| >> |D_{xx} - D_{yy}|.$ 497

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The uncertainty and fractional uncertainty on the minimum principal strain,  $D_{min}$  are shown in Figures 7g and 7h, with the fractional uncertainty being appreciable (> 0.5) in the central region of Transect A and downstream region of Transect B. The uncertainty on  $(\theta_{min} - \theta_x)$  is also highest ( $\approx 30^\circ$ ) in these regions, Figure 7i. Uncertainty in the ice-flow strain rates is comparable to  $D_{min}$ . The results in Figure 7a-c are qualitatively comparable to Minchew et al. (2016) (see their S18) but differ

quantitatively due to the resolution of the respective velocity fields and derivative procedures.

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#### 6.2 Estimation of ice fabric from the polarimetric coherence

For simplicity, in the polarimetric data analysis we describe the measured fabric as a vertical girdle (rather than a horizontal pole) by default. The vertical girdle model is anticipated to apply for the majority of the survey points (central region of Transect A and Transect B), with the horizontal pole model anticipated to apply to just the marginal region of Transect A.

Polarimetric coherence analysis results for three measurement sites along Transect A are shown in Figure 8 (refer to Supporting Information, Figures S2 and S3, for additional sites). A consistent feature is a band of high coherence magnitude,  $|c_{hhvv}|$ , in shallower ice which extends to  $z \approx 160$  m in the center of the ice stream (site A1) and  $z \approx 80$ m toward the ice-stream margin (site A9). Therefore, to compare fabric estimates between sites we focus on 40 < z < 80 m which we refer to as unit U1, indicated in Figure 8c.

Within U1,  $\phi_{hhvv}$  and  $d\phi_{hhvv}/dz$  are well-approximated by the backscatter sim-519 ulation for depth-invariant principal axes, Figure 4a. A relative counter-clockwise 520 rotation of  $\theta_G$  occurs between the center of the ice stream (Site A1:  $\theta_G \approx 85^\circ$  in U1) 521 and closest to the ice-stream margin (Site A9:  $\theta_G \approx 140^\circ$  in U1). The girdle strength 522 generally increases toward the ice-stream margin, which is illustrated by the increas-523 ingly shallow depths of the first half-phase cycle ( $\phi_{hhvv} = \pi$ ). At site A1, Figure 8a, 524 there is evidence for azimuthal fabric rotation within the ice column, with  $\theta_G$  in deeper 525 ice rotated in a clockwise direction relative to ice in U1. 526

<sup>527</sup> Polarimetric coherence analysis results for three measurement sites along Tran-<sup>528</sup> sect B are shown in Figure 9 (refer to Supporting Information, Figures S4 and S5, for <sup>529</sup> additional sites). The high-coherence band along Transect B is generally deeper than <sup>530</sup> along Transect A, and extends to  $z \approx 160$  m or greater at all measurement sites. For <sup>531</sup> z < 160 m, sites B1-B6 are qualitatively similar to site A1 (directly downstream along <sup>532</sup> the center streamline) and approximate the backscatter simulations for depth-invariant <sup>533</sup> principal axes, Figure 4a.



Figure 7. Characterization of the ice-surface strain field. (a) Uniaxial strain rate along ice flow. (b) Uniaxial strain rate across ice flow. (c) Lateral shear strain rate in ice-flow coordinates. (d) Along-flow to lateral shear strain log-ratio. (e) Minimum principal strain rate (horizontal compression). (f) Azimuthal angle of minimum strain (horizontal compression) relative to ice flow. (g) Uncertainty on minimum strain. (h) Fractional uncertainty on minimum strain. (i) Uncertainty on minimum strain angle relative to ice flow. Schematics for the ice-flow coordinates (x, y) and the principal strain coordinates  $(x_{min}, x_{max})$  are shown.



Figure 8. Polarimetric coherence analysis at three measurement sites along Transect A: (a) A1, (b) A6, (c) A9. The depth-profiles for the girdle fabric estimates,  $\theta_G(z)$  and G(z) are shown in the center right and far right columns. A filtering step is applied such that fabric estimates require  $|c_{hhvv}(\theta_G, z)| > 0.5$ . The depth interval used to assess horizontal variation in ice fabric, U1, is shown in (c).

By contrast, sites B8-B10 show two distinct sub-units within the high coherence 534 band (e.g. Figure 9c). These three sites all approximate the backscatter simulations 535 for a  $90^{\circ}$  azimuthal rotation in ice fabric within the ice column (Figure 4b). The 536 depth-transition between the two units is approximately at 100 m depth. To compare 537 fabric estimates between sites we focus on two layers: 40 < z < 80 m (U1, as defined 538 for Transect A), and 120 < z < 160 m (U2). The gap between U1 and U2 is set to the 539 coherence window size of 40 m so as not to bias the girdle strength estimates. Within 540 U1, there is evidence for a decrease in fabric strength toward the center of Transect B. 541 Notably, Site B6 has a slower vertical phase cycle, which is further decreased at site 542 B7 (see Supporting Information). 543

Sites A10 and B1 were not included in the data analysis as fabric estimates could not be obtained within the unit depth intervals. In general, the coherence magnitude is too low for the estimation of continuous ice fabric profiles for  $z \downarrow 300m$ . The exception is a band of high coherence in deeper ice (z > 1400 m), where the *hhvv* phase gradient is negligible (see Supporting Information, Figure S6). Due to a general increase in the vertical eigenvalue with ice depth, this deeper fabric is consistent with the presence of an azimuthally-symmetric vertical cluster.

#### 551

#### 6.3 Spatial variation in ice fabric

The azimuthal orientation of the fabric,  $(\theta_G - \theta_x)$ , and principal compression, 552  $(\theta_{min} - \theta_x)$ , relative to ice flow are shown for unit U1 in Transect A, Figure 10a. The 553 comparison is made to test the hypothesis that the fabric is consistent with strain-554 induced development that matches the local ice flow. The compression angle rotates 555 counter-clockwise from along-flow in the center of the ice stream (site A1) to  $45^{\circ}$  to 556 flow toward the ice-stream margin (site A9). The girdle orientation is closely correlated 557 with the compression angle, rotating counter-clockwise by approximately  $55^{\circ}$  between 558 site A1 and A9. There is, however a small systematic offset, between the girdle and 559 the compression angle (typically  $\approx -10^{\circ}$ ). The fabric strength within U1 ranges from 560  $G \approx 0.4$  at A1 to  $G \approx 0.7$  at A9, Figure 10b. G generally increases as the compressive 561 strain rate,  $D_{min}$ , decreases (or equivalently  $|D_{min}|$  increases). 562

 $_{563}$  Synthetic *c*-axis distributions which illustrate the combined effect of fabric rotation and strengthening, are shown in Figure 10c. The *c*-axis distributions are better



Figure 9. Polarimetric coherence analysis at three measurement sites along Transect B: (a) B2, (b) B6, (c) B10. The depth-profiles for the girdle fabric estimates,  $\theta_G(z)$  and G(z) are shown in the center right and far right columns. A filtering step is applied such that fabric estimates require  $|c_{hhvv}(\theta_G, z)| > 0.5$ . The black and grey arrows in (c) indicate the two units U1 and U2.



Figure 10. Spatial variation of ice fabric for unit U1 in Transect A. (a) Girdle orientation and horizontal compression axis relative to the ice-flow direction. (b) Girdle strength and principal compression magnitude. (c) Synthetic *c*-axis distributions for three measurement sites for the upper and lower pole bounds. The fabric estimates in U1 are depth-averaged over 40 < z < 80 m. The visualization of the results assume a vertical girdle fabric ( $x_3$  vertical). For a horizontal pole fabric ( $x_1$  vertical), *G* is replaced by  $\frac{P}{2}$  in (b) and ( $\theta_G - \theta_x$ ) is replaced by ( $\theta_P - \theta_x$ ) in (a).



Figure 11. Spatial variation of ice fabric for unit U1 and U2 in Transect B. (a) Girdle orientation and horizontal compression axis relative to the ice-flow direction. (b) Girdle strength and principal compression magnitude. (c) Synthetic *c*-axis distributions for fabric in U1 at three measurement sites for the upper and lower pole bounds. The fabric estimates in U1 and U2 are depth-averaged over 40 < z < 80 m and 120 < z < 160 m respectively. The visualization of the results assume a vertical girdle fabric ( $x_3$  vertical).

constrained toward the ice-stream margin where G is higher and the possible range for P is lower.

Fabric estimates for units U1 and U2 in Transect B are shown in Figures 11a and 567 11b along with synthetic c-axis distributions for U1 in Figure 11c. In general,  $(\theta_G - \theta_x)$ 568 is better correlated with  $\theta_{min} - \theta_x$  in U1 than U2. Notably, at the upstream sites, B8-569 B10, the girdle and compression axes are both orientated across-flow, whereas, at the 570 downstream site, B2, the girdle and compression axes are both orientated along-flow. 571 However, both  $(\theta_G - \theta_x)$  and G are less-well correlated with  $D_{min}$  than along Transect 572 A, particularly when  $|D_{min}|$  is low at sites B3-B7. In unit U2,  $\theta_G$  and G are relatively 573 constant along the entire length of Transect B, with the girdle orientated along-flow 574 and  $G \approx 0.4$ -0.5. 575

#### 6.4 Spatial variation in anisotropic rheology

576

The enhancements in fluidity assuming a vertical girdle  $(x_3 \text{ vertical})$  and hor-577 izontal pole  $(x_1 \text{ vertical})$  fabrics are compared for Transect A in Figure 12. In the 578 center of the ice stream, where the vertical girdle assumption holds better, the ice is 579 softer for along-flow deformation than across-flow deformation  $(\psi_{xxxx}/\psi_{yyyy} > 1)$  and 580 softer for along-flow deformation than lateral shear  $(\psi_{xxxx}/\psi_{xyxy} > 1)$ , Figures 12a 581 and 12b. The uniaxial anisotropy in Figure 12(a) can be understood from Figures 582 5d-f since the girdle orientation is always closer to the along-flow than the across-583 flow direction ( $|\theta_G - \theta_x| < 45^\circ$ ). The maximum anisotropy,  $\psi_{xxxx}/\psi_{yyyy} \approx 1.58$  and 584  $\psi_{xxxx}/\psi_{xyxy} \approx 1.71$ , occurs at site A6 when  $|\theta_G - \theta_x|$  is closest to 0°. In the center 585 of the ice stream, the horizontal pole model shows opposite behavior to the vertical 586 girdle model  $(\psi_{yyyy}/\psi_{xxx} > 1 \text{ and } \psi_{xyxy}/\psi_{xxxx} > 1)$ , Figure 13. 587

In the ice-stream margins, where the horizontal pole assumption holds better, 588 the ice is softer for lateral shear than along-flow deformation,  $(\psi_{xyxy}/\psi_{xxxx} > 1)$ , 589 Figure 12d. Furthermore, this shear-softening trend increases towards the margin. 590 The shear enhancement at the margin can be understood from Figures 6b-d, as the 591 fabric corresponds to a non-ideal horizontal pole (P $\approx 0.25$ -35) being rotated away 592 from  $(\theta_P - \theta_x) \approx 0^\circ$  (site A6) to  $(\theta_P - \theta_x) \approx 35^\circ$  (site A9). For the vertical girdle 593 assumption, along-flow deformation is predicted to be softer than lateral shear near 594 the ice stream margins  $(\psi_{xxxx}/\psi_{xyxy} > 1)$ , Figure 12b. 595

In interpreting anisotropic rheology for Transect B, we always assume that the 596 fabric is a vertical girdle ( $x_3$  vertical). Unit U1 illustrates a spatial transition in 597 anisotropic rheology, Figure 13c. For sites B2-B6 ice is softer in the along-flow direction 598  $(\psi_{xxxx}/\psi_{yyyy} > 1)$  whereas for sites B8-B10 ice is softer in the across-flow direction 599  $(\psi_{xxxx}/\psi_{yyyy} < 1)$  and qualitatively similar to Transect A (unit U1). The explanation 600 for the different results at sites B8-B10 is that the girdle plane is closer to the across-601 flow than the along-flow direction  $(|\theta_G - \theta_x| > 45^\circ)$ . In Transect B (unit U1) ice is 602 always softer for along-flow deformation relative to lateral shear  $(\psi_{xxxx}/\psi_{xyxy} > 1)$ , 603 Figure 13c. Transect B (U2), Figures 13e-f, illustrate very minor spatial variability in 604 the rheology, with the ice always softer in the along-flow direction. 605

If a Glen-like n=3 rheology is considered then the anisotropic rheology increases following  $(\psi_{xxxx}/\psi_{yyyy})^3$  and  $(\psi_{xxxx}/\psi_{xyxy})^3$  (Martin et al., 2009; E. C. Smith et al.,



**Figure 12.** Bounds on the relative anisotropy of ice rheology for Transect A. Top row: (a)  $\psi_{xxxx}/\psi_{yyyy}$ , (b)  $\psi_{xxxx}/\psi_{xyxy}$  assuming a non-ideal vertical girdle ( $x_3$  vertical). Bottom row: (c)  $\psi_{yyyy}/\psi_{xxxx}$ , (d)  $\psi_{xyxy}/\psi_{xxxx}$  assuming a non-ideal horizontal pole ( $x_1$  vertical). The shaded regions correspond to values consistent with the pole/girdle bounds. The fluidity ratios are defined differently for the vertical girdle and the horizontal pole models, so as to emphasize which deformation mode is enhanced.

<sup>608</sup> 2017). Consequently, the upper estimates for linear anisotropy in Figure 12 and 13 <sup>609</sup> change from  $\approx 1.4$ -1.8 to 2.7-5.8 for non-linear anisotropy. Non-linearity also results in <sup>610</sup> the difference between the upper and lower pole bounds increasing (the shaded regions <sup>611</sup> in Figure 12 and 13).

612



Transect distance (km)



Figure 13. Bounds on the relative anisotropy of ice rheology for Transect B. Top row: (a)  $\psi_{xxxx}/\psi_{yyyy}$ , (b)  $\psi_{xxxx}/\psi_{xyxy}$  for unit U1. Bottom row: (c)  $\psi_{xxxx}/\psi_{yyyy}$ , (d)  $\psi_{xxxx}/\psi_{xyxy}$  for unit U2. All panels assume a non-ideal vertical girdle ( $x_3$  vertical). The shaded regions correspond to values consistent with the pole bounds.

Transect distance (km)

#### 613 7 Discussion

614

#### 7.1 Flow-induced fabric development in ice streams

Our data in shallow ice within Rutford Ice Stream (unit U1), generally show a 615 strong correlation between crystal c-axis preferred orientations and the compressive 616 strain direction, Figures 10a and 11a. This relationship has been predicted for flow-617 induced fabric, also known as strain- or dynamically-induced fabric, (Azuma & Higashi, 618 1985; Alley, 1988) and observed across various flow regimes across the ice sheets (e.g. 619 Thorsteinsson et al. (1997); Wang et al. (2002); K. Matsuoka et al. (2012); Brisbourne 620 et al. (2019); Jordan, Schroeder, et al. (2020)). Our shallow-ice data establishes that 621 surface strain is the dominant mechanism to induce fabric where there is rapid local 622 horizontal variation (length-scales < 5 km) in the compression axis. Most notably, 623 Transect A results illustrate that the azimuthal compression and fabric orientation 624 both rotate towards  $45^{\circ}$  relative to ice flow near the ice-stream margin, Figure 10a. 625 Transect B illustrates that the fabric in the center of the ice stream also responds 626 to local changes in the compression axis, with the upstream region corresponding to 627 across-flow compression and fabric orientation and the downstream region (nearest to 628 Transect A) corresponding to along-flow compression and fabric orientation, Figure 629 11a. 630

The correlation strength between the orientation of the shallow-ice fabric and the compression angle generally increases with the compressive strain rate, as does the azimuthal strength of the fabric, Figures 10b and 11b. These observations suggest that larger strains, result in a faster rate of fabric development. However, the relatively coarse resolution of the strain-field (limited by the filter width in the spatial derivative) impedes a refined estimation of the length- and time-scales it takes for the fabric to develop.

An important caveat when interpreting our results is that the downstream region of the central ice stream (sites A1-A4 and B2) is an atypical deformation regime for an ice stream. Specifically, this region corresponds to relatively weak along-flow compression and across-flow extension, Figure 7. By contrast, the upstream region of Transect B is likely to be more representative, with along-flow extension and across-flow compression present. The azimuthal angle of principal compression that we observe in the ice-stream margins,  $\pm 45^{\circ}$  relative to ice flow, is anticipated to be a ubiquitous

property within ice streams. A physical way to understand this result is that it is the 645 compression angle which corresponds to maximal lateral shear in the ice-flow coordi-646 nate system. Consequently, the tendency of the near-surface fabric to be at  $45^{\circ}$  to 647 the shear plane is also expected to be common within ice streams. It has, however, 648 been observed previously, near nunataks (protruding mountain peaks from a glacier), 649 a tendency for the c-axes to rotate  $90^{\circ}$  to the shear plane (Fujita & Mae, 1994). This 650  $90^{\circ}$  orientation is consistent with an additional rigid-body rotation of the ice, as well as 651 flow-induced development toward the compression axis (Alley, 1988). It is beyond the 652 scope of our study to assess the relative contribution of flow and rotation mechanisms, 653 but our observed fabric orientation implies flow-induced development is important near 654 ice-stream margins. 655

656

#### 7.2 The significance of azimuthal fabric rotation within the ice column

A particularly striking result from the radar fabric characterization is the az-657 imuthal rotation of nearly  $90^{\circ}$  at depth within the ice column between units U1 and 658 U2 at the upstream region of Transect B, Figure 9(c). A consequence of this azimuthal 659 rotation is that the fabric orientation in deeper ice (unit U2, fabric orientation along ice 660 flow) is significantly misaligned with the across-flow surface compression axis, Figure 661 11. Broadly analogous behavior (i.e. better alignment between surface compression 662 and fabric in shallower ice than deeper ice) has been noted in other polarimetric radar-663 sounding studies, at ice divides (K. Matsuoka et al., 2012), ice rises (Brisbourne et al., 2019) and within Whillans Ice Stream (Jordan, Schroeder, et al., 2020). 665

For consistency with flow-induced fabric development, the fabric orientation in 666 unit U2 implies that the ice is undergoing (or has undergone) along-flow compression. 667 This assertion is backed-up by the presence of along-flow compression in the surface 668 ice  $\approx 20-30$  km upstream of site B10, Figure 7. Significantly, this observation is 669 consistent with the fabric in U2 developing upstream in the near-surface, then being 670 horizontally and vertically advected to its current location. Alternatively, temporal 671 changes in the surface strain field could contribute to the observed difference between 672 the surface strain and unit U2. On a related note, the systematic offset between the 673 fabric orientation and the compression axis for Transect A, Figure 10, could potentially 674 be explained by either advection or temporal changes in the surface strain field. 675

An important consequence of azimuthal fabric rotation within the ice column is 676 that it results in a depth-transition in the anisotropic rheology. Specifically, shallow 677 ice (U1) is harder for along-flow strain than across flow, whereas the deeper ice (U2) is 678 softer for across-flow strain, Figure 13. This result has particular significance for stud-679 ies that use ice-surface strain information within inverse ice-sheet modeling (MacAyeal, 680 1992; Hindmarsh, 2004; Schoof & Hindmarsh, 2010; Goldberg, 2011). Specifically, the 681 shallow depth of the fabric rotation ( $\approx 100$  m) indicates that there are circumstances 682 when only the shallowest ice fabric and rheology is (directly) related to the local surface 683 strain field. 684

685

#### 7.3 The impact of ice fabric on anisotropic rheology and ice flow

The radar characterization of anisotropic rheology is dependent on whether az-686 imuthal anisotropy is interpreted as a non-ideal vertical girdle ( $x_3$  vertical) or a hori-687 zontal pole  $(x_1 \text{ vertical})$  fabric. Across the majority of the ice sheets, where horizontal 688 strain rates are lower than at ice-stream margins, the vertical girdle interpretation is 689 more likely to hold. This scenario likely applies to the central region of the ice stream 690 (Transect B, and sites A1-A4). The rheology of the vertical girdle becomes important 691 when the ice-flow deformation undergoes significant uniaxial strain, as can occur in 692 the center of Rutford Ice Stream, Figure 7d. Significantly, the ice becomes softer in 693 the direction of the girdle plane, which is correlated with the compression direction. In 694 turn, this mechanism results in shallow ice (U1) in the upstream region being harder 695 to along-flow than across-flow deformation, and vice-versa in the downstream region. 696

Toward the ice-stream margin (sites A5-A9), lateral shear strain dominates uni-697 axial strain, Figure 7d, and the horizontal pole  $(x_1 \text{ vertical})$  assumption is more likely 698 to hold. Under this assumption, the softening of ice due to the non-ideal pole is consis-699 tent with Minchew et al. (2018) who predicted that ice fabric has a softening effect on 700 ice rheology within the margins of Rutford Ice Stream. The softening effect reported 701 by Minchew et al. (2018) was inferred from a combination of ice-surface remote sensing 702 data and ice-flow modeling, rather than from direct measurements of ice fabric. It is 703 important to note that an ideal single pole fabric, as hypothesized to exist by Minchew 704 et al. (2018), would require the pole axis to be aligned either across or along-flow to 705 optimally enhance lateral shear, Figure 6b. However, the azimuthal fabric orientation 706 in the marginal region of Transect A is observed to be closer  $\pm 45^{\circ}$  to flow, and is 707

<sup>708</sup> likely to be restricted to this orientation due to surface compression angle, Figure 7e. <sup>709</sup> In this restricted scenario, the non-ideal horizontal pole (as is observed by the radar <sup>710</sup> assuming  $x_1$  is vertical) is a better enhancer of lateral shear than an ideal pole, Figure <sup>711</sup> 6d.

A more general point to consider is the feedback between ice rheology and basal 712 conditions in influencing the heterogeneity of the ice-surface deformation. Specifically, 713 the alternating bands of along- and across- flow extension and compression in the 714 center of Rutford Ice Stream, Figure 7, could arise primarily from an englacial viscous 715 (regulatory) feedback mechanism. Under this interpretation, the girdle ice becomes 716 harder in the extensional direction (Castelnau et al., 1996; Ma et al., 2010), acting 717 to regulate strain rates in the direction of flow, and resulting in heterogeneity in the 718 strain field (Ng, 2015). On the other hand, previous topographic (King et al., 2016) 719 and seismic investigation (A. M. Smith, 1997) of Rutford Ice Stream have shown there 720 to be distinct heterogeneity to the bed of the ice stream. This includes the presence of 721 hard-bedded outcrops (King et al., 2016) and basal transitions between deforming and 722 undeforming till (A. M. Smith, 1997). Since ice compression is enhanced by a girdle 723 fabric, the ice rheology will act to enhance the compressing effect of basal obstacles 724 and irregularities on the ice deformation. 725

# 7.4 Comparison with previous seismic measurements of fabric at Rutford Ice Stream

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Previous studies of ice column fabric at Rutford Ice Stream, utilizing seismic 728 shear-wave splitting observations (Harland et al., 2013; E. C. Smith et al., 2017), 729 observe both vertical and azimuthal anisotropy. Both studies used icequakes from 730 the bed of the ice stream (z > 2000 m) recorded at surface stations over a 10 km 731 wide section of the central ice stream, in the vicinity of sites A1 and B2. The more 732 comprehensive study of E. C. Smith et al. (2017) synthezised splitting observations 733 with an average ice-column fabric combining an azimuthally symmetric vertical cluster 734 and an azimuthally anisotropic 'horizontal partial girdle' (HPG), similar in form to the 735 horizontal pole fabric presented here. The vertical cluster component, which relates 736 to the strength of the vertical fabric eigenvalue, cannot be characterized with our 737 radar method. However, the deeper-ice data (see Supporting Information, Figure S6) 738

supports that the cluster dominates at ice depth z > 1400 m, as there is no evidence for significant azimuthal anisotropy.

The shear-wave splitting method samples a column-averaged fabric between the 741 ice stream bed and the surface. Under certain conditions, the method can be used 742 to discriminate layered fabric structure. However, E. C. Smith et al. (2017) were 743 unable to detect discrete layering in their observations and assumed a homogeneous 744 anisotropic diffuse medium throughout the ice column. Both data sets indicate az-745 imuthal anisotropy although there are differing orientations for the interpreted girdle 746 fabric. Specifically, in this study unit U2 (deepest ice considered in this study over 747 120-160 m) the vertical girdle  $(x_2 \text{ axis})$  is aligned approximately parallel to flow. How-748 ever, in the seismic interpretation of the full ice column by E. C. Smith et al. (2017), 749 the  $x_2$  axis of the bulk ice column is perpendicular to flow. Although we are unable 750 to directly explain these discrepancies it is likely that a combination of the inherent 751 spatial and depth averaging nature of the seismic method and a lower sensitivity to 752 thin layers results in the small scale structure presented here being subsumed into a 753 bulk fabric interpretation. We can, however, conclude that it is unlikely that U2 is 754 representative of the rest of the ice column and a fabric more similar to that presented 755 by E. C. Smith et al. (2017) is likely to dominate in deeper ice. 756

### 757

#### 8 Summary and conclusions

In this study we use polarimetric radar sounding to investigate controls on the spatial development of ice crystal orientation fabric within the near-surface (top 40-300 m) of Rutford Ice Stream. We then use the radar fabric estimates to parameterize an anisotropic flow law and assess the impact of the fabric on ice flow. Our study reveals pronounced horizontal and depth-variation in both fabric and anisotropic rheology within the flow unit.

The main conclusions are:

1. Near-surface fabric in ice streams is strain-induced by ice flow.

The radar characterization of azimuthal fabric anisotropy in the shallowest ice (top 40-100 m) is consistent with strain-induced development that correlates with present-day ice flow. This finding confirms expected behavior (correlation between the horizontal compression axis and direction of greatest horizontal *c*- axis alignment), but also highlights that the fabric responds to locally-variable
(< 5 km scale) changes in the horizontal compression direction. Of particular</li>
note, at the ice-stream margins there is a tendency for the horizontal compression axis and the fabric to be oriented at 45° to the ice-flow direction, which is
consistent with simple shear. In the ice-stream center the compression axis and
the fabric can be orientated either along- or across-flow.

2. Deeper ice-stream fabric can be significantly misaligned with the surface strain. 776 The radar measurements in the center of the ice stream show that in deeper 777 ice (greater 100 m), the fabric can be azimuthally offset from the surface com-778 pression direction ( $\approx 90^{\circ}$  in extreme cases). Due to misalignment with the local 779 strain field and alignment with the upstream strain field, our results suggest that 780 ice-stream fabric is induced near the surface and preserved during downstream 781 transport. Additionally, the results expose that, in some regions of ice streams, 782 the ice-surface strain rates are likely to be a poor proxy for englacial strain-783 rates. This represents a new challenge for models that invert basal conditions 784 and viscosity from surface strain-rates assuming simplified vertical variation of 785 rheology. 786

#### 787 3. Ice-stream fabric can enhance both horizontal compression and lateral shear.

The rheological modeling illustrates that the changes in azimuthal fabric ori-788 entation and strength result in spatially-variable enhancement of lateral shear 789 and uniaxial deformation with the ice stream. The details of these findings are, 790 however, dependent on an assumption whether the fabric is a non-ideal vertical 791 girdle (greatest c-axis alignment vertical) or a non-ideal horizontal pole (great-792 est c-axis alignment horizontal). Our first hypothesis is that the fabric in the 793 center of the ice stream is a non-ideal vertical girdle that enhances horizontal 794 compression. This girdle fabric will enhance the compression of ice due to basal 795 obstacles, and is likely to play an important role in the regulation of ice-stream 796 flow. Our second hypothesis is that the fabric in the ice-stream margin is a non-797 ideal horizontal pole that enhances lateral shear. This pole fabric will combine 798 with strain heating to soften the marginal ice. 799

# Appendix A Glossary of key symbols

A glossary of the key symbols used throughout this study is shown in Table A1.

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Category	Symbol	Description
Ice motion	D	$3 \times 3$ strain-rate tensor
	$ar{m{S}}$	$3 \times 3$ deviatoric stress tensor
	$ heta_x$	Azimuthal angle of ice flow in polarstereographic coordinates
	$ heta_{min}$	Azimuthal angle of $x_{min}$ (horizontal compression axis)
Fabric	a	$3 \times 3$ second order orientation tensor
	$G = 2(a_2 - a_1)$	Girdle strength parameter
	$P = (a_3 - a_2)$	Pole strength parameter
	$ heta_G$	Azimuthal angle of $x_2$ axis (assumes $x_3$ vertical)
	$ heta_P$	Azimuthal angle of $x_3$ axis (assumes $x_1$ vertical)
Rheology	$\psi$	$6 \times 6$ representation of 4th order fluidity tensor
	K	$6 \times 6$ rotation matrix
	$eta,\gamma$	Anisotropic viscosities in flow law
Radar analysis	S	$2 \times 2$ scattering matrix
	$c_{hhhv}$	hhvv (polarimetric) coherence
	$\phi_{hhhv}$	hhvv coherence phase (co-polarized phase difference)
	$\Delta \epsilon'$	Ice crystal birefringence
	$ar\epsilon$	Mean (polarization averaged) permittivity
	H, V	Polarizations in quad-polarized (fixed) basis
	h, v	Polarizations in multi-polarized (rotating) basis
Coordinate systems	$x_1, x_2, x_3$	Principal axes (eigenvectors) of <b>a</b> and $\boldsymbol{\psi}$
	x,y,z	Local ice-flow coordinates ( $x$ along flow, $y$ across flow)
	$x_{min}, x_{max}$	Horizontal principal axes of ${\bf D}$ (compression and extension)
	heta	Azimuthal bearing angle in polarstereographic coordinates

 Table A1.
 Glossary of key symbols

# Supporting Information for 'Radar characterization of ice crystal orientation fabric and anisotropic rheology within an Antarctic ice stream'

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# Contents of this file

- 1. Supporting information for polarimetric data analysis methodology.
- 2. Polarimetric coherence analysis at all measurement sites.
- 3. Polarimetric coherence analysis for the full ice column.
- 4. Sensitivity of fabric estimates to different coherence window sizes.

#### 1. Supporting information for polarimetric data analysis methodology.

Figure S1 shows a flow-diagram illustrating the key steps of the polarimetric coherence method. To take into account measurement uncertainty the data-fits are performed over a set of 50 ensemble members incorporating azimuthal error on the antenna alignment and coherence phase uncertainty. Steps 2,3 and 5 run over each ensemble member.

In Step 2 we account for alignment uncertainty assuming  $\sigma_{\theta} = \pm 5^{\circ}$  which is modeled as a Gaussian random variable for each measured HV mode combination. We account for coherence uncertainty in Step 3 by then perturbing each  $\phi_{hhvv}$  pixel with the phase error,  $\sigma_{\phi_{hhvv}}$  (see equation (3) in the main article), again modeled as a Gaussian random variable. The phase derivative,  $\frac{\phi_{hhvv}}{dz}$ , which is used directly in the fabric estimation, is then computed within Step 3 for each ensemble member with these two sources of uncertainty incorporated.

The data-fits in Step 5 are carried for each ensemble member using the azimuthal symmetry constraint for  $\frac{\phi_{hhvv}}{dz}$  about  $\theta = \theta_G$ . This is illustrated visually in Figure 4 in the main article, using synthetic data and the backscatter model, Step 4. To computationally implement the constraint, and solve for  $\theta_G(z)$  at each ice depth, we minimize a cost function in each range bin of the form

$$\theta_G = \arg\min_{\theta_n} \left\{ \sum_{\theta_n=0^\circ}^{\theta_n=180^\circ} \sum_{\theta_m=0^\circ}^{w_m=180^\circ} w_m \sqrt{\left(\frac{d\phi_{hhvv}}{dz}(\theta_n+\theta_m) - \frac{d\phi_{hhvv}}{dz}(\theta_n-\theta_m)\right)^2} \right\}, \quad (1)$$

where  $w_m$  is a normalization weight and the indices n and m run over all of the azimuthal pixels (181 assuming an angular resolution of 1°). In the ideal case, when there is perfect reflection symmetry about  $\theta_G$ , each  $\left(\frac{d\phi_{hhvv}}{dz}(\theta_n + \theta_m) - \frac{d\phi_{hhvv}}{dz}(\theta_n - \theta_m)\right)$  term in the summation over  $\theta_m$  is zero, and the minimization results in  $\theta_n = \theta_G$  (or  $\theta_n = \theta_G \pm 90^\circ$ ) at a

Steps 2-5 repeat over all ensemble members, and ensemble means and standard deviations evaluated at each ice depth give the mean and propagated uncertainty for  $\theta_G(z)$ and G(z).

# 2. Polarimetric coherence analysis at all measurement sites

Figures S2 and S3 show polarimetric coherence analysis for sites along Transect A that were not included in the main article. As in the main article, we assume a vertical girdle description of the fabric (corresponding horizontal pole parameters can be obtained from  $\theta_P = \theta_G$  and  $P = \frac{G}{2}$ ). The series of plots illustrates the relative counter-clockwise rotation of  $\theta_G(z)$  in shallow ice as the measurements become closer to the shear margin. The increase in G in shallower ice toward the shear margin is also evident from the faster phase cycles.

Figures S4 and S5 show polarimetric coherence analysis for additional sites along Transect B. Sites B3-B5, Figure S5, are all qualitatively similar to site B2 shown in the main article. Site B7 has a negligible phase gradient in shallower ice, Figure S5b. This is likely to correspond to a near-random fabric (as the vertical fabric eigenvalue is likely to be relatively low in shallower ice). Sites B8 and B9, Figure S5b and c, show a qualitatively similar azimuthal rotation for  $\theta_G(z)$  within the ice column ( $\approx 90^\circ$  at  $z \approx 100$  m) to site B10 in the main article.

## 3. Polarimetric coherence analysis for the full ice column

Figure S6 shows polarimetric coherence analysis for the full ice column at sites A1 and A6. In general, the coherence magnitude is not sufficiently high to make accurate fabric estimates throughout the ice column (based on the filtering step which requires  $|c_{hhvv}(\theta_G, z)| > 0.5$ ). In deeper ice (z > 1400 m), however, there is a unit of high coherence magnitude where fabric estimates can be made. In this unit the vertical phase gradient is negligible, which results in locally fluctuating estimates for  $\theta_G$  and negligible estimates for G. The estimates in this unit therefore support the existence of a tendency toward a vertically-orientated single maximum fabric (as the vertical fabric eigenvalue is likely to be high in deeper ice).

# 4. Sensitivity of fabric estimates to different coherence window sizes

Figure S7 shows the sensitivity of fabric estimates along Transect A for three different window sizes, W. An example of the coherence analysis for one of the sites (A6) is shown in Figure S8. The  $\theta_G$  estimates are highly robust to the window size, and fall within the estimated uncertainty bounds, Figure S7a. The G estimates have a systematic decrease with window size, Figure S7b, although all window sizes capture the important trend of strengthening toward the shear-margin (sites A5-A9). The choice of W=40 m in the main article is thought to represent a good trade-off between desired vertical resolution and including enough range-cells to produce a robust coherence estimate.



Figure S1. Flow diagram for polarimetric data analysis.



Figure S2. Polarimetric coherence analysis at sites: (a) A2, (b) A3, (c) A4. The depth-profiles for the girdle fabric estimates,  $\theta_G(z)$  and G(z) are shown in the center right and far right columns. A filtering step is applied such that fabric estimates require  $|c_{hhvv}(\theta_G, z)| > 0.5$ .



Figure S3. Polarimetric coherence analysis at sites: (a) A6, (b) A7, (c) A8.



Figure S4. Polarimetric coherence analysis at sites: (a) B3, (b) B4, (c) B5.



Figure S5. Polarimetric coherence analysis at sites: (a) B7, (b) B8, (c) B9.



Figure S6. Full ice column polarimetric coherence analysis at sites: (a) A1, (b) A6.



Figure S7. Sensitivity of fabric estimates for three different different window sizes, W (Transect A). (a) Girdle orientation in relation to ice flow,  $(\theta_G - \theta_x)$ . (b) Girdle Strength, G.



Figure S8. Polarimetric coherence analysis at site A6 for three different window sizes, W. (a) W=30 m. (b) W=40 m. (c) W=50 m.