On the form drag coefficient under ridged ice: Laboratory experiments and numerical simulations from ideal scaling to real ice conditions

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Abstract

The bottom topography of ridged sea ice differs largely from that of other sea ice types. The form drag on ridge keels plays an important role affecting sea ice drift and deformation. We have carried out laboratory experiments and numerical simulations for a ridge model in a flume in order to better understand the characteristics of the form drag. The experimental setup covered both laminar and turbulent conditions. The local form drag coefficient of a keel, Cd, varied with the keel depth h and slope angle α in the turbulent regime. The numerical model extended the experimental results to independence of the water depth in order to achieve an analogy for ocean conditions. The results showed Cd= $0.68\ln(\alpha/7.8)$,R2= 0.998, 10@ [?] α [?] 90@, Cd ranging from 0.14 to 1.66, when keel depth is much smaller than mixed layer depth. In the Arctic Ocean, keel slope angles are within the range of 10@-50@ where Cd increases monotonously and becomes the dominant part of the total ice-water drag coefficient first decreased and then increased with α and reached the minimum at α [?] 30@. The variation of Cd with α (10@-50@) affects the momentum transfer of drifting sea ice, and we suggest that Cd under ridged sea ice to be tuned to 0.14-1.26 in multi-category sea ice models.

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2	numerical simulation from ideal scaling to real ice conditions
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9	Key Points:
10	• Parameterization of the form drag coefficient C_d under ridged ice is performed
11	by laboratory experiments and idealized numerical simulation.
12	• In laboratory experiments with a given water depth, C_d is sensitive to the ridge
13	keel depth and slope angle for the turbulent regime.
14	• In oceanic conditions of mixed layer much deeper than keel depth, C_d is a
15	logarithmic function of the slope angle α : $C_d=0.68\ln(\alpha/7.8)$.
16	

17 Abstract

The bottom topography of ridged sea ice differs largely from that of other sea ice types. 18 19 The form drag on ridge keels plays an important role affecting sea ice drift and 20 deformation. We have carried out laboratory experiments and numerical simulations 21 for a ridge model in a flume in order to better understand the characteristics of the form 22 drag. The experimental setup covered both laminar and turbulent conditions. The local 23 form drag coefficient of a keel, C_d , varied with the keel depth h and slope angle α in the 24 turbulent regime. The numerical model extended the experimental results to 25 independence of the water depth in order to achieve an analogy for ocean conditions. The results showed $C_d = 0.68 \ln(\alpha/7.8)$, $R^2 = 0.998$, $10^\circ \le \alpha \le 90^\circ$, C_d ranging from 0.14 26 27 to 1.66, when keel depth is much smaller than mixed layer depth. In the Arctic Ocean, 28 keel slope angles are within the range of 10° -50° where C_d increases monotonously and 29 becomes the dominant part of the total ice-water drag coefficient when $\alpha \ge 20^\circ$. When h/L_r (the ratio of keel depth to spacing) was high (h/L_r >0.01), the ratio of air-ice to 30 31 ice-water drag coefficient first decreased and then increased with α and reached the 32 minimum at $\alpha \approx 30^{\circ}$. The variation of $C_{\rm d}$ with α (10°–50°) affects the momentum 33 transfer of drifting sea ice, and we suggest that C_{d} under ridged sea ice to be tuned to 34 0.14–1.26 in multi-category sea ice models.

35 Plain Language Summary

36 Drag force on sea ice exerted by air and ocean play a key role in the dynamics of sea37 ice. Drag force is closely related with the morphology of sea ice. The thinning of

38 Arctic sea ice may largely affect drag force, because thinner sea ice may be more easily subjected to deformation, resulting in sea ice ridging or hummock ice and 39 40 further enhancing the dominance of ridge form drag in the total drag force. The drag 41 coefficient is parameterized with the thickness, concentration and floe size in sea ice 42 models. However, the slope angle of the ridge keel, which determines the shape of 43 ridges, also has an important influence on the drag. The local form drag coefficient of 44 ridge was investigated in this study by laboratory experiments and numerical simulations with an ice ridge model. A logarithmic function was found to describe the 45 46 relationship between the local drag coefficient and the slope angle of keel in conditions of deep mixed layer in the Arctic Ocean. The function of the local form 47 48 drag coefficient can be directly applied to the current sea ice dynamics models.

49 **1 Introduction**

50 Arctic sea ice has been changing rapidly with global warming in the past 51 several decades (Onarheim et al., 2018). Recent studies have indicated that Arctic sea 52 ice is not only thinning but also shrinking in size (Rothrock et al., 1999; Comiso, 2011; 53 Stroeve et al., 2012). Therefore, the exchange of momentum between the atmosphere 54 and the ocean will be modified with the decline in Arctic sea ice (Martin et al., 2016). 55 A thinner ice cover is easier broken under the actions of ocean waves and wind stress 56 (Castellani et al., 2014; Petty et al., 2017). As a consequence, the drift speed of Arctic 57 pack ice has increased significantly, although the wind force has remained at a similar 58 magnitude to previously (Spreen et al., 2011). In some regions exposed to storm

59 events, the sea ice distribution can change quickly and the drift speed has even reached 1 m/s (Itkin et al., 2017). Thinner sea ice may be more easily subject to 60 61 deformation, resulting in sea ice ridging or hummock ice (Lepparänta, 2011). The 62 drift pattern of ridged ice differs considerably from level ice owing to its complicated 63 topography involving a ridge sail in the air and its morphology of ridge keel in the 64

water.

65 Both of these factors affect sea ice drag force. The drag force depends on the 66 ice surface and bottom roughness, and atmospheric and oceanic boundary-layer flows 67 are the key variables that determine the momentum exchange at the air-ice and 68 ice-ocean interfaces. Early methods of calculating the momentum fluxes mostly 69 regarded the drag coefficients as constants in neutral atmospheric or oceanic boundary 70 layers based on the Monin-Obukhov and Rossby similarity theory (Blackadar and 71 Tennekes, 1968; Obukhov, 1971; Banke et al., 1980). More generally, the drag 72 coefficients depend on the stability of the boundary layer stratification and the 73 roughness length of the sea ice surface and bottom. The turbulent flow regime in the 74 boundary layers was the main consideration in earlier studies (Overland, 1985; 75 McPhee, 2012).

76 The drag force consists of tangential shear stress or skin friction and the form 77 drag, the drag force component that is normal in large roughness elements, and is 78 exerted on floe edges and ridges by winds and currents (Mai et al., 1996; Garbrecht et 79 al., 2002; Lüpkes et al., 2012). With the decline of multiyear ice (MYI) in the Arctic Ocean, a thinner ice cover is more easily broken, and the variations in the surface topography are higher than previously (Castellani et al., 2014). Both the air-ice and ice-ocean drag coefficients, C_a and C_w , reach their maximums in the summer during their annual cycle, and contributions from the form drag are significant because the floe size and ridge spacing are reduced more dramatically than the ice thickness during the melt season (Tsamados et al., 2014).

86 The force exerted on ice ridges is associated with the velocity profiles of the 87 wind/current at different ridge thicknesses, and the local form drag coefficient of an 88 individual obstacle (referred to as the local form drag coefficient, C_d for a keel, C_d for 89 a sail, the superscript ' representing air-ice parameters hereinafter) uses a quadratic 90 drag law (Arya, 1973). With the increasing spatial resolutions of the present 91 numerical models, different types of ice floes have been taken into account in 92 multi-category sea ice models that reflect thickness distribution, especially the ridged 93 ice contribution, and the scheme by Arya is widely adopted in present models; for 94 instance, the Helsinki multicategory sea ice model (HELMI) (Haapala et al., 2005; 95 Mårtensson et al., 2012) and the Los Alamos sea ice model (CICE) (Hunke et al., 96 2013; Tsamados et al., 2014; Martin et al., 2016). The results from using the CICE 97 model by Martin et al. (2016) showed that the form drag on ice ridges contributed 98 more than half of $C_{\rm w}$ for the winter seasons from 1980 to 2010.

99 C_d is the parameter for determining the form drag force. Bank and Smith 100 (1975) (BS75) obtained a linear form for C_d as a function of the sail height h and the

101	sail slope α' . Garbrecht et al. (1999) continued to summarize a logarithmic approach
102	for the dependence of C_d on the h' based on measurements of the wind profiles on
103	the leeward side of a pressure ridge. However, these studies were mostly conducted in
104	the atmospheric boundary layer (ABL) over sea ice and only a few cases have been
105	reported for ridge keels in the oceanic boundary layer (OBL). This is mainly because
106	field measurements involving underwater operations in the OBL are difficult, and
107	current meters such as Acoustic Doppler Current Profilers have a blind region in the
108	top 10 cm layer, preventing accurate measurements on layers just under the ice.
109	Therefore, laboratory experiments were performed in a water tank in order to
110	investigate the interaction between ice and water by directly measuring the drag force
111	and altering the test parameters. For example, Pite (1995) found that the keel drag and
112	speed have a quadratic relationship with flow separation and a skin friction
113	relationship without flow separation. However, the depth of the turbulent boundary
114	layer is limited by the fixed flume bottom in the laboratory experiments, this depth is
115	an order of magnitude greater than the depth of the keels in real conditions.

Based on the parameterization scheme of ice-ocean drag coefficient by Lu et al. (2011), we continue to find the parameterization scheme of the form drag coefficient on an individual keel. The motivation of this study was to improve our knowledge of how the form drag on an individual keel varies with keel parameters by combining laboratory experiments and numerical modelling. Moreover, the latter method allowed the experimental results to be extended to the real conditions of the

122	Arctic Ocean. The manuscript is organized as follows: Section 2 introduces the
123	background of the parameterization scheme on the sea ice drag coefficient. In Section
124	3, we design the laboratory experimental setup and a numerical model of
125	computational fluid dynamics. The results in terms of the drag force and the drag
126	coefficient of the keel model are presented in Section 4. The numerical model is
127	employed to obtain C_d independent of the water depth, and a discussion of the validity
128	of these results when applied to real conditions takes place in Section 5. Conclusions
129	are drawn in Section 6.
130	2 Methods
131	2.1 Parameterization of the ice-ocean drag coefficient
132	The parametrization of the sea ice drag coefficients, C_a and C_w , is based on the
133	partition concept originating in Arya (1973, 1975) and further developed in the past
134	two decades. The total drag on sea ice is separated into two parts: the skin drag due to

136 the pressure difference across the floes and ridges.

137 The parametrization of C_a accounts for the effect of sea ice topography 138 parameters, including sea ice concentration, floe size, and ridging intensity, 139 particularly in heavily ridged regions (e.g., coastal and offshore areas) and low ice 140 concentration regions (e.g., marginal ice zone) (Lüpkes et al., 2012). Lu et al. (2011) 141 provided a parameterization scheme of C_w , proposing that the form drag C_R is the 142 dominant factor in large ridging intensity, with C_w expressed as:

143
$$C_w = C_E + C_R + C_S = f_1 C_e + f_2 C_d + f_3 C_s$$
(1)

144
$$f_1 = \frac{Ad}{2L} \left[1 - \left(\frac{A}{1-A} \frac{d}{L} \right)^{1/2} \right]^2$$
(2)

145
$$f_2 = \frac{Ah}{\pi L_r} \left[1 - \left(\frac{h}{L_r}\right)^{1/2} \right]^2$$
(3)

$$f_3 = A\left(1 - m\frac{h}{L_r}\right) \tag{4}$$

147 where $C_{\rm E}$, $C_{\rm R}$, and $C_{\rm S}$ represent the drag coefficients which contribute, respectively, to 148 form drag by floe edges, form drag on ice ridges, and skin friction on the ice surface. 149 $C_{\rm e}$, $C_{\rm d}$, and $C_{\rm s}$ are the local drag coefficients for the contributions of a single floe 150 edge, a single ice ridge, and a uniform ice surface, respectively. f_1 and f_2 represent the 151 shielding effects of upstream floe edges and ice ridges in downstream areas, and they 152 are functions of ice concentration A, floe aspect ratio of draft to length d/L, and the 153 ridging intensity equal to the ratio of keel depth to ridge spacings h/L_r . f_3 is the 154 roughness friction function where m is a constant, equal to 10 in OBL (Lu et al., 155 2011).

156 In the above parameterization, C_d is an empirical parameter varying within a 157 wide range. For example, Hoerner (1965) summarized the C_d of waved obstacles on a bottom surface with a smaller slope α with the relationship $C_d = 3.75(\tan \alpha)^2 (\alpha < 20^\circ)$. 158 159 Due to the difference between the bottom surface and the free water surface, C_d was 160 measured at 0.51-0.62 in the flume experiment by Pite et al. (1995). In the calculation 161 of the CICE model by Tsamados et al. (2014), C_d was used as a constant with a range of 0.1-0.3. In Lu's model (Lu et al., 2011), C_d was set as a constant equal to 0.5 162 163 according to the measurements under a relatively smooth ice bottom in the Beaufort

164 Sea. The variation of C_d resulted in a proportional variation in the contribution of the 165 ridges in Eq. (1). Different C_d values were related to ridge parameters including the 166 ridge height and the slope angle.

167 2.2 Laboratory experiments

168 C_d is closely related to the Reynolds number *Re*, which reflects the flow 169 pattern (Schlichting, 1960):

170 $Re = \frac{UL}{v}$ (5)

171 Here, L is the keel wet length that varies with the keel depth h and the keel slope angle α (tan α =2*h*/*L*), and $v = 1.003 \times 10^{-6} \text{ m}^2/\text{s}$ is the kinematic viscosity coefficient of water. 172 173 The flow in the laboratory experiments covered the laminar and turbulent regimes and 174 the transition regime between them. The numerical simulations included only the 175 turbulent flow based on the k- ε turbulence model, corresponding to the real ocean 176 turbulent environment. For different keel shapes, the transition flow regime was 177 related to Re. According to a previous dimensional analysis (Zu et al., 2020), $C_{\rm d}$ can be expressed as $C_d = f(Re, D/h, \alpha)$. 178

The laboratory experiments were performed in a rectangular water tank, which was 0.23 m wide, 4.5 m long, and 0.45 m deep, with glass panels fitted at the sides and the bottom. The ridge keel model was designed to be a wedge-shaped symmetric element using Perspex materials. When the wedge model was driven along the water surface, the drag force of the ridge keel exerted by water was recorded. (Fig. 1).

184	The shape of sea ice ridges in the Arctic Ocean consisted of a triangular sail
185	and a triangular keel in the first-year ridges and a trapezoidal keel in the multi-year
186	ridges (Timco and Burden, 1997; Strub-Klein and Sudom, 2012). The present study
187	focused on the first-year ice using the triangular wedge model for the keel. The slope
188	angle α and the keel depth <i>h</i> were the two control parameters of the keel model. Table
189	1 lists the observed values of these two parameters in real conditions. For the keel
190	slope angle, the normal value was within a range of 20°-30° but the maximum could
191	even reach up to 87.5°. Therefore, the experimental slope angle was set at 10°, 20°,
192	30°, 45°, and 90°. The keel depth varies across a relatively large range and also acted a
193	source of turbulence in the boundary layer under the ice. In this experiment, the ratio
194	D/h was set within a range of 3.5-8.75, where $D = 0.35$ m is the water depth in the
195	flume.

The drift velocity *U* was also an experimental parameter, and it was set within a range of 0.03-0.3 m/s to reach the turbulent flow conditions as the situation in the turbulent boundary under sea ice. Leppäranta (2011) introduced the notion that the ice drift speed is of the order of 0.01–1 m/s according to a drifting station and acoustic field data.



203 Figure 1. Experimental setup; U is the drift speed of the keel model, h is the keel

- 204 depth, and α is the keel slope angle.
- 205
- 206

Table 1. Ridge keel parameters of Arctic first-year ice

Regions	Slope angle/°	Keel depth/m	References
Beaufort Sea and	26.6 (Mean)	-	Timco and
Central Arctic			Burden, 1997
Ocean			
East Coast Canada	24.92 (Mean)	2.94 (Mean)	Obert and
			Brown, 2011
Fram Strait	15–24	5.1–6.7	Sand et al., 2015
Barents Sea	8–29	3.4–7.6	Sand et al., 2015
Shokalsky Strait	11.2–87.5	4.36–4.97	Kharitonov, 2020

207

208 2.3 Numerical Simulation

Numerical experiments were conducted as for an additional view and to further extend the results of the laboratory experiments. In particular, the flume study was limited by the fixed, finite water depth. Provided the hydrodynamic similarity is satisfied, the flow in the numerical flume past the ridge keel at a uniform upstream velocity and the drag force of the ridge keel should be the same as the results in the flume experiments, where the keel moves at the same speed in the stationary fluid. 215 Considering the symmetry of the flow field and the slight variations along the 216 transverse section of ridge model, the numerical simulation was simplified to be a 217 two-dimensional flow problem; namely, the vertical cross section along the length of 218 the flume is chosen as the computational domain (Fig. 2). To ensure a fully developed 219 wake flow on the lee side of the ice floe, the ridge model was placed a third of the 220 way down the flume, agreeing with the general conditions for a fully developed wake 221 flow. The control equations for the flow field used the k- ε turbulence model (Launder 222 and Spalding, 1972). The momentum equation is written as:

223
$$\frac{\partial u_i}{\partial t} + u_j \frac{\partial u_i}{\partial x_j} = -\frac{1}{\rho} \frac{\partial p}{\partial x_i} + \frac{1}{\rho} \frac{\partial}{\partial x_j} \left(\mu \left(\frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right) + \tau_{ij} \right)$$
(6)

where u_i denotes the velocity components in the Cartesian coordinates x_i , t is time, ρ is water density, p is pressure, μ is the dynamic water viscosity coefficient, and τ_{ij} is the Reynold stress, which is related to the mean velocity gradients as per the Boussinesq hypothesis of an isotropic eddy viscosity μ_t (Hinze, 1975):

228
$$\tau_{ij} = \mu_t \left(\frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right) - \frac{2}{3} k \delta_{ij}$$
(7)

where *k* is the turbulent kinetic energy and δ_{ij} is the Kronecker delta. The fluid flow was solved with the RANS code supplied by the commercial software, FLUENT. It was based on the finite volume method, and the discretization schemes adopted the second-order upwind method in space and were steady in time. Equations were solved using the SIMPLEC algorithm. For the RNG *k*- ε two equation model, C_{μ} =0.0845, $C_{1\varepsilon}$ =1.42, $C_{2\varepsilon}$ =1.68, and α_{ε} = α_{k} =1.39 (Yakhot and Orszag, 1986; Orszag et al., 1993). Along the boundary of the keel, Reynolds stresses were zero due to the presence of a

236	viscous sublayer and affect the distribution of the pressure p in the keel boundary.		
237	Normal stresses originated from the pressure p that was exerted on the keel. Therefore		
238	the total keel drag could be obtained by integrating the frictional stresses and normal		
239	stresses along the keel boundary.		
240	$F = \int_{x=-htan\alpha}^{x=htan\alpha} \left[\mu\left(\frac{\partial u}{\partial z} + \frac{\partial w}{\partial x}\right)n_z + \left(p + 2\mu\frac{\partial u}{\partial x}\right)n_x\right]_{z=\eta(x)}dx $ (8)		
241	Keel shape function is defined as $z=\eta(x)$, $-h\tan\alpha < x < h\tan\alpha$.		
242	$\eta(x)=\tan\alpha x+D-h, 0\leq x\leq h\tan\alpha;$		
243	$-\tan \alpha x + D - h$, $-h \tan \alpha \leq x < 0$.		
244	The fluctuation of the free surface is regarded as very small, so it is ignored.		
245	Furthermore, a rigid lid assumption is employed for the free surface AB and DE		
246	(shown in Fig. 2), when z=D:		
247	$w=0, p=p_{a}.$		
248	where p_a is the atmosphere pressure, which here is set as zero. At the bottom and at		
249	the keel boundary (when $z=\eta(x)$ and $z=0$), there are no-slip boundary conditions:		
250	u=w=0.		
251	The boundary conditions at the velocity inlet and outlet are given by,		
252	respectively:		
253	u=U and $w=0$,		
	$\frac{\partial u}{\partial x} = \frac{\partial w}{\partial x} = 0$		
254	The outlet boundary is far from the disturbance region, so the gradient of		
255	velocity in the x direction is zero. We chose typical cases with a slope angle of 45° for		

256 computation to test the independence of the grid size. When the space size was set to

between 0.5 cm and 0.9 cm, the calculation was stable. We chose 0.5 cm as the final

258 grid size.



Figure 2. Numerical computational domain. *u* and *w* are fluid velocities respectively in the *x* and *z* direction, α is the keel slope angle, and *h* is the keel depth into the water.

263 Near the keel there are unstructured grid cells.

264

265 **3 Results and Discussion**

266	3.1 Local form drag coefficien	t
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The drag force was measured using a tension sensor in the laboratory experiments. We used the drag force *F* on a unit length of a two-dimensional keel

269 model to obtain C_d . In the numerical simulation, this drag force was calculated by Eq.

270 (8). F and C_d have a quadratic relationship:

271
$$F = 0.5C_d \rho h U^2$$
 (9)



turbulent, with C_d independent of Re. At $Re \sim 10^4 - 10^5$, the laminar-turbulent transition regime was found, with $C_d(Re)$ adapting between the linear decrease and the fixed level. Turbulence started at a lower Re for sharper keels because the disturbance of flow was stronger near to sharper keels.



Figure 3. Plots of $\log_{10}(C_d)$ with $\log_{10}(Re)$ at keel depths $h=4\text{cm}(\blacksquare, \Box)$, $6\text{cm}(\bullet, \bigcirc)$, 8cm (\blacktriangle , \triangle), and 10cm (\diamondsuit , \blacklozenge). Empty dots represent numerical results and solid dots represent laboratory results. The keel slope angle α is (**a**) 20°, (**b**) 30°, (**c**) 45°, and (**d**) 90°. The part to the right of the vertical dashed lines the is absolutely turbulent

287 flow. The critical Reynolds numbers are, respectively, (a) 1×10^5 for $\alpha = 20^\circ$, (b) 5×10^4

288 for
$$\alpha = 30^{\circ}$$
, (c) 3.2×10^{4} for $\alpha = 45^{\circ}$, and (d) 1×10^{4} for $\alpha = 90^{\circ}$.

290	For the fully turbulent regime, the numerical results mostly agreed well with
291	laboratory experiments. At large slope angles, the fit became worse. At $\alpha = 90^{\circ}$, the
292	numerical results were a little larger than the laboratory outcome because a flow
293	separation existed at the sharp wedge. Fig. 3 shows that C_d varied within 0.17–3.78
294	with <i>h</i> and α . The maximum value of the ratio <i>D</i> / <i>h</i> was 8.75 in this experiment due to
295	the limitation of the flume depth. Thus, the flow past the keel model was narrow and
296	it had an impact on the variation of the resulting drag coefficient with a keel depth h .
297	3.2 Difference between laminar and turbulent flow
298	The experimental results in the laminar flow regime are summarized in Fig. 4.
299	The friction coefficient of a flat plate was proportional to $Re^{-0.5}$ (Hoerner, 1965).
300	Using the linear least squares regression, this study obtained the relation $\log_{10}(C_d)$
301	=-0.5log ₁₀ (Re)+2.5. The correlation coefficient was $R = 0.87$. The linear regression
302	passed the significance test (<i>F</i> -test, $p < <1 \times 10^{-3}$). In the laminar region, viscous shear
303	stress dominated the drag force and C_d only depended on Re .
304	



Figure 4. Plots of laminar flow results for all keel shapes and linear least squaresregression fit.

308

309 C_d was no longer associated with *Re* in turbulent flow. Then, the streamlines 310 around the keel model were no longer smooth, and a vortex wake was formed at the 311 lee side. The pressure in the vortex zone was lower than the pressure at the same 312 horizontal level at the front, and the form drag force created by the pressure difference 313 was much greater than the skin friction drag force. The variation of C_d with D/h when 314 U = 0.15 m/s and $\alpha = 45^\circ$ is shown in Fig. 5a as an example. In other cases with the 315 constant U and α , the variations of C_d with D/h were all similar in a turbulent flow.



Figure 5. The variation of C_d with (a) D/h (h=4 cm, 6 cm, 8 cm, and 10 cm, D=35cm) when U=0.15 m/s and $\alpha=45^\circ$ and (b) α ($10^\circ \le \alpha \le 90^\circ$) when U=0.15 m/s and h=10cm in experimental and numerical results (note: log scale for α).

320

321 It is clear from Fig. 5a that C_d decreased with D/h. The total drag force was 322 determined by the size and shape of the keel model, which decided the distribution of 323 pressure around the keel. Physical experiments were conducted in the tank with a 324 finite depth. For the flow past the keel, there was a sheltered area behind the keel 325 (Garbrecht et al., 1999). With the increase in h, the flow passage channel became narrower. The upstream-downstream pressure difference $\triangle p$ was proportional to 326 $0.5\rho \triangle U^2$ according to the Bernoulli equation in fluid dynamics. According to the law 327 of mass conservation, it can be seen that $0.5 \triangle U^2 = 0.5(h/(D-h))^2 U^2$. Consequently, C_d 328 ~ $\Delta p/\rho U^2 = 0.5/(D/h-1)^2$. Based on the inviscid flow theory, the increase in C_d with 329 330 D/h was nonlinear, especially when 2 < D/h < 10 (Fig. 5a). As D increased, the 331 influence of h on C_d weakened with $C_d \rightarrow 1.2$ for D >> h. In real conditions, the depth 332 of the mixed layer was an order of magnitude greater than the keel depth. Thus, the 333 keel movement in a deeper tank would be more appropriate, but by scaling the analysis our results were suitably applicable to nature-scale conditions. 334

For U=0.15 m/s and h=10 cm, $Re > 10^5$, and the flow was fully turbulent for all keel shapes. Fig. 5b shows that C_d increases linearly with $\ln(\alpha)$. The slope angle α was a key parameter of the keel shape for the influence on C_d . It was obvious (Figs. 3 and 5) that the numerical results agreed well with the physical experiments in the turbulent
regime. Therefore, we could use this turbulent model to examine cases of keels in
deeper water.

- 341
- 342 3.3 Applications to real conditions

343 In the Arctic Ocean, turbulence in OBL is generated by winds, surfaces heat 344 fluxes, and the drift of sea ice. The mixed layer depth in OBL is often determined by 345 the vertical temperature and density distribution, and it is about 50 m in idealized 346 ocean-ice models (Steele and Boyd, 1998; Beer et al., 2020). McPhee (2002) measured the mixed layer depth of 25 m in the boundary layer under ice using a CTD 347 sounding device during the SHEBA drift. Strub-Klein and Sudom (2012) analyzed 348 349 186 ridge keels in an Arctic region and obtained an average keel depth of 4.8 m, but 350 keel depths vary from zero up to more than the OBL depth. Thus, the ratio of the 351 turbulent OBL depth to the mean keel depth in the Arctic seas is around 10, but the 352 range of variation is large.

Our study focused on the drag force in homogeneous fluids, which resembled the mixed layer in real conditions because the bottom thin boundary layer had little effect on the uniform velocity field in the flume. Fig. 6a shows the different variation law of C_d with h and D. When D/h > 10, C_d tended to be stable, as shown in Fig. 6b. When D/h < 10, the keel depth induced disturbances around the halocline, and internal waves were also generated in an ice-covered ocean (Fer et al., 2014; McPhee, 2002;

- Pite et al., 1995), which will be considered in further studies. Thus, D/h>10 was set as
- 360 a criterion which decides that the C_d results in this study can be applied to real
- 361 conditions.



363 **Figure 6.** (a) Variation of C_d with *h* and *D* for *U*=0.3 m/s (turbulent regime) and 364 α =45°, and (b) the dimensionless relationship between C_d and *D/h*.

To extend the results in Fig. 3 to real conditions, we used the numerical k- ε 366 367 turbulence model. First, the sensitivity of the results from the model to the water depth was investigated, and the simulated drag coefficient is shown in Fig. 7. $C_{\rm d}$ 368 369 decreased rapidly to about half the original value, when the water depth D increased 370 to three times the original D=35 cm. However, when D continued to increase and 371 reached D=140 cm (four times the original), C_d tended to be stable, and the difference 372 from the case of D=105 cm was less than 4%. Therefore, we used D=140 cm as an independent water depth where the bottom boundary only had a minor effect on flow 373 374 past the keel.





Figure 7. C_d vs. Re in the numerical simulations at different water depths, D=35 cm,

- 378 105 cm, and 140 cm, when h=10 cm, (**a**) $\alpha = 20^{\circ}$ and (**b**) $\alpha = 45^{\circ}$.
- 379

The length of the calculation field had no effect on the results because the fluid outlet had been restored to a uniform flow. Thus, the results of C_d in a turbulent flow could be extended to an independent water depth, as shown in Fig. 8.





Figure 8. Independent C_d results on water depth obtained from a numerical turbulence model when h=4 cm, 6 cm, 8 cm, and 10 cm, (a) $\alpha = 10^\circ$, (b) $\alpha = 20^\circ$, (c) $\alpha = 30^\circ$, (d) $\alpha = 45^\circ$, (e) $\alpha = 60^\circ$, and (f) $\alpha = 90^\circ$.

391 C_d tended to be stable with *Re* at a constant keel angle in the turbulent regime. 392 However, the variation of C_d with *h* was much smaller than the results in Fig. 5 with a 393 shallow depth, where we obtained $C_d \sim 0.5/(D/h-1)^2$ based on the inviscid theory. 394 When *D*=140 cm, *D/h*>14, and the variation of *h* only has a minor effect on C_d . For 395 example, C_d increased less than 1% from *h*=4 cm to *h*=10 cm when $\alpha = 20^\circ$. For the 396 larger α , *h* still had some influence on C_d , as shown in Fig. 8, because the shelter area

- behind a sharp keel increased more with h than compared with a flat keel. However,
- 398 this influence was much smaller than at D=35 cm (Fig. 5a); the keel angle α had a
- 399 significant influence on C_d , which was similar to the variation laws shown in Fig. 5b.



401 **Figure 9.** Relationship between C_d and α for real ocean conditions.

402

403 Fig. 9 illustrates that C_d increases from 0.17 to 1.66 in the keel angle range of 10° -90°. 404 A logarithmic fit was determined as:

405
$$C_{\rm d} = 0.68 \ln(\alpha/7.8^{\circ}), \ 10^{\circ} < \alpha < 90^{\circ}$$
 (10)

406 The squared correlation coefficient was R^2 =0.998, which was comfortably past

407 the significance level (F test, $F_0 = 2736.68 > F_{0.005}(1, 4) = 31.3$ and $p\{F > F_0\} \sim 10^{-6}$).

The variation of C_d in Fig.9 with keel slopes included all laboratory cases. However, field observations and underwater sonar data showed that keel slopes had a log-normal distribution and more than 80% of keel slopes were concentrated within a range 10°–50°; the mean value was 23.2° in Davis and Wadhmas (1995) and 26.6° in Timco and Burden (1997). The range of C_d in Arctic conditions was 0.17–1.26, corresponding to the slope angle range 10°-50°; for a representative slope angle 25°, $C_d = 0.79$. This range was larger than the range in the form drag of ridge sails, C_d

415	(the apostrophe representing air-ice parameters hereinafter), which has been
416	summarized as 0.2-0.8 (Garbrecht et al., 1999). Banke et al. (1976) summarized the
417	field observation data on the air-ice interface and obtained a linear relationship
418	between C_d and α : C_d =0.012+0.012 α . Tsamados et al. (2014) used a C_d range of
419	0.1-0.3 for both ABL and OBL in the CICE sea ice model. However, our results show
420	that C_d is larger than C_d' , and that it is unreasonable to take C_d as a constant, which
421	was done in previous sea ice models.

The result of C_d in Eq. (10) ignored the effect of h and adopts the mean value 422 423 keel depth in the Arctic. It was valid as long as D/h>10. Although h was an important 424 morphology parameter of keels, it was only for large keels that the depth had a notable influence on the form drag, which will induce internal waves around 425 426 pycnocline. In sea ice dynamics modelling, the form drag forces exerted along the 427 keels were converted to the horizontal stress per unit area. For multiple keels, the drag coefficient on keels $C_{\rm R}$ varied with the ratio of keel depth to keel spacing $h/L_{\rm r}$ because 428 429 h represented the statistical distribution of keel depths; with a larger h, there were more deep keels. We only considered the effect of α in the parametrization of C_d for 430 431 an individual keel with h < < D, but for multiple keels, h was still an important 432 parameter, as shown in Eq. (3).

433 3.4 Dominance of ridge form drag

434 Considering the combined impact of multiple floes and ice ridges in Eqs. 435 (1)-(4), the total ice-ocean drag coefficient, $C_{\rm w}$, and the contribution of form drag on 436 ridge keels, $C_{\rm R}$, could be determined in a simple manner. In the calculations, d/L, the ratio of ice draft to floe length, was set as 0.01 for simplicity by Lu et al. (2011), 437 where floe edge drag was the main part of the total drag for a moderate ice 438 439 concentration. However, the keel drag component may change the dominance over the floe edge drag proportion. The order of h/L_r varied from 10^{-3} to 10^{-1} according to 440 441 investigations by Davis and Wadhams (1995), who analyzed a sonar data set 442 comprising 729 ridges on a submarine cruise between Greenland and Svalbard from 443 78°N to 90°N. Other parameters of this drag force analysis are listed in Table 2, the 444 selection basis will be explained in the next section, and the results are shown in Fig. 445 10.

Fig. 10a shows the variation of $C_{\rm R}$ with α and $h/L_{\rm r}$ when A=60%, representing 446 447 moderate ice concentrations. Due to the influence of α on C_d , C_R varied greatly. C_R increased quickly with the increase in α for $10^{\circ} \le \alpha \le 50^{\circ}$ and $h/L_r \ge 10^{-2}$, from 0.01×10^{-3} 448 to 11.29×10^{-3} . A similar trend of $C_{\rm R}$ with α and A is shown in Fig. 10b, where $h/L_{\rm r} =$ 449 450 0.05, adopting the mean spacing of statistical data of keels deeper than 5 m for 2004 and 2007 from North Greenland by Wadhams et al. (2011). $C_{\rm R}$ was sensitive to α at a 451 larger A, and increased especially quickly in the α range of 10°-50°, from 1.62×10⁻³ to 452 12.13×10^{-3} with A=100%. 453

454 Fig. 10c shows the variation of C_R/C_w with α and h/L_r when A=60%. C_R/C_w 455 was more than 50% as $h/L_r \ge 0.05$. The contribution of C_R increased rapidly with the 456 variation of α for $20^\circ \le \alpha \le 50^\circ$ when $h/L_r=0.05$, from 56.6% to 71.6%. It is shown in Fig.





Figure 10. Variations of $C_{\rm R}$ with (**a**) α and $h/L_{\rm r}$ when A=60% and (**b**) α and A when



469 $3.5 \text{ On } C_{\rm a}/C_{\rm w}$

The relationship between the air-ice drag coefficient C_{a} and the ice-ocean drag 470 471 coefficient C_w was interesting in sea ice dynamics research and modelling. In steady wind-driven free ice drift, the drift speed is proportional to $(C_a/C_w)^{1/2}$ (Leppäranta, 472 2011). Because the field observations of air-ice interaction are relatively easy to 473 474 perform compared with underwater experiments, there is abundant literature on $C_{\rm a}$ (Banke et al., 1976; Overland, 1985; Anderson, 1987; Leppäranta and Omstedt, 1990; 475 476 Gabrecht et al., 1999; Lüpkes et al., 2012). Combined with the results of this study, it was easy to investigate the variations of C_a/C_w at different sea ice conditions if the 477 478 correlations between the ice surface and the bottom topography are pre-defined.

479 The formation of ice ridges mainly arises due to the mutual squeezing of sea 480 ice, and the morphology characteristics of upper and lower surfaces are therefore 481 related to some degree (Leppäranta, 2011). Relevant parameters on the sea ice surface 482 and the bottom are listed in Table 2. For ridge slopes, sail angles vary at a similar 483 range to keels, and the average angle is 19.2° (Strub-Klein and Sudom, 2012). Timco 484 and Burden (1997) found that both keel angles and sail angles have a lognormal 485 distribution at a wide range, from 10° to 80° in Arctic seas, and the mean value of the sail angles is 20.7°, which is slightly smaller than the 26.6° of the keel angles. In order 486 487 to facilitate the calculation of the effect of α on C_a/C_w , keel angles and sail angles are 488 assumed to be the same in the following discussion. The keel-to-sail ratio h/h' has 489 been fitted to a log-normal distribution for first-year ridges (Timco and Burden,

490	1997). Kharitonov et al. (2020) found recently that h/h' is about 3. For freeboard d'
491	and draft d of level ice, the ratio d/d' is different from the ratio h/h' of ridges.
492	Wadhams and Doble (2008) investigated in situ measurements of snow and level ice
493	in a 100×110 m area by drilling holes in the spring Beaufort Sea, and found that
494	median ice draft (d) was 137 ± 15 (standard deviation) cm, with an ice freeboard (d')
495	of 13 \pm 5 cm. According to the Archimedes principle and the standard isostatic
496	relation, $d/d' = \rho_i/(\rho_w - \rho_i)$, where ρ_i is ice density and ρ_w is seawater density when the
497	snow cover is ignored. We selected $\rho_i=918 \text{ kg/m}^3$ and $\rho_w=1021 \text{ kg/m}^3$ (Dobel et al.,
498	2011), and $d/d'=8.9$ was the result. In the calculation of C_a/C_w , d'/d was selected as
499	1/10, and A varied across a range of 0-100% in time and space.

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Table 2. Morphology of sea ice surface and bottom

Ice parameters	Bottom	Surface
Keel or sail slope angle	α=10°-90°	α΄=α
Ratio of keel depth or sail height to ridges interval distance	<i>h</i> / <i>L</i> _r =0.001-0.1	$h'/L_{\rm r} = 1/3h/L_{\rm r}$
Ratio of ice draft or freeboard to floe length	<i>d/L</i> =0.01	d'/L=1/10d/L
Ice concentration	A=0-100%	A=0-100%
Local ice lateral coefficient	$C_{\rm e}=1$	$C_{\rm e}$ '=1
Local form coefficient on a keel or sail	$C_{\rm d} = 0.68 \ln(\alpha/7.8)$	C _d '=0.012+0.012α'
Local ice frictional coefficient	$C_{\rm s} = 0.002$	$C_{\rm s}$ '=0.002

502

503 The variation of C_a/C_w is shown in Fig. 11. This ratio ranged from 20.9% to 504 41.8% with α and h/L_r for moderate ice concentrations (A=60% Fig. 11a). This meant

505 that the free drift speed of ridged ice was lower by up to about 1/3 compared with 506 ridged ice. When h/L_r was relatively low ($h/L_r < 0.01$), C_a/C_w decreased monotonically 507 with the increase in α , and the effect of α on C_a/C_w is weak. Because ridge slopes only 508 contributed to their form drag, the floe edge form drag and skin friction drag are the dominant parts with a low h/L_r . Then, C_a/C_w was relatively high and is influenced by 509 510 other parameters such as the hydrodynamic roughness of the ice surface. When h/L_r 511 was relatively high $(h/L_r > 0.01)$, C_a/C_w first decreased and then increased with the 512 increase in α . At this time, C_d played a key role in C_a and C_w , and the difference of C_d 513 between keels and sails reached a maximum at about $\alpha=30^{\circ}$. Also, the momentum 514 exchange between the air and the water reaches the maximum. Fig. 11b also shows that C_a/C_w reached a minimum at about $\alpha=30^\circ$ with $h/L_r=0.05$. In this case, the 515 516 component of frictional coefficient C_{S} in C_{a} was more important than C_{S} in C_{w} . 517 Compared with $C_{\rm R}$ ', $C_{\rm S}$ ' increased more quickly. Despite $C_{\rm R}$ dominating $C_{\rm w}$, $C_{\rm a}/C_{\rm w}$ 518 increased with the increase in A in Fig. 11b.



521 Figure 11. Variation of C_a/C_w with (a) α and h/L_r when A=60% and (b) α and A when



519

524 4 Conclusion

525 Laboratory experiments and numerical simulations were conducted to study 526 the keel motion and drag force in homogeneous fluids. The momentum flux from a 527 fluid to a keel model was provided through the form drag coefficient $C_{\rm d}$. Regarding 528 the laminar flow regime, the friction drag force was the main part of the total force, 529 and the shape of a keel had little effect on C_d . With the turbulent regime, the results of 530 the numerical simulation agreed well with laboratory data. $C_{\rm d}$ was sensitive to the 531 shape of a keel described by h and α and tends to a stable level with a very large Re. It 532 was shown that when $h \ll D$, the depth of the water layer, the local form drag 533 coefficient was independent of h but sensitive to the keel slope angle α .

534 In order to eliminate the effect of the bottom boundary of the flume, we used numerical simulations to obtain a C_d independent of the water depth. This independent 535 $C_{\rm d}$ was not sensitive to keel depth, but was closely related to the keel slope angle. We 536 537 used a logarithmic function and obtained the relationship $C_d=0.68\ln(\alpha/7.8)$, $10^{\circ} \le \alpha \le 90^{\circ}$, varying from 0.14 to 1.64. Based on the parameterization concept, 538 539 $C_d=0.68\ln(\alpha/7.8)$ could be applied to sea ice modelling. For moderate ridging 540 intensity and ice concentrations, $C_{\rm R}$ increased monotonically and quickly in the α 541 range of 10°–50° and becomes the dominant part of C_w when $\alpha \ge 20^\circ$. Pressure ridges in 542 the Arctic region have a large variability in morphology characteristics, and the keel 543 slope angles are mainly distributed from 10° to 50° (Davis and Wadhmas, 1995; 544 Timco and Burden, 1997; Obert and Brown, 2011; Strub-Klein and Sudom, 2012). It 545 was obvious that the variation of α had a significant influence on $C_{\rm R}$ and $C_{\rm R}/C_{\rm w}$ and 546 should be taken into account in sea ice dynamic models.

547 C_a/C_w varied from 20.9%-41.8% with α and h/L_r for moderate ice concentrations (A=60%) and had a relative low value variability at A and α =30°. The 548 549 wind factor represents the ratio of ice velocity to wind velocity for wind-driven sea 550 ice drift. In the free drift case, the wind factor tended to the Nansen number Na, $Na=0.036 \sqrt{(C_a/C_w)}$. Na is about 1.6%–2.3%, corresponding to the C_a/C_w range of 551 552 20.9%-41.8% in Fig. 11. It agreed with the value collected by field observations in the 553 Arctic Ocean (Leppäranta, 2011). Thus, in this simple case, the velocity of sea ice was 554 proportional to Na, which increased with the decrease in α where $\alpha < 30^{\circ}$. With the 555 rapid decay of Arctic sea ice in recent years, the morphology parameters of sea ice 556 have also been changing, and the temporal and spatial characteristics vary. In 557 multi-category sea ice models, the ice thickness distribution could be adjusted with a 558 dynamic and a thermodynamic process. Thinner sea ice may be more easily subjected 559 to deformation, resulting in sea ice ridging or hummock ice (Lepparänta, 2011). The 560 redistribution of deformed and undeformed ice also had an influence on the 561 morphology of ice; thus, the slope angle of ridged ice as well as the amount of ridging 562 further affected the drift of sea ice. The decreasing trend of Na, where $\alpha < 30^\circ$, caused 563 an increase in the free drift speed of sea ice.

564 Therefore, ridge keel morphology needed to be taken account in the parameterization of C_{d} , which laid the foundation for the parameterization of the 565 566 ice-water drag coefficient in mesoscale and large-scale sea ice models. In heavily 567 ridged regions, the accurate local drag coefficient was crucial in sea ice forecasting in 568 the short-term, as well as in climatological models. In addition to the influence of keel 569 shapes, two questions require further research. First, for large keels, when the keel 570 depth approaches the depth of the mixed layer, the keel depth became important in 571 addition to the shape. Secondly, the flow stratification also had an effect on C_d . Thus, 572 further experimental research and theoretical analysis are required to establish an advanced parameterization scheme for the sea ice-ocean drag coefficient. 573

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- 579 Data Availability Statement
- 580 The experimental and numerical dataset in this research can be accessed via the 581 website (https://doi.org/10.5281/zenodo.4270715).

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